

The treatment of Qibai Pingfei Capsule on chronic obstructive pulmonary disease may be mediated by Th17 / Treg balance and gut-lung axis microbiota

Yu Jia (✉ jiaorange2022@163.com)

Anhui University of Traditional Chinese Medicine <https://orcid.org/0000-0003-0105-4708>

Tiantian He

Anhui University of Traditional Chinese Medicine

Di Wu

Anhui University of Traditional Chinese Medicine

Jiabing Tong

Anhui Academy of Chinese Medicine

Jie Zhu

Anhui University of Traditional Chinese Medicine <https://orcid.org/0000-0001-8508-5864>

Zegeng Li

Anhui Academy of Chinese Medicine

Jingcheng Dong

Fudan University

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1 **The treatment of Qibai Pingfei Capsule on chronic obstructive pulmonary disease may be**
2 **mediated by Th17 / Treg balance and gut-lung axis microbiota**

3 Yu Jia¹⁺, Tiantian He¹⁺, Di Wu³, Jiabing Tong^{3,4}, Jie Zhu^{1,2,3*}, Zegeng Li^{3,4*} and Jingcheng Dong^{2*}

4 *Correspondence: Jie Zhu: janezhutcm@foxmail.com; Zegeng Li: li6609@126.com; Jingcheng
5 Dong: jcdong2004@126.com

6 ⁺ Yu Jia and Tiantian He contributed equally to this study

7 ¹ College of Integrated Chinese and Western Medicine, Anhui University of Chinese Medicine, No.1,
8 Qianjiang Road, Hefei City, Anhui Province, China

9 ² Institutes of Integrative Medicine, Fudan University, Shanghai, China

10 ³ Institute of Traditional Chinese Medicine Prevention and Control on Respiratory Disease, Anhui
11 Academy of Chinese Medicine, No. 117, Meishan Road, Hefei City, Anhui Province, China

12 ⁴ Department of Respiratory Medicine, First Affiliated Hospital of Anhui University of Traditional
13 Chinese Medicine, Meishan Road, Hefei City, Anhui Province, China

14 Full list of author information is available at the end of the article

15

16 **Abstract**

17 **Background:** Chronic obstructive pulmonary disease (COPD), a prevalent, progressive respiratory
18 disease, has become the third leading cause of death globally. Increasing evidence suggests that
19 intestinal and pulmonary microbiota dysbiosis is associated with COPD. Researchers have shown
20 that T helper (Th) 17/regulatory T (Treg) imbalance is involved in COPD. Qibai Pingfei Capsule
21 (QBPF) is a traditional Chinese medicine used to treat COPD clinically in China. However, the

22 effects of QBPF intervention on the Th17/Treg balance and microbiota in the gut and lung are still
23 poorly understood.

24 **Methods:** This study divided the rats into three groups (n=8): control, model, and QBPF group.
25 After establishing the model of COPD for four weeks and administration of QBPF for two weeks,
26 Th17 cells, Treg cells, their associated cytokines, transcription factors, and intestinal and pulmonary
27 microbiota of rats were analyzed. Furthermore, the correlations between intestinal and pulmonary
28 microbiota and between bacterial genera and pulmonary function and immune function were
29 measured.

30 **Results:** The results revealed that QBPF could improve pulmonary function and contribute to the
31 new balance of Th17/Treg in COPD rats. Meanwhile, QBPF treatment could regulate the
32 composition of intestinal and pulmonary microbiota and improve community structure in COPD
33 rats, suppressing the relative abundance of *Coprococcus_2*, *Prevotella_9*, and *Blautia* in the gut and
34 *Mycoplasma* in the lung, but accumulating the relative abundance of *Prevotellaceae_UCG_003* in
35 the gut and *Rikenellaceae_RC9_gut_group* in the lung. Additionally, gut–lung axis was confirmed
36 by the significant correlations between the intestinal and pulmonary microbiota. Functional analysis
37 of microbiota showed amino acid metabolism was altered in COPD rats in the gut and lung.
38 Spearman correlation analysis further enriched the relationship between the microbiota in the gut
39 and lung and pulmonary function and immune function in COPD model rats.

40 **Conclusions:** Our study indicated that the therapeutic effects of QBPF may be achieved by
41 maintaining the immune cell balance and regulating the gut-lung axis microbiota, providing
42 references to explore the potential biomarkers of COPD and the possible mechanism of QBPF to
43 treat COPD.

44 **Keywords:** COPD, Th17/Treg, intestinal microbiota, pulmonary microbiota, gut-lung axis, QBPF

45

46 **Background**

47 COPD is a common respiratory disease associated with notable morbidity and mortality, causing a
48 heavy economic burden on society and families [1]. Studies have shown that COPD development
49 is related to the T lymphocyte mediating inflammatory immune response and immune imbalance
50 [2]. The Th17 cells and Treg cells, as subtypes of CD4⁺ T lymphocytes, play critical roles in the
51 pathogenic process of COPD [3, 4]. Cervilha et al. demonstrated the crucial role of Th17/Treg
52 imbalance worsening the pulmonary inflammation in a COPD mice model [5]. Therefore, furthering
53 the understanding of immune imbalance in the pathogenesis of COPD is beneficial for disease
54 treatment.

55 With the recent advances in multiple omics techniques, a knowledge of the communities of
56 commensal microorganisms within the human body was improved [6], and the correlation between
57 the respiratory tract and the gastrointestinal tract has been gradually discovered [7]. In modern
58 medicine, the gut–lung axis (GLA) theory uses the immune system and microbial flora, which
59 colonize the intestine and lung, as a link hub to form a two-way axis that connects the intestines and
60 lungs [8]. The microbiota of the gut has been the most extensively investigated and has a profound
61 impact on host physiology, metabolism, immune function, and nutrition [9]. Gut microbiota
62 dysbiosis plays a causal effect influencing the severity of cigarette smoke-induced COPD
63 pathogenesis [10]. Faecal microbiota transplantation experiments further indicate that altered gut
64 microbiota in COPD patients accelerated COPD progression in mice [11]. Lungs were once
65 considered to be a sterile environment [12]. However, the microbiota of the lung is now recognized

66 as a cornerstone in the pathophysiology of numerous respiratory diseases [13]. Changes in the
67 pulmonary microbiota are directly associated with the onset of respiratory infections, including
68 pneumonia and influenza [14]. Studies have shown that the microbial composition of the lower
69 respiratory tract of patients with chronic respiratory diseases is different from that of healthy people
70 [15, 16]. Therefore, the intestinal and pulmonary microbiota may be a new perspective to investigate
71 COPD's pathogenesis and treatment mechanism.

72 Human microbiota has been shown to interact with the human immune system [17]. Bacterial
73 interactions may alter the host's immune and inflammatory response [18]. *Lactobacillus murinus* A
74 pulmonary strain (CNCM I-5314) increases the presence of lung Th17 cells and a Treg cell subset,
75 suggesting that strains found in the lung may shape local T cells in mice [19]. Intestinal microbiota
76 could maintain the balance of local immune response [20, 21] and is also involved in the immune
77 response process of various respiratory diseases [22], such as immune dysfunction in recipient mice
78 after faecal transplantation of COPD patients [23].

79 Traditional Chinese medicine treatment has advantages in alleviating symptoms, reducing the
80 frequency of acute exacerbation, and improving the quality of life in COPD [24-26]. QBPF, as the
81 hospital preparation of the first affiliated hospital of Anhui University of Traditional Chinese
82 Medicine (Anhui medicine word BZ20080023), has long been used in the clinical treatment of
83 COPD for more than ten years. It has been proven in many experiments to improve lung function
84 [27], relieve hypoxemia [28], and regulate T-lymphocyte subsets [29]. In this experiment, we
85 intervened the rat model of COPD by Chinese medicine QBPF. We investigated whether the
86 improvement effect of QBPF on COPD was achieved by maintaining the immune cell balance and

87 regulating the gut-lung axis microbiota, providing new ideas and directions to explore the potential
88 biomarkers of COPD and the possible mechanism of QBPF to treat COPD.

89

90 **Methods**

91 **Establishment of COPD model**

92 Twenty-four SPF-grade male rats with a weight of (200 ± 20) g were purchased from the
93 Experimental Animal Center of Hangzhou Medical College (SCXK 20190002; Hangzhou, China).

94 All rats ate and drank freely, changed bedding materials every other day, controlled temperature at
95 (22 ± 1) °C, relative humidity at 60%, and light of 12 h light/12 h dark cycle in an animal breeding
96 room. The treatment of rats followed the relevant provisions of the Regulations on the Management
97 of Laboratory Animals. Experimental Animal Ethics Committee of Anhui University of Chinese
98 Medicine reviewed and approved all experiments (identification number: AHUCM-rats-2021021).

99 A total of 24 rats were randomly divided into three groups after adaptive feeding for one week:
100 control group, model group, and QBPF group (n= 8 per group). To establish the disease model of
101 COPD [30], rats of the model and QBPF group were forced to swim for 30 minutes every day in a
102 constant temperature $[(43 \pm 1)$ °C] water tank (homemade by the First Affiliated Hospital of Anhui
103 University of Chinese Medicine). Subsequently, we put rats in a 1 m³ whole-body inhalation
104 chamber (homemade by the First Affiliated Hospital of Anhui University of Chinese Medicine)
105 filled with cigarette smoke (Dujiang brand cigarette, tar content: 10 mg, nicotine content: 0.8 mg,
106 carbon monoxide content: 13 mg, China Tobacco Anhui Industrial Co., Ltd) for 20 cigarettes/day,
107 1 h/day. Then put the rats in the constant hypoxia oxygen device (Shanghai Tawang Intelligent
108 Technology Co., Ltd), 7 h/day, using an automatic oxygen meter to control the oxygen concentration

109 to (10 ± 0.5) % through nitrogen, carbon dioxide (CO₂) sensor control chamber. The modelling was
110 carried out six days per week for four consecutive weeks.

111

112 **Preparation of QBPF and medication**

113 QBPF was provided by the First Affiliated Hospital of Anhui University of Traditional Chinese
114 Medicine (Hefei, Anhui, China), including Huangqi (Radix Astragali), Shengshaishen (sun-dried
115 ginseng), Chuanxiong (Ligusticum chuanxiong Hort), Xiebai (Allium macrostemon Bunge),
116 Tinglizi (Lepidium seed), Wuweizi (Schisandra) and Dilong (earthworm). The drug powder in the
117 capsule was carefully ground and prepared solution with 0.9% saline as $0.05 \text{ g} \cdot \text{ml}^{-1}$.

118 After successfully preparing animal models, the QBPF group rats were administered intragastric
119 according to the volume of 10 mL/kg rats' weight with QBPF solution. The model group was given
120 the same volume of saline for two weeks. The control group were raised in a normal environment
121 and gavage of saline (10 ml/kg) for two weeks.

122

123 **Pulmonary function measurement**

124 Spirometry data were obtained using the animal pulmonary function analysis system (AniRes 2005,
125 Beijing Beilanbo Technology Co., Ltd). Rats were sedated with 2% pentobarbital (1 ml/Kg),
126 tracheostomized, and intubated. Then rats were placed supine in the body chamber and connected
127 to the system. According to the procedures, the FEV 0.3 (Forced expiratory volume in 0.3 seconds),
128 FVC (Forced vital capacity) and (FEV 0.3/FVC) % were automatically measured. At least three
129 acceptable manoeuvres for each test of every rat were conducted to obtain reliable mean spirometry
130 data.

131

132 **Flow cytometry**

133 The Th17 and Treg cells in the rat anticoagulant peripheral blood were detected using incubation
134 with the corresponding antibodies under dark conditions. For Th17 cells, lymphocyte cells were
135 stained with fluorescein isothiocyanate (FITC)-conjugated anti-CD4 (cat. no.201505; Biolegend)
136 and phycoerythrin (PE)-conjugated anti-interleukin (IL)-17A (cat.no.bs-1183R-PE; Bioss). For the
137 Treg cells analysis, lymphocyte cells were stained with FITC-conjugated anti-CD4 (cat. no.201505;
138 Biolegend), Alexa Fluor 647-conjugated anti-CD25 (cat.no.bs-0577R-AF647; Bioss) and PE-
139 conjugated anti-forkhead box protein p3 (Foxp3) (cat. no.320007; Biolegend). Finally, the labelled
140 cells were analyzed on a CytoFLEX flow cytometer (Beckman, USA), using Flow Jo software V10
141 for statistical analysis.

142

143 **Enzyme-linked immunosorbent assay (ELISA)**

144 Peripheral blood of each rat was collected and centrifuged at 4°C and 3000 rpm/min for 15 mins.
145 The supernatant was taken, and the serum levels of IL-17A (cat.no.JYM0480Ra), IL-10
146 (cat.no.JYM0651Ra), CC chemokine ligand (CCL) 20 (cat.no.JYM0644Ra) and CC chemokine
147 receptor (CCR) 6 (cat.no.JYM1313Ra) were performed using the corresponding ELISA kit (Wuhan
148 ColorfulGene Biological Technology Co., LTD). The experimental procedure was based on the
149 manufacturer's instructions.

150

151 **Western blotting**

152 After the lysis of lung tissues with RIPA (cat.no.P0013B; Beyotime), the supernatant was collected,

153 containing the total tissue protein. The protein was separated by sodium dodecyl sulphate-
154 polyacrylamide gel electrophoresis (SDS-PAGE) (cat.no.S8010, cat.no.T8090; Solarbio) and
155 transferred to polyvinylidene fluoride (PVDF) membranes (cat.no.IPVH00010; Millipore) for
156 Western blot assay. After being blocked with 5% skim milk for 2 h, the PVDF membranes were
157 incubated with primary antibodies [rabbit anti-rat retinoid related orphan receptor (ROR) γ t (1:1500,
158 cat.no.bs-10647R; bioss), rabbit anti-rat Foxp3 (1:1000, cat.no.bs-23074R; bioss) and mouse anti-
159 rat glyceraldehyde-3-phosphate dehydrogenase (GAPDH) (1:2000, cat.no.TA-08; Zsbio)] overnight
160 at 4°C. After being washed three times with PBST, the membranes were incubated with horseradish
161 peroxidase (HRP)-conjugated secondary antibodies (goat anti-rabbit, 1:20000; cat.no.ZB-2301;
162 Zsbio) at room temperature for 1.2 h, washed three times with PBST. Proteins were detected with
163 ECL luminescence kits (cat. no.340958; Thermo) and analyzed with Image-J software. The results
164 were presented as relative expression levels related to corresponding internal control GAPDH.

165

166 **Immunohistochemistry**

167 The expression of RoR γ t and Foxp3 in lung tissues was analyzed by immunohistochemistry after
168 fixation with 4% paraformaldehyde and paraffin embedding. All sections were dewaxed in xylene
169 and rehydrated by ethanol gradient elution. After that, the antigen was retrieved in citric antigen
170 retrieval buffer before being blocked with 3% H₂O₂. Subsequently, the sections were incubated at
171 37 °C for 1 h with primary antibodies, including rabbit anti-rat RoR γ t (1:2000; Omin Abs) and
172 mouse anti-rat Foxp3 (1:1000; Santa Cruz). After washing three times with PBS, the slides were
173 incubated with secondary antibodies (goat anti-mouse, 1:5000; goat anti-rabbit, 1:2000; Boster,
174 China) at 37 °C for 30 min before being stained by DAB and counterstained with hematoxylin. The

175 images were captured by light microscopy, and the protein expressions of RoRyt and Foxp3 have
176 analyzed with Image-Pro Plus 6.0 software.

177

178 **Microbiota analyses**

179 Gut contents (GC) of each rat were collected separately with a sterile instrument. Fresh samples of
180 gut contents were rapidly frozen in liquid nitrogen using a cryopreservation tube and stored at – 80
181 °C for microbial analysis. The right lung lobe was ligated with a cotton thread, and the
182 bronchoalveolar lavage fluid (BALF) was collected from the left lung lobe. The left lung was
183 lavaged three times with 5 mL of physiological saline. The lavage fluid was about 4-5 mL was
184 recovered and then stored in a - 80 °C refrigerator.

185 Bacterial DNA was isolated from the gut contents and BALF samples using a DNeasy PowerSoil
186 kit (Qiagen, Hilden, Germany) following the manufacturer's instructions. The purity and
187 concentration of DNA were determined by agarose gel electrophoresis. PCR amplification of the
188 V3-V4 hypervariable regions of the bacterial 16S rRNA gene used universal primer pairs (343F: 5'-
189 TACGGRAGGCAGCAG-3'; 798R: 5'-AGGGTATCTAATCCT-3'). The Amplicon quality was
190 visualised using gel electrophoresis. The PCR products were purified with Agencourt AMPure XP
191 beads (Beckman Coulter Co., USA) and quantified using a Qubit dsDNA assay kit. The
192 concentrations were then adjusted for sequencing. Sequencing was performed on an Illumina Miseq
193 with two paired-end read cycles of 300 bases each (Illumina Inc., San Diego, CA; OE Biotech
194 Company; Shanghai, China). The sequencing data obtained were further processed and analysed.

195

196 **Statistical analysis**

197 GraphPad Prism (GraphPad Software version 8.0, San Diego, CA, USA) was used for statistical
198 analysis. All parametric data were analyzed using one-way analysis of variance (ANOVA),
199 followed by Brown-Forsythe and Welch ANOVA posthoc multiple comparison tests. All
200 nonparametric data were analyzed using the Kruskal-wills test. Statistical significance was
201 established at $p < 0.05$. The data were represented as mean \pm standard error. Spearman correlation
202 analysis was performed using R version 3.1.1 to evaluate the correlations between bacterial taxa
203 and lung function and immune features.

204

205 **Results**

206 **Pulmonary function**

207 From a general point of view, compared with the control group, the weight of rats in the model
208 group increased slowly, the spirit was inactive, the hair was untidy, the colour was dull, and the
209 back was arched. Compared with the model group, the weight of rats in the QBPF group increased
210 to some extent, the mental state and activity improved in varying degrees.

211 FEV 0.3, FVC and (FEV 0.3/FVC) % of all groups were detected to reflect pulmonary function.
212 As shown in figure 1A, compared with the control group, these parameters were dramatically lower
213 in the COPD and QBPF groups ($P < 0.01$). Following QBPF treatment, FEV 0.3, FVC and (FEV
214 0.3/FVC) % in the QBPF group were significantly improved compared to rats in the COPD group.

215 Based on these measurements and analyses, we have successfully established a COPD rat model
216 and confirmed that QBPF treatment could alleviate the overall symptoms of COPD.

217

218 **Analysis of the Th17/Treg cells balance**

219 Flow cytometry was performed to measure the percentage of Th17 and Treg cells from peripheral
220 blood. Compared with the model group, the proportion of Treg in the control group and treatment
221 group was significantly increased (Fig. 1C, D). compared with the model group, the proportion of
222 Th17 cells in the control and treatment group was significantly reduced (Fig. 1B, D); meanwhile,
223 the ratio of Th17 to Treg declined significantly (Fig. 1D). Thus it can be seen that QBPF can increase
224 Treg while reducing Th17 cell expression, and it can treat COPD by decreasing the ratio of Th17 to
225 Treg.

226 ELISA was used to determine the expression levels of related cytokines of Th17 cells and Tregs
227 in rat serum. A significant increase in IL-17A was observed in the model group compared with the
228 control group (Fig. 2A). Moreover, the expression of IL-10 decreased significantly in the model
229 group (Fig. 2A). Treatment with QBPF significantly reduced levels of IL-17A compared with the
230 model group ($p < 0.01$) and increased levels of IL-10 ($p < 0.01$). The content of CCL20 and CCR6
231 in serum was significantly increased in the COPD model group compared with controls and
232 significantly decreased in the QBPF treated group compared with the model group (Fig. 2A). Thus,
233 these findings suggested that the QBPF protective effect of COPD in the rat may in part be due to
234 the inhibition of inflammatory factors and regulation of immune-related cytokines.

235 To investigate the expression levels of ROR γ t and Foxp3, transcription factors of Th17 cells and
236 Tregs, in the lung tissue of each group of rats, the western blot and immunohistochemistry were
237 performed. Histological analyses showed that for the control group rats, the alveolar wall and the
238 alveolar structure were intact and normal; no significant inflammatory cell infiltration was seen
239 (Fig. 2C, D). While in the model group, the alveolar structure of the rats was disturbed, as evidenced
240 by thinning or fracturing of the alveolar wall, reduced alveolar elasticity, cystic dilatation, enlarged

241 alveolar cavities, and partial fusion into pulmonary blisters. There were also many inflammatory
242 cells infiltration of lung tissue in the model group (Fig. 2C, D). Notably, the model group rats'
243 COPD-related lung histopathological damage was markedly relieved by QBPF treatment (Fig. 2C,
244 D). Compared with the control group, the expression levels of ROR γ t in the lung tissue of the model
245 group increased significantly. However, compared with the model group, the expression levels of
246 ROR γ t of the QBPF treatment group was significantly reduced (Fig. 2B, C). Compared with the rat
247 in the control group, the positive expression of Foxp3 in the model group was significantly reduced.
248 However, treatment with QBPF increased the positive expression of Foxp3 compared with that in
249 the model group (Fig. 2B, D).

250

251 **Analysis of the alpha and beta diversity of intestinal and pulmonary microbiota**

252 To detect the changes of intestinal and pulmonary microbiota in COPD group rats and whether the
253 QBPF intervention can regulate the changes of microbiota, we performed 16S rRNA gene
254 sequencing of gut contents and BALF samples from rats.

255 We first compared the diversity of the gut contents microbiomes in different groups. The species
256 richness was similar between the control and model groups ($P > 0.05$), as well as between the model
257 and QBPF group ($P > 0.05$, Fig. 3A). The species evenness was strikingly decreased in the model
258 group than in the control group ($P < 0.05$) and was slightly increased but not significantly different
259 following QBPF treatment ($P > 0.05$, Fig. 3B). The principal coordinates analysis (PCoA) plot
260 indicated a distinctly different gut contents microbiota among the three groups ($P < 0.01$, Fig. 3C).

261 For the diversity analysis of the BALF microbiomes, species richness according to the Chao1 index
262 was similar in the control, model, and QBPF groups ($p > 0.05$, Fig. 3D). The species evenness

263 according to the Simpson index was lower in the model group than in the control group ($P < 0.01$)
264 and QBPF group ($P < 0.05$), indicating that the BALF microbiota in COPD model rats was
265 characterized by a lower evenness than that in the control group. At the same time, QBPF treatment
266 could increase species evenness (Fig. 3E). PCoA plot revealed a distinctly different BALF
267 microbiota among the three groups ($P < 0.01$, Fig. 3F). Similar to the case of gut contents microbiota,
268 the QBPF group was closer to the control group, indicating that the QBPF treatment could influence
269 the integral structure of the intestinal and pulmonary microbiota of the model group rats towards
270 normal.

271

272 **Analysis of the taxonomic composition of intestinal and pulmonary microbiota**

273 To investigate the difference in intestinal and pulmonary microbiota community structure in the
274 three groups, we analyzed each group's top fifteen dominant taxa at the phylum and genus levels.

275 The intestinal microbial community structure analysis showed that the dominant phyla in the
276 three groups, accounting for more than 97%, include *Bacteroidetes*, *Firmicutes*, *Proteobacteria*,
277 and *Fusobacteria* (Fig. 4A). Compared to the control group, the proportion of *Bacteroidetes* and
278 *Proteobacteria* declined in the model group, while the ratio of *Firmicutes* climbed in the model
279 group. After QBPF intervention, the changing trend of *Bacteroidetes*, *Firmicutes*, and
280 *Proteobacteria* were further deepened (Fig. 4B-D). The relative abundance of *Fusobacteria* was
281 decreased in the model group than in the control group and decreased after QBPF intervention (Fig.
282 4E). At the genus level, the dominant genera with a relative abundance greater than 2% include
283 *Prevotella_9*, *Lachnospiraceae_NK4A136_group*, *Lactobacillus*, *Prevotella_1*,
284 *Prevotellaceae_NK3B31_group*, *Roseburia*, *Alloprevotella*, *Prevotellaceae_UCG-003*,

285 *Bacteroides*, *Prevotellaceae_UCG-001*, and *Fusobacterium* (Fig. 5A). The *Lactobacillus*,
286 *Prevotellaceae_UCG-001*, and *Fusobacterium* levels showed downregulation in the model group
287 compared to that in the control group and upregulation in the QBPF group compared to the model
288 group (Fig. 5D, K, L). The *Prevotella_9*, *Prevotella_1*, and *Roseburia* levels showed upregulation
289 in the model group compared to the control group and downregulation in the QBPF group compared
290 to the model group (Fig. 5B, E, G). Compared to the control group, the proportion of
291 *Lachnospiraceae_NK4A136_group*, *Prevotellaceae_UCG-003*, and *Bacteroides* increased in the
292 model group (Fig. 5C, I, J), while the proportion of *Prevotellaceae_NK3B31_group* and
293 *Alloprevotella* decreased in the model group (Fig. 5F, H), these microbiota trends continued after
294 the QBPF intervention.

295 As far as pulmonary microbiota is concerned, the top five dominant phyla were *Bacteroidetes*,
296 *Proteobacteria*, *Firmicutes*, *Tenericutes*, and *Actinobacteria*, which accounted for over 92% of the
297 total sequences in all three groups (Fig. 6A). Compared to the control group, the proportion of
298 *Bacteroidetes*, *Proteobacteria*, *Firmicutes*, and *Actinobacteria* declined in the model group and
299 climbed in the QBPF group (Fig. 6B-D, F), the *Tenericutes* level rose in the model group and
300 dropped in the QBPF group (Fig. 6E). The dominant genera with a relative abundance of more than
301 2% in BALF include *Mycoplasma*, *Prevotella_9*, *Bacteroides*, *Neisseria*, *Lactobacillus*,
302 *Streptococcus*, *Sphingomonas*, and *Prevotella_7* (Fig. 7A). Compared to the control group, the
303 proportion of *Prevotella_9*, *Neisseria*, *Lactobacillus*, *Streptococcus*, *Sphingomonas*, and
304 *Prevotella_7* dropped in the COPD model group, but it went up in the QBPF group (Fig. 7C, E-I).
305 On the contrary, the *Mycoplasma* and *Bacteroides* level increased in the model group compared to
306 the control group and dropped in the QBPF group (Fig. 7B, D).

307

308 **Analysis of the significant differences of intestinal and pulmonary microbiota**

309 Line Discriminant Analysis (LDA) Effect Size (LEfSe) can detect species that differ significantly
310 in abundance between groups. We selected an LDA score higher than 3 to represent the most
311 enriched species in each group.

312 In terms of gut microbiota, compared with the control group, *Bacteroides*,
313 *Christensenellaceae_R_7_group*, *Ruminococcaceae_UCG_005*, *Anaeroplasma*, *Fusicatenibacter*,
314 *Coprococcus_2*, *Prevotella_9*, *Blautia*, *Rikenellaceae_RC9_gut_group*, and *Romboutsia* were more
315 abundant in the COPD group. However, *Anaerostipes*, *Methylophilus*, *Acetatifactor*,
316 *Lachnoclostridium*, *Alloprevotella*, *GCA_900066575*, *Desulfovibrio*, and
317 *Prevotellaceae_UCG_001* exhibited lower relative proportions in the COPD rats compared to the
318 control group (Fig. 8A). Compared with the model group, *Fusobacterium* and
319 *Prevotellaceae_UCG_003* were enriched, whereas *Fusicatenibacter*, *Neisseria*, *Acinetobacter*,
320 *Coprococcus_2*, *Mycoplasma*, *Prevotella_9*, *Prevotella_1*, *Alloprevotella*, and *Blautia* presented
321 lower abundances in the QBPF group (Fig. 8A).

322 LEfSe analysis of different lung species for three groups was also performed. Compared with the
323 control group, *Klebsiella*, *Sphingomonas*, *Streptococcus*, *Lactobacillus*, *Mucilaginibacter*,
324 *Stenotrophomonas*, *Delftia*, *Neisseria*, *Acinetobacter*, *Staphylococcus*, *Pseudomonas*, *Prevotella_2*,
325 *Prevotella_7*, *Lautropia*, *Rhodanobacter*, *Pseudarthrobacter*, *Rikenellaceae_RC9_gut_group*,
326 *Haemophilus*, *Morganella*, *Gemmatimonas*, *Prevotellaceae_UCG_003*, and *Massilia* were less
327 abundant in the COPD group. On the contrary, *Pygmaibacter*, *Mycoplasma*, and *oc32* exhibited
328 higher relative proportions in the COPD group compared to the control group (Fig. 8B).

329 *Cetobacterium*, *Campylobacter*, *Aerococcus*, *Acetatifactor*, *Pseudomonas*, *Neochlamydia*,
330 *Prevotella_2*, *Prevotella_7*, *Sulfuritalea*, *Niastella*, *Rikenellaceae_RC9_gut_group*, *Haemophilus*,
331 and *Anaerobiospirillum* were enriched, but *Promicromonospora*, *Duganella*, *Herbaspirillum*,
332 *Glaciecola*, *Eisenbergiella*, *Sva0996_marine_group*, *Negativibacillus*, and *Mycoplasma* presented
333 lower abundances in the QBPF group than the COPD group (Fig. 8B).

334

335 **Analysis of the functional prediction of intestinal and pulmonary microbiota**

336 Phylogenetic Investigation of Communities by Reconstruction of Unobserved States (PICRUSt)
337 was used to perform clusters of orthologous groups (COG) and Kyoto Encyclopedia of Genes and
338 Genomes (KEGG) functional prediction for sequenced genomes to determine potential functional
339 changes in the gut and lung microbial composition of different groups of rats.

340 Functional prediction of intestinal microbiota based on the COG database showed that the energy
341 production and conversion, nucleotide transport and metabolism, coenzyme transport and
342 metabolism, lipid transport and metabolism, translation, ribosomal structure and biogenesis,
343 replication, recombination and repair, cell wall/membrane/envelope biogenesis, posttranslational
344 modification, protein turnover, chaperones, inorganic ion transport and metabolism, and secondary
345 metabolites biosynthesis, transport and catabolism pathways altered dramatically in the COPD
346 group (Fig. 8C). Functional prediction of pulmonary microbiota based on the COG database found
347 that the translation, ribosomal structure and biogenesis, and defence mechanisms pathways altered
348 markedly in the COPD group (Fig. 8D).

349 Functional analysis of intestinal microbiota based on the KEGG database showed that alanine,
350 aspartate and glutamate metabolism, amino acid-related enzymes, amino sugar and nucleotide sugar

351 metabolism, aminoacyl-tRNA biosynthesis, carbon fixation pathways in prokaryotes, chaperones
352 and folding catalysts, chromosome, DNA repair and recombination proteins, DNA replication
353 proteins, general function prediction only, other ion-coupled transporters, oxidative phosphorylation,
354 peptidases, purine metabolism, pyrimidine metabolism, ribosome, and ribosome biogenesis were
355 more abundant in the COPD group than the control group. Still, they were significantly decreased
356 after the QBPF administration (Fig. 8C).

357 Functional analysis of pulmonary microbiota based on the KEGG database indicated that amino
358 acid-related enzymes, aminoacyl-tRNA biosynthesis, DNA replication proteins, folate biosynthesis,
359 glycine, serine and threonine metabolism, histidine metabolism, mineral absorption, purine
360 metabolism, renin-angiotensin system, riboflavin metabolism, ribosome, and sporulation pathways
361 were more abundant in the COPD group than controls. In contrast, they were significantly decreased
362 upon the QBPF administration (Fig. 8D).

363

364 **Correlation analysis of the intestinal and pulmonary microbiota**

365 To investigate the relationship between intestinal microbiota and pulmonary microbiota, we
366 performed correlation analysis on the top 20 dominant genera in the relative abundance of intestinal
367 and pulmonary microbiota at the genus level in the experiment (Fig. 9A). There were nine genera
368 simultaneously expressing in the gut and lung, including *Bacteroides*, *Prevotella_9*, *Lactobacillus*,
369 *Neisseria*, *Lachnospiraceae_NK4A136_group*, *Alloprevotella*, *Prevotellaceae_UCG-001*,
370 *Prevotellaceae_NK3B31_group*, and *Ruminococcaceae_UCG-014*, among which *Bacteroides*,
371 *Lactobacillus*, and *Lachnospiraceae_NK4A136_group* were negatively correlated ($P > 0.05$),
372 *Prevotella_9*, *Neisseria*, *Alloprevotella*, *Prevotellaceae_UCG-001*,

373 *Prevotellaceae_NK3B31_group*, and *Ruminococcaceae_UCG-014* were positively correlated ($P >$
374 0.05). *Mycoplasma* of pulmonary microbiota is positively correlated with *Prevotella_9* ($P < 0.01$)
375 and *Bacteroides* ($P < 0.05$) and negatively correlated with *Prevotellaceae_NK3B31_group* ($P <$
376 0.05), *Prevotellaceae_UCG-001* ($P < 0.01$), and *Desulfovibrio* ($P < 0.01$) of intestinal microbiota.
377 *Prevotellaceae_UCG-001* of intestinal microbiota is positively correlated with *Ambiguous taxa* ($P <$
378 0.01) and *Pseudomonas* ($P < 0.01$) of pulmonary microbiota. *Intestinimonas* of intestinal microbiota
379 is negatively correlated with *Prevotella_9* ($P < 0.01$), *Lactobacillus* ($P < 0.01$), and
380 *Rikenellaceae_RC9_gut_group* ($P < 0.01$) of pulmonary microbiota.

381

382 **Correlation analysis of the bacterial genera and lung function and immune features**

383 As shown in Figure 9B, significant correlations were identified between gut microbiota and lung
384 function and immune parameters. *Lachnoclostridium*, *Anaerostipes*, *Methylophilus*, *Desulfovibrio*,
385 *Acetatifactor*, and *Prevotellaceae_UCG_001*, worsened in COPD group, were positively associated
386 with lung function and Treg-related cytokines but negatively correlated to Th17/Treg ratio and
387 Th17-related cytokines. On the contrary, *Coprococcus_2*, *Rikenellaceae_RC9_gut_group*,
388 *Christensenellaceae_R_7_group*, *Fusicatenibacter*, *Ruminococcaceae_UCG_005*, *Acinetobacter*,
389 *Anaeroplasma*, *Blautia*, and *Prevotella_9*, enriched in the COPD group, were negatively correlated
390 to lung function and Treg-related cytokines but positively associated with Th17/Treg ratio and
391 Th17-related cytokines. These data suggested the associations between the immunogenic status and
392 lung function and microbiota in the gut in COPD rats.

393 As shown in Figure 9C, significant correlations were identified between lung microbiota and lung
394 function and immune parameters. *Mycoplasma*, *Pygmaibacter*, *Eisenbergiella*, and *Glaciecola*,

395 enriched in the COPD group, presented positive correlations to Th17-related cytokines but negative
396 association coefficients with lung function and Treg-related cytokines. In particular, *Acinetobacter*,
397 *Niastella*, *Pseudarthrobacter*, *Sphingomonas*, *Gemmatimonas*, *Prevotellaceae_UCG_003*,
398 *Massilia*, *Delftia*, *Staphylococcus*, *Pseudomonas*, *Rhodanobacter*, *Haemophilus*, and *Prevotella_2*
399 were positively associated with lung function. Several genera having lower abundances in the
400 COPD group, including *Prevotella_7*, *Lautropia*, *Morganella*, *Niastella*,
401 *Rikenellaceae_RC9_gut_group*, *Klebsiella*, *Mucilaginibacter*, *Pseudarthrobacter*, *Sphingomonas*,
402 *Neisseria*, *Streptococcus*, *Gemmatimonas*, *Prevotellaceae_UCG_003*, *Massilia*, *Delftia*,
403 *Staphylococcus*, *Pseudomonas*, *Rhodanobacter*, *Haemophilus*, and *Prevotella_2*, were positively
404 correlated to Th17-related cytokines and Th17/Treg ratio, but negatively associated with Treg-
405 related cytokines. These data suggested the associations between the immunogenic status and lung
406 function and microbiota in the lung in COPD rats.

407

408 **Discussion**

409 **1. There were differences in intestinal and pulmonary microbiota expression in COPD model** 410 **rats.**

411 The changes of richness, diversity, and community structure of the bacterial microbiome in the gut
412 and lung with COPD model rats were observed using 16S rRNA high-throughput sequencing in this
413 study. There were significant differences in the overall structure of intestinal and pulmonary
414 microbiota between the control group and the model group, and QBPF intervention could alleviate
415 the trend of sample differences. It was suggested that intestinal and pulmonary microbiota changes
416 occurred in COPD rats, and QBPF could optimize these changes. Based on the taxonomic

417 composition analysis, the dominant microbiota in the gut and lung include *Bacteroidetes*,
418 *Proteobacteria*, and *Firmicutes* in all groups at the phylum level. *Bacteroidetes*, butyrate-producing
419 bacteria [31], are essential for the degradation of complex carbohydrate biomes [32]. *Bacteroidetes*
420 of intestinal and pulmonary microbiota were lower in the model group than in the control group in
421 this experiment, consistent with the previous facts [11,33]. *Firmicutes*, Gram-positive bacteria, can
422 produce more harvestable energy than *Bacteroidetes*. When the relative abundance of *Firmicutes*
423 increases and *Bacteroidetes* decreases, the difference in terms of energy harvesting can promote
424 metabolic diseases [34]. Consistent with previous studies, the proportion of *Firmicutes* in the lung
425 was lower [35], while that was higher in the gut [11] in the model group than in the control group.
426 Nevertheless, interestingly, the proportion of *Proteobacteria* in the gut and lung was lower in the
427 model group than that of the control group in our study [11,36]. Many common human pathogens
428 belong to the *Proteobacteria*, and their proportions were negatively correlated with the FEV1/FVC
429 value [35]. However, *Proteobacteria* deletion can lead to inflammation under the condition of
430 dysbacteriosis [37].

431

432 **2. There is an imbalance of Th17, Treg cells and their related cytokines in the immune system**
433 **in COPD model rats.**

434 Recent studies have identified specific changes in the bacterial microbiome in the gut and lung can
435 cause or exacerbate COPD by regulating the inflammatory immune response in the lungs through
436 the gut-lung axis [38]. The dynamic equilibrium of Th17 and Treg cells played an essential role in
437 balancing COPD patients' immune status [4,39]. We noticed that CCL20, CCR6 and Th17 cells and
438 related cytokines were increased. In contrast, the expression of Treg and associated cytokines were

439 reduced in the COPD model group compared with the control group. Th17 cells have the effect of
440 inducing inflammatory response and increasing the expression of related cytokines IL-17A and
441 transcription factor ROR γ t. While Treg cells are antagonizing the inflammatory response, their
442 related cytokine IL-10 and transcription factor Foxp3 expression are decreased in the COPD group.
443 CCL20 and its unique receptor CCR6 are mainly expressed on the surface of Th17 and Treg cells,
444 which can mediate and regulate the chemotaxis of Th17 and Treg cells to inflammatory sites [40,
445 41]. Therefore, CCL20 and CCR6 may be involved in chronic inflammation and immune response
446 of airways and thus may participate in the COPD pathogenesis process [42].

447 The interactions between microbiota and immunity in the gut and lung are two-way [19, 43-45].
448 On the one hand, intestinal microbiota affects the local immune system [43] through such pro-
449 inflammatory and regulatory signals [44]. Furthermore, intestinal microbiota and producing short-
450 chain fatty acids (SCFAs) play an essential role in establishing and regulating the pulmonary
451 immune system [37, 46]. A crucial part of pulmonary microbiota in the maturation and homeostasis
452 of lung immunity has also emerged [47]. On the other hand, Treg cell depletion from the intestinal
453 lamina propria in mice influenced the intestinal microbiota composition [45]. A significant
454 inflammation in the lung can sickly transform the pulmonary microbiota composition [48].

455

456 **3. There is a significant relationship between gut-lung axis microecology and pulmonary**
457 **function and immune function in COPD model rats.**

458 Traditional Chinese medicine theory suggests that “the lung stands in interior-exterior relationship
459 with the large intestine” [49], which expounds the mutual dependence physiologically and influence
460 pathologically between the organs [50]. Modern research has confirmed that gastrointestinal and

461 respiratory mucosal tracts share the same origin and aspects of physiology and structure [51]. The
462 far-reaching crosstalk between the gut and the lungs occurs through lymph and bloodstream
463 circulatory systems [52, 53]. Consequently, as a specific axis with intensive dialogues, the GLA
464 plays a vital role in functional structure, inflammatory response, and immunity between the intestine
465 and lung [51-52, 54].

466 We revealed nine genera simultaneously expressing in the gut and lung through the community
467 composition analysis, including *Bacteroides*, *Prevotella_9*, *Lactobacillus*, *Neisseria*,
468 *Lachnospiraceae_NK4A136_group*, *Alloprevotella*, *Prevotellaceae_UCG-001*,
469 *Prevotellaceae_NK3B31_group*, and *Ruminococcaceae_UCG-014*, among which connections exist.
470 We noticed *Lactobacillus* decreased in the model group compared with the control group and
471 increased after QBPF treatment in the gut and lung. As a probiotic, *Lactobacillus* possesses well
472 antioxidant, anticancer and anti-inflammatory activities. Studies have shown that *Lactobacillus* has
473 the protective effects of preventing asthma and anti-influenza [55, 56]. *Neisseria spp.* has been
474 reported to regulate innate immune response and cytokine production in experimental infection
475 models [57]. Our study suggested that pulmonary *Neisseria* decreased in the model group compared
476 with the control group and increased after drug intervention. *Lachnospiraceae*, belonging to
477 anaerobes, ferments different plant polysaccharides into SCFAs [58], and its proportion increases
478 in the gut after QBPF treatment. SCFAs link the microbiota and the host immune system by
479 regulating the inflammatory response [59]. Our results showed that SCFAs-producing bacteria such
480 as *Alloprevotella*, *Prevotellaceae_NK3B31_group*, and *Prevotellaceae_UCG-001* decreased in the
481 model group compared with the control group in the intestine. Studies have shown that
482 *Ruminococcaceae* can also produce SCFAs and maintain a healthy gastrointestinal tract [60]. In

483 addition, the number of *Ruminococcaceae* decreased in older people and elderly monkeys [61]. The
484 relative abundance of *Ruminococcaceae_UCG-014* in the gut and lung increased after QBPF
485 treatment compared with the model group.

486 In addition to the common bacteria, there were significant correlations between the different
487 intestinal and pulmonary microbiota. *Mycoplasma* of pulmonary microbiota is positively correlated
488 with *Prevotella_9* and *Bacteroides* and negatively correlated with *Prevotellaceae_NK3B31_group*,
489 *Prevotellaceae_UCG-001*, and *Desulfovibrio* of intestinal microbiota. *Mycoplasma* belongs to the
490 phylum *Tenericutes* and is composed of non-wall bacteria. Their members establish symbiotic or
491 highly toxic relationships in animals and humans [62]. *Prevotella* strains are associated with plant-
492 rich diets in the gut, but they are also linked with chronic inflammatory conditions [63]. The results
493 suggested that the imbalance of COPD microbiota is related to the increase of harmful bacteria and
494 affect the production of SCFAs. The correlations between the intestinal and pulmonary microbiota
495 add pieces of evidence to the GLA [53, 64-65].

496 Based on the changes in the community structure of intestinal and pulmonary microbiota, we
497 performed COG and KEGG function prediction to analyse the differences of metabolic pathways
498 among the three groups. The function prediction of microbiota in the intestine and lung showed that
499 amino acid-related enzymes, aminoacyl-tRNA biosynthesis and purine metabolism pathway
500 increased markedly in the model group compared with the control group but decreased observably
501 after QBPF intervention. COPD is associated with amino acid metabolic deregulations [66, 67].
502 Previous studies have shown that serum histidine levels are elevated in COPD patients with worse
503 disease severity with emphysema, cachexia and increased systemic inflammation [68, 69]. Glycine
504 is involved in anti-inflammatory activity and immune response [70]. At the same time, glutamate

505 and glycine are involved in synthesising glutathione [71]. Glutathione is the most abundant
506 antioxidant, regulating gene expression, DNA and protein synthesis, cell proliferation and apoptosis,
507 signal transduction, cytokine production and protein glutathione methylation [72]. The results
508 showed that cysteine, glycine and glutamate, the components of glutathione synthesis, increased in
509 the lung of idiopathic pulmonary fibrosis compared with the control group [73]. Amino acids are
510 directly involved in cell metabolism and are also neurotransmitters [74], and the change of amino
511 acid metabolism may be a way to cause depression in COPD patients [75]. Additionally, amino
512 acids are the basic nutrients of infected microorganisms, and the levels of some amino acids (such
513 as tryptophan) will be reduced due to infection and/or inflammation [76]. Purine metabolism refers
514 to the synthesis of purine derivatives in vivo [77], and uric acid is the end product of purine
515 metabolism. As a result, the increased level of serum uric acid is thought to be a consequence of
516 increased purine catabolism in the presence of tissue hypoxia [78]. A significant quantity of patients
517 with COPD has systemic hypoxia at rest or during acute exacerbation due to decreased oxygen
518 diffusion capacity and alveolar hypoventilation. Therefore, serum uric acid is higher in patients with
519 COPD [79]. These results may indicate that the imbalance of intestinal and pulmonary microflora
520 may lead to metabolic disorders, affecting the occurrence and development of COPD.

521 Spearman correlation analysis showed that *Mycoplasma* in the lung was significantly positively
522 associated with ROR γ t, IL-17A, and Th17/Treg, while dramatically negatively correlated with FEV
523 0.3/FVC, Foxp3 and IL-10. These further confirmed that *Mycoplasma* was a common pathogen.
524 *Acetatifactor* in the intestine was notably negatively correlated with ROR γ t, IL-17A, and Th17/Treg,
525 and positively correlated with FEV 0.3/FVC, Foxp3, and IL-10. *Actatifactor*, a bacterium that
526 produces SCFAs, strongly correlates with steroid hormone biosynthesis, unsaturated fatty acid

527 biosynthesis, linoleic acid metabolism and other metabolic pathways [80]. Similar to our results, the
528 abundance of *Actatifactor* in the microbial community of the lung cancer group was relatively lower
529 than that of the healthy control group [80]. *Coprococcus_2* of intestinal microbiota was significantly
530 positively correlated with ROR γ t, IL-17A, and Th17/Treg, and negatively correlated with FEV
531 0.3/FVC, Foxp3, and IL-10. A high level of *Coprococcus* was associated with inflammation in
532 fructose-fed rats [81]. Moreover, lung cancer patients with a relatively higher abundance of
533 *Coprococcus* are prone to gastrointestinal reactions and disease progression after two cycles of
534 chemotherapy [82]. Previous studies have confirmed that the community changes of intestinal and
535 pulmonary microbiota in COPD are related to the decline of pulmonary function and immune
536 imbalance [35, 83-84]. Consequently, our results further enrich the relationship between intestinal
537 and pulmonary microbiota and pulmonary function and immune function in COPD model rats.

538

539 **4. There exists regulation of QBPF in immune homeostasis and intestinal and pulmonary**
540 **microbiota in COPD model rats.**

541 Consistent with previous studies, the expression of CCL20, CCR6, and Th17 cells and related
542 cytokines were increased, whereas the expression of Treg and associated cytokines were reduced in
543 the COPD model group compared with the control group and QBPF treatment could alleviate the
544 changes of these expression levels. These results suggested that QBPF treatment is conducive to the
545 new balance of Th17/Treg.

546 Our results showed that *Mycoplasma* in the lung increased significantly in the COPD model group
547 compared with the control group while decreased significantly after QBPF intervention.

548 *Mycoplasma* is a notable species traditionally associated with infection [85], and *Mycoplasma*

549 *pneumonia* is a common respiratory pathogen [86]. *Rikenellaceae_RC9_gut_group* in the lung
550 decreased significantly in the COPD model group compared with the control group while increased
551 significantly after QBPF intervention. *Rikenellaceae_RC9_gut_Group* (phylum *Bacteroidetes*)
552 were negatively correlated with blood lipid, glucose and insulin [87]. *Bacteroidetes* have been
553 demonstrated to increase regulatory T-cell differentiation and increase levels of IL-10 [88]. Similar
554 to our results, the abundance of *Rikenellaceae_RC9_gut_group* decreased in the H7N9 infection
555 group [89]. The relative abundance of *Coprococcus_2*, *Prevotella_9*, and *Blautia* in the gut
556 increased significantly in the COPD group compared with the control group and reduced after QBPF
557 treatment. *Coprococcus*, as a butyrate producer [90], was positively correlated with the SCFAs level
558 [91]. The characteristic gut microbiota of obese patients with Polycystic ovary syndrome is
559 *Coprococcus_2* [92]. Some species of *Prevotella* have inflammatory properties [93] and maybe
560 involved in COPD [11]. High content of *Prevotella* in the airways has been correlated with enhanced
561 concentrations of IL-17, among other cytokines, and Th17 cells [94]. *Prevotella_9* is associated
562 with the risk of major common diseases, including cardiovascular disease [95], colon cancer [96]
563 and even immune diseases [97]. Specific operational taxonomic units in the *Blautia* are associated
564 with inflammatory indicators [98]. Bowel symptoms and irritable bowel syndrome were associated
565 with an increased abundance of *Blautia* [99]. Similar to our results, the proportion of *Blautia*
566 decreased after the intervention of Chinese and Western medicine in AECOPD rats [100]. These
567 results suggest that QBPF can regulate the composition of intestinal and pulmonary microbiota and
568 improve community structure in COPD rats.

569 Many studies have shown that bioactive compounds or their metabolites from multiple herbs can
570 inhibit COPD progression [101]. Previous research has confirmed that QBPF had a regulatory effect

571 on immune function and could increase the proportion of CD4⁺ CD25⁺ Foxp3⁺ Treg cells [29]. As
572 the main drugs of QBPF, Radix Astragali and sun-dried ginseng exhibit anti-inflammatory and
573 immunomodulatory properties and improve lung function [102-107]. Active ingredients isolated
574 from other herbs in QBPF also have anti-inflammatory and immunomodulatory effects [108-114].
575 Our experiment confirmed that QBPF could improve the imbalance of Th17/Treg cells and regulate
576 immune function. Additionally, through this experiment, we believe that the imbalance of intestinal
577 and pulmonary microbiota may be one of the pathological mechanisms of COPD rats, and traditional
578 Chinese medicine has a regulatory effect on microbiota in the gut and lung [115-118]. QBPF could
579 treat COPD by regulating the intestinal and pulmonary microbiota, which provides a new idea and
580 direction for exploring the potential biomarkers of COPD and the possible mechanism of QBPF in
581 the prevention and treatment of COPD.

582 We know that the microbiota in humans or animals is constantly changing under the influence of
583 many factors, such as diet, environment, drugs and so on, thus our experimental results have certain
584 limitations. Secondly, the pathogenesis of COPD is complex. The intestinal and pulmonary
585 microbiota regulation on the host is all-around and multi-channel. Consequently, the interaction
586 between intestinal and pulmonary microbiota and immune regulation in COPD is worthy of further
587 study.

588

589 **Conclusions**

590 In summary, the present study demonstrates the imbalance of Th17/Treg and the dysbiosis of the
591 abundance, diversity and community structure of intestinal and pulmonary microbiota in COPD
592 model rats. The treatment of QBPF administration on COPD may be associated with maintaining

593 the Th17/Treg balance and reshaping the intestinal and pulmonary microbiota. Moreover, we
594 present shreds of evidence for the correlation between intestinal and pulmonary microbiota and the
595 correlation between bacterial genera and pulmonary function and immune function. These findings
596 may provide new insights into the potential biomarkers of COPD and the molecular mechanisms of
597 QBPF administration.

598

599 **List of abbreviations**

600 COPD, chronic obstructive pulmonary disease; Th, T helper; Treg, regulatory T; QBPF, Qibai
601 Pingfei Capsule; GLA, gut–lung axis; FEV 0.3, Forced expiratory volume in 0.3 seconds; FVC,
602 Forced vital capacity; FITC, fluorescein isothiocyanate; PE, phycoerythrin; IL, interleukin; Foxp3,
603 forkhead box protein p3; ELISA, Enzyme-linked immunosorbent assay; CCL, CC chemokine
604 ligand; CCR, CC chemokine receptor; SDS-PAGE, sodium dodecyl sulphate-polyacrylamide gel
605 electrophoresis; PVDF, polyvinylidene fluoride; ROR, retinoid related orphan receptor; GAPDH,
606 glyceraldehyde-3-phosphate dehydrogenase; HRP, horseradish peroxidase; GC, gut contents;
607 BALF, bronchoalveolar lavage fluid; ANOVA, one-way analysis of variance; LDA, Line
608 Discriminant Analysis; LEfSe, Line Discriminant Analysis Effect Size; PICRUST, Phylogenetic
609 Investigation of Communities by Reconstruction of Unobserved States; COG, clusters of
610 orthologous groups; KEGG, Kyoto Encyclopedia of Genes and Genomes; SCFAs, short-chain fatty
611 acids.

612

613 **Declarations**

614

615 **Ethics approval and consent to participate**

616 All experiments were reviewed and approved by the Experimental Animal Ethics Committee of
617 Anhui University of Chinese Medicine (identification number: AHUCM-rats-2021021).

618

619 **Consent for publication**

620 Not applicable.

621

622 **Availability of data and materials**

623 All datasets generated for this study are included in the article.

624

625 **Competing interests**

626 The authors have no competing interests.

627

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632

633 **Authors' contributions**

634 JZ designed the experiments. YJ, TTH, DW, and JBT performed animal experiments. YJ, TTH, and
635 JZ contributed to the data processing and analysis. YJ and JZ contributed to the writing of the article.
636 ZGL and JCD revised the paper. YJ and TTH are considered the co-first authors. JZ, ZGL, and JCD
637 are considered the cocorrespondence authors. All authors read and approved the final manuscript.

638

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641

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970

971 **Fig. 1** Pulmonary function and flow cytometry of Th17 and Treg cells in the peripheral blood.
972 Pulmonary function showing FEV 0.3, FVC and (FEV 0.3/FVC) % of all groups (A). The
973 representative plots of Th17 cells were gated by CD4⁺IL17⁺ (B). The representative plots of Treg
974 cells gated by CD4⁺CD25⁺Foxp3⁺ (C). The Th17/Treg ratio in peripheral blood (D). Data are
975 expressed as mean ± standard deviation (SD). **P* < 0.05, ***P* < 0.01, compared with control group.
976 #*P* < 0.05, ##*P* < 0.01, compared with COPD group.

977

978 **Fig. 2** Cytokines and transcription factors related to Th17 cells and Treg cells. ELISA showing IL-
979 17A, IL-10, CCL20, and CCR6 protein expression levels (A). Western blot analysis and
980 quantification of western blotting showing RORγt and Foxp3 (B). The immunohistochemistry
981 staining and the mean optical density of RORγt (C) and Foxp3 (D) in the lung tissue. Data are
982 expressed as mean ± standard deviation (SD). **P* < 0.05, ***P* < 0.01, compared with control group.
983 #*P* < 0.05, ##*P* < 0.01, compared with COPD group.

984

985 **Fig. 3** Analysis of the microbial diversity of the gut contents (A-C) and BALF (D-F). Comparison
986 of alpha diversity (as assessed by the Chao 1 (A, D) and Simpson (B, E) indices) and beta diversity
987 (as assessed by the PCoA (C, F)). **P* < 0.05, ***P* < 0.01.

988

989 **Fig. 4** Relative abundance of the most prevalent intestinal bacteria at the phylum level. Relative
990 proportions of major bacterial phyla (A) and those significantly differentially expressed among the
991 three groups (B-E). Data are expressed as mean \pm standard deviation (SD). * $P < 0.05$, ** $P < 0.01$,
992 compared with control group. # $P < 0.05$, ## $P < 0.01$, compared with COPD group.

993

994 **Fig. 5** Relative abundance of the most prevalent intestinal bacteria at the genus level. Relative
995 proportions of major bacterial genera (A) and those significantly differentially expressed among the
996 three groups (B-L). Data are expressed as mean \pm standard deviation (SD). * $P < 0.05$, ** $P < 0.01$,
997 compared with control group. # $P < 0.05$, ## $P < 0.01$, compared with COPD group.

998

999 **Fig. 6** Relative abundance of the most prevalent pulmonary bacteria at the phylum level. Relative
1000 proportions of major bacterial phyla (A) and those significantly differentially expressed among the
1001 three groups (B-F). Data are expressed as mean \pm standard deviation (SD). * $P < 0.05$, ** $P < 0.01$,
1002 compared with control group. # $P < 0.05$, ## $P < 0.01$, compared with COPD group.

1003

1004 **Fig. 7** Relative abundance of the most prevalent pulmonary bacteria at the genus level. Relative
1005 proportions of major bacterial genera (A) and those significantly differentially expressed among the
1006 three groups (B-I). Data are expressed as mean \pm standard deviation (SD). * $P < 0.05$, ** $P < 0.01$,
1007 compared with control group. # $P < 0.05$, ## $P < 0.01$, compared with COPD group.

1008

1009 **Fig. 8** Differential genera identification and the differences in metabolic pathways in each group.
1010 LEfSe revealed differentially abundant genera at the gut (A) and lung (B) in all groups. The
1011 differences in metabolic pathways by PICRUSt functional analysis (C-D). Comparison of functional
1012 analysis of intestinal microbiota in three groups at COG and KEGG level 3 (C). Comparison of
1013 functional analysis of pulmonary microbiota in three groups at COG and KEGG level 3 (D). * $P <$
1014 0.05, ** $P <$ 0.01, compared with control group. # $P <$ 0.05, ## $P <$ 0.01, compared with COPD group.
1015
1016 **Fig. 9** Heatmap of correlation of dominant genera and the correlation between key genera and
1017 certain parameters. Heatmap of correlation analysis of intestinal and pulmonary dominant genera
1018 (A). The abscissa axis is the dominant genera of intestinal microbiota, and the ordinate axis is the
1019 dominant genera of pulmonary microbiota. In the figure, red represents positive correlation, blue
1020 represents negative correlation, and the darker the colour, the more significant the difference. The
1021 heatmap analysis of Spearman correlation between key genera in the gut (B) and lung (C) and lung
1022 function and immune parameters. The red indicates a positive correlation, and the blue indicates a
1023 negative correlation. * $P <$ 0.05, ** $P <$ 0.01.

Figures

Figure 1

Pulmonary function and flow cytometry of Th17 and Treg cells in the peripheral blood. Pulmonary function showing FEV 0.3, FVC and (FEV 0.3/FVC) % of all groups (A). The representative plots of Th17 cells were gated by CD4+IL17+ (B). The representative plots of Treg cells gated by CD4+CD25+Foxp3+ (C). The Th17/Treg ratio in peripheral blood (D). Data are expressed as mean \pm standard deviation (SD). *P < 0.05, **P < 0.01, compared with control group. #P < 0.05, ##P < 0.01, compared with COPD group.

Figure 2

Cytokines and transcription factors related to Th17 cells and Treg cells. ELISA showing IL-17A, IL-10, CCL20, and CCR6 protein expression levels (A). Western blot analysis and quantification of western blotting showing ROR γ t and Foxp3 (B). The immunohistochemistry staining and the mean optical density of ROR γ t (C) and Foxp3 (D) in the lung tissue. Data are expressed as mean \pm standard deviation (SD). *P < 0.05, **P < 0.01, compared with control group. #P < 0.05, ##P < 0.01, compared with COPD group.

Figure 3

Analysis of the microbial diversity of the gut contents (A-C) and BALF (D-F). Comparison of alpha diversity (as assessed by the Chao 1 (A, D) and Simpson (B, E) indices) and beta diversity (as assessed by the PCoA (C, F)). *P < 0.05, **P < 0.01.

Figure 4

Relative abundance of the most prevalent intestinal bacteria at the phylum level. Relative proportions of major bacterial phyla (A) and those significantly differentially expressed among the three groups (B-E). Data are expressed as mean \pm standard deviation (SD). *P < 0.05, **P < 0.01, compared with control group. #P < 0.05, ##P < 0.01, compared with COPD group.

Figure 5

Relative abundance of the most prevalent intestinal bacteria at the genus level. Relative proportions of major bacterial genera (A) and those significantly differentially expressed among the three groups (B-L). Data are expressed as mean \pm standard deviation (SD). *P < 0.05, **P < 0.01, compared with control group. #P < 0.05, ##P < 0.01, compared with COPD group.

Figure 6

Relative abundance of the most prevalent pulmonary bacteria at the phylum level. Relative proportions of major bacterial phyla (A) and those significantly differentially expressed among the three groups (B-F). Data are expressed as mean \pm standard deviation (SD). *P < 0.05, **P < 0.01, compared with control group. #P < 0.05, ##P < 0.01, compared with COPD group.

Figure 7

Relative abundance of the most prevalent pulmonary bacteria at the genus level. Relative proportions of major bacterial genera (A) and those significantly differentially expressed among the three groups (B-I). Data are expressed as mean \pm standard deviation (SD). *P < 0.05, **P < 0.01, compared with control group. #P < 0.05, ##P < 0.01, compared with COPD group.

Figure 8

Differential genera identification and the differences in metabolic pathways in each group. LEfSe revealed differentially abundant genera at the gut (A) and lung (B) in all groups. The differences in metabolic pathways by PICRUST functional analysis (C-D). Comparison of functional analysis of intestinal microbiota in three groups at COG and KEGG level 3 (C). Comparison of functional analysis of pulmonary microbiota in three groups at COG and KEGG level 3 (D). *P < 0.05, **P < 0.01, compared with control group. #P < 0.05, ##P < 0.01, compared with COPD group.

Figure 9

Heatmap of correlation of dominant genera and the correlation between key genera and certain parameters. Heatmap of correlation analysis of intestinal and pulmonary dominant genera (A). The abscissa axis is the dominant genera of intestinal microbiota, and the ordinate axis is the dominant genera of pulmonary microbiota. In the figure, red represents positive correlation, blue represents negative correlation, and the darker the colour, the more significant the difference. The heatmap analysis of

Spearman correlation between key genera in the gut (B) and lung (C) and lung function and immune parameters. The red indicates a positive correlation, and the blue indicates a negative correlation. *P < 0.05, **P < 0.01.