

Higher Plasma Cystatin C is associated with Mortality after Acute Respiratory Distress Syndrome: Findings from a Fluid and Catheter Treatment Trial (FACTT) Substudy

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1 **Higher Plasma Cystatin C is associated with Mortality after Acute Respiratory**
2 **Distress Syndrome: Findings from a Fluid and Catheter Treatment Trial (FACTT)**
3 **Substudy**

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31

32 **Abstract**

33 **Background:** Cystatin C is a well validated marker of glomerular filtration rate in chronic
34 kidney disease. Higher plasma concentrations of cystatin C are associated with worse
35 clinical outcomes in heterogenous populations of critically-ill patients and may be
36 superior to creatinine in identifying kidney injury in critically-ill patients. We hypothesized
37 that elevated levels of plasma cystatin C in patients with Acute Respiratory Distress
38 Syndrome (ARDS) would be associated with mortality risk.

39 **Methods:** In a retrospective study, cystatin C was measured by nephelometry on
40 plasma obtained at enrollment from 919 patients in the Fluid and Catheter Treatment
41 Trial. Multivariable logistic regression was performed testing the association between
42 quartiles of cystatin C and 60-day mortality. Analyses were stratified by Acute kidney
43 injury (AKI) status identified in the first 7 days after enrollment by Kidney Disease:
44 Improving Global Outcomes (KDIGO) criteria.

45 **Results:** Cystatin C was significantly higher among those patients who died compared
46 to those who survived to 60 days [1.2 (0.9 – 1.9) mg/L vs. 0.8 (0.6 – 1.2) mg/L, p
47 <0.001]. Compared to the lower three quartiles, subjects in the highest quartile of
48 cystatin C had a significantly higher odds of death at 60 days [OR 1.8 (1.2-2.6), $p=0.003$
49 in adjusted analyses]; the odds of death incrementally rose in higher cystatin C quartiles
50 compared to the lowest quartile (OR 1.1, 1.8, and 2.5). In adjusted analyses stratified by
51 AKI status, compared to subjects in the lower three quartiles, subjects in the highest
52 quartile of cystatin C with AKI had a significantly higher odds of death at 60 days both in

53 participants with AKI [OR 1.6 (1.0-2.4), $p=0.048$] and those without AKI [OR 2.4 (1.2-
54 5.0), $p=0.017$]. In adjusted analyses, there was no significant association between sex-
55 stratified baseline creatinine quartiles and mortality.

56 **Conclusions:** Higher plasma levels of cystatin C on enrollment were strongly associated
57 with mortality at 60-days in patients with ARDS with and without AKI identified by
58 creatinine-based definitions. Compared to creatinine, cystatin C may be a better
59 biomarker of kidney function in patients with ARDS and therefore identify patients with
60 multiple organ failure at higher risk of death.

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62 Word count (344/350)

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64 Key Words: Cystatin C, Acute Respiratory Distress Syndrome (ARDS), Acute Kidney
65 Injury (AKI)

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79 **Background**

80 Identifying patients who have the highest risk of death after the Acute Respiratory
81 Distress Syndrome (ARDS) and understanding the biology driving this risk is important
82 to both clinicians and researchers. Studying the predictive value of biomarkers
83 measured early in the course of ARDS may help with risk stratification for important
84 clinical outcomes including death and multiple organ dysfunction after ARDS. Acute
85 kidney injury (AKI) has been associated with increased mortality among critically ill
86 patients, and the development of AKI after ARDS by definition marks the development of
87 multiple organ dysfunction(1-4). Cystatin C is a 13kDa inhibitor of cysteine proteases
88 and a housekeeping gene expressed in all nucleated cells at a steady rate. Because of
89 its small size and basic pH, this molecule is freely filtered at the glomerulus, then
90 reabsorbed and fully catabolized, but not secreted by the proximal renal tubule. These
91 properties make cystatin C an ideal marker for glomerular filtration rate (GFR). Cystatin
92 C is a well validated marker of kidney function in chronic kidney disease and may be a
93 superior marker of acute kidney injury leading to impaired GFR compared to serum
94 creatinine among critically ill patients(5, 6). Furthermore, plasma cystatin C
95 measurements are clinically available in many institutions. Elevated cystatin C is
96 associated with higher mortality in heterogenous cohorts of critically-ill patients(5, 7, 8),
97 but this finding has not been studied in a large cohort of patients with ARDS.

98 In this retrospective cohort study, we measured cystatin C in plasma samples
99 obtained from 919 subjects with ARDS on enrollment in the Fluid and Catheter
100 Treatment Trial (FACTT)(9). Using adjusted logistic regression models, we tested the
101 association between plasma cystatin C and 60-day mortality. We hypothesized that
102 plasma cystatin C measured early in the course of ARDS would identify a subset of the
103 most severely-ill patients and that this biomarker would add predictive and biological

104 information to mortality prediction models in ARDS above and beyond identification of
105 AKI cases using creatinine-based definitions.

106

107 **Methods**

108 The ARDS Network FACTT trial is a large randomized controlled trial with a factorial
109 design comparing a fluid conservative to a fluid liberal management strategy and
110 comparing the use of pulmonary artery catheters to central venous catheters in the
111 management of 1,000 patients with ARDS(9). Subjects were enrolled within 48 hours of
112 developing ARDS and patients with end stage renal disease or requiring renal
113 replacement therapy were excluded from the study. For this retrospective cohort study,
114 919 plasma samples were available for cystatin C measurement, which were made on a
115 Dade-Behring BNII nephelometer. Estimated glomerular filtration (eGFR) rate was not
116 reported in this cohort because these estimates would be unreliable given the available
117 plasma creatinine and cystatin C measurements were not made at steady state. Sex
118 stratified multivariate models adjusted for baseline creatinine were performed separately
119 from the analyses stratified by AKI, which are the main focus of our analysis. AKI was
120 identified by applying Kidney Disease: Improving Global Outcomes (KDIGO) criteria to
121 all available creatinine (Cr) measurements in the first 7 days after study enrollment. AKI
122 cases were identified as an increase in Cr ≥ 0.3 mg/dl over 48 hours, to levels greater
123 than or equal to 1.5 times baseline Cr or dialysis initiation within 7 days. Baseline Cr was
124 defined by serum Cr at study enrollment. We repeated adjusted and stratified analyses
125 after reclassifying AKI cases by accounting for the effect of fluid balance on the volume
126 of distribution of creatinine(10). Previous work using latent class analysis (LCA) has
127 identified subphenotypes with different mortality rates and differential response to
128 therapy within large randomized controlled trials of patients with ARDS, including
129 FACTT. We adjusted for these subphenotypes which have also been characterized as

130 hypoinflammatory or subphenotype 1, and a hyperinflammatory or subphenotype 2. (11,
131 12) All variables considered for inclusion in the multivariable logistic regression models
132 were examined for distribution and missingness and appropriate model checking was
133 performed. Multiple imputation was used to address 4% missing data for the APACHE
134 III variable. Logistic regression models were used to test the association between
135 cystatin C and mortality adjusted for important confounders. Because the linearity
136 assumption of the logistic regression models was violated when considering cystatin C
137 as a continuous variable, even when testing several options for transformation of the
138 independent variable, including the commonly used inverse function, cystatin C was
139 analyzed by quartiles defined using data from the full cohort. Cystatin C was divided into
140 quartiles at the following cut points: Quartile 1 (0.2-0.67 mg/L), Quartile 2 (0.68-0.90
141 mg/L), Quartile 3 (0.91-1.37 mg/L), Quartile 4 (1.38-5.2 mg/L). The same quartile cut-offs
142 from Cystatin C measurements in the full cohort were used in all analyses including
143 stratified analyses. Results from a postestimation linear test for trend are reported to
144 describe the association between cystatin C quartiles and mortality in adjusted analyses.
145 Sensitivity analyses were performed excluding subjects with clinical and demographic
146 characteristics that are believed to influence plasma cystatin C levels and possibly act as
147 confounders of the association between cystatin C and mortality, including cancer,
148 trauma, and recent surgery. Furthermore, likelihood ratio testing was used to eliminate
149 variables from models that were adjusted for a variety of other factors known to affect
150 cystatin C levels. When compared to the parsimonious final models presented here, the
151 models with additional variables did not improve the model fit. The following variables
152 were tested and eliminated from the final model: body mass index (BMI), diabetes,
153 baseline white blood cell count (WBC), serum albumin, and a history of cardiovascular
154 disease. All analyses were performed using STATA version 15 (Statacorp, College
155 Station, TX).

156

157 **Results**

158 The demographic and clinical characteristic of the 919 subjects included in this
159 analysis of the FACTT study are displayed in **Table 1**. The median age of subjects was
160 49 years and 53% of subjects were female. The mortality rate was 28%. The incidence
161 of AKI was 53% and 61% after adjusting for fluid balance. The median APACHE III score
162 was 91 (IQR 70-117). The most common primary risk factor for ARDS was pneumonia
163 (426 subjects, 46%) followed by sepsis (218 subjects, 24%). A total of 394 subjects
164 (43%) had sepsis listed as either a primary or secondary risk factor for ARDS. The
165 median baseline creatinine value measured in the full cohort was 1.0 mg/dL (IQR 0.7-
166 1.5mg/dL). The median cystatin C level at enrollment was 0.9 mg/L, and the
167 interquartile range was 0.7-1.4 mg/L. Plasma cystatin C levels were higher among those
168 in the hyperinflammatory subphenotype compared with those in the hypoinflammatory
169 subphenotype [1.3 (0.9-2.1) mg/L vs. 0.8 (0.6-1.1) mg/L, p -value<0.0001]. Cystatin C
170 was higher among those who died compared to those who survived [1.2 (0.9-1.9) mg/L
171 vs. 0.8 (0.6-1.2) mg/L, p <0.001] (**Table 1**). This difference remained statistically
172 significant when the cohort was stratified by AKI status (**Figure 1**). In subjects with AKI,
173 cystatin C was higher among those who died compared to those who survived [1.3(1.0-
174 1.9) mg/L vs. 0.9(0.7-1.4)mg/L, p <0.0001]; and the same pattern was observed in
175 subjects without AKI [1.1(0.7-1.7) mg/L vs. 0.8(0.6-1.0) mg/L, p <0.0001].

176 The crude 60-day mortality rate by cystatin C quartile is shown in **Figure 2**. The
177 highest quartile of cystatin in the full cohort had an elevated mortality rate that was
178 observed in both AKI strata. Even among subjects without AKI by creatinine-based
179 definitions, the 60-day mortality rate in the highest quartile of cystatin C was 40%.

180 In the full cohort, a multivariate logistic regression model adjusted for sepsis,
181 age, sex, APACHE III score, AKI status, treatment arm and LCA subphenotype, showed

182 that higher quartiles of cystatin C were strongly associated with 60-day mortality. In
183 contrast, in adjusted analyses there was no significant association between sex-stratified
184 baseline creatinine quartiles and mortality (**Table 2**). In the full cohort, subjects in the
185 highest quartile of cystatin C had a more than the two-fold odds of death compared to
186 those in the lowest quartile [OR 2.5 (1.5-4.2); linear test for trend $p=0.002$](**Table 4**) and
187 compared to subjects in the lower three quartiles combined [OR 1.8 (1.2-2.6, $p=0.003$)],
188 (**Table 3**). The relationship between cystatin C and 60-day mortality in adjusted models
189 was not significantly different between subjects with and without AKI. Post estimation
190 tests for linear trend for the association between cystatin C quartiles and mortality were
191 significant in the full cohort and among subjects with AKI, but not among subjects
192 without AKI (**Table 4**). However, there was no statistically significant interaction
193 between AKI status and cystatin C in adjusted models. Additionally, there was no
194 significant interaction between LCA subphenotype and cystatin C in the full cohort or in
195 the analyses stratified by AKI status.

196 The subjects in the highest quartile of cystatin C who did not meet the creatinine-
197 based definition of AKI ($n=65$) had a substantially elevated risk of death compared to
198 subjects without AKI in the lower three quartiles of cystatin C [OR 2.4 (1.2-5.0,
199 $p=0.017$)], (**Table 3**). These subjects were of particular interest in this analysis. We
200 examined the clinical and demographic characteristics of this group in an effort to
201 understand the drivers of the observed statistical association (**Table 5**). The median age
202 of these subjects was 58 and 31% were female. The creatinine trends over the first 8
203 study days among subjects with the highest quartile of cystatin C but no AKI are plotted
204 in **Figure 3**. These data show that the daily creatinine recorded among these individuals
205 was either down-trending or stable over time. The median value of baseline creatinine
206 on study enrollment among these 65 patients was 1.5 mg/dL (IQR 1.1-2.2 mg/dL). Only

207 10 of these 65 subjects died before study day 9 and the median survival time among
208 those who died was 16 days.

209

210 **Discussion**

211 Here we have found for the first time that higher plasma cystatin C
212 concentrations measured early in the course of ARDS are associated with higher
213 mortality and that this association persists after adjustment for AKI defined by creatinine-
214 based criteria. Earlier studies reported that elevated cystatin C is associated with higher
215 mortality in heterogenous cohorts of critically-ill patients(5, 7, 8), but prior to this study,
216 this finding had not been validated in a large cohort of patients with ARDS. Additionally,
217 to the best of our knowledge, this is the first study to test the association of cystatin C
218 and mortality among critically ill patients cared for in North America.

219 The association between cystatin C and death among patients with ARDS may
220 work through several pathways. First, and in our opinion, the most likely explanation
221 among patients with ARDS, the association between elevated plasma cystatin C and
222 death after ARDS is driven by the glomerular filtration rate pathway, capturing kidney
223 dysfunction in a way not captured by other measures of illness severity. This
224 dysfunction could be either acute, chronic, or both. Plasma cystatin C may identify
225 additional patients with AKI and therefore multiorgan failure who do not meet creatinine-
226 based definitions of AKI. In this cohort of patient with ARDS, the highest quartile of
227 cystatin C measurements identified 65 individuals, 7% of the full cohort, with likely
228 kidney dysfunction that was not detected by current creatinine-based definitions of AKI
229 (**Table 5, Figure 3**). This finding is consistent with data from other populations showing
230 that cystatin C may be a superior marker of glomerular filtration rate than creatinine in
231 critically-ill patients(5, 6, 13). Thus, if cystatin C is a more sensitive marker of AKI than
232 creatinine among those with ARDS, it can accurately identify subjects with multiorgan

233 failure, a well-established risk factor for death among critically ill patients (14-19).
234 Additionally, cystatin C is a more sensitive marker of chronic kidney disease (CKD) than
235 creatinine in many populations and CKD is a known risk factor for death after critical-
236 illness.(20, 21) Second, in theory, it is possible that the association between cystatin C
237 and mortality after ARDS is working through a non-glomerular filtration rate pathway.
238 This alternative explanation is speculative, and we are unable to test the possibility of a
239 biological process to distinguish cystatin C's role as a GFR marker from other
240 hypothesized pathways, such as increased cystatin C production from inflammation. In
241 sensitivity analyses excluding subjects with available data on conditions known to
242 increase cystatin C production, we did not find any evidence supporting this hypothesis.
243 Although we are unable to test the mechanism of association between elevated cystatin
244 C and mortality after ARDS, it seems most plausible that this biomarker is detecting
245 reduced glomerular filtration rates (either acute or chronic) and thus identifying patients
246 with ARDS who have kidney dysfunction and multiple organ failure. Regardless of the
247 mechanisms driving this association, multivariable models adjusted for important
248 potential confounders or mediators including APACHE III score and LCA subphenotype
249 showed a robust association between cystatin C and mortality among patients with
250 ARDS, suggesting that this biomarker provides valuable prognostic information not
251 otherwise captured by established markers of critical illness severity.

252 The 65 subjects in the highest quartile of cystatin C but without AKI by creatinine
253 based definitions were of particular interest. Studying basic demographic assessments
254 of age, sex, and BMI, gave no indication that these individuals may have had lower
255 muscle mass that would make creatinine a less-reliable marker of GFR. The data
256 presented in **Table 5** and **Figure 2** do not clearly suggest that these 65 patients were
257 simply patients with previously undiagnosed chronic kidney disease, but with the
258 available information we cannot rule out that possibility. Only 10 of these 65 subjects

259 died before study day 9 and the median survival time among those who died was 16
260 days. These data suggest that survival bias censoring the trajectory of creatinine values
261 does not explain why this group of subjects with high cystatin C did not have AKI by a
262 creatinine-based definition. Taken together these data suggest that this group of study
263 subjects was not classified as having AKI because they were most likely on the
264 downward trajectory or plateau phase of their creatinine measurements, and the AKI
265 occurred earlier in their course of illness before study enrollment in FACTT.

266 Because our findings suggest that a single measurement of plasma cystatin C
267 early in the course of ARDS provides prognostic information about mortality beyond
268 creatinine and creatinine-based definitions of AKI, this may be an appealing biomarker to
269 measure for both research and clinical care purposes. Plasma cystatin C measurement
270 is widely available in many clinical settings and may allow clinicians to identify patients
271 with ARDS at highest risk of death, early in their course of illness. Interestingly, cystatin
272 C differed between LCA subphenotypes. Furthermore, it appears to provide additional
273 information beyond both APACHE III score and LCA subphenotype and therefore may
274 be of interest in future clinical research studies focused on enhancing enrollment of
275 subjects at highest risk of death or studies using risk stratification to assign or evaluate
276 treatment interventions. Specifically, more work is needed to understand the
277 relationship between cystatin C and LCA subphenotypes in ARDS.

278 This study has several strengths. The FACTT study enrolled a large number of
279 patients with well-adjudicated ARDS. Detailed data collection of laboratory values
280 including creatinine allowed for the rigorous adjudication of AKI by KDIGO criteria.
281 Detailed documentation of clinical data allowed us to adjust for APACHE III score and
282 sepsis in multivariable analyses. Prior work using clinical data and biomarkers
283 measured in patients enrolled in the FACTT trial identified subphenotype latent classes
284 with differential response to therapy. We included adjustment for subphenotypes in our

285 models to strengthen the importance of this novel finding of the association between
286 cystatin C and mortality after ARDS. Our study has some limitations. The retrospective
287 design of this study does not allow us to test the mechanisms driving the association
288 between cystatin C and mortality after ARDS. As these plasma biomarkers are not in
289 steady state during critical illness, timing of creatinine and cystatin C measurements in
290 this cohort do not allow for meaningful estimation of glomerular filtration rate or accurate
291 classification of chronic kidney disease prior to study enrollment.

292

293 **Conclusions**

294 The strong association between mortality and elevated plasma cystatin C measured
295 early in the course of ARDS was robust to adjustment for many important confounders
296 or potential mediators and this association persists after adjustment for AKI defined by
297 creatinine-based criteria. Among patients with ARDS, cystatin C may identify kidney
298 dysfunction and multiple organ failures that increase the risk of death and are not
299 captured by other commonly measured assessments of severity of illness. These
300 findings are likely to be of interest to a broad audience of both clinicians and
301 investigators who are designing clinical trials.

302

303 **List of Abbreviations**

304 ARDS Acute Respiratory Distress Syndrome

305 AKI Acute Kidney Injury

306 FACTT Fluid and Catheter Treatment Trial

307 KDIGO Kidney Disease: Improving Global Outcomes

308 LCA Latent Class Analysis

309

310 **Declarations**

- 311 • Ethics approval and consent to participate: This study is a secondary data
312 analysis of deidentified data from a previously published clinical trial. The authors
313 did not have access to the key linking file between the data set and protected
314 health information and therefore the study did not meet criteria for human
315 subjects research according to the University of California San Francisco
316 Institutional Review Board.
- 317 • Consent for publication: Not applicable
- 318 • Availability of data and material: The parent FACTT data is available from the
319 National Heart, Lung and Blood Institute. If requested, the investigators will work
320 the National Heart, Lung and Blood Institute and the requestors to make the
321 cystatin C measurements available.
- 322 • Competing interests: None
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- 332 • Authors' contributions:
- 333 **CMH** created the data analysis plan, analyzed and interpreted the data, and was a major
334 contributor in writing the manuscript and presenting the results. **KDL** designed the
335 study, verified the data analysis methods, supervised the interpretation of the findings of

336 the results, and contributed to the writing of the manuscript. **MAM** helped to design the
337 study, supervised the findings of this work, contributed to the interpretation of results.
338 **MGS** supervised the measurements of cystatin C, contributed to the data analysis plan
339 and to the interpretation of results. **YDK** contributed to the data analysis plan, helped to
340 check and analyze the data to identify cases of acute kidney injury by creatinine-based
341 definitions, and contributed to the interpretation of results and writing of the manuscript.
342 **AGB** contributed to the interpretation and presentation of results and writing the
343 manuscript. **All authors provided critical feedback, read, and approved the final**
344 **manuscript.**

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347

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416 England)*. 2015;19:383-.

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	Full Cohort n=919	Alive at 60 day n=658	Dead by 60 days n=261	p –value
Age	49 (38 – 61)	47 (37 – 57)	57 (41 – 70)	<0.001
Female Sex	489 (53)	338 (52)	151 (58)	0.076
BMI	27 (23 – 32)	28 (24 – 33)	26 (22 – 31)	0.005
Race/Ethnicity				<0.001
White	602 (66)	459 (70)	143 (55)	
Black	193 (21)	121 (18)	72 (28)	
Hispanic	124 (13)	78 (12)	46 (18)	
Fluid Conservative Arm	467 (51)	342 (52)	125 (48)	
Baseline WBC (10 ³ /μL)	11.8 (7.2-17.1)	12.0 (7.8-17.1)	10.6 (5.8-17.3)	0.046
Baseline Creatinine (mg/dL)	1.0 (0.7 – 1.5)	0.8 (0.7 – 1.4)	1.2 (0.9 – 1.8)	<0.001
Cystatin C (mg/L)	0.9 (0.7 – 1.4)	0.8 (0.6 – 1.2)	1.2 (0.9 – 1.9)	<0.001
AKI #				
Actual	486(53)	306 (47)	180(69)	<0.001
Adjusted for Fluid Balance	559(61)	348 (53)	211(81)	<0.001
APACHE III Score	91 (70 – 117)	85 (65 – 104)	114 (91 – 133)	<0.001
Primary ARDS Risk Factor				0.002
Pneumonia	426 (46)	303 (46)	123(47)	
Sepsis	218 (24)	138 (21)	80 (31)	
Aspiration	138 (15)	104 (16)	34 (13)	
Trauma	71 (8)	62 (9)	9 (3)	
Multiple Transfusion	9 (1)	7 (1)	2 (1)	
Other	57 (6)	44 (7)	13 (5)	
Hyperinflammatory LCA Subphenotype ^α	252 (27)	137 (54)	115 (46)	<0.001
Comorbidities				
Solid Tumor	14 (2)	8 (1)	6 (2)	0.25
Lymphoma	13 (1)	4 (1)	9 (4)	0.001
Leukemia	20 (3)	9 (1)	11 (4)	0.009
Recent Surgery	46 (5)	37 (6)	9 (3)	0.17

BMI = body mass index, WBC= white blood cell count, AKI = acute kidney injury by KDIGO criteria, IQR = interquartile range
AKI by Kidney Disease: Improving Global Outcomes (KDIGO) criteria
^α LCA=Latent Class Analysis, Famous *et al.* AJRCCM 2017
data presented as n(%) or median (IQR)
p value refers to a comparison of those survivors to those who died using rank-sum, Pearson chi², or Fisher Exact tests as appropriate

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TABLE 2. No Association between Sex-Stratified Baseline Creatine Quartiles and 60-day Mortality in Adjusted Logistic Regression Models

	OR (95%CI)	p-value
Female Sex (n=489)		
Covariates: Sepsis, Age, Sex, APACHE III*, Treatment Arm, LCA subphenotype ^α		
Baseline Creatinine		0.67
	Q2	0.6 (0.3-1.3)
	Q3	0.8 (0.4-1.5)
	Q4	1.1 (0.6-2.2)
Male Sex (n=430)		
Covariates: Sepsis, Age, Sex, APACHE III*, Treatment Arm, LCA subphenotype ^α		
Baseline Creatinine		0.26
	Q2	1.4 (0.7-2.8)
	Q3	1.9 (0.9-3.8)
	Q4	2.1 (1.0-4.4)
All analyses compare stated Quartile to first quartile (Q1).		
*APACHE III scores exclude renal variables in these models with creatinine as predictor		
p-value refers to post estimation global test for the null hypothesis that creatine quartiles are not associated with death		
α LCA=Latent Class Analysis, Famous <i>et al.</i> AJRCCM 2017		

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TABLE 3. Higher Cystatin C is Associated with 60-Day Mortality in Subjects With and Without AKI

	OR (95%CI)	p-value
Full Cohort (n=919)		
Cystatin C Q4	1.8 (1.2-2.6)	0.003
With Acute Kidney Injury (n=486)		
Cystatin C Q4	1.6 (1.0-2.4)	0.048
Without Acute Kidney Injury (n=433)		
Cystatin C Q4	2.4 (1.2-5.0)	0.017
All analyses compare the highest quartile to the lower three quartiles.		
Q4- highest quartile of cystatin C with quartiles determined by ranges of cystatin C in full cohort.		
All models adjusted for sepsis, age, sex, APACHE III, treatment arm, and LCA subphenotype. Full Cohort model also adjusted for Acute Kidney Injury (AKI) by Kidney Disease: Improving Global Outcomes (KDIGO) creatine-based definition		

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TABLE 4. The Adjusted Association between 60-day Mortality and Cystatin C Overall and Stratified by AKI Status		
	OR (95%CI)	p-value
Full Cohort (n=919)		
Covariates: Sepsis, Age, Sex, APACHE III, AKI, Treatment Arm, LCA subphenotype ^α		0.0002
Cystatin C		
Q2	1.1 (0.6-1.8)	
Q3	1.8 (1.1-3.1)	
Q4	2.5 (1.5-4.2)	
With Acute Kidney Injury (n=486)		
Covariates: Sepsis, Age, Sex, APACHE III, Treatment Arm, LCA subphenotype ^α		0.0032
Cystatin C		
Q2	1.2 (0.6-2.6)	
Q3	2.6 (1.3-4.9)	
Q4	2.7 (1.4-5.3)	
Without Acute Kidney Injury (n=433)		
Covariates: Sepsis, Age, Sex, APACHE III, Treatment Arm, LCA subphenotype ^α		0.094
Cystatin C		
Q2	0.7 (0.3-1.6)	
Q3	0.9 (0.4-2.2)	
Q4	2.0 (0.8-5.2)	
*All analyses compare stated Quartile to first quartile (Q1).		
p-value refers to post estimation linear test for trend across cystatin C quartiles		
AKI- Acute Kidney Injury by Kidney Disease: Improving Global Outcomes (KDIGO) creatinine-based definition		
α LCA=Latent Class Analysis, Famous <i>et al.</i> AJRCCM 2017		

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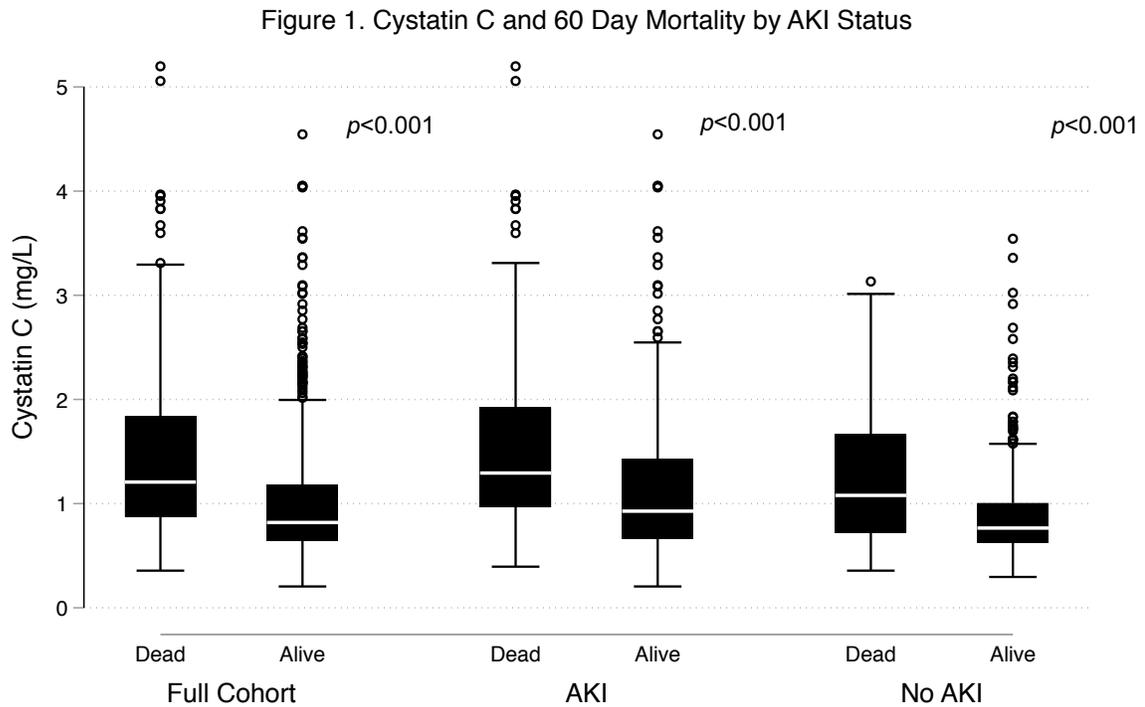
TABLE 5. Characteristics of 65 Subjects Without Acute Kidney Injury In the Highest Quartile of Cystatin C	
Age (years)	58 (46-69)
Female Sex	20 (31%)
BMI	28.1 (24.2-37.1)
Baseline Serum Creatinine (mg/dL)	1.5 (1.1-2.2)
Baseline Serum Creatinine >1.5mg/dL	32 (49%)
Cystatin C (mg/L)	1.8 (1.6-2.3)
Mortality at 60 days	26 (40%)
Survival Time to 60 days (days)	60 (23-60)
Died Before Study Day 8	10 (15%)
Survival Time Among Those Who Died (days)	16 (1-33)
Acute Kidney Injury defined by Kidney Disease: Improving Global Outcomes (KDIGO) creatinine-based definition	
BMI = body mass index	
data presented as n(%) or median (IQR)	

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452 **Figures**

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454 Figure 1. Cystatin C and Mortality by Acute Kidney Injury Status.

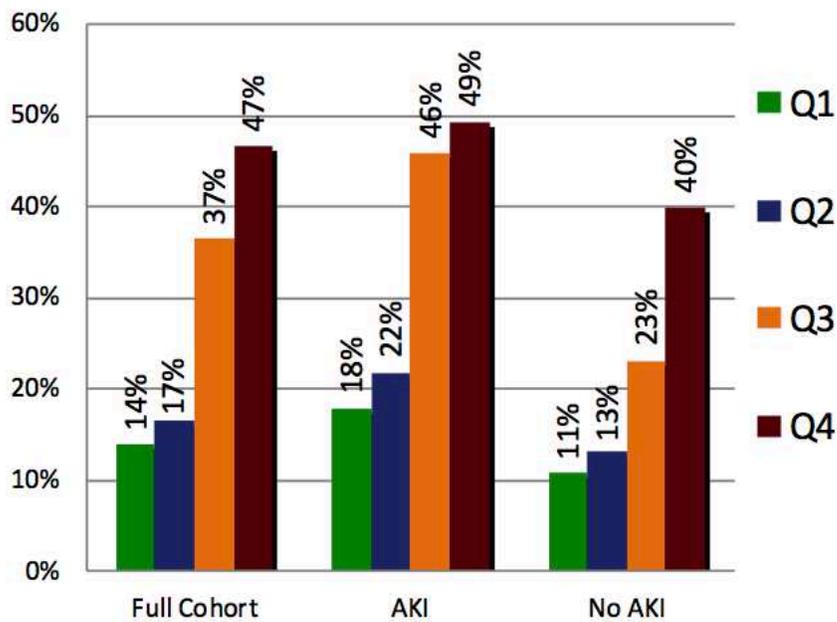


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458 Figure 2. Crude 60 day Mortality Rate by Cystatin C Quartile

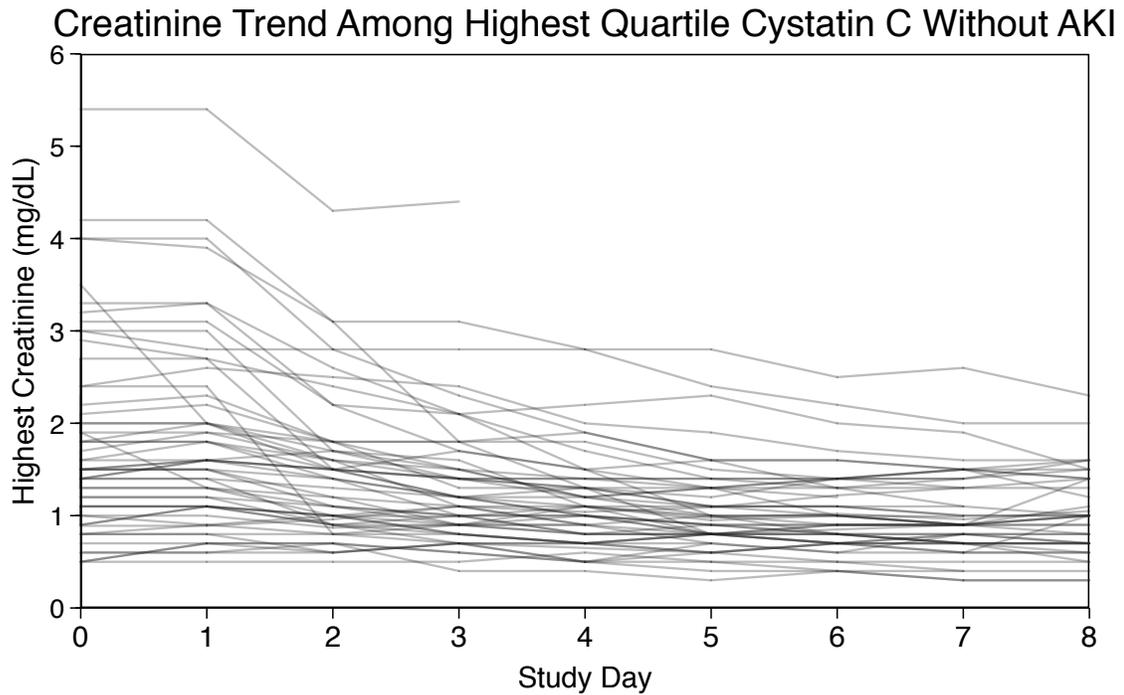


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462 Figure 3.
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466 **Figure Legends**

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468 Figure 1. Box and whisker plots of the raw plasma cystatin C data in the full cohort and
469 stratified by Kidney Disease: Improving Global Outcomes (KDIGO) Acute Kidney Injury
470 (AKI) status show that cystatin C is higher among those who died by 60 days compared
471 to those who survived. This difference was statistically significant by Wilcoxon ranksum
472 testing in the full cohort and both strata of AKI status. The cystatin C quartile cut offs
473 were established in the full cohort and applied to the data stratified by AKI status.

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475 Figure 2: Bar graphs of the crude 60-day mortality rate by plasma cystatin C quartile in
476 the full cohort and in the strata defined by Acute Kidney Injury (AKI) by Kidney Disease:
477 Improving Global Outcomes (KDIGO) criteria. The cystatin C quartile cut offs were

478 established in the full cohort and applied to the data stratified by AKI status. Crude
479 mortality rates are similar in each quartile between the full cohort and the stratified data.

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481 Figure 3. Creatinine trends plotted for each of the 65 subjects in the highest quartile of
482 plasma cystatin C that did have Acute Kidney Injury (AKI) by Kidney Disease: Improving
483 Global Outcomes (KDIGO) criteria show that the creatinine trajectories for these
484 individuals are down trending or plateaued in the first eight days after enrollment.

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Figures

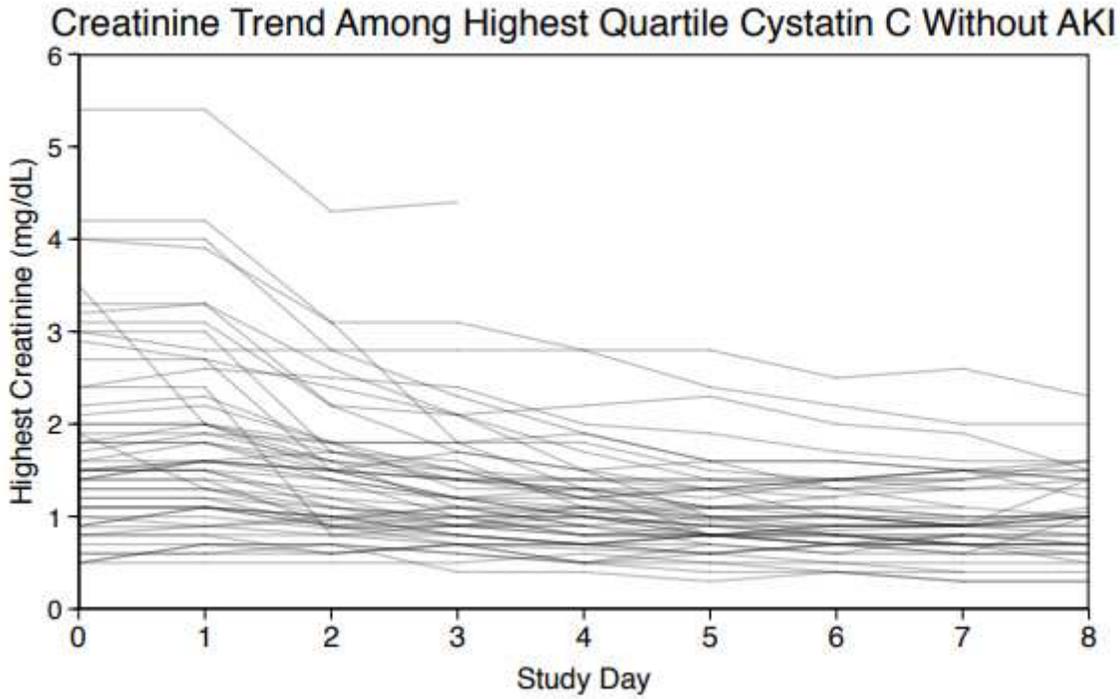


Figure 1

Creatinine Trend Among Highest Quartile Cystatin C Without AKI

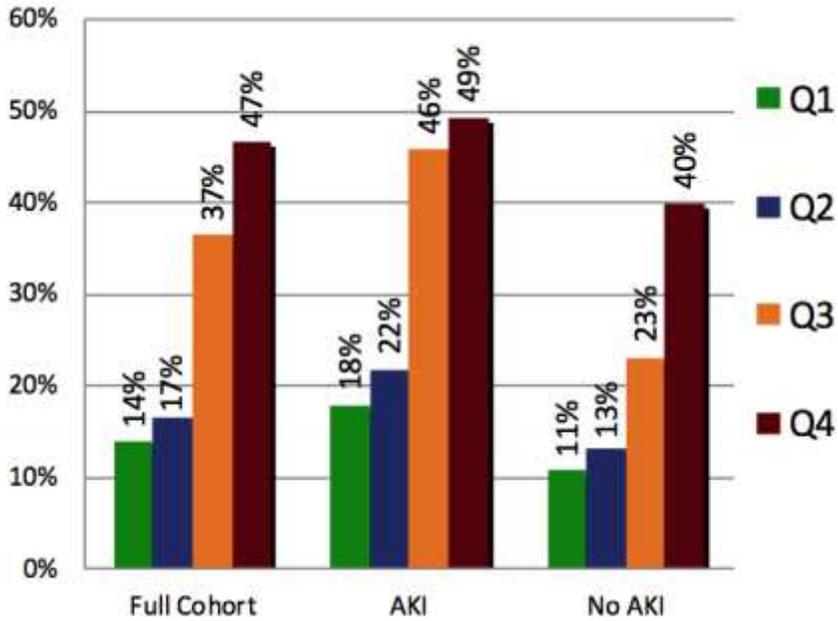


Figure 2

Crude 60 day Mortality Rate by Cystatin C Quartile

Figure 1. Cystatin C and 60 Day Mortality by AKI Status

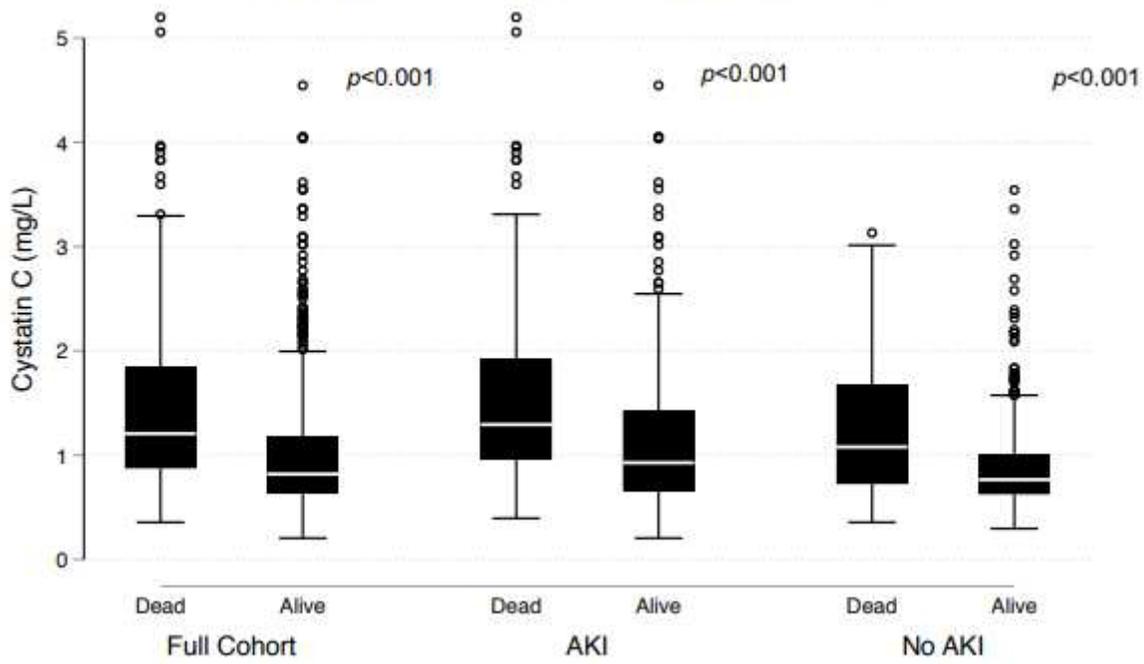


Figure 3

Cystatin C and Mortality by Acute Kidney Injury Status.