

Clinical characteristics and prognosis of patients with glioblastoma with infratentorial leptomeningeal dissemination

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Abstract

Objective

Leptomeningeal dissemination (LMD) is a severe complication of glioblastoma (GBM) and has become a more common and indispensable clinical proposition with improved patient prognosis. Although infratentorial leptomeningeal dissemination (ITD) of GBM is rare, it is clinically significant as it may largely influence patient prognosis. Here, we investigated the clinical characteristics and prognosis of patients with ITD.

Methods

Data of patients with newly diagnosed isocitrate dehydrogenase (IDH)-wildtype GBM treated at our institution between October 2008 and December 2018 were reviewed. ITD was defined as the dissemination of GBM, which first emerged as a supratentorial tumor, and later disseminated in the infratentorial region as the first recurrent site.

Results

Of 160 patients with newly diagnosed IDH-wildtype GBM, seven (4.4%) were classified as having ITD. ITD lesions were in the fourth ventricle in two patients, extra-cerebellum or extra-brainstem in two, and intra-cerebellum in three. The primary symptoms of ITD were gait disturbance (85.7%, n = 6), nausea and vomiting (28.6%, n = 2), cerebellar mutism (14.3%, n = 1), and none (14.3%, n = 1). In four cases (57.1%), symptoms were confirmed before ITD discovery. The median progression-free survival (PFS) and overall survival of the patients were 12.2 and 19.7 months, respectively. Radiotherapy was performed in five patients, and all the patients received chemotherapy. The PFS and overall survival rates from ITD diagnosis were 2.9 and 7.1 months, respectively. Patients with favorable prognoses were younger and had higher Karnofsky performance status (KPS) scores.

Conclusions

Radiotherapy or molecular-targeted therapy may be effective in some cases of ITD and may contribute to extending survival. Carefully checking the infratentorial region of patients with GBM during follow-up and rapidly treating ITD before their KPS score starts declining are crucial.

Introduction

Glioblastoma (GBM) is the most life-threatening malignant brain tumor and is categorized as a grade 4 tumor by the World Health Organization (WHO). Even with the best treatment of maximal safe surgical resection following chemoradiotherapy with temozolomide (TMZ), recurrence is inevitable in most cases.

However, with advances in surgical techniques, including a generalization of awake surgery or assistance of fluorescence-guidance using 5-aminolevulinic acid, the introduction of an antiangiogenic drug, bevacizumab, and development of novel therapeutic modalities such as tumor-treating fields [1], the prognosis of GBM patients has steadily improved in the last decade [2].

Leptomeningeal dissemination (LMD), also called leptomeningeal metastasis, is a severe complication of GBM and is considered an end-stage disease [3]. The median overall survival (OS) of patients with GBM with LMD is 2.1–5.7 months [4–8] with various types of chemotherapy or radiation. Since long survival [9] is suspected as one of the risk factors for LMD in patients with GBM, the improvement of prognosis leads LMD to be a more common and indispensable clinical proposition [10].

The incidence of LMD in GBM is reported to be 4–25%, including autopsy cases [3]. However, infratentorial leptomeningeal dissemination (ITD) of supratentorial GBMs is rare, and its clinical features remain unclear. ITD is a clinically significant complication because it is suspected to largely influence patient prognosis, as reported for brain metastases [11]. LMD occurs through subependymal spread, subarachnoid seeding, direct infiltration along white matter tracts, and hematogenous spread. Previous studies have reported that supratentorial GBM cells metastasize into the fourth ventricle or cerebellum via the cerebrospinal fluid (CSF) through an aqueduct from the third or lateral ventricle [12, 13], or invade the brainstem through the white matter tracts of myelinated axons extending from the cortex through the midbrain [14]. Drumm et al. have reported that extensive GBM infiltration of the brainstem was present in 67% of autopsied patients [14]. Considering that refinement of GBM treatment will improve patient prognosis and consequently increase the number of patients with ITD, understanding ITD would be clinically meaningful to further improve the prognosis in patients with GBM. This study aimed to determine the clinical characteristics of ITD and examine their impact on patients' lives.

Methods

Patient characteristics

This was a single-center retrospective analysis of a consecutive series of patients with isocitrate dehydrogenase (IDH)-wild-type GBM. First, adult patients with supratentorial GBM (≥ 18 years) who were newly diagnosed and treated at our institution between October 2008 and December 2018 were identified. They had at least six months of postoperative follow-up, with MRI performed at least every two months. Patient data, including age, sex, clinical history, presurgical physical assessment, radiological images, surgical reports, and postsurgical clinical courses, were reviewed. Second, patients who developed an ITD were selected from the GBM population. ITD was defined as the dissemination of GBM, which first emerged as a supratentorial tumor and later appeared in the infratentorial region as the first site of recurrence. The ITD was radiologically diagnosed as gadolinium-enhanced on T1-weighted images or high signal intensity lesions on FLAIR images; CSF cytology was not considered imperative analysis because of its low sensitivity (25–45%) [5, 8]. Histological diagnosis of GBM was certified based on the

WHO classification 2007/2016 of tumors of the central nervous system. In this study, we conformed to WHO classification 2021 and included only GBM and IDH-wildtype cases [15].

Molecular profiles of the tumors, including IDH, telomerase reverse transcriptase (*TERT*), serine/threonine kinase B-RAF (BRAF), H3 histone, family 3A (*H3F3A*) mutation status, and O6-methylguanine-DNA-methyltransferase (*MGMT*) promoter methylation status, were extracted from medical records. The extent of resection was determined based on the surgeon's operative notes and on postoperative imaging studies, classified as either total if 100% of the enhanced lesion was resected, subtotal if 95–99% was resected, partial if < 94% was resected, or a biopsy. Consecutive Karnofsky performance status (KPS) scores were obtained from 0 to 6 months after ITD treatment.

Molecular Analysis

DNA was extracted from frozen tumor tissues for all cases using a DNeasy Blood & Tissue Kit (Qiagen, Tokyo, Japan). The presence of hotspot mutations in *IDH1* (R132) and *IDH2* (R172) was assessed by pyrosequencing as previously described [16]. Pyrosequencing assays were designed to detect all known mutations in these codons [16]. The two mutation hotspots in the *TERT* promoter were analyzed in all tumors using Sanger sequencing and/or pyrosequencing, as previously reported [17]. Mutation hotspots at codons 27 and 34 of *H3F3A* and codon 600 of BRAF were analyzed by Sanger sequencing and/or pyrosequencing [18]. The methylation status of the *MGMT* promoter was analyzed using bisulfite modification of tumor genomic DNA, followed by pyrosequencing, as previously described [17]. Methylation was considered positive when its mean level at the examined 16 CpG sites was > 16% [17, 19].

Statistical Analysis

OS was defined as the interval between the initial surgery and death. Progression-free survival (PFS) was defined as the interval between the initial surgery date and the detection of any progression. Therefore, PFS is equivalent to the interval between initial surgery and ITD development. PFS from ITD diagnosis was defined as the interval between the date of ITD treatment and any (supratentorial or infratentorial) progression, death, or last follow-up. Survival from ITD diagnosis was defined as the interval between the date of ITD treatment and death or last follow-up. Patients with unknown survival were censored at the last follow-up date. These times were calculated using the Kaplan–Meier method and compared using the log-rank test. Statistical analyses were performed using GraphPad Prism 9 (GraphPad Software, Inc., La Jolla, CA, USA). Statistical significance was defined as $P < 0.05$.

Ethics Approval

This retrospective study used data obtained for clinical purposes. This study was approved by the internal review board of the National Cancer Center (approval number: 2004-066).

Result

Patient Demographics

Altogether, 160 newly diagnosed IDH-wild-type GBM patients were treated at our institution between October 2008 and December 2018. Seven (4.4%) were classified as having ITD. Table 1 lists the clinical characteristics of the seven patients with ITD, of whom five were men, and two were women with a median age of 66 years (39–75 years). The median KPS score at ITD development was 70 (40–90).

Table 1
Clinical characteristics of the seven patients with infratentorial
leptomeningeal dissemination (ITD)

| Characteristics | No. (%) of patients |
|---|----------------------------|
| Age, years | |
| Median (range) | 66 (39–75) |
| Sex | |
| Male | 5 (71.4) |
| Female | 2 (28.6) |
| Karnofsky performance status at the time of ITD treatment | |
| Median (range) | 70 (40–90) |
| Lesion/ Time from initial surgery to ITD treatment | |
| All (Median (range)) | 12.4 (8.2–25.1) months |
| Fourth ventricle (n = 2) | 12.2 (9.8–14.5) months |
| Extra-cerebellum (n = 2) | 12.9 (8.2–17.6) months |
| Intra-cerebellum (n = 3) | 12.4 (12.2–25.1) months |
| Symptoms | |
| Gait disturbance | 6 (85.7) |
| Nausea | 2 (28.6) |
| None | 1 (14.3) |
| ITD treatments | |
| Surgery, radiation, and chemotherapy | 1 (14.3) |
| Radiation and chemotherapy | 4 (57.1) |
| Chemotherapy alone | 2 (28.6) |
| Chemotherapy | |
| Bevacizumab | 3 (42.9) |
| Temozolomide | 3 (42.9) |

ITD, infratentorial leptomeningeal dissemination; LBRT, local brain radiotherapy

| Characteristics | No. (%) of patients |
|--|---------------------|
| Procarbazine | 1 (14.3) |
| Dabrafenib, Trametinib | 1 (14.3) |
| Radiation | |
| LBRT 25Gy/5Fr | 2 (28.6) |
| LBRT 60Gy/30Fr | 2 (28.6) |
| Brain and whole spine 36Gy/20Fr | 1 (7.1) |
| ITD, infratentorial leptomeningeal dissemination; LBRT, local brain radiotherapy | |

Characteristics and Surgical Results of the Initial Tumors

Representative images of primary tumors are summarized in Fig. 1A. All the initial GBMs exhibited ring-enhanced lesions, which were located in the temporal lobe (42.9%, n = 3), frontal lobe (28.6%, n = 2), parietal lobe (14.3%, n = 1), and thalamus (14.3%, n = 1). The tumors in patients 1, 2, 3, 4, 6, and 7 were located in the periventricular zone. The mean volume of seven preoperative enhanced tumor lesions was 26.5 cm³ (9.2–42.7 cm³).

Six patients underwent tumor resection by craniotomy at the initial presentation under general anesthesia, and one underwent biopsy for a thalamic lesion. The total resection was achieved in two cases (28.6%), subtotal resection in three patients (42.9%), partial resection in one case (14.3%), and limited in biopsy in one case (14.3%). The ventricle was opened as a part of the surgical procedure in six patients (85.7%), except for one with a thalamic lesion.

The molecular genetic examination was performed in all cases of initial tumors; three tumors (42.9%) had *TERT* promoter mutation, one tumor (14.3%) had *BRAF* mutation, no tumor had *H3F3A* mutation, two tumors (28.6%) had high *MGMT* promoter methylation status (cut-off value: 16.0%).

The radiological images of the ITD and postoperative tumor cavity during ITD development are summarized in Fig. 1B and C. The ITDs were classified into four groups according to CSF flow: fourth ventricle (patients 1 and 2), extra-cerebellum or extra-brainstem (patients 3 and 4), intra-cerebellum (patients 5–7), and intra-brainstem (no patient in this study). CSF cytology was performed in patients 2 and 7, and malignant cells were not observed.

Characteristics and Outcomes of ITDs

The interval from the initial GBM surgery to the diagnosis of ITD (PFS) was 12 months (range, 6–21 months). The symptoms observed when ITD developed were gait disturbance (85.7%, n = 6), nausea and vomiting (28.6%, n = 2, patients 1 and 2), cerebellar mutism (14.3%, n = 1, patient 1), and none (14.3%, n = 1, patient 6). Four patients (57.1%) presented with new symptoms before radiological diagnosis of ITD. Additionally, light FLAIR high lesions were observed in three cases (42.9%, patients 1, 3, and 7) before ITD development. The ITD treatments included surgery following chemoradiation (14.3%, n = 1, patient 5), radiation and chemotherapy (57.1%, n = 4, patients 1, 2, 4, and 7), and chemotherapy alone (28.6%, n = 2, patients 3 and 6). TMZ and procarbazine were used for ITD treatment in patient 3. Dabrafenib plus trametinib chemotherapy was administered to patient 4, who had the BRAF-V600E mutation. It demonstrated a good clinical response and prolonged patient survival. The most used therapeutic agents were bevacizumab (BEV; 57.1%, n = 4) and TMZ (42.9%, n = 3). The most applied radiation dose was 25 Gy in 5 fractions (28.6%, n = 2) or 60 Gy in 30 fractions (28.6%, n = 2). No severe adverse effects due to chemoradiotherapy were documented. Two (28.6%) patients with fourth ventricular ITD (patients 1 and 2) presented nausea and intractable vomiting during ITD treatment. Serotonin 5-HT₃ receptor antagonists did not affect these symptoms, and these symptoms were relieved one or two weeks after chemotherapy or radiotherapy. Gait disturbance was also observed in six (85.7%) patients and did not improve with any treatment. Patient 1 did not recover from cerebellar mutism. These symptoms largely contributed to worse patient performance status.

The median PFS and OS of patients were 12.2 and 19.7 months, respectively (Fig. 2A and B). The prognosis of patients with ITD was poor as the median PFS and survival from ITD diagnosis were 2.9 and 7.1 months, respectively (Fig. 3A and B). We observed that PFS from ITD diagnosis of the younger ITD patient group (age \leq 49 years) was better than the older group (age \geq 50 years) (7.1 vs. 2.8 months, P = 0.15, Fig. 3C). The survival from ITD diagnosis was significantly better in the younger group than in the older group (11.2 vs. 4.1 months, P = 0.041, Fig. 3D). Moreover, patients with KPS \geq 70 at ITD treatment demonstrated a significantly better PFS (4.1 vs. 2.2 months, P = 0.048, Fig. 3E) and OS (7.4 vs. 3.4 months, P = 0.010, Fig. 3F) than those with KPS \leq 60.

The sequential KPS changes in patients with ITD are summarized in Fig. 4. No improvement in the KPS was observed after ITD treatment. Even in the good prognosis group (age \leq 40 years and KPS \geq 70), the KPS score was maintained $>$ 50 only for three months.

Discussion

ITD is a rare complication with an incidence of 4.4% in our study. Due to additional radiotherapy, the prognosis of ITD is better than that of the generally reported LMD [4–8]. Furthermore, young age and good KPS are good prognostic factors for ITD.

The pathogenesis of LMD in patients with GBM remains unclear. Tumor cells reach and invade leptomeninges in various ways, such as through hematogenous spread, perineural and perivascular lymphatic spread, and direct seeding from the brain parenchyma.[20, 21] Direct spread to the CSF space

is particularly relevant to primary brain tumors,[22] as tumor contact with the subventricular zone is a known risk factor for LMD.[23] Moreover, tumor cells may disseminate through the CSF with a predilection to regions with slow CSF flow or gravity-dependent sites, such as the basal cisterns or posterior fossa.[24] In this study, the majority (85.7%) of initial tumors were located in the periventricular zone, and no basal cistern opening was observed during the surgical procedures in our series. Therefore, we hypothesized that ITD also develops due to the direct spread of tumor cells to the CSF spaces. The timing of tumor cell spreading is unknown; it may constantly occur during tumor growth or occur at the ventricle opening by surgical intervention. The tumor cells drift from the supratentorial region to the posterior fossa via the lateral and third ventricles, the aqueduct, and the fourth ventricle. They depart from the fourth ventricle through the median and lateral apertures, reach the extra-cerebellar space, and eventually infiltrate the cerebellum and brainstem. Thus, the brainstem infiltration reported by Drumm et al. is considered a terminal stage of GBM.

Previous studies have identified that other than a tumor located in the periventricular zone, the risk factors for LMD are long survival [9], young age [7, 9], larger initial tumor size [7], and *MGMT* promoter methylation [6]. Patients with ITD have a longer OS (19.7 months) than the general GBM population (10.1–15.2 months) [25–29]. By contrast, the median age of the patients (66.0 years) is consistent with that of the patients with GBM (63–65 years) [27, 30–32]. Moreover, the *MGMT* promoter's low methylation status (21.4%) in the patients was lower than that in the general GBM population (45%) [33]. and the extent of resection (total resection 28.6%) did not exceed previous reports (20–43%) [30, 34]. The initial tumor size of ITD (mean volume, 26.5 cm³) is comparably smaller than that in a previous study (33.2 cm³) [35]. Hence, ITD may be an atypical subtype of LMD with a unique biological nature.

The median patient survival after ITD was 7.1 months, which is better than that of the patients with LMD (2.1–5.7 months) [4–8]. One clinical advantage of ITD is that radiotherapy would be a good therapeutic strategy. In the case of supratentorial GBM recurrence, including dissemination, radiotherapy is not a widely approved therapeutic option since a total of 40–60 Gy of maximal dose radiotherapy has already been performed in the supratentorial area as an initial treatment. Therefore, therapeutic options for LMD are generally limited to chemotherapy such as bevacizumab and lomustine therapy [36]. By contrast, in the case of ITD, the infratentorial region is generally a radio-intact area, and additional radiotherapy is a good therapeutic strategy. No optimal radiation dose regimen has been established for ITDs. Here, three different doses (25, 36, and 60 Gy) of radiotherapy were administered, and no significant difference was observed in their prognoses. A previous study also used various doses ranging from 21 Gy to 45 Gy for radiotherapy [13]. The optimal radiation dose regimen for ITD should be established in future studies. At the same time, choosing the optimal radiation dose regimen for ITD patients based on their age and physical status is equally important.

One finding was the efficacy of dabrafenib (BRAF inhibitor) plus trametinib (MEK inhibitor) for ITD in a patient with the BRAF-V600E mutation. The incidence of BRAF-V600E mutation in adult GBM is approximately 1–3% [37, 38]. Due to its rarity, the effectiveness of BRAF inhibitors in GBM is unclear [39]. Burger et al. have reported one case of ITD of BRAF-V600E mutation and IDH-wildtype GBM [40]. In their

study, one month of dabrafenib treatment resulted in a nearly complete response to ITD. In this study, dabrafenib plus trametinib was administered as a second-line chemotherapeutic agent to patient 4, whose tumor harbored the BRAF-V600E mutation. This patient experienced a favorable therapeutic response and extended survival after ITD diagnosis (15 months). Thus, dabrafenib plus trametinib may be a promising therapeutic strategy for the BRAF-V600E mutation and IDH-wildtype in patients with GBM, even after ITD development.

The expected survival improves for patients who develop ITD earlier at the age of 50 years or those with a high KPS (> 70). The age of 50 years and KPS of 70 are essential thresholds for patients with GBM. Li et al. have reported that age (< 50 vs. \geq 50 years) and KPS 70 produces a significant split and set as the bifurcation of the recursive partitioning analysis model of GBM [41]. In the general population with GBM, younger age and good performance status may be beneficial for patients with ITD.

The symptoms observed in ITD were often systemic, such as gait disturbance and nausea, rather than neurologically focused symptoms such as hemiparesis or language deficits. These symptoms can easily be misdiagnosed as side effects of chemotherapy and can be observed on the left side. In fact, four cases (57.1%) exhibited new symptoms several weeks before MRI examination. Thus, ITD must be considered when patients present with unusual and neurologically nonfocused symptoms.

Moreover, patients with ITD often experience persistent nausea and intractable vomiting, leading to appetite loss. Cohen et al. have reported three cases of uncontrollable vomiting from a GBM that disseminated to the fourth ventricle [13]. In these cases, additional irradiation to the infratentorial region achieved complete remission of symptoms. Here, patients 1 and 2, who developed ITD in the fourth ventricle, experienced persistent nausea. Although the serotonin 5-HT₃ receptor antagonist did not affect the symptoms, chemotherapy and radiotherapy relieved intractable vomiting within one week, as previously reported.

By contrast, no improvement was observed in gait disturbance, which makes it challenging for patients to independently perform activities of daily living. Consequently, the patients became weaker, and their performance status declined. Even young patients hardly maintained their KPS score after treatment. Their clinical course suggests that once the physical performance of ITD drops, there is no optimal treatment to improve it. Early detection and intervention are the best efforts to improve the performance status and prognoses of patients with ITD.

The main limitation of this study was the small sample size due to the rarity of ITD; therefore, our results need to be carefully interpreted. Another significant limitation is that the therapeutic strategies employed may be biased based on patient performance status. Since this was a retrospective study, patients with a good performance status might have received more intensive treatment, and those with a poor performance status might have undergone more palliative treatment. These therapeutic differences reflect realistic clinical decisions, although they hinder the objective assessment of outcomes in patients with ITD.

Conclusion

Radiotherapy or molecular-targeted therapy may be effective in some cases and may contribute to extending survival. An ITD significantly impacts a patient's performance status because once it declines, the performance status is not completely recovered. Moreover, the symptoms of ITD are neurologically unfocused and are easily misdiagnosed as side effects of chemotherapy. Therefore, carefully checking the infratentorial region of supratentorial GBM located in the periventricular zone during patient follow-up and rapidly treating ITD before the KPS score starts declining are crucial.

Declarations

Ethical Approval and Consent to participate

All procedures performed in this study were in accordance with the ethical standards of the institutional and the 1964 Helsinki declaration and its later amendments. This study was approved by the internal review board of the National Cancer Center (2004–066). Written informed consent was obtained from all individual participants.

Consent for publication

Not applicable.

Availability of supporting data

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Competing interests

All the authors have nothing to disclose except Dr. Ichimura and Dr. Narita. Dr. Ichimura reports grants from Chugai Pharmaceutical Co., Ltd., Eisai Co., Ltd., and Daiichi Sankyo Co.Ltd., outside the submitted work. Dr. Narita reports grants from Japan Agency for Medical Research and Development, Chugai Pharmaceutical co., MSD, Eisai, Toshiba, SBI pharma, Glaxo, Abbive, Ono, Stella-pharma, Ohtuka, Meiji-seika, and Daiichi-Sankyo, outside the submitted work.

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Authors' contributions

DK and YN designed the study. DK, MO, YM, MT, SY, YT, MK, and YN contributed to patients' treatment and management. MHK and KI identified IDH1/2 mutations and MGMT status. DK and YN interpreted the

data and performed the biostatistical analysis. DK and MO wrote the manuscript. All the authors reviewed and approved this manuscript.

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Figures

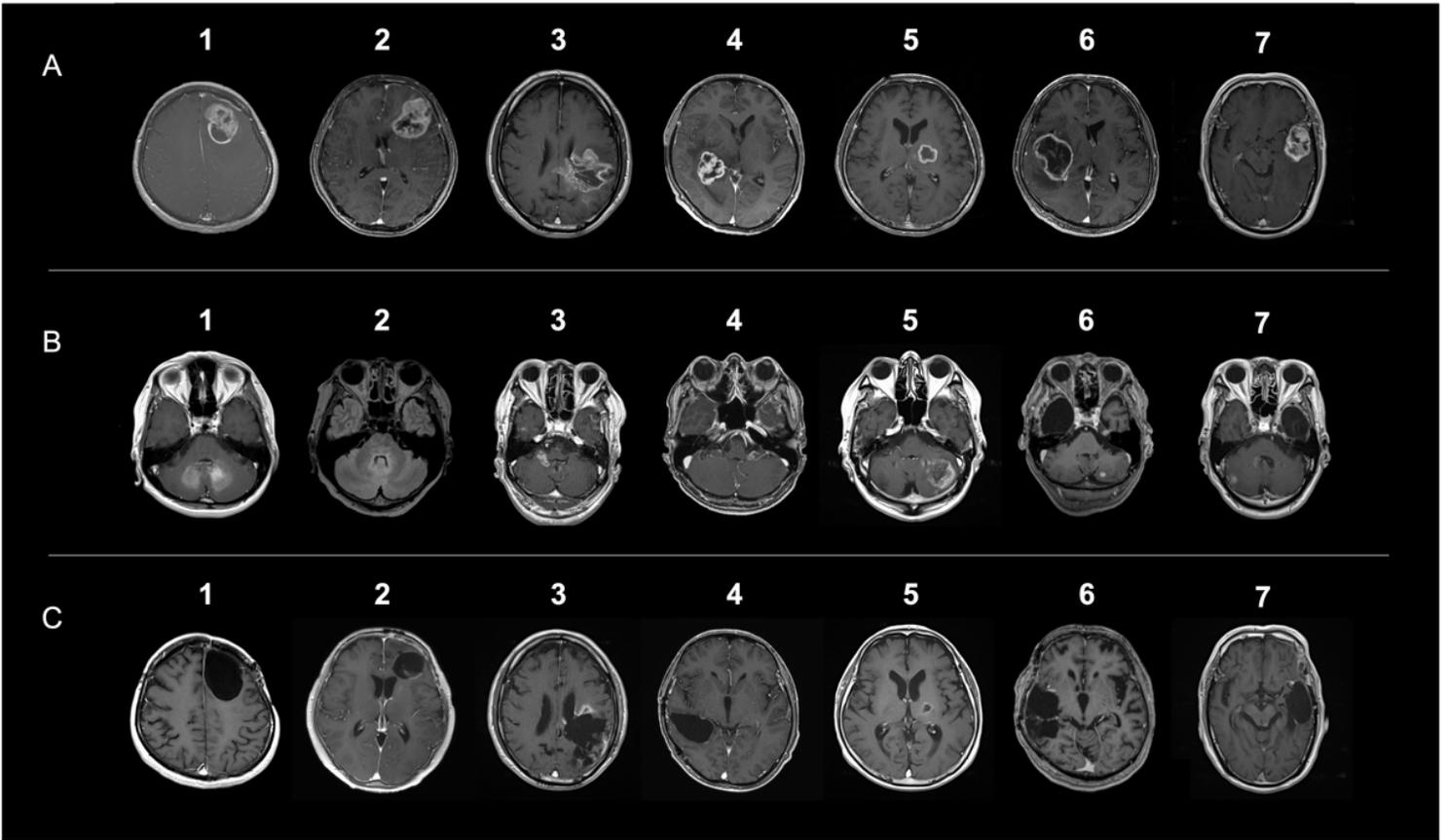


Figure 1

Axial gadolinium-enhanced T1-weighted images of patients with infratentorial leptomeningeal dissemination (ITD). (A) Representative images of the initial tumors. (B) Representative images of the ITD in patient 2 who had a FLAIR image. (C) Images of the cavities of the initial tumors when ITD developed.

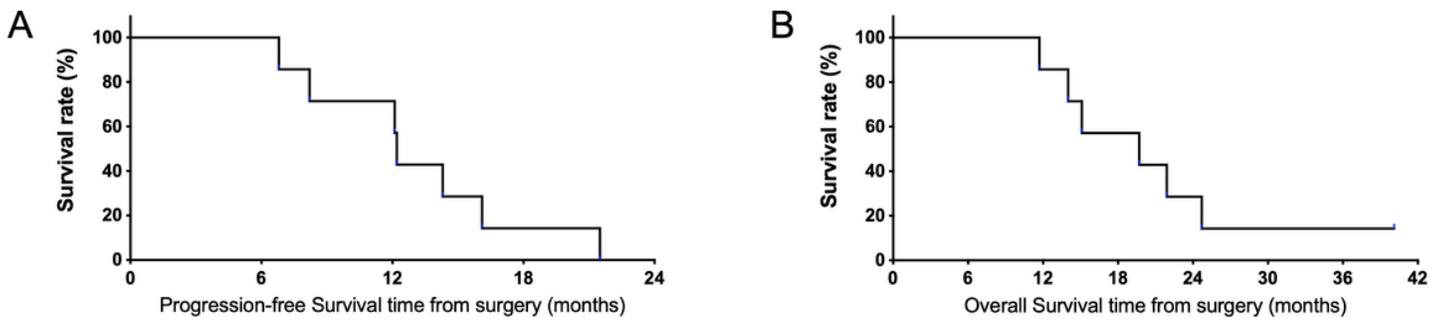


Figure 2

Kaplan–Meier curves of progression-free survival (PFS) (A) and overall survival (OS) (B). The median PFS and OS were 12.2 months and 19.7 months, respectively.

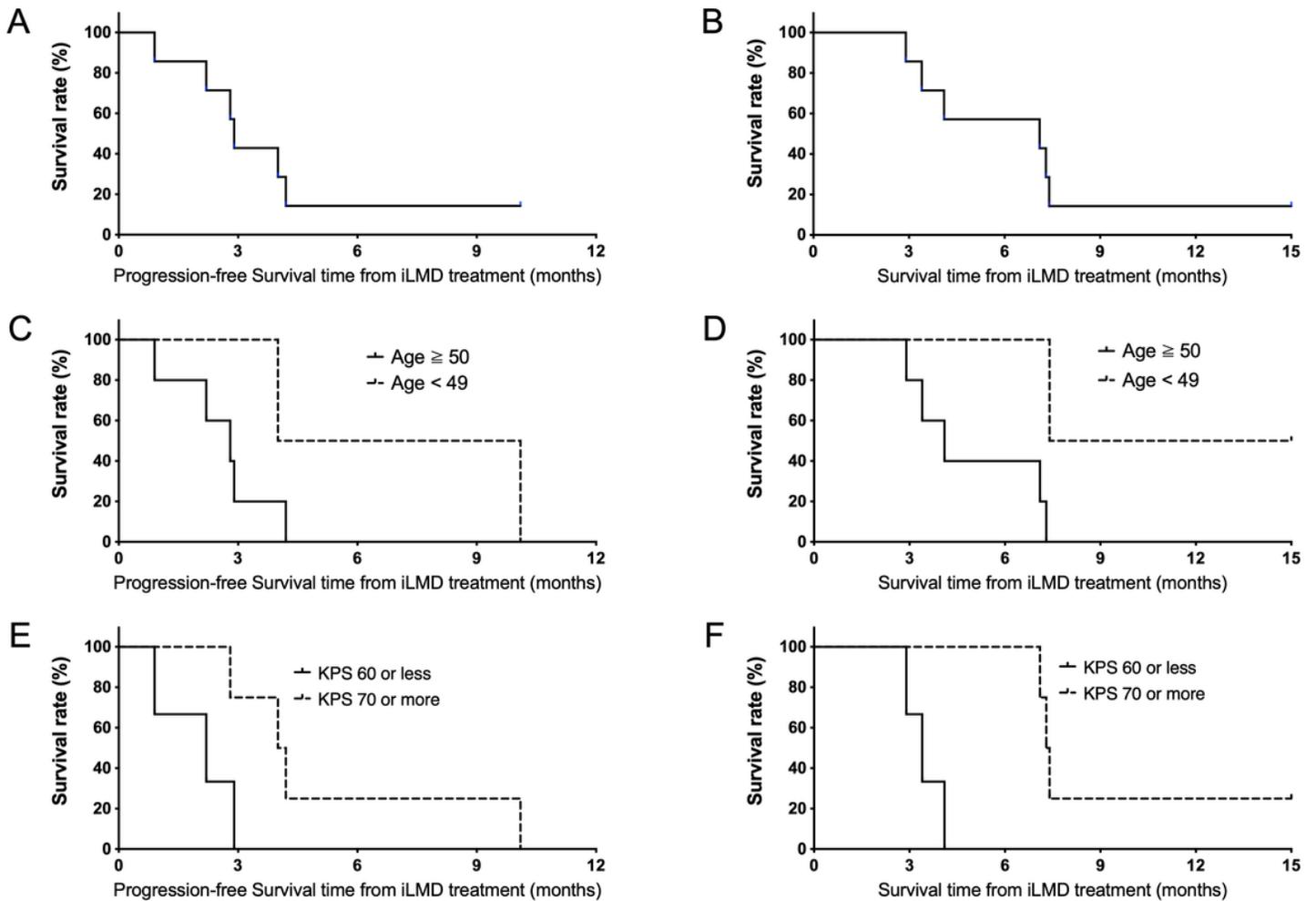


Figure 3

Kaplan–Meier curves of progression-free survival (PFS) from the infratentorial leptomeningeal dissemination (ITD) diagnosis (A, C, E) and survival from ITD diagnosis (B, D, F). (A) The median PFS from ITD diagnosis was 2.9 months. (B) The median survival from ITD diagnosis time was 7.1 months. (C) The median PFS from ITD diagnosis of the younger patient group (age ≤ 49 years, $N = 2$) and older patient group (age ≥ 50 years, $N = 5$) were 7.1 and 2.8 months, respectively ($P = 0.15$). (D) The median survival from ITD diagnosis of the younger and older patient groups was 11.2 and 4.1 months, respectively ($P = 0.041$). (E) The median PFS from ITD diagnosis of patients with Karnofsky performance tatus (KPS) ≥ 70 at ITD development was 4.1 months ($N = 5$), and those with KPS ≤ 60 was 2.2 months ($N = 2$) ($P = 0.048$). (F) The median survival of patients with KPS ≥ 70 at ITD development was 7.4 months, and those with KPS ≤ 60 was 3.4 months ($P = 0.010$).

KPS

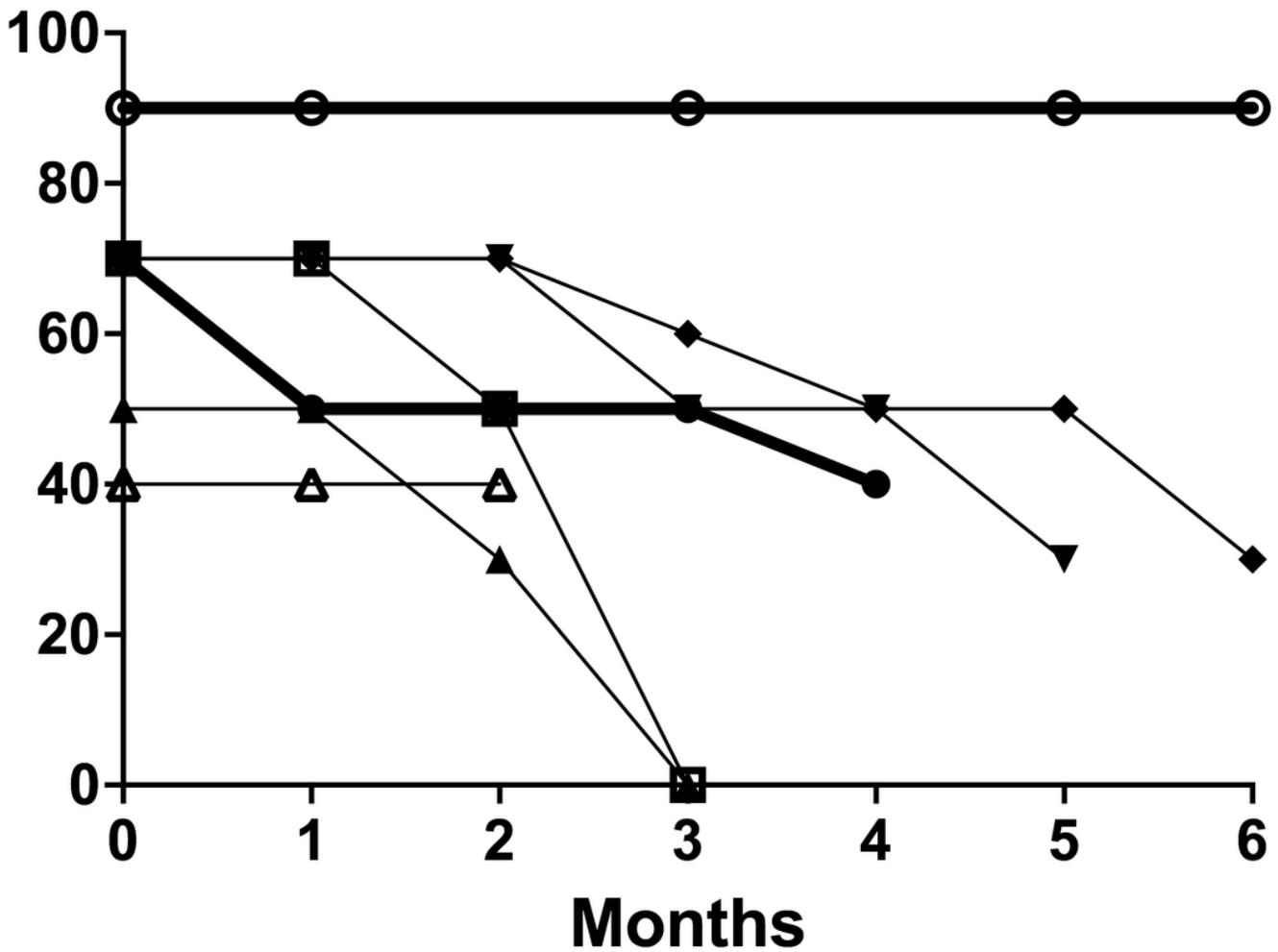


Figure 4

A spider plot shows Karnofsky performance status (KPS) score changes from the beginning to six months after infratentorial leptomeningeal dissemination (ITD) treatment. The x-axis indicates months, and zero indicates the time of ITD treatment. The y-axis indicates the KPS. Even in patients with good prognosis factors (age ≤ 40 years and KPS ≥ 70 , indicated by bold lines), the KPS score was maintained >50 only for three months.