

# Association Between Socio-Environmental Factors, Coverage by Family Health Teams, and Rainfall in the Spatial Distribution of Zika Virus Infection in the City of Rio de Janeiro, Brazil, in 2015 and 2016

Carlos Eduardo Raymundo (✉ [caducer@gmail.com](mailto:caducer@gmail.com))

Universidade Federal do Rio de Janeiro Centro de Ciencias da Saude <https://orcid.org/0000-0002-4150-4403>

Roberto de Andrade Medronho

Universidade Federal do Rio de Janeiro Faculdade de Medicina

---

## Research article

**Keywords:** Zika virus, spatial analysis, socio-environmental, arbovirus, theoretical model

**Posted Date:** January 18th, 2021

**DOI:** <https://doi.org/10.21203/rs.3.rs-147040/v1>

**License:**  This work is licensed under a Creative Commons Attribution 4.0 International License. [Read Full License](#)

---

# Abstract

**Background:** Zika virus (ZIKV) infection caused outbreak in Brazil, in 2015 and 2016. Disorganized urban growth, facilitates the concentration of numerous susceptible and infected individuals. It is useful to understand the mechanisms that can favor the increase in ZIKV incidence, such as areas with wide socioeconomic and environmental diversity. Therefore, the study analyzed the spatial distribution of ZIKV in the city of Rio de Janeiro, Brazil, in 2015 and 2016, and associations between the incidence per 1,000 inhabitants and socio-environmental factors.

**Methods:** The census tracts were used as the analytical units reported ZIKV cases among the city's inhabitants. Local Empirical Bayesian method was used to control the incidence rates' instability effect. The spatial autocorrelation was verified with Moran's Index and local indicators of spatial association (LISA). Spearman correlation matrix was used to indicate possible collinearity. The Ordinary Least Squares (OLS), Spatial Lag Model (SAR), and Spatial Error Model (CAR) were used to analyze the relationship between ZIKV and socio-environmental factors.

**Results:** The SAR model exhibited the best parameters:  $R^2 = 0.44$ , Log-likelihood = -7482, Akaike Information Criterion (AIC) = 14980. In this model, mean income between 1 and 2 minimum wages was possible risk factors for Zika occurrence in the localities. Household conditions related to adequate water supply and the existence of public sewage disposal were associated with lower ZIKV incidence rates, suggesting possible protective factors against the occurrence of ZIKV in the localities. The presence of the Family Health Strategy in the census tracts was positively associated with the ZIKV incidence rate. However, the results show that mean income less than 1 minimum wage were negatively associated with higher ZIKV incidence rates.

**Discussion:** The results demonstrate the importance of socio-environmental variables in the dynamics of ZIKV transmission and the relevance for the development of control strategies.

## 1. Background

Zika virus (ZIKV) infection caused a major outbreak in the Americas, especially Brazil, in 2015 and 2016. In October 2015, Brazil reported to the World Health Organization an unusual increase in cases of microcephaly (1). Evidence mounted for the association between ZIKV and microcephaly leading the WHO declared ZIKV a "Public Health Emergency of International Concern" in February 2016 (2–5).

However, in November 2016, the WHO declared that the ZIKV epidemic is no longer a "Public Health Emergency of International Concern". The Brazilian government also closed down the ZIKV program as a "Public Health Emergency of National Concern". Data in Brazil show that the number of probable cases decreased considerably since the announcement by the Ministry of Health: in 2016 there were 216,207 cases, dropping to 17,593 in 2017 and 2,493 in 2018 (6–8). In order to avoid new outbreaks of the disease, it is thus necessary to identify the risk factors for ZIKV. As with other arbovirus infections such as dengue (DENV) and chikungunya (CHIKV), the highest incidence of ZIKV also appears to affect areas with greater social inequality (9, 10).

Disorganized urban growth, facilitates the concentration of numerous susceptible and infected individuals in the same geographic area. The localization and control of less socioeconomically favored areas can thus help identify possible mosquito breeding sites. Studies in the USA and United Kingdom have also shown that contextual socioeconomic factors influence the occurrence of infectious diseases (11).

Climate changes can influence the increase in the mosquito population and thus the growth in arbovirus cases. In 2015, South America experienced the "El Niño" phenomenon, with periods of heavy rainfall. Studies indicate a possible association between "El Niño" and the Zika epidemic's spatial spread (12–15).

Since Zika is an asymptomatic disease in some cases, infected individuals do not always seek healthcare services. A study in French Polynesia, Martinique, and Guadalupe suggests that underreporting of cases can range from 3–50% (16). However, locations in Brazil covered by the "Family Health Strategy" (FHS) may favor measures to fight and control the mosquito vector, besides an increase in active search for ZIKV cases. Healthcare workers under the FHS are trained to implement health education activities such as urban cleaning and basic sanitation. This comprehensive approach to health can thus favor greater adherence to health services, especially in more vulnerable populations (17).

The characterization of risk areas could contribute to decision-making during new outbreaks of ZIKV. In this scenario, statistical techniques for spatial analysis in health have been used to help determine environmental factors and epidemiological patterns (18).

It is thus useful to understand the mechanisms of health inequality that can favor the increase in ZIKV incidence, especially in areas with wide socioeconomic and environmental diversity. The city of Rio de Janeiro was selected for this purpose because of its large population, heavy socioeconomic imbalance in all areas of the city, and the presence of environmental protection areas such as forests, parks, and coastline. In addition, the state of Rio de Janeiro had the country's third highest ZIKV incidence according to data from the Epidemiological Bulletin on Monitoring of Microcephaly Cases in Brazil in 2016 (19).

The study aimed to analyze the spatial distribution of ZIKV in the city of Rio de Janeiro in 2015 and 2016 and identify factors associated with the occurrence of ZIKV.

## 2. Methods

### 2.1. Study site

This is an ecological study in the city of Rio de Janeiro, located in the Southeast region of Brazil at latitude 22°44'45.59"S to 23°04'58.34"S and longitude 43°05'48.89"W to 43°47'43.79"W. The city has a population density of 5,599.93 inhabitants/km<sup>2</sup> and an exclusively urban population estimated at 6,718,903 inhabitants in the year 2019 (20). The city is divided into 160 neighborhoods, 34 administrative regions (AR), 5 major Planning Areas (PA). The city has 10,504

census tracts, with major socioeconomic differences distributed across all the regions. Approximately 22% live in substandard clusters or favelas (21). Figure 1 shows the geographic location of the city of Rio de Janeiro and subdivision in five major Planning Areas and 34 Administrative Regions.

## 2.2. Availability of data and materials

The analytical units in this study were the census tracts, based on data from the latest Population Census by the Brazilian Institute of Geography and Statistics (IBGE) in 2010. Secondary data were collected from three information sources for 2015 and 2016: (i) new cases of ZIKV residing in the city of Rio de Janeiro, reported to the Information System on Diseases of Notification (SINAN); (ii) sociodemographic data from the census tracts collected by IBGE (21); and (iii) data on addresses, rainfall, and FHS coverage for the years 2015 and 2016 from Pereira Passos Institute of the Rio de Janeiro Municipal Government (22).

Based on the SINAN database, new ZIKV cases were geocoded by residential address on the notifications, using API (Application Programming Interface) from Google Maps (23). Geocoded ZIKV cases were then aggregated by census tracts, allowing the construction of crude ZIKV incidence rates per 1,000 inhabitants. To control the incidence rates' instability effect we used local empirical Bayesian smoothing, weighting the incidence rates of neighboring tracts (24). We then applied the rates' logarithmic transformation with Bayesian smoothing to approach them to a normal distribution, thereby establishing the study's outcome, hereinafter the "ZIKV incidence rate".

Rainfall data were collected from 33 precipitation stations in the city of Rio de Janeiro from November 2015 to February 2016. These data were used to build the variable mean rainfall by census tract via spatial interpolation. Since this information was not measured in all the census tracts, but in 33 precipitation stations in the city of Rio de Janeiro, geostatistical techniques were used to estimate rainfall in areas without precipitation stations. This was used to create a continuous map with the estimated rainfall values for entire city of Rio de Janeiro through simple kriging. This method was chosen since it was expected that the mean monthly rainfall in millimeters from November 2015 to February 2016 would be constant across the surface. Next, the census tract map was superimposed on this map, which allowed calculating the mean rainfall for each tract in the selected period. To perform the spatial interpolation, a 5,000,000 × 5,000,000 grid was defined, since some areas were very small. After spatial rainfall analysis, the model with the best fit was spherical anisotropy effect (25).

Data on coverage by the Family Health Strategy (FHS) defined whether or not the census tract was covered by the FHS.

These databases were then merged, using the census tract number as the identifier field. This allowed a final database with ZIKV incidence rate per census tract as the dependent variable (outcome) and sociodemographic data, mean rainfall, and FHS coverage in each census tract as the independent variables.

## 2.3. Data analysis

We assessed the presence of spatial autocorrelation in the ZIKV incidence rates per census tract, using global Moran's index. The Local Indicator of Spatial Association (LISA) was used to investigate spatial association patterns at the local level, disaggregating the global Moran's index. LISA classifies the tracts based on the neighborhood matrix, in four groups: high/high, low/low, high/low, low/high. The first two groups correspond to positive associations between a census tract's incidence rate and the respective neighbors' rates, while the other two groups represent negative associations (26).

The theoretical model to represent the relations between the ZIKV incidence rate and possible associated factors was built from a combination of two conceptual models. "Model I" was proposed by Diderichsen, Augusto, and Perez (27). The authors summarized a diagram containing possible mechanisms of social inequality in relation to ZIKV infection. These mechanisms were: income, household conditions, vector density, vulnerability, susceptibility, social context, and health policies. "Model II" related the occurrence of natural disasters (earthquakes, floods) to the increase in the mosquito population and consequently to the rise in ZIKV incidence (28). According to the available data, this study proposed a new model including socioeconomic dimensions, household conditions, and health policies (model I) and rainfall (model II).

Figure 2 presents this study's proposed model as a causal diagram (29) associated with the Structural Equation Model (SEM) (30). In this diagram, the ellipses correspond to the construct with two latent variables, i.e., which were not measured (socioeconomic status and household condition). The first latent construct has five indicators represented by the observed variables: INCOME < 1 MW (proportion of households with income less than 1 minimum wage); INCOME1to2MW (proportion of households with income from 1 to 2 minimum wages); BROWN\_BLACK as the proportion of households with individuals self-identified as brown or black; LIVE\_ALONE (proportion of households with persons living alone); NO\_SCHOOL (proportion of illiterates). The latent variable "household conditions" is represented by 3 indicators: WATER\_SYST (proportion of households with running water supply); SEWAGE\_SYST (proportion of households connected to the public sewage disposal system); GARB\_COLLECT (proportion of households with public garbage collection). The variable RAINFALL represents the mean monthly rainfall in millimeters from November 2015 to February 2016; TEAM\_FHS shows whether the census tract was covered by Family Health Strategy teams.

One can thus hypothesize that census tracts with heavy social inequality (low income, no schooling, and no family support) can present worse living conditions, also impacting household conditions. Meanwhile, heavier rainfall in a given region can also affect the household, for example, with accumulation of water in mosquito breeding sites. These combined causes may have contributed to the increase in ZIKV cases. In addition, the effect of health policies such as the Family Health Strategy can promote educational activities in the fight against *Aedes aegypti*, which would tend to reduce the number of cases, while also offering greater access to health services, allowing a reduction in underreporting of ZIKV cases.

The analysis excluded 332 census tracts that represented areas with lagoons, forests, green areas, and individuals in institutionalized sites or without population information from the IBGE.

After confirmation of spatial autocorrelation, we assessed the relationship between the outcome (ZIKV incidence rate) and the independent variables through Spearman correlation analysis ( $\rho$ ). Variables with  $\rho > 0.3$  were tested in the model with interaction. The Spearman correlation matrix showed a statistically

significant correlation with the study variables. However, there was strong correlation (indicating possible collinearity) between INCOME < 1 MW and BROWN\_BLACK; INCOME < 1 MW and NO\_SCHOOL; and BROWN\_BLACK and NO\_SCHOOL.

The model's fit was assessed with Ordinary Least Squares (OLS), Spatial Lag Model (SAR), and Spatial Error Model (CAR). The first method includes the traditional linear regression approach, the second incorporates spatial dependence into the dependent variable, and the third method includes the spatial effect jointly in the model's random component (error) (31). To choose the model with the best fit, we opted to compare the models according to the highest log-likelihood value and the lowest Akaike information criterion (AIC) value.

Diagnosis of collinearity was performed with the Variance Inflation Factor (VIF) with tolerance values less than 10 (32).

All the models' residuals were assessed with the Moran index to quantify the degree of spatial dependence.

All the analyses were performed in the R statistical package, version 3.4.3 (33) and in GeoDa (34).

### 3. Results

#### 3.1. Socioenvironmental factors associated with Zika incidence

A total of 39,331 ZIKV cases were reported in the city of Rio de Janeiro, of which 6,536 (16.6%) in 2015 and 32,795 (83.4%) in 2016. The proportion of non-geocoded cases was only 3.4% of the total. A total of 10,172 census tracts were analyzed, showing high spatial autocorrelation assessed by the Moran index (0.56) and with statistical significance (p-value = 0.001).

Figure 3 shows the distribution of ZIKV incidence rate by census tract after Bayesian smoothing. In this figure, the highest rates are concentrated in the far western area of the city, or PA 5; in the some localities in PA 4; and in localities in PA 3 and PA 1. The gray areas represent the census tracts without population data, the blue areas are lagoons, and the green areas are forests.

Figure 4 shows the LISA scatter map. The areas with census tracts with high incidence rates and surrounded by tracts with high rates were concentrated in PA 5, PA 4, localities in PA 2, localities in PA 3, and practically all of PA 1. Meanwhile, areas with census tracts with low ZIKV incidence rates and surrounded by tracts that also have low rates are located in the southern portion of PA, localities in the southern portion of PA 4, and localities in PA 5.

Figure 5 shows the areas with statistically significant local Moran indices. Localities classified as high-high and as low-low both corresponded to areas with significant local Moran indices.

As shown in Table 1, the Spearman correlation matrix indicates that the correlation between the log of the ZIKV incidence rate and other independent variables was low (less than 0.3). The highest absolute value was for the variable INCOME1to2MW ( $\rho = 0.223$ ), while the lowest correlation was for TEAM\_FHS ( $\rho = 0.052$ ). All the correlations were statistically significant. There are three high correlations between the independent variables in the matrix, namely INCOME < 1 MW and BROWN\_BLACK ( $\rho = 0.881$ ); INCOME < 1 MW and NO\_SCHOOL ( $\rho = 0.808$ ); BROWN\_BLACK and NO\_SCHOOL ( $\rho = 0.756$ ), possibly indicating collinearity.

Table 1  
Spearman correlation for Zika virus incidence and variables related to socioeconomic status, household conditions, and rainfall

	<i>INCOME &lt; 1 MW</i>	<i>INCOME1to2MW</i>	<i>BROWN_BLACK</i>	<i>NO_SCHOOL</i>	<i>WATER_SYST</i>	<i>SEWAGE_SYST</i>	<i>GARB_COLLECT</i>	<i>LIVE_ALONE</i>
<i>LOG_TXZIKV</i>	0.122*	0.223*	0.099*	0.096*	-0.148*	-0.192*	0.055*	-0.084*
<i>INCOME &lt; 1 MW</i>		0.076*	0.881*	0.808*	-0.221*	-0.337*	-0.212*	-0.205*
<i>INCOME1to2MW</i>			0.218*	0.061*	-0.001	-0.072*	0.094*	-0.146*
<i>BROWN_BLACK</i>				0.756*	-0.199*	-0.322*	-0.198*	-0.188*
<i>NO_SCHOOL</i>					-0.253*	-0.369*	-0.270*	-0.233*
<i>WATER_SYST</i>						0.447*	0.211*	0.066*
<i>SEWAGE_SYST</i>							0.198*	0.139*
<i>GARB_COLLECT</i>								0.037*
<i>LIVE_ALONE</i>								
<i>RAINFALL</i>								

\* level of significance: 0.05

Based on assessment of the regression models by parsimony criteria, goodness-of-fit, and diagnosis of collinearity for the VIF, we present the results of the final regression models for OLS, SAR, and CAR (Table 2). The variables NO\_SCHOOL and BROWN\_BLACK were removed from the model since they were colinear with income and also showed inverse correlation with the outcome (see Table 1). The variables LIVE\_ALONE and RAINFALL did not remain in the final model, based on the statistical significance criterion. Meanwhile, the variable GARB\_COLLECT was removed because it showed correlation with the other two

variables related to household conditions (WATER\_SYST and SEWAGE\_SYST), besides displaying asymmetric distribution (Figure S1). In addition, the interaction terms were not statistically significant in the model.

Table 2  
Results of regression model, fit indices, and residuals for the Zika incidence rate

Variables	OLS		SAR		CAR	
	coefficient	p-valor	coefficient	p-valor	coefficient	p-valor
INCOME < 1 MW	0.06	0.010	-0.10	< 0.001	-0.27	< 0.001
INCOME1to2MW	1.40	< 0.001	0.58	< 0.001	0.44	< 0.001
WATER_SYST	-0.49	< 0.001	-0.19	< 0.001	-0.27	< 0.001
SEWAGE_SYST	-0.35	< 0.001	-0.09	< 0.001	-0.09	< 0.001
TEAM_FHS	0.07	< 0.001	0.04	< 0.001	0.04	0.080
R <sup>2</sup>	0.078		0.440		0.438	
Log-likelihood	-10020.37		-7482.16		-7495.20	
AIC	20054.74		14980.31		15006.39	
Moran – residual	0.50	0.001	-0.04	0.999	-0.05	0.999

The variables that remained in the model were those related to income (INCOME < 1 MW and INCOME1to2M) running water and sewage disposal coverage (WATER\_SYST and SEWAGE\_SYST), and coverage by the Family Health Strategy (TEAM\_FHS). The model with the best fit was the SAR model, with a log-likelihood of -7482.16 and AIC of 14980.31. In this model, the INCOME < 1 MW variable were negatively associated with higher ZIKV incidence rates. The INCOME1to2M variable was possible risk factors for Zika occurrence in the localities. Variables related to adequate water supply and the existence of public sewage disposal were associated with lower ZIKV incidence rates. The presence of the Family Health Strategy in the census tracts was positively associated with the ZIKV incidence rate.

In the diagnosis of collinearity via VIF, all the values were below 10, indicating absence of collinearity (Table 3).

Table 3  
VIF values for OLS model

INCOME < 1 MW	1.156
INCOME1to2MW	1.024
WATER_SYST	1.229
SEWAGE_SYST	1.300
TEAM_FHS	1.078

The Moran index of the residuals for the SAR model was 0.04 ( $p = 0.999$ ), indicating that spatial dependence was controlled. The Moran index in the OLS model, which does not take spatial dependence into account, was high (0.50) and significant ( $p = 0.001$ ). The Fig. 6 shows the Moran residuals map in the SAR model. Note that the residuals were well distributed across all areas of the city.

## 4. Discussion

In relation to analysis of socioenvironmental factors associated with the ZIKV epidemic in the city of Rio de Janeiro, lower income was associated with higher ZIKV incidence. The study thus demonstrates that less favorable socioeconomic conditions related to income are directly associated with higher ZIKV incidence rates. A study in Salvador, Bahia, Brazil, in 2009 and 2010 showed higher risk of dengue, a disease transmitted by the same mosquito vector, in households with income less than or equal to one minimum wage (35).

The associations found in the current study are valid at the ecological level and should not be extrapolated directly to the individual level, which would create a risk of ecological bias (36).

The localities with higher coverage of running water and public sewage disposal showed lower ZIKV incidence rates. According to Campos *et al.* (37), areas more favorable to larval development present worse infrastructure conditions. These two variables combined can indicate lack of environmental sanitation, representing areas with housing that lacks basic infrastructure conditions (38).

Areas with coverage by the Family Health Strategy presented higher ZIKV incidence, indicating that there may be better access to health services and higher notification of cases in these tracts. Kikuti *et al.* (35) found a decrease in dengue risk in census tracts located farther from health units. However, with the improvement of control methods for the disease and health education activities by healthcare workers, the tendency may be to decrease the ZIKV incidence rates.

This ecological study presented some limitations, such as the fact that it did not include the *Aedes aegypti* larval infestation index. Although this indicator was available on the website of the Rio de Janeiro municipal government, it was generalized to the entire study area. In addition, it is not always possible to find a positive association between the larval index and incidence of the diseases, due to difficulties in adequate measurement of the index involving various fieldwork problems, such as closed households, difficulties in access due to public security problems, and even inadequate data collection by health agents. A study in the city of Rio de Janeiro found an inverse association between the Breteau index for *Aedes aegypti* and dengue incidence in 2006 (10). Other variables that did not enter the model are temperature and relative humidity, which are important factors that influence vector density. However, the range in these variables was very small, so we opted not to include them in the current study. Rainfall varied more in the city, but it was not significant in the final model. A possible explanation for this would be the use of averages, which could mask the differences between the localities or the rainfall intensity, since more intense rain tends to generate floods and thus drag various breeding sites into the storm drain system. This could also be due to the chosen time window. This study used a 4-month period (November 2015 to February 2016) to estimate mean rainfall. This period was chosen because it coincides with the months with the highest mean rainfall in the city of Rio de Janeiro and the start of the upward curve in reported ZIKV cases (39). However, tests were performed with other time windows, such as a 6-month period (September 2015 to February 2016), without finding a significant association with the disease.

The use of census tracts favored the sociodemographic characterization of these areas, thereby facilitating the construction of indicators. In addition, a census tract tends to display greater homogeneity in the resident population's characteristics in the tract and greater heterogeneity in relation to the other tracts. The main problem with the use of the census tract is the small population size, potentially generating great instability in the ZIKV incidence rates. The choice of the local Bayesian smoothing method aimed to correct possible errors resulting from the fluctuation that these incidence rates tend to present in small areas. The method can also correct possible underreporting of the disease, since the incidence in a small area tends to be similar to that of its neighbors.

One possible limitation to the study is methodological. The study's results, such as the inclusion of interaction terms, the possibility of effect modification by the TEAM\_FHS variable, and the socioeconomic vulnerability gradient in the city of Rio de Janeiro may be explained better by other spatial regression models. Local regression models assume that the spatial process is non-stationary, i.e., the coefficients present spatial heterogeneity. Since the amount of observations (number of area data) is large, the non-stationarity hypothesis tends to be confirmed. The local spatial autocorrelation indicators (Fig. 4) revealed different patterns of spatial association in all the areas of the city of Rio de Janeiro (26). Geographically Weighted Regression (GWR) can thus be used to measure this variability in each of the city's census tracts. The variables that were removed from the final model by parsimony (BROWN\_BLACK, NO\_SCHOOL, and GARB\_COLLECT) can thus be explored from the local point of view. Observing the comparison between two extreme groups: census tracts with better socioeconomic status (SES) (low proportions of households with income less than 1 minimum wage, blacks/browns, and illiterates) and worse socioeconomic status (high proportions of households with income less than 1 minimum wage, blacks/browns, and illiterates). The expected results should indicate that census tracts with worse SES would have higher ZIKV rates, while those with better SES would tend to have lower rates of the disease. However, the figure S2 show the existence of extremely poor areas with low ZIKV incidence rates. Meanwhile, areas with better SES, especially census tracts close to low-income neighborhoods, had higher ZIKV incidence rates. This scenario suggests that other determinants not measured in this study may be associated with ZIKV rates in the city of Rio de Janeiro.

## 5. Conclusions

The ZIKV incidence rate in the city of Rio de Janeiro in the years 2015 and 2016 was positively associated with census tracts with mean income between 1 and 2 minimum wages and the presence of family health teams. Household conditions related to lower proportions of running water and adequate public sewage disposal also influenced the increase in cases of the disease. However, the results also point to a population group with mean income below 1 minimum wage with a negative impact on ZIKV incidence rates. One hypothesis would suggest more underreporting of cases. Other methodological approaches should be considered to investigate possible spatial heterogeneities.

## Abbreviations

AIC  
Akaike Information Criterion  
API  
Application Programming Interface  
AR  
Administrative regions  
BROWN\_BLACK  
proportion of households with individuals self-identified as brown or black  
CAR  
Spatial Error Model  
CHIKV  
Chikungunya virus  
DENV  
Dengue virus  
FHS  
Family Health Strategy  
GARB\_COLLECT

proportion of households with public garbage collection  
IBGE  
Brazilian Institute of Geography and Statistics  
INCOME < 1MW  
proportion of households with income less than 1 minimum wage  
INCOME1to2MW  
proportion of households with income from 1 to 2 minimum wages  
LIVE\_ALONE  
proportion of households with persons living alone  
NO\_SCHOOL  
proportion of illiterates.  
LISA  
Local Indicator of Spatial Association  
OLS  
Ordinary Least Squares  
PA  
Planning Areas  
RAINFALL  
mean monthly rainfall in millimeters from November 2015 to February 2016  
SAR  
Spatial Lag Model  
SEM  
Structural Equation Model  
SEWAGE\_SYST  
proportion of households connected to the public sewage disposal system  
SINAN  
Information System on Diseases of Notification  
TEAM\_FHS  
shows whether the census tract was covered by Family Health Strategy teams  
USA  
United States of America  
VIF  
Variance Inflation Factor  
WATER\_SYST  
proportion of households with running water supply  
WHO  
World Health Organization  
ZIKV  
Zika virus

## Declarations

## Ethics approval and consent to participate

Ethical approval by the ethical committee from the University Hospital Clementino Fraga Filho-UFRJ (CAAE 86306617.1.0000.5257)

## Consent for publication

Not applicable.

## Availability of data and materials

The datasets analyzed during the current study consisted of all confirmed cases of ZIKV. Data recorded in the Information System on Diseases of Notification (SINAN), that supporting the results of this study are available from [State Health Department of Rio de Janeiro]. The data are, however, available by the authors upon reasonable request and with permission of [State Health Department of Rio de Janeiro]. The socioeconomic data from the census tracts of the city of Rio de Janeiro, based from the latest Population Census available in the electronic database by the Brazilian Institute of Geography and Statistics (IBGE). Addresses, rainfall, and FHS coverage data were collected from Pereira Passos Institute of the Rio de Janeiro Municipal Government, available from electronic database.

## Competing interests

The authors declare that they have no competing interests.

## Funding

Not applicable.

## Authors' contributions

CER and RM were responsible for the conceptual design of the work. CER and RM did the acquisition and interpretation of data. CER did the data analysis. CER drafted the manuscript. All authors contributed to critical revision of the manuscript and final approval of the version to be published.

CER and RM to have agreed both to be personally accountable for the author's own contributions and to ensure that questions related to the accuracy or integrity of any part of the work, even ones in which the author was not personally involved, are appropriately investigated, resolved, and the resolution documented in the literature.

## Acknowledgements

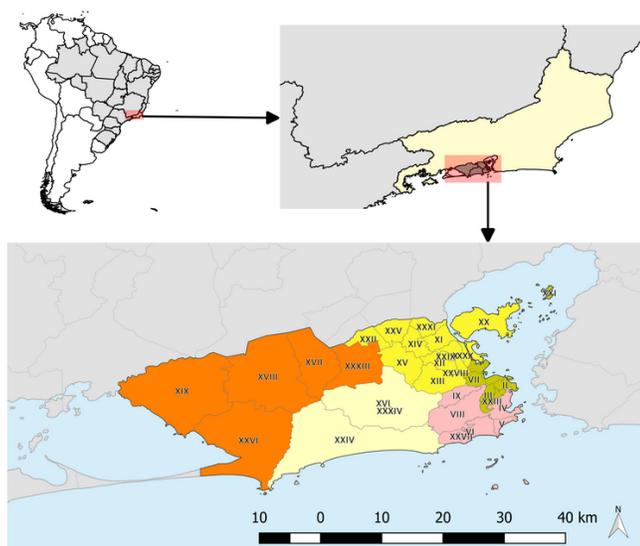
Not applicable.

## References

1. Organization PAHO (PAHO)/World H. Epidemiological Alert: Increase of microcephaly in the northeast of Brazil [Internet]. 2015. Available from: [http://www.paho.org/hq/index.php?option=com\\_docman&task=doc\\_view&Itemid=270&gid=32285&lang=en](http://www.paho.org/hq/index.php?option=com_docman&task=doc_view&Itemid=270&gid=32285&lang=en)
2. Sutarjono B. Can we better understand how Zika leads to microcephaly? A systematic review of the effects of the Zika virus on human brain organoids. *J Infect Dis* [Internet]. 2018 Sep 26;jjy572–jjy572. Available from: <http://dx.doi.org/10.1093/infdis/jiy572>
3. Rasmussen SA, Jamieson DJ, Honein MA, Petersen LR. Zika Virus and Birth Defects—Reviewing the Evidence for Causality. *N Engl J Med* [Internet]. 2016;374(20):1981–7. Available from: <http://www.ncbi.nlm.nih.gov/pubmed/27074377>
4. Rabaan AA, Bazzi AM, Al-Ahmed SH, Al-Ghaith MH, Al-Tawfiq JA. Overview of Zika infection, epidemiology, transmission and control measures. *J Infect Public Heal* [Internet]. 2017;10(2):141–9. Available from: <http://www.ncbi.nlm.nih.gov/pubmed/27283926>
5. World Health Organization. Zika virus and complications: 2016 Public Health Emergency of International Concern. 2016.
6. Ministério da Saúde. Boletim Epidemiológico - Semana 34. *Bol Epidemiológico - SVS - Ministério da Saúde*. 2018;34.
7. Ministério da Saúde. Boletim Epidemiológico - semana 45. *Bol Epidemiológico da Secr Vigilância em Saúde, Ministério da Saúde*. 2017;
8. Ministério da Saúde. Boletim Epidemiológico - Semana 12 de 2019. *Bol Epidemiológico - SVS - Ministério da Saúde*. 2019;50.
9. Zheng L, Li Q, Ren H, Lu L, Yuan W. Exploring Determinants of Spatial Variations in the Dengue Fever Epidemic Using Geographically Weighted Regression Model: A Case Study in the Joint Guangzhou-Foshan Area, China, 2014. *Int J Environ Res Public Health*. 2017;14(12):1518.
10. Teixeira TR de A, Cruz OG. Spatial modeling of dengue and socio-environmental indicators in the city of Rio de Janeiro, Brazil. *Cad Saude Publica*. 2011;27(3):591–602.
11. Santos SM, Chor D, Werneck GL. Demarcation of local neighborhoods to study relations between contextual factors and health. 2010;1–15.
12. Carlson CJ, Dougherty ER, Getz W. An Ecological Assessment of the Pandemic Threat of Zika Virus. *PLoS Negl Trop Dis*. 2016;10(8):1–18.
13. Harris M, Caldwell JM, Mordecai EA. Climate drives spatial variation in Zika epidemics in Latin America. *Proc R Soc B Biol Sci*. 2019;286(1909):20191578.
14. Anyamba A, Chretien JP, Britch SC, Soebiyanto RP, Small JL, Jepsen R, et al. Global Disease Outbreaks Associated with the 2015–2016 El Niño Event. *Sci Rep*. 2019;9(1):1–14.
15. Caminade C, Turner J, Metelmann S, Hesson JC, Blagrove MSC, Solomon T, et al. Global risk model for vector-borne transmission of Zika virus reveals the role of El Niño 2015 (Proceedings of the National Academy of Sciences of the United States of America (2016) 114:1 (119-12419) DOI: 10.1073/pnas.1614303114). *Proc Natl Acad Sci U S A* [Internet]. 2017;114(7):E1301–2. Available from: <http://www.pnas.org/content/114/1/119.full>
16. Subissi L, Daudens-Vaysse E, Cassadou S, Ledrans M, Bompard P, Gustave J, et al. Revising rates of asymptomatic Zika virus infection based on sentinel surveillance data from French Overseas Territories. *Int J Infect Dis*. 2017;
17. Prado Junior JC, Virgílio TC, Medronho R de A. Comparação da proporção de cura por tuberculose segundo cobertura e tempo de implantação de saúde da família e fatores socioeconômicos e demográficos no município do Rio de Janeiro, Brasil, em 2012. *Cienc e Saude Coletiva*. 2016;21(5):1491–8.
18. Medronho RDA, Werneck GL. Análise de Dados Espaciais em Saúde. In: *Epidemiologia*. Rio de Janeiro: Editora Atheneu; 2009. p. 493–511.
19. Magalhaes-Barbosa MC, Prata-Barbosa A, Robaina JR, Raymundo CE, Lima-Setta F, Cunha A. New trends of the microcephaly and Zika virus outbreak in Brazil, July 2016–December 2016. *Travel Med Infect Dis* [Internet]. 2017;16:52–7. Available from: <http://www.ncbi.nlm.nih.gov/pubmed/28342826>
20. IBGE. Estimativas da População. 2019.
21. IBGE. Censo demográfico 2010 [Internet]. 2010. Available from: [www.censo2010.ibge.gov.br](http://www.censo2010.ibge.gov.br)

22. Pereira Passos Institute. Pereira Passos Institute of the Rio de Janeiro Municipal Government's cartographic base [Internet]. 2020 [cited 2020 Aug 12]. Available from: <https://www.data.rio/datasets/áreas-de-planejamento-ap-regiões-administrativas-ra-e-áreas-acima-da-cota-100m-no-município-do-rio-de-janeiro>
23. Silveira IH, Junger WL, Oliveira BFA. Utilização do Google Maps para o georreferenciamento de dados do Sistema de Informações sobre Mortalidade no município do Rio de Janeiro , 2010-2012 \*. *Epidemiol e Serviços Saúde*. 2017;26(4):881–6.
24. Assunção RM. Mapas de taxas epidemiológicas: uma abordagem Bayesianaf. *Cad Saúde Pública*. 1998;14(4):713–23.
25. Cressie NAC. *Statistics for Spatial Data*. Hoboken, NJ, USA: John Wiley & Sons, Inc.; 1993. (Wiley Series in Probability and Statistics).
26. Câmara G, Carvalho MS, Cruz OG, Correa V. *Análise espacial de áreas*. Brasília; 2004.
27. Diderichsen F, Augusto LG da S, Perez B. Understanding social inequalities in Zika infection and its consequences: A model of pathways and policy entry-points. *Glob Public Health [Internet]*. 2018 Oct 9;48(5):1–9. Available from: <https://doi.org/10.1080/17441692.2018.1532528>
28. Reina Ortiz M, Le NK, Sharma V, Hoare I, Quizhpe E, Teran E, et al. Post-earthquake Zika virus surge: Disaster and public health threat amid climatic conduciveness. *Sci Rep*. 2017;7(1):1–10.
29. Cortes TR, Faerstein E, Struchiner CJ. Use of causal diagrams in epidemiology: Application to a situation with confounding. *Cad Saude Publica*. 2016;32(8):1–13.
30. Amorim LDAF, Fiaccone RL, Santos CAST, Santos TN dos, Moraes LTLP de, Oliveira NF, et al. Structural equation modeling in epidemiology. *Cad Saude Publica*. 2010;26(12):2251–62.
31. Bailey T, Gatrell A. *Spatial Data Analysis*. London: Longman Scientific; 1995. 413 p.
32. James G, Witten D, Hastie T, Tibshirani R. *An Introduction to Statistical Learning: With Applications in R*. Springer Publishing Company, Incorporated; 2014.
33. R Core Team. *R: A Language and Environment for Statistical Computing [Internet]*. R Foundation for Statistical Computing; 2017. Available from: <https://www.r-project.org>
34. Anselin L, Syabri I, Kho Y. *GeoDa: An Introduction to Spatial Data Analysis*. 2006;38:5–22.
35. Kikuti M, Cunha GM, Paploski IAD, Kasper AM, Silva MMO, Tavares AS, et al. Spatial distribution of dengue in a Brazilian Urban slum setting: Role of socioeconomic gradient in disease risk. *PLoS Negl Trop Dis*. 2015;9(7):1–18.
36. Medronho R de A. *Estudos Ecológicos*. In: Medronho R, Bloch K, Luiz R WG, editor. *Epidemiologia*. 2nd ed. São Paulo: Atheneu; 2009. p. 685.
37. Campos MC, Dombrowski JG, Phelan J, Marinho CRF, Hibberd M, Clark TG, et al. Zika might not be acting alone: Using an ecological study approach to investigate potential co-acting risk factors for an unusual pattern of microcephaly in Brazil. *PLoS One*. 2018;13(8):1–16.
38. Almeida AS, Medronho RDA, Valencia LIO. Análise espacial da dengue e o contexto socioeconômico no município do Rio de Janeiro , RJ Spatial analysis of dengue and the socioeconomic context of the city of Rio de Janeiro ( Southeastern Brazil ). *Rev Saúde Pública [Internet]*. 2009;43(4):666–73. Available from: [http://www.scielo.br/scielo.php?script=sci\\_arttext&pid=S0034-89102009000400013](http://www.scielo.br/scielo.php?script=sci_arttext&pid=S0034-89102009000400013)
39. Fuller TL, Calvet G, Estevam CG, Angelo JR, Abiodun GJ, Halai UA, et al. Behavioral, climatic, and environmental risk factors for Zika and Chikungunya virus infections in Rio de Janeiro, Brazil, 2015-16. *PLoS One*. 2017;12(11):1–15.

## Figures



**Planning Areas**

- PA 1
- PA 2
- PA 3
- PA 4
- PA 5

AR Code	AR Name	AR Number	AR Code	AR Name	AR Number	AR Code	AR Name	AR Number
I	Portuária	1	XII	Inhaúma	12	XXIII	Santa Teresa	23
II	Centro	2	XIII	Méier	13	XXIV	Barra da Tijuca	24
III	Rio Comprido	3	XIV	Irajá	14	XXV	Pavuna	25
IV	Botafogo	4	XV	Madureira	15	XXVI	Guaratiba	26
IX	Vila Isabel	9	XVI	Jacarepaguá	16	XXVII	Rocinha	27
V	Copacabana	5	XVII	Bangu	17	XXVIII	Jacarezinho	28
VI	Lagoa	6	XVIII	Campo Grande	18	XXIX	Complexo do Alemão	29
VII	São Cristóvão	7	XIX	Santa Cruz	19	XXX	Complexo da Maré	30
VIII	Tijuca	8	XX	Ilha do Governador	20	XXXI	Vigário Geral	31
X	Ramos	10	XXI	Paqueta	21	XXXIII	Realengo	33
XI	Penha	11	XXII	Anchieta	22	XXXIV	Cidade de Deus	34

**Figure 1**

Geographic location and planning areas of the city of Rio de Janeiro. Source - Brazilian Institute of Geography and Statistics, and Pereira Passos Institute of the Rio de Janeiro Municipal Government (21,22). Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

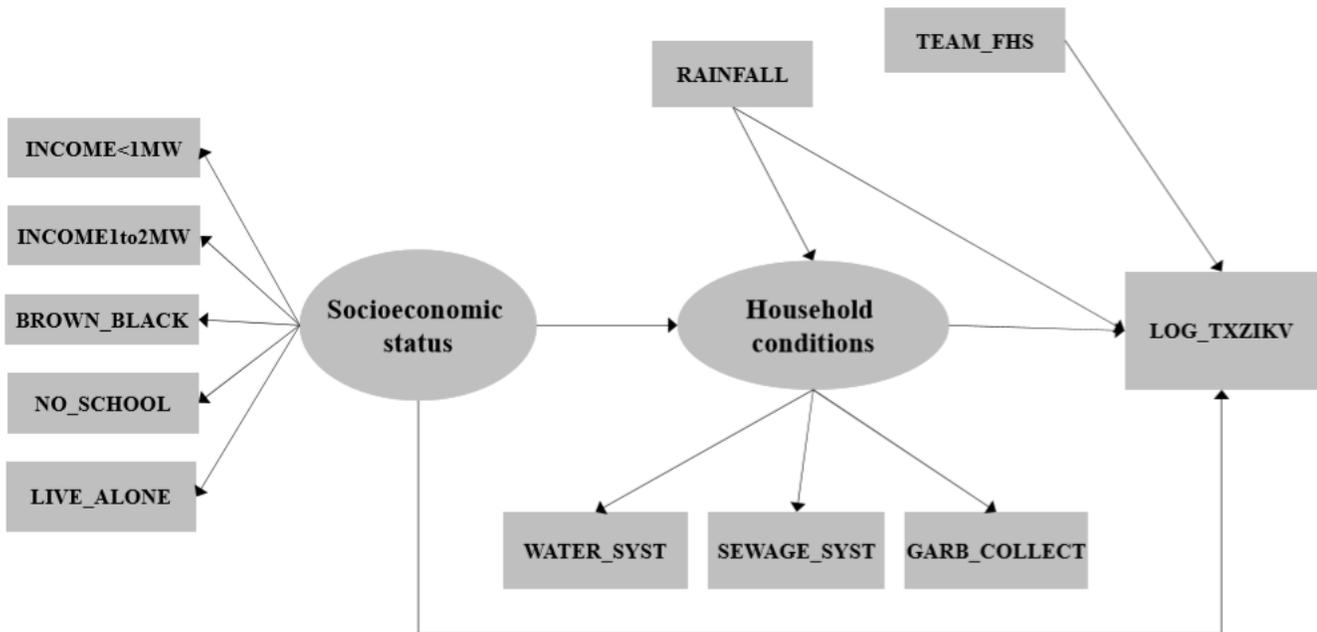


Figure 2

Proposed theoretical model to represent relations between ZIKV incidence and possible associated factors Source - Owner

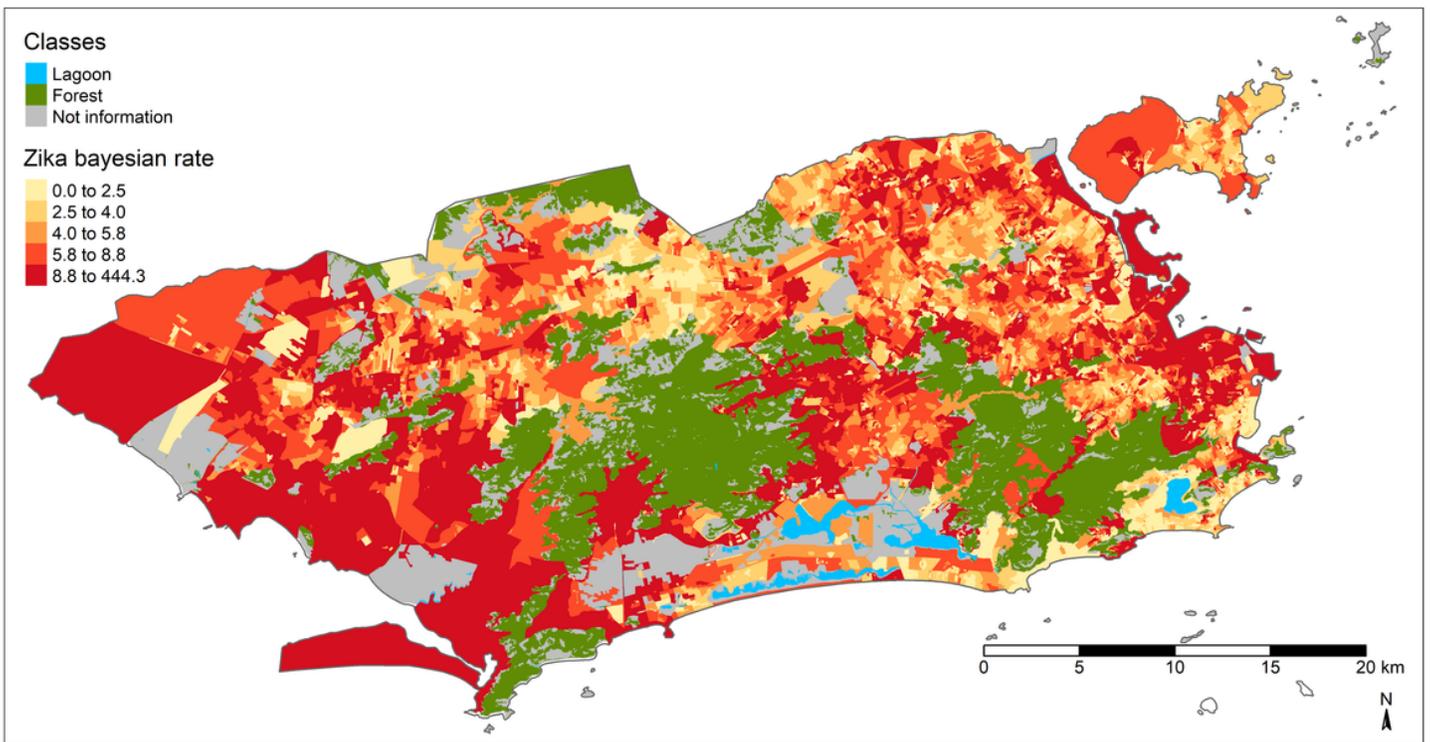


Figure 3

Zika quintile incidence rates map Source - Brazilian Institute of Geography and Statistics (21) Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

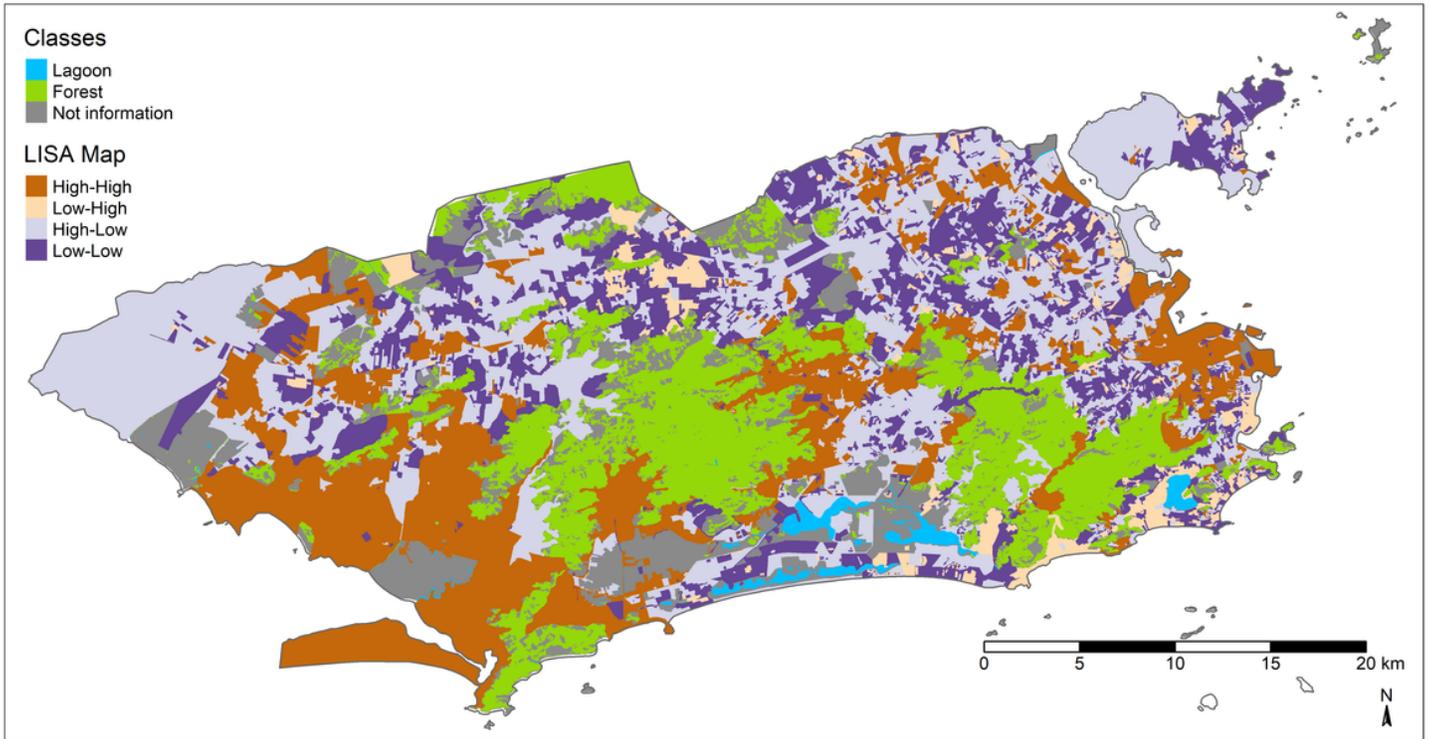


Figure 4

LISA scatter map of Zika incidence rates Source - Brazilian Institute of Geography and Statistics (21) Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

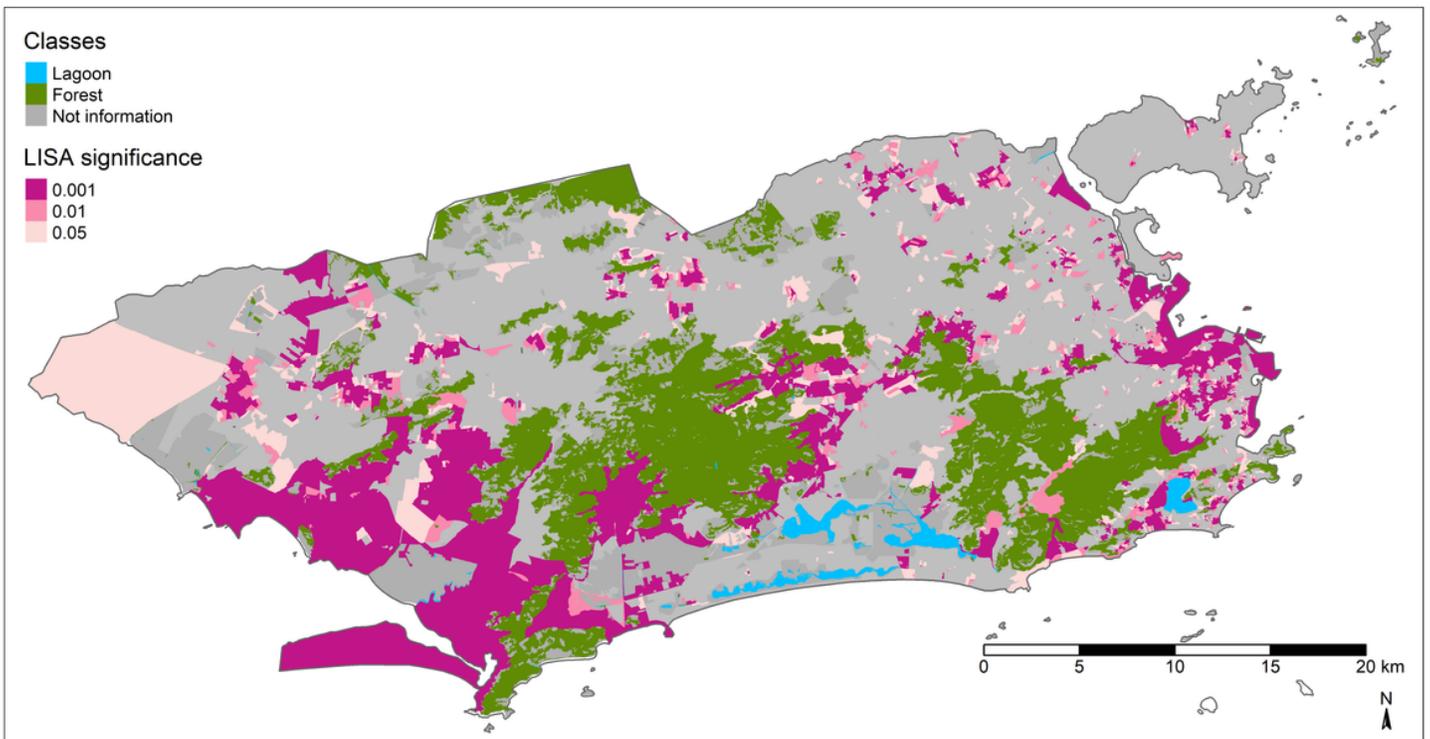
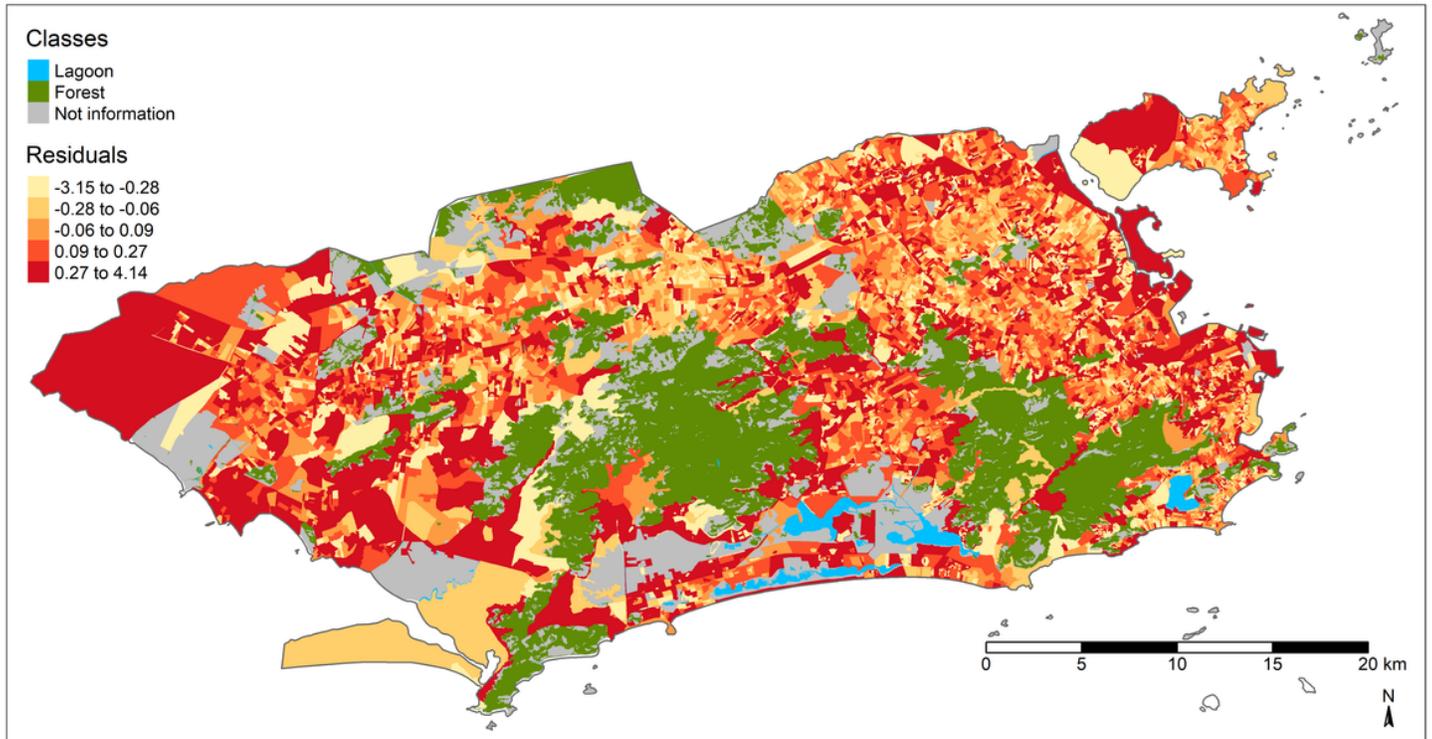


Figure 5

Statistical significance of the local Moran indices of the Zika incidence rates Source - Brazilian Institute of Geography and Statistics (21) Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research

Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 6**  
Spatial distribution of residuals in the SAR model Source - Brazilian Institute of Geography and Statistics (21) Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Figure1SupplInfo.pdf](#)
- [Figure2SupplInfo.png](#)