

Mechanical properties and strength evolution model of sandstone considering the coupling impact of freeze and thaw weathering process and confining pressure

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1 **Mechanical properties and strength evolution model of sandstone considering the**
2 **coupling impact of freeze and thaw weathering process and confining pressure**

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10 **Abstract**

11 Freeze and thaw (F&T) weathering cycles induced by day-night and seasonal temperature
12 changes cause a large number of rock mass engineering disasters in cold areas. Investigating
13 the impact of F&T weathering process on strength and deformation characteristics of frozen-
14 thawed rocks is therefore of critical scientific importance for evaluating the stability and
15 optimizing the design of rock mass engineering in these areas. In this research, the evolution
16 characteristics of F&T damage were analysed based on T_2 spectrum distribution curves of
17 sandstone specimens before and after F&T weathering cycles. The coupling impact of the
18 quantity of F&T weathering cycles and confining pressure on pre-peak and post-peak
19 deformation behaviours of sandstone specimens were analysed in detail. By introducing the
20 confining pressure increase factor (CPIF), the impact of confining pressure on triaxial
21 compressive strength (TCS) of sandstone specimens after undergoing different quantities of
22 F&T weathering cycles was further investigated. A novel strength evolution model was
23 proposed that could effectively describe the coupling impact of the quantity of F&T weathering
24 cycles and confining pressure on TCS of rocks after undergoing F&T weathering process. The
25 proposed strength evolution model was cross-verified with experimental data from the
26 published literature, and all correlation coefficients are above 0.95, which proves that the
27 strength evolution model proposed in this paper is reasonable; in addition, this model has strong
28 applicability.

29 **Keywords**

30 Freeze and thaw weathering cycles; confining pressure; confining pressure increase factor
31 (CPIF); strength evolution model; nuclear magnetic resonance (NMR).

32 **1 Introduction**

33 During the construction of rock mass engineering (such as mines, roads and tunnels) in
34 cold regions, the recurrence of F&T weathering processes induced by day-night and seasonal
35 temperature changes causes rapid damage and the deterioration of rock masses and has initiated
36 a large number of F&T disasters, such as rock falls, landslides(Matsuoka 2001; Matsuoka 2008;
37 Nicholson and Nicholson 2000) and the cracking of rock surrounding tunnels(Lai et al. 2000;
38 Zhang et al. 2004), which has a major impact on the design, construction and operation of rock
39 mass engineering in cold areas. The strength and deformation behaviours of rocks are the
40 theoretical basis for evaluating the stability and optimizing the engineering design of rock mass
41 engineering(Kang et al. 2017). Therefore, investigating mechanical properties of rocks after
42 undergoing F&T weathering cycles has great significance in evaluating the stability and
43 optimizing the design of rock mass engineering in cold areas.

44 The impact of F&T weathering process on rock mechanical properties have been
45 investigated by a considerable number of scholars. These investigation results indicate that
46 after rocks (such as sandstone, tuff, gneiss, granite and shale) being underwent F&T weathering
47 process, elastic modulus(Fang et al. 2018; Tan et al. 2011; Wang et al. 2016a; Wang et al. 2016c;
48 Zhang et al. 2019b), uniaxial compressive strength (UCS)(Fang et al. 2018; Khanlari et al.
49 2014; Momeni et al. 2016; Wang et al. 2016a; Wang et al. 2016c; Yavuz 2010; Zhang et al.
50 2019b), Brazilian tensile strength (BTS)(Altindag et al. 2004; Jamshidi et al. 2013; Jia et al.
51 2015; Zhang et al. 2019c), point load strength (PLS)(Altindag et al. 2004; Jamshidi et al. 2013),
52 dynamic uniaxial compressive strength (UCS_d)(Ke et al. 2018; Li et al. 2018; Ma et al. 2018;
53 Wang et al. 2016a; Wang et al. 2016c; Weng et al. 2020; Zhang et al. 2019b) and dynamic
54 tensile strength (BTS_d)(Liu et al. 2018; Weng et al. 2020) all decrease with the quantity of F&T
55 weathering cycles increases, but to different extents. In order to reveal the change laws in these
56 mechanical parameters with the quantity of F&T weathering cycles, a sequences of regression
57 analysis models(Bayram 2012; İnce and Fener 2016) and exponential decay models(Altindag
58 et al. 2004; GAO et al. 2019; Ghobadi et al. 2016; Jamshidi et al. 2013; Mutlutürk et al. 2004)
59 have been proposed based on the relationships between these mechanical parameters and the
60 quantity of F&T weathering cycles. In addition, Liu et al.(Liu et al. 2015) regarded F&T
61 weathering cycles as a kind of fatigue damage, and a fatigue damage model of rocks after
62 undergoing F&T weathering cycles was established. A prediction model of UCS of rocks after
63 undergoing F&T weathering cycles was obtained based on this fatigue damage model. Gao et
64 al.(Gao et al. 2020) established the UCS evolution model of frozen-thawed rocks based on
65 energy evolution characteristics of rock failure. However, these research findings mainly

66 focused on rock uniaxial mechanical properties of rocks after undergoing F&T weathering
67 cycles, which did not consider the impact of confining pressure on mechanical properties of
68 rocks after undergoing F&T weathering process. There is no doubt that the actual rock mass
69 engineering is always in a certain stress field(Wang et al. 2019; Zhang et al. 2019a). To be
70 closer to the actual engineering, it is necessary to study triaxial mechanical properties of rocks
71 after undergoing F&T weathering cycles.

72 Recently, scholars have increasingly studied triaxial mechanical properties of rocks after
73 undergoing F&T weathering cycles. Tan et al.(Tan et al. 2011) used granite as the research
74 object to investigate the impact of the quantity of F&T weathering cycles on uniaxial and
75 triaxial mechanical properties according to uniaxial compression tests (UCT) and triaxial
76 compression tests (TCT) after granite specimens being underwent F&T weathering process.
77 They found that both UCS and TCS decrease exponentially with the quantity of F&T
78 weathering cycles increases, as did the elastic modulus and cohesion. The relationships
79 between these mechanical properties and the quantity of F&T weathering cycles were built
80 according to an exponential function. Wang et al.(Wang et al. 2019) and Hosseini and
81 Khodayari(Hosseini and Khodayari 2019) also carried out similar studies taking sandstone as
82 the research object, and the research results both in accordance with those of Tan et al(Tan et
83 al. 2011). In addition, Hosseini and Khodayari(Hosseini and Khodayari 2019) also found that
84 the rate of reduction in the TCS was less than that of the UCS at the equivalent quantity of F&T
85 weathering cycles, and the higher the confining pressure is, the lower the rate of reduction is.
86 It is evident that the confining pressure have significant impact on TCS of rocks after
87 undergoing F&T weathering cycles. To this end, Fu et al.(Fu et al. 2018) operated a sequences
88 of TCT after transversely isotropic rocks being underwent F&T weathering process, and they
89 found that the quantity of F&T weathering cycles, bedding plane orientation and confining
90 pressure have significant impact on TCS of slate. A TCS prediction model for transversely
91 isotropic rocks after being underwent F&T weathering process was proposed based on the
92 single discontinuity theory and the functional relationships between cohesion and internal
93 friction angle and the quantity of F&T weathering cycles. Seyed Mousavi et al.(Seyed Mousavi
94 et al. 2019) also carried out similar studies taking calc-schist rock specimens as the research
95 objects. Finally, an empirical expression among the TCS, the quantity of F&T weathering
96 cycles and confining pressure was obtained according to experimental results and the
97 prediction model suggested by Fu et al.(Fu et al. 2018) Although the prediction model proposed
98 by Fu et al.(Fu et al. 2018) could effectively reflect the coupling impact of the quantity of F&T
99 weathering cycles and confining pressure on TCS of rocks after being underwent F&T

100 weathering process, however, this prediction model is based on transversely isotropic rocks
 101 and its applicability is not strong. Therefore, it is necessary to establish a more applicable
 102 strength evolution model to reveal the coupling impact of the quantity of F&T weathering
 103 cycles and confining pressure on TCS of rocks after being underwent F&T weathering process.
 104 In addition, these above-mentioned studies mainly focused on investigating strength
 105 deterioration characteristics of rocks after undergoing F&T weathering process, which did not
 106 deeply investigated deformation behaviours of rocks, especially the coupling impact of the
 107 quantity of F&T weathering cycles and confining pressure on deformation behaviours of rocks
 108 during the entire loading procedure.

109 In this study, saturated sandstone specimens were first subjected to different quantities of
 110 F&T weathering cycles, and change laws in the T_2 spectrum distribution curves of sandstone
 111 specimens before and after F&T weathering cycles were investigated. UCT and TCT of
 112 sandstone specimens after undergoing different quantities of F&T weathering cycles were
 113 conducted to obtain the UCS and TCS and the corresponding stress-strain curves. The
 114 coupling impact of the quantity of F&T weathering cycles and confining pressure on
 115 deformation behaviours of rocks were investigated. A novel strength evolution model
 116 considering the coupling impact of the quantity of F&T weathering cycles and confining
 117 pressure on TCS of rocks after undergoing F&T weathering process was established, and the
 118 proposed strength evolution model was cross-verified with experimental data from the
 119 published literature.

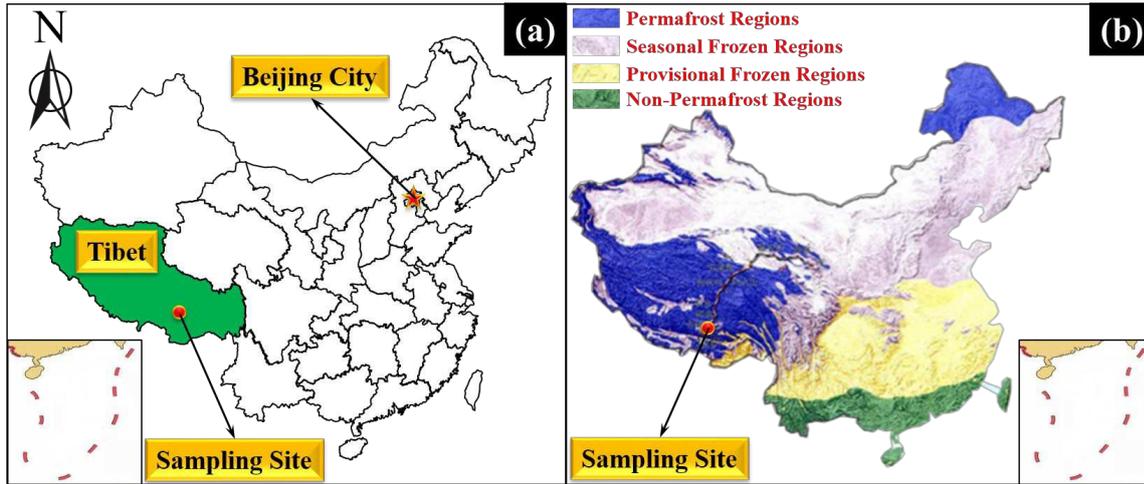
120 2 Experimental materials and methods

121 2.1 Rock specimen preparation

122 In this paper, rock specimens were sandstone which taken from the Jiama open pit copper
 123 mine located in the Tibet autonomous region of China. The sampling site as shown in Fig. 1a.
 124 Fig. 1b shows the distribution map for frozen soil of China. It can be seen Fig. 1b that the
 125 sampling site is on the boundary between the permafrost regions and seasonal frozen regions,
 126 therefore, the rock has been underwent the repeated F&T weathering cycles. Table 1 shows the
 127 mineral composition of sandstone, which obtained by X-ray diffraction (XRD) technique.

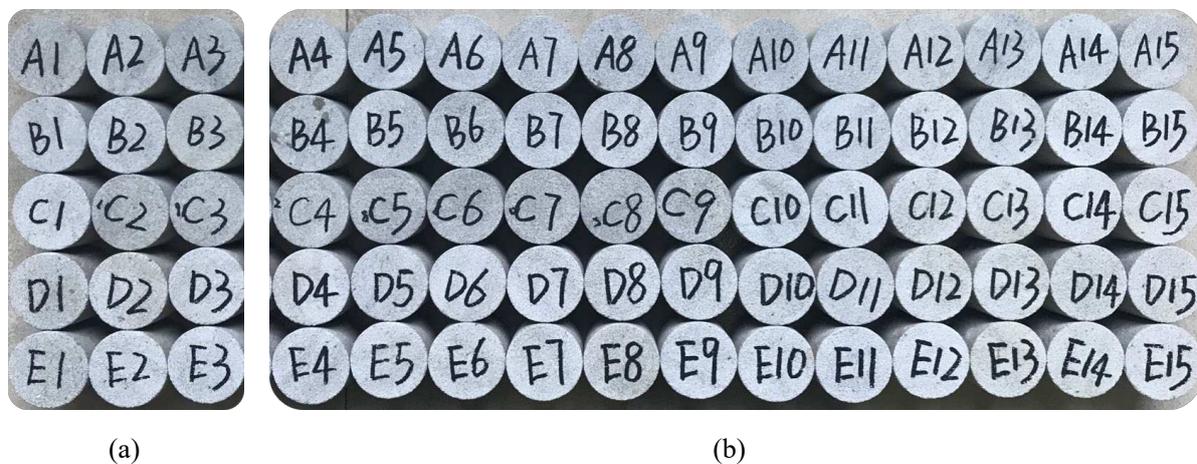
128 **Table 1** Mineral composition of sandstone specimens

Rock type	Mineral composition			
	Quartz (%)	Kaolinite (%)	Feldspar (%)	Mica (%)
Sandstone	88.14	7.44	3.05	1.37



130 **Fig. 1** Location of the sampling site: (a) location of the Jiama open pit cooper mine; and (b) distribution map
 131 for frozen soil of China.

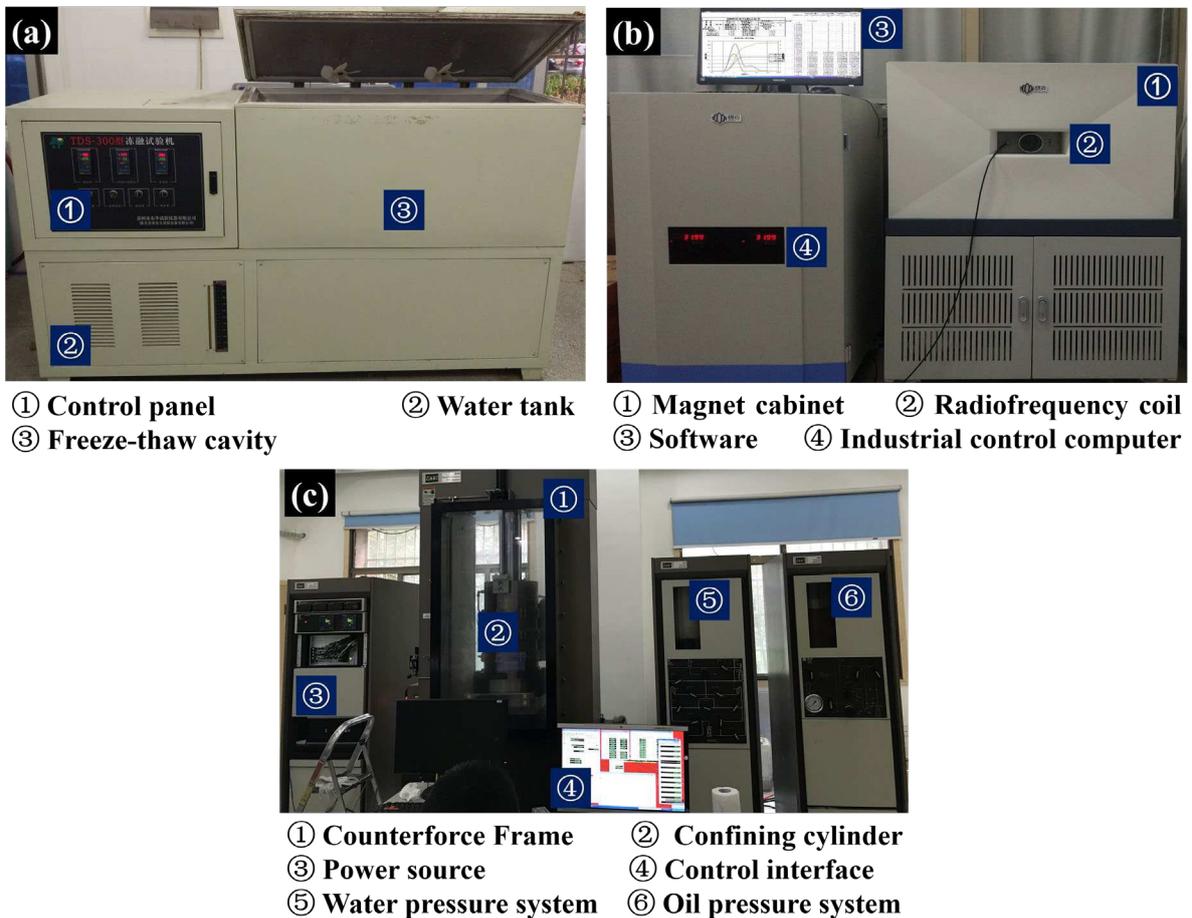
132 Based on the method suggested by the ISRM, all rock specimens are processed into
 133 cylinder with a diameter of 50 millimeter, and ensure that the flatness of end surfaces was less
 134 than 0.05 millimeter (Ulusay 2015). Careful preparations ensured that the maximum deviations
 135 of the diameter and height were less than 0.30 millimeter, and the vertical variance was less
 136 than 0.25° (Ulusay 2015). In this study, fifteen and sixty rock specimens were used in UCT and
 137 TCT, respectively, and the length/diameter ratio of rock specimens is 2.0 (Ulusay 2015). These
 138 specimens were divided into five groups (labelled A, B, C, D and E), and each group comprised
 139 fifteen rock specimens (labelled 1, 2 ... 14, 15). The sandstone specimens from group A, B, C,
 140 D and E were treated in 0cycle, 10cycles, 20cycles, 30cycles and 40cycles, respectively. As
 141 shown in Fig. 2a, the sandstone specimens were labelled 1-3 in each group were used for UCT.
 142 The confining pressures are 3, 6, 9 and 12 MPa in the TCT, corresponding to the sandstone
 143 specimens were labelled 4-6, 7-9, 10-12 and 13-15 in each group, as shown in Fig. 2b.



144 **Fig. 2** Rock Specimens: (a) specimens for uniaxial compression tests; and (b) specimens for triaxial
 145 compression tests.

146 **2.2 Test procedures and experimental apparatus**

147 All sandstone specimens were divided into five groups and dried in an oven for 48 hours
 148 at 65°C. The sandstone specimens without undergoing F&T weathering cycles were used
 149 directly to for UCT and TCT. The other sandstone specimens were placed in a vacuum pump
 150 with a pressure of 0.1 MPa for four hours, and next soaked in distilled water for twenty-four
 151 hours. The saturated specimens then went through specified quantity of F&T weathering cycles
 152 in a TDS-300 automatic F&T testing machine (as shown in Fig. 3a). When the quantity of F&T
 153 weathering cycles came to the specified quantities, the corresponding sandstone specimens
 154 were removed, and UCT and TCT were operated on an MTS815 electrohydraulic servo-
 155 controlled rock testing machine (as shown in Fig. 3c).

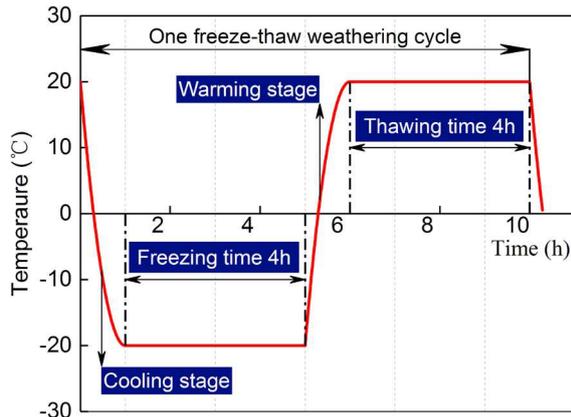


157 **Fig. 3** Experiment instrument: (a) TDS-300 automatic freeze-thaw test machine; (b) MesoMR23-060H-I
 158 NMR system; and (c) MTS815 electrohydraulic servo-controlled rock testing machine.

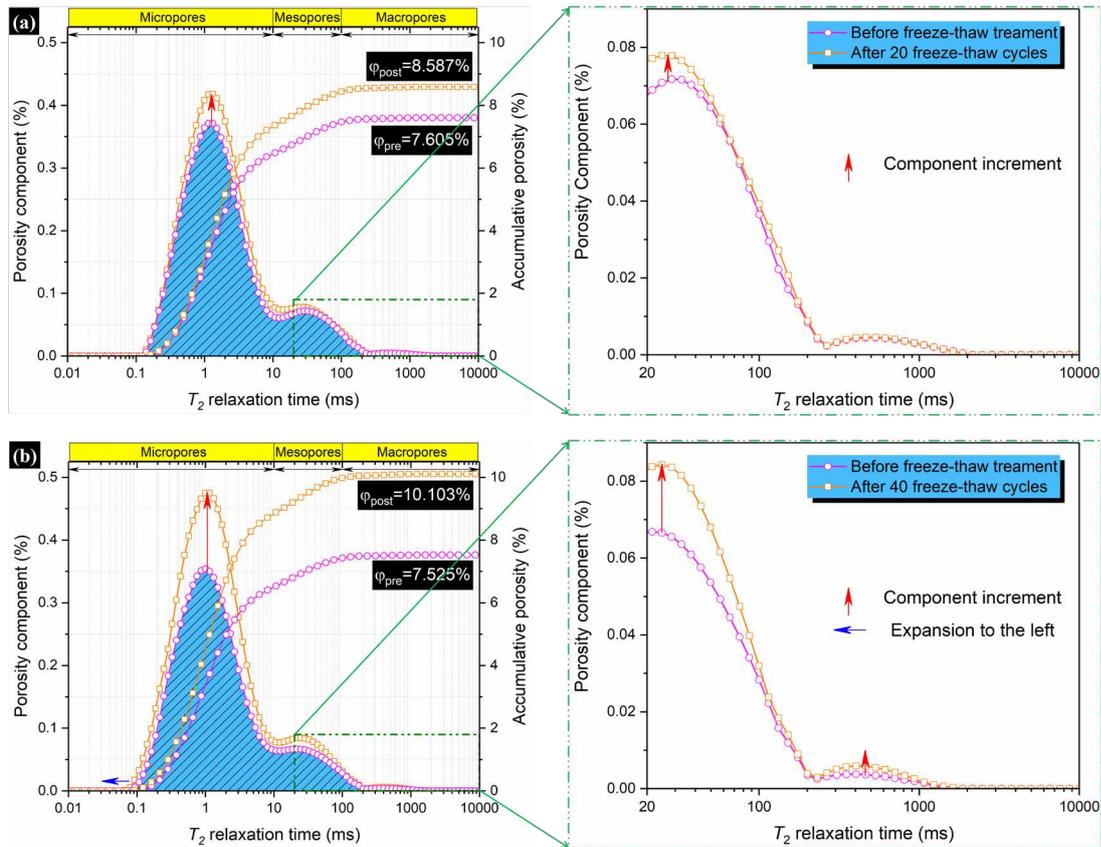
159 **2.3 F&T weathering cycles tests**

160 Designed based on the local climate of the sampling site, one F&T weathering cycle in
 161 our tests included freezing the saturated rock specimens at -20°C for four hours and then
 162 thawing in water at +20°C for four hours. The temperature variation curve of the F&T

163 weathering process is shown in Fig. 4. In this study, four groups of sandstone specimens were
 164 subjected to F&T weathering tests corresponding to 10cycles, 20cycles, 30cycles and 40cycles.
 165 In order to reveal evolution characteristics of F&T damage, the MesoMR23-060H-I NMR
 166 system (as shown in Fig. 3b) was used to conduct Nuclear magnetic resonance (NMR) tests to
 167 obtain T_2 spectrum distribution curves before and after the F&T weathering cycles(Gao et al.
 168 2016; Li et al. 2016; Li et al. 2012; Liu et al. 2020; Shen et al. 2020; Sun et al. 2020; Wang et
 169 al. 2020).



171 **Fig. 4** Temperature variation curves of one F&T weathering cycle.



174 **Fig. 5** T_2 spectrum distribution curves of sandstone specimens at different quantities of F&T weathering
 175 cycles: (a) 20 F&T weathering cycles and (b) 40 F&T weathering cycles.

176 Fig. 5 displays T_2 spectrum distribution curves of sandstone specimens before and after
 177 20 (as shown in Fig. 5a) and 40 (as shown in Fig. 5b) F&T weathering cycles. In T_2 spectrum
 178 distribution curves, the T_2 relaxation time (horizontal axis) is a measurement of the internal
 179 pore sizes, and the porosity component (vertical axis) is the proportion of the corresponding
 180 pore sizes(Li et al. 2018). As can be seen from Fig. 5a, after the sandstone specimens being
 181 underwent 20cycles, the peak values of T_2 spectrum distribution curve increases, indicating the
 182 sizes of the internal pores increases. As shown in Fig. 5b, when the sandstone specimens after
 183 undergoing 40cycles, the increases of peak values are even more significant. In addition, the
 184 expansion of the curve to the left suggests that the sizes of some small pores increase. This
 185 demonstrates that in the early stage of F&T weathering cycles, the original internal pores and
 186 microcracks are constantly developing. With the increase of quantity of F&T weathering cycles,
 187 in the later stage of F&T weathering cycles, in addition to the expansion of original internal
 188 pores and the constant extension of microcracks, new pores and microcracks are generated, i.e.,
 189 with the increase of quantity of F&T weathering cycles, the accumulated damage inner
 190 sandstone specimens constantly increases.

191 2.4 Uniaxial and triaxial compression tests

192 UCT and TCT were conducted on an MTS815 electrohydraulic servo-controlled rock
 193 testing machine. The axial and circumferential extensometers were used in our experiment to
 194 measure the axial and lateral strains. In our experiment, the displacement-control loading mode
 195 was used and the loading rate is 0.1 mm/min. The measured UCS and TCS of sandstone
 196 specimens are listed in Table 2.

197 **Table 2** Uniaxial and triaxial compression test results of sandstone specimens after undergoing different
 198 quantities of F&T weathering cycles

Quantity of F&T weathering cycles	Confining pressure (MPa)	Specimen ID	Diameter (mm)	Height (mm)	Peak compressive strength (MPa)	
					Tested value	Average value
0	0	A1	48.54	100.26	26.94	27.50
		A2	49.12	100.28	27.51	
		A3	48.96	99.88	28.05	
		A4	49.06	100.02	48.78	
		A5	49.14	99.84	47.99	
	3	A6	49.02	100.16	51.26	49.34
		A7	48.94	100.10	62.87	
		A8	49.06	100.14	64.75	
		A9	49.00	100.28	62.23	
		A10	48.74	100.22	72.12	
	6	A11	48.88	100.08	75.46	74.61
		A12	48.96	100.20	76.25	
		A13	49.48	99.84	83.76	
		A14	48.94	99.88	85.24	
		A15	48.04	100.16	86.25	
9	6	A14	48.94	99.88	85.24	85.08
		A15	48.04	100.16	86.25	

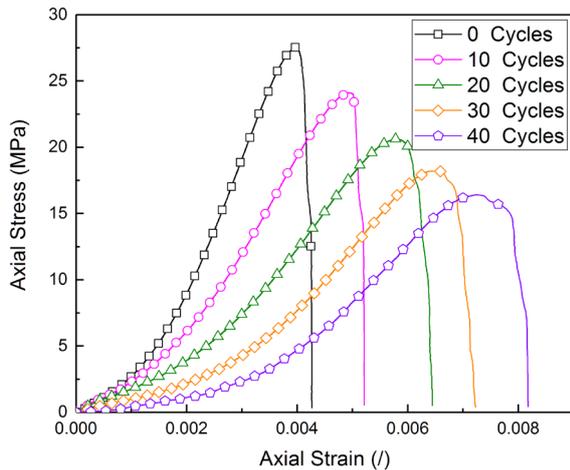
10	0	B1	49.12	100.02	25.60	24.38
		B2	49.46	100.02	24.11	
		B3	48.96	99.84	23.44	
	3	B4	48.86	100.04	44.88	44.58
		B5	48.74	100.28	45.58	
		B6	48.56	100.06	43.28	
	6	B7	48.52	100.12	56.86	57.57
		B8	49.10	100.12	57.22	
		B9	49.04	100.66	58.64	
	9	B10	49.02	100.18	70.33	68.99
		B11	48.98	99.94	67.40	
		B12	48.96	100.24	69.24	
	12	B13	49.14	99.94	81.88	80.13
		B14	48.82	99.72	79.55	
		B15	48.84	100.16	78.96	
20	0	C1	49.04	100.02	19.68	20.79
		C2	48.88	100.10	20.62	
		C3	49.18	99.90	22.06	
	3	C4	48.96	100.24	39.12	40.11
		C5	49.04	100.10	42.89	
		C6	49.04	100.12	38.33	
	6	C7	49.08	100.18	52.11	53.25
		C8	48.76	99.82	54.48	
		C9	48.52	100.22	53.15	
	9	C10	48.74	99.98	65.40	64.24
		C11	48.70	99.86	64.06	
		C12	49.12	100.14	63.25	
	12	C13	49.20	100.20	74.59	74.28
		C14	49.78	99.86	72.66	
		C15	48.88	99.96	75.58	
30	0	D1	49.52	100.16	19.04	18.17
		D2	48.84	99.88	18.2	
		D3	49.04	100.02	17.26	
	3	D4	48.36	100.02	35.18	36.47
		D5	49.12	99.78	38.97	
		D6	48.76	100.06	35.26	
	6	D7	48.82	100.16	48.80	49.29
		D8	49.06	99.78	50.81	
		D9	49.92	100.04	48.25	
	9	D10	48.80	99.86	58.28	59.41
		D11	49.06	100.02	60.16	
		D12	49.82	99.90	59.78	
	12	D13	49.02	100.22	66.62	67.26
		D14	49.28	100.16	65.37	
		D15	49.12	99.94	69.78	
40	0	E1	48.84	99.84	15.88	16.39
		E2	48.84	100.04	16.41	
		E3	48.60	100.20	16.88	
	3	E4	49.02	100.18	33.06	32.84
		E5	48.86	99.88	31.78	
		E6	48.68	100.30	33.68	
	6	E7	48.76	99.84	45.82	43.94
		E8	48.98	100.08	42.75	
		E9	48.68	100.14	43.25	
	9	E10	48.96	10.14	52.73	52.29
		E11	48.88	100.02	49.9	
		E12	49.08	100.14	54.25	
	12	E13	48.92	100.18	61.77	61.13
		E14	49.16	99.92	59.38	
		E15	48.90	100.20	62.25	

199 **3 Experimental results and analysis**

200 **3.1 Uniaxial mechanical properties variation characteristics of sandstone after**
201 **undergoing F&T weathering cycles**

202 **3.1.1 Stress-strain curve**

203 Fig. 6 displays stress-strain curves of sandstone specimens after undergoing different
204 quantities of F&T weathering cycles under uniaxial compression conditions. All stress-strain
205 curves have the same variation patterns, stress-strain curves both could be separated into five
206 stages during the whole load process, that is, compaction stage, elastic deformation stage, yield
207 stage, failure stage and strain softening stage. As the quantity of F&T weathering cycles
208 increases, the stress-strain curve shows three obvious features: (1) the compaction stage
209 becomes longer, (2) the slope at the linear deformation stage decreases, as does the UCS, and
210 (3) the stress dropping rate of the post-peak decreases. The main reason is that the length of the
211 compaction stage and the slope of the linear deformation stage are proportional to the number
212 of micro-defects inside the rock. Under F&T weathering process, water migration and
213 transformation from water to ice causes micro-defects gradually develop, and the number of
214 micro-defects increase as the increase of quantity of F&T weathering cycles. The main reason
215 for the reduction of the stress dropping rate of the post-peak is that F&T weathering cycles
216 cause cohesion between the particles to gradually decrease, which causes sandstone specimens
217 become soft and the plasticity to increase.



219 **Fig. 6** Stress-strain curves of sandstone specimens after undergoing different quantities of F&T weathering
220 cycles at uniaxial compression tests.

221 **3.1.2 UCS**

222 Fig 7 shows the changes in the UCS and its reduction ratio at different quantities of F&T
223 weathering cycles. The reduction ratio of UCS is defined as follows:

224

$$\eta = \frac{\sigma_0 - \sigma_N}{\sigma_0} \times 100\% \quad (1)$$

225 where σ_0 is the UCS of sandstone specimens without any F&T weathering cycles; σ_N is
 226 the UCS of sandstone specimens after undergoing N quantity of F&T weathering cycles; and
 227 η is the reduction ratio of the UCS.

228 As shown in Fig. 7, compared with the original average UCS (27.50 MPa), the reduction
 229 ratios are 11.33% (24.38 MPa), 24.41% (20.79 MPa), 33.94% (18.17 MPa) and 40.40% (16.39
 230 MPa), corresponding to 10cycles, 20cycles, 30cycles, and 40 cycles, respectively. The reason
 231 could be explained as follows: the water migration and transformation from water to ice under
 232 the F&T weathering process causes micro-defects gradually develop, and sandstone specimens
 233 become more fragmented. It is noticeable that the UCS exponentially decays with the quantity
 234 of F&T weathering cycles increases, similar to laws in the literature(Ghobadi et al. 2016;
 235 Jamshidi et al. 2013; Mutlutürk et al. 2004). The experimental data was fitted by the decay
 236 model suggested by Mutlutürk et al.(Muttutürk et al. 2004), and the decay model is defined as
 237 follows:

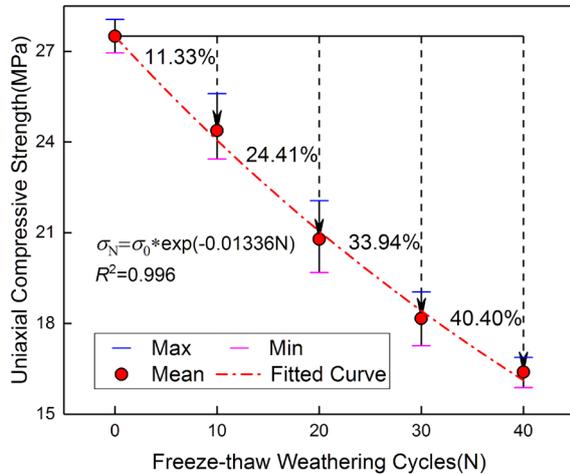
$$I_N = I_0 e^{-\lambda N} \quad (2)$$

239 where I is the rock integrity; λ is the decay coefficient; and N is the quantity of F&T
 240 weathering cycles.

241 In this paper, the UCS was regarded as the rock integrity, therefore, the decay model
 242 becomes as follows:

$$\sigma_N = \sigma_0 e^{-\lambda N} \quad (3)$$

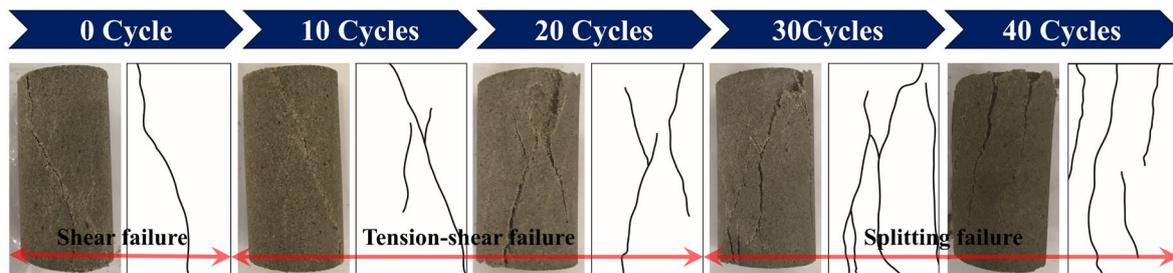
244 Fitting curves of our test are shown in Fig. 7. The model fits well with experimental data,
 245 and the fitting coefficient of determination (R^2) greater than 0.99.



247 **Fig. 7** UCS and its reduction ratio of sandstone specimens after undergoing different quantities of F&T
 248 weathering cycles.

249 **3.1.3 Failure modes**

250 Fig. 8 shows failure modes of the sandstone specimens after undergoing different
251 quantities of F&T weathering cycles at uniaxial compression conditions. The failure mode is
252 single incline plane shear failure when sandstone specimens without undergoing F&T
253 weathering cycles. The failure modes become tension-shear comprehensive failure and
254 splitting failure with the quantity of F&T weathering cycles increases. Tension-shear
255 comprehensive failure occurs in the sandstone specimens after undergoing 10cycles and 20
256 cycles, and sandstone specimens are fragmented. Splitting failure occurs in sandstone
257 specimens when the quantity of F&T weathering cycles are 30 and 40. The increase of number
258 of macroscopic cracks on the surfaces of sandstone specimens, and sandstone specimens
259 become more fragmented. The reason of failure modes change with the quantity of F&T
260 weathering cycles is that the F&T weathering process causes micro-defects to gradually
261 develop inside the sandstone specimens, which causes cracks to be more likely to expand in
262 the axial direction under uniaxial compression conditions.



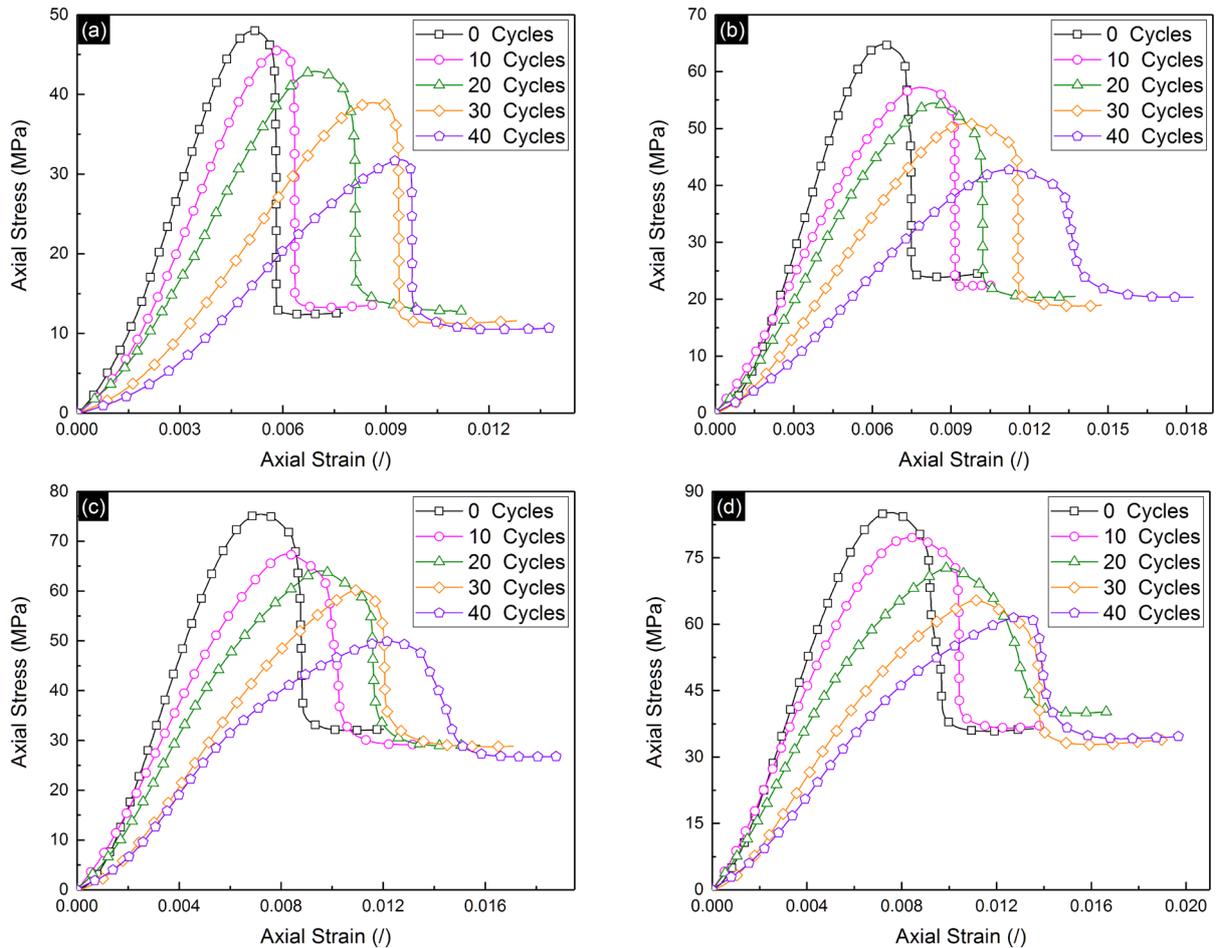
264 **Fig. 8** Failure modes of sandstone specimens after undergoing different quantities of F&T weathering cycles
265 at uniaxial compression tests.

266 **3.2 Triaxial mechanical properties variation characteristics of sandstone after undergoing**
267 **F&T weathering cycles**

268 **3.2.1 Stress-strain curve**

269 Fig. 9 displays stress-strain curves of sandstone specimens after undergoing different
270 quantities of F&T weathering cycles under different confining pressures. Compared with
271 stress-strain curves of sandstone specimens after undergoing different quantities of F&T
272 weathering cycles under uniaxial compression conditions, all stress-strain curves have obvious
273 residual strength characteristics. In addition to, variation characteristics of stress-strain curves
274 are similar under different confining pressures with the quantity of F&T weathering cycles
275 increases. All stress-strain curves both could be separated into six stages: compaction stage,
276 elastic deformation stage, yield stage, failure stage, strain softening stage and residual strength

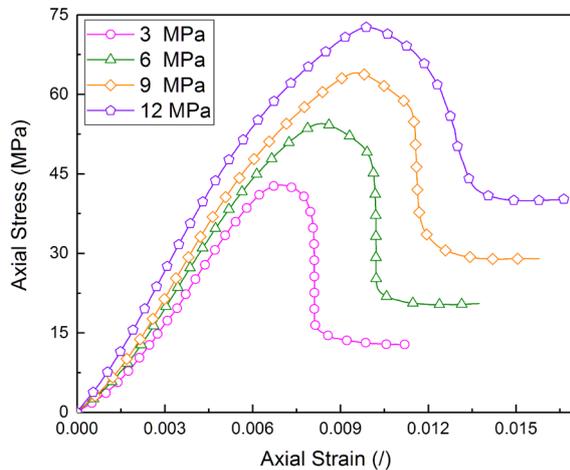
277 stage. When the confining pressure is a constant, with the increase of quantity of F&T
 278 weathering cycles, except for the residual strength gradually decreases, the other variation
 279 characteristics are similar to those at uniaxial compression conditions, that is, (1) the
 280 compaction stage becomes longer, (2) the slope at the linear deformation stage decreases, as
 281 does the TCS, and (3) the stress drop rate in the post-peak stress decreases. The main reason
 282 for these characteristics are the same as that under uniaxial compression conditions.



285 **Fig. 9** Stress-strain curves of sandstone specimens after undergoing different quantities of F&T weathering
 286 cycles under triaxial compression tests: (a) 3 MPa; (b) 6 MPa; (c) 9 MPa; and (d) 12MPa.

287 Fig. 10 displays stress-strain curves of sandstone specimens after undergoing 20 F&T
 288 weathering cycles at different confining pressures. With the increase of confining pressure,
 289 stress-strain curves have four obvious features: (1) the compaction stage becomes shorter, (2)
 290 the slope at the linear deformation stage increases, as does the TCS, (3) the stress dropping
 291 ratio of the post-peak decreases, and (4) the residual strength increases. The main reason is that
 292 the length of the compaction stage and the slope of the linear deformation stage are proportional
 293 to the number of micro-defects inner the rock. Under the confining pressure, micro-defects
 294 inner the rock caused by the F&T weathering process are pre-compression, which causing the

295 decrease of number of micro-defects, and the higher the confining pressure is, the larger the
 296 number of micro-defects that are pre-compression is. The main reason for the decrease of stress
 297 dropping ratio of the post-peak and the increase of residual strength is that the confining
 298 pressure could make the post-peak deformation behaviour of rocks transition from brittleness
 299 to plasticity(Rummel and Fairhurst 1970; Yao et al. 2016; Zhang et al. 2008; Zhao et al. 2018).



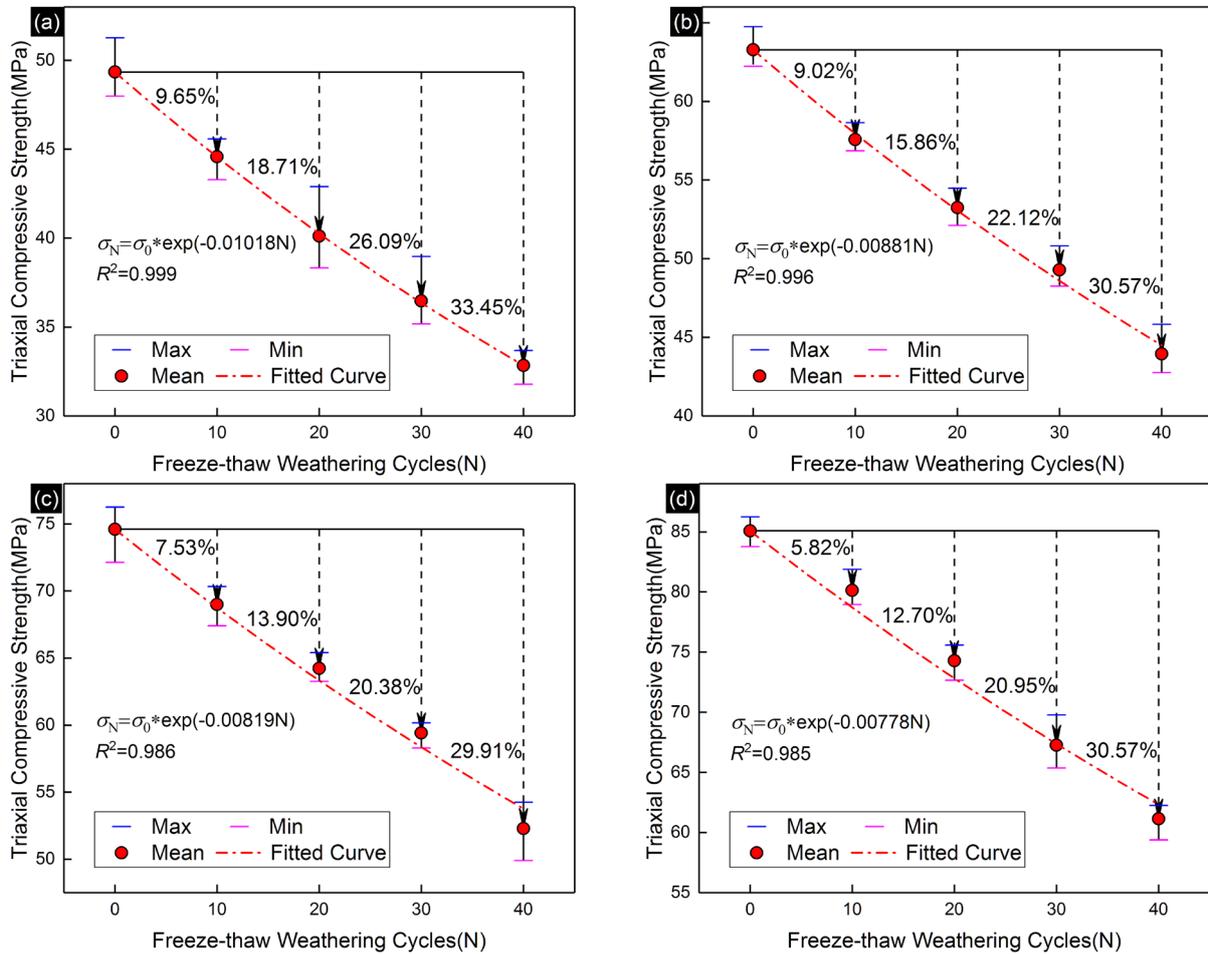
301 **Fig. 10** Stress-strain curves of sandstone specimens at different confining pressures (20 F&T weathering
 302 cycles).

303 These variation characteristics indicate that the impact of the quantity of F&T weathering
 304 cycles on pre-peak deformation behaviour and residual strength are opposite confining pressure,
 305 and post-peak deformation behaviours are similar to confining pressure.

306 3.2.2 TCS

307 Fig. 11 displays the relationship between the TCS and its reduction ratio and the quantity
 308 of F&T weathering cycles at different confining pressures. It can be seen that the TCS decrease
 309 as the increase of quantity of F&T weathering cycles when the confining pressure is a constant,
 310 similar to laws in the uniaxial compression conditions. Compared with the original average
 311 TCS, the reduction ratios are 9.55%, 18.71%, 26.09% and 33.45%, corresponding to 10cycles,
 312 20cycles, 30cycles, and 40cycles, respectively, when the confining pressure is 3 MPa; the
 313 reduction ratios are 9.02%, 15.86%, 22.12% and 30.57%, corresponding to 10cycles, 20cycles,
 314 30cycles, and 40cycles, respectively, when the confining pressure is 6 MPa; the reduction ratios
 315 are 7.53%, 13.90%, 20.38% and 29.91%, corresponding to 10cycles, 20cycles, 30cycles, and
 316 40cycles, respectively, when the confining pressure is 9 MPa; the reduction ratios are 5.82%,
 317 12.70%, 20.95% and 30.57%, corresponding to 10cycles, 20cycles, 30cycles, and 40cycles,
 318 respectively, when the confining pressure is 12 MPa. At different confining pressures, variation
 319 characteristics between TCS of sandstone specimens and the quantity of F&T cycles are similar
 320 to UCS. Therefore, the experimental data could be used the model suggested by Mutlutürk et

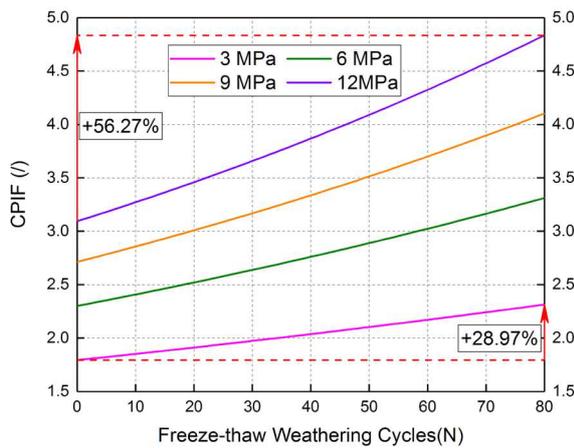
321 al.(Mutlutürk et al. 2004) to fit, and fitting results of our tests are shown in Fig. 11. The model
 322 fit well with experimental data, and the fitting coefficient of determination (R^2) greater than
 323 0.98. The decay coefficient are 0.01018, 0.00881, 0.00819 and 0.00778, corresponding to 3, 6,
 324 9 and 12 MPa, respectively. The results indicate that the decay coefficient decrease with the
 325 confining pressure increases, and the higher the confining pressure is, the larger the reduction
 326 of in the decay coefficient is. Therefore, the TCS of sandstone after undergoing F&T
 327 weathering process is obviously impacted by confining pressure. To further study the impact
 328 of confining pressure on TCS of sandstone after undergoing F&T weathering process, referring
 329 to the definition of the dynamic increase factor(Cadoni 2010; Wang et al. 2016b). The confining
 330 pressure increase factor (CPIF) could be defined as TCS/UCS.



333 **Fig. 11** TCS and its reduction ratio of sandstone specimens after undergoing different quantities of F&T
 334 weathering cycles: (a) 3 MPa; (b) 6 MPa; (c) 9 MPa; (d) 12 MPa.

335 Fig. 12 displays CPIF curves of sandstone specimens after undergoing different quantities
 336 of F&T weathering cycles at different confining pressures. As shown in Fig. 12, variation
 337 characteristics of CPIF curves are similar under different confining pressures with the quantity
 338 of F&T weathering cycles increases, that is, the CPIF increase with the quantity of F&T

339 weathering cycles increases. However, the higher the confining pressure is, the larger the
 340 increase amplitude of the CPIF is. For example, when the confining pressure is 3 MPa, the
 341 value of the CPIF is 1.79 without F&T weathering cycles, the CPIF is 2.31 after sandstone
 342 being underwent F&T weathering cycles, and the increase ratio is 28.97%; when the confining
 343 pressure is 12 MPa, the value of the CPIF is 3.09 without F&T weathering cycles, the CPIF is
 344 4.83 after sandstone being underwent F&T weathering cycles, and the increase ratio is 56.27%.
 345 This indicate that sandstone specimens after undergoing more F&T weathering cycles are more
 346 sensitive to confining pressure.



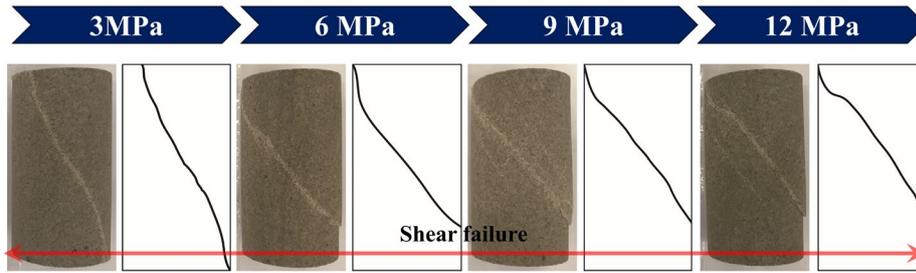
348 **Fig. 12** Confining pressure increase factor curves at different confining pressures

349 These results demonstrate that the UCS of sandstone after undergoing F&T weathering
 350 process is mainly controlled by the quantity of F&T weathering cycles under uniaxial
 351 compression conditions. The TCS of sandstone after undergoing F&T weathering process is
 352 impacted by the quantity of F&T weathering cycles and confining pressure under triaxial
 353 compression conditions, and these two factors have completely opposite impacts. The main
 354 reason is that water-ice phase and water migration under F&T weathering cycles cause micro-
 355 defects to gradually develop inside sandstone specimens, which induces F&T damage.
 356 However, under confining pressure conditions, some micro-defects inside sandstone specimens
 357 have been closed, which lessens the damage induced by F&T weathering process. Therefore,
 358 for the slope engineering of open-pit mine in cold areas, application of the anchor
 359 reinforcement technology to provide prestress could lessen the damage induced by F&T
 360 weathering process and improve the stability of the slope.

361 3.2.3 Failure modes

362 Under different quantities of F&T weathering cycles, failure modes of sandstone
 363 specimens have same evolution characteristics with change of confining pressure. Therefore,
 364 Fig. 13 only shows failure modes under different confining pressures after sandstone specimens

365 being underwent 20 F&T weathering cycles. As shown in Fig. 13, under different confining
 366 pressures, failure modes of sandstone specimens both are single inclined plane shear failure,
 367 however, the length of the shear failure plane becomes shorter with the increase of confining
 368 pressure. The main reason is that the lateral deformation is limited under the confining pressure,
 369 so sandstone specimens only exhibit single inclined plane shear failure. In addition, the higher
 370 the confining pressure is, the more severe the limiting effect is, so the length of the shear failure
 371 plane becomes shorter with the increase of confining pressure.



373 **Fig. 13** Failure modes of sandstone specimens at different confining pressures (20 F&T weathering cycles).

374 **4 Strength evolution model of rock specimens considering the freeze-thaw weathering**
 375 **process and confining pressure**

376 The experimental results demonstrate that the TCS of rocks after undergoing F&T
 377 weathering process is impacted by the quantity of F&T weathering cycles and confining
 378 pressure under triaxial compression conditions. The model suggested by Mutlutürk et al. could
 379 only describe the change in the peak compressive strength of rocks after undergoing F&T
 380 weathering process at a specific confining pressure, which not considering the coupling impact
 381 of the quantity of F&T weathering cycles and confining pressure. Therefore, it is necessary to
 382 establish a novel model that could reflect evolution laws of TCS of rocks after undergoing F&T
 383 weathering process. The impact of confining pressure on TCS could be described by rock
 384 strength criterion. Hoek-Brown strength criterion proposed by Hoek-Brown could describe the
 385 failure of broken rock mass. The expression of Hoek-Brown strength criterion is as
 386 follows(Hoek and Brown 1997; Hoek et al. 2002):

387
$$\sigma_1 = \sigma_3 + \sqrt{m_i \sigma_{ci} \sigma_3 + \sigma_{ci}^2} \quad (4)$$

388 where σ_{ci} is the UCS; σ_1 is the TCS; m_i is the material constant; and σ_3 is the confining
 389 pressure.

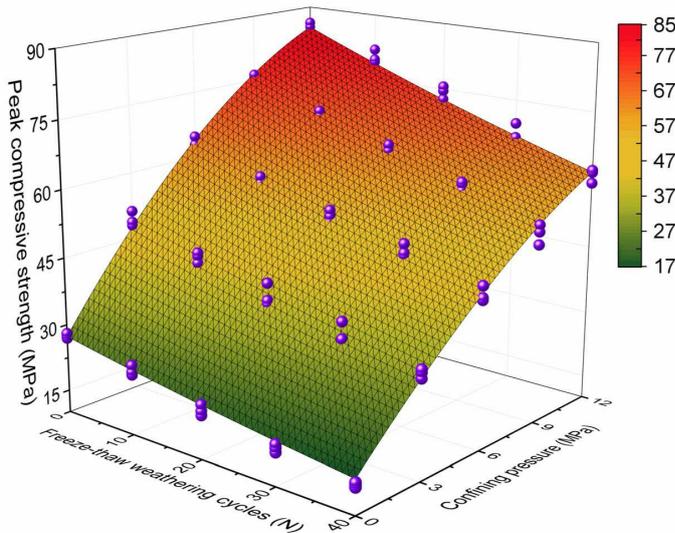
390 Under F&T weathering process, the water migration and transformation from water to ice
 391 induces micro-defects to gradually develop, which causes the rock specimens become more
 392 fragmented. Therefore, Hoek-Brown strength criterion could be adopted to describe the impact
 393 of the confining pressure on the TCS of rocks after undergoing F&T weathering process.

395 σ_1 and confining pressure σ_3 could be simplified into a quadratic function expression.
 396 Based on this simplified relationship and the model suggested by Mutlutürk et al., this paper
 397 proposed a novel strength evolution model to describe the coupling impact of the quantity of
 398 F&T weathering cycles and confining pressure on TCS. The expression of the strength
 399 evolution model is as follows:

$$400 \quad \sigma_1 = (a + b\sigma_3 + c\sigma_3^2) \exp[(d + e\sigma_3 + f\sigma_3^2)N] \quad (5)$$

401 where a, b, c, d, e and f are fitting parameters determined by properties of rocks.

402 According to Eq. (5), MATLAB was adopted to fit experimental data of TCS of sandstone
 403 specimens after undergoing different quantities of F&T weathering cycles and different
 404 confining pressures, the fitting surface is shown in Fig. 14 and fitting parameters are shown in
 405 Table 3. As shown in Fig. 14 and Table 3, the fitting surface agrees well with the experimental
 406 data, and the correlation coefficient is up to 0.992, which indicates that the proposed model
 407 could effectively describe the coupling impact of the quantity of F&T weathering cycles and
 408 confining pressure on TCS of sandstone after undergoing F&T weathering process.



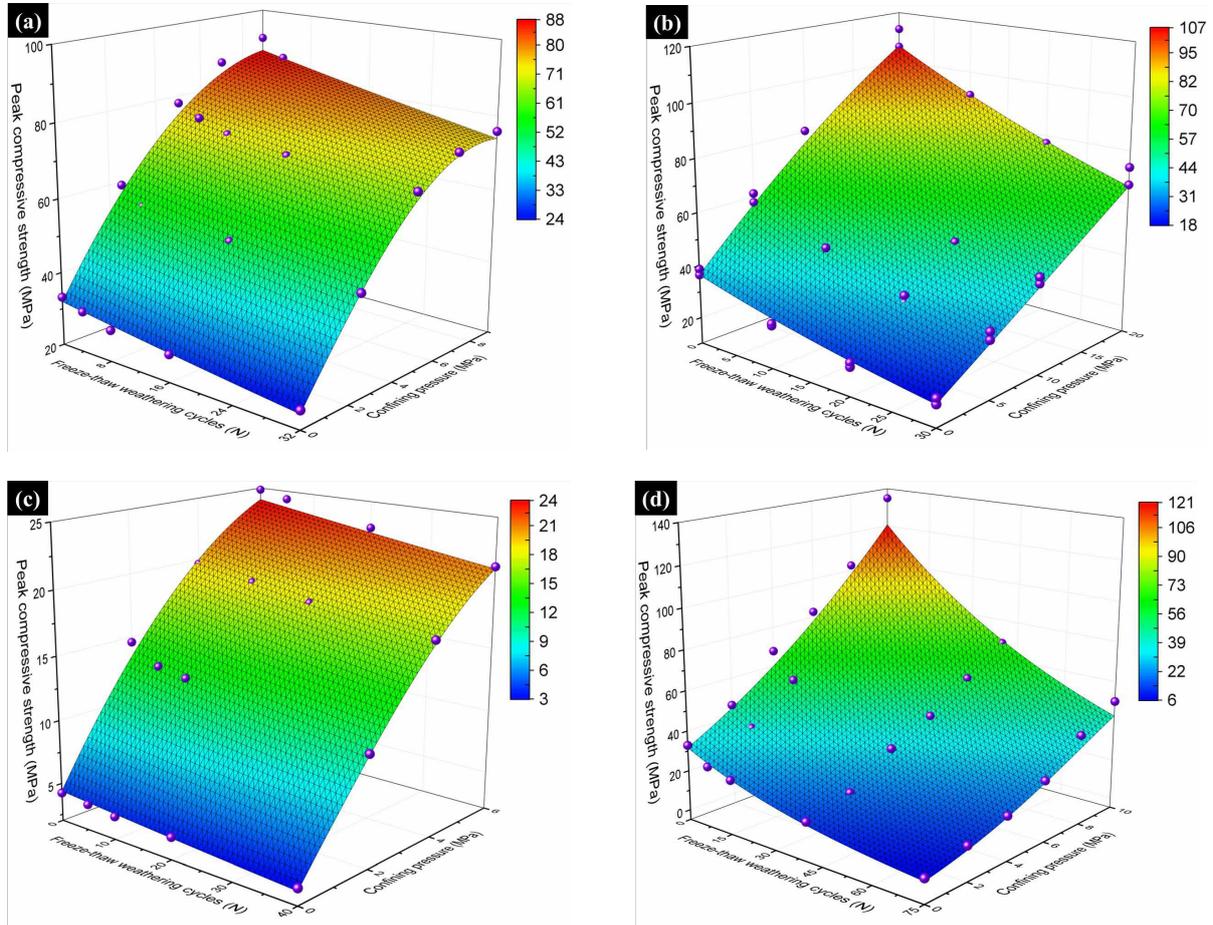
410 **Fig. 14** Fitting results of TCS of sandstone specimens at different quantities of F&T weathering cycles and
 411 different confining pressures.

412 **Table 3** Fitting parameters of strength evolution model of sandstone specimens

Fitting parameters	a	b	c	d	e	f	R^2
Value	27.358	7.412	-0.216	1.101×10^{-2}	3.257×10^{-4}	-6.043×10^{-6}	0.992

413 To further validate the rationality of proposed model in this paper, the Eq. (5) was adopted
 414 to fit the tested value from published literature (Fu et al. 2018; Hosseini and Khodayari 2019;
 415 Seyed Mousavi et al. 2019; Zhang et al. 2019a). The fitting surfaces are shown in Fig. 15, and
 416 fitting parameters are shown in Table 4. As shown in Fig. 15 and Table 4, fitting surfaces agree

417 well with the tested value, and all fitting correlation coefficients are above 0.95, which proves
 418 that the strength evolution model proposed in this paper is reasonable; in addition, this model
 419 has strong applicability.



422 **Fig. 15** Fitting results of TCS of rocks at different quantities of F&T weathering cycles and different
 423 confining pressures: (a) from (Hosseini and Khodayari, 2019);(b) from (Fu et al., 2018); (c) from (Zhang et
 424 al., 2019); and (d) from (Seyed Mousavi et al., 2019).

425 **Table 4** Fitting parameters of strength evolution model of rock specimens from the published literature

Data source	Fitting parameters						R^2
	a	b	c	d	e	f	
From (Hosseini and Khodayari, 2019)	32.242	11.493	-0.580	9.190×10^{-3}	1.570×10^{-3}	-1.309×10^{-4}	0.988
From (Fu et al., 2018)	34.444	4.333	-0.042	2.314×10^{-2}	3.788×10^{-4}	-2.546×10^{-7}	0.977
From (Zhang et al., 2019).	4.387	5.155	-0.313	8.880×10^{-3}	1.500×10^{-3}	-9.298×10^{-5}	0.992
From (Seyed Mousavi et al., 2019)	31.680	5.907	0.299	-2.328×10^{-2}	1.810×10^{-3}	-8.714×10^{-5}	0.965

426 5 Conclusion

427 In this paper, the evolution characteristics of the F&T damage of sandstone was analysed
428 based on NMR techniques. Uniaxial and triaxial compression tests of sandstone after
429 undergoing different quantities of F&T weathering cycles were operated to investigate the
430 coupling impact of the quantities of F&T weathering cycles and confining pressure on
431 mechanical properties and failure modes. A novel strength evolution model was proposed to
432 describe the coupling impact of the quantity of F&T weathering cycles and confining pressure
433 on TCS of rocks after undergoing F&T weathering process. The following main conclusions
434 could be drawn from this research:

435 (a) In the early stage of F&T weathering cycles, original internal pores and microcracks
436 are constantly developing. With the quantity of F&T weathering cycles increases, in the later
437 stage of F&T weathering cycles, besides the expansion of original internal pores and the
438 constant extension of microcracks, new pores and microcracks are generated, i.e., , the
439 accumulated damage inner sandstone specimens constantly increases with the quantity of F&T
440 weathering cycles increases.

441 (b) The impact of the quantity of F&T weathering cycles on pre-peak deformation
442 behaviours, peak compressive strength and residual strength are the opposite confining
443 pressure, and post-peak deformation behaviours are similar to confining pressure. When the
444 confining pressure is a constant, with the increase of quantity of F&T weathering cycles, the
445 compaction stage becomes longer, and the slope at the linear deformation stage, the peak
446 compressive strength, the residual strength and the stress dropping rate of the post-peak both
447 decreases. When the quantity of F&T weathering cycles is a constant, with the increase of
448 confining pressure, the compaction stage becomes shorter, and the slope at the linear
449 deformation stage, the peak compressive strength and the residual strength both increases, but
450 the stress dropping rate of the post-peak decreases.

451 (c) At uniaxial compression tests, the failure mode of sandstone specimens change with
452 the quantity of F&T cycles increases. The failure mode is single inclined plane shear failure
453 when sandstone specimens without undergoing F&T weathering cycles. In the early and later
454 stages of F&T weathering cycles, failure modes become tension-shear comprehensive failure
455 and splitting failure, respectively. At triaxial compression tests, the failure mode of sandstone
456 specimens under different confining pressures both is single inclined plane shear failure,
457 regardless of the quantity of F&T weathering cycles experienced. However, the length of the
458 shear failure plane becomes shorter with the confining pressure increases.

459 (d) Variation characteristics of CPIF curves are similar under different confining pressures

460 with the quantity of F&T weathering cycles increases, that is, the CPIF increase with the
461 quantity of F&T weathering cycles increases. However, the higher the confining pressure is,
462 the larger the increase amplitude of the CPIF is. This indicate that sandstone specimens after
463 undergoing more F&T weathering cycles are more sensitive to confining pressure.

464 (e) A novel strength evolution model that could describe the coupling impact of the
465 quantity of F&T weathering cycles and confining pressure on TCS of rocks after undergoing
466 F&T weathering process was proposed. The proposed model was cross-verified with tested
467 value from the published literature. Fitting surfaces agree well with the tested value, and all
468 fitting correlation coefficients are above 0.95, which proves that the strength evolution model
469 proposed in this paper is reasonable; in addition, this model has strong applicability.

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475 **Declaration of interests**

476 The authors declare that they have no known competing financial interests or personal
477 relationships that could have appeared to influence the work reported in this paper.

478 **CRedit author statement**

479 Xin Xiong: Conceptualization, Investigation, Methodology, Data Curation and Writing -
480 Original Draft. Keping Zhou: Supervision, Funding acquisition and Conceptualization. Feng
481 Gao: Data Curation, Funding acquisition and Writing - Review & Editing. Chun Yang:
482 Investigation and Writing - Review & Editing. Jieli Li: Funding acquisition

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