

# Performance assessment for earthquake-damaged RC structure based on damaged section analysis

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## Research Article

**Keywords:** Damaged cross section analysis, Earthquake-damaged structure, RC frame structure, Performance deterioration, Fragility curve

**Posted Date:** March 16th, 2022

**DOI:** <https://doi.org/10.21203/rs.3.rs-1444155/v1>

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2                           **structure based on damaged section analysis**

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17 **Abstract**

18 Evaluating quantitatively the performance of earthquake-damaged structures is significant for  
19 facilitating post-earthquake reconstruction. However, it is difficult to consider the effect of earthquake-  
20 induced damage on structure. In this paper, a new method to build earthquake-damaged structure model  
21 (EDSM) based on damaged cross section analysis (DSA) procedure is proposed. DSA can consider the  
22 effect of earthquake-induced damage on concrete and reinforcement, respectively, and determine the  
23 skeleton curve of damaged cross section. EDSM is constructed by replacing plastic hinges of structure  
24 after earthquake with trilinear skeleton curve computed by DSA. This method is realized on RC frame  
25 structure and verified by the comparison of response between undamaged structure under sequence  
26 ground motions and EDSM under the last ground motion. Subsequently, there is more prominent  
27 reduction on the vulnerability of slight limit state than higher limit states by comparing the fragility of  
28 undamaged structure and EDSM. It can be indicated that earthquake-induced damage has more  
29 significant effect on elastic properties of structure. The proposed method to establish EDSM can well  
30 represent the structure after earthquake and assess quantitatively residual performance of earthquake-  
31 damaged structure and provide a reference for reconstruction decisions.

32

33 **Keywords:** Damaged cross section analysis; Earthquake-damaged structure; RC frame structure;  
34 Performance deterioration; Fragility curve

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# 1 Introduction

The earthquake, a frequent geological hazard, caused the vast casualties and economic loss in the world every year. Many structures are observed varying degree damage, rank slight to collapse, after seismic excitation. According to the field survey after Wenchuan earthquake (Tsinghua University et al. 2008), in which 54 percentages of reinforcement concrete (RC) frame structures are marked as usable, 32 percentages of RC frame structures are marked as usable after strengthening, 7 percentages of RC frame structures are marked as stop occupancy and 7 percentages of RC frame structures are marked as immediately demolish. And according to the field investigation after Lushan earthquake (Xiong et al. 2013), in which the damaged states of RC structures are divided into three grades that are L1 (usable), L2 (stop occupancy), and L3 (immediately demolish), there are 71 percentages of RC structures are marked as L1, 28 percentages for L2 and only 1 percentage for L3. Similarly, the damage states are assigned scale DS0 to DS5 in the field observation from L'Aquila after the Italy earthquake (Rossetto et al. 2011), in which there are 87 percentages of RC structures are slighter than DS2. In summary, most structures are not devastated under the ground motions, in other words, most structures are slight or moderate damage state. And, it is significant to evaluate the residual earthquake-resistant performance of not collapsed structures after earthquakes. Assessing precisely the residual performance of damaged buildings can facilitate the government carries out more reasonable measures to recover and rebuild the disaster area.

Many earthquakes have shown that earthquake-damaged structures may be damaged more severely and even collapse during following earthquakes. There is a big difference between the dynamic performance and response of an intact structure and an earthquake-damaged one, due to the reduction in the strength and stiffness of materials (e.g. concrete and steel bar) and section area during earthquake. Hatzigeorgiou and Liolios (2010) studied the nonlinear behavior of RC frame structures under repeated strong ground motions, which indicated there is a significant damage accumulation result from multiplicity of earthquakes. Wen et al. (2017) developed a framework for the vulnerability assessment of RC frame structures under mainshock-aftershock sequences, the fragility of mainshock-induced damaged structure under aftershock can be directly evaluated after obtaining the intensities of mainshock and aftershock ground motion. Burton et al. (2017) assessed the collapse capacity of earthquake-damaged structure by performing incremental dynamic analyses (IDA) to collapse. The seismic performance of

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65 earthquake-damaged structures under aftershock ground motions is assessed by constructing ground  
66 motion sequence in literature (Burton et al. 2017; Hatzigeorgiou and Liolios 2010; Wen et al. 2017), in  
67 which dynamic response of structure under same intensity mainshock is calculated repeatedly causing a  
68 lot of time is wasted in this process.

69 The damaged structures after earthquake can be represented by the earthquake-damaged structure  
70 model (EDSM). The residual seismic performance of earthquake-damaged structures can be determined  
71 by evaluating the capacity of EDSM although there are difficulties in evaluating process. Williamson  
72 (2003) studied the damage-considered dynamic response of single-degree-of-freedom system under  
73 earthquake excitation based on the assumptions (Kachanov 1986; Lemaitre and Chaboche 1994) that  
74 only elastic properties could be affected by the damage, in which the damage is represented by Park-Ang  
75 damage index (Park and Ang 1985). Ou and Wu (1995) developed the method to establish the skeleton  
76 curve of damaged compression-flexure members after earthquake, in which the parameters for skeleton  
77 curve of damaged components are calculated considering the energy dissipation under earthquake  
78 excitation. There are other studies (Ludovico et al. 2013; Ou and Wu 1995; Zhou 2014;) about that the  
79 reduction in performance of components (e.g. stiffness, yield strength, yield deformation, ultimate  
80 strength, and ultimate deformation) depending on damage utilizing regression analysis. However, there  
81 is still a deficiency that is the effect of component configuration (e.g. the dimensions of section, the  
82 strength of concrete and steel bars) on the deterioration relationship is not considered. Moreover, Zhou  
83 (2014) established the EDSM by determining skeleton curve of damaged columns in soft-story, in which  
84 parameters of skeleton curve are determined by fitting equation and the Ibarra-Medina-Krawinkler model  
85 (Ibarra et al. 2005) is used to represent skeleton curve of damaged columns. In Japanese guidelines  
86 (Nakano et al. 2004), the R-index is utilized to evaluate quantitatively the damage categories of structures  
87 based on the damaged observation after earthquake, and the residual performance of component is  
88 determined depend on R-index. Subsequently, Choi et al. (2016) proposed the method to evaluate the  
89 seismic response for EDSM based on the R-index. Polese et al. (2013) defined three damage classes and  
90 damaged lumped plastic hinge model depending on damage categories, and EDSM is established to  
91 replace the extreme section of component of earthquake-damaged structure with damaged plastic hinge  
92 model. Subsequently, the capacity spectrum method (Fajifar 2000) is used to determine the capacity point  
93 of earthquake-damaged structures and then the damage-dependent vulnerability is evaluated.

94 It can be identified from the above introduction that the past researches still have some shortcomings

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95 and challenges. Evaluating the impact of seismic damage on the stiffness and strength of structural  
96 members is primary obstacle to establishing EDSM. The mechanical properties of section can be  
97 evaluated quantitatively and accurately using the cross section analysis procedure, therefore, a method  
98 for constructing EDSM based on the damaged cross section analysis (DSA) procedure is proposed in this  
99 paper. Firstly, DSA is introduced in section 2. The influence of damage on concrete and steel bars and  
100 sectional dimension is considered employing fiber damage, respectively. Subsequently, the relationship  
101 of damaged section between moment and curvature is computed by using DSA. And the EDSM is  
102 established by replacing plastic hinges at both ends of components with trilinear skeleton curves obtained  
103 by DSA. Moreover, the method is realized on a three-story three-bay RC frame structure. Finally, the  
104 vulnerability of EDSM is computed and the reduction in seismic performance of EDSM is evaluated  
105 quantitatively.

## 106 **2 Damaged cross section analysis (DSA)**

107 In this section, the damage distribution and degree in the section is described by using fiber damage  
108 (FD). The effect of earthquake-induced damage on concrete and reinforcement is determined based on  
109 FD.

### 110 **2.1 Fiber damage**

111 FD is calculated based on the stress-strain history of fiber-beam element in section. The finite  
112 element model established in OpenSees (2016) employing fiber-beam element and fiber section can  
113 accurately simulate the response of RC frame structures under ground motion (Taucer et al. 1991). The  
114 method to calculate the FD of concrete fiber and steel bar fiber is introduced meticulously in literature  
115 (Ma et al. 2021). In this paper, the method is introduced curtly. The FD of concrete and steel bar is  
116 calculated by Eq. 1 and Eq. 2:

$$D_c = 1 - \frac{E_r}{E_{c0}} \quad (1)$$

117 where  $D_c$  is damage of concrete fiber,  $E_r$  is reload modulus of concrete,  $E_{c0}$  is the initial modulus of  
118 concrete.

$$D_s = \sum_{k=1}^n \frac{1}{(2N_f)_k} \quad (2)$$

119 where  $D_s$  is damage of steel bar fiber,  $(2N_f)_k$  is the fatigue life correspond to the  $k$ th cycle half cycle,  
 120 which is calculated by Eq. 3:

$$2N_f = \left(\frac{\Delta\varepsilon}{2M}\right)^{1/m} \quad (3)$$

121 where  $\Delta\varepsilon$  is the total strain range,  $M$  and  $m$  are fatigue ductility coefficient and fatigue ductility  
 122 exponent, respectively.

## 123 2.2 Influence of FD on concrete and steel bars

124 In the DSA, the section properties (e.g. sectional dimension, constitutive relation of concrete, area  
 125 of steel bars) are affected by FD. Meanwhile, the influence of FD on concrete and steel bars fibers is  
 126 considered respectively. Specifically, the area of steel bars fibers will be reduced based on FD as shown  
 127 Eq. 4, the constitutive relation of concrete will be reduced based on FD as shown Eq. 5:

$$A_{sd} = \frac{\pi d^2}{4} (1 - D_s) \quad (4)$$

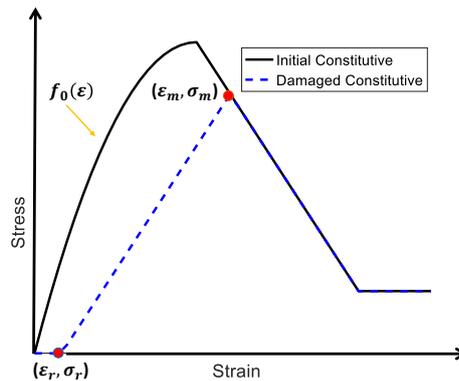
128 where  $A_{sd}$  is the area of damaged steel bar,  $d$  is the initial diameter of steel bar.

$$\sigma_{cd} = \begin{cases} 0 & \varepsilon \leq \varepsilon_r \\ E_{c0}(1 - D_c)(\varepsilon - \varepsilon_r) & \varepsilon_r < \varepsilon \leq \varepsilon_m \\ f_0(\varepsilon) & \varepsilon > \varepsilon_m \end{cases} \quad (5)$$

129 where  $\sigma_{cd}$  is the stress of damaged concrete fiber correspond to strain  $\varepsilon$ ,  $\varepsilon_m$  is the maximum strain in  
 130 history,  $\varepsilon_r$  is the strain of intersection of reload curve and abscissa axis and that is computed by Eq. 6,  
 131  $f_0(\varepsilon)$  refer to undamaged concrete constitutive relation. In this paper, the concrete constitutive  
 132 developed by Yassin (1994) is used as undamaged concrete constitutive relation. A comparison of  
 133 constitutive between intact and damaged concrete is shown in Fig. 1.

$$\varepsilon_r = \frac{\varepsilon_m E_{c0}(1 - D_c) - \sigma_m}{E_{c0}(1 - D_c)} \quad (6)$$

134 where  $\sigma_m$  is stress correspond to  $\varepsilon_m$ .  
 135



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137 Figure 1. Schematic diagram of damaged concrete constitutive.

## 138 2.3 The procedure of damaged section analysis

139 The damaged section properties are determined based on the work in section 2.1 and 2.2. The  
140 ultimate bending bearing capacity  $M_u$  and ultimate curvature  $\phi_u$  of damaged section are calculated  
141 based on the method developed by Ma et al. (2021). Following four assumptions are employed when  
142 calculating  $M_u$  and  $\phi_u$ :

143 (1) Plane section assumption;

144 (2) The tensile strength of concrete is neglected;

145 (3) The ultimate status of section is reached when the strain of outermost concrete in the  
146 compression zone is equal to ultimate compressive strain;

147 (4) The position of steel bars in damaged section is same as that in intact section.

148 After computing  $M_u$  and  $\phi_u$ , DSA is executed as follows:

149 Step 1: Defining the increment of curvature  $\Delta\phi$  and  $i$ th step curvature  $\phi_i = \Delta\phi \cdot i$ ;

150 Step 2: Assuming compression zone height,  $x_0$ (Fig. 2);

151 Step 3: Determining the strain of fibers based on plane section assumption as Eq. 7;

$$\varepsilon_m = y_m \phi_i \quad (7)$$

152 Where  $\varepsilon_m$  is strain of  $m$ th fiber,  $y_m$  is distance between  $m$ th fiber and neutral axis.

153 Step 4: Determining the stress of concrete fibers and steel bars fibers based on damaged constitutive  
154 and intact constitutive (mentioned in section 2.2), respectively.

155 Step 5: Calculating the internal force in section  $N_{in}$  as Eq. 8, the tensile stress of the concrete in  
156 tensile zone is ignored;

$$N_{in} = \sum \sigma_{cd} A_{c0} + \sum \sigma_s A_{sd} \quad (8)$$

157 Where  $\sigma_{cd}$  is stress of damaged concrete fiber,  $A_{c0}$  is initial area of concrete fiber,  $\sigma_s$  is stress of  
158 reinforcement fiber.

159 Step 6: The internal force is compared with external force,  $N_{ex}$ . If  $|N_{in} - N_{ex}| \leq tol$  ( $tol$  is the  
160 allowable error), go to step 7, otherwise, return to step 2;

161 Step 7: Calculating bending moment,  $M_i$ . Start  $i+1$  process, return to step 1.

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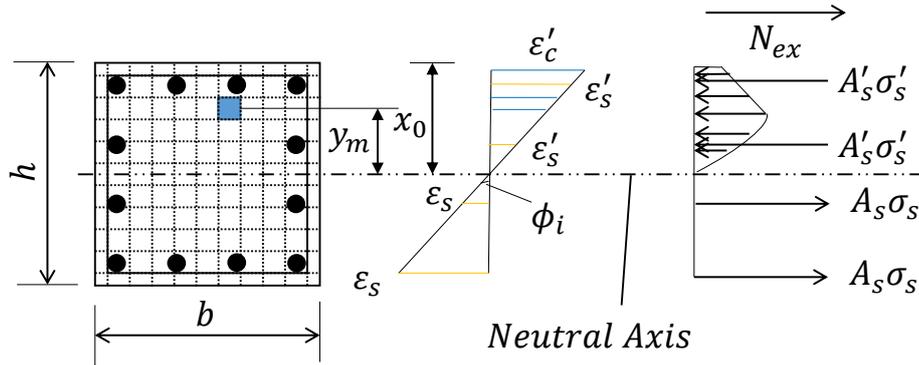


Figure 2. Section strain distribution in ultimate state.

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The relationship between moment and curvature of damaged section is obtained based on the DSA introduced above. To construct EDSM, the moment and curvature relationship is transformed to a trilinear skeleton curve as shown in Fig. 3. There are three key points on the skeleton curve. Point U refers to the ultimate point and that is determined by peak point at moment-curvature relationship. And point Y refers to the yield point, which is obtained based on the same energy absorption capacity (Fig. 3) (Powell and Allahabadi 1988) for the members whose yield point cannot be observed clearly. Moreover, point R is determined on the basis of moment level of 40% yield point (i.e.  $M_r = 0.4M_y$ ), due to generally there is a distinct change in stiffness correspond to this point (Haselton and Liel 2016). It can be seen that the relationship between moment and curvature can be well described by the deterministic trilinear skeleton curve.

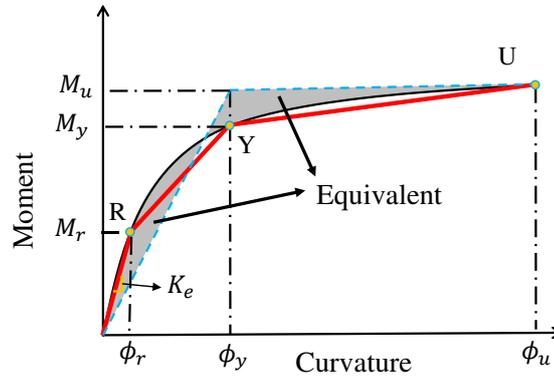


Figure 3. Defined trilinear skeleton curve.

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### 3 Earthquake-damaged structure model (EDSM)

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180 The field investigation after earthquake (Rossetto et al. 2011; Tsinghua University et al. 2008; Xiong  
181 et al. 2013) indicated that seismic damage of RC frame structures always concentrates on the ends of  
182 beam and column. Earthquake-induced damage can be represented by the reduction of skeleton curve for

183 plastic zone located ends of beams and columns. In this paper, the damaged beams and columns of  
 184 structure are replaced with the elements with hinges in EDSM. Skeleton curves of plastic hinges in the  
 185 damaged members are obtained by using DSA. The procedure to build EDSM as follows (Fig. 4):

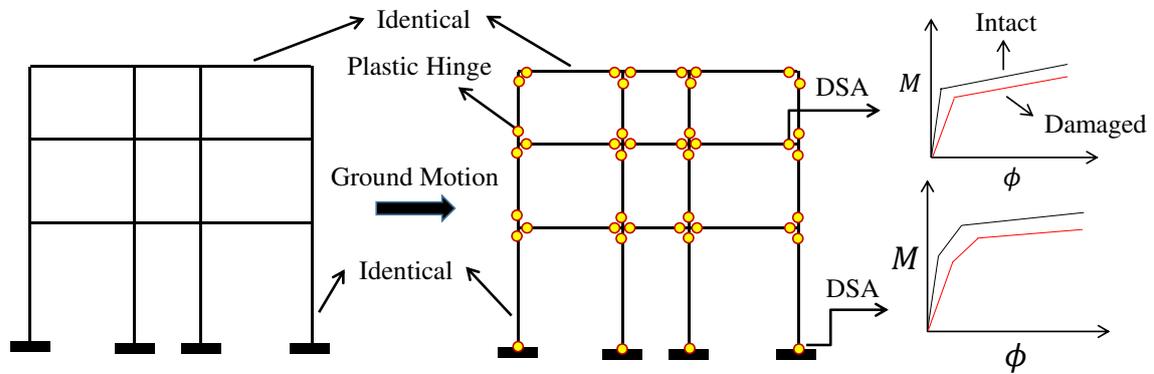
186 Step 1: Establishing intact finite element model and exerting seismic excitation;

187 Step 2: Extracting stress and strain of fiber-beam element at both ends of all beams and columns,  
 188 and determining the damage of concrete and reinforcements fiber in the calculated sections;

189 Step 3: Running DSA for sections at both ends in structure based on the fiber damage. Due to  
 190 earthquake-induced damage being always asymmetrical, it is necessary to perform DSA for different  
 191 directions, respectively. It is a merit of the proposed method that the effect of earthquake-induced damage  
 192 on different directions of backbone curves can be considered respectively so that dynamic response of  
 193 earthquake-damaged structures can be simulated more accurately.

194 Step 4: Employing elements with hinges to substitute damaged beams and columns, the constitutive  
 195 of plastic zones is characterized by skeleton curve computed by using DSA. And the sections of element  
 196 interior for beams and columns are the same as the sections in intact structure. In finally, EDSM is  
 197 constructed successfully based on the steps proposed above.

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Figure 4. The flowchart of establishing EDSM.

## 202 4 Verification on EDSM

203 In this section, a three-story RC frame structure is designed based on Chinese buildings standards  
 204 (GB-50011 2010; GB-50009 2012; GB-50010 2015). And the undamaged structure model (UDSM),  
 205 EDSM and verification of EDSM are introduced, respectively.

206 **4.1 UDSM**

207 A three-story three-span RC frame structure is designed based on Chinese buildings standards [26-  
 208 28], in which a frame is selected to analyze and establish UDSM. The elevation of selected frame is  
 209 shown in Fig .5 and configurations of section and reinforcement are shown in Table 1. The design site  
 210 type is class II .Columns and beams have cover thickness of 35mm. Concrete has compressive strength  
 211 of 23.4MPa and longitudinal reinforcements have yield strength of 400MPa and ultimate strength of  
 212 540MPa, and transverse reinforcements have yield strength of 335MPa and ultimate strength of 455MPa.  
 213 Longitudinal and transverse steel bars have elastic modulus of 200GPa and hardening ratio of 0.01.

214 The finite element model of selected frame, shown in Fig. 5, is established in the OpenSees. The  
 215 force-based beam-column element with fiber section, forceBeamColumns, is employed to simulate  
 216 columns and beams. Moreover, the plastic behavior of the columns is concentrated in the plastic zone at  
 217 ends of columns, the length of plastic zone is defined based on empirical formula as shown Eq. 9 (Paulay  
 218 and Priestley 1993):

$$l_p = 0.08L + 0.022f_y d_b \tag{9}$$

219  
 220 Where  $l_p$  is the length of plastic zone,  $L$  is the length of the column,  $f_y$  and  $d_b$  are the yield  
 221 strength and diameter of the longitudinal reinforcements. The concrete is represented employing  
 222 Concrete02 material (Yassin 1994), which can consider the confined effect of transverse reinforcement.  
 223 The steel bars are modeled using Steel02 material (Filippou et al. 1983). The rebar slip and bar-buckling  
 224 are not considered in this paper.  
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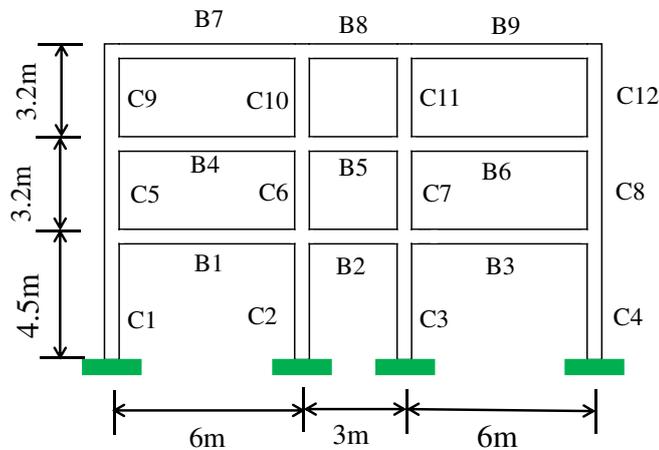


Figure 5. The elevation of designed structure.

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Table 1. The configuration of section and steel bars of beams and columns.

Component	Section		Longitudinal Reinforcement	Transverse Reinforcement
	Width (mm)	Height (mm)		
C1-C12	500	500	10 $\Phi^a$ 18	$\Phi$ 8@100/150
B1, B3,B4,B6	250	500	Top: 3 $\Phi$ 20 Bottom: 4 $\Phi$ 16	$\Phi$ 8@200
B2,B5	250	500	Top: 3 $\Phi$ 20 Bottom: 2 $\Phi$ 16+2 $\Phi$ 14	$\Phi$ 8@200
B7	250	500	Top: 3 $\Phi$ 20 Bottom: 2 $\Phi$ 25	$\Phi$ 8@200
B8	250	500	Top: 3 $\Phi$ 20 Bottom: 2 $\Phi$ 16	$\Phi$ 8@200

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a: The diameter of steel bars.

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## 4.2 EDSM

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For obtaining earthquake-damaged structure, the IMPVALL/I-ELC180 acceleration time series obtained from 1940 El Centro earthquake at the Array #9 station is applied. And acceleration time-history and response spectrum with 5% damping ratio of adoptive El Centro ground motion are shown in Fig. 6. There is a minor difference between intact structure and minor damaged structure in dynamic response. In this paper, El Centro ground motion is scaled by a factor of 3 to obtain earthquake-damaged structure.

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The damage of fiber-beam element of sections at both ends is calculated based on equations mentioned in section 2.1. Fiber-beam element damage of C1S1 and B1S1 is shown in Fig. 7, in which “C1” or “B1” is number of columns or beams (Fig. 5), respectively, moreover, “S1” refers to bottom end for column or left end for beam and “S6” refers to top end for column or right end for beam. It can be identified that damage of components is asymmetrical under ground motion. Particularly, the damage of concrete is concentrated at the bottom of the section for B1S1. Meanwhile, the longitudinal reinforcement in beams is asymmetrical. Therefore, it is necessary to assess effect of earthquake-induced damage on positive and negative direction skeleton curves, respectively.

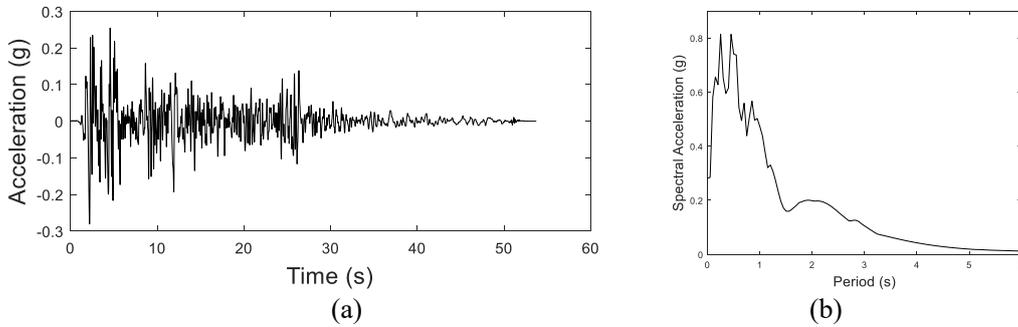
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For constructing EDSM, DSA is performed for every sections at ends. Figure 8 shows the skeleton curves of damaged and intact sections for C1S1 and B1S1, and parameters to determine skeleton curve for all ends in structure are listed in Table A.1 and Table A.2 of appendix. The positive and negative skeleton curves of intact column section are same due to symmetric reinforcement. And the positive and negative skeleton curves of damaged column section are different due to the asymmetric damage. Meanwhile, the positive and negative skeleton curves of intact beam section are different due to

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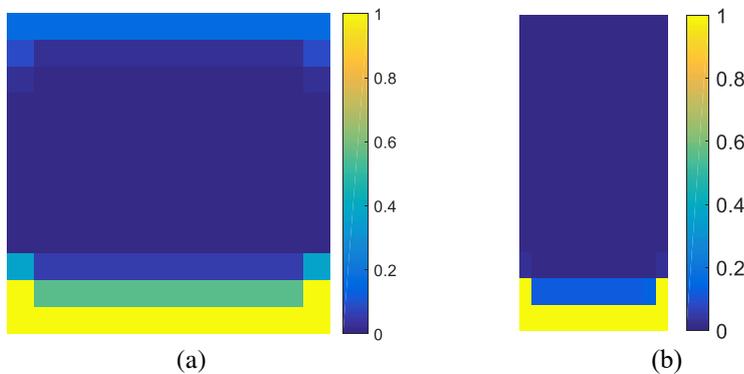
255 asymmetric reinforcement. The negative skeleton curve of damaged beam section is approximately same  
 256 as that of intact beam section due to there be almost no damage to the concrete at the top of section. It  
 257 can be clearly that the properties of negative skeleton curve of damaged beam section are reduced less  
 258 than positive (Table A.2) due to little damage of concrete at the top of section. And it can be identified  
 259 from Table A.1 and A.2 that the elastic properties (e.g.  $K_e$ ) of the components is affected dramatically  
 260 by fiber damage. On the contrary, the plastic properties (e.g.  $\Phi_u$  and  $M_u$ ) of components is affected  
 261 slightly. For establishing EDSM, hysteretic material (Fig. 9), which can consider damage due ductility  
 262 and energy and degraded unloading stiffness based on ductility, is used to model plastic hinges in beam-  
 263 column element based on calculating skeleton curve parameters (Table A.1 and A.2).

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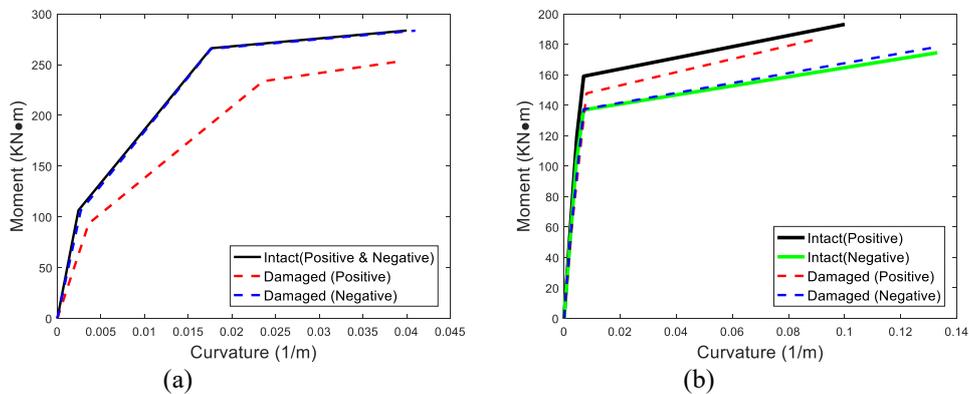
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Figure 6. (a) Acceleration time-history; (b) Response spectrum.



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Figure 7. Damage distribution of plastic hinges; (a) C1S1; (b) B1S1.



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Figure 8. Comparison of skeleton curve between intact and damaged section; (a) C1S1; (b) B1S1.

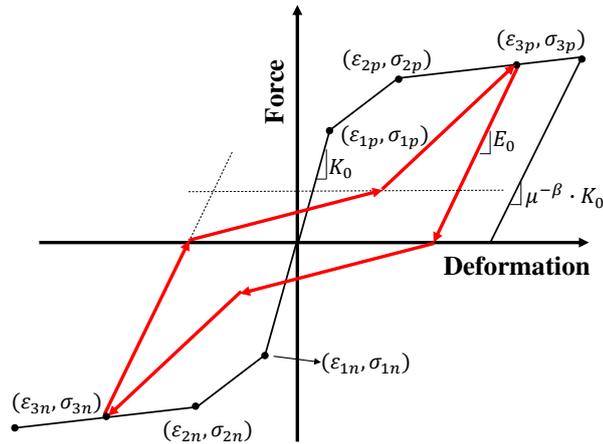
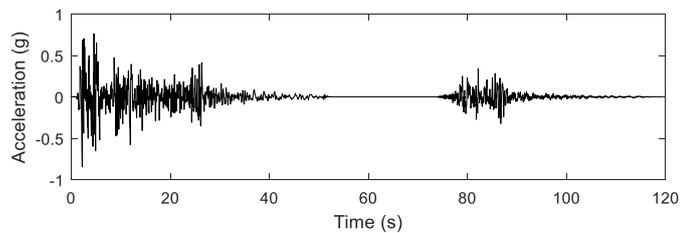


Figure 9. Hysteretic material.

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For verifying EDSM constructed in this section, a comparison between response of UDSM under sequence ground motions and that of EDSM under single ground motion is finished. Sequence ground motion is generated by adding 20s zero points between El Centro and Hector ground motion as shown in Fig. 10. There zeros can help the structure come to rest after El Centro ground motion. The comparisons of inter-story drift ratio (IDR) among UDSM under Hector ground motion after El Centro ground motion and EDSM and UDSM under Hector ground motion are shown in Fig.11. And maximum IDR of ESDM and UDSM under Hector ground motion and USDM under sequence earthquake is shown in Fig.12. It can be identified form residual IDR at the beginning of that the damage of RC structure is prominent after scaled El Centro earthquake. The relative error of IDR of EDSM under Hector ground motion and UDSM under sequence ground motion for F1, F2 and F3 is 2%, 3.2% and 17%, respectively. The relative error of IDR of UDSM under Hector ground motion and UDSM under sequence ground motion for F1, F2 and F3 is 3.5%, 10% and 21%, respectively. It is clear that dynamic response of structure under the last ground motion can be well predicted by EDSM established and the method proposed in this paper is valid. And it is necessary to consider earthquake-induced damage during evaluating performance of earthquake-damaged structure.

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Figure 10. Sequence earthquake consisting of El Centro and Hector ground motions.

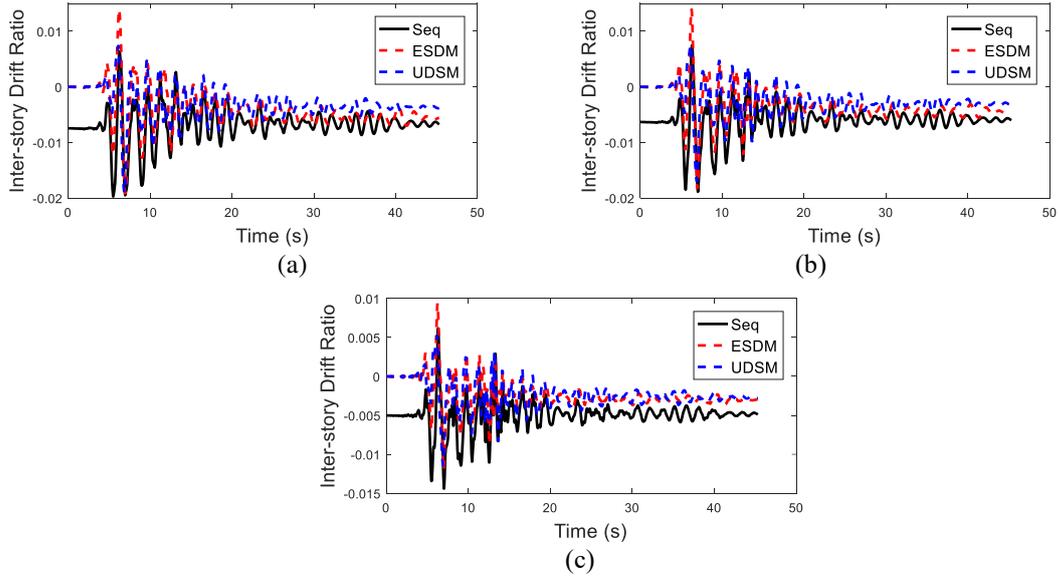


Figure 11. Comparison of IDR among ESDM and UDSM under Hector ground motion and USDm under sequence earthquake; (a) First floor; (b) Second floor; (c) Third floor.

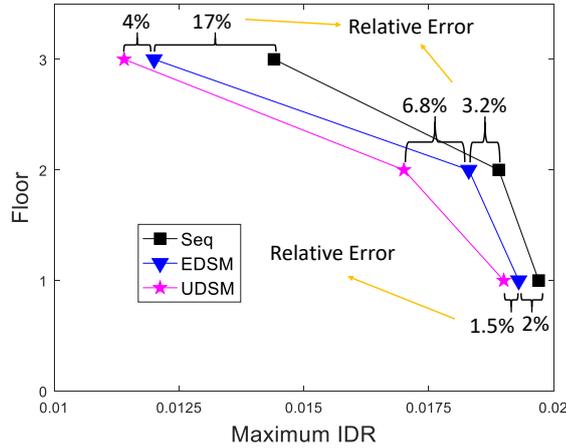


Figure 12. Maximum IDR of ESDM and UDSM under Hector ground motion and USDm under sequence earthquake

## 5 Performance evaluation of EDSM

### 5.1 Vulnerability function

For evaluating performance of EDSM, the vulnerability of EDSM and UDSM is compared. Structural vulnerability is described as a conditional probability that a certain limit state is exceeded for a given seismic intensity measure. Fragility curve can be expressed as shown Eq. 10:

$$F_R(x) = P[EDP \geq EDP_{LS_i} | IM = x] \quad (10)$$

where  $F_R(x)$  is structural fragility function, EDP is engineering demand parameter of structure under ground motion and IDR is widely used as EDP,  $EDP_{LS_i}$  is the threshold of EDP for  $i$ th limit state of

316 structure, IM is ground motion intensity measure, and the spectral acceleration  $S_a$  correspond to first-  
 317 order period with 5% damping and peak ground acceleration (PGA) are widely used as IM (Burton et al.  
 318 2017; Cornell et al. 2002; Wen et al. 2017; Zareian et al. 2010). The predicted median of seismic demand  
 319 of structure for a given IM can be obtained by Eq. 11 (Cornell et al. 2002):

$$\ln(m_{D|IM}) = \beta_0 + \beta_1 \ln(IM) \quad (11)$$

321 where  $m_{D|IM}$  is the median of seismic demand,  $\beta_0$  and  $\beta_1$  are determined by a linear regression  
 322 analysis of  $\ln(EDP)$  and  $\ln(IM)$  obtained by IDA. The dispersion  $\beta_{D|IM}$  between predicted seismic  
 323 demand and seismic demand computed by IDA is calculated by Eq. 12:

$$\beta_{D|IM} = \sqrt{\frac{\sum_{i=1}^N (\ln(EDP_i) - \ln(m_{D|IM}^i))^2}{N - 2}} \quad (12)$$

325 where N is number of data computed by IDA,  $EDP_i$  and  $m_{D|IM}^i$  are seismic demand computed by  
 326 simulation and predicted seismic demand correspond to  $i$ th IM, respectively. Furthermore, assuming the  
 327 response of structure follows the lognormal distribution, probability that seismic demand exceeds certain  
 328 limit state conditioned on certain seismic intensity can be calculated by Eq. 13:

$$P[EDP \geq EDP_{LS_i} | IM = x] = 1 - \Phi \left[ \frac{\ln(EDP_{LS_i}) - \ln(m_{D|IM})}{\beta_{D|IM}} \right] \quad (13)$$

329 where  $\Phi[\cdot]$  is the cumulative normal distribution function.

## 330 5.2 Fragility analysis for EDSM

331 Totally, 20 ground motion recordings are selected from ATC-63 (2009) strong ground motion data  
 332 sets on the basis of the average shear wave velocity of 30m topsoil ( $V_{s30}$ ) ranging 350~750 m/s  
 333 correspond to the class II site (Cao 2013) in the Chinese building code. The information of selected  
 334 ground motions is shown in Table 2. The response spectrum of selected ground motions are shown in  
 335 Fig. 13.

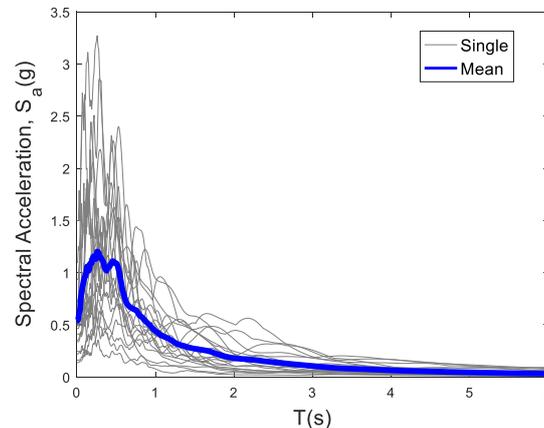
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Table 2. Information of selected ground motions.

Number	Event	Year	Station	$R_{jb}$ (KM)	$V_{s30}$ (m/s)	Magnitude	PGA(g)
1	Hector Mine	1999	Hector	10.3	685	7.1	0.34
2	Kobe Japan	1995	Nishi-Akashi	7.1	609	6.9	0.51
3	Kocaeli Turkey	1999	Arcelik	10.6	523	7.5	0.22
4	Friuli-Italy-01	1976	Tolmezzo	15	424	6.5	0.35
5	Northridge-01	1994	Beverly Hills- 14145 Mulhol	9.4	356	6.7	0.52
6	Landers	1992	Yermo Fire Station	23.6	354	7.3	0.24

7	Loma Prieta	1989	Gilroy Array #3	12.2	350	6.9	0.56
8	Gazli-USSR	1976	Karakyr	3.9	660	6.8	0.72
9	Nahanni-Canada	1985	Site 1	2.5	660	6.8	1.10
10	Nahanni-Canada	1985	Site 2	0	660	6.8	0.49
11	Cape Mendocino	1992	Cape Mendocino	0	513	7	1.50
12	Loma Prieta	1989	Corralitos	0.1	462	6.9	0.64
13	Northridge-01	1994	LA-Sepulveda VA Hospital	0	380	6.7	0.93
14	Loma Prieta	1989	BRAN	3.9	377	6.9	0.53
15	Tabas Iran	1978	Dayhook	0	471	7.4	0.41
16	Duzce Trukey	1999	Lamont 531	8.03	638	7.1	0.16
17	Irpinia Italy-01	1980	Calitri	13.34	456	6.9	0.14
18	Montenegro Yugoslavia	1979	Pertovac-Hotel Olivia	0	543	7.1	0.46
19	Manjil Iran	1990	Abbar	12.55	724	7.4	0.5
20	Chi-Chi Taiwan	1999	TCU067	0.62	434	7.6	0.5

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340 Figure 13. Response spectrum of significant and total duration ground motions.  
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On the basis of formulation mentioned above, IDA for UDSM and EDSM under selected ground motions is performed. Maximum inter-story drift ratio and peak ground acceleration (PGA) are selected as engineering demand parameter and intensity measure, respectively. Hazus (FEMA 2006) determined median values of IDR at the threshold of structural damage for varied structure types and rises. And Lin et al. (2010) developed the mapping of seismic design level between Chinese code and Hazus. The threshold of IDR of limit state for three-story RC frame structures designed in this paper is list in Table 3. The IDA curves of UDSM and EDSM under selected earthquakes are shown in Fig. 14. And the mean PGA of varies limit state for UDSM and EDSM is shown in Table 4. Mean PGA of EDSM for four limit states is 10%, 16%, 12%, 7% smaller than that of UDSM, respectively. Earthquake-induced damage results in a reduction in structural performance due to reduction in stiffness and strength of components after earthquake. Meanwhile, the impact of damage on complete damage state is milder than other

353 damage state.

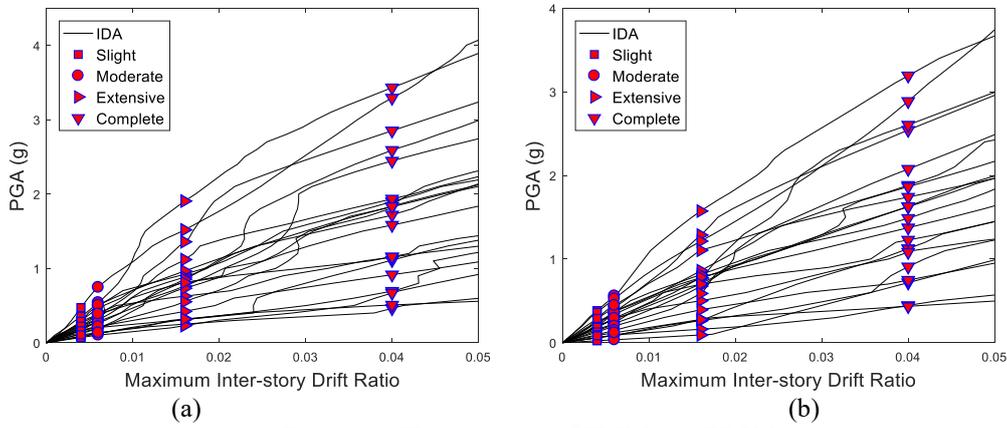
354 The vulnerability parameters (i.e.  $\beta_0$ ,  $\beta_1$  and  $\beta_{D|S_a}$ ) of UDSM and EDSM are determined by  
 355 linear regression analysis as shown in Fig. 15 and Table 5. Moreover, the fragility curves of UDSM and  
 356 EDSM for four limit states are shown in Fig. 16. It can be identified from Fig. 16 that EDSM is more  
 357 fragile than UDSM for four limit states, which demonstrates that earthquake-induced damage has  
 358 markedly influence on structural performance. For estimating quantitatively performance deterioration,  
 359 performance reduction index of structure is defined as Eq. 14:

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Table 3. Threshold of IDR of varies limit state.

Limit States	Slight	Moderate	Extensive	Complete
Threshold	0.004	0.006	0.016	0.04

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Figure 14. IDA curves; (a) UDSM; (b) EDSM.

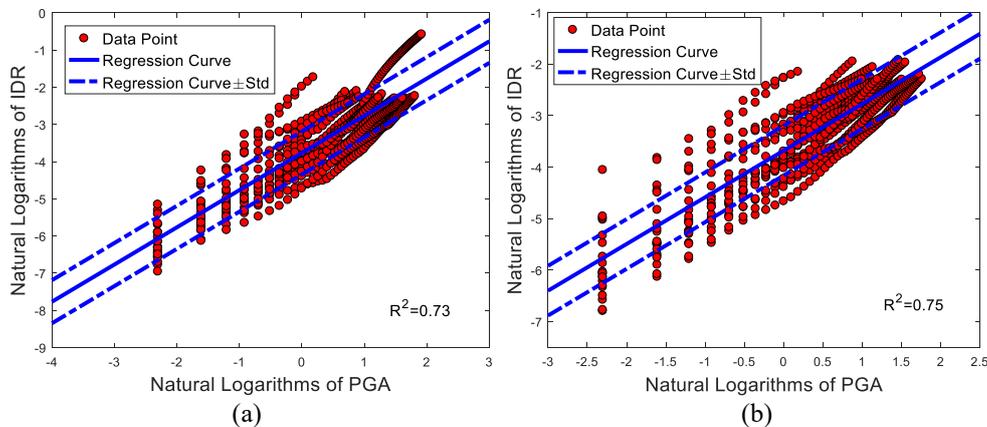
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Table 4. Mean PGA of varies limit state of UDSM and EDSM.

Type	Slight (g)	Moderate (g)	Extensive (g)	Complete (g)
UDSM	0.2	0.32	0.78	1.69
EDSM	0.18	0.27	0.69	1.58
Reduction	10%	16%	12%	7%

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Figure 15. Regression analysis of results computed by IDA; (a) UDSM; (b) EDSM.

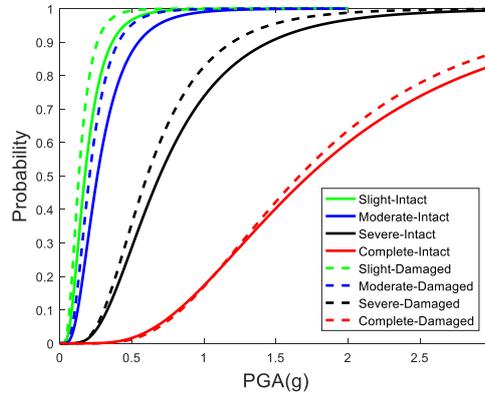
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Table 5. The vulnerability parameters of UDSM and EDSM

Type	$\beta_0$	$\beta_1$	$\beta_{D S_a}$	$R^2$
UDSM	-3.766	1.001	0.58	0.73
EDSM	-3.68	0.907	0.483	0.75

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375  
376 Figure 16. Comparison of fragility curve between UDSM and EDSM.  
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$$\gamma = 1 - \frac{IM_{EDSM}^{50\%}}{IM_{UDSM}^{50\%}} \quad (14)$$

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379 where  $\gamma$  is performance reduction index,  $IM_{UDSM}^{50\%}$  and  $IM_{EDSM}^{50\%}$  are ground motion intensity

380 measure corresponds to 50% exceedance probability for UDSM and EDSM, respectively. The reduction

381 index for four limit states is shown in Table 6.

382

383

Table 6. Reduction index for four limit states.

Limit States	Slight	Moderate	Severe	Complete
$\gamma$	23.5%	19.2%	11.6%	4%

384

385 The performance of EDSM is reduced by 23.5%, 19.2%, 11.6%, 4% for slight, moderate, severe,

386 complete limit state, respectively. It is clear that the reduction of vulnerability decreases gradually as the

387 limit state increases. As mentioned in section 4.2, earthquake-induced damage has the severest impact

388 on the elastic properties of structures. Necessarily, the reduction of structural performance is closely

389 related to the degree of structural damage. Determining the relationship between the performance

390 deterioration and degree of damage is the focus of future work.

## 391 6 Conclusion

392 A method to build earthquake-damaged structure model (EDSM) based on damaged cross section

393 analysis (DSA) procedure is proposed. This method can be used to establish EDSM to represent structure

394 after earthquake and assess the performance of structures with earthquake-induced damage. The

395 following conclusions are drawn:

396 (1) Damaged cross section analysis (DSA) procedure can respectively consider effect of damage on

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397 concrete and reinforcement and obtain the relation between moment and curvature of damaged section.  
398 Furthermore, the moment-curvature curve is transformed to a trilinear curve by identifying three key  
399 points. Earthquake-induced damage on beams and columns is generally asymmetric and DSA can  
400 consider the effect of earthquake-damaged on positive and negative direction of section, respectively.

401 (2) The method proposed to establish EDSM is realized on a three-story three-bay RC frame  
402 structure. By comparing among IDR of EDSM and UDSM under Hector ground motion and UDSM  
403 under sequence earthquake, it is necessary to consider effect of earthquake-induced damage on  
404 performance of structure when assessing performance of earthquake-damaged structure. And the EDSM  
405 established by the method in this paper can well represent damaged structure after earthquake.

406 (3) The fragility curve of EDSM is computed to quantitatively estimate the performance of  
407 earthquake-damaged structure. The EDSM is more fragile than UDSM due to reduction of stiffness and  
408 strength of members after earthquake. In this paper, the reduction of vulnerability can be quantitatively  
409 formulated by performance reduction index. Moreover, reduction of vulnerability decreases gradually as  
410 the limit state increases, that results from earthquake-induced damage has the severer effect on the elastic  
411 properties than that on plastic properties of structures. Of course, the reduction of structural performance  
412 is closely related to the degree of structural damage. Determining the relationship between the  
413 performance deterioration and degree of damage is the focus of future work.

414

## Appendix A. Parameters for determining skeleton curve

Table A.1. Parameters of skeleton curve for column sections.

Direction	Section	$K_e^a$ ( $10^4 KN \cdot m^2$ )	$\phi_y$ ( $1/m$ )	$M_y$ ( $KN \cdot m$ )	$\phi_u$ ( $1/m$ )	$M_u$ ( $KN \cdot m$ )	
+	C1S1	2.6(↓41%) <sup>b</sup>	0.024(↑34%)	234(↓12%)	0.039(↓2%)	253(↓11%)	
	C1S6	4.2(↓5%)	0.018	266	0.04	284	
	C2S1	2.8(↓44%)	0.016(↑33%)	233(↓13%)	0.034(↑10%)	262(↓11%)	
	C2S6	4.3(↓16%)	0.012	266	0.034(↑10%)	296	
	C3S1	3.3(↓35%)	0.017(↑36%)	247(↓8%)	0.031	268(↓10%)	
	C3S6	3.9(↓24%)	0.012	262(↓2%)	0.034(↑10%)	296	
	C4S1	3.4(↓22%)	0.018	250(↓6%)	0.038(↓5%)	284	
	C4S6	3.7(↓17%)	0.018	267	0.04	284	
	C5S1	3.8(↓2%)	0.017	248	0.047	269	
	C5S6	3.9	0.017	248	0.047	269	
	C6S1	4.0(↓4%)	0.017	259	0.046	278	
	C6S6	3.7(↓12%)	0.017	259	0.046	278	
	C7S1	4.0(↓5%)	0.017	259	0.046	278	
	C7S6	4.0(↓5%)	0.017	259	0.046	278	
	C8S1	3.9	0.017	248	0.047	269	
	C8S6	3.1(↓21%)	0.017	245(↓1%)	0.048(↑2%)	270	
	C9S1	3.4	0.016	232	0.061	255	
	C9S6	3.4	0.016	232	0.061	255	
	C10S1	3.5	0.016	237	0.059	259	
	C10S6	3.1(↓13%)	0.016	235	0.05(↓15%)	259	
	C11S1	3.5(↓2%)	0.016	237	0.059	259	
	C11S6	3.3(↓7%)	0.016	237	0.059	259	
	C12S1	3.3(↓2%)	0.016	232	0.061	255	
	C12S6	3.3(↓2%)	0.016	232	0.061	255	
	-	C1S1	4.0(↓10%)	0.018	266	0.041(↑3%)	284
		C1S6	3.8(↓13%)	0.018	268	0.04	284
C2S1		4.0(↓21%)	0.012	263(↓2%)	0.034(↑10%)	296	
C2S6		4.3(↓15%)	0.012	267	0.034(↑10%)	296	
C3S1		3.5(↓32%)	0.018(↑50%)	268	0.034(↑10%)	296	
C3S6		4.7(↓8%)	0.012	267	0.033(↑6%)	296	
C4S1		3.1(↓30%)	0.017	242(↓9%)	0.043(↑8%)	282	
C4S6		4.3(↓3%)	0.018	266	0.04	284	
C5S1		3.7(↓4%)	0.017	248	0.047	269	
C5S6		2.7(↓30%)	0.017	228(↓8%)	0.047	268	
C6S1		3.7(↓11%)	0.017	259	0.046	278	
C6S6		3.8(↓11%)	0.017	260	0.046	278	
C7S1		3.8(↓10%)	0.017	260	0.046	278	
C7S6		3.6(↓15%)	0.017	258	0.046	278	
C8S1		3.6(↓7%)	0.017	249	0.047	269	
C8S6		3.9	0.017	248	0.047	269	
C9S1		3.3(↓2%)	0.016	232	0.061	255	
C9S6		3.3(↓2%)	0.016	232	0.061	255	
C10S1		3.4(↓4%)	0.016	237	0.059	259	
C10S6		2.9(↓18%)	0.016	228(↓4%)	0.059	259	
C11S1		3.5	0.016	237	0.059	259	
C11S6		3.0(↓15%)	0.016	233(↓2%)	0.05(↓15%)	259	
C12S1		3.4	0.016	232	0.061	255	
C12S6		3.4	0.016	232	0.061	255	

a:  $K_e$  is the stiffness of elastic stage of skeleton curve,  $K_e = M_r/\phi_r$ .

b: ↓ and ↑ refer to reduction and increment, respectively. And the default represents a change of less than 1%.

Table A.2. Parameters of skeleton curve for beam sections.

Direction	Section	$K_e$ ( $10^4 KN \cdot m^2$ )	$\phi_y$ ( $1/m$ )	$M_y$ ( $KN \cdot m$ )	$\phi_u$ ( $1/m$ )	$M_u$ ( $KN \cdot m$ )	
+	B1S1	2.2(↓15%)	0.008(↑14%)	148(↓7%)	0.091(↓9%)	184(↓5%)	
	B1S6	2.3(↓15%)	0.008(↑14%)	152(↓5%)	0.1	190(↓2%)	
	B2S1	1.8(↓15%)	0.009(↑29%)	139(↓13%)	0.071(↓25%)	164(↓14%)	
	B2S6	2.1(↓15%)	0.008(↑14%)	148(↓7%)	0.054(↓43%)	178(↓7%)	
	B3S1	2.2(↓15%)	0.008(↑14%)	148(↓7%)	0.097(↓3%)	186(↓4%)	
	B3S6	2.3(↓15%)	0.008(↑14%)	151(↓5%)	0.089(↓11%)	188(↓3%)	
	B4S1	2.1(↓15%)	0.008(↑14%)	141(↓11%)	0.099(↓1%)	178(↓8%)	
	B4S6	2.2(↓15%)	0.008(↑14%)	148(↓7%)	0.1	186(↓4%)	
	B5S1	2.0(↓15%)	0.008(↑14%)	128(↓20%)	0.087(↓8%)	159(↓17%)	
	B5S6	2.2(↓15%)	0.008(↑14%)	151(↓5%)	0.095	185(↓3%)	
	B6S1	2.1(↓15%)	0.008(↑14%)	138(↓13%)	0.1	175(↓10%)	
	B6S6	2.2(↓15%)	0.008(↑14%)	149(↓6%)	0.1	186(↓3%)	
	B7S1	2.4(↓15%)	0.008(↑14%)	155(↓3%)	0.121	201	
	B7S6	2.4(↓15%)	0.008(↑14%)	158(↓1%)	0.121	201	
	B8S1	2.4(↓15%)	0.008	159	0.064(↓4%)	180(↓3%)	
	B8S6	2.3(↓15%)	0.008	157(↓1%)	0.064(↓4%)	180(↓3%)	
	B9S1	2.4(↓15%)	0.008(↑14%)	155(↓3%)	0.121	201	
	B9S6	2.4(↓15%)	0.008(↑14%)	158	0.121	201	
	-	B1S1	2.3	0.007	137	0.132(↓1%)	178(↓2%)
		B1S6	2.4	0.007	137	0.133	174
B2S1		1.7(↓17%)	0.007	95(↓17%)	0.142	122(↓18%)	
B2S6		1.0(↓42%)	0.007	56(↓41%)	0.134(↓8%)	76(↓42%)	
B3S1		2.3	0.007	137	0.133	179(↑2%)	
B3S6		2.4	0.007	137	0.133	174	
B4S1		2.3	0.007	137	0.133	175	
B4S6		2.3	0.007	137	0.132(↓1%)	178(↑2%)	
B5S1		1.9(↓10%)	0.007	116(↓3%)	0.142	157	
B5S6		1.7(↓16%)	0.007	103(↓11%)	0.124(↓19%)	161(↑2%)	
B6S1		2.3	0.007	137	0.13(↓3%)	177(↑2%)	
B6S6		2.3	0.007	137	0.132(↓1%)	178(↑2%)	
B7S1		2.8	0.007	166	0.114	207	
B7S6		2.8	0.007	166	0.114	207	
B8S1		1.3	0.007	69	0.161(↓12%)	95	
B8S6		1.3	0.007	70	0.161(↓12%)	95	
B9S1		2.8	0.007	166	0.114	207	
B9S6		2.7	0.007	166	0.114	207	

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424 **Declarations**

425 **Funding**

426 This work was supported by the National Key Research and Development Program of China under  
427 Grant number [2018YFD1100405].

428 **Competing Interests**

429 The authors declare that we do not have any commercial or associative interest that represents  
430 a conflict of interest in connection with the work submitted.

431 **Data Availability**

432 The datasets generated during the current study and MATLAB code are available from the  
433 corresponding author on reasonable request.  
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