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Letter

Keywords:

Posted Date: August 4th, 2022

DOI: https://doi.org/10.21203/rs.3.rs-1471299/v2

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Atomic-scale identification of the active sites of nanocatalysts

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17 Heterogeneous catalysts play a key role in the chemical and energy industries¹. To date, most industrial-scale heterogeneous catalytic reactions have relied on 18 nanocatalysts^{2,3}. However, despite significant progress from theoretical, 19 20 experimental and computational studies⁴⁻¹⁸, identifying the active sites of alloy nanocatalysts remains a major challenge. This limitation is mainly due to an 21 22 incomplete understanding of the three-dimensional (3D) atomic and chemical arrangement of different constituents and structural reconstructions driven by 23 catalytic reactions¹⁹⁻²². Here, we use atomic electron tomography²³ to determine the 24

3D local atomic structure, surface morphology and chemical composition of 11 Pt 25 26 alloy nanocatalysts for the electrochemical oxygen reduction reaction (ORR). We reveal the facet, surface concaveness, structural and chemical order/disorder, 27 coordination number, and bond length with unprecedented 3D atomic detail. The 28 29 experimental 3D atomic coordinates are used by first-principles trained machine 30 learning to identify the active sites of the nanocatalysts, which are corroborated by electrochemical measurements. A striking feature is the difference of the ORR 31 32 activity of the surface Pt sites on the nanocatalysts by several orders of magnitude. Furthermore, by analyzing the structure-activity relationship, we formulate an 33 34 equation named the local environment descriptor to balance the strain and ligand effects and gain quantitative insights into the ORR active sites of the Pt alloy 35 nanocatalysts. The ability to determine the 3D atomic structure and chemical 36 37 composition of realistic nanoparticles coupled with machine learning could transform our fundamental understanding of the catalytic active sites and provide 38 a guidance for the rational design of optimal nanocatalysts. 39

40 PtNi and Mo-doped PtNi (Mo-PtNi) nanocatalysts with varying Ni concentration were synthesized on carbon black / nanotubes using an efficient one-pot approach^{24,25} 41 42 (Methods). The nanocatalysts on carbon black were activated through a cyclic voltammetry test, and the ORR specific activities of PtNi and Mo-PtNi were measured to 43 be 4.8 and 9.3 mA/cm² at 0.9 V_{RHE} , respectively (Supplementary Fig. 1). The increase of 44 the ORR activity with Mo dopants is consistent with previous reports²⁴⁻²⁶. Atomic 45 electron tomography (AET) experiments were performed on 11 nanocatalysts embedded 46 in carbon nanotubes with an annular dark-field scanning transmission electron 47 microscope (Supplementary Figs. 2-6 and Table 1). By using a low-exposure data 48

acquisition scheme²⁷ and minimizing the electron dose, the structure of the nanocatalysts 49 50 were consistent throughout the experiment (Supplementary Fig. 7). After pre-processing, 3D reconstruction, atom tracing and refinement^{23,28} (Methods and Supplementary Fig. 8), 51 the 3D atomic coordinates and chemical composition of the nanocatalysts were 52 53 determined (Fig. 1), where the voxel size was calibrated by an extended x-ray absorption 54 fine structure measurement (Methods). The total number of the Pt and Ni atoms in each of the 11 nanocatalysts ranges from 4,281 to 14,172 (Supplementary Table 1). Due to a 55 very small fraction ($\sim 0.4\%$) of Mo dopants²⁵, AET is presently not sensitive enough to 56 distinguish them from the Ni or Pt atoms, but this limitation will not impact the 57 58 conclusions of this study.

Figure 1, Supplementary Fig. 9 and Video 1 show the 3D surface morphology, 59 atomic structure and chemical composition of the 11 PtNi and Mo-PtNi nanocatalysts. 60 61 Elemental segregation was observed on the surface and in the interior of the nanoparticles. The surface layer mainly consists of Pt atoms, forming (100), (110), (111) and a small 62 fraction of high-index facets. From the experimental 3D coordinates, we quantitatively 63 64 characterized the surface concaveness, structural and chemical order/disorder, coordination number (CN), and surface bond length (Methods). Although the majority of 65 66 the nanoparticles exhibit an octahedral morphology, we observed surface concaveness, structural and chemical disorder to varying degrees in all these nanocatalysts (Fig. 2a-c). 67 We revealed that the Mo dopants increase the surface concaveness and the structural 68 69 disorder and preserved more subsurface Ni atoms (Fig. 2a, b and d). The CN of the surface Pt sites ranges from 4 to 11 with the peak at CN = 9 (Fig. 2e). The average surface Pt-Pt 70 bond length was measured to be 2.77±0.16 Å and 2.75±0.19 Å for PtNi and Mo-PtNi, 71 respectively (Fig. 2f), which are correlated with the subsurface Ni composition. The 72

increase of the subsurface Ni composition reduces the average surface Pt-Pt bond length(Fig. 2g).

The experimentally determined 3D atomic coordinates were used by first-75 principles trained machine learning (ML) to identify the ORR active sites of the 76 77 nanocatalysts (Methods). The ORR takes place primarily through a four-step electroreduction mechanism $O_2 + 4$ (H⁺+e⁻) $\rightarrow 2H_2O_2$, in which the surface hydroxyl 78 group (OH) is an intermediate¹⁴. Density functional theory (DFT) calculations have 79 revealed that the ORR activity follows the Sabatier principle^{11,14,29}, that is, the optimal 80 catalysts have the adsorption energy of the intermediates neither too strong nor too weak. 81 82 When the OH binding energy (BE_{OH}) is about 0.13 eV weaker than that of bulk Pt(111), the optimal ORR activity is reached^{11,14}. As it is computationally impractical to perform 83 DFT calculations for all the 11 nanocatalysts each with several thousands of atoms, we 84 used a DFT-trained ML method to determine the OH binding energy for the 85 experimentally measured surface Pt sites (Methods). We first constructed 207 3D PtNi 86 atomic models each surrounding a surface Pt site with a different local environment 87 88 (Supplementary Fig. 10). After calculating the BE_{OH} for the 207 Pt sites by DFT, we 89 randomly chose 134 sites to train the ML method and then used it to identify the BE_{OH} of 90 the 73 test Pt sites. A quantitative comparison between the DFT calculated and ML 91 identified BE_{OH} is shown in Supplementary Fig. 11, indicating that ML accurately predicted the BE_{OH} with a root mean square error (RMSE) of 0.05 and 0.07 eV per site 92 93 for the 134 training and 73 test Pt sites, respectively.

After training and validating the ML method, we applied it to evaluate the ORR activity for the experimentally measured surface Pt sites of the PtNi and Mo-PtNi nanocatalysts. By referring BE_{OH} to the OH binding energy of $Pt(111)^{14}$, we identified

the ORR activity for all the surface Pt sites (Methods). The average catalytic activities of 97 98 the 11 nanocatalysts agree well with the electrochemical measurements (Fig. 3a), showing the robustness of using DFT-trained ML to identify the ORR activity for the 99 experimental 3D atomic coordinates. Figure 3b, c, Supplementary Video 2, and 100 101 Supplementary Fig. 12 show the ORR activity maps of the surface Pt sites of the 11 PtNi 102 and Mo-PtNi nanocatalysts. We observed that the ORR activity of the surface Pt sites varies by several orders of magnitude. While the majority of the surface Pt sites have a 103 104 low catalytic activity, there are a very small fraction of highly active sites (yellow atoms 105 in Fig. 3b and c). Figure 3d-i shows six representative highly active sites on the PtNi and 106 Mo-PtNi nanocatalysts, each of which exhibits a distinct 3D local environment such as 107 different CN, neighbouring Ni atoms and surface morphology. This observation indicates 108 that quantitative characterization of the 3D local atomic environment is critical to the 109 understanding of the active sites of nanocatalysts.

110 To gain quantitative insights into the ORR active sites of the nanocatalysts, we 111 performed a comprehensive analysis of the structure-activity relationship at the individual 112 atom level. We fit a large number of experimentally measured structural and chemical 113 properties such as the surface concaveness, CN, Pt-Pt bond length, structure/chemical 114 order parameters, strain/ligand effects, and others to the ΔBE_{OH} of the 26,246 surface Pt 115 sites of the PtNi and Mo-PtNi nanocatalysts (Methods and Supplementary Tables 2). By minimizing the RMSE between the calculated and ML-determined ΔBE_{OH} , we derived 116 117 the local environment descriptor (LED) (Methods), which is dimensionless and defined 118 as,

119
$$LED = NN^{Pt} \cdot e^{-a_1 \cdot \varepsilon} + a_2 \cdot \overline{CN}^{Ni}, \quad (1)$$

Where NN^{Pt} is the number of the nearest-neighbour Pt atoms of a surface Pt site, $\varepsilon =$ 120 $\frac{\overline{d}_{Pt}-d_0}{d_{Pt}}$ is the local strain with \overline{d}_{Pt} the average Pt-Pt bond length around the surface Pt site 121 and d₀ the Pt-Pt bond length (2.75 Å) for Pt nanocrystals, $\overline{CN}^{Ni} = \sum_{i} \frac{CN_{i}^{Ni}}{CN_{max}}$ is the 122 generalized CN of the considered Pt with Ni atoms^{11,30}, CN_i^{Ni} is the CN of the *i*th Ni atom, 123 $CN_{max} = 12$ is the CN in bulk Pt, a_1 and a_2 are two fitting constants related to the strain 124 and ligand effects, respectively. Our quantitative analysis showed that NN^{Pt}, \overline{d}_{Pt} and 125 \overline{CN}^{Ni} are more relevant to the ORR activity of the nanocatalysts than other properties 126 such as surface concaveness, structural and chemical order/disorder (Methods). By fitting 127 the LED to the BE_{OH} of all the surface Pt sites relative to the OH binding energy of 128 129 Pt(111), we obtained the distribution of the ORR activity as a function of the LED, 130 showing a volcano plot with the peak at LED = 9.7 (dashed red line in Fig. 3j). The RMSE of the fitting is 0.104 eV with $a_1 = 1.985$ and $a_2 = 1.075$. The small RMSE value indicates 131 132 the robustness of the structure-activity fitting analysis. Figure 3k, 1, Supplementary Fig. 13, and Video 2 show the activity maps of the 11 PtNi and Mo-PtNi nanocatalysts based 133 134 on the LED, which agree well with ML-identified activity maps (Fig. 3b, c and 135 Supplementary Fig. 12). As specific examples, the six highly active sites shown in Fig. 3d-i has the LED between 9.7 and 9.79. 136

To better understand the LED, we considered a Pt(111) surface without strain. From Eq. (1), we calculated LED = 9, which is located on the left-side of the peak of the volcano plot (Fig. 3j). If a nearest-neighbour surface Pt atom is substituted by a Ni atom, the first term of Eq. (1) decreases by 1, but the second term increases by a number smaller than 1, making LED smaller than 9 and decreasing the activity. If the substitutional Ni atom is in the subsurface, the CN of the Ni is 12 and the second term increases by a 143 number larger than 1 as $a_2 > 1$, making LED larger than 9. Furthermore, compressive 144 strain, induced by the decrease of the average Pt-Pt bond length, also increases LED. 145 Consequently, with LED < 9.7, both subsurface Ni atoms and compressive strain increase 146 the ORR activity. When LED reaches the peak of the volcano plot (LED = 9.7 in Fig. 3j), 147 further increasing the subsurface Ni atoms and the compressive strain reduces the ORR 148 activity. Thus, the LED quantitatively balances the strain and ligand effects to optimize 149 the ORR activity of PtNi and Mo-PtNi nanocatalysts.

150 Our experimental results revealed that statistically Mo-PtNi has a shorter surface 151 Pt-Pt bond length and a broader distribution than PtNi (Fig. 2f), indicating that Mo-PtNi 152 presents a larger compressive strain than PtNi. Additionally, Mo-PtNi preserves more 153 subsurface Ni atoms than PtNi (Fig. 2d). According to Eq. (1), both factors increase the 154 LED of Mo-PtNi over PtNi. As the measured and the ML-identified ORR activity of Mo-PtNi are higher than those of PtNi (Fig. 3a), our observations indicate that the average 155 156 catalytic activity of the Mo-PtNi and PtNi nanocatalysts is situated on the left-side of the 157 peak of the volcano (Fig. 3j), which can explain previous experimental results that a larger concentration of Co or Ni in Pt-alloy nanocatalysts increases the ORR activity^{31,32}. 158 159 Although we focused on PtNi and Mo-PtNi nanoparticles in this study, our approach to 160 constructing the LED is applicable to other nanocatalysts.

In conclusion, we determined the 3D local atomic structure and chemical composition of PtNi and Mo-PtNi nanocatalysts each comprising a few thousands of atoms. We measured the facets, surface concaveness, structural and chemical order/disorder, CN, and bond lengths with high precision. From the experimentally measured 3D atomic coordinates, we used a DFT-trained ML method to identify the active sites of the 11 nanocatalysts, which were validated by electrochemical 167 measurements. By performing a comprehensive analysis of the structure-activity 168 relationship, we derived the LED to quantitatively characterize the ORR activity of the nanocatalysts based on the surface, subsurface atomic structure and chemical 169 170 composition. We found that the nearest-neighbour surface Pt atoms, the average Pt-Pt 171 bond length and the generalized CN for Ni neighbours are the most relevant parameters 172 for the ORR activity. The optimal reactivity is achieved with the right balance between 173 the ligand and strain effects, with subsurface Ni ligands behaving differently from surface 174 ones. We expect that this work paves the way to measure the 3D local atomic positions, 175 chemical species and surface composition of a wide range of nanocatalysts for various (electro)chemical reactions and to correlate the 3D atomic structure with the catalytic 176 activity at the single-atom level. 177

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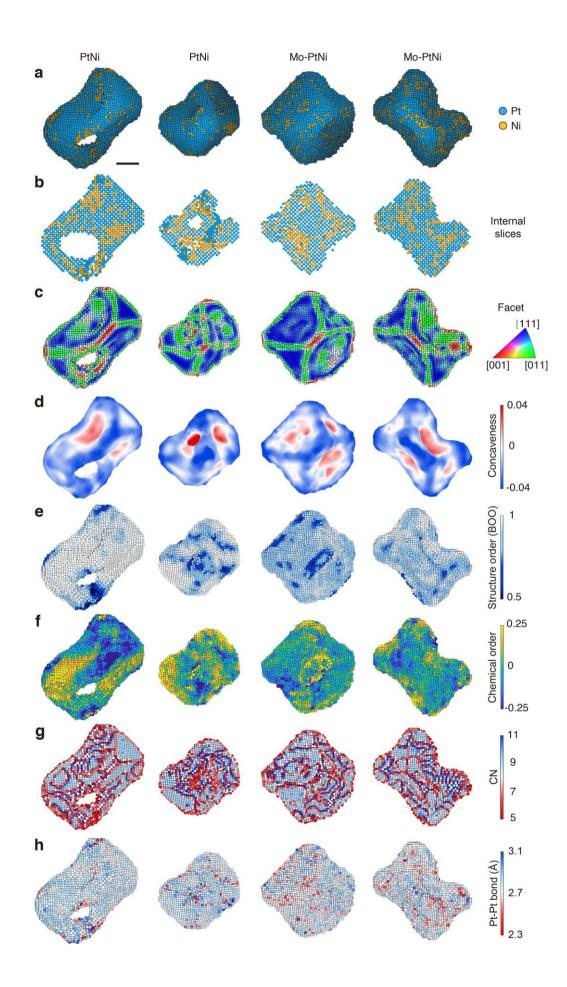
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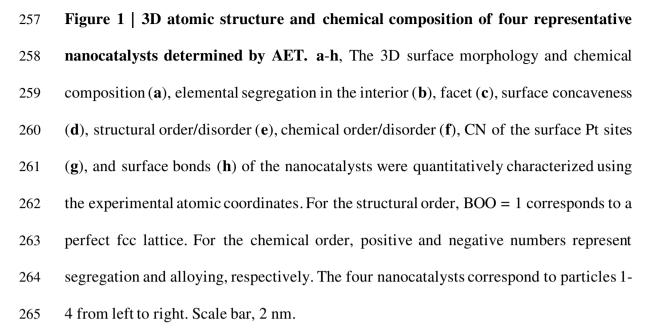
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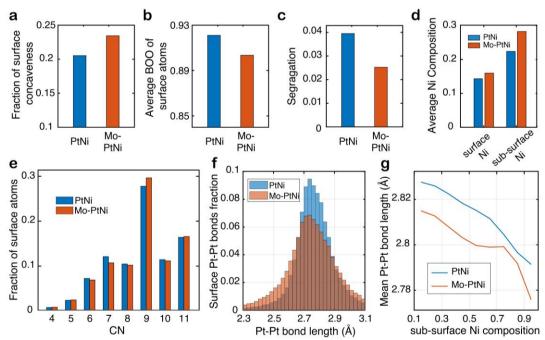
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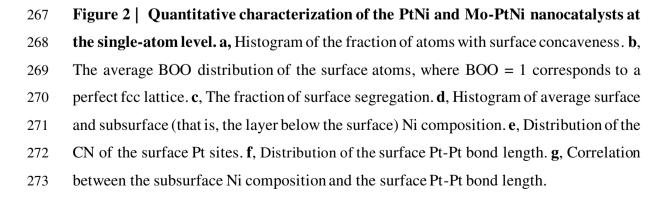
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Figures and figure legends 255









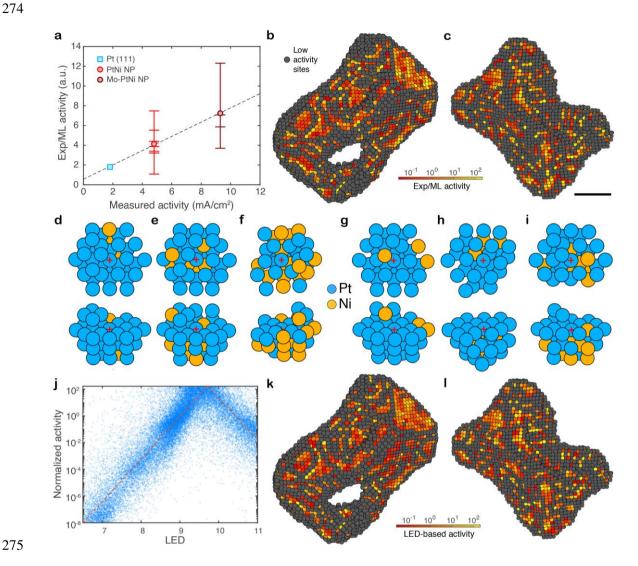


Figure 3 Identification of the active sites of the nanocatalysts. a, Comparison 276 277 between the electrochemically measured ORR activity and the ML-identified activity for the experimental 3D atomic coordinates of 11 PtNi and Mo-PtNi nanocatalysts, where 278 each bar represents a nanocatalyst and the circle the average activity. The activity of 279 280 Pt(111) was obtained from DFT as a reference point. **b**, **c**, The ML-identified activity 281 maps for the experimental 3D atomic coordinates of a PtNi (b) and Mo-PtNi (c) nanocatalyst, corresponding to particles 1 and 4, respectively, where low activity sites are 282 defined with the ORR activity smaller than 3% of that of Pt(111). Scale bar, 2 nm. d-i, 283 Six representative highly active sites (red crosses) on the PtNi (d-f) and Mo-PtNi (g-i) 284

nanocatalysts with the ORR activity more than 120 times higher than that of Pt(111). The LED is 9.73, 9.74, 9.7, 9.79, 9.77, 9.75 for (**d-i**), respectively. **j**, Volcano-type activity plot (red dashed line) obtained by fitting the LED with the ML-identified activity of all the surface Pt sites (blue dots), where the peak is at LED = 9.7. **k**, **l**, The LED-based activity maps of the PtNi and Mo-PtNi nanocatalysts (particles 1 and 4, respectively), which are in good agreement with the ML-identified activity maps (**b** and **c**).

291 METHODS

292 Chemicals and materials

293 Platinum(II) acetylacetonate [Pt(acac)₂], nickel(II) acetate tetrahydrate [Ni(ac)₂·4H₂O], benzyl 294 acid (BA) were purchased from Sigma Aldrich. Molybdenum hexacarbonyl (Mo(CO)₆), carbon 295 nanotube (CNT) was purchased from Alfa Aesar. N, N-Dimethylformamide (DMF), acetone, 296 isopropanol were purchased from Fisher Scientific. Ethanol was purchased from Decon Labs, Inc. 297 Vulcan XC-72 carbon black (particle size ~50 nm) was from Cabot Corporation. Water used was 298 Ultrapure Millipore (18.2 M Ω ·cm).

299 Sample preparation

300 Synthesis of Mo-PtNi/C. Vulcan XC-72 carbon black is pre-treated in Argon (80% in volume) and 301 Hydrogen (20% in volume) mixture at 400 C for 4 hours. 80 mg pre-treated Vulcan XC-72 carbon 302 black was dispersed in 60 mL N,N-dimethylformamide (DMF) under ultrasonication for 30 303 minutes in a 325 mL pressure bottle. Then 64 mg platinum(II) acetylacetonate [Pt(acac)₂], 32 mg 304 nickel(II) acetate tetrahydrate [Ni(ac)₂·4H₂O], and 520 mg benzoic acid were dissolved in 10 mL 305 DMF and were also added into the 325 mL pressure bottle with carbon black dispersion. After 306 ultrasonication for 5 mins, the pressure bottle with well mixed solution was directly put into 140 307 °C oil bath and then slowly heated to 160 °C within 2 hrs. The pressure bottle was kept at 160 °C 308 for 12 hrs. After 12 hours, 16 mg Pt(acac)₂, 8 mg Ni(ac)₂·4H₂O, 3.2 mg molybdenum(0) 309 hexacarbonyl [Mo(CO)₆] were added into the pressure bottle. Then the pressure bottle was kept 310 in 160 °C oil bath for 48 hrs. After reaction finished, the catalysts were collected by centrifugation, 311 then dispersed and washed with isopropanol and acetone mixture. Then the catalysts were dried 312 in vacuum at room temperature and ready for characterization and electrochemistry test.

- 313 Synthesis of PtNi/C. The preparation of procedure is same as Mo-PtNi/C noted above except
- 314 without adding Mo(CO)₆.

Synthesis of Mo-PtNi/CNT. The preparation procedure is same as Mo-PtNi/C noted above except
 replacing treated Vulcan XC-72 with CNT.

317 *Synthesis of PtNi/CNT*. The preparation procedure is same as Mo-PtNi/CNT except without 318 adding Mo(CO)₆.

319 Electrochemical measurements and analysis

A typical catalyst ink was prepared by mixing 2.8 mg of catalyst powder (Mo-PtNi/C, PtNi/C) with 2 mL of ethanol solution containing 16 μ L of Nafion (5 wt%) with 5 min ultrasonication time. Then, 10 μ L of catalyst ink was dropped onto a 5 mm diameter glassy-carbon electrode (Pine Research Instrumentation). Estimation of Pt loading is based on overall Pt ratio within catalyst determined by ICP-AES. The ink was dried under an infrared lamp; then the electrode was ready for electrochemical test.

326 A three-electrode cell was used to carry out the electrochemical measurements. The 327 working electrode was a catalyst coated glassy carbon electrode. A Ag/AgCl electrode was used 328 as the reference electrode. A Pt wire with a diameter of 0.05 cm and a length of 23 cm was used 329 as the counter electrode. The geometric exposed surface area of the counter electrode (Ace) is 330 around 3.6 cm², which is more than 18 times that of working electrode (Awe: 0.196 cm²). The high 331 Ace/Awe ratio was chosen to eliminate the Pt dissolution at counter electrode. The activation 332 procedure was associated with cyclic voltammetry (CV) process, which was conducted in a N_2 333 saturated 0.1 M HClO₄ solution between 0.05 to 1.1 V vs. reversible hydrogen electrode (RHE) 334 at a sweep rate of 100 mV/s for 30 cycles. Oxygen reduction reaction (ORR) measurements were 335 conducted in an O₂ saturated 0.1 M HClO₄ solution at a sweep rate of 20 mV/s. iR compensation 336 and background subtraction were applied for ORR test curves following the protocol noted in 337 literature³³. For the ORR measurement without activation, the prepared working electrode was 338 directly subjected to ORR test in the oxygen saturated electrolyte without being activated at 339 nitrogen saturated electrolyte via CV scan.

The specific activity (SA) is defined as the estimated kinetic current (at 0.9 V vs. RHE) divide by the measured active surface area. The mass activity (MA) is the estimated kinetic current (at 0.9 V vs. RHE) divided by the total Pt mass loaded on the working electrode. The electrochemically active surface area (ECSA) refers to the measured active surface area normalized by the total Pt mass loaded on the working electrode.

345 **Data acquisition**

The PtNi and Mo-PtNi nanoparticles were deposited on to 5-nm-thick silicon nitride membranes
 annealed at 520 °C for 9 minutes in vacuum. A set of tomographic tilt series were acquired from

348 several nanoparticles using the TEAM I microscope. Images were collected at 300 kV in ADF-

349 STEM mode (Supplementary Table 1). To minimize sample drift, three to five images per angle

- 350 were measured with 3 µs dwell time. To ensure that no structural changes were observed during
- 351 the data acquisition, for each nanoparticle, we took the same projection images at zero degree
- 352 before, during, and after the acquisition of each tilt series. 9 PtNi and 8 Mo-PtNi nanoparticles
- 353 were measured in this work. The total electron dose of each tilt series for all the nanoparticles
- 354 were estimated to be between 7.4×10^5 e⁻/Å² and 8.5×10^5 e⁻/Å² (Supplementary Table 1).

355 Image pre-processing

- 356 The image pre-processing consists of the following three steps.
- i) *Image registration and drift correction*. We acquired three to five ADF-STEM images at every
 angle of each tilt series. The images at each angle were registered by cross-correlation. Using first
 image as a reference, we scanned a cropped region of the subsequent images with a sub-pixel step
 size and found the drift for every image. After drift correction, we averaged all the images at each
- 361 angle.
- ii) *Image denoising*. The experimental ADF-STEM images have mixed Poisson and Gaussian
 noise. A generalized denoising algorithm, termed block-matching and 3D filtering (BM3D), was
 used to denoise each averaged image³⁴. The robustness of BM3D on the AET data have been
 proven in our previous studies^{28,35,36}.
- iii) *Image alignment and background subtraction*. The denoised images of each tilt series were
 aligned by the centre of mass and common line method as described elsewhere³⁷. After alignment,
 a 2D mask was calculated by the Otsu threshold in MATLAB for each image to match the shape
 of the nanoparticle. The background was estimated by the discrete Laplacian function in
 MATLAB. After background subtraction, all the images of each tilt series were re-aligned by the
- 371 centre of mass and common line to further reduce the error.

372 **3D image reconstruction and refinement**

373 After image pre-processing, each tilt series was reconstructed by an iterative algorithm, termed 374 REal Space Iterative REconstruction (RESIRE)³⁸. From the experimental images, RESIRE minimized the L₂-norm error metric using gradient descent. The jth iteration of the algorithm 375 376 consists of the following steps. RESIRE computed a set of images from the 3D object of the (j-377 1)th iteration. The difference between the computed and corresponding experimental images was 378 calculated, from which an error metric was defined to monitor the convergence of the algorithm. 379 The difference was back projected to real space, yielding the gradient of the 3D reconstruction. The 3D reconstruction of the jth iteration was updated by combining the gradient with the 380 381 reconstruction of the $(j-1)^{th}$ iteration, where positivity was enforced as constrains. As a general 382 algorithm, RESIRE is not sensitive to the initial input. After 200 iterations, a preliminary 3D

reconstruction was computed. Based on the preliminary 3D reconstruction, angular refinement
 and spatial alignment were performed and background subtraction was re-evaluated. After these
 refinement procedures, a final 3D reconstruction was obtained by running 200 iterations of
 RESIRE.

387 Determination of 3D atomic coordinates and chemical species

388 To increase the precision of atom tracing, we up-sampled each 3D reconstruction by a factor of 389 three using spline interpolation, from which all the local maxima were identified. Starting from 390 the highest intensity, we fit each local maximum of a $9 \times 9 \times 9$ voxel volume $(1.4 \times 1.4 \times 1.4 \text{ Å}^3)$ by a 391 3D polynomial method to locate its centre position³⁵. Each fitted local maximum was considered 392 as a potential atom only when its distance from the existing potential atoms is larger than 2 Å. 393 After going through all the local maxima, we obtained a list of potential atoms. For every potential 394 atom, the integrated intensity of the 9×9×9 voxel volume around the centre position was 395 calculated. A K-mean clustering method was used to classify the non-atoms, Pt and Ni atoms³⁵. 396 Due to a very small fraction ($\sim 0.4\%$) of Mo atoms in the Mo-PtNi nanoparticles, AET is currently not sensitive enough to distinguish them from Pt or Ni atoms. After excluding the non-atoms and 397 398 manually checking all the atoms, we obtained an initial 3D atomic model for each 3D 399 reconstruction.

400 Due to the missing wedge problem²³, we used local re-classification to reduce the effect 401 of the intensity variation in different regions of each 3D reconstruction³⁵. At each atomic position, 402 we cropped a 7-Å radius sphere and calculated the mean integrated intensity for the Pt or Ni atom 403 inside the sphere. We then re-classified each atom in the sphere based on the difference between 404 its integrated intensity and the mean value of the Pt or Ni atom. The procedure was repeated until 405 there was no further change.

406 The electron energy loss spectroscopy maps of the nanoparticles show that there are 407 individual Ni atoms distributed around each nanoparticle (Supplementary Fig. 2). To evaluate the 408 effect of the surrounding Ni atoms on the 3D reconstruction, we simulated a PtNi atomic model 409 in an environment with individual Ni atoms, which used the experimentally determined 3D atomic 410 distribution for the Pt and Ni atom. After calculating projection images at different tilt angles 411 from the model, we performed image pre-processing, conducted the 3D reconstruction, traced the 412 atoms, classified the atomic species and obtained a new 3D atomic model (Supplementary Fig. 413 8). We observed that there is a layer of ghost atoms surrounding the 3D atomic model, which is 414 due to the surrounding Ni atoms around each nanoparticle (Supplementary Fig. 8d and e). Based 415 on this result, a layer of ghost atoms was removed from the experimental 3D atomic model of 416 each nanoparticle.

417 X-ray absorption spectroscopy (XAS) data collection and analysis

418 XAS experiments were conducted on the dry powders of the nanocatalysts studied in this work at 419 the beamline ISS 8-ID in National Synchrotron Light Source II (NSLS) (Brookhaven National 420 Laboratory, NY. Full range Pt L₃-edge and Ni K-edge spectra were collected on the same 421 electrode in transmission mode at the Pt L_3 -edge, and/or Ni K-edge, with a Pt or Ni reference foil 422 positioned between I2 and I3 as a reference. Typical experimental procedures were utilized with details provided in our previous work³⁹. The data were processed and fitted using the Ifeffit-based 423 424 Athena⁴⁰ and Artemis⁴¹ programs. Scans were calibrated, aligned and normalized with 425 background removed using the IFEFFIT suite⁴². The $\gamma(R)$ were modelled using single scattering 426 paths calculated by FEFF643.

427 The Pt-Pt bond lengths of nanocatalysts were obtained by the extended x-ray absorption 428 fine structure (EXAFS) fitting. The average first-shell Pt-Pt bond lengths were determined by 429 fitting the EXAFS spectra of dry powders at the Pt L_3 and Ni K-edge simultaneously. S_0^2 was 430 fixed at 0.84 and 0.68 for Pt and Ni, respectively as obtained by fitting the reference foils. Fits 431 were done in *R*-space, $k^{1,2,3}$ weighting. $1.2 \le R \le 3.1$ Å and $\Delta k = 3.08 - 13.39$ Å⁻¹ were used for 432 fitting the Pt L₃-edge data, and $1.3 \le R \le 3.1$ Å and $\Delta k = 2.56 - 11.40$ Å⁻¹ were used for fitting the Ni K-edge data. The fitting results of the E_0 at the Pt L₃ and Ni K edges are 8 ± 2 eV and -6 ± 1 433 434 eV, respectively. The EXAFS fit Pt-Pt bond lengths were used to calibrate the voxel size of the 435 AET experiment.

436 Calculation of the coordination number, facet orientation and surface concaveness

437 We used custom MATLAB scripts to measure the CN, facet orientation and surface concaveness 438 for all the atomic sites. We defined the nearest-neighbour distance by fitting the valley of the first 439 and second peak of the pair distribution function for each nanocatalyst. The CN was obtained by 440 counting the number of the nearest-neighbour sites within the cutoff distance. Each atom was 441 classified as a surface site if CN < 12 and as an internal site if CN = 12. To find the facet orientation, we derived a density matrix for each nanocatalyst by convolving the atomic structure 442 443 with a 3D Gaussian function ($\sigma = 4$ Å). For each surface site, a normal vector was calculated by 444 computing the gradient of the density matrix at that site. By comparing the normal vectors to the 445 crystallographic directions, we determined the facet orientation of the nanocatalyst. To quantify 446 the surface concaveness, we estimated the surface curvature for all surface sites by using a 447 procedure published elsewhere44.

448 The normalized local bond orientational order (BOO) parameter

449 From the 3D atomic model of each nanoparticle, we calculated the local BOO parameters (Q4 and

450 Q_6), which are described elsewhere^{28,45}. The Q_4 and Q_6 order parameters were computed up to the

451 second shell with a shell radius of 3.5 Å. We then defined the normalized local BOO parameter 452 as $\sqrt{Q_4^2 + Q_6^2} / \sqrt{Q_{4\,fcc}^2 + Q_{6\,fcc}^2}$, where $Q_{4\,fcc}$ and $Q_{6\,fcc}$ are the Q_4 and Q_6 values of a perfect 453 fcc lattice. The normalized BOO parameter is between 0 and 1, where 0 means $Q_4 = Q_6 = 0$, and 454 1 represents a perfect fcc crystal structure.

455 The chemical order parameter

The chemical order of each nanoparticle was calculated by the pair-wise multicomponent short range order parameter⁴⁶,

458
$$\alpha_{ij} = \frac{p_{ij} - c_j}{\delta_{ij} - c_j}$$
(2)

459 where p_{ij} is the probability of finding a *j*-type atom around an *i*-type atom in the first nearest 460 neighbour shell. C_j is the concentration of *j*-type atoms in the nanoparticle and δ_{ij} is the 461 Kronecker delta function. Since there are primarily Pt and Ni atoms in the nanoparticles, all the 462 α_{ij} parameters are correlated. In this study, we chose α_{12} to represent the chemical order 463 parameter. A positive and a negative α_{12} represent segregation and alloying, respectively.

464 **DFT calculations**

482

465 The DFT calculations were conducted by the VASP package⁴⁷⁻⁵⁰. The core electrons were 466 described by the projector-augmented-wave method⁵¹ and the valence states by plane waves up 467 to 400eV. The exchange-correlation interaction of electrons was defined by the Perdew-Bruke-Ernzerhof functional⁵². Spin-polarized calculations were used throughout this manuscript for the 468 469 PtNi nanocatalysts. The Brillouin zone was sampled by a uniform density of 0.19 Å⁻¹ in each 470 reciprocal direction. For isolated clusters, only Γ point was considered. A database of OH 471 adsorption energies on the surface Pt sites of the PtNi model catalysts were computed by DFT 472 (Supplementary Fig. 10). The database includes diverse 3D atomic models consisting of 473 nanoclusters and slabs. The nanoclusters of different sizes were built from truncated octahedra, 474 and the slab models were created from closed-packing surfaces, including fcc(110) surfaces, 475 fcc(100) surfaces and concave shapes similar to these published elsewhere¹¹. Ni atoms were 476 introduced by randomly replacing the Pt atoms. The Ni concentration ranges from 0 to 69.6% in 477 the 3D atomic models and from 0 to 83.9% in the local environment (defined as the atoms within 478 the 6.5 Å radius from an adsorption site). Different lattice constants were used to represent tensile 479 and compressive strain. In total, the OH was adsorbed on 207 Pt sites with different Pt CNs, Ni 480 concentration and local environments. The OH binding energy on the Pt sites (BE_{OH}) was 481 computed by,

$$BE_{OH} = E_{OH@Model} - E_{OH} - E_{Model}$$
(3)

483 where $E_{OH@Model}$ is the total electronic energy of the optimized OH adsorbed structure, E_{OH} is 484 the electronic energy of the OH radical in the gas phase, and E_{Model} is the energy of optimized 485 model without OH adsorption.

486 Evaluation of the OH binding energy by DFT-trained ML

487 To evaluate BE_{OH} for all the surface Pt sites, we used a ML method - the Gaussian process 488 regression (GPR)⁵³. The ML-GPR method was trained by the DFT-calculated BE_{OH}, and the local 489 atomic environment of the Pt sites was characterized by the smooth overlap of atomic positions 490 (SOAP) approach^{54,55}. The cutoff radius of 6.5 Å was selected in SOAP, which was later validated 491 by the accurate prediction of the ML-GPR method (Supplementary Fig. 11). The GPR was 492 implemented by the Python-scikit package⁵⁶. The kernel function is defined as normalized 493 polynomial kernel of the partial power spectrum,

7

494
$$K(\mathbf{d}_1, \mathbf{d}_2) = \left(\frac{\mathbf{d}_1^{\mathrm{T}} \mathbf{d}_2}{\sqrt{\mathbf{d}_1^{\mathrm{T}} \mathbf{d}_1 \mathbf{d}_2^{\mathrm{T}} \mathbf{d}_2}}\right)^{\varsigma}$$
(4)

Where $K(\mathbf{d}_1, \mathbf{d}_2)$ is the kernel function between SOAP descriptors \mathbf{d}_1 and \mathbf{d}_2 , and ζ is a hyperparameter. In this study, we chose $\zeta = 4$ by balancing the accuracy and transferability. We trained the GPR by randomly choosing 134 atomic models and then used the ML method to predict the BE_{OH} of the remaining 73 atomic models. The RMSE is 0.05 and 0.07 eV per site for the training and test set, respectively (Supplementary Fig. 11). The small REMS values indicate the robustness of the ML method. After validating DFT-trained ML, we applied it to evaluate the BE_{OH} of the surface Pt sites of the 7 PtNi and 4 Mo-Pt/Ni nanocatalysts.

502 Estimation of the ORR activity based on the OH binding energy

503 From the ML-identified BE_{OH}, we estimated the ORR activity of each surface Pt site by 504 calculating ΔBE_{OH} ,

$$\Delta BE_{OH} = BE_{OH} - BE_{OH,Pt(111)}$$
(5)

where $BE_{OH,Pt(111)}$ is the OH binding energy of Pt(111). As the ORR activity and ΔBE_{OH} are related to each other by the volcano-type plot^{29,57,58}, we evaluated the current density of the ORR oxygen using formulas published elsewhere⁵⁷. On the left side of the volcano plot, we computed the current density of the ORR for the *i*th surface Pt site (*ji*) by,

510
$$kT \ln\left(\frac{j_i}{j_{Pt(111)}}\right) = \Delta BE_{OH,i}$$
(6)

511 where k is the Boltzmann constant, T the temperature, $j_{Pt(111)}$ the current density of the ORR for

512 Pt(111), and $\Delta BE_{OH,i}$ the OH binding energy difference (Eq. (5)) for the *i*th surface Pt site. We

513 computed the current density of the ORR on the right side of the volcano plot by,

514
$$kT \ln\left(\frac{j_k}{j_{Pt\,(111)}}\right) = 0.26 - 0.97 \cdot \Delta BE_{OH,k}$$
 (7)

515 where *k* represents the k^{th} surface Pt site. Based on Eqs. (6) and (7), the current density of any 516 surface Pt site is obtained by,

517 $j = \min(j_i, j_k)$. (8)

Figure 3b, c, Supplementary Fig. 12 and Video 2 shows the ML-identified activity of all the surface Pt sites (blue dots) for the 7 PtNi and 4 Mo-Pt/Ni nanocatalysts. We observed that the ORR activity of the various surface Pt atoms differs by several orders of magnitude. The average activity of these nanocatalysts is in good agreement with the electrochemically measured activity (Fig. 3k, l, Supplementary Fig. 13 and Video 2).

523 Derivation of the LED equation

We derived the LED by fitting a large number of experimentally measured structural and chemical properties to the ΔBE_{OH} of the surface Pt sites of the 7 PtNi and 4 Mo-Pt/Ni nanocatalysts. The fitting variables include the CN, the surface CN, the sub-surface CN, the average Pt-Pt bond length around each Pt site (\overline{d}_{Pt}), the structure/chemical order parameter, the nearest-neighbor Pt and Ni atoms of each surface Pt atom (NN^{Pt} and NN^{Ni}), the generalized CN (\overline{CN}), the elementbased \overline{CN} (\overline{CN}^{Pt} and \overline{CN}^{Ni}), etc. We examined the 2, 3 and 4 variable LED equations to minimize the RMSE by,

531
$$RMSE = \sqrt{\frac{\sum_{i=1}^{N} \left(\Delta BE_{OH,i}^{ML} - \Delta BE_{OH,i}^{Cal}\right)^{2}}{N}} \qquad (9)$$

where $\Delta BE_{OH,i}^{ML}$ is the ΔBE_{OH} of the *i*th surface Pt site obtained by ML, N is the total number of 532 the surface Pt sites, and $\Delta BE_{OH,i}^{Cal} = E_1 * LED - E_0$ with E_1 and E_0 are two fitting constants. For 533 the 2 variable LED equation, we examined $LED = a_1x_1 + a_2x_2$, where a_1 and a_2 are two fitting 534 constants, x_1 and x_2 are two fitting variables. We obtained the smallest RMSE value of 0.117 eV 535 by choosing $x_1 = CN$ and $x_2 = e^{-\overline{d}_{Pt}}$. For the 3-variable LED equation, we found that LED = 536 $a_1x_1 * x_2 + a_2x_3$ produces the smallest RMSE value of 0.104 eV with $x_1 = NN^{Pt}$, $x_2 = e^{-\overline{d}_{Pt}}$ 537 and $x_3 = \overline{CN}^{Ni}$ (Supplementary Table 2). For the 4-variable LED equation, we examined LED = 538 539 $a_1x_1 * x_2 + a_2x_3 * x_4$ and LED = $a_1x_1 * x_2 + a_2x_3 + a_3x_4$. After testing all the fitting variables, we derived an optimized equation, LED = $a_1x_1 * x_2 + a_2x_3$, with the RMSE of 0.104 540 eV. We revised the equation to be LED = NN^{Pt} · $e^{-a_1 \cdot \epsilon} + a_2 \cdot \overline{CN}^{Ni}$, where $\epsilon = \frac{\overline{d}_{Pt} - d_0}{d_0}$ and $d_0 = \frac{\overline{d}_{Pt} - d_0}{d_0}$ 541 542 2.75 Å is the Pt-Pt bond length of the Pt nanoparticles. The revision only changed the fitting 543 constants, but not the RMSE. With this LED equation, we have $a_1 = 1.985$, $a_2 = 1.075$, $E_1 =$ 544 0.189, $E_0 = 0.1703$, and RMSE = 0.104 eV.

545 **Data availability**

All the raw and processed experimental data will be posted on <u>https://github.com</u>, which can be freely downloaded, immediately after the paper is published. The 3D atomic coordinates of PtNi and Mo-PtNi nanoparticles will be deposited in the Materials Data Bank (www.materialsdatabank.org) immediately after the paper is published.

550 Code availability

All the MATLAB source codes for the 3D image reconstruction, atom tracing, refinement and data analysis of this work will be posted on <u>https://github.com</u>, immediately after the paper is published.

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613 Acknowledgments This work was primarily supported by the US Department of Energy (DOE), 614 Office of Science, Basic Energy Sciences (BES), Division of Materials Sciences and Engineering 615 under award DE-SC0010378 (including AET experiments, 3D image reconstruction, atom tracing, classification and data analysis). It was also partially supported by STROBE: A National 616 617 Science Foundation Science & Technology Center under grant number DMR 1548924 and the 618 NSF DMREF under award number DMR-1437263. G.S. and P.S. acknowledge the support by 619 DOE-BES grant DE-SC0019152. AET experiments were performed with TEAM I at the 620 Molecular Foundry, which is supported by the Office of Science, Office of Basic Energy Sciences 621 of the US DOE under contract number DE-AC02-05CH11231. The XAS experiment was 622 conducted on beamline 8-ID (ISS) of the National Synchrotron Light Source II, which is 623 supported by the Office of Science, Office of Basic Energy Sciences of the US DOE under 624 contract number DE-SC0012704.

- Author contributions J.M. directed the project; Z.Z., Y.L. and Y.H. synthesized the samples and
- 627 experiments; Q.J. and Q.S. did the XAS experiment; Yao Yang, Yongsoo Yang, Y. Yuan and

performed the ORR test; J.Z., P.E., J.C. and J.M. discussed and/or conducted the AET

- 528 J.M. performed 3D image reconstruction, atom tracing and classification; G.S., Z.Y., and P.S.
- 629 carried out the DFT calculations and implemented the ML method with input from Yao Yang,
- 630 C.O. and J.M.; Yao Yang, J.Z., Z.Z., G.S., C.O., S.M., C.Z., H.H., P.S., Y.H. and J.M. analyzed
- 631 and/or interpreted the results J.M., Yao Yang, S.M. Z.Z. and G.S. wrote the manuscript. All
- 632 authors commented on the manuscript.

626

633 **Competing interests** The authors declare no competing interests.

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