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Research

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Title page

Multi-breed genetic parameters and genome-wide association studies for mortality rate at birth in pigs

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2 **Multi-breed genetic parameters and genome-wide** 3 **association studies for mortality rate at birth in pigs**

4 **Abstract**

5 Background

6 Piglet mortality is an economically important complex trait that impacts sow prolificacy in the
7 pig industry. The genetic parameters estimations and genome-wide association studies will
8 help us to better understand the genetic fundamentals of piglet mortality. However, compared
9 with other economically important traits, a little breakthrough in the genetic analyses of the
10 trait has been achieved.

11 Results

12 In this study, we used multi-breed data sets from Yorkshire, Landrace, and Duroc sows and
13 characterized the genetic and genomic properties of mortality rate at birth by treating each
14 parity as a different trait. The heritability of mortality rate from parity I to III were estimated
15 to be 0.0630, 0.1031, and 0.1140, respectively. The phenotypic and genetic correlations with
16 its component traits were all positive with ranges from 0.0897 to 0.9054, and 0.2388 to 0.9999,
17 respectively. Integrating the results, we identified 21 loci that were detected at least by two
18 tools from standard MLM, FarmCPU, BLINK and mrMLM, and these loci were annotated to
19 22 genes. The annotations revealed that the gene expressions were associated with the
20 reproductive system, nervous system, digestive system, and embryonic development, which
21 are reasonably related to the piglet mortality.

22 Conclusions

23 In brief, the genetic properties of piglet mortality at birth were reported. These findings are
24 expected to provide much information for understanding the genetic and genomic fundamentals
25 of farrowing mortality and also identify candidate molecular markers for breeding practice.

26 **Keywords:** mortality rate at birth, heritability, genetic correlation, GWAS, pig

27

28 **1. Background**

29 Piglet mortality-related traits are a category of economically important traits that provide direct
30 or indirect metrics of piglet deaths and produce heavy economic losses and welfare concerns
31 to the pig industry (1, 2). The majority of piglet mortality traits have been documented to be

32 complex traits with low heritability ranging from 0.03 to 0.17 (3). In theory, the outcome of
33 mortality is the tri-interactions between piglet, sow and environment, and the phenotypic
34 variation could be caused by diverse systematic and non-systematic factors, including genetic
35 background (breed), parity, season, disease, management, piglet vitality, and sow's behavior
36 such as crushing and starvation (4-6). For the high economic merit, there has been a growing
37 emphasis on reducing piglet losses in pig breeding programs of some countries.

38 Past experiences from breeders revealed that selection on litter size increases piglet mortality
39 and the intensive couplings with litter size implied that there might exist strong negative
40 linkage disequilibrium (LD) or opposite pleiotropy in the cross-trait genetic architectures (7).
41 However, to date, there have been no more than 10 reports publicly available to describe the
42 genomic fundamentals of piglet mortality-related traits (8, 9). Compared with other
43 economically important traits, little breakthrough in the genetic dissections of piglet mortality-
44 related traits has been achieved. The limited progress cannot underpin a pinpoint understanding
45 of genetic properties of piglet mortality-related traits, and further efforts are needed.

46 There are usually two time points to measure mortality, including at birth and at weaning (10)
47 . No matter at weaning or at birth the mortality is measured, the metrics are derived from its
48 component traits, i.e. litter size-related traits. When dealing with piglet mortality as well as its
49 components, there remains an important concern for parity. It is still unable to reach a
50 consensus about how to treat this type of data sets in practice. In theory, during the first parities,
51 the reproductive organs of gilt are still undergoing developmental changes, while for higher
52 parity sows the risk of death increases due to oxytocin insufficiency and ruptured umbilical
53 cord (11). Given these, many researchers treated each parity as a different trait in genetic
54 analyses. For example, Roehe & Kennedy (1995) reported that the genetic parameters of litter
55 size were estimated with each parity treated as a different trait (12). More studies revealed that
56 the estimations of genetic parameters varied between different parities in different pig cohorts
57 (13, 14). In addition, researchers also found that the reproductive traits in different parities had
58 a different genetic architecture (15). So, it's quite sound to treat each parity as a different trait.

59 There were growing studies that used multi-breed data sets for genetic parameter estimation
60 and GWAS. In general, the multi-breed approach has potential advantages, such as enlarging
61 the sample size by putting the multi-breed individuals together, capturing the genetic variants
62 both within and across breeds, and improving the accuracy of genetic evaluation. For example,
63 a simulation study has evaluated the efficiency of the multi-breed approach, and reported that
64 the multi-breed approach could improve the accuracy of genomic estimated breeding values
65 (GEBVs) for the second breed with fewer sizes (16). It was also found that the multi-breed
66 models had a positive effect on the genetic parameter estimations (17). Raven et al. (2014)
67 declared that the multi-breed approach could accurately locate the highly conserved functional
68 mutations because the mixed population had lower levels of long-range LD (18). The multi-
69 breed approach has been widely proven to be feasible in genetic analyses.

70 Knowledge of genetic property for a trait is involved in many aspects, in which genetic
71 parameters and genomic architecture are two important ones. The aim of this study was to
72 characterize the genetic property of mortality rate at birth using the mixture data sets from

73 Yorkshire, Landrace, and Duroc sows. In this study, the genetic parameters including breeding
74 value, heritability, and genetic correlation between piglet mortality and its component traits
75 from parity I to III were estimated, and GWAS on piglet mortality was performed to identify
76 the genome-wide variants and putative genes underlying the variability of piglet mortality. This
77 study would accelerate our understanding of the molecular fundamentals of piglet mortality
78 and provides potential markers for pig breeding programs.

79 2. Methods

80 2.1. Animals and phenotype collection

81 Raw data sets were collected from southern China. The breeds in the data sets included
82 Yorkshire, Landrace and Duroc pigs. The raw records were produced from January 2014 to
83 June 2018. Considering that there were too many levels of farrowing dates, we re-formatted
84 them as the labels of seasons. According to the geographical location and weather condition of
85 the local farm, farrowing dates from March to May, from June to August, from September to
86 November, and from December to February of the following year were re-labeled as four
87 seasons. All available fixed factors were preliminarily tested by generalized linear model
88 (GLM), and only the fixed factors that passed the preliminary test were retained for model
89 establishment, which included breed, parity, and re-formatted season. In this study, the
90 mortality rate was derived from its component traits at birth, which was defined as the ratio of
91 the total number dead (TND) over the total number born (TNB). The component traits included
92 the total number born (TNB), the number of stillborn piglets (NS), and the number of
93 mummified at birth (NM). NS was the number of intrapartum deaths during farrowing, and
94 NM was the number of antepartum deaths with tissue degeneration or absorption. The mortality
95 rate at birth was measured using the formula below:

$$96 \quad \text{Mortality} = \frac{TND}{TNB}$$

97 In the formula, $TND = NS + NM$. Considering the sample size, we analyzed the data set from
98 parity I to III, including 6,073 individuals from parity I, 5,415 individuals from parity II and
99 4,378 individuals from parity III. In total, there were 35,313 individuals in the pedigree that
100 were used to construct the numerator relationship matrix for estimations of genetic parameters.
101 A more detailed information about the data structure for raw data sets was shown in Additional
102 Table 1.

103 2.2. Estimations of breeding value, heritability, and genetic correlation

104 We implemented the pedigree-based best linear unbiased prediction to estimate the genetic
105 parameters, in which the breed and seasons were taken as fixed effects (19, 20). Based on the
106 multi-breed approach, estimations of estimated breeding value (EBV), heritability and genetic
107 correlation were calculated using the HIBLUP software developed by our lab
108 (<https://hiblup.github.io>). The mixed linear model was formulated as follow:

$$109 \quad \mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \mathbf{Z}\mathbf{u} + \mathbf{e}$$

110 In the model, y was a vector of observations, β is a vector of fixed effects, including the fixed
111 mean, breed, and re-formatted season (19); $u \sim N(0, G)$ was a vector of breeding values, and G
112 $\sim A\sigma_a^2$, in which σ_a^2 was additive genetic variance and A was an additive genetic relationship
113 matrix derived from the pedigree structure; $e \sim N(0, I\sigma_e^2)$ represented the residuals, where σ_e^2
114 was the residual variance. X and Z were design matrices for β and u , respectively. When
115 estimating genetic correlations, the bivariate models had the same components as the univariate
116 models, and the between-trait genetic and residual variance-covariance structures were defined
117 as $\begin{bmatrix} \sigma_{a_x}^2 A & \sigma_{a_x a_y} A \\ \sigma_{a_y a_x} A & \sigma_{a_y}^2 A \end{bmatrix}$ and $\begin{bmatrix} \sigma_{e_x}^2 & \sigma_{e_x e_y} \\ \sigma_{e_y e_x} & \sigma_{e_y}^2 \end{bmatrix}$, in which A was additive genetic relationship
118 matrix, σ_a^2 was additive genetic variance, σ_e^2 was the residual variance, $\sigma_{a_x a_y}$ was the
119 genetic covariance between two traits, $\sigma_{e_x e_y}$ was the residual covariance between two traits,
120 and the subscripts x and y denoted two traits. The average information restricted maximum
121 likelihood (AI-REML) algorithm, i.e., iterative algorithm based on Newton iteration and Fisher
122 score method, was used for (co)variance components estimation.

123 **2.3. DNA isolation, genotyping and quality control**

124 Ear tissue samples were collected and stored in freezers at -20°C . Genomic DNA was extracted
125 using Tecan Freedom EVO NGS workstation and magnetic animal tissue genomic DNA kit
126 (TIANGEN) according to the manufacturer's protocol. In total, 1,331 individuals were
127 genotyped, of which 1,331 individuals had phenotypic data in parity I, 1,220 individuals in
128 parity II, and 980 individuals in parity III. Genotyping was conducted using the Illumina
129 PorcineSNP60 Bead Chip. All SNPs were mapped to Sus scrofa genome build 11.1 (21). When
130 performing quality control (QC), the SNPs with call rates $\leq 90\%$, minor allele frequencies \leq
131 1% were removed by PLINK (22). The missing genotypes were imputed by Beagle software
132 (23) and the imputed genotype data were also filtered, using the same conditions as the former.

133 **2.4. GWAS and integration of results**

134 To increase the detection credibility, we used a combined approach for GWAS. Concretely
135 speaking, four tools, including the standard MLM, FarmCPU (R package "rMVP") (24),
136 BLINK, and mrMLM, were simultaneously used to perform the GWAS analyses (25-28), and
137 then combined the results from four tools. In the integration, considering that the Bonferroni
138 correction is usually too conservative and may miss putative SNPs with medium effect size in
139 GWAS (29), after normal GWAS analyses, we alternatively used a soft-cutoff to determine the
140 putative SNPs, in which we first sorted the SNPs according to the p -values, and then made the
141 intersections between different tools with following a permutation test procedure to statistically
142 confirm the validity of selection of putative SNPs. It was reported that independent replication
143 in a different cohort provides a gold standard approach for identification of putative SNPs (30),
144 and here, borrowing the similar idea, the SNPs that were repeatedly identified at least by two
145 different tools were suggested as putative SNPs. Simultaneously, considering that the
146 permutation test is computationally intensive, for each tool and each parity, no matter what the
147 p -value was, only top 10 SNPs were selected for combined analyses. For permutation test, with
148 10,000 random shuffles of real phenotypes, the MLM-based GWAS technique were repeatedly
149 conducted to produce 10,000 pseudo p -values. In the distribution of pseudo p -values of
150 permutation test, the position of raw p -value was referenced to determine the permuted p -
151 values of target SNPs. Here, according to the principle of small probability in statistics, if a p -
152 value derived from the real data is less than the pseudo p -value at quantile 0.05 or 0.01 of the
153 permuted distribution, the p -value is defined to be statistically or high statistically significant.

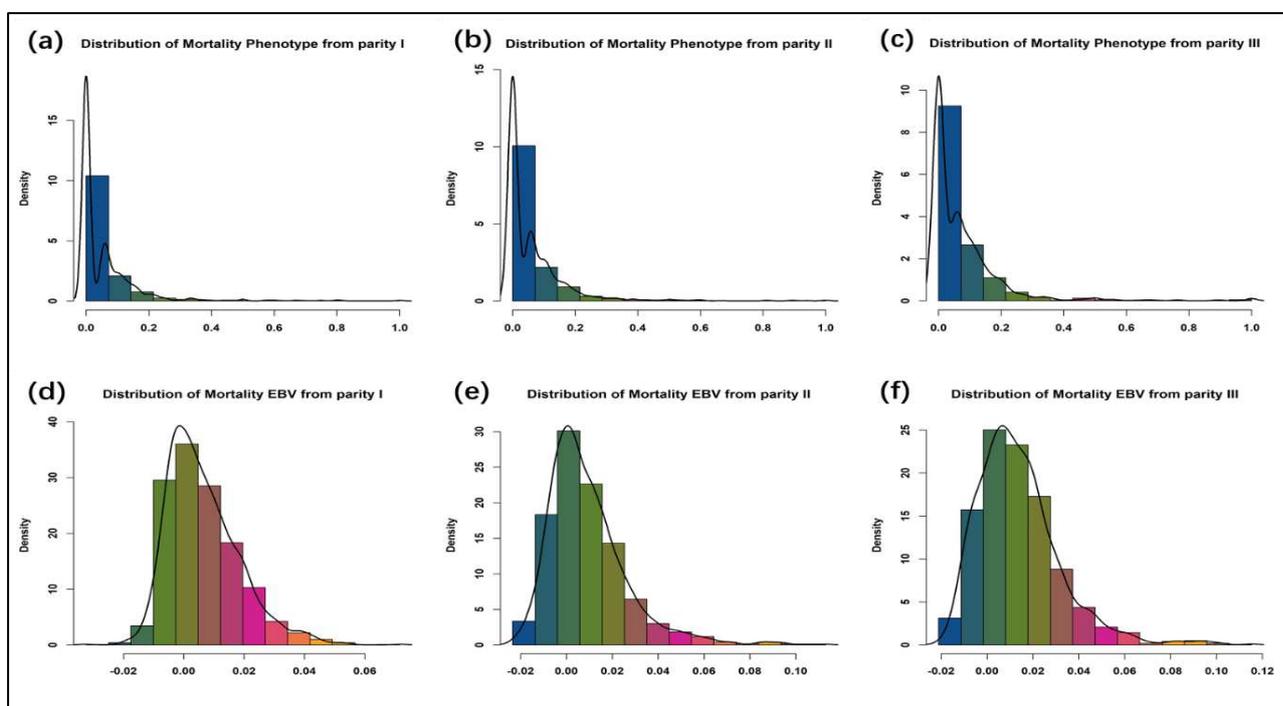
154 2.5. Gene annotations

155 The genes harboring or closely neighboring the identified SNPs were confirmed by mapping
156 into *Sus scrofa* genome version v11.1. The chromosomal coordinate information was extracted
157 to annotate the candidate genes by selecting the closest gene for each identified SNP based on
158 the Ensembl database (http://uswest.ensembl.org/Sus_scrofa/Info/Index). We used the latest
159 version of the TISSUES database (TISSUES 2.0) to reconstruct the digital expression profiles
160 of putative genes from different tissues, where the top 20 tissues with high confidence score
161 were selected to visualize the gene-tissue expression relationships through the heat map (31).

162 3. Results

163 3.1. Distributions of phenotypes and breeding values for piglet mortality

164 The distributions of phenotypes and EBVs from parity I to III were shown in Figure 1, where
165 the phenotypic distributions were shown in the sub-figures from A to C, and EBVs in the sub-
166 figures from D to F. In this study, the piglet mortality was defined as a ratio trait that is
167 calculated as the ratio of total number dead (TND) over total number born (TNB). In usual, a
168 ratio trait is departure from the normal distribution, and it was found that the phenotypes of
169 piglet mortality from parity I to III followed a heavy skewed distribution. Compared with the
170 heavy skewed distribution of phenotypes, all distribution curves of the EBVs from parity I to
171 III had two tails with a positively skewed distribution, which were relatively closer to the
172 normal distribution. For more detailed information, the descriptive statistics of raw data sets
173 was given in Additional Table 4.



174

175 **Figure 1.** The phenotypic and EBV's distributions of piglet mortality from the three
176 parities. Sub-figures from a to c represented the phenotypic distributions from parity
177 I to parity III, and sub-figures from d to f represented the distributions of EBVs from
178 parity I to III, respectively.

179

180 3.2. Estimation of genetic parameters for piglet mortality and its component traits

181 The results of heritability estimation for piglet mortality were presented in Table 1. The
 182 estimations of heritability from parity I to III were 0.0630, 0.1031 and 0.1140, respectively.
 183 According to the classification of heritability, the piglet mortality could be considered as a trait
 184 with low heritability. Considering the difference of heritabilities between three parities, the
 185 same trait from different parities could be taken as different traits. The heritabilities of the
 186 component traits of piglet mortality were also listed in Additional Table 2. Table 2 showed the
 187 estimations of genetic and phenotypic correlations between piglet mortality and its components
 188 traits, including TNB, TND, NS, and NM. All genetic correlations were positive ones ranging
 189 from 0.2388 to 0.9999. For the same trait-pair, the estimations of genetic correlations much
 190 differed in different parities. The differences of genetic correlation coefficients between three
 191 parities also supported taking the same trait in different parities as different traits.

192 **Table 1.** Estimations of heritability and standard error (heritability \pm se) of piglet
 193 mortality from parity I to III.

Trait	Parity I	Parity II	Parity III
Mortality rate	0.0630 \pm 0.0219	0.1031 \pm 0.0269	0.1140 \pm 0.0285

194 **Table 2.** Estimations of genetic and phenotypic correlations between piglet mortality
 195 and its component traits from parity I to III.

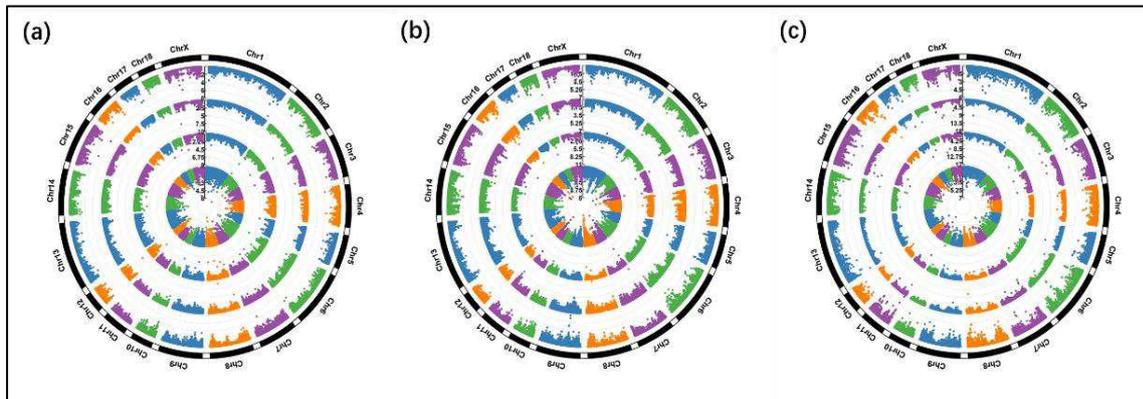
Parity I					
	TND	TNB	NS	NM	Mortality
TND		0.2306	0.6745	0.8258	0.8854
TNB	0.7042		0.1526	0.1934	0.0442
NS	0.7301	0.9999		0.1405	0.6347
NM	0.8952	0.2388	0.3491		0.7030
Mortality rate	0.9999	0.6549	0.5816	0.9999	
Parity II					
	TND	TNB	NS	NM	Mortality
TND		0.2692	0.6992	0.7748	0.8929
TNB	0.8245		0.2062	0.1922	0.0903
NS	0.9382	0.8577		0.0897	0.6485
NM	0.8679	0.5985	0.6424		0.6745
Mortality rate	0.7747	0.617	0.8596	0.8131	
Parity III					
	TND	TNB	NS	NM	Mortality
TND		0.2939	0.7390	0.7568	0.9054
TNB	0.6131		0.2341	0.2057	0.1055
NS	0.9523	0.4940		0.1189	0.6815
NM	0.7349	0.7471	0.4928		0.6730
Mortality rate	0.9916	0.4896	0.9860	0.7773	

196 **Note:** In each parity, the correlation coefficients and standard errors (bracketed) for
 197 genetic correlations were in the lower triangle, and phenotypic correlations were in
 198 the upper triangle. TND was the total number dead, TNB was the total number born,
 199 NS was the number of stillborn piglets, and NM was the number of mummified at
 200 birth.

202 3.3. Results of GWAS analyses for piglet mortality

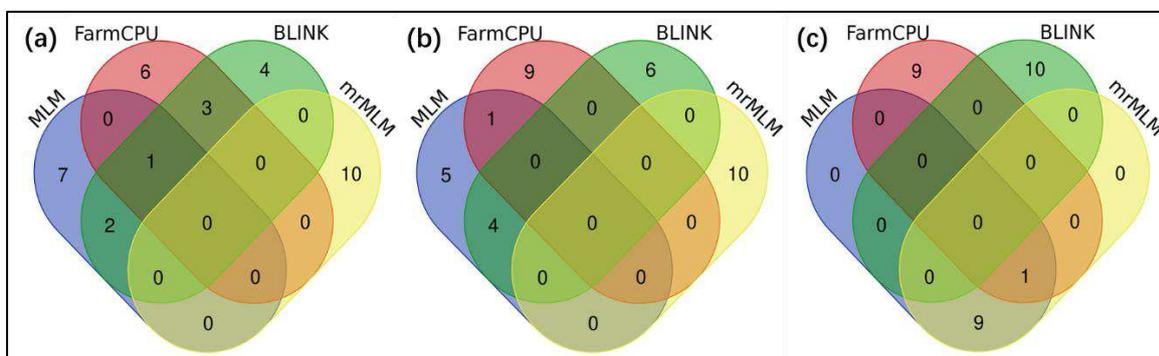
203 After quality control, a total of 47,241 SNPs were passed the filtering options. Principal
204 component analysis (PCA) was carried out and the scatterplot of the first two principal
205 components were displayed in Additional Figures S1. Four tools, including standard MLM,
206 FarmCPU, BLINK, and mrMLM were used to run the GWAS analyses. In the GWAS analyses,
207 the target trait from parity I to III have different sample sizes (parity I, n=1331; parity II,
208 n=1220; parity III, n=980). The top ten SNPs identified by each tool for each parity were listed
209 in Additional Table 5. After extracting and summarizing the results of GWAS, Figure 2 showed
210 the circular-Manhattan plots of piglet mortality traits from MLM, FarmCPU, BLINK,
211 mrMLM. In addition, the Venn diagrams were drawn to identify the intersections of the top ten
212 SNPs from four tools (Figure 3).

213 In total, 21 SNPs were identified, of which, 6 belonged to parity I, 5 belonged to parity II, and
214 10 belonged to parity III. For the identified SNPs from different parities, no overlapping was
215 observed. All identified SNPs passed the permutation test, and were statistically confirmed.
216 The SNP symbols, the smallest p-values from GWAS, and permuted p-values of the
217 identified SNPs were listed in the Additional Table 3.



218

219 **Figure 2.** Circular-Manhattan of piglet mortality of different parities. a. Circular-
220 Manhattan plots of MLM, FarmCPU, BLINK, mrMLM for parity I; b. Circular-
221 Manhattan plots of MLM, FarmCPU, BLINK, mrMLM for parity II; c. Circular-
222 Manhattan plots of MLM, FarmCPU, BLINK, mrMLM for parity III; and from inner to outer, they were MLM, FramCPU, BLINK and mrMLM, respectively.
223



224

225 **Figure 3.** The Venn diagrams of identified SNPs in piglet mortality from parity I, II,
226 III a. Venn diagrams of MLM, FarmCPU, BLINK, mrMLM method for parity I. There
227 were 6 SNPs intersected by at least two tools; b. Venn diagrams of MLM, FarmCPU,
228 BLINK, mrMLM method for parity II. There were 5 SNPs intersected by two tools;

229 c. Venn diagrams of MLM, FarmCPU, BLINK, mrMLM method for parity III. There
 230 were 10 SNPs intersected by two tools.

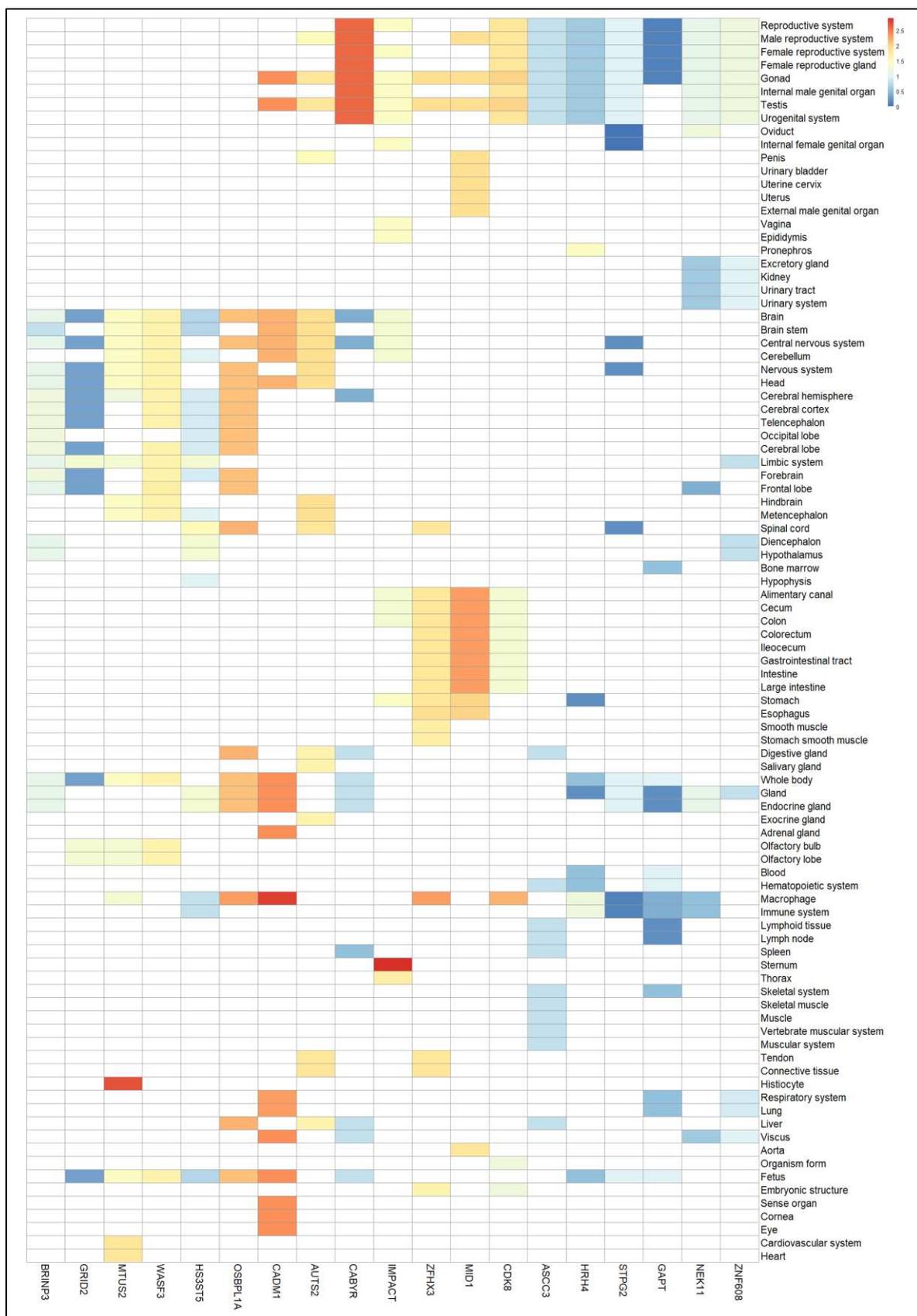
231 3.4. Gene annotations

232 All SNPs that passed the permutation test were further used for gene annotations. In total, we
 233 obtained 22 candidate genes that harbor or near the 21 identified SNPs. The position
 234 information of 21 SNPs and corresponding 22 genes were shown in Table 3. Among them, the
 235 positions of MARC0113660 and DRGA0008818 are located within 5.8kb, and there is only
 236 one gene (STPG2) in this region. According to the annotation criterion, there were two genes
 237 CDK8 and WASF3 that were both close to ALGA0060358. Three SNPs, including
 238 ALGA0036320, H3GA0018655 and ASGA0029165, were annotated to be close to four genes
 239 that included CABYR, OSBPL1A, IMPACT, and HRH4. It can be found that, among these
 240 SNPs, there were totally eight SNPs clustered on SSC 8. Furthermore, we used the information
 241 extracted from TISSUES database (TISSUES 2.0) to visualize the digital tissue expression
 242 profiles for target genes. When drawing the heat map, three genes were dropped because there
 243 was no expression information for them. At last, the heat map of tissue expressions for 19
 244 annotated genes from different tissues was presented in Figure 3. The heat map revealed that
 245 most of these genes have been expressed in reproductive and urinary system, nervous system,
 246 and digestive system, and many expressions were detected in fetus. The tissue expression
 247 profiles revealed that the identified genes are intuitively related to the physiological processes
 248 contributing to piglet mortality, such as embryo development.

249 **Table 3.** The position information of 21 SNPs and corresponding 22 genes.

Parity	SNP	Chrom	Position	Gene	Description
I	WU_10.2_2_133 608994	2	12836572 8	ZNF608	zinc finger protein 608
	WU_10.2_6_149 29389	6	15567222	ZFHX3	zinc finger homeobox 3
	ALGA0121819	8	77276189	GATB	glutamyl-tRNA amidotransferase subunit B
	WU_10.2_9_475 40573	9	42434488	CADMI	cell adhesion molecule 1
	WU_10.2_10_43 18367	10	2665638	BRINP3	BMP/retinoic acid inducible neural specific 3
	WU_10.2_X_77 08900	23	7313289	MIDI1	midline 1
II	MARC0052132	3	14585248	AUTS2	autism susceptibility candidate 2
	WU_10.2_7_694 5588	7	6732442	MIR9802	let-7/miR-98 family members are expressed late in mammalian embryonic development
	ALGA0048798	8	99466642	FAT4	FAT atypical cadherin 4
	WU_10.2_8_135 384225	8	12626628 6	GRID2	glutamate ionotropic receptor delta type subunit 2
	ALGA0090390	16	37352844	GAPT	GRB2 binding adaptor protein, transmembrane

III	DRGA0001119	1	67860459	ASCC3	activating cointegrator subunit 3	1	signal complex
	Affx-115201707	1	79966051	HS3ST5	heparan glucosamine 3-sulfotransferase	5	
	MARC0113660	8	12208331	STPG2	sperm tail PG-rich repeat containing	2	
	DRGA0008818	8	12214134				
	ALGA0060358	11	4274441	CDK8	cyclin dependent kinase 8	8	
				WASF3	wiskott-Aldrich syndrome protein family member 3	3	
	ASGA0049501	11	6324834	MTUS2	microtubule associated tumor suppressor candidate	2	
	ASGA0055572	13	1323602	NEK11	NIMA (never in mitosis gene a)- related kinase	11	
	ALGA0036320	6	10897605	CABYR	calcium binding tyrosine phosphorylation regulated		
	H3GA0018655	6	10937985	OSBPL1A	oxysterol binding protein like 1A		
	ASGA0029165	6	10945221	IMPACT	impact RWD domain protein		
				HRH4	histamine receptor H4		



251

252

Figure 4. Digital tissue expression profiles of 19 candidate genes.

253

255 In the pig industry, piglet mortality is intensively related to sow prolificacy, which is generally
256 defined as the number of piglets weaned per sow per year (PSY). It is of high importance to
257 characterize the genetic properties of piglet mortality. In this study, the piglet mortality was
258 defined as a ratio trait that was re-constructed by its component traits, which needed
259 simultaneous consideration of multiple component traits. In fact, there are many ratio traits in
260 pigs, such as feed efficiency, lean percentage, and growth rate, which do not follow the normal
261 distribution (32). For piglet mortality, the results displayed a heavily skewed distribution for
262 its phenotypes, and this trait did not follow the normal distribution. Being different from the
263 phenotype, the breeding value reveals the individual's genetic merit while removing
264 environmental effects. Considering the distribution of estimated breeding values exhibited
265 relatively little skewness, it could be inferred that the non-genetic factors might be the main
266 determinant of heavy skewness for phenotypic distribution.

267 Based on the multi-breed approach, piglet mortality was estimated as a low heritability trait,
268 with the estimations of 0.0630, 0.1031 and 0.1140 from parity I to III, respectively. There was
269 an interesting appearance that with increasing parity, the heritability for piglet mortality also
270 rises. We guess that during the first three parities, there is a growing maturation for
271 reproductive organ of gilt (33), which assumes that the more maturation of reproductive organ,
272 the lesser level of environmental factors disturbing on the fetus is happened, indicating a lower
273 environmental variance component and thus a higher heritability estimation. To our
274 knowledge, this was the first report to reveal the genetic property of piglet mortality at birth.
275 Being different from the piglet mortality at weaning, the mortality at birth has no environmental
276 effects during the lactation period, and more accurately reflects the genetic impact on the
277 mortality of fetus. In similar studies from mortality at weaning, the estimations of heritability
278 of piglet mortality were found to range from 0.03 to 0.17 (3). Although slight differences
279 occurred between the similar literatures that reported the heritability estimation of piglet
280 mortality-related traits (34, 35), besides our estimations, the general conclusion of low
281 heritability for piglet mortality could be supported. For the cross-trait phenotypic and genetic
282 relationships, the results revealed that all phenotypic and genetic correlations between piglet
283 mortality and its component traits were estimated to be positive. Accumulated practical
284 experiences from selection experiments showed that selection on litter size also increases the
285 number born dead, and the increasing magnitude could be up to half of the improvement of
286 litter size (36). Obviously, both phenotypic and genetic relationships supported the empirical
287 conclusion from the practical selection experiments. It indicates that the unfavorable genetic
288 correlation produces a huge challenge for simultaneously improving mortality and its
289 component traits in breeding practice.

290 In this work, we proposed a combined approach to increase the detection credibility in GWAS.
291 In the pipeline, the standard MLM, FarmCPU, BLINK, mrMLM were simultaneously utilized
292 to identify the putative SNPs, and the permutation test was followed to statistically confirm the
293 validity of putative SNPs that were detected at least by two tools. It is known that FarmCPU,
294 BLINK, mrMLM are multi-locus GWAS tools with higher detection power than single-locus
295 scan tools (26-28). There is a growing consensus that the commonly used cutoff for Bonferroni-
296 adjusted p-values is too conservative and stringent (29), and may miss those true SNPs with
297 medium effect size. Alternatively, the combined GWAS approach focuses on intersecting the
298 top SNPs identified by the single tools with following a permutation test procedure, which can
299 decrease the false negatives, and improve the detections of SNPs with medium effect size. It
300 would be reasonable that the combined approach based on the multi-locus tools can provide

301 more reliable results. Following the pipeline, in total, we identified 21 SNPs that passed the
302 combined test for three parities, and it can be found that the list of the identified SNPs for each
303 parity had no overlapping. The results indicated that, in accordance with the different results
304 of heritability estimation for different parities, piglet mortality in different parities had a
305 different genetic architecture, which was consistent with the study of Onteru et al (15), and it
306 is sound to take the same trait in different parities as different traits.

307 It was highlighted that several SNPs were identified in a region between the chromosome
308 coordinates 77.2 and 126.4 Mb on SSC 8 for parity III. Recently, two studies reported that in
309 the regions from 107.0 to 113.3 Mb and from 144.9 to 145.5 Mb on SSC 8, there were candidate
310 haplotypes with statistically significant effects on TNB and stillborn (37, 38). Considering
311 TNB and stillborn are the component traits of piglet mortality, the partial region overlap
312 supported the validity of identified SNPs on SSC 8. In addition, the validity of the identification
313 of SNPs in this study could be supported by the digital tissue expression profiles for 19
314 annotated genes from different tissues in TISSUES database. In the tissue expression profiles,
315 according to a high confidence score, most of the annotated genes were found to be expressed
316 in the reproductive and urinary system, nervous system, digestive system, and fetus. These
317 tissues are intensively related to piglet mortality. For example, Otten et al (2000) reported that
318 the prenatal stress during late gestation could result in high mortality and low birth weights for
319 piglets (39). It can confirm that the digestive system determines the efficiency of nutrition
320 intake during pregnancy (40). The genes expressed in the digestive system are closely involved
321 in fetal development and piglet mortality. Although FAT4, GATB, and MIR9802 were not
322 displayed in the heat map of tissue expression profiles, their functions were also potentially
323 involved in the piglet mortality. For example, FAT4 has been proven to regulate the apical
324 plasma membrane organization in the embryonic cerebral cortex for mammalian, indicating
325 the role in embryonic development (41). It is easy to infer that the identified genes are
326 participating in the reproductive, digestive and nervous regulation and embryo development,
327 and then contribute to piglet mortality (42).

328 **5. Conclusions**

329 In brief, piglet mortality at birth was found a low heritability trait. All phenotypic and genetic
330 correlations between piglet mortality and its component traits were estimated to be positive.
331 Integrating the results from standard MLM, FarmCPU, BLINK, and mrMLM, we identified 21
332 loci and 22 genes associated with piglet mortality. Most of these genes were annotated to be
333 expressed in the reproductive system, nervous system, digestive system, and embryonic
334 development, which are reasonably related to piglet losses. This study advances our
335 understanding of the genetic and genomic fundamentals of piglet mortality and also provides
336 candidate genes that could be potentially used for pig breeding programs, genomic selection,
337 and further investigations.

338 **6. Abbreviations**

339 GWAS: Genome-wide association study

340 MLM: mixed linear model

341 FarmCPU: Fixed and random model Circulating Probability Unification

342 BLINK: Bayesian-information and Linkage-disequilibrium Iteratively Nested Keyway

343 mrMLM: Multi-Locus Random-SNP-Effect Mixed Linear Model

344 SNP: Single-nucleotide polymorphism

345 LD: linkage disequilibrium

346 GEBVs: genomic estimated breeding values
347 GLM: generalized linear model
348 TND: total number dead
349 TNB: total number born
350 NS: number of stillborn
351 NM: number of mummified
352 EBV: estimated breeding value
353 AI-REML: The average information restricted maximum likelihood
354 QC: quality control
355 PSY: piglets weaned per sow per year
356

357 **7. Declarations**

358 **7.1.Ethics approval and consent to participate**

359 All experiments in this study were performed according to the guidelines of the Key Lab of
360 Agriculture Animal Genetics, Breeding, and Reproduction of Ministry of Education, Animal
361 Care and Use Committee, Wuhan, China (permit HZAUSW2015-0003).

362 **7.2.Consent for publication**

363 All authors have approved the manuscript for submission.

364 **7.3.Availability of data and materials**

365 Authors do not wish to share the data due to the propriety nature of the data.

366 **7.4.Competing interests**

367 no any potential competing interests in the paper

368 **7.5.Funding**

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371 **7.6.Authors' contributions**

372 Writing wrote the codes, did the data analysis and editing, Meijing An; funding acquisition,
373 Mengjin Zhu; writing—review and editing, Tao Xiang, Guangliang Zhou, Yunlong Ma,
374 Xiaolei Liu, and Shuhong Zhao; methodology, Mengjin Zhu.

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471 **Additional Files**

472 1. **Additional Tables**

473 **Additional Table 1. Structure of raw data sets**

	spring	summer	autumn	winter	Duroc	Yorkshire	Landrace	Total
Parity I	1801	1267	1288	1717	581	3197	2295	6073
Parity II	1442	1180	1643	1150	323	3132	1960	5415
Parity III	1300	655	1094	1329	180	2626	1572	4378

474 **Additional Table 2.** Heritability of NS, NM, TNB and TND in different parities (SE,
475 standard error in brackets).

Traits	Parity I	Parity II	Parity III
NS	0.0720 (0.0175)	0.0880 (0.0191)	0.1534 (0.0269)
NM	0.0436 (0.0192)	0.0417 (0.0213)	0.0324 (0.0191)
TNB	0.2771 (0.0274)	0.2780 (0.0307)	0.3073 (0.0349)
TND	0.0851 (0.0285)	0.1159 (0.0243)	0.1207 (0.0276)

476 **Additional Table 3.** The SNP symbols, GWAS p-values, and permuted p-values of the
477 identified SNPs.

Identified SNP	Permuted p-values	GWAS p-values
WU_10.2_2_133608994	0.0001	1.73E-07
WU_10.2_6_14929389	0.0001	1.17E-06
ALGA0121819	0.0001	6.75E-10
WU_10.2_9_47540573	0.0001	7.46E-06
WU_10.2_10_4318367	0.0002	1.10E-05
WU_10.2_X_7708900	0.0001	3.47E-07
MARC0052132	0.0001	2.44E-07
WU_10.2_7_6945588	0.0001	5.67E-07
ALGA0048798	0.0001	1.23E-07
WU_10.2_8_135384225	0.0001	1.20E-05
ALGA0090390	0.0002	7.25E-05
DRGA0001119	0.0001	4.96E-05
Affx-115201707	0.0002	2.00E-05
ALGA0036320	0.0001	8.39E-06
H3GA0018655	0.0001	1.33E-05
ASGA0029165	0.0001	1.50E-05

MARC0113660	0.0001	4.76E-05
DRGA0008818	0.0001	4.76E-05
ALGA0060358	0.0001	1.04E-08
ASGA0049501	0.0001	2.60E-05
ASGA0055572	0.0001	9.25E-06

478 Note: For the p-values in the table, they were the smallest ones among the p-values from 479 different tools.

480 **Additional Table 4.** Descriptive statistics of phenotype (SD, Standard Deviation; C.V,
481 Coefficient of Variation)

	Mean	Min	Max	SD	C.V
Parity I	0.079385972	0	1	0.134816603	1.698242139
Parity II	0.073242952	0	1	0.12364918	1.688205833
Parity III	0.068735823	0	1	0.111656107	1.624423818

482 **Additional Table 5.** The top ten SNPs identified by the standard MLM, FarmCPU, BLINK,
483 and mrMLM for piglet mortality from Parity I-III

Parity I							
MLM		FarmCPU		BLINK		mrMLM	
SNP	p-value	SNP	p-value	SNP	p-value	SNP	p-value
WU_10.2_2_1_33608994	1.04E-06	WU_10.2_2_1660824	2.99E-09	ALGA0121819	6.75E-10	WU_10.2_1_303761600	6.48336E-08
ALGA0121819	2.94E-06	WU_10.2_6_14929389	1.17E-06	ASGA0054479	2.06E-08	WU_10.2_15_141565227	1.78E-06
WU_10.2_X_7708900	4.08E-06	MARC0045581	5.24E-06	WU_10.2_2_133608994	1.73E-07	Affx-114978229	4.93E-06
MARC0008576	1.66E-05	ALGA0121819	5.80E-06	WU_10.2_X_7708900	3.47E-07	Affx-114704997	4.93E-06
ALGA0004972	2.15E-05	WU_10.2_X_136142589	6.16E-06	WU_10.2_8_5737649	3.20E-05	Affx-114689732	1.74E-05
MARC0078678	2.74E-05	WU_10.2_9_47540573	7.46E-06	WU_10.2_9_126944287	4.61E-04	MARC0050498	2.51E-05
WU_10.2_X_124874052	3.51E-05	MARC0022036	7.65E-06	M1GA0004763	4.91E-04	DRGA0002303	3.72E-05
Affx-114980032	3.64E-05	ALGA0049751	8.23E-06	WU_10.2_10_4318367	5.06E-04	ALGA0009074	3.72E-05
ALGA0007070	4.16E-05	H3GA0009377	9.83E-06	WU_10.2_9_47540573	5.49E-04	H3GA0049299	5.11E-05
ASGA0063018	5.87E-05	WU_10.2_10_4318367	1.10E-05	WU_10.2_6_14929389	5.59E-04	ASGA0095137	5.49E-05
Parity II							
MLM		FarmCPU		BLINK		mrMLM	
SNP	p-value	SNP	p-value	SNP	p-value	SNP	p-value
WU_10.2_8_135384225	1.20E-05	WU_10.2_3_124682588	6.57E-11	DRGA0004397	1.04E-07	ALGA0052861	1.61E-07
WU_10.2_7_6945588	3.40E-05	WU_10.2_7_8690791	9.78E-08	ALGA0048798	1.23E-07	M1GA0012952	5.93E-07
ALGA0048798	3.53E-05	WU_10.2_15_118972045	2.62E-07	ALGA0006121	2.18E-07	ASGA0080428	1.87E-05

MARC0	3.92E	WU_10.2_1	3.90E-	MARC0	2.44E-	MARC00	2.42E
052132	-05	8_7356014	06	052132	07	55383	-05
WU_10.2_8_	6.44E	H3GA00	1.81E-	WU_10.2_	5.67E-	MARC0	3.80E
135464992	-05	46969	05	7_6945588	07	066282	-05
WU_10.2_	6.63E	WU_10.2_13	3.27E-	WU_10.2_1	1.10E-	WU_10.2_6_	6.84E
4_3739598	-05	_30474727	05	1_82101963	04	116413991	-05
ALGA00	7.25E	ASGA0	3.72E-	ALGA00	2.50E-	WU_10.2_6_	7.17E
90390	-05	007897	05	90390	04	132959422	-05
WU_10.2_8	7.77E	ASGA0	3.75E-	MARC0	3.49E-	ALGA0	1.31E
_104296869	-05	009531	05	010165	04	001726	-04
ALGA0	7.88E	WU_10.2_8_	4.04E-	ASGA00	5.07E-	ALGA0	1.86E
114423	-05	135384225	05	52141	04	097061	-04
MARC0	8.09E	MARC0	5.31E-	ALGA00	5.88E-	ALGA0	1.86E
089453	-05	070175	05	52390	04	097066	-04

Parity III

MLM		FarmCPU		BLINK		mrMLM	
SNP	p-value	SNP	p-value	SNP	p-value	SNP	p-value
ALGA0	9.92E	WU_10.2_1	6.16E-	ALGA0	7.6E-	ALGA0	1.04E
060358	-07	0_32348084	17	122208	18	060358	-06
ALGA0	8.39E	WU_10.2_	4.47E-	WU_10.2_X	3.9E-	ALGA0	9.42E
036320	-06	X_7317072	10	_139666324	14	036320	-06
ASGA0	9.25E	WU_10.2_3	4.89E-	ALGA0	1.4E-	ASGA0	1.06E
055572	-06	_127030944	09	049681	11	055572	-05
H3GA00	1.33E	ALGA0	5.7E-	WU_10.2_14	3.36E-	H3GA0	1.46E
18655	-05	018083	09	_139115957	11	018655	-05
ASGA0	1.5E	ALGA0	6.96E-	WU_10.2_15	1.15E-	ASGA00	1.66E
029165	-05	095726	09	_21804958	10	29165	-05
ASGA0	2.6E	WU_10.2_1	7.29E-	WU_10.2_X	1.11E-	Affx-115	2.00E
049501	-05	5_20997846	09	_34786795	09	201707	-05
Affx-1152	3.32E	ALGA0	1.04E-	WU_10.2_1	1.44E-	ASGA0	2.64E
01707	-05	060358	08	_47087424	09	049501	-05
MARC0	4.76E	WU_10.2_X	1.8E-	WU_10.2_5	2.68E-	DRGA00	4.96E
113660	-05	_37383826	08	_85471520	09	01119	-05
DRGA0	4.76E	H3GA0	2.81E-	WU_10.2_	5.96E-	MARC0	5.68E
008818	-05	042609	08	7_4777306	09	113660	-05
DRGA	6.24E	MARC0	4.51E-	ASGA0	1.21E-	DRGA0	5.68E
0001119	-05	002720	07	100851	08	008818	-05

484 Note: For the p-values in the table, they were the smallest ones among the p-values from
485 different tools.

Figures

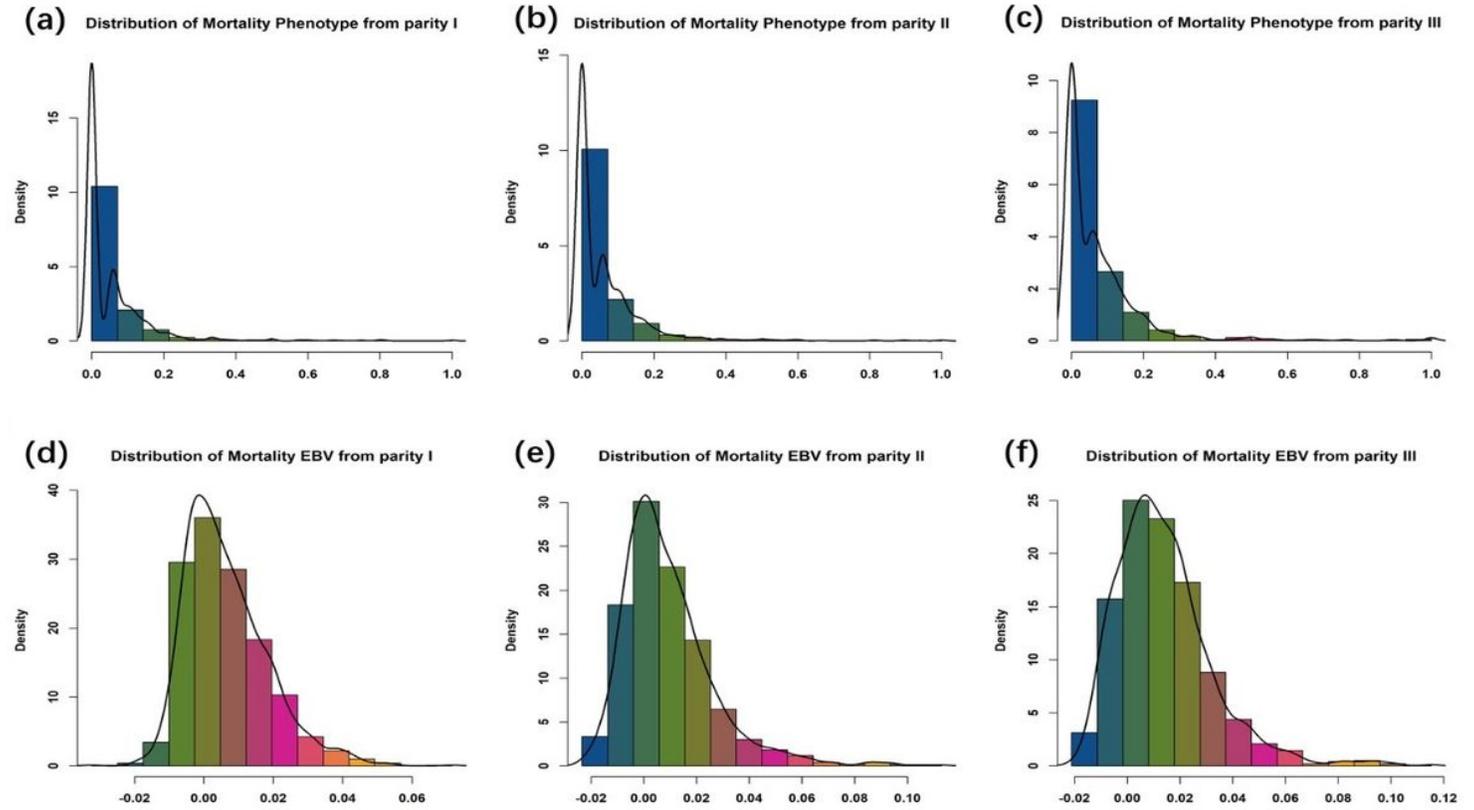


Figure 1

The phenotypic and EBV's distributions of piglet mortality from the three parities. Sub-figures from a to c represented the phenotypic distributions from parity I to parity III, and sub-figures from d to f represented the distributions of EBVs from parity I to III, respectively.

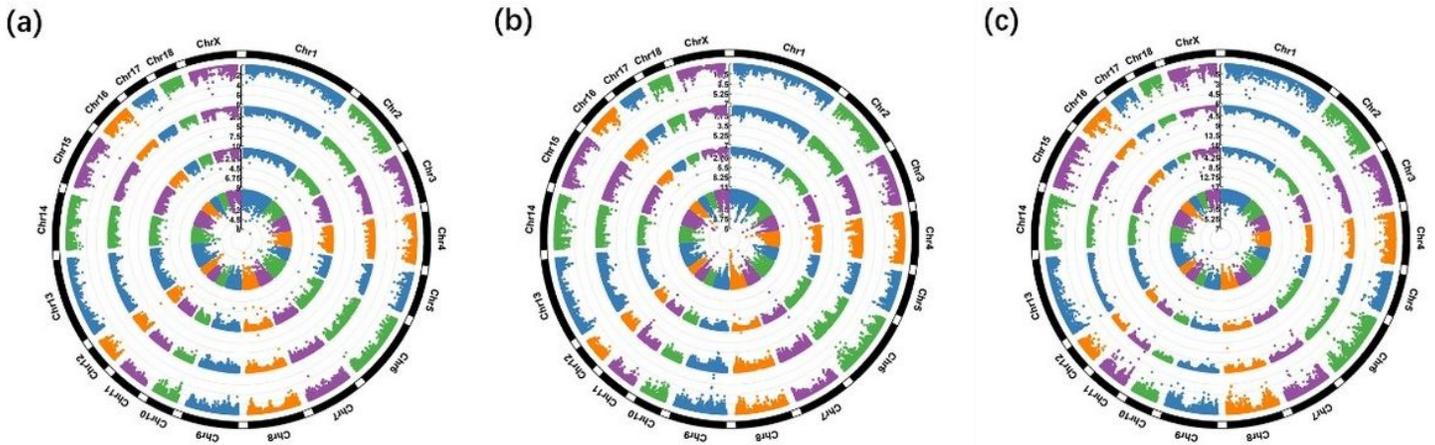


Figure 2

Circular-Manhattan of piglet mortality of different parities. a. Circular-Manhattan plots of MLM, FarmCPU, BLINK, mrMLM for parity I; b. Circular-Manhattan plots of MLM, FarmCPU, BLINK, mrMLM for parity II; c. Circular-Manhattan plots of MLM, FarmCPU, BLINK, mrMLM for parity III; and from inner to outer, they were MLM, FarmCPU, BLINK and mrMLM, respectively.

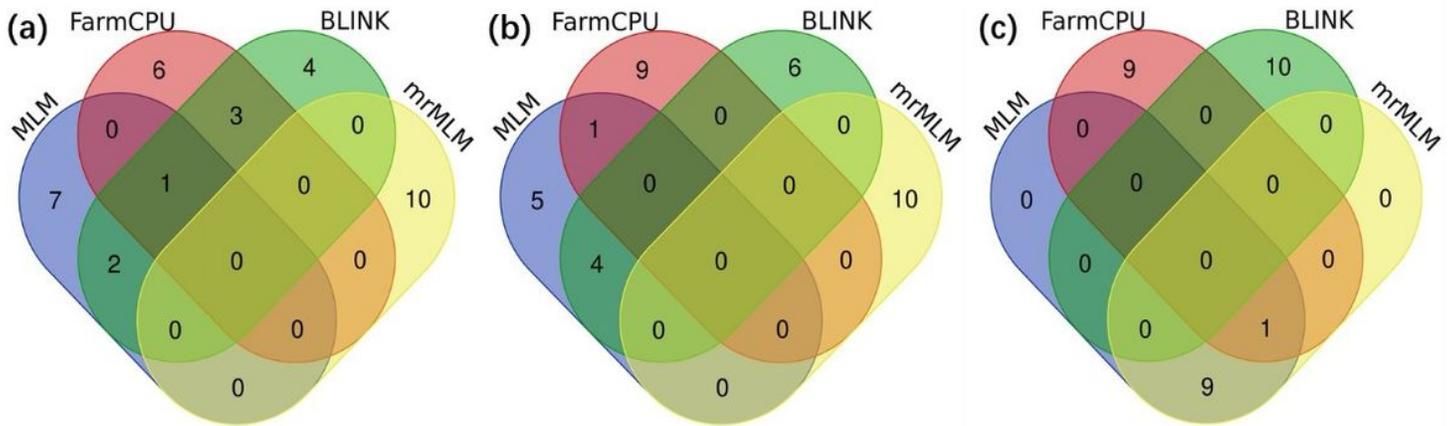


Figure 3

The Venn diagrams of identified SNPs in piglet mortality from parity I, II, III a. Venn diagrams of MLM, FarmCPU, BLINK, mrMLM method for parity I. There were 6 SNPs intersected by at least two tools; b. Venn diagrams of MLM, FarmCPU, BLINK, mrMLM method for parity II. There were 5 SNPs intersected by two tools; c. Venn diagrams of MLM, FarmCPU, BLINK, mrMLM method for parity III. There were 10 SNPs intersected by two tools.

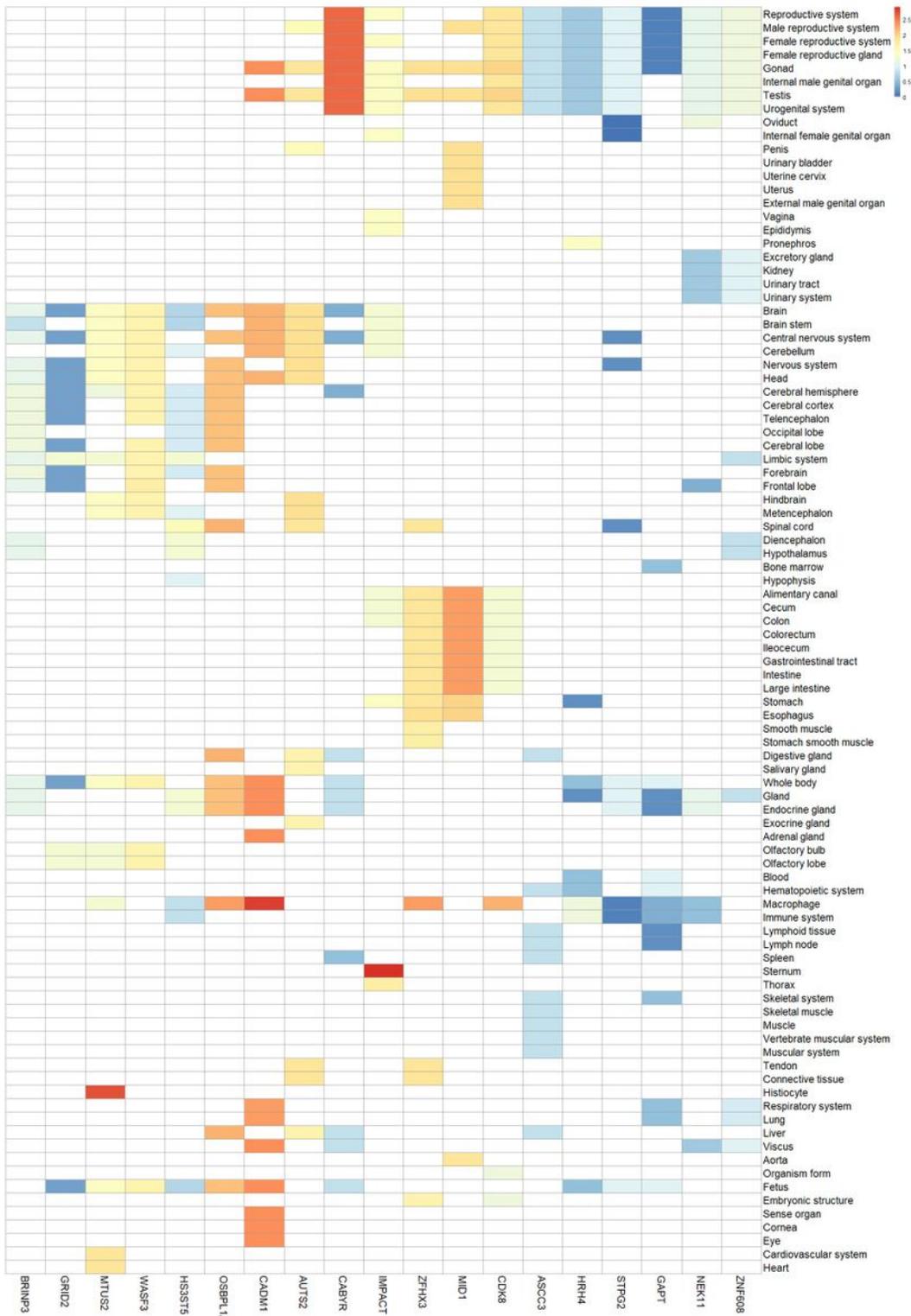


Figure 4

Digital tissue expression profiles of 19 candidate genes.