

# Post-traumatic stress disorder, anxiety, and care responses among mothers of preterm infants at one month of corrected age after discharge from the neonatal intensive care unit

**Qihui Wang**

Shanghai jiao tong university school of medicine

**Wenyng Gao**

Shanghai jiao tong university school of medicine

**Wenwen Ding**

Sir Run Run Shaw Hospital

**Ying Zhang** (✉ [zhying@shsmu.edu.cn](mailto:zhying@shsmu.edu.cn))

Shanghai jiao tong university school of medicine

---

## Research Article

**Keywords:** Anxiety, Care responses, Preterm infants, Post-traumatic stress disorders

**Posted Date:** March 30th, 2022

**DOI:** <https://doi.org/10.21203/rs.3.rs-1404709/v1>

**License:** © ⓘ This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

---

1 **Post-traumatic stress disorder, anxiety, and care responses among**  
2 **mothers of preterm infants at one month of corrected age after**  
3 **discharge from the neonatal intensive care unit**

4 **Qihui Wang<sup>1</sup>, Wenying Gao<sup>1</sup>, Wenwen Ding<sup>2</sup>, Ying Zhang<sup>1\*</sup>**

5 **Abstract**

6 **Background:** Preterm birth is a worldwide problem that can cause changes in emotions of mothers.  
7 This study aimed to evaluate the effect of maternal anxiety and post-traumatic stress disorder  
8 symptoms on maternal care responses to preterm infants, and whether there is a mediation effect of  
9 maternal distress between preterm clinical status and maternal care responses.

10 **Methods:** This hospital-based longitudinal cohort study included 256 mother-preterm infants who  
11 came to the outpatient clinic for a follow-up check after being discharged from the neonatal intensive  
12 care unit at one month of corrected age. Mothers completed the Post-traumatic Stress Disorder  
13 Questionnaire, the Self-rating Anxiety Scale, and four sub-scales of care responses.

14 **Results:** Significant post-traumatic stress disorder and anxiety were observed in 29.7% and 15.6%,  
15 respectively, of mothers at one month of corrected age and at about 10.83 weeks postpartum. Path  
16 analysis results showed that the final model could be fitted well with sample data( $\chi^2=33.098$ , DF =20,  
17 P=0.033, CFI=0.992, NFI=0.981, RMSEA=0.051, SRMR=0.029). Path analysis showed that care  
18 responses were directly and indirectly affected by preterm infants' clinical status, maternal  
19 post-traumatic stress disorder, and anxiety. Gestational age significantly influenced maternal care  
20 responses.

21 **Conclusion:** This study identified maternal anxiety and PTSD symptoms may significant effects on  
22 maternal care responses to preterm infants at one month of corrected age. To promote the development

23 of preterm infants after discharge from the neonatal intensive care unit, multiple disciplinary  
24 interventions should be developed to improve maternal care responses.

25 **Keywords:** Anxiety, Care responses, Preterm infants, Post-traumatic stress disorders

---

26

27 \*Correspondence: zhying@shsmu.edu.cn

28 1 School of Nursing, Shanghai Jiao Tong University, 227 South Chongqing Road, Building 1, Room  
29 213, Shanghai 200025, China

30 2 Zhejiang University School of Medicine Sir Run Run Shaw Hospital, 3 East Qinchun Road,  
31 Zhejiang 310000, China

32

### 33 **Introduction**

34 Preterm birth is a worldwide problem, with a global incidence of 15 million per year [1].  
35 According to a World Health Organization report of 2019, 14.8 million babies were born  
36 prematurely, with a global average preterm birth rate of 10.6%, and China had more than 1.1  
37 million preterm births, with a rate of 6.9% [2]. Preterm births account for approximate one  
38 million neonatal deaths and one million deaths in children under five years old [3]. Preterm  
39 birth is closely related to neonatal complications, cognitive, motor, and language delays,  
40 behavioral disorders, lower academic performance in early childhood, and it may increase  
41 risks of chronic diseases later in life[4-7]. Furthermore, premature newborns are often cared  
42 for in the neonatal intensive care unit (NICU) and have a high risk of physiological problems  
43 including feeding intolerance, respiratory distress, or weight loss, all of which increase  
44 demands on parents[8, 9]. Meanwhile, this also creates an emotional and economic burden for

45 families [10].

46 Many factors may influence the future growth and development of preterm infants,  
47 including infants' clinical status, such as gestational age, birth weight, or length of NICU stay  
48 (LOS)[11, 12], and maternal characteristics like maternal education [13] and mental health.  
49 Maternal post-traumatic stress disorder (PTSD), as a common morbidity of depression, may  
50 lead to impaired mother-infant interaction [14] and worse fine motor development in preterm  
51 infants [15]. Meanwhile, a study conducted by [16] showed maternal anxiety has negative  
52 effects on breastfeeding, mother-infant interaction, sleep, infant temperament, and  
53 internalizing behavior in later life. In addition, taking care of the NICU preterm infants could  
54 present a great challenge for mothers, despite a family - centered approach being offered at  
55 the NICU [17].

## 56 **Literature review**

57 Premature birth and hospitalization in the NICU are stressful events and may lead to a  
58 series of impacts on the parents, especially mothers. Maternal anxiety and PTSD symptoms  
59 are the most significant emotional changes[18]. A recent systematic review and meta-analysis  
60 reported the rate of anxiety symptoms to be 42% in pregnant women in China [19] and, the  
61 younger the woman, the higher the anxiety on delivery [20]. Another systematic review and  
62 meta-analysis of PTSD reported prevalence rates of 3.3% during pregnancy and a further 4%  
63 of postpartum PTSD, which mainly relates to traumatic events [21]. In China, the prevalence  
64 rates of postpartum PTSD are 6.1% and the risk factors for postpartum PTSD include the  
65 presence of postpartum depression, non-Han ethnicity, and low social support, while having  
66 one child is a protective factor [22]. Post-traumatic stress disorder is associated with increased

67 impaired mother-infant bonding[23], lower rates of breastfeeding[24], and impairment in  
68 maternal ability to detect infant cues[25, 26]. Additionally, some studies have suggested that  
69 gestational age, birth weight, LOS, and maternal education level are factors associated with  
70 maternal emotional distress and interfere with parental ability to respond to infants in ways  
71 that form healthy and nurturing relationships[14, 15].

72 Maternal care responses in this study were based on the application of the Family  
73 Management Style Framework and the Family Management Measure [27]. The Family  
74 Management Style Framework describes key elements of family management related to how  
75 family members define their situation, their management behaviors, and the consequences of  
76 condition management for family life, which were tested for applicability to Chinese culture  
77 [28]. The Family Management Measure is a methodological development of the Family  
78 Management Style Framework and comprises six separately scored scales [29]. Considering  
79 the vulnerability of preterm infants, almost all families declared that the care of a premature  
80 baby required the mother to be always available [30]. Mothers struggled at times with  
81 managing the balance between childcare and daily life. Thus, we used four domains of the  
82 Family Management Measure and called it maternal care responses: Condition Management  
83 Ability (mothers' perception of the overall ability to manage the infant's condition),  
84 Condition Management Effort (the time and work required to manage the condition), Family  
85 Life Difficulty (mothers' perception of how the condition makes family life difficult), and  
86 View of Condition Impact (mothers' perception of the condition's impacts on the future of the  
87 child and family). The latter three variables were combined into one latent variable called  
88 DIFFICULTY, which represents the hard parts of maternal care responses, while Condition

89 Management Ability is the easy aspect of maternal care responses.

90 Maternal PTSD symptoms and anxiety are associated with maternal care responses to  
91 preterm infants[31]. A previous study showed that negative emotions led to poor care in many  
92 aspects, such as care management or sensitivity to exceptional situations[32]. A study by[33]  
93 found that there was a significant correlation between PTSD symptoms and maternal ability  
94 to cope with circumstances following preterm infant birth. A study highlighted by[34] showed  
95 that extreme maternal anxiety was related to care behaviors toward preterm infants and the  
96 quality of the home environment, which may be related to neurodevelopment in preterm  
97 infants.

98 Recent studies reported that the NICU care involvement experience may alter parents'  
99 identity and values because of the stressors related to their infants' fragile condition, different  
100 parental roles, and the NICU environment [17, 35] quantitative data of late preterm infants  
101 showed that maternal care confidence decreased over the first two months, regardless of  
102 whether the mother was depressed. Mothers complained that the first month at home with  
103 their infants was tough. They were unable to breastfeed, bathe, change nappies, or have  
104 proper skin-to-skin contact. As such, supporting parents in the NICU after preterm birth is  
105 vital not only for their own mental health, but also due to potential implications for their  
106 relationship with their infant and subsequent child development [36].

107 A relationship chain linking gestational age, birth weight, LOS, maternal education,  
108 maternal PTSD, anxiety, Conditional Management Ability, Family Life Difficulty, Condition  
109 Management Effort, and View of Condition Impact was derived from the literature (Figure 1).  
110 Evidence suggested that maternal PTSD and anxiety are related to Conditional Management

111 Ability, Family Life Difficulty, Condition Management Effort, and View of Condition Impact.  
112 Moreover, maternal anxiety is interrelated with PTSD[37]. Clinical status of preterm infants  
113 is also linked to maternal PTSD, anxiety, Conditional Management Ability, Family Life  
114 Difficulty, Condition Management Effort, and View of Condition Impact. Although earlier  
115 research has shown that NICU preterm infants increase maternal emotional distress,  
116 postpartum PTSD, or anxiety, which has a negative effect on breastfeeding initiation and  
117 mother-infant interactions, there are limited studies on how maternal emotional factors and  
118 caring responses may be able to mitigate risk and promote positive outcomes in NICU  
119 preterm infants. We need to identify the relationships between maternal PTSD, anxiety, and  
120 caring responses in mothers of preterm infants discharged from NICU.

### 121 **Study aim**

122 This study investigated the interrelationships between gestational age, birth weight, LOS,  
123 maternal education level, maternal PTSD, anxiety, Conditional Management Ability, Family  
124 Life Difficulty, Condition Management Effort, and View of Condition Impact in mothers with  
125 preterm infants after discharge from NICU.

## 126 **Methods**

### 127 **Study design and participants**

128 This longitudinal cohort study was conducted between April 2016 and August 2017 at a  
129 Maternity and Infant Hospital in Shanghai, China. This hospital is a center for critically ill  
130 newborns and prenatal diagnosis. There are approximately 2,000 preterm infant births  
131 annually, with about 600 preterm infants admitted to NICU.

132 Recruitment was performed when preterm infants were hospitalized in the NICU and

133 came back to hospital for a follow-up check. Eligible mothers and preterm infants were  
134 recruited based on the following inclusion criteria: (1) Mothers with an infant who had one of  
135 the following situations: gestational age was less than 34 weeks; birth weight was no more  
136 than 2,000g; existing serious complications (bronchopulmonary dysplasia,  
137 periventricular-intraventricular haemorrhage, sepsis, or neonatal respiratory distress syndrome)  
138 after birth; (2) Mothers and infants attended follow-up growth evaluation at one month of  
139 corrected age at the hospital outpatient clinic; (3) mothers were Chinese-speaking. Exclusion  
140 criteria were as follows: (1) Infants had III – IV grade periventricular-intraventricular  
141 haemorrhage; (2) Infants were transferred or died during NICU stay; (3) mothers and infants  
142 had no medical records in the hospital database. Finally, 256 mother-preterm infants were  
143 included.

#### 144 **Data collection**

145 The data were collected by researchers in our team. Eligible mothers were recruited at  
146 the NICU. The researchers explained the purpose of the study to mothers. Participants were  
147 also informed that they could withdraw from the research whenever they wanted and all  
148 information that they provided would remain confidential. When mothers brought the infants  
149 to the neonatal outpatient unit, they were required to fill out the questionnaires after signing  
150 the informed consent form. The researchers were available to answer any questions they had  
151 and collected the questionnaires.

152 Perinatal characteristics questionnaires were collected from medical records, including  
153 maternal characteristics, such as age, education background, gravidity, and parity, as well as  
154 neonatal characteristics, such as gestational age, sex, birth weight, delivery mode, five-minute

155 Apgar score, and LOS.

156 Maternal care responses were measured using four scales: the Chinese version of the  
157 Condition Management Ability Scale, the Condition Management Difficulty Scale, the  
158 Condition Management Effort Scale, and the View of Condition Impact Scale, which has  
159 been applied to the preterm infants. The fitting indices of the confirmatory factor analysis are:  
160  $\chi^2 = 3214.94$ ,  $DF = 1184$ ,  $\chi^2/DF = 2.72$ ,  $P = 0.01$ ,  $CFI = 0.93$ ,  $NFI = 0.89$ ,  $RMSEA = 0.070$ ,  
161  $SRMR = 0.087$  (DF: Degrees of Freedom; P: Probability; CFI: Comparative Fit Index; NFI:  
162 Normed Fit Index; RMSEA: Root Mean Square Error of Approximation; SRMR:  
163 Standardized Root Mean Square Residual).

164 The Condition Management Ability Scale [38] is a 12-item scale to assess parental  
165 perception of ability to manage the preterm infant's condition. It uses five response options  
166 ranging from 1 (absolutely disagree) to 5 (absolutely agree). Total scores range from 12 to 60.  
167 Higher scores indicate that the condition is viewed as more readily manageable. Cronbach's  
168 alpha in this sample was 0.73.

169 The Family Life Difficulty Scale [38] is a 14-item scale for mothers to show perception  
170 of the degree that their life is made difficult when caring for a preterm infant. It uses five  
171 response options ranging from 1 (absolutely disagree) to 5 (absolutely agree). Total scores  
172 range from 14 to 70. Higher scores indicate more difficulty in dealing with the condition.  
173 Cronbach's alpha in this sample was 0.81.

174 The Condition Management Effort Scale [38] is a four-item scale to address maternal  
175 perception of the time and work required to manage the preterm infant's condition. It uses  
176 five response options ranging from 1 (absolutely disagree) to 5 (absolutely agree). Total

177 scores range from four to 20. Higher scores indicate more time and work needed to manage  
178 the condition. Cronbach's alpha in this sample was 0.62.

179 The View of Condition Impact Scale [38] is a 10-item scale to address maternal  
180 perception of the seriousness of their infant's condition and its implications for the future. It  
181 uses five response options ranging from 1 (absolutely disagree) to 5 (absolutely agree). Total  
182 scores range from 10 to 40. Higher scores indicate a higher level of concern about the  
183 condition. Cronbach's alpha in this sample was 0.61.

184 The level of post-traumatic stress disorder symptoms was assessed using the Chinese  
185 version of the Post-traumatic Stress Disorder Questionnaire, which has 14 'yes' or 'no' items,  
186 each scoring 1 or 0. Total scores range from 0 to 14. Total scores of more than five are  
187 defined as post-traumatic stress disorder. The Cronbach 's alpha was 0.83 [39].

188 Maternal anxiety was assessed using the Chinese version of the Self-Rating Anxiety  
189 Scale [40], which contains 20 items. Each item is rated on a four-point scale ranging from 1  
190 (never) to 4 (very often). The raw scores are the sum of the item scores. Total scores equal  
191 1.25 times the raw scores and ranges from 25 to 100. Total scores more than 49 are defined as  
192 maternal postpartum anxiety. Higher scores indicate higher levels of anxiety. Cronbach's  
193 alpha in this sample was 0.82.

#### 194 **Statistical analysis**

195 Descriptive statistics and bivariate correlations among variables were examined using  
196 SPSS version 24.0. To examine the direct and indirect relationships between gestational age,  
197 birth weight, LOS, maternal education, maternal PTSD, anxiety, Conditional Management  
198 Ability, Family Life Difficulty, Condition Management Effort, and View of Condition Impact

199 simultaneously, path analysis was performed using Amos 23.0. The testing model was based  
200 on the hypothesis model (Figure 1), also depending on the recommended modification indices  
201 to improve parsimony. The Chi-square ( $\chi^2$ ), SRMR, RMSEA, CFI, and NFI were used as fit  
202 indices. Values for SRMR ranged from 0 to 1, with well-fitted models achieving values <0.05.  
203 The CFI and NFI values that were higher than 0.90 and the RMSEA values that were lower  
204 than 0.06 indicated excellent fit. Results corresponding to  $p < 0.05$  were considered statistically  
205 significant. Standardized regression weights were used to estimate direct associations. The  
206 significance of indirect effects were determined by examining the bias-corrected bootstrap  
207 confidence intervals using 5,000 bootstrap samples [41].

208

209 **Figure 1.** The hypothetical model of interrelationships of variables in mothers of preterm infants

210 *LOS*, length of stay; *PTSD*, post-traumatic stress disorder; *CMA*, Condition Management Ability; *FLD*,  
211 Family life difficulty; *CME*, Condition Management Effort; *VCI*, View of Condition Impact; *CMA*,  
212 *FLD*, *CME* and *VCI* may affect each other.

213

## 214 **Ethical considerations**

215 This study was approved by the Research Ethics Committee of the School of Nursing and  
216 Public Health, Shanghai Jiao Tong University (number: 2016027). All mothers of preterm  
217 infants were provided informed consent and their anonymity was preserved.

## 218 **Results**

### 219 **Sample characteristics**

220 A total of 265 eligible mothers were recruited during the research. One mother did not

221 complete all the questionnaire and eight preterm infants' gestational age or birth weight  
222 records could not be found. Finally, 256 participants (97%) were included, with  
223 characteristics of dyads presented in Table 1. The average time after birth was (10.83±2.81)  
224 weeks. Additionally, 15.6% of mothers demonstrated clinically significant levels of anxiety,  
225 and PTSD symptoms were observed in 29.7% of mothers. The average scores of Conditional  
226 Management Ability, Family Life Difficulty, Condition Management Effort, and View of  
227 Condition Impact were 41.05±6.96, 36.22±10.26, 12.17±3.10, and 27.10±5.90, respectively,  
228 which were all in the moderate level.

229

230 **Table 1.** Description of maternal demographic information and infants' clinical status (N=256)

231

232 **Correlations among the infants' clinical status, maternal emotional distress, and care**  
233 **responses**

234 As we can see in Table 2, maternal education had no significant correlation with any of  
235 the variables we were interested in, while the rest of the variables were all significantly  
236 correlated, positively or negatively.

237

238 **Table 2.** Descriptive statistics and correlations for infants' clinical status, maternal emotional distress  
239 and care responses (N=256)

240

241 **Pathways among clinical status, maternal PTSD, anxiety, and care responses at one**  
242 **month of corrected age.**

243 The final path analysis model with standardized parameter estimates was shown in  
244 Figure 2. We only tested paths between variables that were significantly relevant in  
245 correlation analysis. The results showed that gestational age had a significant negative impact  
246 on maternal PTSD, anxiety, LOS, and DIFFICULTY, but a significant positive impact on  
247 birth weight and Conditional Management Ability. Lower birth weight predicted longer LOS  
248 and longer LOS predicted higher Conditional Management Ability.  
249 Post-traumatic-stress-disorder symptoms were positively associated with maternal anxiety,  
250 while being negatively associated with Conditional Management Ability. Higher maternal  
251 anxiety was related to lower Condition Management Ability and higher DIFFICULTY.

252

253 **Figure 2.** Final path analysis model (coefficients are standardized parameter estimates)

254 *LOS*, length of stay; *PTSD*, post-traumatic stress disorder; *CMA*, Condition Management Ability; *FLD*,  
255 Family life difficulty; *CME*, Condition Management Effort; *VCI*, View of Condition Impact; \*  $p < .05$ ,  
256 \*\* $p < .01$ .

257

258 To examine whether the mediation effects exist, bootstrapping was conducted. The  
259 results of the indirect effects of the overall model were presented with point estimate,  
260 standard errors, Sobel  $Z(Z)$ , percentile 95% confidence interval (CI) and bias-corrected  
261 percentile 95% CI of bootstrapping. As we see in Table 3, the model found 23 statistically  
262 significant mediation effects.

263

264 **Table 3.** indirect effects for overall model from infants' clinical status, maternal emotional distress to

265 care responses

266

267 The fitting indices of the final model were shown as follows:  $\chi^2=33.098$ ,  $DF =20$ ,  
268  $P=0.033$ ,  $CFI=0.992$ ,  $NFI=0.981$ ,  $RMSEA=0.051$ ,  $SRMR= 0.029$ , indicating that the model  
269 fitted well with the data. The final model accounted for 34% and 77% of the total variance of  
270 Condition Management Ability and DIFFICULTY, respectively[23], and was used to  
271 examine the pathways between clinical status of premature infants hospitalized in the NICU,  
272 maternal PTSD, anxiety, and care responses. We found that maternal care responses are  
273 directly and indirectly affected by preterm infant clinical status, maternal anxiety, and PTSD.

## 274 **Discussion**

275 The aim of this study was to examine the pathways between clinical status of premature  
276 infants hospitalized in NICU, maternal PTSD, anxiety and care responses. We found that  
277 maternal care responses are directly and indirectly affected by preterm infant clinical status,  
278 maternal anxiety and PTSD.

### 279 **The interrelationship among preterm infants' clinical status and maternal PTSD and** 280 **anxiety**

281 Findings of relationships between gestational age, birth weight, and LOS in this  
282 population were consistent with literature that suggested that LOS was inversely proportional  
283 to gestational age and birth weight[42]. We found that mothers with PTSD were also more  
284 vulnerable to anxiety, which was in agreement with prior studies [43, 44].

285 It must be noted that gestational age, birth weight, and LOS are strongly associated with  
286 PTSD symptoms, which were the most important predictors of maternal anxiety in our final

287 model. Several studies have shown that PTSD, anxiety, depression are highly comorbid[45] ,  
288 and birth-related PTSD may reflect the continuation of non-specific symptoms, such as  
289 hyper-arousal[46] or causing anxiety[47]. These suggest that maternal PTSD may have  
290 further adverse effects on mental health of mothers. Besides, The impact of birth weight and  
291 LOS on maternal PTSD symptoms and anxiety may be overshadowed. This finding may  
292 indicate the importance of minimizing environmental stressors and supporting mothers with  
293 an infant born at a lower gestational age, such as having a respected birth plan, performing  
294 skin-to-skin contact with the newborn[48].

295 **The interrelationship among preterm infants' clinical status and four aspects of**  
296 **maternal care responses**

297 The main result concerning maternal care responses was that Condition Management  
298 Ability is an important mediator between gestational age and DIFFICULTY, while LOS plays  
299 the major role in the indirect relationship between gestational age and Condition Management  
300 Effort if only taking infants' clinical status into account.

301 Caring for a premature infant is a process requiring continuous learning and adaptation.  
302 As mothers strengthen their ability by participating in caring practice, they will become more  
303 familiar with infants' needs and learn to decode infants' signals, thereby gaining confidence  
304 and assuming increased levels of responsibility for the infant [30, 49]. It is evident that an  
305 emphasis on strengthening parental empowerment and parent-child interaction should begin  
306 as early as possible. A "normal" family can promote the parent-child relationship and can  
307 assist with earlier adaptation to the crisis situation that premature birth may create[50, 51].

308 The growth of ability can also improve the cognition of mothers to premature infants. In fact,

309 mothers who treat premature infants as more vulnerable can render these infants to go through  
310 more difficulties in development [52-54]. Principles of Family-centred Care (2012) include  
311 respect and recognition of each family's unique needs, resources, and skills. This begins with  
312 parental involvement. Through participating in the nurse-led intervention programs, the  
313 family strengthens the caring ability, accumulates coping experience, clarify needs and values  
314 in caring for a preterm infant to help them achieve family well-being[55]. Thus, it may be  
315 important for maternal and neonatal healthcare to note the assessments of care responses and  
316 develop related interventions, e.g. the Primary Care Triple P Program [56] or  
317 mindfulness-based interventions [57, 58] or Cognitive Behavioral Therapy[59] that can  
318 potentially improve maternal cognition concerning preterm birth and help modifying thoughts  
319 and cognitive distortions , while changing behavioral patterns that maintain distress and  
320 recovering sensitive to their infant's condition.

321 The study also demonstrated that LOS significantly influenced Family Life Difficulty,  
322 View of Condition Impact, and Condition Management Effort negatively, but positively  
323 affected Conditional Management Ability. Concerning about the average of length of stay  
324 was 25.54 days in this research which indicates most of the preterm infants maybe in a stable  
325 situation, the relationships among LOS and Conditional Management Ability, Family Life  
326 Difficulty, View of Condition Impact, and Condition Management Effort might be affected  
327 by maternal subjective feelings. Some mothers believe that the longer the infant stays in  
328 hospital, the better their physical condition will be at discharge, making infant care easier  
329 after discharge [60]. Moreover, during hospitalization of infants, mothers can successfully  
330 receive support from professionals, which might strengthen their ability to manage an infant's

331 condition[61]. Furthermore, previous research found that LOS influenced the development of  
332 essential caregiving relationships and opportunities for parents and infants to develop  
333 coregulatory strategies to deal with care tasks [30]. As most preterm infants are hospitalized  
334 after birth, postpartum mothers may lack a sense of ownership of their infants and feel they  
335 cannot develop a bond with the infant or they are not involved in their care sufficiently. Thus,  
336 a longer hospitalization time may lead to less attention from parents when caring for their  
337 infants and mothers may have a distorted understanding of their abilities and daily lives.

338 **The mediation effects of anxiety and PTSD between gestational age and maternal care**  
339 **responses.**

340 The current study suggested that both maternal PTSD and anxiety are associated with  
341 Conditional Management Ability and DIFFICULTY, directly or indirectly. We also found  
342 that anxiety and PTSD have mediation effects on the relationships between infants'  
343 gestational age and maternal care responses.

344 This requires a shift in neonatal nursing practice, moving the nurses' role away from a  
345 mostly caregiving role of the infant to one focussing on the teaching and support of parents.  
346 The effect of this has resulted in lower levels of parental stress and anxiety[54].

347 The average gestational age of our preterm infants was 33 weeks; however, mortality  
348 and rehospitalization within the first year of life increase with decreasing gestational age,  
349 particularly for infants of less than 33 weeks gestational age [62]. Cumulative stressful care  
350 tasks may influence maternal ongoing emotion and contribute to concern and perceptions  
351 about their child. These findings are consistent with prior studies that demonstrated the  
352 importance of maternal mental health on caring for preterm infants[63, 64].

353 The negative relationship between maternal anxiety, PTSD and Condition Management  
354 Ability may be attributed to the problem of mother-infant relationship establishment. As early  
355 mother-infant interactions are affected by prematurity, as well as maternal mental health,  
356 mothers with mental health problems fear or even avoid attachment with preterm infants [63].  
357 However, positive attachment was one of the most important sources for mothers in  
358 developing knowledge and the ability to manage a child's condition [30]. Negative  
359 mother-infant interaction influences the caring ability of mothers with preterm infants.  
360 Additionally, evidence has shown that anxious mothers appear to be biased towards emotional  
361 expressions in infant faces, rating neutral infant faces as being sad and sad faces as being  
362 sadder, while PTSD may also affect mothers' processing of emotion [65, 66]. All these  
363 negative mother-infant interactions caused by maternal mood disorders decreased the caring  
364 ability of mothers. Therefore, a higher level of maternal anxiety and post-traumatic stress  
365 disorder may lead to lower Conditional Management Ability.

366 Moreover, this study showed that higher levels of maternal anxiety and PTSD play an  
367 important role in predicting higher DIFFICULTY. The relationships between variables can be  
368 explained by two main factors. The anxiety and PTSD of caregivers may affect the  
369 relationships within the family, and even lead to family conflicts and disputes. However,  
370 Infants involved in this study were all preterm who needed daily constant attention from  
371 caregivers, especially mothers, to manage daily feeding, bathing, and to ensure a comfortable  
372 environment. Thus a worsening family environment may not only affect infant development  
373 [67, 68], but it may also increase parental time commitment and workload in caring for their  
374 infants [69]. Besides, higher levels of maternal anxiety are associated with higher View of

375 Condition Impact. Due to the specific needs of premature infants, mothers always think that  
376 their infants are fragile and need to receive extra care compared to term infants, as most could  
377 easily die if not well cared for[64]. The growth of ability can also improve the cognition of  
378 mothers to premature infants. In fact, mothers who treat premature infants as more vulnerable  
379 can render these infants to go through more difficulties in development[70].

380 We recognize several limitations in our analysis. First, all participants were recruited from the  
381 exclusive NICU setting, which may affect the representation and perhaps does afford an exact  
382 assessment of the causality between variables. Second, although we employed the most  
383 common used measure of anxiety and PTSD, report bias may arise when relying on  
384 self-reported methods. Finally, there may remain some unobserved factor that could affect the  
385 results. Considering the above, further studies with a larger and more diverse sample are  
386 needed.

### 387 **Implications and recommendations**

388 The results of this study suggest that neonatal nurses should pay more attention to the  
389 changes in parents' emotions to preterm infants during the follow-up appointments. The  
390 follow-up period offers a perfect opportunity for midwives, pediatricians, neonatal nurses,  
391 and clinical psychologists to work together to contact mothers and detect their emotional  
392 distress. Carrying out interventions of parents' emotions as early as possible to enhance  
393 maternal care responses in premature infants is of great significance. This research assists the  
394 development of an organizational follow-up service for mothers with preterm infants  
395 discharged from NICU, and it also highlights the need for mental health training for  
396 NICU-related healthcare providers.

397 **Conclusions**

398 Owing to the importance of maternal care behaviors and perceptions on the development  
399 of preterm infants, the factors influencing care responses, as well as their relationships and  
400 pathways, should be a public health priority. Our findings add to the existing literature,  
401 showing that maternal anxiety and PTSD symptoms may have significant effects on maternal  
402 care responses to preterm infants at one month of corrected age discharged from NICU, and  
403 present a new finding that there may be a significant mediation effect of maternal distress  
404 between preterm clinical status and maternal care responses.

405 **Abbreviations**

406 NICU:the Neonatal Intensive Care Unit;LOS:Length Of NICU Stay;PTSD:Post-Traumatic  
407 Stress Disorder;DF:Degrees of Freedom;P:Probability;CFI:Comparative Fit Index;  
408 NFI:Normed Fit Index; RMSEA:Root Mean Square Error of Approximation;  
409 SRMR:Standardized Root Mean Square Residual;CMA:Condition Management  
410 Ability;FLD:Family Life Difficulty;CME:Condition Management Effort;View of Condition  
411 Impact;VCI;GA:Gestational Age.

412 **Acknowledgements**

413 We would like to express our gratitude to all mothers for participating in this study and the  
414 hospital for cooperation.

415 **Authors' contributions**

416 Study design: Wenwen Ding, Ying Zhang

417 Data collection: Wenwen Ding, Wenying Gao

418 Data analysis: Qihui Wang, Wenying Gao, Ying Zhang

419 Manuscript writing: Qihui Wang, Wenying Gao, Ying Zhang

#### 420 **Funding**

421 This study is supported by a research project from the Shanghai Municipal Health  
422 Commission (No.2020040024), Nursing Development Program from Shanghai Jiao Tong  
423 University School of Medicine, and Pilot Educational Project for Application-Oriented  
424 Nursing Baccalaureate Program (HLDC21-06)

#### 425 **Availability of data and materials**

426 The datasets used and analyzed during the current study are in Chinese language and are not  
427 publicly available due to confidentiality of the participants, but are available from the  
428 corresponding authors upon official request.

#### 429 **Declarations**

#### 430 **Ethics approval and consent to participate**

431 This study was approved by the Research Ethics Committee of the School of Nursing and  
432 Public Health, Shanghai Jiao Tong University (number: 2016027), and all methods were  
433 performed in accordance with the relevant guidelines and regulations. All mothers of preterm  
434 infants were provided informed consent and their anonymity was preserved.

#### 435 **Consent for publication**

436 Consent for publication is not applicable for this paper of review.

#### 437 **Competing interests**

438 The authors declare that they have no competing interest.

#### 439 **Author details**

440 <sup>1</sup> School of Nursing, Shanghai Jiao Tong University, 227 South Chongqing Road, Building

441 1, Room 213, Shanghai 200025, China.<sup>2</sup>Zhejiang University School of Medicine Sir Run Run  
442 Shaw Hospital, 3 East Qinchun Road, Zhejiang 310000, China.

#### 443 **References**

- 444 1. Chawanpaiboon, S., et al., Global, regional, and national estimates of levels of  
445 preterm birth in 2014: a systematic review and modelling analysis. *The Lancet Global*  
446 *Health*, 2019. 7(1): p. e37-e46.
- 447 2. Chen, C., et al., Preterm birth in China between 2015 and 2016. *American journal of*  
448 *public health*, 2019. 109(11): p. 1597-1604.
- 449 3. Walani, S.R., Global burden of preterm birth. *International Journal of Gynecology &*  
450 *Obstetrics*, 2020. 150(1): p. 31-33.
- 451 4. Allotey, J., et al., Cognitive, motor, behavioural and academic performances of  
452 children born preterm: a meta - analysis and systematic review involving 64 061  
453 children. *BJOG: An International Journal of Obstetrics & Gynaecology*, 2018. 125(1):  
454 p. 16-25.
- 455 5. Brydges, C.R., et al., Cognitive outcomes in children and adolescents born very  
456 preterm: a meta-analysis. *Dev Med Child Neurol*, 2018. 60(5): p. 452-468.
- 457 6. Loeb, D.F., et al., Language, Motor, and Cognitive Outcomes of Toddlers Who Were  
458 Born Preterm. *Am J Speech Lang Pathol*, 2020. 29(2): p. 625-637.
- 459 7. Pravia, C.I. and M. Benny, Long-term consequences of prematurity. *Cleve Clin J Med*,  
460 2020. 87(12): p. 759-767.
- 461 8. Lapillonne, A., et al., Feeding the late and moderately preterm infant: a position paper  
462 of the European Society for Paediatric Gastroenterology, Hepatology and Nutrition

- 463 Committee on Nutrition. *Journal of pediatric gastroenterology and nutrition*, 2019.  
464 69(2): p. 259-270.
- 465 9. McDonald, S.W., et al., A comparison between late preterm and term infants on  
466 breastfeeding and maternal mental health. *Maternal and child health journal*, 2013.  
467 17(8): p. 1468-1477.
- 468 10. Sharma, D. and S. Murki, Making neonatal intensive care: cost effective. *The Journal*  
469 *of Maternal-Fetal & Neonatal Medicine*, 2021. 34(14): p. 2375-2383.
- 470 11. Pascal, A., et al., Neurodevelopmental outcome in very preterm and  
471 very-low-birthweight infants born over the past decade: a meta-analytic review.  
472 *Developmental medicine and child neurology*, 2018. 60(4): p. 342-355.
- 473 12. Santos, J., S.E. Pearce, and A. Stroustrup, Impact of hospital-based environmental  
474 exposures on neurodevelopmental outcomes of preterm infants. *Current opinion in*  
475 *pediatrics*, 2015. 27(2): p. 254.
- 476 13. Asztalos, E.V., et al., Association between Primary Caregiver Education and Cognitive  
477 and Language Development of Preterm Neonates. *Am J Perinatol*, 2017. 34(4): p.  
478 364-371.
- 479 14. Cook, N., S. Ayers, and A. Horsch, Maternal posttraumatic stress disorder during the  
480 perinatal period and child outcomes: A systematic review. *Journal of affective*  
481 *disorders*, 2018. 225: p. 18-31.
- 482 15. Greene, M.M., et al., Elevated maternal anxiety in the NICU predicts worse fine motor  
483 outcome in VLBW infants. *Early human development*, 2018. 116: p. 33-39.
- 484 16. Field, T., Postnatal anxiety prevalence, predictors and effects on development: A

- 485 narrative review. *Infant behavior & development*, 2018. 51: p. 24-32.
- 486 17. Lundqvist, P., J. Weis, and B. Sivberg, Parents' journey caring for a preterm infant  
487 until discharge from hospital-based neonatal home care-A challenging process to  
488 cope with. *Journal of clinical nursing*, 2019. 28(15-16): p. 2966-2978.
- 489 18. de Paula Eduardo, J.A.F., et al., Preterm birth as a risk factor for postpartum  
490 depression: A systematic review and meta-analysis. *J Affect Disord*, 2019. 259: p.  
491 392-403.
- 492 19. Fan, S., et al., Psychological effects caused by COVID-19 pandemic on pregnant  
493 women: A systematic review with meta-analysis. *Asian J Psychiatr*, 2021. 56: p.  
494 102533.
- 495 20. Taubman-Ben-Ari, O., et al., Distress and anxiety associated with COVID-19 among  
496 Jewish and Arab pregnant women in Israel. *Journal of reproductive and infant  
497 psychology*, 2020. 38(3): p. 340-348.
- 498 21. Yildiz, P.D., S. Ayers, and L. Phillips, The prevalence of posttraumatic stress disorder  
499 in pregnancy and after birth: A systematic review and meta-analysis. *Journal of  
500 affective disorders*, 2017. 208: p. 634-645.
- 501 22. Liu, Y., et al., Postpartum depression and postpartum post-traumatic stress disorder:  
502 prevalence and associated factors. *BMC Psychiatry*, 2021. 21(1): p. 487.
- 503 23. Radoš, S.N., et al., The role of posttraumatic stress and depression symptoms in  
504 mother-infant bonding. *J Affect Disord*, 2020. 268: p. 134-140.
- 505 24. Garthus-Niegel, S., et al., The influence of postpartum PTSD on breastfeeding: A  
506 longitudinal population-based study. *Birth*, 2018. 45(2): p. 193-201.

- 507 25. Erickson, N., M. Julian, and M. Muzik, Perinatal depression, PTSD, and trauma:  
508 Impact on mother-infant attachment and interventions to mitigate the transmission of  
509 risk. *Int Rev Psychiatry*, 2019. 31(3): p. 245-263.
- 510 26. Garthus-Niegel, S., et al., The impact of postpartum post-traumatic stress disorder  
511 symptoms on child development: a population-based, 2-year follow-up study.  
512 *Psychological medicine*, 2017. 47(1): p. 161-170.
- 513 27. Knafl, K.A., J.A. Deatrlick, and N.L. Havill, Continued development of the family  
514 management style framework. *Journal of Family Nursing*, 2012. 18(1): p. 11-34.
- 515 28. Zhang, Y., et al., Testing the applicability of the family management style framework  
516 to Chinese families. *Western journal of nursing research*, 2013. 35(7): p. 920-942.
- 517 29. Knafl, K.A., et al., Tracing the Use of the Family Management Framework and  
518 Measure: A Scoping Review. *J Fam Nurs*, 2021. 27(2): p. 87-106.
- 519 30. Swanson, V., et al., Developing maternal self-efficacy for feeding preterm babies in  
520 the neonatal unit. *Qualitative Health Research*, 2012. 22(10): p. 1369-1382.
- 521 31. Tallandini, M.A., et al., Systematic and meta-analytic review: triggering agents of  
522 parental perception of child's vulnerability in instances of preterm birth. *Journal of*  
523 *pediatric psychology*, 2015. 40(6): p. 545-553.
- 524 32. Hoffenkamp, H.N., et al., Parenting in Complex Conditions: Does Preterm Birth  
525 Provide a Context for the Development of Less Optimal Parental Behavior? *Journal of*  
526 *pediatric psychology*, 2015. 40(6): p. 559-571.
- 527 33. Ghorbani, M., et al., Factors associated with posttraumatic stress disorder and its  
528 coping styles in parents of preterm and full-term infants. *Global journal of health*

- 529 science, 2014. 6(3): p. 65-73.
- 530 34. Santos Jr, H., et al., Relationship of maternal psychological distress classes to later  
531 mother–infant interaction, home environment, and infant development in preterm  
532 infants. *Research in nursing & health*, 2016. 39(3): p. 175-186.
- 533 35. Spinelli, M., et al., ‘I still have difficulties feeling like a mother’: The transition to  
534 motherhood of preterm infants mothers. *Psychology & health*, 2016. 31(2): p.  
535 184-204.
- 536 36. Treyvaud, K., et al., A multilayered approach is needed in the NICU to support parents  
537 after the preterm birth of their infant. *Early human development*, 2019. 139: p.  
538 104838.
- 539 37. Holditch-Davis, D., et al., Patterns of psychological distress in mothers of preterm  
540 infants. *Infant behavior & development*, 2015. 41: p. 154-163.
- 541 38. Zhang, Y. and M. Wei, Validity and reliability of the Chinese version of family  
542 management measure. *Chinese Journal of Practical Nursing*, 2009. 25(14): p. 19-22.
- 543 39. Feeley, N., et al., Posttraumatic stress among mothers of very low birthweight infants  
544 at 6 months after discharge from the neonatal intensive care unit. *Applied nursing  
545 research : ANR*, 2011. 24(2): p. 114-117.
- 546 40. Wang, Z. and Y. Chi, Chinese version of Zung's self-rating anxiety scale. *J Shanghai  
547 Psychiatry*, 1984. 2(2): p. 73-74.
- 548 41. Hayes, A.F., Beyond Baron and Kenny: Statistical mediation analysis in the new  
549 millennium. *Communication monographs*, 2009. 76(4): p. 408-420.
- 550 42. Sahiledengle, B., et al., Which factors predict hospital length-of-stay for children

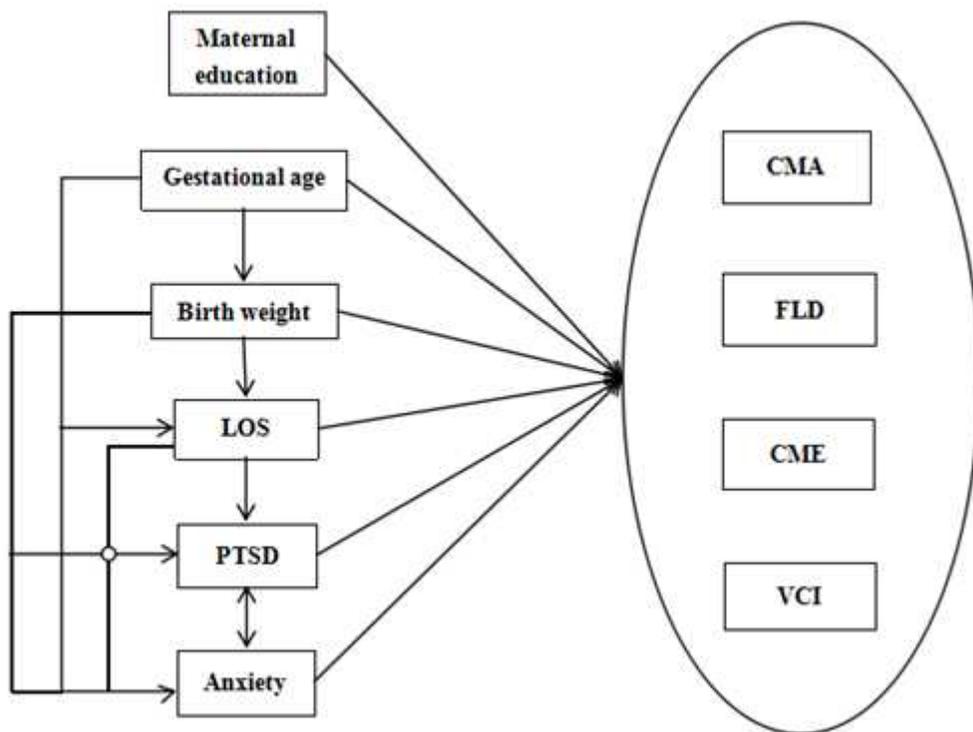
- 551 admitted to the neonatal intensive care unit and pediatric ward? A hospital-based  
552 prospective study. *Global Pediatric Health*, 2020. 7: p. 2333794X20968715.
- 553 43. Dikmen-Yildiz, P., S. Ayers, and L. Phillips, Factors associated with post-traumatic  
554 stress symptoms (PTSS) 4-6 weeks and 6 months after birth: A longitudinal  
555 population-based study. *Journal of affective disorders*, 2017. 221: p. 238-245.
- 556 44. Türkmen, H., H. Yalniz Dilcen, and F.A. Özçoban, Traumatic childbirth perception  
557 during pregnancy and the postpartum period and its postnatal mental health  
558 outcomes: a prospective longitudinal study. *Journal of Reproductive and Infant  
559 Psychology*, 2021. 39(4): p. 422-434.
- 560 45. Agius, A., et al., The co-existence of depression, anxiety and post-traumatic stress  
561 symptoms in the perinatal period: a systematic review. *Midwifery*, 2016. 36: p. 70-79.
- 562 46. Giakoumaki, O., et al., The role of maternal anxiety in the early postpartum period:  
563 screening for anxiety and depressive symptomatology in Greece. *Journal of  
564 Psychosomatic Obstetrics & Gynecology*, 2009. 30(1): p. 21-28.
- 565 47. Malouf, R., et al., Prevalence of anxiety and post-traumatic stress (PTS) among the  
566 parents of babies admitted to neonatal units: A systematic review and meta-analysis.  
567 *EClinicalMedicine*, 2022. 43: p. 101233.
- 568 48. Hernández-Martínez, A., et al., Postpartum post-traumatic stress disorder: associated  
569 perinatal factors and quality of life. *Journal of affective disorders*, 2019. 249: p.  
570 143-150.
- 571 49. Cheng, Y.C., et al., The effectiveness of learning portfolios in learning participation  
572 and learners' perceptions of skills and confidence in the mother of preterm infant.

- 573 Midwifery, 2018. 62: p. 86-91.
- 574 50. Adama, E.A., S. Bayes, and D. Sundin, Parents' experiences of caring for preterm  
575 infants after discharge with grandmothers as their main support. *J Clin Nurs*, 2018.  
576 27(17-18): p. 3377-3386.
- 577 51. Dellenmark-Blom, M. and H. Wigert, Parents' experiences with neonatal home care  
578 following initial care in the neonatal intensive care unit: a phenomenological  
579 hermeneutical interview study. *Journal of advanced nursing*, 2014. 70(3): p. 575-586.
- 580 52. Cipolletta, S., V. Marchesin, and F. Benini, Family Functioning as a Constituent  
581 Aspect of a Child's Chronic Illness. *Journal of pediatric nursing*, 2015. 30(6): p.  
582 e19-e28.
- 583 53. Sisk, P., et al., Breast milk expression and maintenance in mothers of very low birth  
584 weight infants: supports and barriers. *Journal of Human Lactation*, 2010. 26(4): p.  
585 368-375.
- 586 54. O'Brien, K., et al., Effectiveness of family integrated care in neonatal intensive care  
587 units on infant and parent outcomes: a multicentre, multinational, cluster-randomised  
588 controlled trial. *The Lancet Child & Adolescent Health*, 2018. 2(4): p. 245-254.
- 589 55. Lundqvist, P., J. Weis, and B. Sivberg, Parents' journey caring for a preterm infant  
590 until discharge from hospital-based neonatal home care-A challenging process to  
591 cope with. *J Clin Nurs*, 2019. 28(15-16): p. 2966-2978.
- 592 56. Schappin, R., et al., Brief parenting intervention for parents of NICU graduates: a  
593 randomized, clinical trial of Primary Care Triple P. *BMC pediatrics*, 2013. 13(1): p. 1-9.
- 594 57. Gómez-Sánchez, L., et al., [Benefits of mindfulness in pregnant women]. *Medicina (B*

- 595 Aires), 2020. 80 Suppl 2: p. 47-52.
- 596 58. Pan, W.-L., et al., Mindfulness-based programme on the psychological health of  
597 pregnant women. *Women and Birth*, 2019. 32(1): p. e102-e109.
- 598 59. Li, X., et al., Effectiveness of cognitive behavioral therapy for perinatal maternal  
599 depression, anxiety and stress: A systematic review and meta-analysis of randomized  
600 controlled trials. *Clinical Psychology Review*, 2022: p. 102129.
- 601 60. Brandon, D.H., et al., Emotional responses of mothers of late-preterm and term  
602 infants. *Journal of obstetric, gynecologic, and neonatal nursing : JOGNN*, 2011. 40(6):  
603 p. 719-731.
- 604 61. Polizzi, C., et al., A Study of Maternal Competence in Preterm Birth Condition, during  
605 the Transition from Hospital to Home: An Early Intervention Program's Proposal.  
606 *International Journal of Environmental Research and Public Health*, 2021. 18(16): p.  
607 8670.
- 608 62. Stephens, A.S., et al., Survival, hospitalization, and acute-care costs of very and  
609 moderate preterm infants in the first 6 years of life: a population-based study. *The  
610 journal of pediatrics*, 2016. 169: p. 61-68. e3.
- 611 63. Anderson, C. and P. Cacola, Implications of Preterm Birth for Maternal Mental Health  
612 and Infant Development. *MCN: The American Journal of Maternal/Child Nursing*,  
613 2017. 42(2): p. 108-114.
- 614 64. Gondwe, A., et al., Perceptions and experiences of community members on caring for  
615 preterm newborns in rural Mangochi, Malawi: a qualitative study. *BMC pregnancy and  
616 childbirth*, 2014. 14: p. 399.

- 617 65. Peterson, P.L., E. Baker, and B. McGaw, International encyclopedia of education.  
618 2010: Elsevier Ltd.
- 619 66. Molloy, E., D. Biggerstaff, and P. Sidebotham, A phenomenological exploration of  
620 parenting after birth trauma: Mothers perceptions of the first year. *Women and Birth*,  
621 2021. 34(3): p. 278-287.
- 622 67. Bernaix, L.W., et al., The NICU experience of lactation and its relationship to family  
623 management style. *MCN. The American journal of maternal child nursing*, 2006. 31(2).
- 624 68. Covington, L.B., et al., The contributory role of the family context in early childhood  
625 sleep health: a systematic review. *Sleep health*, 2021. 7(2): p. 254-265.
- 626 69. Swallow, V., et al., Fathers and mothers developing skills in managing children's long  
627 - term medical conditions: How do their qualitative accounts compare? *Child: care,*  
628 *health and development*, 2011. 37(4): p. 512-523.
- 629 70. Zhang, T., et al., The influence of perinatal and maternal factors on physical growth at  
630 12 months in prematurely born infants treated in the neonatal intensive care unit: A  
631 retrospective chart review and a prospective cohort study. *International Journal of*  
632 *Nursing Studies*, 2020. 109: p. 103656.

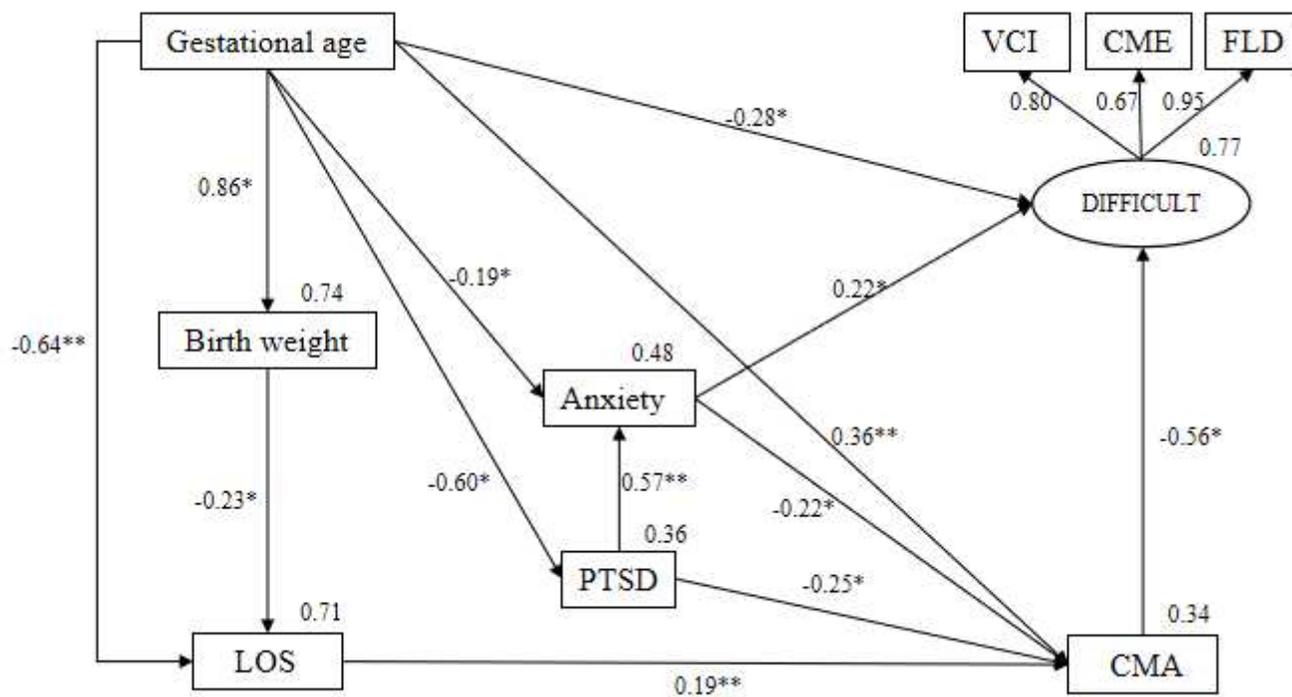
# Figures



**Figure 1**

The hypothetical model of interrelationships of variables in mothers of preterm infants

*LOS*, length of stay; *PTSD*, post-traumatic stress disorder; *CMA*, Condition Management Ability; *FLD*, Family life difficulty; *CME*, Condition Management Effort; *VCI*, View of Condition Impact; *CMA*, *FLD*, *CME* and *VCI* may affect each other.



**Figure 2**

Final path analysis model (coefficients are standardized parameter estimates)

*LOS*, length of stay; *PTSD*, post-traumatic stress disorder; *CMA*, Condition Management Ability; *FLD*, Family life difficulty; *CME*, Condition Management Effort; *VCI*, View of Condition Impact; \*  $p < .05$ , \*\* $p < .01$ .