

The Prognostic Value of Plasma Galectin 3 in HFrEF Depends on the Etiology of Heart Failure: a Cohort Study and Animal Experiment

Qun Lu

Xi'an Jiaotong University

Ruo-Chen Zhang

First Affiliated Hospital, School of Medicine of Xi'an Jiaotong University,

Shu-Ping Chen

First Affiliated Hospital, School of Medicine of Xi'an Jiaotong University

Tao Li

Department of Cardiovascular Medicine

Ya Wang

Department of Cardiovascular Medicine, First Affiliated Hospital, School of Medicine

Yan-Bo Xue

Department of Cardiovascular Medicine, First Affiliated Hospital

Jing Liu

Department of Cardiovascular Medicine, First Affiliated Hospital, School of Medicine

Xiu Han

Department of Cardiovascular Medicine, First Affiliated Hospital

Qun-Li Yuan

Department of Cardiovascular Medicine, Xunyi County People's Hospital

Xue-Feng Tan

Department of Cardiovascular Medicine, Jingyang County People's Hospital

Yi-Dan Su

Experimental Cardiology Lab

Ling Bai (✉ luqun00@163.com)

Department of Cardiovascular Medicine, First Affiliated Hospital

Xiao-Jun Du

Experimental Cardiology Lab

Ai-Qun Ma

Department of Cardiovascular Medicine, First Affiliated Hospital,

Keywords: heart failure, Galectin-3, prognosis, risk factor, etiology

Posted Date: January 6th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-139860/v1>

License:   This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Abstract

Background: Although plasma galectin 3 (Gal-3) has been investigated in many previous studies, its prognostic value has not yet been determined. However, many studies had found that plasma and cardiac levels of Gal-3 are different within different animal models of heart failure (HF).

Aim: The aim of the present study was to evaluate the prognostic value of plasma Gal-3 for HF originating from different causes.

Methods: We examined the plasma levels and expression of Gal-3 in cardiac tissues in two transgenic (TG) strains of mice with cardiomyocyte-restricted overexpression of either β 2- adrenergic receptor (β 2-AR TG) or Mammalian sterile 20-like kinase 1 (Mst1-TG) in the present study. Age-matched non-transgenic (nTG) littermates were used as controls. Additionally, 166 patients suffering from heart failure with reduced ejection fraction (HFrEF) in two hospitals within the Shaanxi province were included in the present study. These patients were treated in accordance with the Chinese HF guidelines of 2014. Subsequently, these patients were followed up for 50 months, during which we analyzed the prediction value of baseline Gal-3 to endpoints in these patients via Cox and Kapla-Meir analyses.

Results: Gal-3 was localized in the cytoplasm and nucleus of cardiomyocytes, often forming aggregates in Mst1TG mice. Extracellular Gal-3 staining was uncommon in Mst1-TG hearts. However, in β 2-AR TG mice, although Gal-3 was also expressed in myocardial cells, it is more highly expressed in interstitial cells (e.g., fibroblasts and macrophages). Plasma Gal-3 was comparable between nTG and Mst1 TG mice, However, plasma Gal-3 was higher in β 2-AR TG mice than nTG mice. In HFrEF patients cohort, the median Gal-3 concentration was 158.42 pg/mL. All participants were divided into two groups according to their Gal-3 levels. There were no statistical differences between the two groups in terms of gender, hypertension history, diabetes history, treatments, death, re-hospitalization and composite endpoint events. However, patients with Gal-3 plasma concentrations above the median were older ($P=0.043$), had lower plasma hemoglobin($P = 0.002$), but higher plasma creatinine ($P=0.011$), tissue inhibitor of metalloproteinases 1 (TIMP-1)($P=0.002$), left ventricular end systolic diameter (L VESD) ($P = 0.036$), left ventricular end-systolic volumes (LVESV) ($P=0.043$)and end-diastolic, and left ventricular end-diastolic volumes (LVEDV) ($P=0.036$).Spearmen correlation analysis revealed that Gal-3 was positively correlated with TIMP-1 ($r=0.396$, $P<0.001$), LVESV ($r=0.181$, $P=0.020$) and LVEDV ($r=0.190$, $P=0.015$). During a 50-month follow-up, 43 deaths, 97 unplanned re-hospitalizations, and 111 composite endpoint events occurred. Cox analysis demonstrated that although Gal-3 did not provide any prognostic value in either total-HF subjects or coronary-heart-disease (CHD) patients, it did provide prognostic value in non-CHD patients.

Conclusion: Although plasma concentrations of Gal-3 were associated with TIMP-1 and echocardiographic parameters, the prognostic value of plasma Gal-3 in HFrEF depended on the etiology of HF.

Highlight

The prognostic value of Galectin-3 in HF rEF

Introduction

Heart failure (HF) is a disease responsible for high morbidity and mortality regardless of therapies^[1, 2]. Hence, there is an increasing need for early diagnosis, better prognostic evaluation and management of HF. Thus, as indicators of pathological processes and responses to therapeutic interventions, circulating blood biomarkers have been increasingly studied due to their noninvasive determinations that tend to be sufficiently sensitive and accurate. Although there are many different available biomarkers (e.g., NT-proBNP^[3], GDF-15^[4]), there are also multiple factors that affect the prognostic values of these biomarkers.

Galectin 3 (Gal-3) is a soluble β -galactoside-binding protein. It is expressed in epithelial and inflammatory cells in several organs and is located both intracellularly and extracellularly^[5, 6]. Gal-3 is involved in cellular functions related to cell adhesion^[7, 8], proliferation^[9], and differentiation^[10–12], and is considered a biomarker of cardiac fibrosis and remodeling^[5, 13, 14]. In the myocardium, Gal-3 is primarily expressed in fibroblasts, and macrophages that play an important role in the formation of myocardial fibrosis through activation of fibroblasts^[15] have been linked to fibrosis in a spectrum of medical conditions, including HF. Although many previous studies have demonstrated elevated plasma concentrations of Gal-3 in both acute and chronic HF, the prognostic value of Gal-3 in predicting re-hospitalization and mortality has not yet been determined. We et al^[16] and Du et al^[17] found that plasma and cardiac levels of Gal-3 were different across distinct HFs caused by different etiologies in experimental animals. Therefore, we hypothesized that the prognostic value of plasma Gal-3 depends on the etiology of HF. Hence, our present study evaluated the prognostic value of plasma Gal-3 across distinct HFs with different causes.

Methods

This study was completed in mouse models and in human HF patients.

Animals

Two transgenic (TG) strains of mice with cardiomyocyte-restricted overexpression of either β 2- adrenergic receptor (β 2- AR TG) or Mammalian sterile 20-like kinase 1 (Mst1 TG) were used in the present study. Our previous works have characterized cardiomyopathic phenotypes of both models^[16, 18–20]. All strains of mice were from the same C57Bl/6 genetic background. Only male mice were studied. Age-matched non-transgenic (nTG) littermates were used as controls. Mice were housed in standard conditions with food and water provided *ad libitum*. All experimental procedures were approved by a local animal ethics committee in compliance with both the Australian Code for the Care and Use of Animals for Scientific Purposes (8th edition) and the ARRIVE guidelines.

Subjects

This protocol was approved by the ethics committee of the First Affiliated Hospital of Xi'an Jiaotong University (Shaanxi 710061, China) and was in accordance with the Helsinki Declaration's guidelines. Informed consent was obtained for all participants. The cohort study consisted of chronic HF patients, aged between 18–80 years, who were diagnosed with heart failure with reduced ejection fraction (HFrEF) in the Department of Cardiovascular Medicine at Xunyi Hospital and Jingyang Hospital from May 2014 to May 2015. Patients were then followed up for a period of 50 months and were evaluated for the development of major adverse cardiovascular events (MACEs). Patients were excluded from the present study if they had acute HF, active neoplasia, acute myocardial infarction, acute or chronic liver disease (alanine aminotransferase level >5 times the upper normal limit), acute stroke, serious kidney disease, chronic consumption disease, thyroid dysfunction, fibrotic pathologies (e.g., pulmonary fibrosis, collagenases), and/or cancer. Following these exclusion criteria, a total of 166 HF patients were recruited. All participants were divided into two groups (group 1 and group 2) according to their Gal-3 levels. Then, based on their clinical features, patients from each group were further divided into two subgroups, with coronary-heart-disease (CHD) group and without CHD group.

Histological and plasma analyses in mice

Blood was collected in heparin-containing vials when mice were killed, centrifuged at 4°C (3,000 rpm in 20 min), and stored at –80°C. Plasma Gal-3 levels were detected by a mice Galectin-3 Quantikine ELISA Kit (R&D Systems Inc., Minneapolis, MN, USA) in twin duplicates wells, following protocols provided by the manufacturer. And then Paraffin-embedded LV sections (6 µm) were prepared and used for Gal-3 immunofluorescent staining. For Gal-3 immunofluorescent staining, after samples had been dewaxed, heat-induced antigen retrieval and permeabilization were carried out (with 10 mM of Na-citrate buffer containing 0.05% Tween 20; pH 6.0; 95°C for 25 min) followed by blocking with DAKO Protein Block (X0909, Agilent, 1 h at room temperature). Sections were incubated with primary goat anti-mouse Gal-3 (1:100, AF1197, R&D Systems) overnight at 4°C, after which they were incubated with the secondary antibody, Alexa Fluor 594 donkey anti-goat IgG (1:200, A11058, Invitrogen by Thermo Fisher Scientific). The cardiomyocyte boundary was revealed by wheat-germ-agglutinin FITC staining (1:80, FL-1021, Vector Labs, 1 h at room temperature). Images were acquired with an Olympus BX61 fluorescent microscope.

Clinical measurements

Investigators and a trained interviewer collected all of the clinical data. The trained interviewer collected patient information, including demographic data, past medical history, history of cardiovascular diseases, the Minnesota Living with Heart Failure Questionnaire (MLHFQ), New York Heart Association (NYHA) functional class, smoking behavior, and alcohol abuse. Smoking was defined as smoking cigarettes within one month of the indexed hospital admission. Hypertension was defined as a cuff blood pressure $\geq 140/90$ mmHg and/or the current use of antihypertensive medications. Subjects were also questioned about their past histories of diabetes mellitus and their current use of anti-diabetic drugs. Diagnosis of diabetes was confirmed if plasma fasting glucose was ≥ 7.0 mM (or if the 2-h postprandial glucose was >

11.1 mM) or if there was current use of anti-diabetic medication. Anthropometric measurements, such as body weight (kg) and height (m), were taken during the first visit. Body mass index (BMI) was calculated as weight divided by height squared.

Analysis of patients blood parameters

Blood was collected from each patient at admission. After overnight fasting, between 6–7 a.m., blood from the median cubital vein was drawn into ethylenediaminetetraacetic acid (EDTA)-containing tubes. Plasma was separated within 2 h after collection. Blood parameters were measured at the Central Clinical Laboratory of the First Affiliated Hospital of Xi'an Jiaotong University, including hemoglobin (HB), creatinine (CR), urea nitrogen (BUN), alanine aminotransferase (ALT), and aspartate aminotransferase (AST). Plasma samples for later analyses (i.e., to detect Gal-3 levels) were collected in EDTA-containing vials, centrifuged at 4°C (3,000 rpm in 10 min), and stored at –80°C.

Plasma Gal-3 levels were detected by a Human Galectin-3 Quantikine ELISA Kit (R&D Systems Inc., Minneapolis, MN, USA) in duplicates wells, following protocols provided by the manufacturer; the mean serum Gal-3 value was calculated as the final level. The detection range of the plasma Gal-3 immunoassay was 0–4,000 pg/mL. Plasma TIMP-1 levels were detected by a human TIMP-1 Quantikine ELISA Kit (Abbkine, Inc., China) in duplicates wells, following protocols provided by the manufacturer, the mean serum TIMP-1 value was calculated as the final level. The calibration range of serum TIMP-1 was 31.25–2,000 ng/mL, and the limit of detection was 16 ng/mL.

Echocardiographs and electrocardiograms

Echocardiographs were performed with a Phillips iE33 system by a single trained operator blinded to the Gal-3 plasma concentration of each subject. All echo data were analyzed by a single operator to limit inter-observer variability. The left ventricular ejection fraction (LVEF) was calculated by the Simpson biplane model. The following standard parameters were collected: left ventricular end-systolic and end-diastolic volumes (LVESV and LVEDV); left ventricular end-systolic and end-diastolic dimensions (LVESD and LVEDD); and left ventricular fraction shortness (LVFS). The 12 electrocardiographic leads were made up of three standard limb leads (I, II, and III), augmented limb leads (aVR, aVL and aVF), and six precordial leads (V1, V2, V3, V4, V5, and V6). The QT interval was best measured between the beginning of the Q wave until the end of the T wave in lead II.

Treatments and evaluations of patient outcomes

All HF patients were actively followed up at average times of 1, 3, 6, and 50 months after the initiation of treatments. Follow-up information was completed for all 166 patients (100%). Information was obtained by face-to-face interviews or telephone conversations. Information regarding secondary cardiovascular events and treatments since the start of the treatment in the present study was obtained. Cardiovascular events were defined as either MACEs as the main cause of death, re-hospitalization because of HF, or composite endpoint events. All patients received β -blockers, as well as angiotensin-converting enzyme

inhibitors (ACEI) or angiotensin receptor blockers (ARB), according to the China HF guidelines of 2014, unless there were contraindications to these drugs. Mineralocorticoid-receptor antagonists, diuretics, and digoxin were prescribed to patients who had corresponding indications according to the China HF guidelines of 2014.

Statistical analysis

Analyses were performed using SPSS version 13.0. Normally distributed values are presented as mean±standard deviations (SDs), and differences between groups were determined using Student's *t* tests. Variables with a skewed normal distribution are presented as medians (inter-quartile range), and between-group differences for these variables were determined using Rank-Sum tests. Categorical variables are presented as percentages, and differences between groups were tested using Chi-squared tests. MACE-rate estimates were generated via the Kaplan-Meier method. Cox proportional hazards modeling was used to assess the relative importance of baseline risk factors to the resulting endpoints. Hazard ratios (HR) are presented, with 95% CIs, to show the risk of an event when a given factor was present. Significance was defined at the 5% level using a two-tailed statistical test.

Results

Myocardial and serum Gal-3 expression levels in cardiomyopathic mice

Our previous studies showed that myocardial Gal-3 concentrations were higher in both Mst1-TG mice and β 2-AR-TG mice compared to that in nTG mice.^[16, 19, 21, 22] In keeping with our previous findings, whereas the plasma Gal-3 concentration in β 2-AR-TG mice was significantly elevated versus nTG mice, Mst1-TG mice showed no change in plasma Gal-3 concentration compared with that of respective nTG group (Fig. 1). By immunohistochemistry, we found that Gal-3 was localized in the cytoplasm and nucleus of cardiomyocytes, and often formed aggregates in Mst1 TG mice. Extracellular Gal-3 staining was uncommon in Mst1-Tg hearts. However, in β 2-AR TG mice, although certain number of cardiomyocytes were positively stained by Gal-3, Gal-3 was more often expressed in interstitial cells (e.g., fibroblasts and macrophages) (Fig. 2).

Baseline characteristics of HF patients

Our study cohort included 105 (48.9%) men and 61 (51.1%) women. Plasma Gal-3 concentrations were between 23.88–1157.63 pg/mL, and the median Gal-3 concentration was 158.42 pg/mL. All participants were divided into two groups according to their Gal-3 levels. Next, the clinical data were compared between these two groups. As shown in Table 1, there were no statistical differences between the two groups in terms of gender, hypertension history, DM history, treatments, or MACEs. However, patients with Gal-3 plasma concentrations above the median were older ($P= 0.043$). Table 1 also demonstrates that patients with increased Gal-3 plasma concentrations had lower plasma HB ($P= 0.002$) but higher plasma CR ($P= 0.011$), TIMP-1 ($P= 0.002$), LVESD ($P= 0.036$), LVESV ($P= 0.043$), and LVEDV ($P= 0.036$).

Table 1
Baseline characteristics of all HF patients.

	Below median Gal-3 (n = 83)	Above median Gal-3 (n = 83)	F/X	P
Age (years)	60.6 ± 9.155	63.61 ± 9.335	2.043	0.043
Gender (M/F)	55/28	50/33	0.648	0.421
Hypertension (%)	29.3	39.0	1.735	0.188
Diabetes Mellitus (%)	7.2	7.2	0	1.0
Smoking (%)	57.8	47.0	1.956	0.162
Alcohol consumption (%)	41.5	41.0	0.004	0.948
Coronary heart disease (%)	34.9	48.2	3.001	0.083
HF history (years)	4.0 (2.0, 6.0)	4.0 (3.0, 7.0)		0.054
MLHFQ	25.0 (14.0, 34.0)	29.0 (16.0, 38)		0.182
SBP (mmHg)	122 ± 19	122 ± 23	0.1	0.920
DBP (mmHg)	77 ± 12	78 ± 11	0.247	0.805
CR (umol/L)	78.0 ± 12.1	84.3 ± 18.5	2.582	0.011
BUN (mmol/L)	6.64 ± 1.49	7.14 ± 2.18	1.642	0.103
HB (g/L)	151.3 ± 21.7	141.9 ± 15.7	3.193	0.002
AST (U/L)	24.6 ± 8.3	24.1 ± 9.4	0.294	0.108
ALT (U/L)	21.5 ± 10.6	20.9 ± 11.6	0.261	0.795
TIMP-1 (ng/mL)	113.3 ± 89.7	160.1 ± 103.7	3.112	0.002
QT interval (ms)	419 ± 49	422 ± 50	0.237	0.813
BMI (Kg/m ²)	22.88 ± 3.31	23.35 ± 3.78	0.834	0.406
Heart Rate (bpm)	78.5 ± 14.9	78.4 ± 18.3	0.042	0.967
LVEF (%)	35.3 ± 7.1	35.5 ± 7.7	0.141	0.888

Data are mean (SD) or n (%) unless otherwise stated. F: female, M: male, MLHFQ: the Minnesota Living with Heart Failure Questionnaire, SBP: systolic blood pressure, DBP: diastolic blood pressure, CR: creatinine, BUN: urea nitrogen, HB: hemoglobin, AST: aspartate aminotransferase, ALT: alanine aminotransferase, TIMP-1: tissue inhibitor of metalloproteinases 1, BMI: Body mass index, LVEF: left ventricular ejection fraction, LVESD: left ventricular end-systolic dimension, LVEDD: left ventricular end-diastolic dimension, LVESV left ventricular end-systolic volumes, LVEDV: left ventricular end-diastolic volumes, FS: left ventricular fractional shortening, NYHA: New York Heart Association ACEI: angiotensin converting enzyme inhibitor, ARB: angiotensin receptor blockers, ARNI: Sacubitril/ Valsartan, MRA: mineralocorticoid receptors antagonist.

		Below median Gal-3 (n = 83)	Above median Gal-3 (n = 83)	F/X	P
LVESD (mm)		56.43 ± 8.57	57.29 ± 10.02	2.116	0.036
LVEDD (mm)		68.92 ± 8.38	70.19 ± 9.43	0.922	0.358
LVESV (ml)		135.37 ± 55.17	155.50 ± 70.48	2.037	0.043
LVEDV (ml)		199.04 ± 73.09	225.21 ± 84.85	2.116	0.036
Fractional Shortening (%)		17.88 ± 4.10	17.80 ± 4.82	0.116	0.908
NYHA functional class	I	20.5	10.8	3.264	0.353
	II	53.0	60.2		
	III	22.9	26.5		
	IV	3.6	2.4		
β-blocker (%)		79.5	81.9	0.155	0.694
ACEI/ARB/ARNI (%)		90.4	89.2	0.066	0.798
MRA (%)		73.5	79.5	0.838	0.360
Digoxin (%)		19.3	27.7	1.642	0.2
Diuretics (%)		55.4	59.0	0.221	0.638
Death rate (%)		20.3	31.3	2.542	0.111
Re-hospitalization rate (%)		52.4	65.4	2.842	0.092
Composite-endpoint event		61.4	72.3	2.202	0.138
<p>Data are mean (SD) or n (%) unless otherwise stated. F: female, M: male, MLHFQ: the Minnesota Living with Heart Failure Questionnaire, SBP: systolic blood pressure, DBP: diastolic blood pressure, CR: creatinine, BUN: urea nitrogen, HB: hemoglobin, AST: aspartate aminotransferase, ALT: alanine aminotransferase, TIMP-1: tissue inhibitor of metalloproteinases 1, BMI: Body mass index, LVEF: left ventricular ejection fraction, LVESD: left ventricular end-systolic dimension, LVEDD: left ventricular end-diastolic dimension, LVESV left ventricular end-systolic volumes, LVEDV: left ventricular end-diastolic volumes, FS: left ventricular fractional shortening, NYHA: New York Heart Association ACEI: angiotensin converting enzyme inhibitor, ARB: angiotensin receptor blockers, ARNI: Sacubitril/ Valsartan, MRA: mineralocorticoid receptors antagonist.</p>					

Relationships between plasma Gal-3 levels and clinical characteristics

Figure 3 illustrates the associations between both Gal-3 and echocardiographic variables and the associations between Gal-3 and myocardial fibrosis biomarkers. Following spearman correlation

analysis, Gal-3 was positively correlated with TIMP-1 ($r= 0.396, P< 0.001$), LVESV ($r= 0.181, P= 0.020$), and LVEDV ($r= 0.190, P= 0.015$).

Prognostic value of plasma Gal-3 levels

During a 50-month follow-up, 43 deaths, 97 unplanned re-hospitalizations, and 111 composite endpoint events including death and unplanned re-hospitalizations occurred. Following univariate Cox analysis, Gal-3 did not provide any prognostic value when all HF subjects were analyzed together (Fig. 4 and Table 2). Furthermore, we performed stratified analysis in accordance with or without CHD subgroups. COX regression analysis and Kaplan-Meier analysis were performed. We found that Gal-3 did not provide any prognostic value in CHD participants. In contrast, as shown in Fig. 5 and Table 3, Gal-3 did predict prognoses without CHD subjects.

Table 2
Predictive value of baseline plasma Gal-3 to long-term outcomes in all HFrEF patients.

	HR (95% CI)	P
Death	1.769 (0.957, 3.268)	0.069
Re-hospitalization	1.454 (0.968, 2.184)	0.071
Composite-endpoint event	1.433 (0.983, 2.088)	0.061
HR: hazard ratio, CI: confidence intervals.		

Table 3
Predictive value of baseline plasma Gal-3 to long-term outcomes in HFrEF with or without CHD patients.

	HFrEF without CHD patients		HFrEF with CHD patients	
	HR (95% CI)	P	HR (95% CI)	P
Death	2.292(1.071, 4.905)	0.033	1.899 (0.664, 5.435)	0.232
Re-hospitalization	1.756(1.021, 3.018)	0.042	1.473 (0.799, 2.716)	0.215
Composite-endpoint event	1.673(1.022, 2.740)	0.041	1.545 (0.858, 2.780)	0.147
HFrEF: heart failure with reduced ejection fraction, CHD: coronary heart disease, HR: hazard ratio, CI: confidence intervals.				

Discussion

Our present study revealed three primary findings. First, Gal-3 levels in myocardial tissue and plasma were different between two mouse models of cardiomyopathy (i.e., Mst1 TG mice and β 2-AR TG mice). Second, plasma concentrations of Gal-3 were associated with TIMP-1 and echocardiographic

parameters. Finally, although plasma concentrations of Gal-3 did not predict prognoses in all participants, it was predictive of prognoses in HF_{rEF} without CHD subjects.

Gal-3 is primarily expressed in fibroblasts and macrophages and is involved in myocardial fibrosis through activation of fibroblasts^[6, 23]. In the present study, we found that Gal-3 was also expressed in cardiomyocytes; moreover, the expression of Gal-3 was different between two mouse models of cardiopathy. In Mst1 TG mice, Gal-3 was primarily expressed in cardiomyocytes, while it was mainly expressed in myocardial interstitial cells in β 2-AR TG mice. These results suggest that the expression of Gal-3 in myocardial tissue is related to the etiology of HF. The differential expression of Gal-3 in our two mouse models may explain why serum Gal-3 levels in Mst1 TG mice were not significantly increased compared to those in wild-type mice. This phenomenon has also been confirmed in previous studies. Du et al. found that Gal-3 expression was confined to the infarcted area and was localized to both non-cardiomyocytes and cardiomyocytes; importantly, plasma levels of Gal-3 also were transiently elevated at three-days post-infarction, but plasma Gal-3 was not elevated, despite increased cardiac expression and protein levels in TAC mice^[17].

Myocardial fibrosis is an important pathophysiological mechanism involved in the development and progression of chronic heart failure (CHF)^[24–26]. Collagen synthesis by myocardial fibroblasts is activated in diseases such as CHF and is affected by many determinants (e.g. Gal-3^[27, 28] and TIMP-1^[29–31]). Zile et al had found that the plasma concentration of TIMP-1 was increased in 1,776 HF_{rEF} patients with NYHA Class-II to -IV symptoms in the PARADIGM-HF trial^[32]. TIMP-1 has been demonstrated to contribute to ventricular remodeling and myocardial apoptosis in experimental HF models^[31, 33]. Since Gal-3 has been linked to myocardial fibrosis, it is plausible that elevated plasma concentrations of Gal-3 may also be linked to TIMP-1. Therefore, future studies should further investigate the roles and mechanisms of Gal-3 and TIMP-1 in myocardial fibrosis and HF.

Theoretically, since Gal-3 is involved in myocardial fibrosis, it should be correlated with echocardiographic parameters. In the present study, plasma Gal-3 was positively correlated with LVEDV and LVESV in chronic HF_{rEF} patients. Few prior studies have systematically evaluated the relationship between echocardiographic measures and blood concentrations of Gal-3. The DEAL-HF trial performed serial echocardiographic measures in 240 HF patients with NYHA Class-III and -IV symptoms and found a positive association between increased plasma concentrations of Gal-3 and changes in LVEDV, whereas there was no correlation between baseline LVEDV and Gal-3 levels^[34]. These previous results are different from those of our present study. This discrepancy may be related to the different research subjects in each study. All subjects in the DEAL-HF trial were patients with NYHA Class-III and -IV symptoms, whereas all patients in our present study exhibited NYHA class I–IV symptoms.

Although there have been many studies investigating the relationship between blood levels of Gal-3 and mortality in HF patients^[35–37], the predictive value of Gal-3 for the prognosis of HF remains to be illusive^[38]. Recently, the PARADIGM-HF trial revealed that baseline and eight-month changes in serum Gal-3

levels did not predict outcomes in HF_{rEF} patients^[32]. However, based on the results of animal experiments, we speculate that the predictive value of Gal-3 in the prognosis of HF may be related to the etiology of HF^[16–18] and the specific therapies used to treat HF^[39]. In the present study, we found that plasma Gal-3 did not predict the mortality in all HF subjects, while it did correlate with mortality in HF without CHD subjects. The expression of Gal-3 in myocardial tissue is affected by inflammation^[40, 41], β blockers^[39] and the Hippo pathway^[22]. Gal-3 expression in myocardial tissue also depends on pathophysiological mechanisms that are independent on the etiologies of HF. This phenomenon may explain different results across studies. However, the strength of the predictive value of Gal-3 in HF requires more animal and clinical studies.

Limitations

We examined Gal-3 expression in mice and assessed the predictive value of blood Gal-3 on clinical endpoints in HF_{rEF} patients. However, our present study had several limitations. First, although 166 HF_{rEF} patients were included, there were not enough patients for a sufficient assessment at the 50-month follow-up. Second, we only assessed baseline Gal-3 concentrations in the present study, whereas we did not assess such concentrations after treatments.

Conclusions

Although plasma concentrations of Gal-3 were associated with TIMP-1 and echocardiographic parameters, the prognostic value of plasma Gal-3 in HF_{rEF} patients depended on the etiology of HF.

Abbreviations

Gal-3: galectin 3, HF: heart failure, HF_{rEF}: heart failure with reduced ejection fraction, ACEI: angiotensin converting enzyme inhibitors, ARB: angiotensin receptor blockers, CHD: coronary heart disease, MLHFQ: the Minnesota Living with Heart Failure Questionnaire, SBP: systolic blood pressure, DBP: diastolic blood pressure, CR: creatinine, BUN: urea nitrogen, HB: hemoglobin, AST: aspartate aminotransferase, ALT: alanine aminotransferase, TIMP-1: tissue inhibitor of metalloproteinases 1, BMI: Body mass index, LVEF: left ventricular ejection fraction, LVESD: left ventricular end-systolic dimension, LVEDD: left ventricular end-diastolic dimension, LVESV: left ventricular end-systolic volumes, LVEDV: left ventricular end-diastolic volumes, FS: left ventricular fractional shortening, NYHA: New York Heart Association, ARNI: Sacubitril/Valsartan, MRA: mineralocorticoid receptors antagonist, HR: hazard ratio, CI: confidence intervals, nTG: non-transgenic mice, β 2-AR TG: β 2- adrenergic receptor transgenic, Mst1-TG: Mammalian sterile 20-like kinase 1 transgenic.

Declarations

Ethics approval and consent to participate

The protocol about patients was approved by the ethics committee of the First Affiliated Hospital of Xi'an Jiaotong University (Shaanxi 710061, China) and was in accordance with the Helsinki Declaration's guidelines. Informed consent was obtained for all participants and families. The mice were introduced from Jackson Laboratory (USA) and informed consent was obtained from this laboratory. These procedures about animal experiments were approved by a local animal ethics committee in compliance with the Australian Code for the Care and Use of Animals for Scientific Purposes (8th edition) and the ARRIVE guidelines.

Consent for publication

Not applicable.

Availability of data and materials

All data generated or analyzed during this study are included in this published article.

Competing interests

The authors declare no competing interests.

Funding

This study was supported by The Science and Technology Foundation of Shannxi Province (No: 2016HM-04 and S2017-ZDYF-ZDCXL-SF-0054).

Authors' contributions

QL, XD, LB, and AM designed the study. QL, SC, YW, TL, YX, JL, QY, and XT followed up with the included patients. QL and SY performed the experiments. QL and RZ collected and analyzed the data. QL prepared the manuscript. All authors read and approved the final manuscript.

Acknowledgements

We thank LetPub (www.letpub.com) for its linguistic assistance during the preparation of this manuscript.

References

1. Arnett DK, Blumenthal RS, Albert MA, Buroker AB, Goldberger ZD, Hahn EJ, et al. 2019 ACC/AHA Guideline on the Primary Prevention of Cardiovascular Disease. *Journal Of the American College Of Cardiology*. 2019;74:E177-U176.
2. Yu Y, Gupta A, Wu CQ, Masoudi FA, Du X, Zhang J, et al. Characteristics, Management, and Outcomes of Patients Hospitalized for Heart Failure in China: The China PEACE Retrospective Heart Failure Study. *Journal Of the American Heart Association*. 2019;8:e012884.

3. Sarhene M, Wang YL, Wei J, Huang YT, Li M, Li L, et al. Biomarkers in heart failure: the past, current and future. *Heart Failure Reviews*. 2019;24:867-903.
4. Stohr R, Brandenburg VM, Heine GH, Maeder MT, Leibundgut G, Schuh A, et al. Limited role for fibroblast growth factor 23 in assessing prognosis in heart failure patients: data from the TIME-CHF trial. *European Journal Of Heart Failure*. 2020;22:701-709.
5. Lopez B, Gonzalez A, Querejeta R, Zubillaga E, Larman M, Diez J. Galectin-3 and histological, molecular and biochemical aspects of myocardial fibrosis in heart failure of hypertensive origin. *Eur J Heart Fail*. 2015;17:385-392.
6. de Boer RA, Yu L, van Veldhuisen DJ. Galectin-3 in cardiac remodeling and heart failure. *Curr Heart Fail Rep*. 2010;7:1-8.
7. Kuwabara I, Liu FT. Galectin-3 promotes adhesion of human neutrophils to laminin. *J Immunol*. 1996;156:3939-3944.
8. Rao SP, Wang Z, Zuberi RI, Sikora L, Bahaie NS, Zuraw BL, et al. Galectin-3 functions as an adhesion molecule to support eosinophil rolling and adhesion under conditions of flow. *J Immunol*. 2007;179:7800-7807.
9. Kiwaki K, Novak CM, Hsu DK, Liu FT, Levine JA. Galectin-3 stimulates preadipocyte proliferation and is up-regulated in growing adipose tissue. *Obesity (Silver Spring)*. 2007;15:32-39.
10. Thomas L, Pasquini LA. Galectin-3 Exerts a Pro-differentiating and Pro-myelinating Effect Within a Temporal Window Spanning Precursors and Pre-oligodendrocytes: Insights into the Mechanisms of Action. *Mol Neurobiol*. 2020;57:976-987.
11. Chen WT, Zhang F, Zhao XQ, Yu B, Wang BW. Galectin-3 and TRIM16 coregulate osteogenic differentiation of human bone marrow-derived mesenchymal stem cells at least partly via enhancing autophagy. *Bone*. 2020;131:115059.
12. Tan M, Liang Y, Huang W, Cheng Y, Jiang Z, He G, et al. Galectin-3 induces differentiation of rat bone marrow mesenchymal stem cells into hepatocyte-like cells. *Nan Fang Yi Ke Da Xue Xue Bao*. 2018;38:1076-1082.
13. Vergaro G, Del Franco A, Giannoni A, Prontera C, Ripoli A, Barison A, et al. Galectin-3 and myocardial fibrosis in nonischemic dilated cardiomyopathy. *Int J Cardiol*. 2015;184:96-100.
14. Lepojarvi ES, Piira OP, Paakko E, Lammentausta E, Risteli J, Miettinen JA, et al. Serum PINP, PIIINP, galectin-3, and ST2 as surrogates of myocardial fibrosis and echocardiographic left ventricular diastolic filling properties. *Front Physiol*. 2015;6:200.
15. Zhong X, Qian X, Chen G, Song X. The role of galectin-3 in heart failure and cardiovascular disease. *Clin Exp Pharmacol Physiol*. 2019;46:197-203.
16. Nguyen MN, Su Y, Vizi D, Fang L, Ellims AH, Zhao WB, et al. Mechanisms responsible for increased circulating levels of galectin-3 in cardiomyopathy and heart failure. *Sci Rep*. 2018;8:8213.
17. Du WJ, Piek A, Schouten EM, van de Kolk CWA, Mueller C, Mebazaa A, et al. Plasma levels of heart failure biomarkers are primarily a reflection of extracardiac production. *Theranostics*. 2018;8:4155-4169.

18. Nguyen MN, Su YD, Kiriazis H, Yang Y, Gao XM, McMullen JR, et al. Upregulated galectin-3 is not a critical disease mediator of cardiomyopathy induced by beta(2)-adrenoceptor overexpression. *American Journal Of Physiology-Heart And Circulatory Physiology*. 2018;314:H1169-H1178.
19. Nguyen MN, Ziemann M, Kiriazis H, Su YD, Thomas Z, Lu Q, et al. Galectin-3 deficiency ameliorates fibrosis and remodeling in dilated cardiomyopathy mice with enhanced Mst1 signaling. *American Journal Of Physiology-Heart And Circulatory Physiology*. 2019;316:H45-H60.
20. Du XJ, Gao XM, Wang B, Jennings GL, Woodcock EA, Dart AM. Age-dependent cardiomyopathy and heart failure phenotype in mice overexpressing beta(2)-adrenergic receptors in the heart. *Cardiovasc Res*. 2000;48:448-454.
21. Nguyen MN, Ziemann M, Kiriazis H, Su Y, Thomas Z, Lu Q, et al. Galectin-3 deficiency ameliorates fibrosis and remodeling in dilated cardiomyopathy mice with enhanced Mst1 signaling. *Am J Physiol Heart Circ Physiol*. 2019;316:H45-H60.
22. Zhao WB, Lu Q, Nguyen MN, Su Y, Ziemann M, Wang LN, et al. Stimulation of beta-adrenoceptors up-regulates cardiac expression of galectin-3 and BIM through the Hippo signalling pathway. *Br J Pharmacol*. 2019;176:2465-2481.
23. Morrow DA, O'Donoghue ML. Galectin-3 in cardiovascular disease: a possible window into early myocardial fibrosis. *J Am Coll Cardiol*. 2012;60:1257-1258.
24. Cui Y, Chen Y, Cao Y, Liu J, Song J, Zhang S, et al. Myocardial extracellular volume fraction measurements with MOLLI 5(3)3 by cardiovascular MRI for the discrimination of healthy volunteers from dilated and hypertrophic cardiomyopathy patients. *Clinical Radiology*. 2019;74:732.e739-732.e716.
25. Chirinos JA. Magnetic Resonance Imaging of Myocardial Fibrosis in Heart Failure With Preserved Ejection Fraction Ready for Prime Time? *Jacc-Cardiovascular Imaging*. 2019;12:2302-2304.
26. Bosch DE, Koro K, Richards E, Hoch BL, Jalikis F, Koch LK, et al. Validation of a Congestive Hepatic Fibrosis Scoring System. *American Journal Of Surgical Pathology*. 2019;43:766-772.
27. She G, Hou MC, Zhang Y, Zhang Y, Wang Y, Wang HF, et al. Gal-3 (Galectin-3) and K(Ca)3.1 Mediate Heterogeneous Cell Coupling and Myocardial Fibrogenesis Driven by beta AR (beta-Adrenoceptor) Activation. *Hypertension*. 2020;75:393-404.
28. Martinez-Martinez E, Brugnolaro C, Ibarrola J, Ravassa S, Buonafine M, Lopez B, et al. CT-1 (Cardiotrophin-1)-Gal-3 (Galectin-3) Axis in Cardiac Fibrosis and Inflammation Mechanistic Insights and Clinical Implications. *Hypertension*. 2019;73:602-611.
29. Mihailovici AR, Deliu RC, Margaritescu C, Simionescu CE, Donoiu I, Istratoaie O, et al. Collagen I and III, MMP-1 and TIMP-1 immunoexpression in dilated cardiomyopathy. *Romanian Journal Of Morphology And Embryology*. 2017;58:777-781.
30. Jordan A, Roldan V, Garcia M, Monmeneu I, de Burgos FG, Lip GYH, et al. Matrix metalloproteinase-1 and its inhibitor, TIMP-1, in systolic heart failure: relation to functional data and prognosis. *Journal Of Internal Medicine*. 2007;262:385-392.

31. Jayasankar V, Woo YJ, Bish LT, Pirolli TJ, Berry MF, Burdick J, et al. Inhibition of matrix metalloproteinase activity by TIMP-1 gene transfer effectively treats ischemic cardiomyopathy. *Circulation*. 2004;110:li180-li186.
32. Zile MR, O'Meara E, Claggett B, Prescott MF, Solomon SD, Swedberg K, et al. Effects of Sacubitril/Valsartan on Biomarkers of Extracellular Matrix Regulation in Patients With HFrEF. *Journal Of the American College Of Cardiology*. 2019;73:795-806.
33. Wang L, Xu YX, Du XJ, Sun QG, Tian YJ. Dynamic expression profiles of MMPs/TIMPs and collagen deposition in mechanically unloaded rat heart: implications for left ventricular assist device support-induced cardiac alterations. *Journal Of Physiology And Biochemistry*. 2013;69:477-485.
34. Lok DJ, Lok SI, de la Porte PWBA, Badings E, Lipsic E, van Wijngaarden J, et al. Galectin-3 is an independent marker for ventricular remodeling and mortality in patients with chronic heart failure. *Clinical Research In Cardiology*. 2013;102:103-110.
35. Feola M, Testa M, Leto L, Cardone M, Sola M, Rosso GL. Role of galectin-3 and plasma B type-natriuretic peptide in predicting prognosis in discharged chronic heart failure patients. *Medicine (Baltimore)*. 2016;95:e4014.
36. Wang N, Dang M, Zhang W, Lei Y, Liu Z. Galectin-3 is associated with severe heart failure and death: a hospital based study in Chinese patients. *Scand J Immunol*. 2019;91:e12826.
37. Ahmad T, Fiuzat M, Stevens S, Adams KF, Whellan DJ, Donahue MP, et al. Elevated Galectin-3 Levels and Prediction of Mode of Death in Heart Failure: Insights from the HF-ACTION Study. *Circulation*. 2012;126.
38. Besler C, Lang D, Urban D, Rommel KP, von Roeder M, Fengler K, et al. Plasma and Cardiac Galectin-3 in Patients With Heart Failure Reflects Both Inflammation and Fibrosis: Implications for Its Use as a Biomarker. *Circ Heart Fail*. 2017;10:e003804.
39. Du XJ, Zhao WB, Nguyen MN, Lu Q, Kiriazis H. beta-Adrenoceptor activation affects galectin-3 as a biomarker and therapeutic target in heart disease. *British Journal Of Pharmacology*. 2019;176:2449-2464.
40. Vereecken P, Heenen M. Serum galectin-3 in advanced melanoma patients: a hypothesis on a possible role in melanoma progression and inflammation. *J Int Med Res*. 2006;34:119-120.
41. Liu FT, Hsu DK. The role of galectin-3 in promotion of the inflammatory response. *Drug News Perspect*. 2007;20:455-460.

Figures

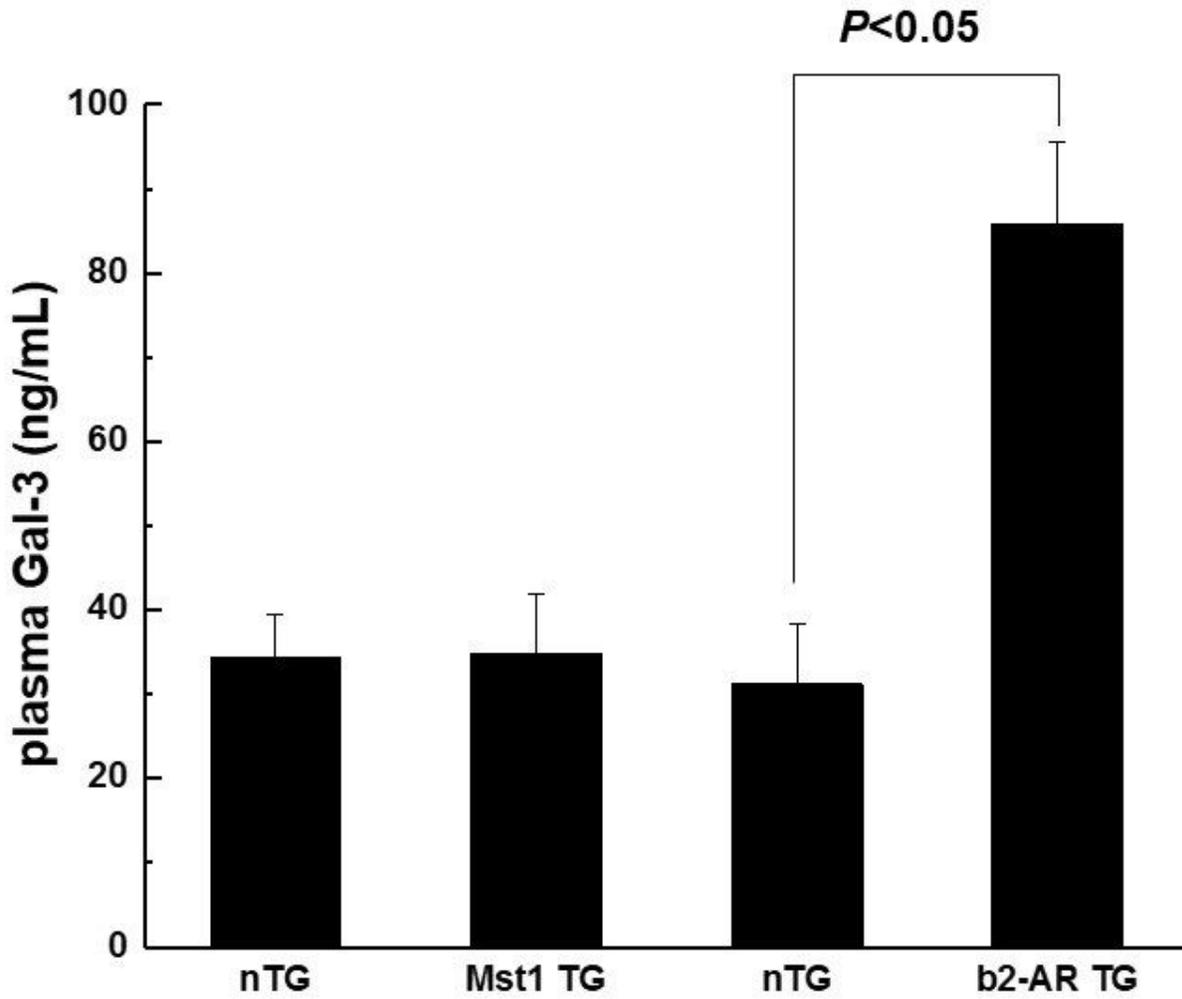


Figure 1

Plasma concentration of Gal-3 from nTG, Mst1-TG, and β 2-AR-TG hearts in mice. Abbreviations are as follows: Gal-3, galectin-3; nTG: non-transgenic mice; b2-AR TG: β 2- adrenergic receptor transgenic; Mst1-TG: Mammalian sterile 20-like kinase 1 transgenic.

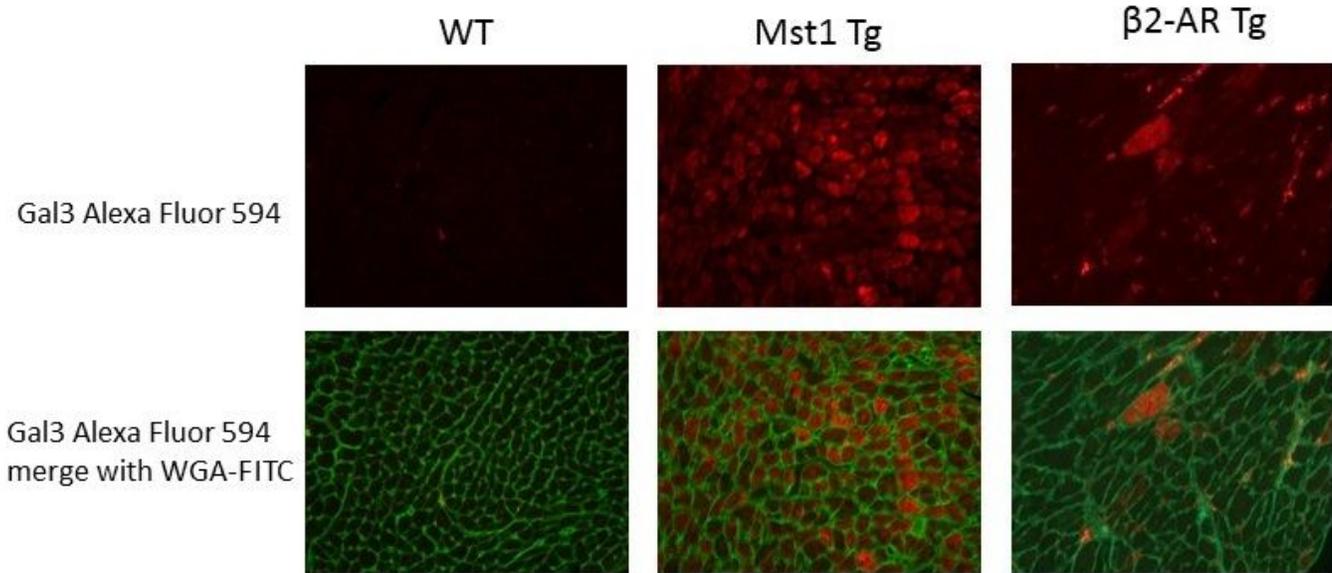


Figure 2

Immunofluorescent staining of LV sections from wild-type, Mst1-Tg, and β 2-AR-Tg hearts in mice. Gal-3 staining: red fluorescence. Merged images also show cell boundaries in green (i.e., wheat-germ agglutinin-FITC staining).

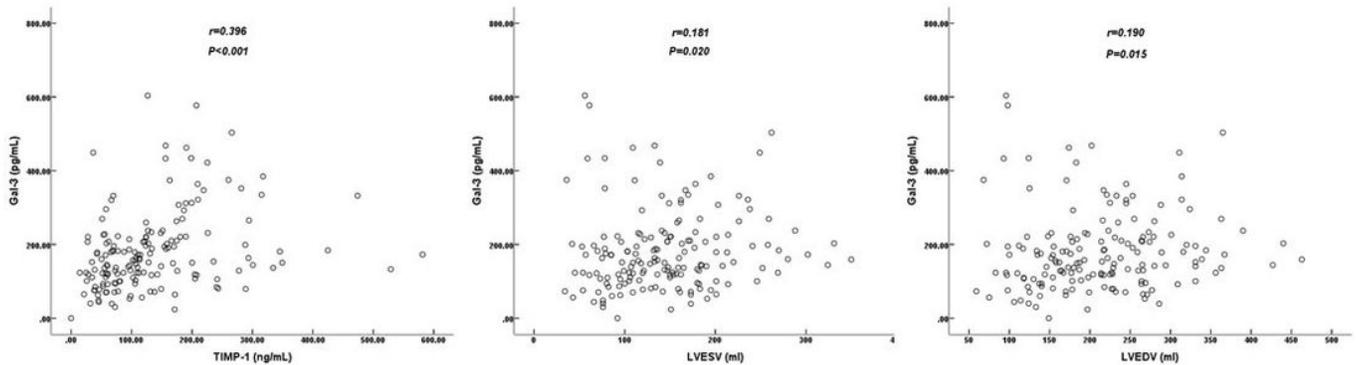


Figure 3

Correlations of serum Gal-3 levels with TIMP-1, LVESV, and LVEDV in HF patients. A) Correlation of Gal-3 with TIMP-1. B) Correlation of Gal-3 with LVESV. C) Correlation of Gal-3 with LVEDV. Abbreviations are as follows: Gal-3, galectin-3; LVESV, left ventricular end systolic volume; LVEDV, left ventricular end diastolic volume.

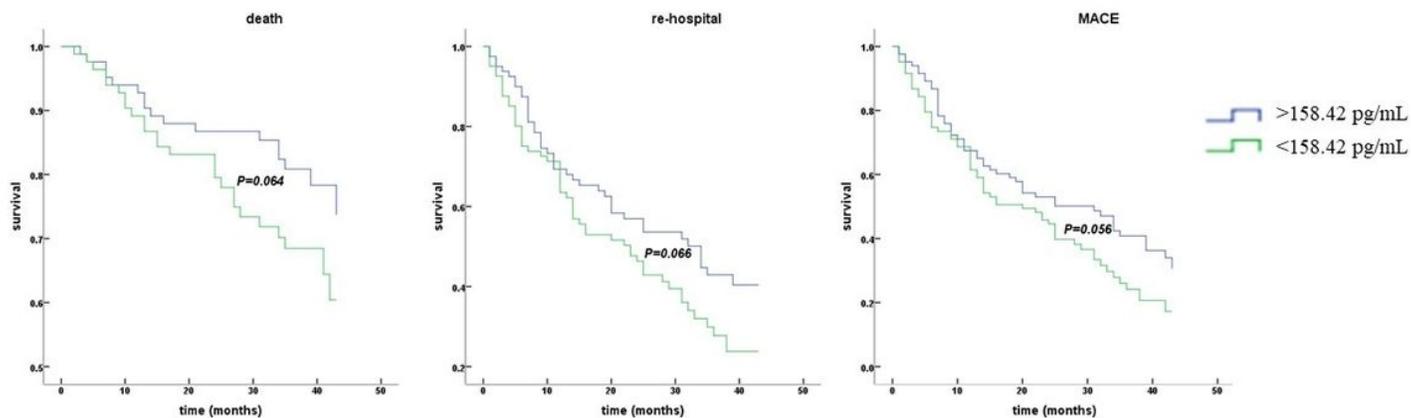


Figure 4

Kaplan–Meier survival curves according to baseline plasma Gal-3 levels in all HF subjects. A) Death rates according to higher of lower baseline plasma Gal-3 levels. B) Re-hospitalization rate according to higher of lower baseline plasma Gal-3 levels. C) Composite-endpoint event rates according to higher of lower baseline plasma Gal-3 levels. Abbreviations are as follows: Gal-3, Galectin-3.

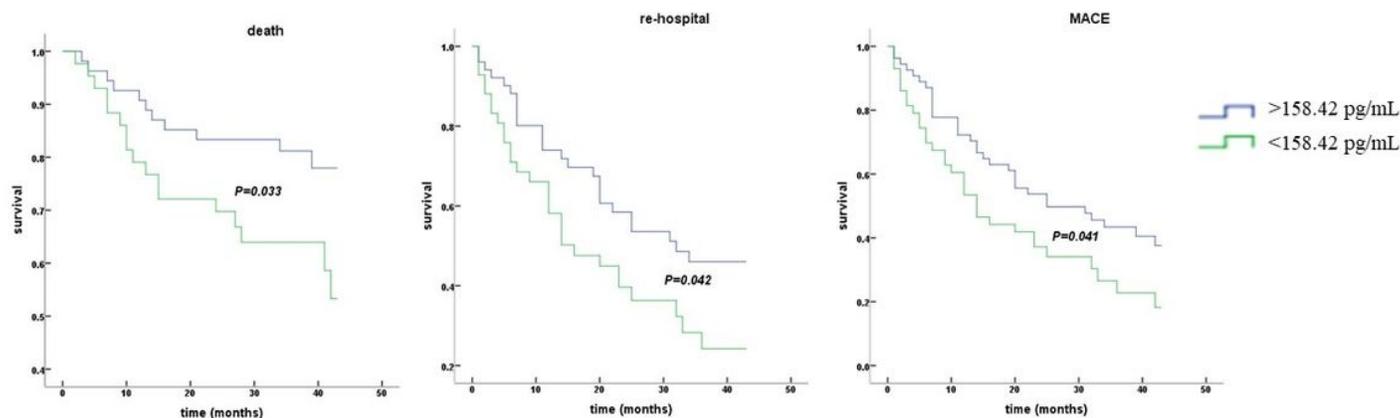


Figure 5

Kaplan–Meier survival curves according to baseline plasma Gal-3 levels in non-CHD subjects. A) Death rates according to higher of lower baseline plasma Gal-3 levels. B) Re-hospitalization rates according to higher of lower baseline plasma Gal-3 levels. C) Composite-endpoint event rates according to higher of lower baseline plasma Gal-3 levels. Abbreviations are as follows: Gal-3, Galectin-3.