

# Pneumococcal Burden in Hospitalized Adults in Bogotá, Colombia.

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## Research Article

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# Abstract

**Background:** The incidence of invasive pneumococcal disease (IPD) varies depending on a number of factors, including vaccine uptake, in both children and adults, the geographic location, and local serotype prevalence. There are limited data about the burden of *Streptococcus pneumoniae* (*Spn*), serotype distribution, and clinical characteristics of adults hospitalized due to IPD in Colombia. Thus, this study will attempt to bridge this gap in the literature.

**Methods:** This was an observational, retrospective, a citywide study conducted between 2012 and 2019 in Bogotá, Colombia. We analyzed reported positive cases of IPD from 55 hospitals in a governmental pneumococcal surveillance program. Pneumococcal strains were isolated in each hospital and typed in a centralized laboratory. The objectives of this study included assessment of *Spn* serotype distribution, clinical characteristics, mortality, ICU admission, and the need for mechanical ventilation.

**Results:** A total of 310 patients with IPD were included, of whom 45.5% were female. The leading cause of IPD was pneumonia (60%, 186/310), followed by meningitis. The most frequent serotypes isolated were 19A (13.87%, 43/310) and 3 (11.94%, 37/310). The overall hospital mortality rate was 30.3% (94/310). Moreover, 52.6% (163/310 patients) were admitted to the ICU, 45.5% (141/310) required invasive mechanical ventilation and 5.1% (16/310) non-invasive mechanical ventilation.

**Conclusion:** Pneumococcal pneumonia is the most prevalent cause of IPD, with serotypes 19A and 3 being the leading cause of IPD in Colombian adults. Mortality due to IPD in adults continues to be very high.

## 1. Introduction

Invasive pneumococcal disease (IPD) is the leading cause of death in developing countries. According to the United States Active Bacterial Core Surveillance, in 2010, the incidence of IPD in  $\geq 65$  year old adults was 36.4 cases per 100,000 [1]. In Latin America, it is estimated that every year, IPD is responsible for up to 28,000 deaths, 182,000 hospitalizations, and 1.4 million outpatient consults [2]. Annually, more than 10 billion dollars are expended in healthcare-associated costs in the United States alone due to IPD. It is essential to highlight that pneumococcal infection is more frequent in children; however, its fatality rate is higher in older adults. Thus, pneumococcal infections have been considered as a public health care problem. Importantly, mortality due to IPD in adults has remained steady even after the extensive usage of broad-spectrum antibiotics and better vaccination coverage in children [2–4].

Over 99 serotypes of *Streptococcus pneumoniae* (*Spn*) have been described [5]. Each pneumococcal serotype has a different genetic and phenotypical composition; some of them are highly virulent to mammals [5]. Some *Spn* are more frequently associated with the development of IPD, depending on various factors that include vaccine uptake and geographic location. Importantly, pneumococcal vaccines are the most effective strategy to reduce IPD incidence [6]. There are two types of anti-pneumococcal vaccines: pneumococcal conjugated vaccines (PCV 7, PCV 10, and PCV13) and a

pneumococcal polysaccharide vaccine (PPSV23). PCV13 includes purified capsular polysaccharide of 13 serotypes of *Spn* that frequently cause pneumococcal disease (1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 19A, 19F, 18C and 23F). This vaccine has polysaccharide antigens conjugated to a protein carrier (CRM197) to improve the immunogenicity [7]. The pneumococcal polysaccharide vaccine includes antigens from 23 serotypes of pneumococci. PPSV23 vaccine is composed of long chains of sugar molecules, polysaccharides, that are components of the pneumococcal serotypes contained in the vaccine, which stimulate the immune system to produce antibodies [8]. Different studies have shown that more than 80% of healthy adults who receive PPSV23 develop antibodies against the serotypes contained in the vaccine; the immune response usually occurs within 2 to 3 weeks after vaccination in adults. Overall, the vaccine is 60–70% effective in preventing invasive disease in infants [9–11]. The Centers for Disease Control and Prevention (CDC) recommends that PCV13 should be given to all children younger than two years old. On the other hand, one dose of PPSV23 is recommended in immunocompetent adults older than 65 years. Likewise, the CDC recommends PPSV23 in people 2 through 64 years old with certain medical conditions or in adults who smoke cigarettes [3, 12–14].

Since the introduction of the mandatory universal pneumococcal vaccination in children, IPD epidemiology has changed significantly worldwide [15]. Currently, studies are describing yearly increases in the incidence of IPD due to several non-vaccine serotypes [16]. For instance, in the United States, serotypes 19A, 6C, 23A, 15 B/15C, 31, and C7 are emerging as a frequent cause of IPD in adults [17]. In Colombia, some studies have reported serotypes 1 and 14 as the most prevalent serotypes causing IPD in children [18, 19]. However, these findings were obtained from small studies of children admitted to few hospitals. Another study identified the molecular characteristics of serotypes 11A, 23A, 15B/C, aiming at documenting the importance of capsular switching in the spread of non-vaccine serotypes causing IPD in children [20]. However, there is a lack of epidemiological data regarding IPD burden, serotype distribution, and clinical characteristics of adults hospitalized due to IPD in Colombia. Thus, this study was designed to fill that gap in the literature. We hypothesized that adult patients hospitalized due to IPD in Colombia would be infected, more frequently, with pneumococcal serotypes not included in the conjugate vaccines. Moreover, we also hypothesized that these patients would develop community-acquired pneumonia (CAP) as the primary clinical syndrome causing IPD, with higher mortality in older adults. The current study was undertaken with the objectives of assessing the *Spn* serotype distribution, clinical diagnosis, mortality, ICU admission, length of hospital stay, and need for mechanical ventilation in adults admitted due to IPD.

## 2. Material And Methods

This was a retrospective multicenter observational study of patients from 55 hospitals, including public and private institutions, in Bogotá, Colombia, that provide health care to about 8 million people. The study was undertaken between January 2012 and January 2019. All patients older than 18 years of age who were diagnosed with IPD were identified and those cases with a fully available clinical history, and positive *Spn* cultures were included in the study. Patients in whom clinical data were incomplete or other bacteria were isolated (*i.e.*, co-infections) were excluded. The flow chart indicating the selection process is

part of the supplemental information in S1 fig. The Translational Science in Infectious Diseases and Critical Care Medicine Research Group from the Universidad de La Sabana developed this study protocol in collaboration with The Public Health Secretary of Bogotá city.

We used the bacterial isolates reported to The Public Health Secretary under a *Spn* surveillance program. All pneumococcal isolates were confirmed and typed by the National Center of Microbiology (CNM) in a centralized laboratory following the Quellung reaction using polyclonal antisera [21]. This study was approved, and the use of informed consent was waived the Institutional Review Board of the Clinica Universidad de La Sabana (2020\_MED-23221). The use of informed consent was waived because this was a retrospective study, without any intervention, and used only a chart review to obtain clinical data. All methods were performed in accordance with the relevant guidelines and local and international regulation.

### ***Study definitions***

We used international and well-accepted definitions for each variable and clinical diagnosis. IPD was defined as an infection confirmed by the isolation of *Spn* from a normally sterile site (*e.g.*, blood, cerebrospinal fluid, and pleural, joint, peritoneal fluid and/or respiratory fluid other than sputum) [22]. CAP was defined according to the American Thoracic Society and Infectious Diseases Society of America (ATS/IDSA) guidelines as an acute infection of the pulmonary parenchyma acquired outside of the hospital setting evidenced by radiological findings (*e.g.*, chest radiograph, computed tomography or pulmonary ultrasound) compatible with alveolar infiltration and characteristic clinical presentation (*e.g.*, acute onset of cough, fever, tachypnea, altered mental status, diaphoresis, etc.) [23]. Pneumococcal meningitis was defined as the identification of *Spn* in blood cultures and/or CSF in association with the clinical syndrome of meningitis (*i.e.*, fever, headache, meningismus, altered mental status, seizures, confusion or vomiting) According to the IDSA guidelines [24].

To reduce the risk of bias, only confirmed cases of IPD according to international clinical guidelines were included and all the clinical records were evaluated by trained personnel. Additionally, all missing information was not analyzed or inferred, and all clinical charts were reviewed blinded to the isolated pneumococcal serotypes to determine clinical outcomes.

### ***Variables***

Data on gender, age, comorbidities, chronic medication, vaccination, physiological admission variables, blood count, bilirubin levels, liver enzymes, arterial blood gases, medical intervention, and antibiotic treatment were recorded for each patient. The initial severity of the disease was assessed using the Sequential Organ Failure Assessment (SOFA), quick Sequential Organ Failure Assessment (qSOFA) [25], and Acute Physiology and Chronic Health Evaluation II (APACHE II) [26]. During hospitalization, ICU admission, need for invasive mechanical ventilation or non-invasive mechanical ventilation, length of hospital and ICU stay were recorded and considered as secondary outcomes. All-cause hospital mortality was the primary outcome.

### ***Data collection***

Hospitals sent all isolated *Spn* strains to a centralized laboratory where each one was characterized. Clinical records were sent to the district health secretary of Bogotá city, and a retrospective review of all medical records was carried out to gather clinical information. Data was recorded onto an electronic case report form (eCRF) hosted in the servers of the health secretary of Bogota and then exported for statistical analysis.

### ***Statistical analysis***

For the statistical analysis, descriptive analysis was performed with measures of central tendency and dispersion for the quantitative variables, and frequencies with percentages for the qualitative variables. Numerical data are presented as mean (standard deviation, SD) or median (interquartile ranges, IQR) according to normality distribution. The serotype distribution of *Spn* was broken down by clinical diagnosis and age. Finally, mortality, ICU admission, need for invasive mechanical ventilation or non-invasive mechanical ventilation, and all-cause hospital mortality were calculated for the group as a whole as well as by diagnosis of IPD. Quantitative variables were contrasted by fisher, and continuous variables were analyzed according to data distribution (*i.e.*, normality) by t-test the Mann Witney. A  $p < 0,05$  was considered statistically significant. All statistical analyses were performed using a statistical package SPSS 25 and Graph Pad Prism for MAC, licensed for the Universidad de La Sabana.

## **3. Results**

A total of 310 patients with invasive pneumococcal disease were included in the study; of whom 45.5% (141/310) were female. The mean (SD) age was 59 ( $\pm$  18.7) years. Hypertension was the most frequent comorbidity in the patients (42.3% [131/310]), and (28.7% [89/310]) were smokers. The demographic information is documented in in Table 1 and Fig. 1 Patients were grouped according to their diagnosis. 60% (186/310) of the patients were diagnosed with CAP, followed by meningitis in 18.7% (58/310), and other diagnoses (mainly abdominal infections and primary bloodstream infections) in 21.3% (66/310) of the cases. Importantly, only 1.3% (4/310) had been previously immunized with PCV13 and 0.6% (2/310) with PPV 23 polysaccharide vaccine, and 1.3% (4/310) with influenza vaccine.

Table 1

Demographic characteristics of patients hospitalized due to invasive pneumococcal disease (IPD).

<b>Patients Characteristics</b>	<b>All patients (n = 310)</b>	<b>Pneumonia (n = 186)</b>	<b>Meningitis (n = 58)</b>	<b>Others (n = 66)</b>
<b>Gender and Age, n (%)</b>				
Female	141 (45.5)	82 (44.1)	24 (41.4)	35 (53)
Age mean (SD)	58.7 (18.7)	62 (18.5)	50 (17.54)	56 (17.81)
<b>Comorbidities, n (%)</b>				
Smoking	89 (28.7)	64 (34.4)	13 (22.4)	12 (18.2)
Alcoholism	37 (11.9)	25 (13.4)	8 (13.8)	4 (6.1)
COPD	44 (14.2)	31 (16.7)	4 (6.9)	9 (13.6)
Diabetes	50 (16.1)	31 (16.7)	8 (13.8)	11 (16.7)
Hypertension	131 (42.3)	84 (45.2)	18 (31)	29 (43.9)
Heart failure	40 (12.9)	33 (17.7)	3 (5.2)	4 (6.1)
Chronic renal disease	36 (11.6)	27 (14.5)	4 (6.9)	5 (7.6)
Liver disease	17 (5.5)	10 (5.4)	2 (3.4)	5 (7.6)
Obesity	16 (5.2)	8 (4.3)	5 (8.6)	3 (4.5)
HIV	17 (5.5)	12 (6.5)	3 (5.2)	2 (3)
Coronary artery disease	26 (8.4)	20 (10.8)	1 (1.7)	5 (7.6)
Hospital admission in the prior month	47 (15.2)	38 (20.4)	0 (0)	9 (13.6)
Previous use of antibiotics	41 (13.2)	33 (17.7)	2 (3.4)	6 (9.1)
Dislipidemia	27 (8.7)	23 (12.4)	1 (1.7)	3 (4.5)
Atrial fibrillation	20 (6.5)	16 (8.6)	3 (5.2)	1 (1.5)
Autoimmune disease	38 (12.3)	24 (12.9)	7 (12.1)	7 (10.6)
Cancer	37 (11.9)	25 (13.4)	5 (8.6)	7 (10.6)
Epilepsy	5 (1.6)	3 (1.6)	1 (1.7)	1 (1.5)
<b>Chronic medications, n (%)</b>				
Antihypertensive drugs	131 (42.3)	90 (48.4)	16 (27.6)	25 (37.9)

<b>Patients Characteristics</b>	<b>All patients (n = 310)</b>	<b>Pneumonia (n = 186)</b>	<b>Meningitis (n = 58)</b>	<b>Others (n = 66)</b>
Oral antidiabetic drugs	24 (7.7)	17 (9.1)	3 (5.2)	4 (6.1)
Insulins	17 (5.5)	11 (5.9)	3 (5.2)	3 (4.5)
Opioids	6 (1.9)	6 (3.2)	0 (0)	0 (0)
PPI	62 (20)	45 (24.2)	4 (6.9)	13 (19.7)
Inhaled drug therapy	25 (8.1)	17 (9.1)	1 (1.7)	7 (10.6)
Anticoagulant therapy	11 (3.5)	10 (5.4)	0 (0)	1 (1.5)
Statins	44 (14.2)	37 (19.9)	2 (3.4)	5 (7.6)
NSAID	16 (5.2)	9 (4.8)	2 (3.4)	5 (7.6)
Corticosteroid therapy	32 (10.3)	23 (12.4)	3 (5.2)	6 (9.1)
Antiepileptic drugs	6 (1.9)	3 (1.6)	2 (3.4)	1 (1.5)
<b>Vaccination</b>				
PPV23	2 (0.6)	2 (1.1)	0 (0)	0 (0)
PCV13	4 (1.3)	3 (1.6)	0 (0)	1 (1.5)
Influenza vaccine	4 (1.3)	3 (1.6)	0 (0)	1 (1.5)
SD, standard deviation; COPD, chronic obstructive pulmonary diseases; HIV, human immunodeficiency virus; PPI, proton pump inhibitor; NSAID, nonsteroidal anti-inflammatory drugs; PPV23, Pneumococcal polysaccharide vaccine; PCV13, Pneumococcal conjugate vaccine.				

Physiological variables evaluated at admission were different between groups of patients. Regarding laboratory investigations on admission, we found that the C-reactive protein concentration (median (IQR)) was 36 mg/L (15.0-165.9 mg/L) in CAP, 47 mg/L (20.0-193.0) in meningitis, and 24 (13.05–38.90) in other diagnoses. The mean (SD) leukocyte counts were  $12.44 \times 10^9/L$  (8.77) in CAP,  $16.62 \times 10^9/L$  (8.61) in meningitis, and  $12.54 \times 10^9/L$  (9.01) in other diseases; the complete list of physiological variables and laboratories are presented in (Table 2). When evaluating the severity of the disease in all hospitalized patients, the quick Sepsis Related Organ Failure Assessment (qSOFA), Sepsis Related Organ Failure Assessment (SOFA), and Acute Physiology and Chronic Health Evaluation (APACHE II) scores were calculated when possible. SOFA median (IQR) was 5 (3–8) in all patients with no significant difference among each group, while APACHE II varied between groups: median (IQR) score was 15 (11–20) in CAP, 13 (7–15) in meningitis, and 12 (9–20) in patients with other diagnoses (Table 2).

Table 2

Physiological variables of patients hospitalized due to Invasive Pneumococcal Disease (IPD).

<b>Admission physiological variables. Mean (SD)+</b>	<b>All patients (n = 310)</b>	<b>Pneumonia (n = 186)</b>	<b>Meningitis (n = 58)</b>	<b>Others (n = 66)</b>
Heart rate, bpm	97 (24)	99 (25)	97 (21)	94 (26)
Systolic blood pressure, mmHg	117 (26.3)	114 (26)	123 (26)	117 (24)
Diastolic blood pressure, mmHg	70 (16)	68 (17)	72 (14)	71 (16)
MAP, mmHg	84 (22)	81 (23)	88 (17.6)	85 (21)
Respiratory rate, rpm	21 (5)	21 (5)	20 (6)	20 (5)
Oximetry, %	88 (12)	87 (11)	92 (4)	87 (18)
Glasgow scale	14 (3)	14 (2.2)	12 (3)	14 (5)
Temperature, °C	36.92 (1.98)	37.05 (1.03)	37.26 (1.89)	36.24 (3.48)
<b>Full blood count</b>				
Leukocytes, x10 <sup>9</sup> /L	13.26 (8.91)	12.44 (8.77)	16.62 (8.61)	12.54 (9.01)
Neutrophils, 10 <sup>9</sup> /L*	10 (5.2– 16.4)	8.6 (4.2– 15.85)	13.3 (8.22– 21.45)	9.3 (5.09– 15.00)
Hemoglobin, g/dL	12.9 (3.4)	12.52 (3.01)	14.13 (2.52)	13.02 (4.77)
Hematocrit, %	42.15 (40.25)	40.09 (29.96)	42.75 (7.82)	47.42 (71.13)
Platelets, x10 <sup>3</sup> *	201 (141– 271)	209 (159– 284.5)	188 (127.25– 271.5)	179.5 (105.50– 248.75)
Glucose, mg/dL*	119 (94– 156)	119 (94– 146.5)	131 (98.5– 167)	114 (83.25– 162.50)
Urea nitrogen, mg/dL *	21.9 (15– 39.8)	23.8 (17–42)	17 (11–25.47)	20 (12.00– 41.00)
Creatinine, mg/dL*	1.06 (0.7– 1.7)	1.14 (0.79– 1.81)	0.9 (0.7–1.2)	0.9 (0.70– 2.12)
Sodium concentration, mmol/L	133 (20.43)	133 (19.18)	131.64 (24.74)	133.73 (19.97)
Potassium concentration, mmol/L*	3.9 (3.5– 4.4)	3.9 (3.57– 4.5)	3.7 (3.3–4.2)	4.1 (3.60– 4.60)
<b>Bilirubin levels</b>				

<b>Admission physiological variables. Mean (SD)+</b>	<b>All patients (n = 310)</b>	<b>Pneumonia (n = 186)</b>	<b>Meningitis (n = 58)</b>	<b>Others (n = 66)</b>
Total bilirubin, mg/dL*	1.1 (0.6–2.2)	1.09 (0.58–1.9)	1.03 (0.52–2.23)	1.27 (0.63–3.34)
Direct bilirubin, mg/dL*	0.55 (0.3–1.14)	0.55 (0.29–1.12)	0.73 (0.2–1.15)	0.51 (0.30–1.36)
Indirect bilirubin, mg/dL*	0.5 (0.2–1.0)	0.45 (0.22–0.9)	0.47 (0.21–1.1)	0.57 (0.30–1.35)
<b>Liver enzymes</b>				
AST, UI/L*	39 (24–79)	36 (22.95–66.75)	28 (17–111)	47 (28.75–83.05)
ALT, UI/L*	36.2 (21–83.6)	35 (20–83.67)	37.4 (21–91)	43 (24.00–84.00)
<b>Arterial blood gases</b>				
pH*	7.42 (7.34–7.47)	7.4 (7.33–7.46)	7.44 (7.35–7.48)	7.43 (7.36–7.48)
PO2, mmHg	70.43 (36.16)	66.88 (38.71)	81 (35)	72.29 (22.33)
PCO2, mmHg	29.9 (12.59)	30.33 (10.82)	27.29 (8.18)	30.99 (20)
FiO2, %	30 (18)	30 (18)	33 (23)	25 (11)
HCO3, meq/L*	18 (14.47–20.65)	18 (14.5–21)	17 (14.2–19.5)	17 (14.62–20.27)
Lactate, mmol/L*	2.8 (1.9–4.82)	2.8 (1.9–5.3)	2.7 (1.77–3.82)	2.75 (1.62–4.57)
CRP, mg/L*	32 (15.29–133)	36 (15–165.9)	47 (20–193)	24 (13.05–38.90)
Procalcitonin	26 (56.79)	30.11 (67.29)	20.66 (36.81)	18.49 (36.23)
PT	15.63 (6.77)	14.86 (5.21)	16.84 (7.71)	16.21 (8.49)
PTT	34.49 (-19.51)	34 (9.44)	32.19 (11.64)	37.08 (33.96)
<b>Clinical severity scores (IQR)</b>				
qSOFA	1 (0–2)	1 (0–2)	1 (0–2)	1 (1–2)
SOFA	5 (3–8)	5 (3–8)	5 (3–9)	5 (3–8)
APACHE II	13 (11–19)	15 (11–20)	13 (7–15)	12 (9–20)

Admission physiological variables. Mean (SD)+	All patients (n = 310)	Pneumonia (n = 186)	Meningitis (n = 58)	Others (n = 66)
SD, standard deviation; bpm, beats per minute; MAP, median arterial pressure; rpm, rate per minute; °C, Celsius degrees; ALT, Alanine aminotransferase, AST, Aspartate Aminotransferase; PO2, arterial oxygen pressure; PCO2, arterial carbon dioxide; FiO2, oxygen inspired fraction; HCO3, sodium bicarbonate; CRP, C-reactive protein; PT, prothrombin time ; PTT, partial thromboplastin time ; qSOFA, quick SOFA; SOFA, sequential organ failure assessment; APACHE II, acute physiology and chronic health evaluation II; PSI, Pneumonia severity index; +, mean ± SD, except where indicated; *, Median, (IQR).				

Different investigations had been used to establish the etiology of the infection, including blood cultures, endotracheal fluid aspirate, cerebrospinal fluid (CSF), bronchoalveolar lavage, and pleural and ascitic fluid. Blood cultures were the most frequent sample used to identify the microorganism associated with infection. *Spn* was isolated in blood cultures in 88.1% (273/310) of the patients, followed by CSF (14.2% [44/310]), endotracheal fluid (8.4% [26/310]) and pleural fluid (6.5% [20/310]). *Spn* was identified in CSF in 70.7% (41/58) of the patients with meningitis (**S2 Table**). Regarding pneumococcal serotypes, the most prevalent *Spn* serotype identified as the cause of infection in the whole cohort was 19A in 13.9% (43/310) of the patients, 14% (27/186) were CAP patients, 17% (10/58) were patients with meningitis and 9% (6/66) had other presentations of IPD (Table 3). Table 3 shows the pneumococcal serotypes isolated.

Table 3  
 Serotype distribution of *Streptococcus pneumoniae* in patients hospitalized with invasive pneumococcal disease (IPD).

Serotype distribution (n (%))	All patients	Pneumonia (n = 186)	Meningitis	Others	P value
	(n = 310)		(n = 58)	(n = 66)	
19A	43 (13.87)	27 (14.52)	10 (17.24)	6 (9.09)	0.40
3	37 (11.94)	27 (14.52)	4 (6.9)	6 (9.09)	0.13
14	18 (5.81)	11 (5.91)	2 (3.45)	5 (7.58)	0.61
15A	16 (5.16)	9 (4.84)	4 (6.9)	3 (4.55)	0.80
23A	16 (5.16)	6 (3.23)	4 (6.9)	6 (9.09)	0.14
6C	16 (5.16)	12 (6.45)	2 (3.45)	2 (3.03)	0.45
11A	14 (4.52)	9 (4.84)	4 (6.9)	1 (1.52)	0.33
9N	13 (4.19)	9 (4.84)	2 (3.45)	2 (3.03)	0.78
19F	8 (2.58)	2 (1.08)	2 (3.45)	4 (6.06)	0.08
6A	8 (2.58)	1 (0.54)	2 (3.45)	5 (7.58)	< 0.01
23B	6 (1.94)	4 (2.15)	1 (1.72)	1 (1.52)	0.94
15B	7 (2.26)	5 (2.69)	2 (3.45)	0 (0)	0.35
22F	7 (2.26)	4 (2.15)	0 (0)	3 (4.55)	0.23
8	7 (2.26)	5 (2.69)	1 (1.72)	1 (1.52)	0.82
1	6 (1.94)	5 (2.69)	0 (0)	1 (1.52)	0.41
10A	6 (1.94)	3 (1.61)	1 (1.72)	2 (3.03)	0.76
23F	6 (1.94)	3 (1.61)	2 (3.45)	1 (1.52)	0.65

Serotype distribution (n (%))	All patients	Pneumonia (n = 186)	Meningitis	Others	P value
	(n = 310)		(n = 58)	(n = 66)	
7F	6 (1.94)	6 (3.23)	0 (0)	0 (0)	0.13
9V	6 (1.94)	4 (2.15)	1 (1.72)	1 (1.52)	0.94
16F	5 (1.61)	5 (2.69)	0 (0)	0 (0)	0.25
34	5 (1.61)	2 (1.08)	2 (3.45)	1 (1.52)	0.45
6B	5 (1.61)	3 (1.61)	1 (1.72)	1 (1.52)	0.99
13	4 (1.29)	3 (1.61)	0 (0)	1 (1.52)	0.62
18C	4 (1.29)	1 (0.54)	2 (3.45)	1 (1.52)	0.22
12B	3 (0.97)	1 (0.54)	1 (1.72)	1 (1.52)	0.21
20	3 (0.97)	2 (1.08)	0 (0)	1 (1.52)	0.67
28A	3 (0.97)	2 (1.08)	0 (0)	1 (1.52)	0.67
35B	3 (0.97)	1 (0.54)	1 (1.72)	1 (1.52)	0.63
35F	3 (0.97)	0 (0)	1 (1.72)	2 (3.03)	0.07
37	3 (0.97)	2 (1.08)	1 (1.72)	0 (0)	0.60
7C	3 (0.97)	3 (1.61)	0 (0)	0 (0)	0.36
12F	2 (0.65)	2 (1.08)	0 (0)	0 (0)	0.51
25F	2 (0.65)	1 (0.54)	1 (1.72)	0 (0)	0.46
31	2 (0.65)	1 (0.54)	0 (0)	1 (1.52)	0.55
35A	2 (0.65)	1 (0.54)	1 (1.72)	0 (0)	0.46
4	2 (0.65)	1 (0.54)	0 (0)	1 (1.52)	0.55
11D	1 (0.32)	0 (0)	0 (0)	1 (1.52)	0.15

Serotype distribution (n (%))	All patients	Pneumonia (n = 186)	Meningitis	Others	P value
	(n = 310)		(n = 58)	(n = 66)	
15C	1 (0.32)	0 (0)	0 (0)	1 (1.52)	0.15
16	1 (0.32)	0 (0)	0 (0)	1 (1.52)	0.15
17F	1 (0.32)	0 (0)	1 (1.72)	0 (0)	0.11
18A	2 (0.65)	1 (0.54)	0 (0)	1 (1.52)	0.55
19C	1 (0.32)	1 (0.54)	0 (0)	0 (0)	0.71
24F	1 (0.32)	0 (0)	1 (1.72)	0 (0)	0.11
29	1 (0.32)	1 (0.54)	0 (0)	0 (0)	0.71
6D	1 (0.32)	0 (0)	1 (1.72)	0 (0)	0.11
<b>N, number, %, percentage</b>					

The most prevalent Spn serotype was 19A followed by 3 and 14 (Table 3). Serotypes causing IPD in vaccinated patients with PCV13 were 3, 9N, 8 and 35B, while the ones vaccinated with PPV23 were infected by serotypes 9N and 8. The serotype distribution was grouped into four age groups, namely, 18–35 years, 36–50 years, 51–64 years, and ≥ 65 years. Serotype 19A was the most prevalent serotype among adult patients between 36–50 years (19% [12/62]) and in patients between 51–64 years (14.9% [11/74]). In contrast, serotype 3 caused IPD in 11.3% (7/62) of patients between 36–50 years and in 13.5% (10/74) of patients between 51–64 years. In patients ≥ 65 years old, serotype 3 (16.8% [22/136]) was the most prevalent, followed by serotype 19A (11% [15/136]). In the 18-35-year-old group, patients were mostly infected by serotype 14 (13.2% [5/38]). There were no significant differences in the serotype distribution between the four age groups. However, when the serotype distribution was stratified in two age groups: ≤50 years old patients and > 50 years old patients the serotype 3 was significantly more frequent in older adults (S3 Table). The rest of the results regarding serotype distribution are presented in Tables 3, 4 and Fig. 2.

**Table 4.** Serotype distribution of *Streptococcus pneumoniae* grouped by age (n (%))

Serotype distribution	Age range	Age range	Age range	Age range	P value
	(18-35) years n=38	(36-50) years n=62	(51-64) years n=74	(≥ 65) years n=136	
19A	4 (10.53)	12 (19.35)	11 (14.86)	15 (11.03)	0.40
3	0 (0)	7 (11.29)	10 (13.51)	22 (16.18)	0.06
14	5 (13.16)	3 (4.84)	4 (5.41)	6 (4.41)	0.22
15A	2 (5.26)	4 (6.45)	3 (4.05)	7 (5.15)	0.94
23A	1 (2.63)	1 (1.61)	7 (9.46)	7 (5.15)	0.18
6C	1 (2.63)	2 (3.23)	4 (5.41)	9 (6.62)	0.66
11A	3 (7.89)	1 (1.61)	2 (2.7)	8 (5.88)	0.33
9N	3 (7.89)	1 (1.61)	2 (2.7)	7 (5.15)	0.38
19F	1 (2.63)	1 (1.61)	1 (1.35)	5 (3.68)	0.72
6A	0 (0)	1 (1.61)	4 (5.41)	3 (2.21)	0.30
23B	0 (0)	4 (6.45)	1 (1.35)	1 (0.74)	0.03
15B	2 (5.26)	0 (0)	2 (2.7)	3 (2.21)	0.38
22F	1 (2.63)	2 (3.23)	2 (2.7)	2 (1.47)	0.86
8	2 (5.26)	2 (3.23)	0 (0)	3 (2.21)	0.31
1	0 (0)	2 (3.23)	2 (2.7)	2 (1.47)	0.64
10A	0 (0)	1 (1.61)	1 (1.35)	4 (2.94)	0.65
23F	1 (2.63)	4 (6.45)	0 (0)	1 (0.74)	<b>0.02</b>
7F	1 (2.63)	0 (0)	1 (1.35)	4 (2.94)	0.53
9V	0 (0)	3 (4.84)	2 (2.7)	1 (0.74)	0.19
16F	1 (2.63)	0 (0)	0 (0)	3 (2.21)	0.36
34	1 (2.63)	2 (3.23)	1 (1.35)	1 (0.74)	0.58
6B	0 (0)	2 (3.23)	0 (0)	3 (2.21)	0.36
13	1 (2.63)	0 (0)	2 (2.7)	1 (0.74)	0.41
18C	0 (0)	0 (0)	2 (2.7)	2 (1.47)	0.47
12B	0 (0)	1 (1.61)	2 (2.7)	0 (0)	0.45
20	0 (0)	1 (1.61)	0 (0)	2 (1.47)	0.63

28A	1 (2.63)	0 (0)	0 (0)	2 (1.47)	0.42
35B	0 (0)	0 (0)	0 (0)	3 (2.21)	0.27
35F	0 (0)	1 (1.61)	1 (1.35)	1 (0.74)	0.84
37	2 (5.26)	1 (1.61)	0 (0)	0 (0)	<b>0.02</b>
7C	0 (0)	0 (0)	1 (1.35)	2 (1.47)	0.69
12F	1 (2.63)	0 (0)	1 (1.35)	0 (0)	0.24
25F	1 (2.63)	1 (1.61)	0 (0)	0 (0)	0.20
31	0 (0)	0 (0)	1 (1.35)	1 (0.74)	0.74
35A	0 (0)	0 (0)	0 (0)	2 (1.47)	0.46
4	2 (5.26)	0 (0)	0 (0)	0 (0)	<0.01
11D	0 (0)	0 (0)	1 (1.35)	0 (0)	0.36
15C	0 (0)	1 (1.61)	0 (0)	0 (0)	0.26
16	0 (0)	0 (0)	1 (1.35)	0 (0)	0.36
17F	1 (2.63)	0 (0)	0 (0)	0 (0)	0.06
18A	0 (0)	1 (1.61)	1 (1.35)	0 (0)	0.45
19C	0 (0)	0 (0)	0 (0)	1 (0.74)	0.73
24F	0 (0)	0 (0)	0 (0)	1 (0.74)	0.73
29	0 (0)	0 (0)	1 (1.35)	0 (0)	0.36
6D	0 (0)	0 (0)	0 (0)	1 (0.74)	0.73
<b>N, number, %, percentage</b>					

Primary and secondary outcomes were also assessed and presented stratified by the clinical diagnosis. All-cause mortality was 30.3% (94/314) in the whole cohort, 31.1% (58/186) in patients with CAP, 27.6% (16/58) in patients with meningitis and 30.3% (20/66) in patients with another diagnosis. The median (IQR) length of ICU stay was 2 days (0–7), 1.5 days (0–8) in CAP patients, 3 days (0–8) in meningitis patients and 1.5 (0–4.25) days in patients with another diagnosis. More than half of the patients were admitted to the ICU (52.6% [163/186]), with meningitis being the most frequent diagnosis on ICU admission. The overall population received a median of 10 (3–14) days of antibiotic treatment. Patients diagnosed with meningitis required longer duration of antibiotic treatment (14 [4–18] P = 0.02). Overall, 43.5% (81/186) of the patients with CAP, 62.1% (36/58) of those with meningitis and 36.4% (24/66) of those with another diagnosis required invasive mechanical ventilation. The need for mechanical ventilation in patients with meningitis was significantly higher (P = 0.01). The overall mortality rate was 30.3% (94/310) (Table 5).

Table 5

Primary and secondary outcomes of patients hospitalized due to invasive pneumococcal disease (IPD).

Primary and Secondary Outcomes	All patients (n = 310)	Pneumonia (n = 186)	Meningitis (n = 58)	Others (n = 66)	P value
Overall mortality, n (%)	94 (30.3)	58 (31.1)	16 (27.6)	20 (30.3)	0,873
Mortality in the ICU, n (%)	64 (20.6)	38 (20.4)	10 (17.2)	16 (24.2)	0,626
Need for invasive mechanical ventilation, n (%)	141 (45.5)	81 (43.5)	36 (62.1)	24 (36.4)	0,012
Need for non-invasive mechanical ventilation, n (%)	16 (5.1)	14 (7.5)	1 (1.7)	1 (1.5)	0,131
ICU Admission, n (%)	163 (52.6)	97 (52.2)	37 (63.8)	29 (43.9)	0,086
Length of ICU stay, median (IQR)	2 (0–7)	1.5 (0–8)	3 (0–8)	1.5 (0–4.25)	0,598
Time to clinical stability, median (IQR)	3 (0–10)	3 (0–10)	4 (0–10)	3.5 (0–7)	0,903
Duration of antibiotic treatment, median (IQR)	10 (3–14)	10 (3–14)	14 (4–18)	7.5 (2–14)	0,029

## 4. Discussion

Results from this study indicate that adult patients hospitalized due to IPD in Colombia are more frequently infected by pneumococcal serotypes included in the conjugate vaccine PCV13 and PPV23. Most of the serotypes associated with IPD were isolated from blood cultures, the most prevalent being serotype 19A, and 3. As previously hypothesized, we found that CAP was the primary clinical syndrome causing IPD, followed by meningitis and then other diagnoses. Finally, many of the cases had underlying comorbidities, such as hypertension and smoking, and many cases were on chronic medications, which are known to be associated with increased risk of IPD.

*Spn* serotype replacement disease is defined as the change of serotype distribution that occurred after the introduction of a new vaccine. It generates a decrease in colonization/infection by the serotypes included in the vaccine and, consequently, an increased rate of infection due to non-vaccine serotypes [27]. There has been a significant decrease in the overall incidence of IPD in the United States since the introduction and widespread use of the 7-valent pneumococcal conjugate vaccine (PCV7) in children back in 2000, and the 13-valent pneumococcal conjugate vaccine (PVC13) in 2010 [27]. In children, this reduction was due to declines in the incidence of IPD in the vaccinated population, while in adults was presumably secondary to indirect effects on pneumococcal transmission via herd protection [28, 29]. However,

simultaneous increases in the proportion of cases of IPD due to pneumococcal serotypes not included in the PCV7 or PCV13 vaccines have been observed in the United States [22, 30, 31].

Similarly, in Colombia several studies have investigated serotype distribution before the introduction of PCV10 mass vaccination at the end of 2011 in Colombia [32]. Parra E.L. *et al.* [2]. concluded that serotype 14 was the most prevalent pneumococcal serotype in children and serotype 3 in older adults between 2005–2010. Later, in 2019 Camacho Moreno *et al.* [33]. found that serotype 19A was the leading cause of IPD in Colombian infants, being pneumonia its principal clinical presentation, followed by bacteremia and meningitis that is consistent with our results that evidenced that pneumonia and meningitis are the main clinical presentation in adults. Likewise, these findings provided clinical data regarding serotype distribution in infants and evidenced the serotype replacement that followed the massive undertake of PCV10 in pediatric population. There has not been a prior study to document similar findings in an adult population in Colombia. Our study presents for the first time, that as described in children, strains 19A and 3 are the main cause of IPD in Colombian adults, probably due to indirect effects on pneumococcal transmission via herd protection. Interestingly, serotype 3 did not infect young adults (18–35 years old) but was the leading cause of IPD in patients  $\geq 65$  years old. Those findings highlight the importance of prioritizing vaccination programs in adults as pneumococcal disease continues to be highly prevalent infection.

Our results show that the most prevalent pneumococcal serotypes are not included in the PCV10, which is part of the obligatory immunization program in Colombia for children < 2 years old. Paradoxically and despite the Colombian guideline recommendations for the use of PCV13 and PPV23 in adult population, serotypes 19A and 3 were the main cause of IPD in our cohort. This phenomenon could be explained by the low rate of vaccine PCV13 1.3% and PPV 23 0.6% (Table 1) undertake in this population and supported by what was previously mentioned, the PCV10 mandatory vaccination in children. Additionally, PCV 13 has been shown to have low immunogenicity for serotype 3 and 19A, which might also contribute to the high prevalence of these serotypes [34, 35]. It has been well-described that low vaccine effectiveness against specific *Spn* serotypes may explain some of the reasons for the distribution of serotypes. Serotype 3, which was the most prevalent serotype identified in older adults in our cohort, is hyper-encapsulated as it has a rather simple capsule configuration, and its massive production is metabolically inexpensive when compared to other serotypes. Additionally, this capsule is not covalently linked to its cell wall and is continuously shed into the bacterial environment and acts distally to the bacterium as a decoy for antibodies generated by the vaccine. More importantly, antibodies and complement factors that become deposited on bacteria-associated capsules would also be shed. As a result, the vaccine does not introduce sufficiently high antibody titers to promote adequate clearance of serotype [5]. Taking these potential explanations into account, it is important to promote public health programs adult vaccination with PCV13 and PPV 23 to evaluate its coverage.

Our study is subjected to limitations and strengths that are important to acknowledge. First, we analyzed a relatively small cohort of patients; however, it is a multicentre study that carries a valuable number of cases. Moreover, the data presented in this manuscript might serve as starting point to carry out a bigger

study that can truly represent Colombian IPD. Furthermore, being a study in Colombia alone, it may not be replicable to other countries, although the major findings were similar to that of those previously published international studies. Moreover, as it is a retrospective study, some information was missing from medical records, leading to an abnormal data distribution of some of the physiological variables and exclusion of several patients. Additionally, due to the characteristics of our health system, there is several discrepancies about the number of days patients are treated for IPD; thus, readers should only extrapolate these results to countries with similar health care systems. We did not assess ICU mortality rate because not all patients were admitted to a hospital with ICU capabilities. Another limitation is that we did not follow the patients over time; thus, we cannot analyze long-term outcomes. Nevertheless, the results appear to reflect the overall trend of changes in the *Spn* serotype distribution in Colombia, with the inclusion of around 50% of isolates during a period of 8 years from the capital city. Importantly, we studied only adult patients, which is an essential strength of our study because even though children develop IPD more frequently than adults, the older population has a higher mortality rate. The surveillance isolation program is critical to identify the replacement serotypes and redirect vaccine development. Despite the limitations, our study shows that *Spn* continues to cause severe IPD in Colombian adults. Continuous monitoring of serotypes and pneumococcal disease is necessary for a better understanding of the pneumococcal burden trends and epidemiology.

In conclusion, this study provides information on the current circulating pneumococcal serotypes in adults hospitalized due to IPD in Bogota, Colombia. Pneumococcal pneumonia continues to be the most prevalent cause of IPD in this population. Comorbidities and smoking are commonly found in these patients therefore should be carefully observed to achieve early diagnosis and treatment. Further research is needed in order to develop more immunogenic vaccines against the most prevalent serotypes strains of *Spn* 19A, 3, and 6A that still occur in certain countries. Likewise, there is a necessity in the Colombian adult population to promote pneumococcal vaccination as recommended by the national guidelines. More extensive prospective studies are necessary to assess future changes in the epidemiology and to make the best decisions regarding immunization strategies.

## 5. Declarations

### **Ethics approval and consent to participate:**

This study was approved, and the use of informed consent was waived the Institutional Review Board of the Clinica Universidad de La Sabana (2020\_MED-23221). The use of informed consent was waived because this was a retrospective study, without any intervention, and used only a chart review to obtain clinical data. Local ethical guidelines were followed to carry out this project.

**Consent for publication:** Not applicable.

**Availability of data and materials:** The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.

**Competing interests:** Charles Feldman has acted on the advisory board and speaker's bureau for Pfizer and the speaker's bureau for MSD. All other authors have no conflict of interest.

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**Authors' contributions:** PON, SGD, JEA, PCRV, CCSM and JLA carry out the chart reviews and extracted the clinical data. PON, SGD, JEA, PCRV, AB, CF and LFR designed the project and wrote the manuscript. PON, AB and LFR carry out the statistical analysis. PON, SGD, JEA, PCRV, CCSM, JLA, AB, SG, HV, CF and LFR reviewed the final manuscript and approved its publication. All authors attest they meet the ICMJE criteria for authorship

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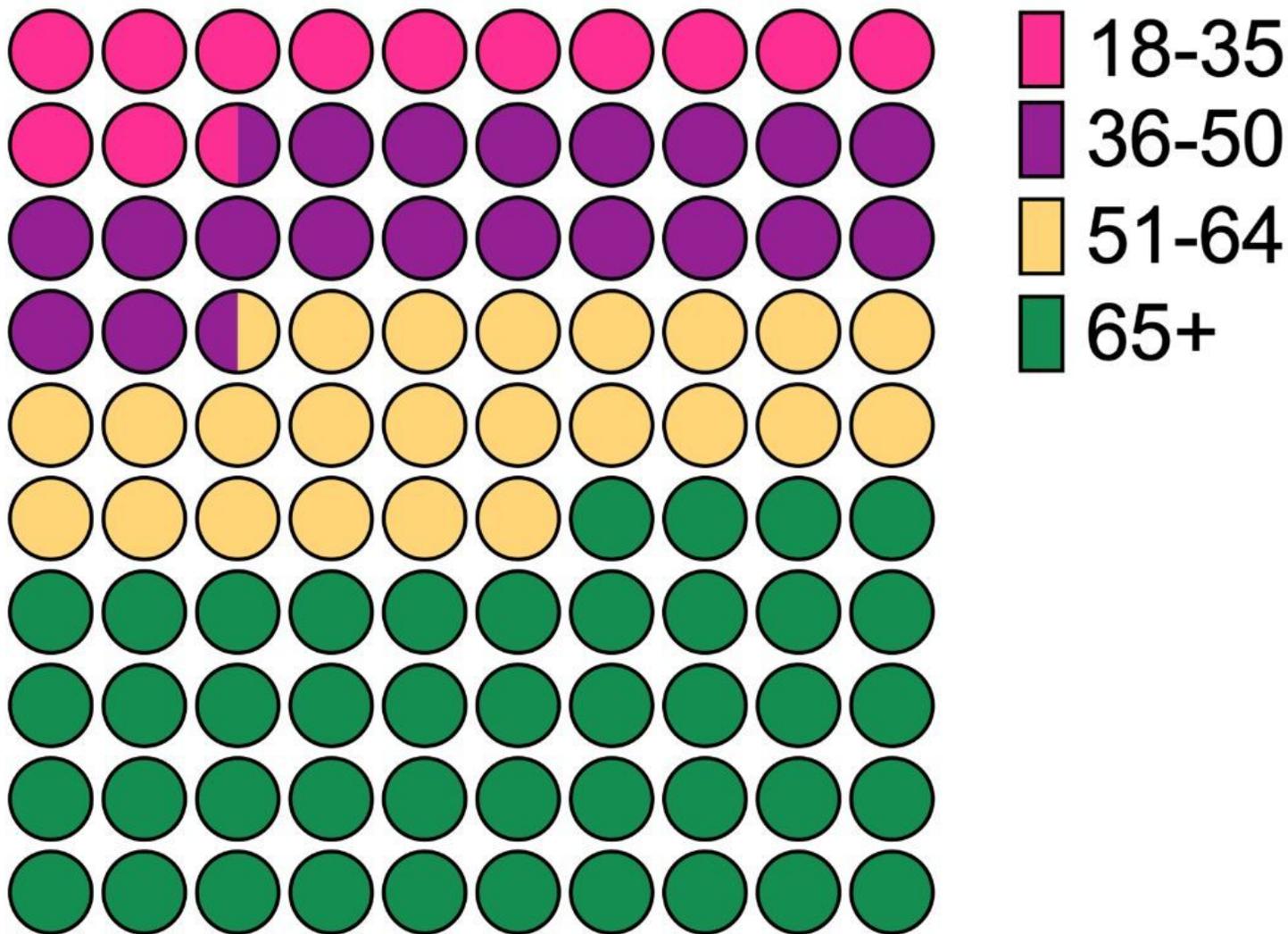
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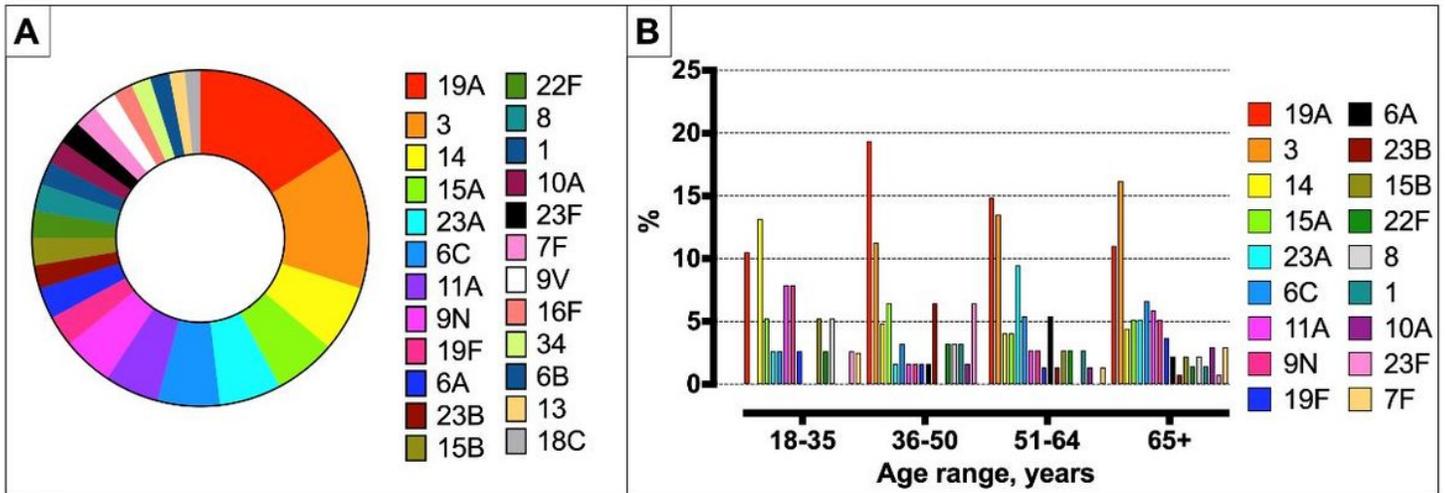
## Figures



## IPD Cases, % (n=310)

Figure 1

General distribution of invasive pneumococcal disease (IPD) by age groups, 18-35, 36-50, 51-64 and  $\geq 65$  years old recovered in adult patients in Bogotá, Colombia; showing percentage distribution. Pink circles age ranges from 18-35, purple circles 36-50, yellow circles 51-64 and green circles age  $\geq 65$  years, being the most affected group by IPD.



**Figure 2**

Overall pneumococcal serotype distribution [A] and distribution by age range. [B].

## Supplementary Files

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