

Role of Indigenous and local knowledge in seasonal forecasts and climate risk preparedness: a case study of smallholder farmers in Chiredzi, Zimbabwe

Peter Johnston

University of Cape Town

Oladapo M. Olagbegi

University of Western Cape <https://orcid.org/0000-0002-1320-7567>

Nicholas P. Simpson

University of Cape Town <https://orcid.org/0000-0002-9041-982X>

Christopher H. Trisos

University of Cape Town <https://orcid.org/0000-0002-5854-1489>

Luckson Zvobgo (✉ zvobgoluckson@gmail.com)

University of Cape Town <https://orcid.org/0000-0003-3400-8003>

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1 **Role of Indigenous and local knowledge in seasonal forecasts and climate risk**
2 **preparedness: a case study of smallholder farmers in Chiredzi, Zimbabwe**

3 Luckson Zvobgo^{a,c*}, Peter Johnston^a, Oladapo M. Olagbegi^b, Nicholas P. Simpson^c, Christopher H.
4 Trisos^{c,d}

5 ^a Climate System Analysis Group (CSAG), Department of Environmental and Geographical Science, University
6 of Cape Town, Rondebosch 7701, Cape Town, South Africa

7 ^b South African National Bioinformatics Institute, University of Western Cape, Bellville 7535, Cape Town, South
8 Africa

9 ^c African Climate and Development Initiative, University of Cape Town, South Africa

10 ^d Centre for Statistics in Ecology, Environment and Conservation, University of Cape Town, South Africa

11 * Corresponding authors. email address: zvobgoluckson@gmail.com (L. Zvobgo)

12 **Abstract**

13 Accessible, reliable and diverse sources of climate information are needed to inform anticipatory
14 responses to climate change at all levels of society, particularly for vulnerable sectors such as
15 smallholder farming. Globally, many communities use Indigenous knowledge (IK) and local
16 knowledge (LK) to provide contextually calibrated and trusted forecasting information to guide
17 livelihood choices, including on-farm decisions by smallholder farmers. Here, we examined the
18 role of IK and LK in seasonal forecasting, preparedness, and broader climate adaptation decision-
19 making of smallholder farmers in Chiredzi, Zimbabwe. Data was collected from 100 smallholder
20 farmers through face-to-face semi-structured interviews in the month before the rainy season onset
21 when preparatory decisions about planting were being made. Seventy-three percent (73%) of the
22 interviewed farmers are using IK and LK-based climate forecasts, and 32% (of 73%) farmers rely
23 on IK and LK only for climate risk preparedness decision-making. Binary logistic regression found
24 the use of IK and LK climate forecasts by farmers was strongly predicted by farmers' age and farm
25 size with $p = 0.009$ and 0.017 respectively at $p < 0.05$ significance level. Farmers using IK and LK
26 forecasts are implementing, on average triple, the number of adaptation measures compared to
27 farmers not using IK and LK. These findings emphasise the accessibility and reliability of IK and
28 LK for seasonal forecasts and demonstrate the close link between use of IK and LK and the
29 implementation of adaptation actions. Recognition, inclusion and preservation of IK and LK are
30 important to ensure its potential role in informed responses to climate change.

31 **Keywords:** Smallholder farmers, Indigenous knowledge and local knowledge, Weather and
32 seasonal climate forecasting, Climate decision-making, Climate risk preparedness and adaptation.

33 1. Introduction

34 Globally, there is increasing recognition of the potential value of Indigenous knowledge (IK) and
35 local knowledge (LK) for climate change adaptation (IPCC, 2019). For example, case studies from
36 Brazil have highlighted causal and mechanistic explanations provided by IK and LK for perceived
37 local environmental changes can prove accurate and more nuanced than 'scientific' and academic
38 explanations (El-Hani et al., 2022). Recognising epistemic differences between knowledge
39 systems and triangulating their value for local decision-making is key to extending climate services
40 and informed climate change adaptation to currently under-serviced smallholder farmers across
41 Africa. This is especially crucial in most of Africa where climate and weather recording and
42 forecasting infrastructure is lacking (Africa Adaptation Initiative, 2018; Singh et al., 2018; Hansen
43 et al., 2019). Further, recognising the epistemic freedom of IK and LK and the broader roles it
44 plays, for example in customary resource governance, can play an important role in decolonising
45 science and highlighting the biocultural heritage of indigenous communities which is threatened by
46 current megatrends of development including climate change (Breunlin, 2020; Liboiron, 2021;
47 Simpson et al., 2022)

48 Communities in Africa across multiple sectors, geographies and scales are responding to climate
49 change (Graham et al., 2021; Turek-Hankins et al., 2021; Williams et al., 2021; Leal Filho et al.,
50 2022). How individuals and societies anticipate and respond to climate change risk is important as
51 inappropriate responses can increase vulnerability and lead to maladaptation (Schipper, 2020),
52 and thus can be a potential driver of increased climate change risk (Simpson et al., 2021b). Current
53 evidence shows that adaptation is generally in nascent stages of implementation with little
54 evidence of risk reduction under recent climate change conditions, particularly for rainfed
55 smallholder farmers (Berrang-Ford et al., 2021; Thomas et al., 2021; Leal Filho et al., 2022). This
56 demonstrates the importance of easily accessible and reliable climate information to smallholder
57 farmers for climate adaptation decision-making which the smallholder farmers in Africa are
58 acquiring through indigenous and local knowledge of interpreting the environment, surroundings,
59 personal and shared experiences.

60 In Africa, the majority of farmers are smallholder entities employed in household agricultural
61 production (FAO, 2018), consisting of mainly rainfed and subsistence agriculture. African farmers
62 are already facing crop losses due to climate change, including yield reduction and lower
63 productivity of staple crops (a reduction of maize yield by 5% in Southern Africa, 10-20% yield loss
64 for millet and 5-15% for sorghum in West Africa) (Ray et al., 2019; Sultan et al., 2019; Ortiz-Bobea
65 et al., 2021), plantain yield declined by 43% in Central Africa (Fuller et al., 2018) and livestock
66 pasture losses (Sloat et al., 2018; Stanimirova et al., 2019). Further to the existing, current climate
67 risk, the projected climate risk to food systems will likely have cascading effects across Africa with

68 increased global warming tipped to decrease yields of maize, rice, wheat and soybean in the
69 African tropics and Sub-Saharan Africa more generally, especially if the level of global warming
70 exceeds 2 °C above pre-industrial levels (Rosenzweig et al., 2014; Moore et al., 2017; Franke et
71 al., 2020). African smallholder farmers are also generally more vulnerable to climate risks due to
72 a lack of capacity to adapt, through limited access to production and adaptation resources,
73 including financial, land, technological and climate services (Pauline et al., 2017; Sonwa et al.,
74 2017; Krell et al., 2021; Leal Filho et al., 2022).

75 When adapting to climate risks, smallholder farmers in Sub-Saharan Africa have been relying on
76 available knowledge sources they trust for on-farm decision-making, including IK and LK both for
77 weather forecasting and implementation of adaptation measures (Ajani et al., 2013; Zuma-
78 Netshiukhwi et al., 2013; Nkomwa et al., 2014; Grey, 2019; Mekonnen et al., 2021). Case studies
79 of how smallholder farmers use various IK and LK systems to forecast weather and adapt to the
80 climate risk have increased in Zimbabwe (Mavhura et al., 2013; Jiri et al., 2015; Gwenzi et al.,
81 2016; Mugambiwa, 2018). For example, Indigenous knowledge is relied upon by smallholder
82 farmers in Chiredzi (the study site in south-eastern Zimbabwe) for both short-term and seasonal
83 rainfall predictions (Jiri et al., 2015). However, both at national and continental levels, less is known
84 about the instrumental connection between IK and LK forecasts and local climate decision-making
85 for climate risk reduction.

86 In smallholder farming, climate decision-making occurs mainly at household level and is affected
87 by several factors including climate information quality and its availability to decision makers
88 (Waldman et al., 2021). Further, there are limitations to the impact of climate information as
89 perceptions of climate risk do not necessarily translate into actions (Waldman et al., 2019; Simpson
90 et al., 2021a). Among smallholder farmers in Africa there is high variability of quality and
91 accessibility of scientific climate information at appropriate scales for farm-level decision-making
92 (Singh et al., 2018; Antwi-Agyei et al., 2021). This has led to increasing recognition of the potential
93 value of IK and LK to inform climate relevant decisions and actions, particularly for rainfed
94 smallholder farmers (Alemayehu and Bewket, 2017; Nyadzi et al., 2021).

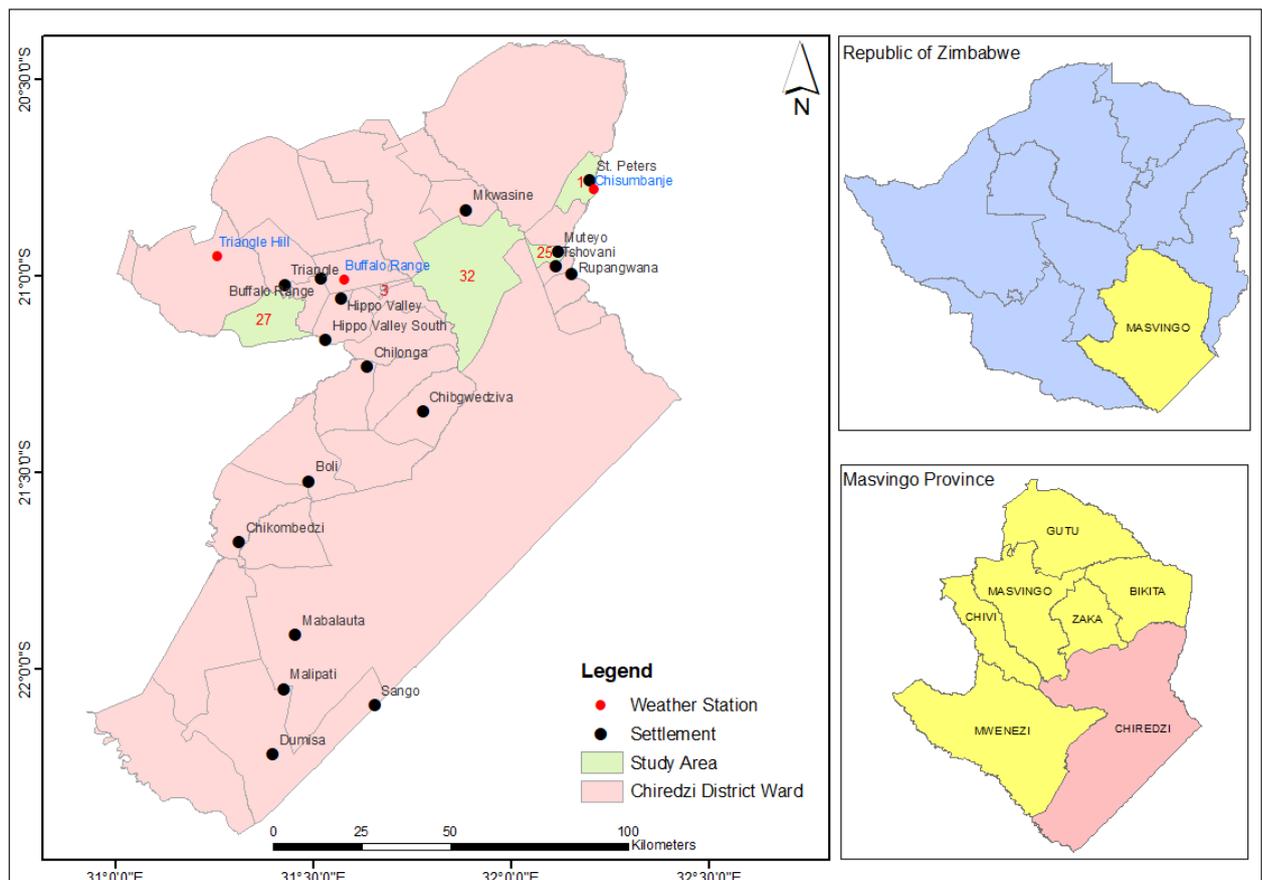
95 Therefore, this study focuses on the climate decisions based on IK and LK climate forecasting
96 systems. We assess the role of IK and LK on smallholder farmers' climate risk preparedness,
97 decision-making and adaption to the anticipated seasonal variability in Chiredzi, Zimbabwe. The
98 study also examines the factors that determine smallholder farmers' use of IK and LK to forecast
99 weather and climate and its role in the implementation of responses to anticipated climate
100 variability. The findings broaden our understanding of how IK and LK systems shape household
101 level decision-making for smallholder farmers. The discussion and conclusion highlights the value
102 of IK and LK in Africa that is at risk from climate change, and the utility of a blended approach to

103 climate information services which draws on the strengths of IK and LK to enhance implementation
104 for adaptation to climate change.

105 2. Methods

106 2.1 Study area

107 The study was conducted in the southeast lowveld of Zimbabwe in the Chiredzi district. The
108 Chiredzi district is located in the semi-arid Natural Region V, which is the driest of Zimbabwe's
109 Agro-Ecological Zones with highly variable rainfall patterns (Chikodzi and Mutowo, 2012;
110 Mugandani et al., 2012). The Chiredzi district is among the regions that are most vulnerable to
111 climate variability and change (Unganai and Murwira, 2010). Smallholder farming is the main
112 economic activity in many rural wards in Chiredzi. Due to lack of access to reliable scientific
113 weather forecast information, farmers in the region use indigenous methods to predict season
114 quality (Jiri et al., 2015), however, there are three weather stations in Chiredzi district (see Figure
115 1). Five wards out of the total 32 wards in Chiredzi rural district were strategically selected for data
116 collection (see Figure 1). The five wards were: 1, 3, 25, 27 and 32.



118 Figure 1: Map showing the five wards in Chiredzi considered for this assessment including key weather
119 infrastructure.

120 2.2 Data collection

121 A random sample of 100 farmers were surveyed through face-to-face, in-depth, semi-structured
122 interviews in the five wards. The wards were selected from two clusters: three wards (1, 3 and 25)
123 from communal and two wards (32 and 27) from resettled farming areas. Wards from communal
124 areas consisted of farmers that have been staying in the area all of their lives, whilst resettled
125 wards consisted of farmers that were resettled between 2000 and 2005 under Zimbabwe's land
126 reform programme (Chaumba et al., 2003; Ndhlovu, 2018). While the IK and LK of farmers in the
127 communal areas was anticipated to be multigenerational and endemic, IK and LK for the resettled
128 areas was anticipated to be younger and syncretic of exogenous knowledge systems, including
129 knowledge which has been brought with the resettled farmers and potentially adapted with local
130 IK and LK over the past 15-20 years to the local context.

131 Data collection was performed in October 2021. The month of October was strategically selected
132 for interviews because the growing season usually starts around mid-November to early
133 December. October is therefore a critical month where climate-relevant farming decisions are top-
134 of-mind for respondents, as smallholder farmers are preparing for the upcoming planting and
135 growing season. During that time of the year, farmers use various indigenous and local indicators,
136 observing how flora and fauna behave, and applying the information to forecast the expected
137 weather/climate of the incoming season, amongst them, onset rain dates, season quality, length,
138 cessation dates and the possibility of a drought (Jiri et al., 2015; Soropa et al., 2015). The forecasts
139 are used to make household decisions on when to perform key farm operations such as dry
140 planting, considering options between drought resistant crops such as cotton, sorghum and millet
141 crops, as well as selection and allocation of crops per cultivation area (Soropa et al., 2015). In
142 addition to gathering key socio-demographic characteristics of the respondents, the interviews
143 were structured to explore four main areas: i) how smallholder farmers use IK and LK to perform
144 seasonal climate forecasting, ii) farmer's confidence in the reliability of IK and LK forecasts, iii) the
145 influence of IK and LK forecasts on season preparedness and potential climate risks, and iv) the
146 subsequent broader climate adaptation measures implemented by smallholder farmers linked to
147 IK and LK.

148 Interviews with smallholder farmers sought to acquire a deep understanding of how IK and LK
149 systems influence decisions. Data collection focused on knowledge used to forecast the next
150 season's weather and climate hazards: storms, heavy precipitation, rainy day; climate hazards
151 (droughts, floods, heatwaves); season quality and length; rain onset and cessation dates. Special
152 attention was given to farmer's perceived confidence in the reliability of IK and LK forecasts.
153 Information on decision-making and the adaptation measures implemented by farmers based on
154 the interpretations of IK and LK forecast was also collected for analysis. We analysed how
155 smallholder farmers were reacting to IK and LK weather and climate forecasts. This was to

156 establish the contribution of IK and LK to household and local level decision-making in climate
 157 adaptation.

158 **2.3 Adoption and use of IK and LK climate forecasts**

159 We used a binary logistic regression (BLR) model, elaborated in Van Huynh et al. (2020), to
 160 examine what influences smallholder farmers use of IK and LK climate forecasts in Chiredzi. The
 161 model contained thirteen independent variables (age of the farmer, education, farming type,
 162 gender, wealth level, access to irrigation, access to scientific weather information, perception of
 163 climate variability, livelihood diversification, access to extension services, farmland size, length of
 164 stay and family size) (see table 1 for detailed explanation of the predictor variables). We aimed to
 165 assess the impact of a number of factors on the likelihood that a farmer would report that they use
 166 IK and LK forecasts. We also analysed whether the farmer use of the IK and LK forecasts affect
 167 farming decisions and adaptation measures in response to perceived risks associated with
 168 anticipated climate variability. Lastly, we analysed whether access to scientific climate forecasts
 169 has an effect on the adoption and use of IK and LK forecasts and the overall adaptation measures
 170 implemented by farmers. The model assumes that if a farmer uses IK and LK in forecasting, they
 171 are likely to make decisions to prepare or adjust to the expected risks based on the forecasting.
 172 The BLR analysis was expressed as follows:

173
$$\ln \left[\frac{P}{1-P} \right] = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \beta_4 x_4 \dots \dots \dots + \beta_{13} x_{13} \quad (1)$$

174 where $\left[\frac{P}{1-P} \right]$ = is the odds ratio.

175 P is the probability of a farmer in Chiredzi to forecast climate using IK and LK methods

176 1 – P denotes the probability of not using IK and LK to forecast climate

177 β_0 is the intercept.

178 $x_1, x_2, x_3, \dots,$ and x_{13} are the independent variables

179 $\beta_1, \beta_2, \beta_3, \dots,$ and β_{13} are partial regression coefficients

180 Table 1: Description and justification of the predictor variables for a binary logistic regression model considered in
 181 this study

	Variable	Variable code	Description and justification for the variable	Expected sign
x_1	Age of the farmer	Continuous	Older farmers are more likely to use IK and LK weather forecasting compared to young farmers as they have better knowledge about weather information particularly the indigenous and local indicators of climate forecasting (Belay et al., 2017; Tunde and Ajadi, 2019).	+
x_2	Farmland size	Continuous	Farmers with large farm sizes are likely to adopt IK and LK forecasts and adapt because the larger the farm size the greater the proportion of land allocated to other crop varieties.	+

x_3	Number of years living in the area	Continuous	Farmers that have lived in an area for a long time are likely to understand indigenous and local environment and indicators better. They may be more likely to adopt IK and LK forecasting compared to farmers that lived in an area for a short period (Van Huynh et al., 2020).	+
x_4	Access to scientific weather forecasting information	Yes = 1 No = 0	Farmers with access to scientific weather forecasting are more likely to adapt using the forecasts (Bryan et al., 2013), therefore, less likely to use and rely to IK and LK systems of weather forecasting.	+/-
x_5	Level of Education	Tertiary = 3 Secondary = 2 Primary = 1 No education = 0	Level of education increases the probability of use of scientific forecasts and use the scientific practices to adapt based on their awareness to the potential benefits from proposed climate variability adaptation measures (Belay et al., 2017). On the other hand, farmers that are less educated are more likely to use IK for both forecasting and adapting as their choices	-
x_6	Gender	Male = 1 Female = 0	Male farmers are more likely to use IK and LK forecasts compared to female counterparts (Tunde and Ajadi, 2019). This is because in rural set-up men are involved at household decision-making and are more involved in activities that allow them to interact with the environmental features that are key for IK and LK forecasting.	+
x_7	Access to irrigation	Yes = 1 No = 0	Access to irrigation reduces reliance of a farmer to rainfed systems and gives the farmer a leverage to try various strategies like repeated sowing thereby not following the natural seasons (Varadan and Kumar, 2014). This implies that farmers with access to irrigation are less likely concerned with rainfed systems and the need for IK and LK climate forecasting	-
x_8	Perception of climate variability and change	Yes (if perceive climate variability) = 1 Not = 0	Farmers that perceive climate variability and change are more likely to use IK and LK forecasts to plan, prepare and adjust to the anticipated risks (Van Huynh et al., 2020).	+
x_9	Farming type	Communal = 1 for Resettled = 0	Resettled farmers are less likely than farmers in communal areas to use IK and LK due to their limited time and knowledge of the local environment although indigenous knowledge can be transferred from place to place through resettlement	-
x_{10}	Livelihood diversification (non-farm activities)	Yes = 1 No = 0	Farmers that diversify their livelihoods invest less in knowing how the season is going to be or when the rains are going to be received because they have other means of living away from family farming (Bryan et al., 2013)	-
x_{11}	Access to extension services	Yes = 1 No = 0	Access to extension advise has been shown to increases farmers' exposure to scientific forecasts and knowledge and may reduce reliance or trust in IK and LK where a blended approach is not adopted.	-
x_{12}	Family size	Continuous	Farmers with more big family size will have more family members that are fit to work in the fields, hence intensifying production. This will therefore, increase chances of using IK and LK forecasts to make strategic decisions to buffer their farming systems	+
x_{13}	Wealth level	Yes = 1 No = 0	As wealthy farmers in this study were farmers owning things like a television or radio where they can access scientific/meteorological climate updates hence are less likely to use IK and LK forecasts or they combine	+/-

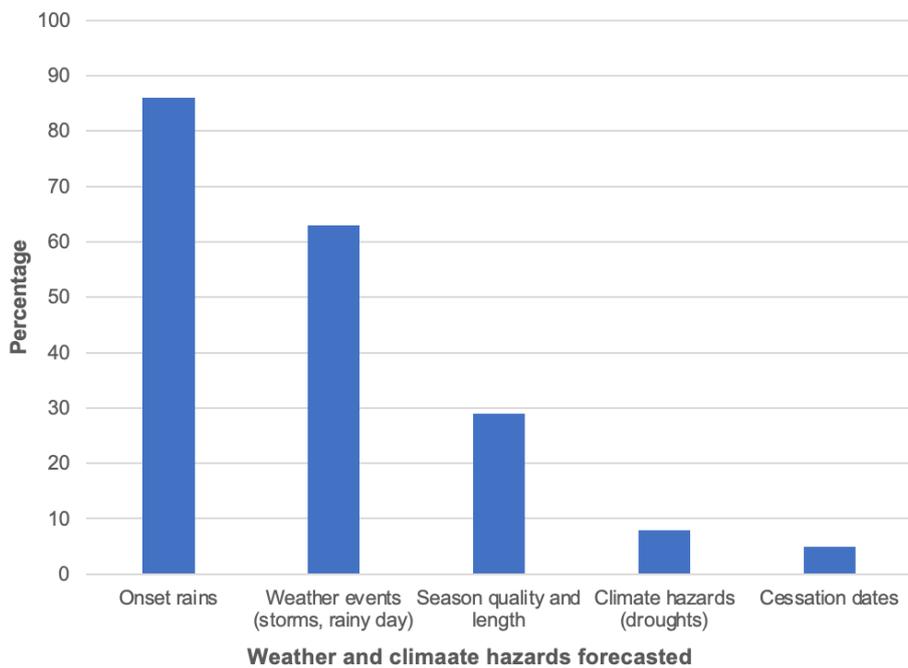
			the two to make climate adaptation and farming decisions.	
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182 *Wealth was measured on the presence of a house with asbestos roofing, own a tv or radio, number of houses owned by the
 183 farmer and number of livestock.

184 **3. Results**

185 About 97% of the households surveyed in Chiredzi rural district rely on rainfed subsistence farming
 186 as their source of livelihood. The main crops grown are sorghum, maize, millet, sesame,
 187 groundnuts, and Bambara nuts. Maize and sorghum are grown as staple crops, while cotton and
 188 sesame are grown as cash crops. Cowpeas, groundnuts, and Bambara nuts are also grown as
 189 edible crops.

190 Almost three quarters (73%) of the smallholder farmers are using IK and LK seasonal climate
 191 forecasts and 90% of those using IK and LK, are using a combination of both IK and LK and
 192 scientific forecasts to make farming decisions. 88% of the smallholder farmers in Chiredzi have
 193 either direct or indirect access to scientific weather forecasts and updates. Rain onset (86%),
 194 storms and rainy days (64%), season quality and length (29%) forecasts are the most common
 195 climate indices that use IK and LK (Figure 2).



197 Fig 2. Weather events and climate hazards forecasted by smallholder farmers in Chiredzi using IK and LK
 198 systems

199 **3.1 IK and LK weather and climate forecasting in Chiredzi**

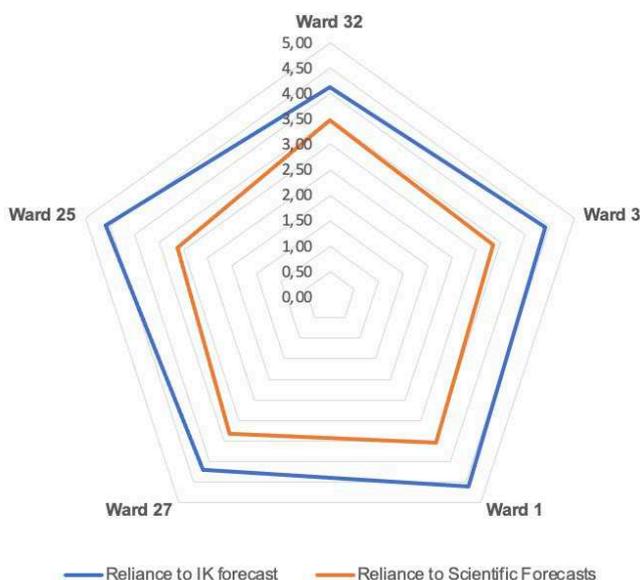
200 Eight (8) IK and LK indicator types are used by smallholder farmers to forecast weather and climate
 201 hazards (Table 2). Farmers rely more on IK and LK forecasts compared to scientific climate
 202 forecasts on a scale rating of 1(low reliance) to 5 (high reliance) on how they use and trust climate
 203 forecasts in climate decision-making (Figure 3a). Overall, farmers in communal wards (ward 1, 3
 204 and 25) rely and trust IK and LK forecasts more compared to farmers in resettled wards (ward 27
 205 and 32) (Figure 3a). According to climate indices and hazards, farmers from communal areas rely
 206 more on IK and LK forecasts in predicting storms and rainy days compared to wards in resettled
 207 areas (Wards 27 and 32) (Figure 3b). Summer temperatures, bird behaviour (e.g., cuckoo), cloud
 208 appearance and movement (e.g., nimbus clouds), tree flowering and fruiting and vegetation leaf-
 209 out of local and indigenous tree species mostly Mopane trees, are the common indicators used for
 210 seasonal climate forecasting in Chiredzi district.

211 Table 2: Common IK and LK indicators used by smallholder farmers in Chiredzi to forecast weather events
 212 and climate hazards

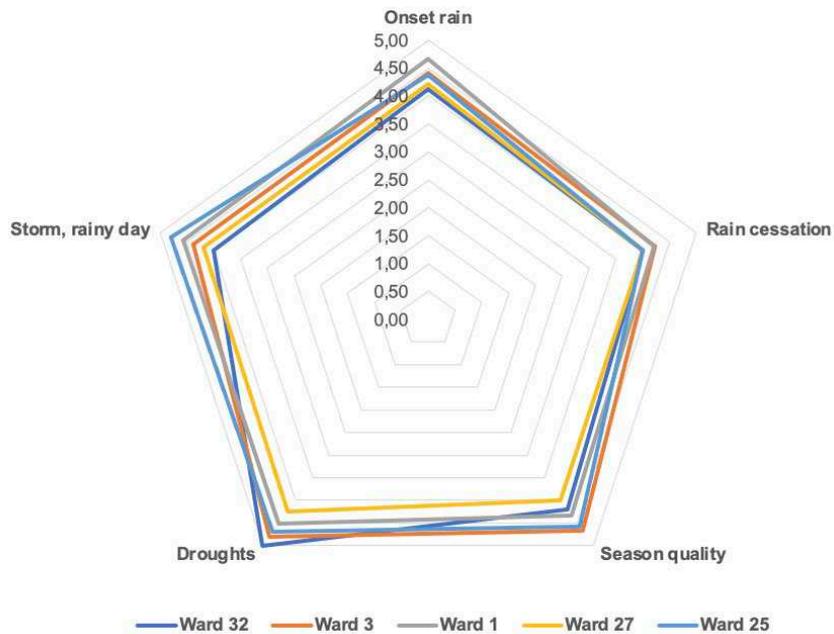
Climate variability or hazards	Indicators	How perception of environmental variable is interpreted by IK and LK for season forecasting	Percentage of responses indicating use of the indicator (%)	Perceived reliability (0-5)
Rain onset	Summer temperatures	Very hot summer days indicates the imminent coming of onset rains	26%	4.4
	Leaf-sprouting of Mopane trees (<i>Colophospermum mopane</i>); Rain tree (<i>Philenoptera violacea</i>), Baobab and other indigenous tree species	Shooting of brownish leaves indicates imminent rains	25%	4.1
	Clouds (Nimbus clouds)	Appearance of moving nimbus clouds indicates onset of good rains	23%	4.5
	Birds mostly Cuckoo (<i>Cuckoo Cuculiformes</i>)	Continuous call indicates imminent rains	22%	4.4
	Human body	Interpretation of human body pains; backpains, legs pains indicates imminent onset rains.	12%	4.3
	Wind direction	Wind constantly blowing from the east (from the Indian ocean via Mozambique) means onset rains are close	5%	4.5
	Christmas beetle (<i>Cicadas</i>)	Continuous call indicates imminent rains	4%	4.3
	<i>Hibiscus vitifolius</i>	Abundant flowering before rain season means delayed onset	2%	4.5
Weather events (storms, rainy day)	Clouds	Dark clouds indicates rainy day or very intense storm	25%	4.1
	Birds (southern ground hornbill)	Sounding means imminent storm	16%	4.7
	Wind temperature and direction	Warm wind and wind blowing from east to west indicates that a storm is close	8%	4.4
	Human body	Human body pains, sweating, aching (mostly elderly) means rainy days or storm is coming soon	5%	4.8
	Frogs	Continuous sounding indicates coming of a storm or rainy days	4%	5
	Outlook environment	Reddish sunsets indicates imminent storm	4%	4.7

	Moon	Appearance of 1 st and last quarter of the moon means rain days are close	3%	4
Growing season quality and length	Trees (<i>Acacia nigrescens</i>)	Abundant white flowering observed in September indicates good incoming rainy season and abundant red flowers indicates dry season	8%	4.5
	Dreams	Dreams on whether season is good or bad by individuals recognised to be 'dreamers'	5%	5
	Trees (<i>Sclerocarya birrea</i>)	Profuse fruiting in Feb/March indicates bad incoming season and/ a drought	3%	4
	Trees (mahogany - <i>Azelia qunzeisis</i>), mango tree	Abundant flowing and fruiting (observed prior to the start of the rainy season) indicates good incoming season	3%	4
	Stars	Big shining star in the western side indicates good season	3%	4.5
	Grasshoppers (<i>Schistocerca americana</i>)	Appearing in large numbers or swarms means abundance of food	3%	4
	Wind direction	Frequent winds from east to west indicates high rainfall	3%	5
	Whirlwind	Regular occurrence of strong whirlwind indicates high rainfall and perfect temporal distribution.	2%	5
Rain cessation	Cold temperatures	Decrease in summer temperatures.	4%	4.5
	Cold rainfall	Cold rainfall indicates the end of growing season marking the beginning of winter season	3%	4
	Clouds (cirrus)	Appearing of cirrus clouds means no more rain is coming	3%	4
	Mist	The occurrence of mist and light showers means the season is coming to an end	2%	4.5
Droughts	Stars	Big shining star in the eastern side forecast a drought	4%	4.5
	Marula tree (<i>Sclerocarya birrea</i>), bush fruits	Abundant fruiting indicates dry season/drought	4%	4.7
	Dreams	Dreamer in a village can foretell years of drought	3%	4.5

213 Average farmer perceived reliance was based on the ranking of each IK and LK forecast used by a farmer from a
 214 scale of 1 to 5 on how well they trust and rely to the forecasts in decision-making. 1 = "I use them but do not rely
 215 on them", 2 = "Barely rely on the IK and LK predictions", 3 = "Sometimes I rely on them", 4 = "I rely on them", 5 =
 216 "I strongly rely on them". The reliability figures for each forecast indicator were averaged to give a representative
 217 reliance presented in last column.



218



219
 220 Figure 3: Farmer reliance to climate forecasts in Chiredzi: (a) overall ward level smallholder farmers' reliance to IK
 221 and LK and scientific weather forecasts in Chiredzi, (b) reliance to IK and LK forecast per forecasted climate indices.
 222 Reliance was based on the average ranking of forecast type used by a farmer from a scale of 1 to 5 reflecting how
 223 well they trust and rely to the forecasts in decision-making (see key for table 2 for the description of the reliability
 224 scale).

225 **3.2 Determinants of use of IK and LK climate forecasts in Chiredzi**

226 3.2.1 Socio-demographic profile

227 The mean farmer age was 48.7 ± 14.5 years with the majority being 50 years or older. The mean
 228 length of stay in an area, family size and farmland size were 29.1 ± 17.9 years, 7.30 ± 3 , and 3.8 ± 2.4
 229 hectares respectively. The majority of the farmers (59%) were women and the highest proportion
 230 (48%) had primary education as the highest formal education qualification. The majority (54%) of
 231 the farmers were classified in the poor category of the wealth level. Just one-fifth (20%) use
 232 irrigation while a high percentage (88%) reported to have direct or indirect access to scientific
 233 weather information and updates. 96% of the farmers expressed perception of climate variability
 234 and change, 70% of them diversified their livelihood while 74% had access to extension service
 235 (see Table 3).

236 Table 3. Socio-demographic and other variables of the farmers (n = 100)

Variable	Mean	SD
Age (years)	48.73	14.45
Length of stay (years)	29.13	17.93
Family size	7.31	3.03
Farmland size (ha)	3.75	2.37
Variable	Frequency	%
Age category (years)		
20-29	11	11.0
30-39	19	19.0
40-49	24	24.0

≥ 50	46	46.0
Gender		
Female	59	59.0
Male	41	41.0
Education		
No Formal Education	18	18.0
Primary	48	48.0
Secondary	34	32.0
Tertiary	2	2.0
Farming type		
Resettled	40	40.0
Communal	60	60.0
Wealth Level		
Poor	54	54.0
Good	46	46.0
Use Irrigation		
No	80	80.0
Yes	20	20.0
Access to scientific weather information		
No	12	12.0
Yes	88	88.0
Perception of Climate variability and change		
No	4	4.0
Yes	96	96.0
Livelihood diversification		
No	30	30.0
Yes	70	70.0
Access to extension services		
No	26	26.0
Yes	74	74.0

237 3.2.2 Variable analysis

238 The BLR model as a whole explained between 19.7% (Cox and Snell R square) and 28.6%
239 (Nagelkerke R²) of the variance in use of IK and LK climate forecasts and correctly classified 79%
240 of cases (table 4). This means that the 13 variable factors explained 28.6 percent of the probability
241 that farmers would use IK and LK forecasts. For these 13 variables examined, age of the farmer
242 and farmland size significantly predicted farmers' use of IK and LK forecasts with $p=0.009$ and
243 $p=0.017$ respectively at significance level $p<0.05$. The other variables; education of the farmer,
244 engagement of a farmer on irrigation, farming type (communal or resettled) and access to scientific
245 weather forecasting information were found to be positively influencing farmer's use of IK and LK
246 climate forecasts but were not statistically significant (Table 4). Family size, length of stay in an
247 area, farmer perception of climate variability, access to extension services, wealth level, gender,
248 and livelihood diversification were found to have no relationship with farmers' use of IK and LK
249 forecasts at significance level $p<0.05$.

250 Table 4: Determinant factors influencing smallholder farmers use of IK and LK climate forecasting in Chiredzi

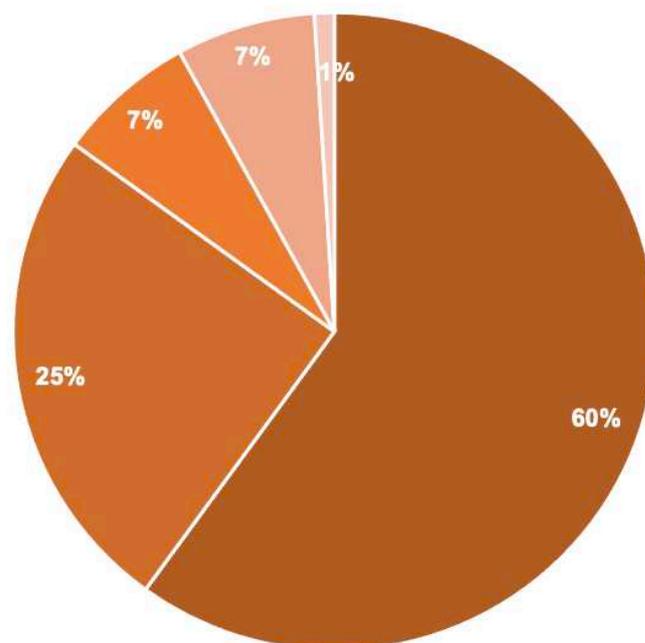
Variables	β	S.E.	Wald	OR	95% CI		p - value
					Lower	Upper	
Age (years)							
30-39	0.649	0.891	.531	1.914	0.334	10.969	0.466

40-49	2.974	1.146	6.737	19.576	2.072	184.978	0.009*
50 and above	1.622	1.057	2.355	5.064	0.638	40.189	0.125
Education							
Primary	1.136	0.760	2.236	3.114	0.703	13.799	0.135
Secondary or higher	0.967	0.942	1.053	2.630	0.415	16.678	0.305
Farming Type	2.158	1.158	3.472	8.655	0.894	83.781	0.062
Gender	-0.139	0.590	.055	0.871	0.274	2.769	0.814
Wealth Level	-0.328	0.617	.283	0.720	0.215	2.413	0.595
Access to Irrigation	0.713	0.795	.804	2.040	0.430	9.680	0.370
Access to scientific weather information	1.091	0.839	1.690	2.977	0.575	15.413	0.194
Perception	-0.991	1.669	.352	0.371	0.014	9.785	0.553
Livelihood diversification	-0.594	0.755	.618	0.552	0.126	2.427	0.432
Access to extension services	-0.030	0.664	.002	0.971	0.264	3.568	0.964
Farmland Size	.513	.214	5.727	1.671	1.097	2.543	0.017*
Length of stay	-.009	.024	.152	0.991	.946	1.038	0.697
Family Size	-.026	.103	.066	0.974	.796	1.192	0.797
Constant	-3.346	2.090	2.562	0.035			0.109
Model Nagelkerke's R ²	0.286						
Model correct prediction	79						
Number of households	100						

251 Note: β is the estimated coefficient, SE is the standard error, Wald is Wald Chi-Squared Test, p is a probability, and OR
252 is the coefficient of determinations. * $p \leq 0.05$, without asterisks value are non-significant at $p > 0.05$.
253 Bold signifies significant value when $p < 0.05$

254 3.3 Role of IK and LK in household climate decision-making

255 Of the 73 smallholder farmers that are using IK and LK weather and climate forecasts, 32% depend
256 solely on the forecasts to make decisions on various farm operational measures related to climate
257 risk preparedness, 60% combine IK and LK forecasts with scientific forecasts to make climate risk
258 preparedness decisions relevant to climate adaptation actions implemented, and 8% are doing
259 nothing in response to the available IK and LK forecasts (Figure 4). Over 20 decisions are made
260 by smallholder farmers based on IK and LK forecasts which relate to climate risk preparedness
261 and adaptation. Most of the decisions relate to planning the agricultural calendar, including land
262 preparation (51%), crop variety selection (30%), seed preparation (43%), dry planting (32%), and
263 allocation of cultivation area per crop (8%) (Table 5).



- Combine IK and LK forecasts with scientific forecasts to make climate risk preparedness decisions relevant to climate adaptation
- Have access to both forecasts but use IK and LK forecasts only when making climate risk preparedness decisions
- Plan and make climate risk preparedness decisions to adjust to the anticipated risk without access to scientific forecasts
- Forecast climate with IK and LK but make no climate risk preparedness decisions
- Use IK and LK forecasts to make climate risk preparedness decisions but do nothing to adapt to the climate variability risk

265 Figure 4: How smallholder farmers are reacting to IK and LK weather and climate forecasts in Chiredzi district

266 Table 5: Role of IK and LK forecasts on decision-making relevant to climate adaptation by smallholder farmers in
267 Chiredzi district

Climate indices and hazards	IK and LK supported decisions for climate risk preparedness	Percentage of responses indicating use of variable (%)
Onset rains	Land preparation (zero tillage, burn biomass from previous crops to increase fertility for farmers practicing conservation agriculture)	51%
	Seed preparation (indigenous seeds for small grains - sorghum and millet, groundnuts and Bambara nuts)	43%
	Dry planting (or small grains - millet and sorghum, cotton and Bambara nuts)	32%
	Crop variety selection	14%
	Buy appropriate seed varieties (for crops farmers use certified seed) e.g., maize varieties (consider short term varieties when anticipating a short season)	4%
	Plan agricultural calendar	3%
	Prepare housing (roofing/thatching)	3%
	Staggering crop planting dates	3%
	Do nothing, ignore the forecasts	8%
Weather events (storms, rainy day)	Weeding	7%
	When to irrigation	5%
	Cultivation	3%
	Organic fertiliser application dates and timing	3%
	Do nothing, ignore the forecasts	4%
Growing season quality	Crop variety selection	16%
	Cultivation area allocation per crop	8%
	Staggering of planting dates	2%
	Grow small grains when anticipating a drought	1%

Climate hazards (floods, droughts, cyclones)	Crop variety selection	3%
	Prepare housing - cyclones	3%
	Do nothing, ignore the forecasts	1%
Rain cessation	Time to plant beans and other winter crops	4%
	Time to harvest grain crops to avoid rotting of yields	3%
	Time to harvest groundnuts and Bambara nuts when the ground is still wet	3%

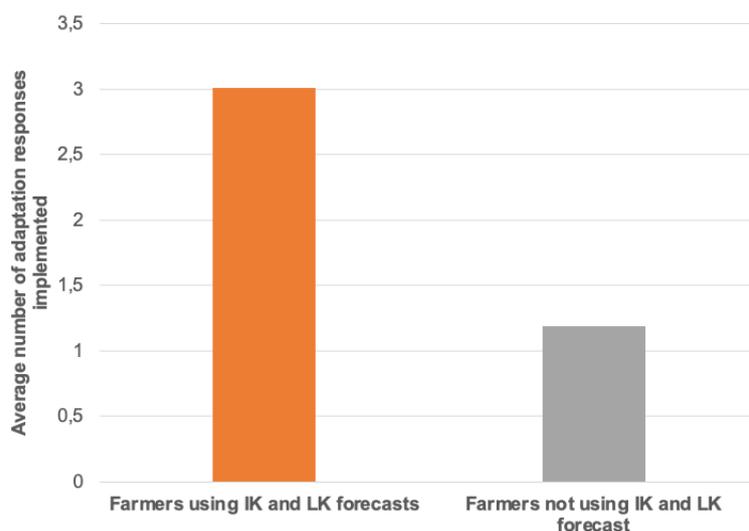
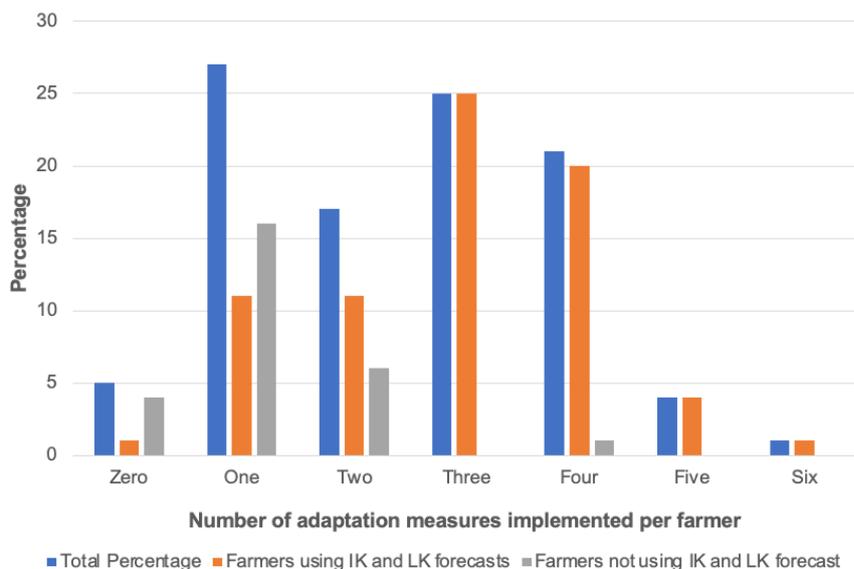
268 3.4 Climate adaptation and IK and LK in Chiredzi

269 Taken together, smallholder farmers are implementing seven different climate adaptation response
270 types to cope and adapt to the specific climate risks – of drought and rainfall variability (Table 6).
271 Specific farmer responses include increase area under small grains in anticipation of a dry season
272 or drought (28%), growing of drought tolerant crops (8%), dry planting (21%), zero tillage for CA
273 (15%), irrigation (20%), selling livestock (9%), work in neighbouring communities (19%). Farmers
274 are also diversifying livelihoods through off-farm activities such as trading (7%) and fishing - in
275 Save and Mtirikwi rivers (7%) (table 6). The above measures are implemented to cope to droughts,
276 increased rainfall variability and summer temperature risks. Ninety five percent (95%) of all farmers
277 surveyed are implementing at least one adaptation response and 5% are doing nothing. The
278 majority of the farmers (27%) are implementing only one measure, followed by 25% and 21%
279 implementing three and four adaptation measures respectively. The highest number of adaptation
280 measures implemented by a farmer is six (Figure 5a). Farmers that are using IK and LK climate
281 forecasts are implementing, on average more adaptation responses (3.01) compared to farmers
282 that are not forecasting weather and climate hazards (1.19) (see figure 5b).

283 Table 6: The adaptation measures implemented by smallholder farmers to cope and adjust to climate risk in
284 Chiredzi

Adaptation type	Specific adaptation actions	Climate variability and hazards adapted to	Percentage (%)
Crop variety selection	Growing small grains (e.g., sorghum, millet)	Drought, poor rainfall distribution, increasing mid-season dry spells	16%
	Growing drought resistant crops and varieties (e.g. cotton, sesame)	Droughts, increasing mid-season Dry spells	8%
	Growing short term varieties	Shortened growing season and early season dry spells	4%
	Growing indigenous varieties e.g., indigenous maize seeds	Delayed onset	5%
Cropping area management	Reduce cultivation of high water demand crops such as maize when anticipating a drought	Droughts, shortened growing season	10%
	Increase area under small grains	Droughts and poor seasonal rainfall distribution	12%
Agricultural calendar planning	Dry planting	Delayed and unpredictable rain onset	21%
	Early planting	Mid-season dry spells; early cessation	6%
	Staggering planting dates	Increased mid-season dry spells, poor season rainfall	16%

		distribution and increased variability	
Farm operational measures	Irrigation	Droughts, increased summer temperatures, increasing mid-season dry spells	20%
	Zero tillage for rain water conservation on plant stations	Reduced seasonal rainfall amount	15%
	Intercropping	Increased rainfall intensity, storms'/	12%
Management measures	Fertilisation; organic manuring	Poor rainfall distribution	16%
	Rainwater harvesting and conservation	Poor rainfall distribution	10%
Off – farm activities	Fishing in the Save and Mtirikwi rivers	Droughts	7%
	Brick making	Droughts	3%
	Trading (buying and selling)	Droughts	7%
	Remittances	Droughts	3%
	Migrate to other areas and countries	Droughts	2%
Livelihood diversification	Sell livestock to buy family food	Droughts	9%
	Provide casual labour in neighbouring communities - sugarcane fields, commercial and irrigation plots	Droughts, poor and unpredictable rainfall	19%
Do nothing			5%



287 Figure 5: The role of IK and LK forecasts in the implementation of climate adaptation responses by smallholder
288 farmers in Chiredzi: (a) number of adaptation responses implemented per farmer by farmers using IK and LK
289 climate forecasts vs farmers not using IK and LK climate forecasts, b) average number of adaptation responses for
290 farmers using IK and LK climate forecasts vs farmers not using IK and LK climate forecasts

291 **4. Discussion**

292 The majority of smallholder farmers in Chiredzi are using IK and LK systems for seasonal climate
293 forecasting and use the forecast information to make relevant climate risk preparedness decision
294 that are key for local level adaptation and resilience to climate risks. Farmers aged 50 years and
295 above especially in old, communal wards have developed strong IK and LK systems for both
296 climate forecasting and adaptation. This was supported by the regression analysis as we found
297 that farmers' age significantly determines the use of IK and LK forecasts. However, length of stay
298 in an area was found to have no influence on the use of IK and LK. This contradicts previous
299 qualitative studies such as Chisadza et al. (2013) and suggest that farmers move with their
300 Indigenous and local knowledge systems, practices and ways of doing things to new areas they
301 inhabit.

302 On the accessibility and use of climate information services, our study discovered that there was
303 high reliance on and trust in IK and LK forecasts compared to scientific weather forecasts as they
304 were readily available to the farmers for use. Although majority of the farmers are relying on IK and
305 LK forecasts, the accuracy of these forecasts is not yet established in this study since the accuracy
306 of climate forecasts determines the relevance of the actions implemented based on the forecasts
307 (Guido et al., 2021). The ways in which scientific/radio climate forecasts were accessed influenced
308 farmer reliance to the forecasts as well as their sharing between farmers and use in climate
309 decision-making. The majority of the farmers (51.1%) do not have direct access to scientific
310 weather information and updates. Instead, they receive forecasts shared through social networks
311 and neighbours that own either a radio or television. This further support the notion that the
312 adoption, use and trust of the climate forecasts among smallholder farmers depends on how well
313 individuals relate and trust the person or source delivering the forecast information. Only one third
314 (31.8%) of the interviewed farmers in Chiredzi owned a radio and only 2.3% own a television which
315 they use to have direct access to the climate forecasts. Our results confirm the findings of Churi et
316 al. (2012) that lack of direct access to scientific weather information updates and how they are
317 shared between smallholder farmers affects use and trust in scientific climate forecasts.

318 Another important finding was that farmers trust meteorological weather forecasts provided by
319 government agriculture extension officers more than the same forecasts when communicated
320 through radio and television. This could be because the farmers work with these extension officers
321 on a daily basis. Trust in extension officers is built up over time through advice on other technical
322 farming issues. This observation aligns with Okwu and Umoru (2009) who found weather forecasts

323 shared by government extension officers to farmers are generally well received. Importantly,
324 farmers who receive advice from extension officers are more likely to implement adaptation
325 measures (on average 2.6 adaptation measures) compared to the overall average adaptation
326 measures (2.4 measures) implemented by smallholder farmers in Chiredzi.

327 In terms of the predictor variables that determine the use of IK and LK forecasts by smallholder
328 farmers in Chiredzi, only two of the independent variables made a unique statistically significant
329 contribution to the BLR model - age of the farmer and farmland size (Table 4). Farmers aged 40-
330 49 years have a higher probability of using IK and LK climate forecasts, implying that farmers in
331 this older age group have learned to apply weather information through experience, as opposed
332 to the younger group, who are likely to have little experience in farming-related activities. Farmers
333 with larger farm sizes were more likely to use IK and LK weather forecasts, as farm size appears
334 to influence the extent of weather or climate-related damages or losses that a farmer may incur
335 from extreme weather or climate events. In contrast with observations of Vietnamese farmers by
336 Van Huynh et al. (2020), we did not find years living in the area, farm-monthly income, and
337 perception of climate change to correlate with farmers' use of indigenous climate change
338 adaptation practices.

339 However, concerns on whether current and future generations be able to use IK and LK forecasts
340 as effectively due to raised intergenerational disconnections remains a grey area as far as the use
341 of IK and LK is concerned to smallholder farmers. This is crucial on establishing the consistency
342 and effectiveness of the knowledge in future as well as its relevance to climate adaptation. Further
343 research on this is necessary to establish the long term contribution of IK and LK to climate
344 forecasting and climate adaptation to smallholder farmers and communities.

345

346 **4.1 IK and LK climate forecasting and decision-making**

347 Most of the IK and LK climate forecasting (see Table 2) performed by smallholder farmers in
348 Chiredzi ranges from short-term (hours, days, weeks) to longer-term seasonal forecasting. This
349 means the decisions from these forecasts are important for day to day running of farm operations
350 and the implementation of short-term climate coping measures. It is important to note that with the
351 current IK and LK climate forecasting, few longer-term farm planning and decisions go beyond a
352 single growing season that can be made from the forecasts. Although these decisions are short-
353 term in nature, our study revealed that they are critical to the farmers' everyday climate adaptation
354 and decision-making. For example, measures such as the selection of a suitable crop variety
355 based on IK and LK season quality and length forecast, dry planting dates, crop area management
356 highest implemented in the study.

357 Farmers in Chiredzi district are combining IK and LK climate forecasts with scientific weather
358 forecasts to adapt and increase their resilience to climate risks. A coordinated framework is,
359 therefore, needed that promotes a blended approach of integrating various climate forecast
360 sources to increase reliability and access to climate services for smallholder farmers in Chiredzi.
361 Other blended approaches such as integrated probability forecasting has shown that integrating
362 indigenous and scientific forecasting has improved climate forecasting and decision-making of
363 smallholder farmers (Nyadzi et al., 2022). Farmers in Chiredzi show high reliance on IK and LK
364 forecasts compared to scientific forecasts (see Figure 3). This was in agreement with previous
365 research in Zimbabwe and other parts of Africa Fitchett and Ebhuoma (2018); Tanyanyiwa (2018);
366 Grey (2019). The high reliance on, and trust of IK and LK climate forecasts in Chiredzi district were
367 influenced mostly by ways in which scientific weather forecast information was shared between
368 farmers.

369 The majority of smallholder farmers in Chiredzi use IK and LK to forecast the onset of rains, mid-
370 season storms and quality of the growing season (see figure 2). These are the climate parameters
371 most predicted by smallholder farmers (Gwenzi et al., 2016; Fitchett and Ebhuoma, 2018). These
372 parameters are important to rainfed smallholder farmers for both planning and implementation of
373 climate adaptation measures (Nkomwa et al., 2014). Farmers in Chiredzi use IK and LK forecast
374 for short term agricultural planning and decision-making, which is critical for climate adaptation.
375 The unique indigenous knowledge resource we found in Chiredzi that was used to forecast key
376 climate forecasts was the use of weather dreamers. Unlike in most cases where a farmer makes
377 a decision using personal interpretation and beliefs of the local environment, the unique character
378 about the weather dreamers in Chiredzi is their sphere of influence. On average, these dreamers
379 were influencing 5 to 10 households in terms of agricultural decision-making. Also, the advice from
380 climate dreamers is very specific, for example, the issuing of specific crop types to grow and size
381 of crop area management according to how they received the dreams. The advice plays a key role
382 on the coping mechanisms implemented by farmers. Importantly, this advice is some cases conflict
383 with advice from government extension officers. It is critical to note that, the farmers in Chiredzi
384 strongly rely on the forecasts and advice from the climate dreamers in making climate decision
385 with the indicators scoring highest on reliability (also see Table 2).

386 We note through the study that despite the majority of the farmers relying on IK and LK forecasts
387 to make climate risk preparedness decisions, the forecasts did not provide specific information
388 such as the total expected seasonal rainfall which are also equally important in climate decision-
389 making and adaptation of smallholder farmers. However, this knowledge remains a priority and
390 basis for decision-making to these farmers as they profoundly revealed that even the scientific
391 updates they receive do not show the exact expected rainfall amounts seasonally. Therefore, it
392 would be helpful if farmers could receive more exact climate forecast information for accurate

393 decision-making regarding how to cope, and implement adaptation measures. On the other hand,
394 smallholder farmers mentioned that climate variability and change are affecting the reliability of
395 local indicators they are using for IK and LK climate forecasting, for example, cuckoo birds are not
396 as regular as before in the 1990s. In terms of making decisions based on these forecasts, other
397 farmers revealed that are taking note of changes in variables of the IK and LK forecasts but do
398 nothing in response, as the indicator is no longer trusted. This transition away from certain
399 compromised indicators provides descriptive example of the impacts of climate change on IK and
400 LK and Indigenous communities. Conversely, trust in compromised indicators can lead in future to
401 poor decision-making and maladaptation for those farmers continuing to rely on compromised
402 indicators.

403 **4.2 Role of IK and LK on climate adaptation in Chiredzi**

404 The number of adaptation responses implemented by farmers using IK and LK climate forecasts
405 has increased with the increase of the number of adaptation responses implemented per farmer.
406 For farmers that implementing adaptation measures 4, 5 and 6, it was observed that number of
407 farmers using IK and LK to inform adaptation responses was 100%. On average, farmers that use
408 IK and LK forecasts are implementing as many as triple the adaptation responses compared to
409 farmers that are not using IK and LK. The major observation from this empirical evidence is how
410 critical IK and LK contribute to the planning, selection and implementation of adaptation responses
411 and actions by smallholder farmers in Chiredzi and other communities especially from global south.
412 This also point out to how IK and LK is relevant and responsible for the implementation of the
413 majority of behavioural and cultural adaptation measures as most of the measures associated with
414 IK and LK in Chiredzi relates to agricultural calendar year planning, crop type and variety selection,
415 crop area management, farm operational and management measures such as when to irrigation,
416 weeding and fertiliser application. This has further revealed the critical role and influence of
417 indigenous and local knowledge on decision-making that informs how farmers respond to climate
418 variability risks. However, the efficacy of the IK and LK-based climate adaptation responses on
419 reducing the climate risk is yet to be established in the study area and globally. Risks of
420 maladaptation associated with the IK and LK climate adaptation responses knowledge also need
421 to be established. This further highlights a gap on IK and LK identified in Zvobgo et al. (2022).

422 Farmers in Chiredzi are mostly adapting to drought and rainfall variability - increased rainfall
423 unpredictability, increased delayed and unpredictable onset rains, prolong mid-season dry spells,
424 increased shortened growing season length, poor temporal rainfall distribution. The lack of
425 technological measures that is evident in this study emphasises the lack of indigenous and local
426 knowledge to drive a transformative adaptation process. This observation from the study is in
427 agreement with the global evidence on household and local level climate adaptation, mostly in

428 Africa and Asia, which is described as incremental (Berrang-Ford et al., 2021). Reflecting and
429 promoting these measures in policy and programming improves the effectiveness of these
430 measures to promote transformational adaptation.

431 Our study revealed that some common adaptation strategies employed by smallholder farmers in
432 Chiredzi are already threatened by climate variability and change, e.g., the selling of livestock
433 (mostly cattle, goats and pigs) that have been traditionally used by farmers to adapt to the drought
434 impacts. Farmers indicated that the droughts in recent years have increased in frequency and
435 intensity and that this is affecting the availability of the pastures for their livestock. Previously,
436 during a drought farmers could sell one of their cattle and the returns were enough to buy food for
437 the average family in Chiredzi. However, interviews with farmers showed that with recent droughts
438 affecting pastures, farmers need to sell at least two head of cattle due to poor condition of the
439 livestock. This implies that with current and future climate variability and change risks, what has
440 been used traditionally as adaptation measures may not be sufficient to address the climate risks.
441 This means such measures in future can easily reach the adaptation limits. This therefore confirms
442 the need for transformative adaptation measures that can be achieved if IK and LK is blended by
443 other knowledge types.

444 **Conclusion**

445 Indigenous and local knowledge plays a key role in climate forecasting and household climate
446 decision-making that shapes smallholder farmers' adaptation to climate variability risks in Chiredzi.
447 The IK and LK climate forecasting performed by smallholder farmers are used for both short-term
448 forecasting, which is influencing immediate crop cultivation and livelihood decision-making and
449 adaptation responses, and long-term forecasting (up to a seasonal forecasting). The IK and LK-
450 based long-term forecasting have significant influence on the long-term resilience to climate risk.
451 Age of the farmer and farmland size significantly determines farmers use of IK and LK forecasts in
452 Chiredzi. Education of the farmer, irrigation use, farming type (communal or resettled), and access
453 to scientific weather forecasting information positively influence farmer's use of IK and LK climate
454 forecasts; but were not statistically significant.

455 Smallholder farmers in Chiredzi trust and rely on IK and LK climate forecasts more than they do
456 on scientific weather forecasts in climate decision-making. This reveals the importance of
457 recognising the accessibility and reliability characteristics of IK and LK for climate information
458 services. Farmers that were using IK and LK climate forecasts were implementing, on average,
459 triple the number of adaptation measures compared to farmers that were not using IK and LK
460 climate forecasts. This highlights an important link between IK and LK and implemented adaptation
461 actions. Concretising the significant role IK and LK can play in climate change adaptation, as they

462 demonstrate the importance of recognising the instrumental values of IK and LK and how a
463 blended approach to using multiple ways of knowing can improve interventions targeting resilience
464 for climate exposed communities like smallholder farmers.

465 The evidence is overwhelming from our case study that IK and LK is increasing the resilience of
466 smallholder farmers to the current climate risks. However, concerns on the accuracy of the IK and
467 LK-based climate forecasts in Chiredzi are not known as well as the effectiveness and efficacy of
468 the associated climate adaptation measures at reducing the climate risk. Future research is
469 required that address the accuracy and effectiveness of the IK and LK.

470 **CRedit**

471 L. Z (Conceptualisation, Methodology, Formal analysis, Investigation, Data Curation, Writing –
472 Original Draft), P. J (Writing – Review and Editing, Supervision), N. P. S (Methodology, Writing –
473 Review and Editing), O. M. O (Formal analysis), C. H. T (Writing – Review and Editing, Supervision,
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