

# Fe<sub>3</sub>O<sub>4</sub>@polydopamine Nanoparticle-Labeled Umbilical Cord Mesenchymal Stem Cells Arrest Intervertebral Disc Degeneration by Enhancing Cell Migration

**Meng Zhang**

China-Japan Union Hospital of Jilin University

**Butain Zhang**

China-Japan Union Hospital of Jilin University

**Ran Li**

China-Japan Union Hospital of Jilin University

**Te Liu**

China-Japan Union Hospital of Jilin University

**Jun Zhang**

China-Japan Union Hospital of Jilin University

**Ying Wang**

China-Japan Union Hospital of Jilin University

**Fuqiang Zhang**

China-Japan Union Hospital of Jilin University

**Xupeng Mu** (✉ [muxupeng@jlu.edu.cn](mailto:muxupeng@jlu.edu.cn))

China-Japan Union Hospital of Jilin University

**Jinlan Jiang**

China-Japan Union Hospital of Jilin University

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## Research

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# Abstract

Cell therapies for intervertebral disc degeneration (IDD) are intended to replace lost intervertebral disc (IVD) cells. The key to this treatment is to promote the migration of transplanted cells to the lesion site. The purpose of this study was to evaluate the repair effect of umbilical cord mesenchymal stem cells (UCMSCs) labeled with  $\text{Fe}_3\text{O}_4$ @polydopamine nanoparticles ( $\text{Fe}_3\text{O}_4$ @PDA NPs) on rat caudal vertebra disc degeneration. We characterized UCMSCs labeled with  $\text{Fe}_3\text{O}_4$ @PDA NPs, analyzed the effects of nanoparticles on UCMSCs and evaluated UCMSCs labeled with  $\text{Fe}_3\text{O}_4$ @PDA NPs to repair IDD in vivo. We found that UCMSC  $\text{Fe}_3\text{O}_4$ @PDA NPs could enhanced the migration of UCMSCs by up-regulating the expression of CXCR4 chemokine receptor type 4 (CXCR4) without effecting UCMSC functionality and the  $\text{Fe}_3\text{O}_4$ @PDA NPs-labeled UCMSC group had better disc height, better tissue morphology performance and a higher number of transplanted cells and induced notably better regeneration of IVD, evidenced by the higher expression of aggrecan, type II collagen, and Sox-9 and lower expression of Mmp-13, Tnf- $\alpha$  and Il-1 $\beta$  at both mRNA and protein levels than the unlabeled group. We demonstrated systemic delivery of UCMSCs labeled with  $\text{Fe}_3\text{O}_4$ @PDA NPs could be an appropriate protocol for accelerating and optimizing clinically applicable UCMSC treatment for IDD.

## 1. Background

Intervertebral disc degeneration (IDD) is characterized by a decrease in the number of nucleus pulposus cells and abnormalities in the fibrous ring structure, followed by the disruption of the balance between matrix anabolism and catabolism.<sup>1,2</sup> The reduction of water content, glycoprotein, and type II collagen in the intervertebral disc (IVD) leads to changes in the structure and morphology of the IVD. These changes eventually affect the function of the IVD and cause lower back pain, which brings huge treatment costs, causing a serious economic burden.<sup>3</sup> Pharmacological treatment and surgery can relieve symptomatic pain of IDD but fail to reverse disc degeneration.<sup>4</sup> Therefore, various kinds of biological therapeutic methods have been studied in the hope of regenerating the dysfunctional discs.<sup>5</sup> Among these methods, stem cell therapy is of high interest.<sup>6</sup>

Due to the extensive tissue distribution of mesenchymal stem cells (MSCs), along with their pluripotency and non-immunogenicity, they play an important role in damaged tissue repair, which makes MSCs attractive for IVD regeneration.<sup>7</sup> In vitro, co-culture of MSCs and IVD cells can differentiate into nucleus pulposus-like cells and synthesize glycosaminoglycans and type II collagen.<sup>8</sup> In vivo, MSCs are capable of differentiating into nucleus pulposus-like cells, increasing the extracellular matrix (ECM), as well as disc hydration, disc height, and glycosaminoglycan production.<sup>9</sup> Compared with other types of cells for transplantation, umbilical cord mesenchymal stem cells (UCMSCs) have several advantages.<sup>10</sup> UCMSCs are derived from umbilical cord discarded after birth. Separation and cultivation are simple and effective, which do not require an invasive procedure.<sup>11</sup> In addition, UCMSCs can expand in vitro and still retain pluripotency after many generations of expansion.<sup>12</sup> However, in most previous studies, MSCs were

transplanted to the degenerative disc site through invasive intradiscal injection. During intradiscal injection, it is necessary to enter the nucleus pulposus cavity through annulus fibrosus, but this process destroys the physiological structure of the disc that causes disc degeneration.<sup>13</sup> This local transplantation effect relies on MSCs to differentiate into IVD-like cells to repair the damaged tissue.<sup>14</sup> However, the microenvironment of the IVD tissue includes low oxygen, low nutrition, acidic pH and high mechanical load, which poses a huge threat to the survival of stem cells.<sup>15</sup> Also, cell leakage remains a side effect to be considered when using intradiscal injection.<sup>16</sup>

More recently, some studies have addressed the role of MSCs in the injury site homing following intravenous injection.<sup>17</sup> Utilizing the homing ability of systemically delivered MSCs can bypass the increased complications associated with intradiscal injection into the IVD. In bovine whole organ culture, MSC recruitment occurs in response to IVD degrading conditions.<sup>18</sup> A study comparing intravenous stem cells to intradiscal disc stem cells showed that regardless of the delivery method used, MSC implantation is not observed at the injured site. Both delivery methods increase the expression of glycosaminoglycan (GAG) and *Acan*, suggesting that there may be paracrine effects.<sup>19</sup> Another study proved that systemic perfusion of MSCs in the mouse disc degeneration group increases the number of stem cell transplantation in endplates, fibrous rings, and even the NP compared with the normal control group.<sup>20</sup> Cunha et al. found that systemic transplantation of MSCs has a beneficial effect on IVD regeneration in situ, and stated that tissue regeneration is achieved through complex interactions between stromal cells and immune system cells.<sup>21</sup> Although the exact mechanism of MSCs homing to damaged tissues has not been resolved, there is increasing evidence that chemokines and their receptors are important factors in controlling cell migration.<sup>22</sup> In particular, interactions between stromal cell-derived factor-1 $\alpha$  (SDF-1 $\alpha$ , also known as CXC motif chemokine 12, CXCL12) and its receptor CXC chemokine receptor type 4 (CXCR4) are essential in this process.<sup>23</sup> Additionally, hepatocyte growth factor, via interactions with its receptor c-met, and monocyte chemoattractant protein-1 (MCP-1), via interactions with chemokine receptor 2 (CCR2), are involved in stem cell migration<sup>24</sup>. However, the expression levels of these receptors are low in MSCs in vitro expansion.<sup>25</sup> Therefore, systemic delivery of MSCs can repair damaged intervertebral tissue, but significant challenges remain in effectively implanting them into the target site to enhance successful implantation.<sup>26</sup> Promoting and improving cell homing remains a major challenge in regenerative medicine.

Nanotechnology and nanoparticles (NPs) are widely used in regenerative medicine and have good potential in the diagnosis and treatment of different diseases.<sup>27</sup> One nanoparticle is iron oxide ( $\text{Fe}_3\text{O}_4$ ), which has been widely used as a contrast agent and drug carrier in preclinical and clinical environments.<sup>28</sup> Due to its good biocompatibility and response to magnetic fields, many studies have recently used it as a tracer for stem cells.<sup>29</sup> Some studies have shown that NPs do not alter the viability, proliferation, phenotype, function, and migration characteristics of MSCs in vitro.<sup>30</sup> However, some other studies suggest that  $\text{Fe}_3\text{O}_4$  nanoparticle labeling does not affect stem cell viability, differentiation, and proliferation, but improves stem cell migration to tumor, inflammation, and degenerative disease sites.<sup>31</sup>

Although the molecular mechanism by which  $\text{Fe}_3\text{O}_4$  nanoparticle-labeled MSCs have enhanced migration capacity has not been elucidated, it has been suggested that  $\text{Fe}_3\text{O}_4$  nanoparticles enhance stem cell migration by up-regulating the expression of CXCR4 on MSCs.<sup>32</sup> Increasing evidence shows that cell responses to  $\text{Fe}_3\text{O}_4$  nanoparticles have indeed been observed, although the inherent characteristics of  $\text{Fe}_3\text{O}_4$  nanoparticle-labeled MSCs have not been fully explored.<sup>33</sup>

Therefore, the strategy of using  $\text{Fe}_3\text{O}_4$  nanoparticles to enhance stem cell migration capacity can improve the effectiveness of stem cell therapy.<sup>34</sup> In this study, we synthesized polydopamine-capped  $\text{Fe}_3\text{O}_4$  ( $\text{Fe}_3\text{O}_4@PDA$ ) and explored the role of UCMSCs labeled with NPs in enhancing stem cell regeneration in disc degeneration (Fig. 1A). Moreover, we tested our hypothesis that  $\text{Fe}_3\text{O}_4@PDA$  NPs stem cell labeling strategies to increase their migration capacity optimize IDD therapy.

## 2. Materials And Methods

### 2.1 Reagents

Iron acetylacetonate ( $\text{Fe}(\text{acac})_3$ , 99.9%), 1,2-hexadecanediol (90%), benzyl ether (99%), oleyamine (OLA, 70%), oleic acid (OA, 90%), sodium dodecyl sulfate (SDS, 99%), dopamine hydrochloride (DA, 99.0%), tris (hydroxymethyl) aminomethane (Tris) (99.0%), 3H-Indolium,5-[[[4-(chloromethyl)benzoyl]amino]methyl]-2-[3-(1,3-dihydro-3,3-dimethyl-1-octadecyl-2H-indol-2-ylidene)-1-propenyl]-3,3-dimethyl-1-octadecyl-,chloride (CM-Dil) and Cell Counting Kit-8 (CCK-8) were purchased from Sigma-Aldrich (Louis, MO, USA)..

Dulbecco's Modified Eagle's Medium with high glucose and fetal bovine serum (FBS) were purchased from Thermo Fisher Scientific (Waltham, MA, USA). Other reagents (analytical grade) were purchased from Beijing Chemical Reagents Company (Beijing, China) unless otherwise stated.

### 2.2 $\text{Fe}_3\text{O}_4$ nanoparticle synthesis

$\text{Fe}_3\text{O}_4@PDA$  nanoparticles ( $\text{Fe}_3\text{O}_4@PDA$  NPs) were synthesized as previously described.<sup>35</sup> Briefly, 2 mmol of  $\text{Fe}(\text{acac})_3$ , 5 mmol of 1,2-hexadecanediol, 6 mmol of OA, and 6 mmol of OLA were mixed in 20 mL of benzyl ether. After the mixture was stirred for 15 min under nitrogen-containing atmosphere, the OA-stabilized  $\text{Fe}_3\text{O}_4$  NPs were obtained. Precisely 4.0 mL of OA-stabilized  $\text{Fe}_3\text{O}_4$  NPs (7.0 mg/mL) was added to SDS aqueous solution (14 mg/mL, 12.5 mL) under mechanical stirring and nitrogen-containing atmosphere at room temperature. After ultrasonic treatment, the emulsion was further heated at 60 °C to evaporate toluene and obtain SDS-capped  $\text{Fe}_3\text{O}_4$  NPs. SDS-capped  $\text{Fe}_3\text{O}_4$  NPs were centrifuged and dispersed in Tris-buffer solution (10 mM, pH 8.5) and then DA monomer was added. After stirring for 3 h, PDA-capped  $\text{Fe}_3\text{O}_4$  NPs were obtained. The products were washed through centrifugation to discard the extra self-polymerized DA in the solution. Lastly, the  $\text{Fe}_3\text{O}_4@PDA$  NPs were obtained by centrifugation at 10,000 rpm for 10 min and washed with deionized water.  $\text{Fe}_3\text{O}_4@PDA$  NPs (100  $\mu\text{g}/\text{mL}$ ) were detected using a Hitachi H-800 electron microscope (Hitachi Co., Ltd., Tokyo, Japan) with a charge-coupled device camera at an acceleration voltage of 200 kV.

## 2.3 Cell culture

UCMSCs were purchased from American Type Culture Collection (Manassas, VA, USA). UCMSCs were cultured in DMEM  $\alpha$  supplemented with 10% FBS in a humidified atmosphere of 5% CO<sub>2</sub> at 37 °C. When the cells adhered to reach 80% confluence in the culture flask, the cells were passaged. The antibody cocktails (BD Stemflow™, San Diego, CA, USA) were used for flow cytometry analysis. The MSC positive cocktail (FITC CD90, PerCP-Cy™5.5 CD105 and APC CD73) left the PE channel open for use in combination with the supplied negative MSC cocktail (PE CD45, PE CD34, PE CD11b, PE CD19 and PE HLA-DR).

## 2.4 Prussian blue staining

UCMSCs were grown at 80% confluency and incubated with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs at different concentrations (0, 25, 50, 75, 100, and 150  $\mu$ g/mL) for 24 h. UCMSCs were washed three times with PBS and stained using Prussian Blue Iron Staining Kit (Solarbio, Beijing, China) according to the manufacturer's instructions.

## 2.5 Fe quantification in UCMSCs

When UCMSCs were incubated with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs for 24 h,  $1 \times 10^6$  cells were collected. Cells were then dissolved in 0.3 mL of concentrated nitric acid (~ 70%) and 0.1 mL of hydrogen peroxide (30%). Iron concentration was determined using inductively coupled plasma optical emission spectrometer (ICP-OES) (PerkinElmer, Norwalk, USA).

## 2.6 Transmission electron microscopy (TEM) of Fe<sub>3</sub>O<sub>4</sub>@PDA NP localization in UCMSCs

We used TEM to assess the uptake and localization of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs by UCMSCs and their possible influence on cell ultrastructure. MSCs treated with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs (50  $\mu$ g/mL) were washed twice with PBS and fixed in phosphate-buffered Karnofsky's solution, followed by staining with 2% osmiumtetroxide at 4 °C overnight. Then cells were scraped off the plastic and dehydrated in an ethanol series. The specimens were embedded in Araldite (Serva). Ultrathin sections were established using an ultramicrotome (Leica, Bensheim, Germany), mounted on pioloform-coated copper grids, stained with uranyl acetate and lead hydroxide, and analyzed using a Tecnai Spirit system (120 kV; FEI, Hillsboro, OR, USA).

## 2.7 Effects of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs on UCMSC viability and proliferation

CCK-8 assay was used to measure cell viability and proliferation. Cells were seeded into 96-well plates ( $2 \times 10^4$  cells/well) and grown overnight, and then incubated with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs at different concentrations (0, 25, 50, 75, 100, and 150  $\mu$ g/mL). After 24-h incubation, CCK-8 reagent (Sigma-Aldrich)

was added to each well, and the cells were incubated for 2 h at 37 °C. The optimum density (OD) of cells in each well was measured at 450 nm (OD<sub>450</sub>) using a microplate reader (Bio-Rad Laboratories Inc., Hercules, CA, USA).

Cells were incubated with 50 µg/mL nanoparticles for 24 h. Fe<sub>3</sub>O<sub>4</sub>@PDA NP-labeled cells were digested and seeded in 96-well plates (4×10<sup>3</sup>/ well). At different time points (days 1, 3, 5 and 7), the CCK-8 reagent was added to measure the OD<sub>450</sub> on a microplate reader to assess cell proliferation capacity.

## 2.8 Effects of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs on UCMSC surface markers and differentiation

After incubation with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs, the labeled and control cell populations (1×10<sup>6</sup>) were washed three times with PBS and harvested. Then, cells were incubated with antibody cocktails against FITC CD90, PerCP-Cy™ 5.5 CD105, APC CD73, PE CD45, PE CD34, PE CD11b, PE CD19 and PE HLA-DR in the dark for 30 min at 4 °C. After incubation and two washing steps, the probe was measured using flow cytometry (FC500; Beckman Coulter Inc., Brea, CA, USA) with CXP software (Beckman Coulter Inc.). All antibodies were purchased from BD Biosciences.

To evaluate the osteogenic and adipogenic differentiation capacity of stem cells labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs, we performed differentiation induction experiments on labeled and unlabeled stem cells. UCMSCs were incubated with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs (50 µg/mL) for 24 h, then the cells were digested and centrifuged. They were seeded into a 12-well plate (5×10<sup>3</sup> cells/cm<sup>2</sup>). After 3 days of cell culture, different differentiation induction media were added. For the adipogenic induction, MSCs were grown in an adipogenic differentiation medium (StemPro Adipogenesis Differentiation Kit; Invitrogen Australia Pty Ltd; Australia). Cells were cultured for 14 days and the media were changed every 3 days. Then cells were stained with Oil Red O for further analysis of adipogenic differentiation. For the osteogenic induction, UCMSCs were grown in an osteogenic differentiation medium (StemPro Osteogenesis Differentiation Kit; Invitrogen Australia Pty Ltd; Australia). Cells were replaced with new medium every 3 days. After 21 days, cells were stained with 2% Alizarin Red S solution and visualized under a light microscope and images were captured for analysis.

## 2.9 Migration assays

The migratory ability of labeled and control UCMSCs was tested in a 24-well Transwell plate (FluoroBlok, 8.0 µm colored polyester membrane; BD Biosciences). Precisely, 1×10<sup>6</sup> cells in growth medium supplemented with 1% FBS were added to the top chambers and the lower chambers were filled with the growth medium containing 10% FBS. After incubating the plates for 24 h at 37 °C in a humidified 5% CO<sub>2</sub> atmosphere, cells on the lower side of the membranes were fixed with 4% paraformaldehyde for 15 min and counted under an optical microscope (X51; Olympus, Tokyo, Japan) after staining with 0.5% crystal violet (Sigma-Aldrich).

## 2.10 Animal experiments

The use of animals was approved by the Welfare and Research Ethics Committee on Animal Experiments of Jilin University. A total of 45 Sprague Dawley rats aged 6–8 weeks (nine rats/group) were used in this study. The IDD model was established by caudal needle puncture, as previously described.<sup>36</sup> Briefly, rats were anesthetized with 10% chloral hydrate (3.5 mL/kg) to identify and mark the six and seventh coccygeal vertebrae (Co6/Co7) via X-ray radiography (Faxitron X-ray, Lincolnshire, IL, USA) under appropriate parameters (35 kV, 300 mA and 1 min). A 22G needle was applied to percutaneously penetrate the IVD, rotated 360° and held in position for 30 s before extraction. Rats were subjected to standard postoperative procedures. Rats were randomly divided into 4 groups; control group, saline group, UCMSC group (MSC unlabeled with Fe<sub>3</sub>O<sub>4</sub>@PDA), and UCMSC + Fe<sub>3</sub>O<sub>4</sub>@PDA group (UCMSC labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA). Twenty-four hours after surgery, cells (1×10<sup>6</sup>) were resuspended in saline and then injected into the tail vein. Before injection, labeled and unlabeled UCMSCs were stained using CM-Dil (Sigma-Aldrich) according to manufacturer's instructions. All rats were euthanized after 2 weeks of treatment.

### 2.11 Calculation of disc height index (DHI)

Lateral plain radiographs of the rat tails were taken before and 2 weeks after injury using a cabinet X-ray system with an exposure time of 60 s and penetration power of 35 kV. The percentage of DHI was calculated using RadiAnt software as previously described.<sup>37</sup> The change in DHI was expressed as the %DHI [%DHI = (postpunctured DHI/prepunctured DHI) ×100]. Three independent observers independently measured and interpreted the radiographic images in a blinded manner.

### 2.12 Real-time quantitative polymerase chain reaction (RT-qPCR)

Total RNA was isolated from the cells of nucleus pulposus or Co6/7 using the Trizol reagent according to the manufacturer's instructions. RNA was reverse transcribed into cDNA according to the manufacturer's instructions. RT-qPCR was carried out using the ABI StepOnePlus RT-PCR System (Applied Biosystems, Foster City, CA, USA) with SYBR® Green PCR Master Mix (Applied Biosystems, Warrington, UK). The relative expressions of *aggrecan*, *type II collagen*, *Sox-9*, *Mmp-13*, *Tnf-α*, and *Il-1β* were determined and normalized to the *GAPDH* housekeeping gene. The primers are presented in Table. 1. The real-time PCR thermo-cycler parameters consisted of an initial enzyme activation step at 95 °C for 10 min, followed by 40 cycles of 95 °C for 15 s, 55 °C for 15 s, and 72 °C for 30 s. The cycle threshold (Ct) value of each sample was calculated in triplicate. The 2<sup>-ΔΔCt</sup> value was then used to calculate the target gene relative expression against *GAPDH* mRNA levels.<sup>38</sup>

### 2.13 Histological and immunohistochemical analysis of the IVD

Two weeks after cell transplantation, Co6/7 discs were harvested with adjacent vertebrae. Samples were fixed in 4% paraformaldehyde, decalcified in Morse's solution (22.5% formic acid, 10% sodium citrate in deionized water) and embedded in paraffin. Disks were sectioned at a thickness of 5 μm and then stained with Safranin-O-fast green as well as hematoxylin and eosin to analyze the histological grading score.<sup>39</sup>

The above sections were then used for immunohistochemistry to detect aggrecan, type II collagen, Sox-9, Mmp-13, Tnf- $\alpha$  and Il-1 $\beta$ . The sections were left at room temperature for 60 min and dewaxed twice with xylene (10 min each). Then, they were treated with 100, 95, 80, and 70% ethanol for 5 min, and washed three times with PBS. Endogenous peroxidase was inactivated with 3% hydrogen peroxide. Antigen retrieval was performed by heating the sample at 95 °C for 20 min in 10 mM sodium citrate (pH 6.0). Sections were incubated in 10% normal goat serum (Solarbio Company, Beijing, China) for 20 min to block non-specific binding. Then sections were incubated overnight at 4 °C with the following primary antibodies: anti-aggrecan (1:100 dilution); anti-type II collagen (1:200 dilution); anti-SOX-9 (1:50); anti-Mmp-13 (1:200); anti-Tnf- $\alpha$  (1:200); anti-Il-1 $\beta$  (1:200) antibodies (Santa Cruz Biotech, Santa Cruz, CA, USA). The sections were washed with PBS and incubated with the corresponding secondary antibody for 2 h at room temperature. Cell nuclei were stained by 4, 6'-diamino-2-phenylindole (DAPI, HARVEY, USA). The sections were observed using an optical microscope (Olympus, Tokyo, Japan).

## 2.14 Evaluation migration of transplanted UCMSCs

We used immunofluorescence technology to study the fate of CM-Dil-labeled UCMSCs after transplantation. Two weeks after cell transplantation, Co6/7 discs were harvested, fixed and decalcified with adjacent vertebrae. The intervertebral disc tissue was sliced into 5- $\mu$ m sections by a freezing microtome (LEICA, Germany). The nuclei were stained with 4', 6'-diamino-2-phenylindole (DAPI, HARVEY, USA). Sections were observed using a fluorescence microscope (Olympus Corporation, Tokyo, Japan) to analyze the positive of CM-Dil-labeled cells.

## 2.15 Statistical analysis

SPSS version 22.0 software (Chicago, Illinois, USA) was used for statistical analysis. ANOVA was used for statistical analysis. Statistical significance was defined as  $P \leq 0.05$ .

# 3. Results

## 3.1 Characterization of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs

In the current study, water-dispersible, uniformly distributed PDA-coated Fe<sub>3</sub>O<sub>4</sub> NPs were synthesized. TEM images showed that the prepared Fe<sub>3</sub>O<sub>4</sub> NPs was very uniformly dispersed (Fig. 1B), and we observed an average particle size of about 50 nm. The average diameter was about 53.50 nm (Fig. 1C).

## 3.2 Internalization of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs

To study the cellular uptake of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs, we used ICP-OES and quantified iron in cell lysates after a 24-h co-incubation. ICP-OES results revealed that Fe<sub>3</sub>O<sub>4</sub>@PDA NPs were taken up by UCMSCs in a dose-dependent manner (Fig. 2A). Furthermore, we used TEM to further observe the fate of the particles after being phagocytosed by the cells, and the results showed that the particles mainly existed in the cytoplasm (Fig. 2B). To observe the internalization more directly and labeling efficiency of Fe<sub>3</sub>O<sub>4</sub>@PDA

NPs, Prussian blue staining was used. The results were consistent with ICP-OES measurements; after the higher dose treatment, more NPs were internalized by the cells (Fig. 2C). When the NP concentration was not less than 50 µg/mL, the cells could be effectively labeled.

### **3.3 Biocompatibility of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs**

Fe<sub>3</sub>O<sub>4</sub>@PDA NP-labeled UCMSCs for stem cell treatment depended largely on the effects of NPs on the survival and proliferation of UCMSCs. We studied the viability and proliferation of Fe<sub>3</sub>O<sub>4</sub>@PDA NP-labeled UCMSCs. To evaluate the toxicity of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs, we incubated UCMSCs with various concentrations of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs for 24 h and then performed CCK-8 to determine cell viability. Over 93% of MSCs were still viable at Fe<sub>3</sub>O<sub>4</sub>@PDA NP concentrations of 0, 25, 50, 75, 100, 150 and 200 µg/mL (Fig. 2D). There was no statistical significance among different concentrations. At the NP concentration of 50 µg/mL, the cell viability was not affected and the cells could effectively be labeled; therefore, we chose 50 µg/mL Fe<sub>3</sub>O<sub>4</sub>@PDA NP concentration for further experiments. To assess the potential influence of NPs on UCMSC proliferation, we evaluated cell proliferation (1, 3, 5 and 7 days) after the stem cells were labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs. Compared with the control, NP-labeled UCMSCs showed similar proliferation rates without statistical significance (Fig. 2E).

### **3.4 Impact of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs on UCMSC functionality**

To determine whether Fe<sub>3</sub>O<sub>4</sub>@PDA NP labeling changed stem cell characteristics in UCMSCs, we used flow cytometry and analyzed the expression of characteristic markers on the surface of unlabeled and labeled stem cells. NP labeling did not alter the expression of typical surface markers on UCMSCs (Fig. 3A). In addition, NP-labeled and unlabeled UCMSCs were cultured in osteogenic and adipogenic differentiation media to evaluate the effect of NP labeling on stem cell differentiation. As shown in Fig. 3B, unlabeled and labeled cells had good osteogenic and adipogenic differentiation abilities.

### **3.5 Enhanced migration capacity of Fe<sub>3</sub>O<sub>4</sub>@PDA NP-labeled MSCs in vitro**

Transwell experiments showed that nanoparticle labeling enhanced the migration of UCMSCs. There were significantly more migrated cells in the NP-labeled stem cell group than in the unlabeled group (Fig. 4A-B). We examined the mRNA and protein expression to study whether NPs increased UCMSC migration via CXCR4. As shown in Fig. 4C-D, the gene and protein expression levels of CXCR4 in the labeled group were higher than unlabeled group.

### **3.6 IVD height radiographic changes and histological evaluation**

Radiographs were taken for each disc before and 2 weeks after the puncture. The %DHI remained relatively stable in the control group whereas it decreased continuously in all three operated groups (saline, unlabeled UCMSC, and labeled UCMSC groups). The %DHI of the saline and unlabeled UCMSC groups continued to decrease during the entire experimental period. The %DHI of the labeled UCMSC group was higher than that of the saline and unlabeled UCMSC groups ( $P < 0.01$ ) (Fig. 5A-B).

To quantify the degree of disc degeneration, we used a histological grading scale to assess the number and morphology of annulus fibrosus AF cells, the boundary between AF and nucleus pulposus, and the number and morphology of nucleus pulposus cells. As shown in Fig. 5C-D, H&E and Safranin-O/fast green staining revealed that the annulus fibrosus was composed of regularly arranged slices and NP appeared as an organized structure with abundant cellular components in the control group. In the saline group, the nucleus pulposus tissue had completely disappeared and the annulus fibrosus structures were destroyed. The groups treated with unlabeled and labeled UCMSCs improved the regeneration of nucleus pulposus and annulus fibrosus, whereas Safranin-O staining of the nucleus pulposus tissue was deeper and that of the AF tissue structure was more complete in the labeled UCMSCs group.

### **3.7 Fe<sub>3</sub>O<sub>4</sub>@PDA NP labeling enhanced UCMSC migration in degenerated IVD**

To track cell migration into the coccygeal IVDs in rats in vivo, CM-Dil-labeled UCMSCs were detected in IVD after 2 weeks of cell transplantation. A large number of systemic injections of Dil-labeled UCMSCs were observed around the IVD, but fewer cells appeared at the center of the IVD. As shown in Fig. 6A, the number of labeled UCMSCs entering the disc increased. These results meant that much more UCMSCs in the labeled group can migrate into IVD than in the unlabeled group, and Fe<sub>3</sub>O<sub>4</sub>@PDA NPs upregulated enhanced the migration ability of UCMSCs to homing into degenerated IVD.

### **3.8 Gene expression and immunohistochemical analysis**

To confirm the effect of UCMSCs transplantation on the disc matrix, the expression of *acan*, *Col 2*, *Sox-9*, *Mmp-13*, *Tnf- $\alpha$* , and *Il-1 $\beta$*  in the disc were measured using real-time PCR. Two weeks after injury, the mRNA expression of *acan*, *Col 2*, and *Sox-9* was decreased in the saline, unlabeled UCMSC, and labeled UCMSC groups compared with the control group, while *Mmp-13*, *Tnf- $\alpha$* , and *Il-1 $\beta$*  expression was significantly increased in the saline, unlabeled UCMSC, and labeled UCMSC groups. Compared with the unlabeled UCMSC group, the expression of *acan*, *Col 2*, and *Sox-9* mRNAs in the labeled UCMSC group was significantly increased, while that of *Mmp-13*, *Tnf- $\alpha$* , and *Il-1 $\beta$*  was significantly decreased (Fig. 6B). The results showed that the labeled stem cells had increased aggrecan, type 2 collagen and Sox-9, and reduced Mmp-13, Tnf- $\alpha$ , and Il-1 $\beta$  expression.

Figure 6C showed the immunohistochemical analysis of the expression of aggrecan, type 2 collagen, Sox-9, Mmp-13, Tnf- $\alpha$  and Il-1 $\beta$  in the nucleus pulposus tissue in different groups. Compared with the control group, aggrecan, type 2 collagen and Sox-9 expressions significantly decreased in the saline,

unlabeled UCMSC, and labeled UCMSC groups. Mmp-13, Tnf- $\alpha$  and Il-1 $\beta$  expressions significantly increased in the saline, unlabeled UCMSC, and labeled UCMSC groups compared with the control group. Aggrecan, type 2 collagen, and Sox-9 expressions were significantly higher in the labeled group than in the unlabeled group. In comparison with the unlabeled group, the Mmp-13, Tnf- $\alpha$  and Il-1 $\beta$  expression decreased drastically in the labeled group.

### 3.9 In vivo toxicity Test

Exactly 14 days after treatment, H&E staining was performed on the heart, liver, spleen, lung, and kidney of each group to analyze the toxicity of NPs to rats. H&E staining histological analysis did not reveal any morphological changes in the heart, liver, spleen, lungs, or kidneys in each group, further confirming the low toxicity of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs in vivo (Fig. 7).

## 4. Discussion

The purpose of this study was to prove the hypothesis that nanoparticles enhance the ability of UCMSCs to repair IDD by increasing the migration of implanted cells. We have demonstrated the efficiency and safety of Fe<sub>3</sub>O<sub>4</sub>@PDA NP-labeled UCMSCs, which increased stem cell migration in vitro and effectively repaired degenerated discs in a rat caudal disc degeneration model after systemic injection.

MSC transplantation for the treatment of IDD has been recognized as a promising strategy.<sup>40</sup> One of the main obstacles of cell therapy to treat IVD degeneration is the method of delivery, which is largely limited to direct injection into IVD due to its avascular nature.<sup>41</sup> Whether the regeneration potential of stem cells in degenerated IVD tissue is due to the transdifferentiation and replacement of dead cells, or due to the secretion of soluble factors to stimulate local progenitor cell survival, proliferation, and differentiation is still a subject of debate.<sup>42</sup> Increasing evidence shows that the efficiency of MSC homing to injured tissues is an important parameter that affects the outcome of MSC treatment. Although the exact molecular mechanism by which MSCs migrate and enter the injury site is not fully understood, pretreatment of MSCs with genetic modification, specific cytokines, or hypoxia to overexpress CXCR4 can promote the migration of MSCs to damaged tissues.<sup>43</sup> Therefore, using the homing ability of stem cells may bypass the risks associated with direct injection.

The characteristic of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs in enhancing the expression of CXCR4 in stem cells will undoubtedly expand the application of them in nanomedicine. Unlike the complex process and potential safety concerns of altering the cell surface through conjugation of specific proteins and retroviral vectors encoding homing receptors, this simple method was implemented by adding the appropriate concentration of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs to the medium. After being internalized by the cell, Fe<sub>3</sub>O<sub>4</sub>@PDA NPs may be degraded into iron ions in the lysosome by hydrolytic enzymes.<sup>44</sup> After entering the mitochondrial membrane, iron ions can react with hydrogen peroxide and oxygen produced by mitochondria. Apopa et al. reported that reactive oxygen species (ROS) produced by iron NPs increase human microvascular endothelial cell permeability.<sup>45</sup> Yun et al. found that CXCR4 expression in MSCs is increased by

internalizing Fe<sub>3</sub>O<sub>4</sub> NPs into cells and the level of ROS in MSCs also is increased accordingly. CXCR4 expression and the ROS level can be induced by Fe<sub>3</sub>O<sub>4</sub> NPs in a time- and concentration-dependent manner.<sup>46</sup> Xu et al. showed that the migration of MSCs via the up-regulation of CXCR4 is induced by iron-containing NPs, and iron-containing NP-loaded MSCs with high CXCR4 expression maintain good biological activity and can migrate to cancer cells in vivo and in vitro.<sup>47</sup> Other studies have shown that iron overload can lead to harmful cellular consequences and enhanced cell proliferation by changing the expressions of genes involved in cell cycle control and reducing intracellular hydrogen peroxide.<sup>48</sup> Therefore, the choice of NP concentration is also very important for the migration of cells. Consistent with these studies, 50 µg/mL of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs increased the migration of stem cells in this study. These results indicated that the regulation of CXCR4 by Fe<sub>3</sub>O<sub>4</sub>@PDA NPs might be a complex process involving various mechanisms in different cellular environments. The detailed mechanism will be further explored in future research.

Although the special microenvironment without blood vessels in inner AF and NP leads us to speculate that MSCs will not migrate into IVD, recent research suggested that MSCs can be recruited to respond to IVD lesions.<sup>40</sup> Illien-Jünger et al. demonstrated that degenerative conditions induce the release of factors promoting MSC recruitment in an ex vivo organ culture.<sup>49</sup> Zhang et al. prepared nanomaterials for a sustained release of stromal cell-derived factor-1α (SDF-1α), to recruit MSCs and in turn achieve regeneration of IVD.<sup>50</sup> Sakai et al found that during degeneration of the IVD, cells from the bone marrow can migrate to the IVD. The frequency of the cells is related to the blood vessel distribution of the IVD. As the distance from the blood vessel increases, fewer cells appear, and the migration effect becomes limited.<sup>51</sup> SDF-1α, also known as CXC motif chemokine 12 (CXCL12), is a powerful chemokine cytokine that plays a key role in the recruitment, proliferation and differentiation of stem cells. CXCR4 is a specific receptor for SDF-1, which is widely expressed on stem cells.<sup>52</sup> SDF-1α are reportedly upregulated during the degeneration of IVD.<sup>53</sup> CXCR4 overexpression promotes MSC retention within the IVD and enhances the stem cell-based IVD regeneration.<sup>54</sup> Consistently, our results showed that labeling UCMSCs with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs increased stem cell CXCR4 expression and effectively repaired degenerated discs. In addition to homing to the lesion, MSCs also participate in the repair process through their paracrine function. MSCs produce large amounts of growth factors, and communication with the inflammatory microenvironment is an important part of this process.<sup>55</sup> It has been demonstrated that the expression of proinflammatory mediators, TNF-α and IL-1β, increase in the degenerated disc tissue.<sup>56</sup> Some reports have shown that the immunomodulatory and anti-inflammatory effects of MSCs may help modulate the IVD repair process.<sup>57</sup>

In the present study, we found that UCMSCs labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs decreased the expression of Mmp-13, Tnf-α and Il-1β and promoted the expression of aggrecan, type 2 collagen, and Sox-9 in the degenerated disc tissue of rats. These findings might suggest that the SDF-1/CXCR4 axis played an important role in mediating MSC homing to IDD and paracrine function of MSCs might contribute to facilitating IDD regeneration. Our results showed UCMSCs labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs increased

homing ability and could be transported through the tail vein of rats to the IVD, where there was a physical barrier and had the additional advantage of repeated treatment when necessary. However, the environment and degenerate model of IVD is different between rats and humans. Further transplantation studies should be performed using UCMSCs labeled with  $\text{Fe}_3\text{O}_4$ @PDA NPs in clinical applications.

The timing of cell therapy for disc degeneration is controversial. During disc degeneration, homeostasis gradually becomes disturbed and eventually leads to a pathological condition. Some studies suggested that the best time to treat disc degeneration is 2 or 4 weeks after disc injury and they concluded that the therapeutic effect of MSCs injection is more optimal in a later stage of degeneration rather than in early stages.<sup>58</sup> Other studies reported that for cell therapy, immediately or 24 hours after disc injury is the best time to treat disc degeneration and they postulated that a narrow window of opportunity may exist for a maximum therapeutic effect during the early stages of degeneration.<sup>59</sup> Within the scope of this study, we did not use magnetic resonance imaging to track the fate of stem cells labeled with  $\text{Fe}_3\text{O}_4$ @PDA NPs, because  $\text{Fe}_3\text{O}_4$  NPs are negative contrast agents and affect our evaluation of disc.<sup>60</sup>

## 5. Conclusions

In conclusion, systemic transplantation of UCMSCs labeled with  $\text{Fe}_3\text{O}_4$ @PDA NPs retards IDD via enhancing migration and decreasing proinflammatory mediators in rats, which provides an efficient way to improve the effects of UCMSC transplantation in IDD treatment.

## Declarations

### Acknowledgements

Not applicable.

### Author Contributions

Conceptualization, Meng Zhang and Butain Zhang; methodology, Meng Zhang; software, Ran Li; validation, Meng Zhang and Te Liu.; formal analysis, Jun Zhang; investigation, Ying Wang; data curation, Xupeng Mu; writing—original draft preparation, Meng Zhang; writing—review and editing, Jinlan Jiang; visualization, Fuqiang Zhang; supervision, Jinlan Jiang; project administration, Xupeng Mu. All authors have read and agreed to the published version of the manuscript.

### Consent for publication

Not applicable.

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### **Availability of data and materials**

Not applicable.

### **Ethics approval and consent to participate**

This study was approval by the Ethic Committee at Jilin university. The use of animals for research purposes was in accordance with the Declaration of Helsinki, and the research did not contain any individual person's data in any form.

**Conflicts of Interest:** The authors declare no conflict of interest.

### Authors' information

Meng Zhang, email addresses: 645135899@qq.com

Butian Zhang, email addresses: zhangbt@jlu.edu.cn

Ran Li, email addresses: rany@jlu.edu.cn

Te Liu, email addresses: liute@jlu.edu.cn

Jun Zhang, email addresses: 947978224@qq.com

Ying Wang, email addresses: 378953757@qq.com

Fuqiang Zhang, email addresses: zfqzhang@jlu.edu.cn

Xupeng Mu, email addresses: muxupeng@jlu.edu.cn

Jinlan Jiang, email addresses: jiangjinlan@jlu.edu.cn

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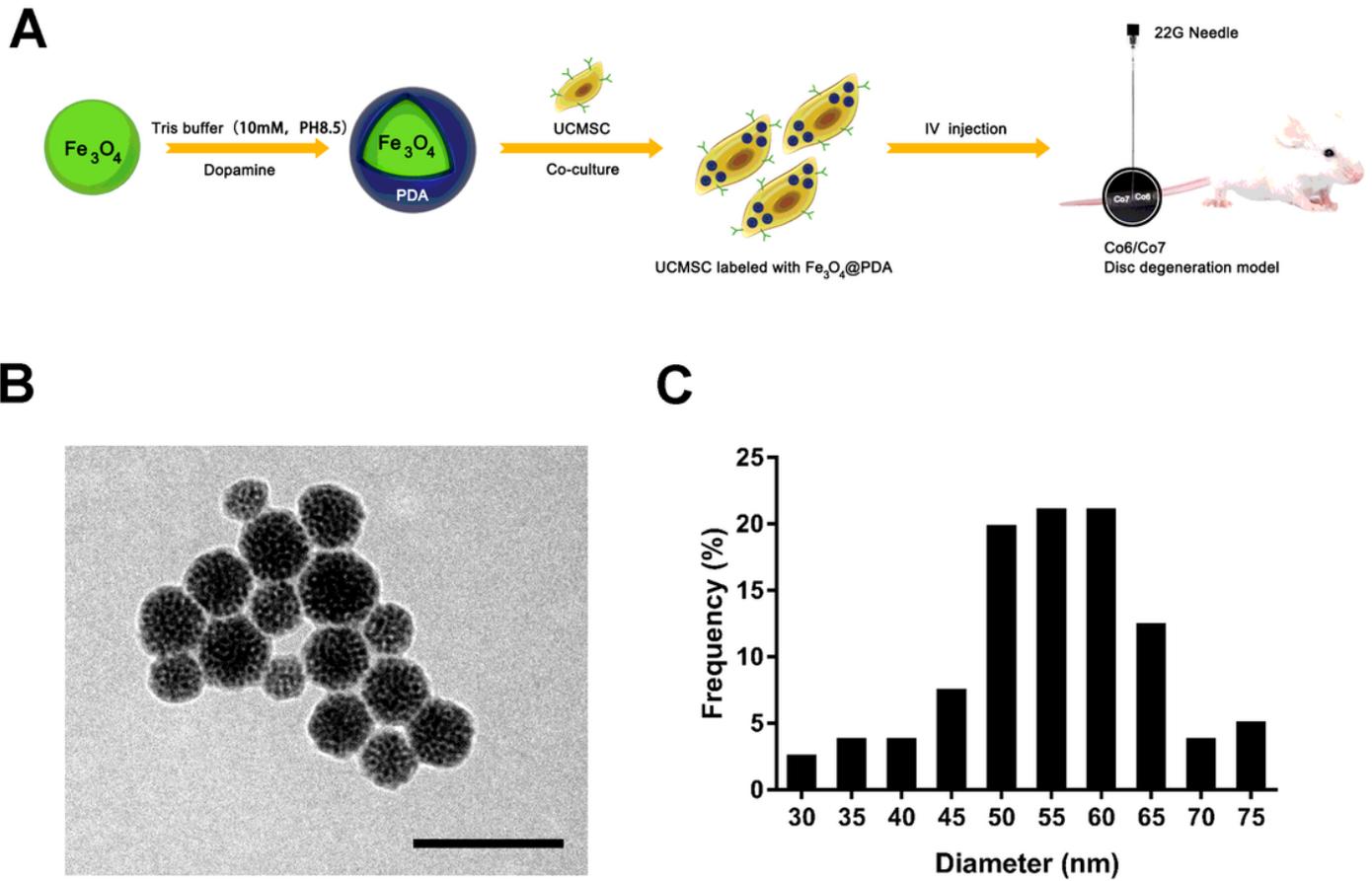
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## Tables

### Table 1 Primer sequences and product sizes

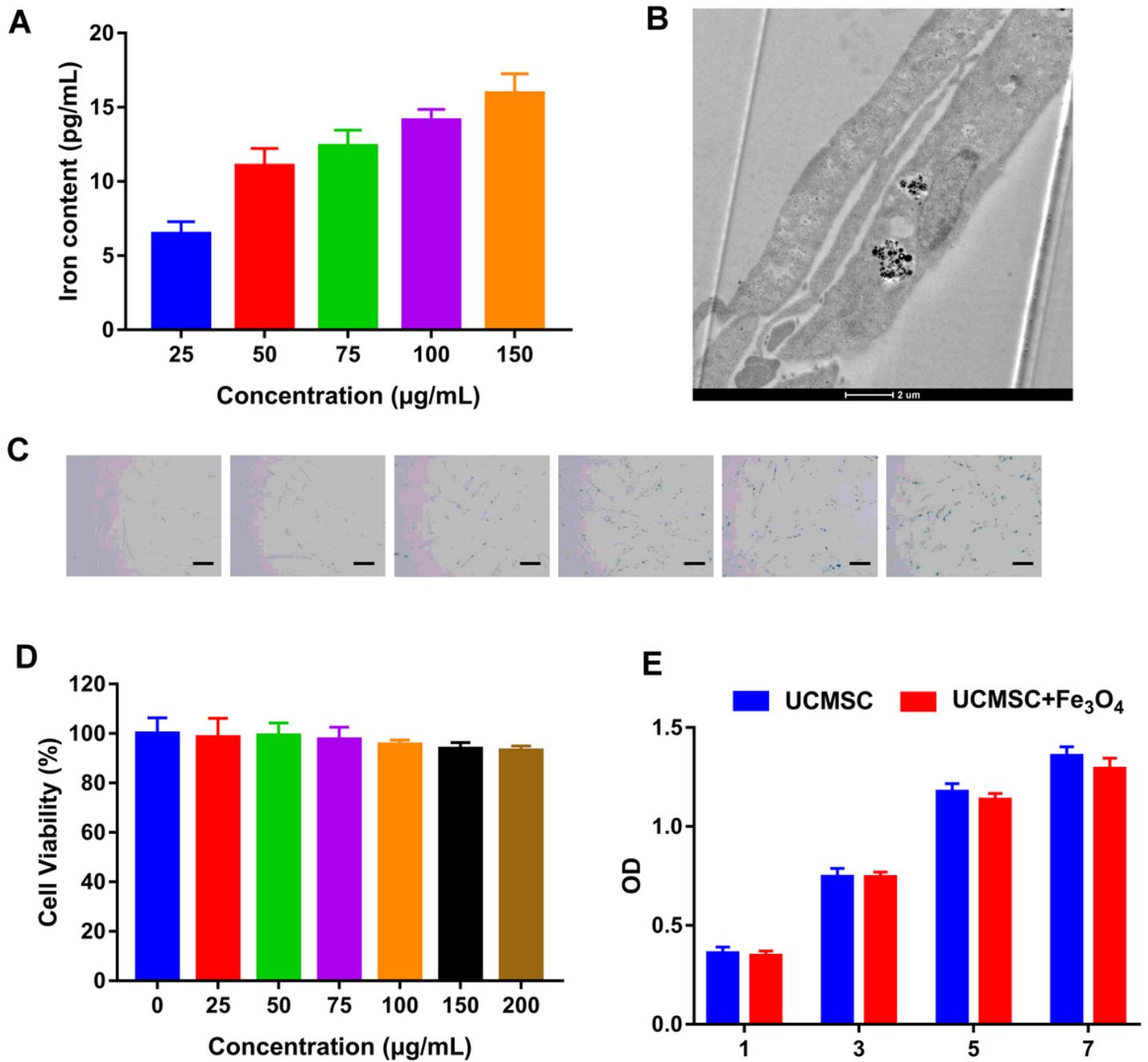
Symbol	Primer Sequence	Product Size (bp)
<i>GAPDH</i>	F-CTGGAGAAACCTGCCAAGTATG R-GGTGGAAGAATGGGAGTTGCT	138
<i>Aggrecan,</i>	F-CTGGGCGTGAGGACCGTCTAC R-GTGTAGCAGATGGCGTCGTAGC	293
<i>Type II collagen</i>	F-ACCATATCGCTCAAAAGGAAGGTCTG R-AGCTGGTCTCTTCTCTTGGTCTCC	128
<i>Sox-9</i>	F-CACACGCTGACCACGCTGAG R-GCTGCTGCTGCTCGCTGTAG	158
<i>Mmp-13</i>	F-GCCACCTTCTTCTTGTTGAGTTG R-GACTTCTTCAGGATCCCGCA	161
<i>Tnf-<math>\alpha</math></i>	F-TCATCTACTCCCAGGTCCTCTTCAAG R-GACGGCGATGCGGCTGATG	172
<i>Il-1<math>\beta</math></i>	F-GAACAACAAAAATGCCTCGTGCC R-GACAAACCGCTTTTCCATCTTCT	264
<i>CXCR4</i>	F-TCCTGCCACCATCTACTCCATC R-CCTGTACTIONGTCCGTCATGCTTCTC	193

## Figures



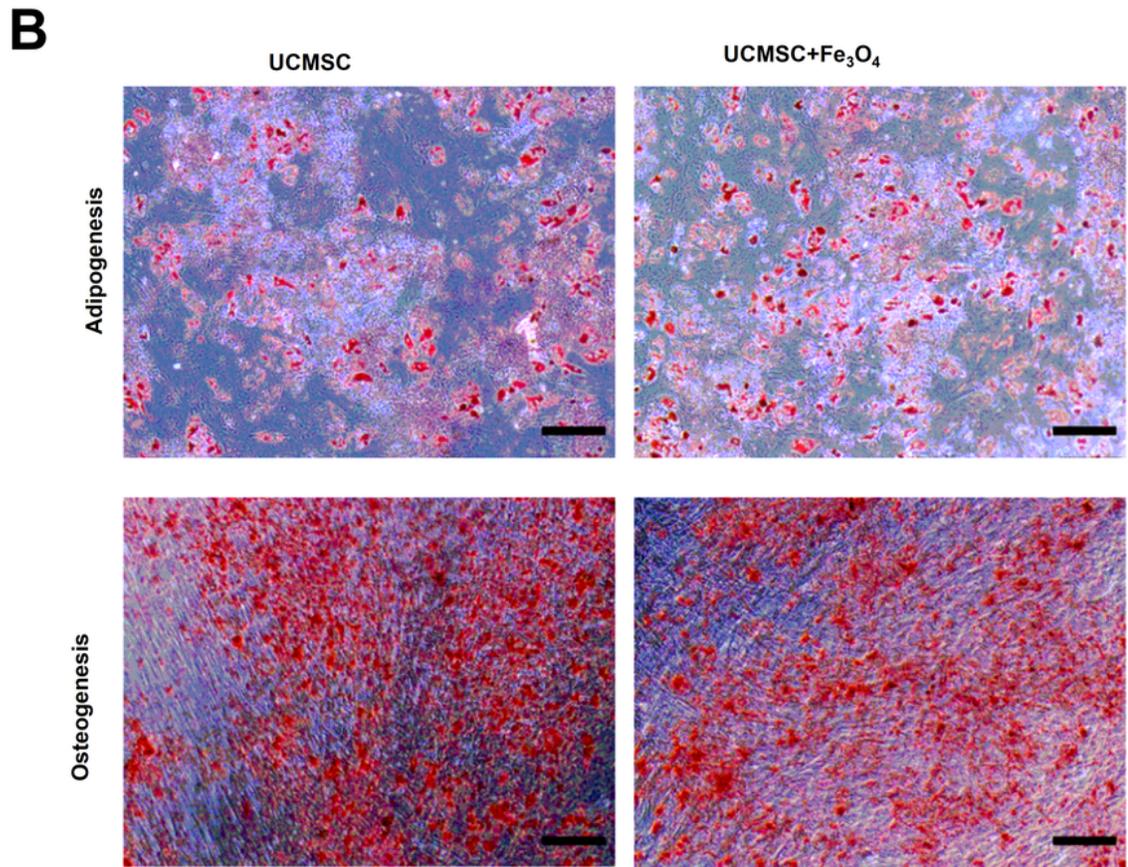
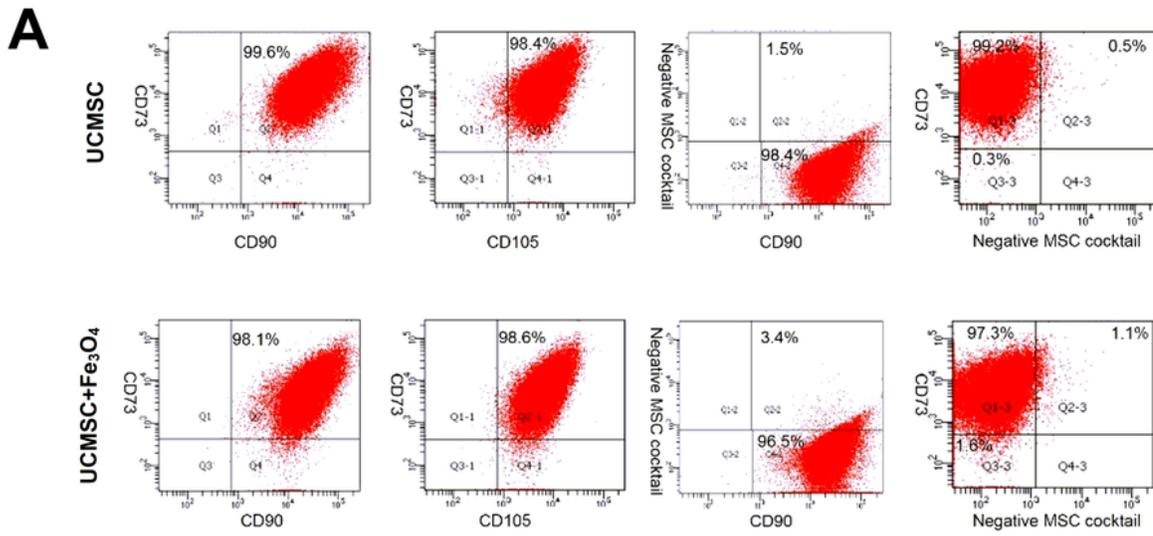
**Figure 1**

(A) Schematic illustration systemic delivery of UCMSCs labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs improved the therapeutic efficacy in a disc degeneration rat model via enhancing migration and decreasing proinflammatory mediators. (B) Transmission electron microscopy of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs. Scale bar = 50 μm. (C) Size distribution of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs.



**Figure 2**

Viability and proliferation of UCMSCs labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs and internalization of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs. (A) The iron concentration in UCMSCs was measured by ICP-OESA. (B) TEM image of nanoparticles (50  $\mu\text{g/mL}$ ) in UCMSCs. The red arrow indicated that the nanoparticles phagocytosed by UCMSCs were located in the cytoplasm. (C) UCMSCs were labeled with different concentrations of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs (25, 50, 75, 100 and 150  $\mu\text{g/mL}$ ) for 24h, then UCMSCs stained with a Prussian blue iron stain kit. The scale bar is 100  $\mu\text{m}$ . (D) UCMSC viability was detected by CCK-8 assay after treated with different concentration of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs, data were normalized to control group. All bars represent mean  $\pm$  SD. (E) Proliferation of the UCMSCs labeled with the Fe<sub>3</sub>O<sub>4</sub>@PDA NPs (50  $\mu\text{g/mL}$ ) for 1, 3, 5, and 7 days.



**Figure 3**

Impact of Fe<sub>3</sub>O<sub>4</sub>@PDA NPs on UCMSC functionality. (A) Flow cytometry analysis of UCMSC labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs showed that they were positive for CD73, CD90 and CD105 and negative for CD19, CD34, CD11b and CD45, HLA-DR. Negative MSC cocktail contained CD19, CD34, CD11b, CD45 and HLA-DR. (B) Differentiation of UCMSC labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs in differentiation media. UCMSC labeled

with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs showed adipogenic and osteogenic differentiation potential alike UCMSC. Scale bar = 50 μm.

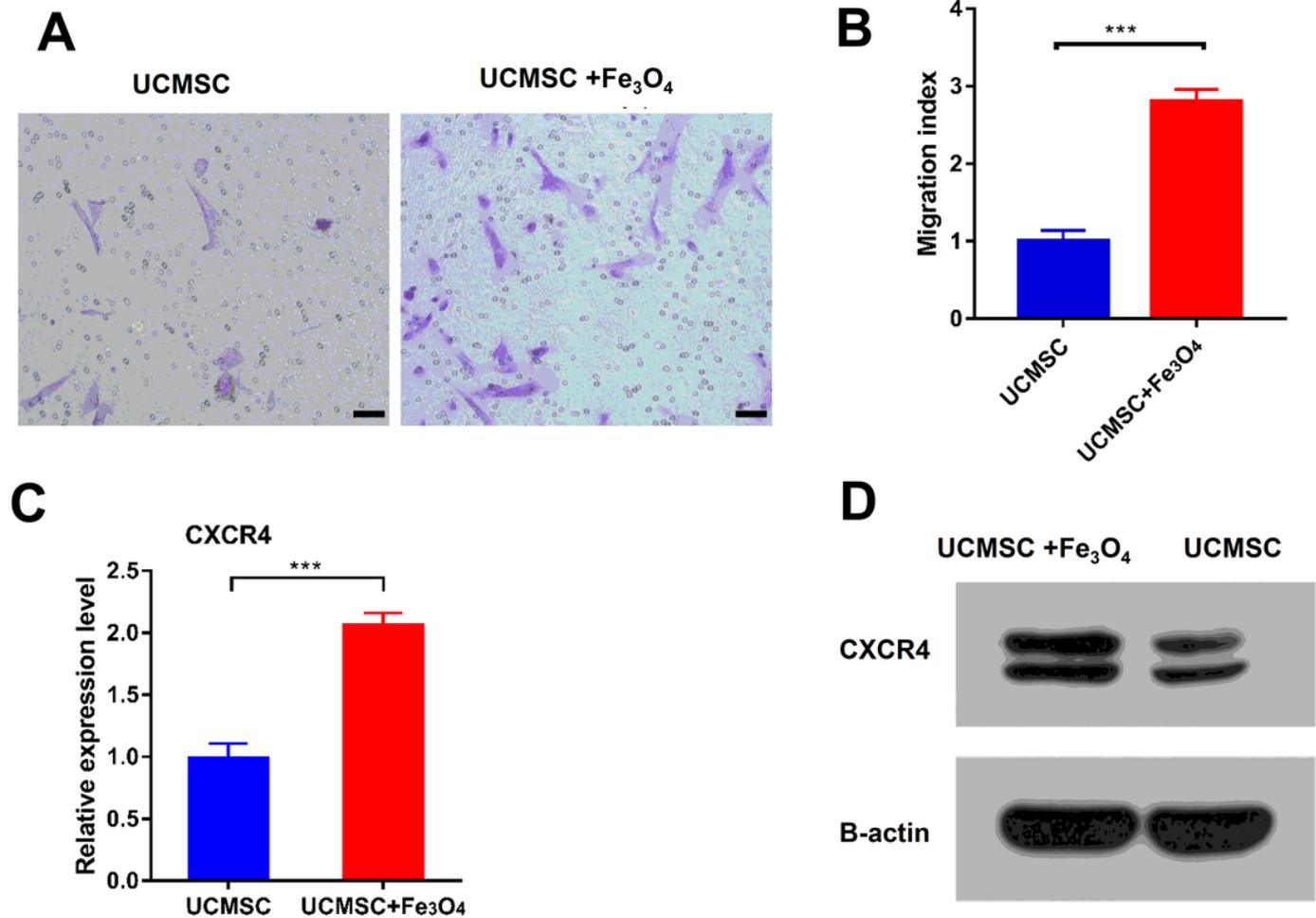
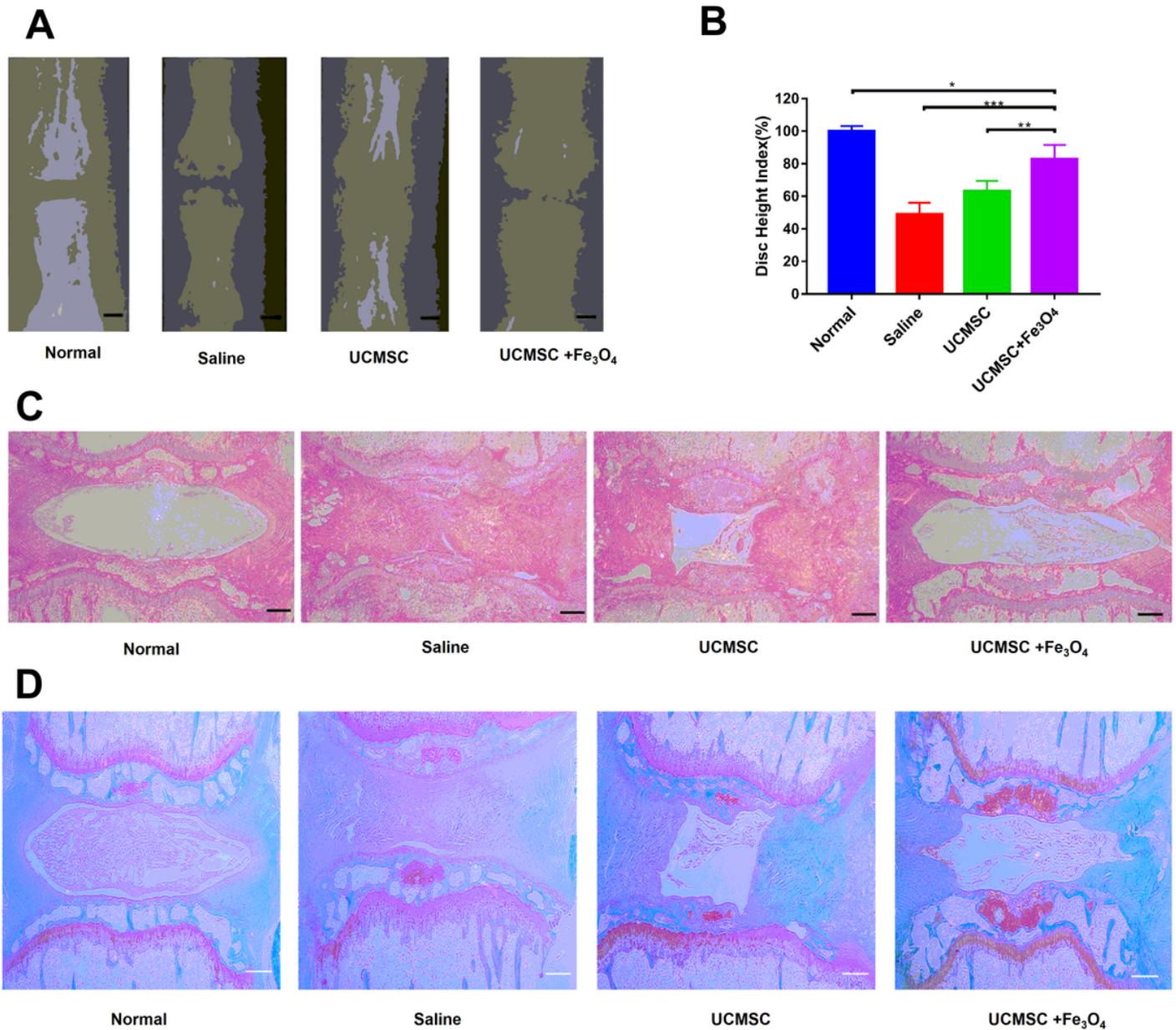


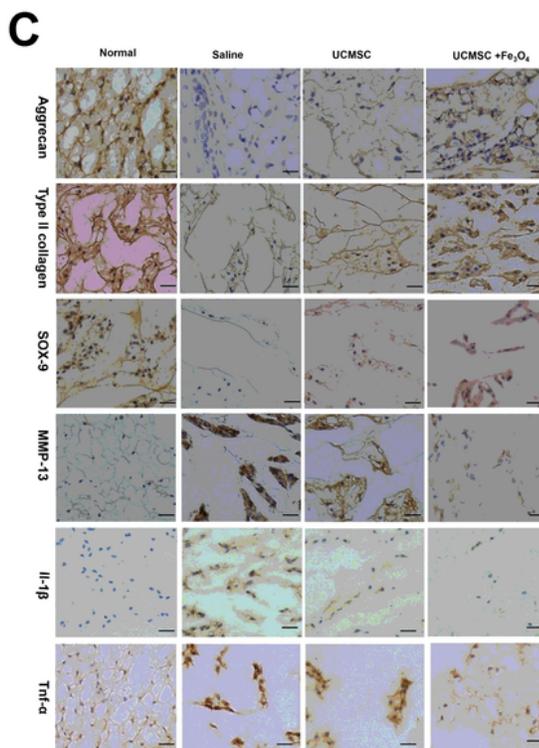
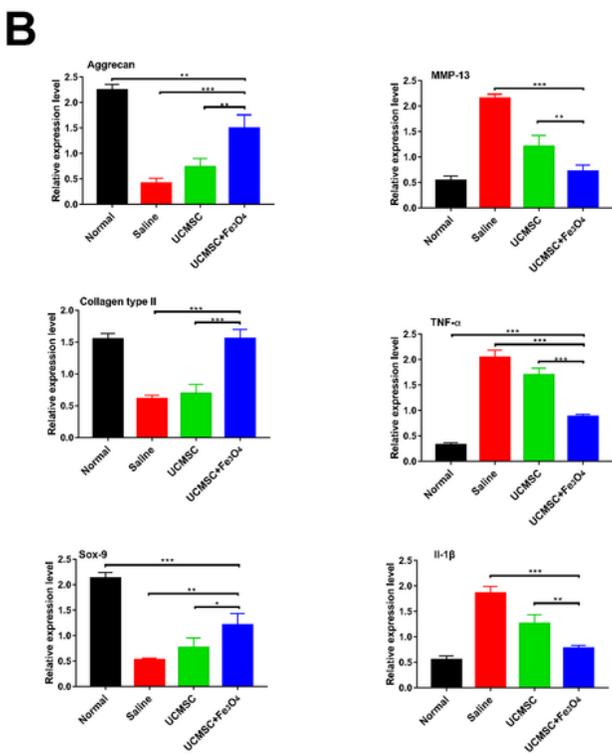
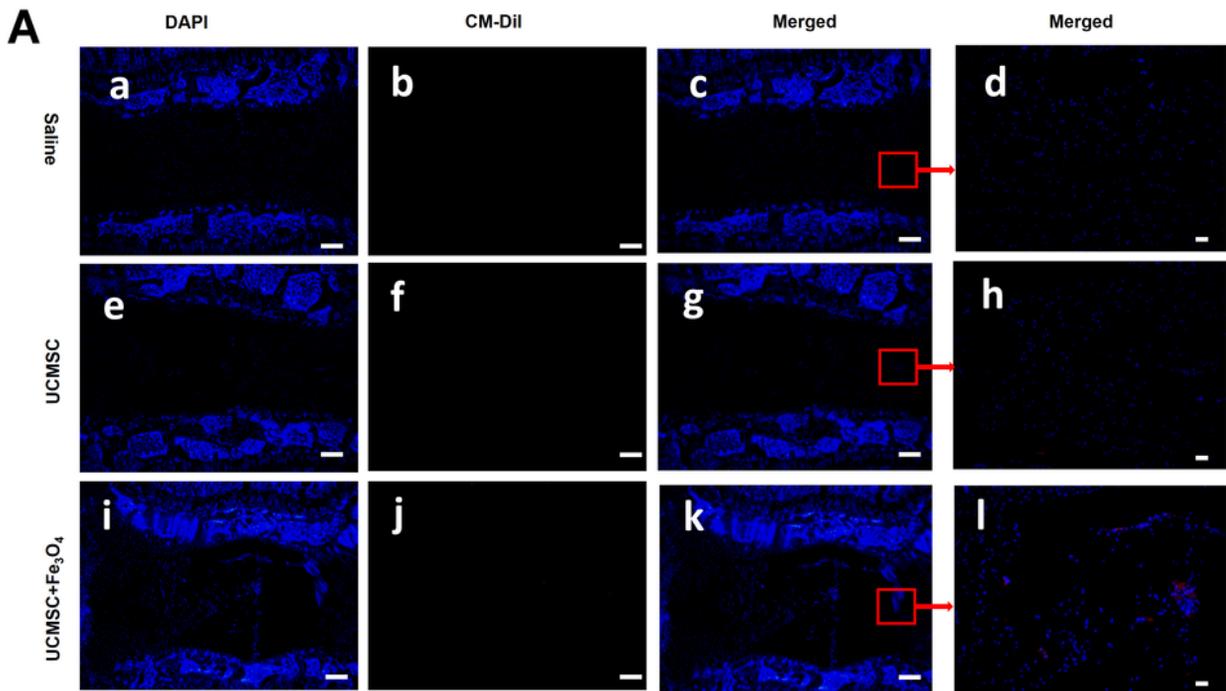
Figure 4

The effect of nanoparticles on the migration of UCMSCs. (A) Transwell experiments evaluated the migratory ability of UCMSC labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs and UCMSC. (B) Quantitative analysis of the ratio of the migrated cells in the labeled and unlabeled groups. (C) qRT-PCR analysis of CXCR4 mRNA expression in the labeled and unlabeled groups. (D) Western blot analysis of CXCR4 protein expression in the labeled and unlabeled groups. \*\*\*P < 0.001. Scale bar = 100 μm.



**Figure 5**

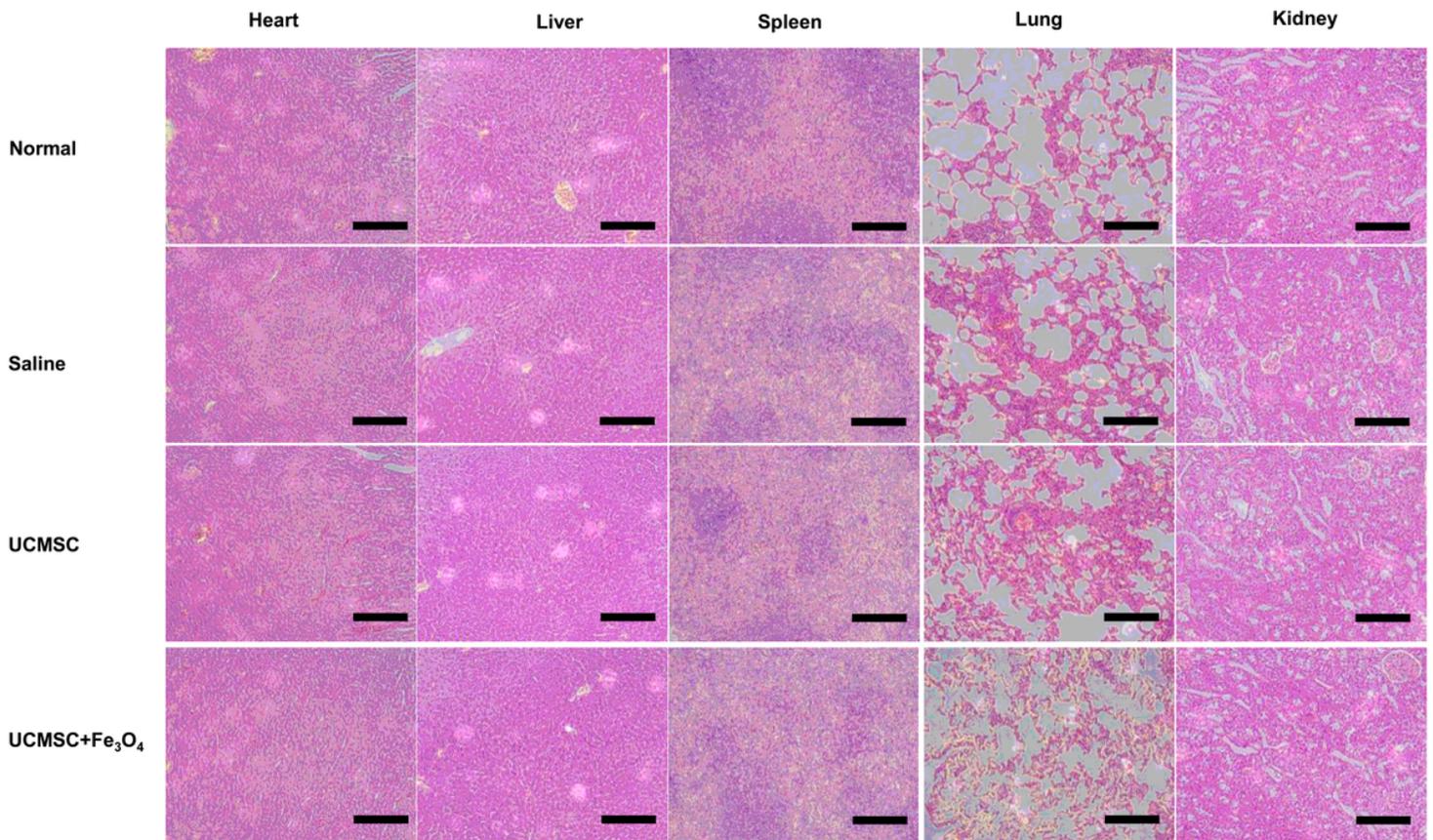
In vivo radiographic changes and histological evaluation of the effect of UCMSC labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs on the repair of intervertebral disc. (A) Representative rat tail X-ray image in each group, Scale bar=2mm. (B) Disk height index (DHI) of the disk from different groups at 2 weeks after surgery. n =3. (C) H&E staining was shown in a representative longitudinal section of the intervertebral disc and adjacent vertebrae. Scale bar= 500μm. (D) Safranin-O/fast green staining of intervertebral discs isolated from different groups. Scale bar= 500μm. \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001.



**Figure 6**

UCMSC migration in degenerated IVD and gene expression and immunohistochemical analysis of the effect of UCMSC labeled with Fe<sub>3</sub>O<sub>4</sub>@PDA NPs on the repair of intervertebral disc. (A) Immunofluorescence showed the survival and migration of UCMSCs 2 weeks after cell transplantation. (a–d) showed the saline group. (e–h) showed UCMSCs group. (i–l) showed the Fe<sub>3</sub>O<sub>4</sub>@PDA NP labeled UCMSC group. (a–c), (e–g), (i–k) scale bar = 200 nm. (d, h, l) scale bar = 100 nm. (B)

Real-time quantitative polymerase chain reaction gene expression analysis of aggrecan, type II collagen, Sox-9, Mmp-13, Tnf- $\alpha$  and Il-1 $\beta$  in control, saline, UCMSC and Fe<sub>3</sub>O<sub>4</sub>@PDA NPs labeled UCMSC group at 2 weeks postinjection. Data is expressed as the relative fold-change to the control and normalized to GAPDH. (C) Typical immunohistochemical images of the major IVD matrix proteins at 2 weeks postinjection. IVD sections were labeled with aggrecan, type II collagen, Sox-9, Mmp-13, Tnf- $\alpha$  and Il-1 $\beta$  antibodies. Scale bar = 25  $\mu$ m. \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001.



**Figure 7**

H&E staining analysis of the major organs of mice from the different groups after 2 weeks of treatment, Scale bar = 100  $\mu$ m.

## Supplementary Files

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- [OnlineGraphicalAbstract.png](#)