

Identifying genes associated with abiotic stress tolerance suitable for CRISPR/Cas9 editing in upland rice cultivars adapted to acid soils (version 2)

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1 **Identifying genes associated with abiotic stress tolerance suitable for**
2 **CRISPR/Cas9 editing in upland rice cultivars adapted to acid soils**

3

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18

19 **Abstract**

20 Five genes of large phenotypic effect known to confer abiotic stress tolerance in rice were
21 selected to characterize allelic variation in commercial Colombian *tropical japonica* upland
22 rice cultivars adapted to drought-prone acid soil environments (cv. Llanura11 and
23 Porvenir12). Allelic variants of the genes *ART1*, *DRO1*, *SUB1A*, *PSTOL1*, and *SPDT* were

24 characterized by PCR and/or Sanger sequencing in the two upland cultivars and compared
25 with the Nipponbare and other reference genomes. Two genes were identified as possible
26 targets for gene editing: *SUB1A*, *Submergence 1A*, to improve tolerance to flooding,
27 and *SPDT (SULTR3;4)*, *SULTR-like Phosphorus Distribution Transporter*, to improve
28 phosphorus utilization efficiency and grain quality. Based on technical and regulatory
29 considerations, *SPDT* was targeted for editing. The two upland cultivars were shown to carry
30 the *SPDT* wild-type (non-desirable) allele based on sequencing, RNA expression, and
31 phenotypic evaluations under hydroponic and greenhouse conditions. A gene deletion was
32 designed using the CRISPR/*Cas9* system and specialized reagents were developed
33 for *SPDT* editing, including vectors targeting the gene and a protoplast transfection transient
34 assay. The desired edits were confirmed in protoplasts and serve as the basis for ongoing
35 plant transformation experiments aiming to improve the P-use efficiency of upland rice
36 grown in acidic soils.

37

38 **Key words:** acid soils, Phosphorus use efficiency, CRISPR/Cas9, cultivar improvement

39

40 **Introduction**

41 Abiotic stresses associated with rice production in non-irrigated systems include Phosphorus
42 (P) and Nitrogen (P) deficiency, Aluminum (Al) toxicity, water deficit or surplus during the
43 growing season, and temperature extremes associated with climate change. These abiotic
44 challenges account for much of the gap between yield potential and actual crop productivity,
45 particularly in developing countries (Mickelbart et al. 2015; Rao et al. 2016). These stresses
46 often occur in combination; for example, heat and drought, or Al toxicity and Pi (P in the

47 inorganic form of orthophosphate) deficiency, or in succession, for example, flood followed
48 by drought (Mickelbart et al. 2015; Heuer et al. 2017). In highly acidic soils, Al toxicity is
49 associated with numerous other mineral deficiencies and drought, representing a primary
50 factor reducing crop yields (Kochian et al. 2015). Maintaining yield and nutritional quality
51 in variable environments will require intensive research efforts in crop breeding and
52 management (Chaturvedi et al. 2017; Dhankher and Foyer 2018). Rice (*Oryza sativa* L.) is a
53 staple food for more than 50% of the world's population (Roy et al. 2021) and integrated
54 models of climate change and crop production predict significant yield reductions in the near
55 future, with severe consequences for global food security (Mickelbart et al. 2015; Ray et al.
56 2019).

57

58 The Llanos ecoregion and the foothills of the Colombian Orinoco are part of the great
59 savanna biome comprising half of the African continent, large parts of South America and
60 Australia, and smaller parts of North America and Eurasia (Rincón et al. 2014). The
61 Colombian savanna represents 17 million ha, with approximately 4.6 million ha localized in
62 the flat Altillanura, considered one of the largest land reserves for the expansion of crop
63 production (Amezquita et al. 2013). However, this region is characterized by fragile
64 ecosystems with low fertility, drought-prone, and highly acidic soils, prompting the
65 development of integrated strategies to improve soil productivity and generate adapted
66 cultivars (Amezquita et al. 2013). Among the rice cultivars recently released for this region,
67 two upland *tropical japonica* varieties stand out, CORPOICA Llanura11, also known as
68 Cirad 409 (Guimarães et al. 2020) and CORPOICA Porvenir12 (originated from Line 23),
69 derived from a recurrent selection population PTC11 (Grenier et al. 2015). Llanura11 is
70 prominent because of its importance to farmers and its agro-industrial (Krispies, brewery)

71 and direct consumption market, while Porvenir12 carries resistance to the main disease
72 constraint *Pyricularia* (Colombian Agricultural Institute -ICA- resolutions No. 002581 of
73 2011 and No. 00024795 of 2018; Saito et al. 2018). Currently, there is great demand for new
74 rice varieties with increased yield and efficient use of water and nutrients, particularly P. A
75 feasible way to tackle this demand is through genetic improvement of target traits in the
76 already adapted cultivars.

77

78 Genome editing using the CRISPR/*Cas* system opens opportunities to address the demand
79 for new, improved climate-resilient cultivars (Chen et al. 2019). The system has been widely
80 used to generate precise changes in the genomes of many organisms and is rapidly evolving
81 as an accurate and predictable breeding technique (Chen et al. 2019; Graham et al. 2020).
82 Applications in plant improvement are numerous and include, but are not limited to,
83 enhancing pathogen resistance, drought tolerance, and food product quality (Wang et al.
84 2014; Chilcoat et al. 2017; Ansari et al. 2020). In rice, genome editing using various
85 nucleases has demonstrated improved disease resistance, crop yield and quality, abiotic stress
86 tolerance, herbicide tolerance, and male sterility (Zafar et al. 2020). Meanwhile, the
87 legislation and regulation of gene-edited crops and agricultural products are evolving rapidly,
88 with several countries classifying transgene-free genome-edited products under the ‘non-
89 genetically modified organism’ (non-GMO) status, except for the EU and New Zealand
90 (Schmidt et al. 2020).

91

92 This facilitates the use of genome editing during cultivar development and release of
93 transgene-free edited varieties for use in farmers’ fields. In rice, detailed molecular cloning
94 and characterization of genes conferring tolerance to numerous abiotic stresses provides the

95 foundation for genetic improvement of modern cultivars (Mickelbart et al. 2015). Loci
96 harboring genes of known function with large phenotypic effects represent potential targets
97 for gene editing. Among these are genes involved in tolerance to drought, *Deeper Rooting1*
98 (*DROI*) (Uga et al. 2013), submergence, *Submergence 1A* (*SUB1A*) (Xu et al. 2006; Bailey-
99 Serres et al. 2010), aluminum toxicity, *Aluminum Resistant Transcription Factor*
100 (*ART1*) (Yamaji et al. 2009; Famoso et al. 2011; Arbelaez et al. 2017), P-deficiency,
101 *Phosphorus Starvation Tolerance1* (*PSTOL1*) (Gamuyao et al. 2012; Pariasca-Tanaka et al.
102 2014), *SULTR-like Phosphorus Distribution Transporter* (*SPDT / SULTR3;4*) (Yamaji et al.
103 2017) and other traits (Roy et al. 2021). Indeed, rice is a model crop for deploying genes
104 through new breeding technologies due to its amenability for transformation and its extensive
105 genetic and genomic resources (McCouch et al. 2016; Toki et al. 2006; Wang et al. 2018).
106 Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR)-associated nucleases
107 such as *Cas9* and *Cas12a Cpf1*, base editors, protoplast transfection methods, as well as
108 transgenic and DNA-free transformation protocols are all part of the rice gene-editing
109 toolbox (Shan et al. 2014; Wang et al. 2017; Yin et al. 2017; Zafar et al. 2020).

110

111 In the present study, we provide the foundation for genome editing in the upland cultivars,
112 Llanura11 and Porvenir12, as well in the Nipponbare reference genome, with the goal to
113 enhance abiotic stress tolerance. Specifically, the study aims to: i) characterize allelic
114 variation in the genes *DROI*, *SUB1A*, *ART1*, *PSTOL1*, and *SPDT* via PCR and sequencing
115 to determine whether the upland varieties carried alleles that might be appropriate targets for
116 gene editing; ii) evaluate gene expression and phenotypic variation associated with *SPDT*
117 alleles; iii) generate vectors for targeting *SPDT* using CRISPR/*Cas9* and determine whether
118 the gene can be deleted in protoplasts. We further examine quick and reliable methods for

119 evaluating *SPDT*-associated phenotypes and discuss varietal adaptation mechanisms as well
120 as technical and regulatory implications of developing *SPDT*- edited rice varieties.

121

122 **Materials and Methods**

123

124 **Plant material**

125 The plant material used in the study is described in Table S1. Seed of the two cultivars
126 Llanura11 and Porvenir12 were provided as advanced lines by the International Center for
127 Tropical Agriculture (CIAT) to the Colombian Agricultural Research Corporation
128 (AGROSAVIA), the national institution that evaluated and registered the two cultivars for
129 the acid soil savannas of Colombia. The lines were developed in the CIAT- French
130 Agricultural Research Centre for International Development (CIRAD) breeding program
131 (Saito et al. 2018). The other materials correspond to rice reference cultivars or related
132 species carrying desirable or undesirable alleles at each of the abiotic stress tolerance genes
133 *ART1*, *DRO1*, *SUB1A*, *PSTOL1*, and *SPDT*. Homozygous *Tos17* mutants at *SPDT* were
134 obtained from two lines (ND0047 and NE3502) provided by the Rice Genome Resource
135 Center of the Institute of Crop Science, NARO (RGRC-NICS) in Japan following the
136 provider recommendations (Miyao et al. 2003; Rice Tos17 Insertion Mutant Database 2013).
137 The seeds were disinfected using sodium hypochlorite (2%) for 30 minutes and then washed
138 with sterile water before germination. All seeds were amplified under greenhouse conditions
139 at Cornell University following the procedures manual (Harrington 2016).

140

141 **PCR and sequence of gene variants**

142 Total genomic DNA was extracted from fresh foliar tissue using the DNeasy Plant Mini Kit
143 (QIAGEN) as the template for PCR of gene regions. For *SPDT*, cDNA obtained from the
144 shoot basal region (SBR, 0.5 cm above the union of root and shoot) as described below
145 (qPCR) was also used as a template. The PCR was carried out in a 50µl reaction with 40
146 ng/µL of total DNA or cDNA. The Q5® High-Fidelity DNA Polymerase (NEB) was used
147 following manufacturer instructions. PCR products were visualized on 1% agarose TAE gels.
148 PCR products of the expected size were cleaned using the ExoSAP-IT enzyme (Thermo
149 Fisher Scientific) and sequenced by Sanger. For *ART1* and *SPDT*, the complete coding
150 sequence (CDS) was further sequenced. The *ART1* CDS was obtained using primers *ART1*-
151 3F/4b, and the PCR product was cloned using the Zero Blunt® PCR Cloning Kit (Invitrogen).
152 The *SPDT* CDS, as well as intronic, upstream and downstream regions, were obtained from
153 cDNA and genomic templates using different primers (Figure S1). All primers used for PCR
154 and sequencing are described in Table S2. Trimming of noisy sequences was followed by
155 nucleotide or predicted amino acid sequence alignment using the Clustal W 1.83 against the
156 GeneBank reference genes using the GeneStudio version 2.2 software.

157

158 ***SPDT* phenotyping at early vegetative development**

159 The *SPDT* phenotype was evaluated following the method described for Nipponbare (Yamaji
160 et al. 2017) with modifications in the hydroponic nutrient solution and developmental stages
161 targeted for evaluation. One-hundred seeds per cultivar (Llanura11, Porvenir12, and
162 Nipponbare) and 40-50 seeds per *Tos-17 spdt* line (Table S1) were dried at 30°C for 3 days
163 followed by 42-45°C for one day. All seed was treated with fungicide (Captan400, Trilex,
164 and Allegiance), while the *Tos-17 spdt* seed was further treated with gibberellic acid 10 µM
165 for 24 hours at room temperature in the dark to promote germination. Next, seeds were placed

166 on germination paper under Milli-Q water between 30/26°C day/night for 5 days. Up to eight
167 homogeneous seedlings per cultivar/line were transferred to Magnavaca nutrient solution
168 (Famoso et al. 2010; Table S3) at pH 5.6 and 0 µM phosphorous (P) in 30-liter containers.
169 Ten days after germination, the seedlings were transplanted to the same fresh solution, this
170 time with 90µM P in 180-liter containers, and growth in chambers at 30/26°C - day/night -
171 12 hours each, light intensity of 450 mmol photons m⁻² s⁻¹ and continuous aeration. The
172 accuracy of the final concentration of elements in the nutrient solution was confirmed by
173 Inductively Coupled Plasma Emission Spectroscopy (ICP-ES). Seedlings were sampled at 5
174 and 8-leaf stages and were first washed with a 5 mM CaCl₂ solution and then MilliQ water.
175 Samples were taken from roots (R), shoot basal region (SBR), the old leaf (L2), and the
176 youngest leaf (L5 or L8) at the corresponding leaf stage (Figure S2). Follow-up of
177 phenological stages and leaf tracking was carried out as previously reported (Counce et al.
178 2000; Xing and Zhang 2010) for each cultivar (Figure S3). All samples were oven-dried at
179 65-70°C for a week, followed by determination of P by ICP-ES with 3 technical replicates.

180

181 **Real-time quantitative PCR (RT-qPCR) for *SPDT* at early vegetative development**

182 cDNA was obtained from the SBR of Llanura11, Porvenir12, and Nipponbare seedlings
183 grown under hydroponic conditions as described above. Briefly, 120 seeds per cultivar were
184 treated and germinated in water for 6 days, and 40 homogenous seedlings per cultivar were
185 transferred to Magnavaca nutrient solution, pH 5.6 and 0 µM P in 30-liter containers. Twelve
186 days after germination, half of the seedlings were transferred to a fresh solution without
187 phosphorus (0 µM P, treatment) and the other half to a solution containing P (90 µM P,
188 control experiment) for one week according to Yamaji et al. (2017). On day 19 after
189 germination, SBR samples were collected, frozen in liquid N₂, and stored at -80°C. Total

190 RNA extraction, on-column DNA digestion, and synthesis of single-stranded cDNA were
191 performed using the RNeasy Plant Mini Kit (QIAGEN), the RNase-Free DNase Set
192 (QIAGEN), and the High-Capacity RNA to cDNA kit (Applied Biosystems), respectively,
193 following the manufacturer instructions. RT-qPCR was carried out using the Power
194 SybrGreen Mastermix (Applied Biosystems) and the primers listed in Table S2 according to
195 the manufacturer's instructions. Relative expression levels (at the 90 μ M P control) were
196 normalized against the endogenous actin control and calculated using the $\Delta\Delta C_t$ method with
197 the RQ-Manager software (Life Technologies). Four independent biological replicates with
198 three technical replicates each were analyzed. Each biological sample was represented by 20
199 SBRs that were pooled to obtain the amount of RNA required for cDNA synthesis.

200

201 ***SPDT* phenotyping at seed maturity**

202 Seeds were dried (as described above) and sown in germination trays. Seedlings were
203 transplanted to pots and randomly distributed in paddy tanks under greenhouse conditions
204 (Harrington 2016). Plants were grown to maturity, and samples were taken from the flag leaf
205 blade (FL) (of the main culm/stem), three green leaf blades (GL) (of culms harboring panicles
206 from three different positions), and from the panicles. Fifteen to 20 grams of dried seeds per
207 sample were de-husked using the TR200 Rice Husker (Kett) and polished using the Pearlest
208 Grain Polisher (Kett) to obtain brown seed (BS) and polished seed (PS). All samples were
209 homogenized and subjected to ICP-ES analyses to determine P, Fe, and Zn. The PS was
210 further analyzed for P and phytic acid concentrations using the Megazyme Phytic Acid Assay
211 Kit (McKie and McCleary 2016). Fe bioavailability was determined by Fe uptake in Caco-
212 2 cells by ferritin formation in response to exposure to a digest of the PS (Glahn et al. 2002).
213 The molar ratios of phytic acid to Fe and Zn were calculated as the micromoles of phytic acid

214 per gram of PS divided by the micromoles of the element per gram of PS. Six biological
215 replicates per genotype with three technical replicates each were analyzed.

216

217 **Statistical analyses**

218 For hydroponic and greenhouse experiments, the response variables (concentration of P and
219 other elements) were analyzed using a linear model. Residual analysis was carried out to
220 ensure that model assumptions related to normal distribution and homogeneity of variances
221 were met. Multiple comparison of means was performed using the Tukey method. Means
222 were declared significantly different if p values were >0.05 or 0.01 . The RStudio package
223 Version 3.6.2 was used for the analyses.

224

225 **Selection and verification of guide RNAs (gRNAs)**

226 The best gRNAs for targeted deletion of *SPDT* were selected using CRISPR-P v.2.0 (Bioinfo
227 2016) using the following parameters: Protospacer Adjacent Motif (PAM): NGG *Cas9* of
228 *Streptococcus pyogenes*, nucleotide length: 20, genome: *Oryza sativa* (RAP-DB) against the
229 *SPDT* gene and 5', 3' regions upstream and downstream of the CDS. The selection criteria
230 included high on-target scores (> 0.5), low off-target scores (<0.5), low off-target numbers
231 (<40), high number of MisMatches (MM) for off-targets ($> 2MM$), low number of off-targets
232 in genes (<1). Using the primers described in Table S2, we generated nucleotide sequences
233 of the gRNA target regions in the cultivars Llanura11 and Porvenir12 and compared them
234 with corresponding regions of the Nipponbare reference genome.

235

236 **Design and construction of CRISPR/Cas9 vectors**

237 Base vectors from three modules A (pMOD_A1511), B (pMOD_B2112), and C
238 (pMOD_C000) were used to target the *SPDT* gene. For B module vectors, a previous
239 assembly of two gRNAs in pair-wise combinations driven by one PvUbi promoter was
240 carried out. The A, B, C vectors were assembled in pTRANS_240, suitable for
241 *Agrobacterium* plant transformation. We followed the protocols used for assembly in module
242 B vectors and in the plant transformation vector described previously (Čermák et al. 2017).
243 Primers used for vector assembly are described in Table S2. Constructs from positive *E. coli*
244 *Top10* cells (Thermofisher) were confirmed by Sanger sequence.

245

246 **Protoplast transfection and evaluation**

247 Nipponbare protoplasts were obtained and transformed using polyethylene glycol (PEG) as
248 previously described (Lowder et al. 2015) using the plant vector assembled with the best
249 gRNA pair. Total genomic DNA was extracted by the Cetyl Trimethylammonium Bromide
250 (CTAB) method (Fulton et al. 1995). PCR and Sanger sequencing was performed with the
251 primers described in Table S2 using Q5® High-Fidelity DNA Polymerase (NEB) following
252 the manufacturer's instructions to confirm the *SPDT* deletion.

253

254 **Results**

255

256 **Allelic variation at abiotic stress tolerance genes**

257 Five abiotic stress tolerance genes, *ART1*, *DROI*, *SUB1*, *PSTOL1* and *SPDT*, previously
258 demonstrated to have large phenotypic effects in rice under field conditions, were examined
259 for allelic variation in two *tropical japonica* upland cultivars, Llanura11 and Porvenir12.

260 Four of the genes are associated with Quantitative trait loci (QTL) variation, while *SPDT*
261 was identified using a *Tos17* mutant screen. Table 1 describes each gene and provides
262 information about desirable and undesirable alleles harbored by reference cultivars. The PCR
263 and sequence analysis of allelic variants in Llanura11 and Porvenir12 identified favorable
264 alleles at *ART1*, *DROI*, and *PSTOLI*, and null or undesirable alleles at *SUBIA* and *SPDT*.

265

266 At the *ART1* locus, PCR products of identical size (1.5 Kilobases (Kb)) were generated using
267 the primers described in Supplementary Table S2 in both *tropical japonica* Colombian
268 cultivars as well as in the two *japonica* reference genomes, Nipponbare and Azucena. Sanger
269 sequencing of the PCR products confirmed that the predicted amino acid sequences of the
270 ART1 protein were identical in the two Colombian cultivars and 100% identical to
271 Nipponbare, but they differed by a single non-synonymous substitution at position 436
272 compared to Azucena (Figure 1). When ART1 sequences from these four *japonica* genomes
273 were compared to those from the *indica* (IR64) and *aus* (Kasalath) reference genomes, they
274 differed by 4 amino acid (aa) substitutions, six small InDels, and an 8-aa InDel at position
275 387-395 (Figure 1), consistent with a previous report (Arbelaez et al. 2017).

276

277 At the *DROI* locus, PCR was used to amplify exons 1 and 2 (667 base pairs (bp)) and exons
278 3, 4 and 5 (516 bp) using primers described in Supplementary Table S2. Sequencing of the
279 PCR products showed that the Colombian cultivars were again identical to each other and
280 carried the desirable deep-rooting allele found in the *tropical japonica* reference variety,
281 *Kinandang* Patong, and the *temperate japonica* reference variety, Nipponbare (Figure 2a).
282 The shallow-rooting allele discovered in the *indica* variety IR64 differs from the deep-rooting
283 allele by a one bp deletion in exon 4 at nucleotide position 943 from start of the 5'

284 untranslated region (UTR) in the transcribed region. This single bp change causes a
285 premature stop that produces a truncated protein (Uga et al. 2013).

286

287 At the *PSTOLI* locus, a single PCR product of 258 bp was strongly amplified in *Oryza*
288 *glaberrima* (cv CG14) and in the two Colombian cultivars using primers K46-
289 CGsp2fw/K46-1 (Figure 2b; Suppl Table S2), suggesting that they harbor the same P-
290 deficiency tolerant allele (Pariasca-Tanaka et al. 2014). Amplification of the 258 bp allele
291 was weak in Nipponbare, IR64, and Kasalath. Using primers K46-K1F/K46-Ksp3rv, a 342
292 bp amplicon was strongly amplified in the P- deficiency tolerant *aus* reference cultivar,
293 Kasalath, while amplification of the same amplicon was weak in Llanura11, Porvenir12 and
294 *O. glaberrima* and was completely lacking in the P-deficiency intolerant varieties, IR64 and
295 Nipponbare (Figure 2b) (Gamuyao et al. 2012; Pariasca-Tanaka et al. 2014). Sequencing of
296 the 342 bp amplicon confirmed its identity in Kasalath but amplification was too weak in
297 Llanura11, Porvenir12 and CG14 to allow sequencing. However, sequencing of the stronger
298 258 pb amplicon in these three materials indicated that the overlapping region in the 258 and
299 342 amplicons (from positions 451 to 526) between primers K46-CGsp2 (the forward primer
300 of the 258 pb amplicon) and K46-Ksp3 (the reverse primer of the 342 pb amplicon) harbors
301 three SNPs at each of these primers, confirming that the Colombian cultivars are identical to
302 the CG14 allele (Figure 2b).

303

304 At the *SUB1A* locus, a single strong PCR product was observed in the submergence-
305 tolerant *aus* variety FR13A (Xu et al. 2006) using primers 1F/1R (1015 bp product) or 1F
306 (GN15f)/1/2R (OFR1) (825 bp product) (Suppl. Table S2) while no amplification was
307 observed in the Nipponbare reference nor in either of the Colombian cultivars (Figure 2c).

308 This indicated that the *SUB1A-1* allele conferring submergence tolerance was missing from
309 the *japonica* varieties, consistent with expectations (Xu et al. 2006; Singh et al. 2010; Bailey-
310 Serres et al. 2010).

311

312 At the *SPDT* (*SULTR3;4*) locus, sequence analysis confirmed that the 670 amino acid protein
313 predicted in the two Colombian cultivars was identical to that predicted in the reference
314 variety, Nipponbare (Figure 3a; Yamaji et al. 2017). This wild-type allele differed from the
315 allele observed in the P-use efficient *Tos17* mutant lines (ND0047 and NE3502) (Yamaji et
316 al. 2017; Figure 3b). Considering technical and country-level regulatory feasibility for the
317 development of gene editing products, we selected *SPDT* for further analyses.

318

319 ***SPDT* phenotyping and RNA expression at early vegetative development**

320 The *SPDT* phenotype was studied in the two Colombian cultivars (both carried the *SPDT*
321 allele), Nipponbare (*SPDT*), and the two *Tos17* mutant lines (*spdt*) during the early stages of
322 vegetative development grown in hydroponic conditions. At the 5 and 8-leaf stages, in the
323 presence of sufficient Pi (90 μ M), P concentrations significantly increased in older leaves
324 (L2) and decreased in the SBR of the mutants as compared to the other three varieties (Figure
325 4a-b). These differences are consistent with previous observations for Nipponbare at the 8-
326 leaf stage (Yamaji et al. 2017). No differences in P concentration were detected in the
327 youngest leaves at the 5 and 8-leaf stages (Figure 4a-b). P concentrations were similar in
328 Nipponbare, Llanura11 (Figure 4; Figure S4), and Porvenir12 (Figure S4). Expression of the
329 *SPDT* gene was induced in the SBR of both Colombian cultivars and in Nipponbare under
330 P-deficiency conditions. In the present study, a 4.4, 5.5, and 2.3-fold increase in *SPDT*

331 expression was detected in Llanura11, Porvenir12, and Nipponbare, respectively, when 0 μ M
332 P treatments were compared to the P-sufficient (90 μ M Pi) control condition (Figure 4c).

333

334 ***SPDT* phenotyping at seed maturity**

335 The *SPDT* phenotype was also evaluated at seed maturity under greenhouse conditions in the
336 Colombian cultivars using Nipponbare (*SPDT wt*) and *Tos17* mutants as references. Higher
337 P concentrations were observed in leaf tissue, specifically in the FL and GL, while lower P
338 concentrations were observed in the BS of the mutants compared to Nipponbare (reduced by
339 about 15-19%) (Figure 5a), consistent with the study (Yamaji et al. 2017). Llanura11 and
340 Porvenir12 showed P concentrations similar to Nipponbare for both straw and seed (Figure
341 5a). No significant differences were observed in P and phytic acid concentrations in the PS
342 for any lines (Figure 5b-c).

343

344 Fe concentrations in the BS of the mutant lines were higher than in Nipponbare or the
345 Colombian cultivars (Figure 5d), while there was no clear correspondence between Fe
346 concentration (Figure 5d) and Fe-bioavailability in the PS (Figure 5e). The latter measured
347 as Fe uptake based on the Caco-2 cell ferritin formation assay showed no significant
348 differences among any of the lines (Figure 5e). As with Fe, Zn concentrations were higher in
349 the mutants than in Nipponbare, in both the BS and the PS (Figure 5f). Fe and Zn
350 concentration differences trend between mutants and wild type in BS is in agreement with
351 the previous study (Yamaji et al. 2017). Zn concentrations were the highest in Llanura11 and
352 Porvenir12 (Figure 5f). The molar ratios of phytic acid:Fe and phytic acid:Zn of PS were not
353 significantly different among cultivars, with the phytic acid:Fe ranging from 60:1 in the

354 mutant to 71:1 in Nipponbare and the phytic acid to Zn ranging from 5:1 in Porvenir12 to 8:1
355 in Nipponbare (Figure S5).

356

357 **Generation of specialized reagents for *SPDT* CRISPR/Cas9 editing**

358 The *SPDT* genotype, phenotype, and RNA expression analyses all indicate that the
359 Colombian cultivars carry the wild-type (less desirable) allele. Based on this conclusion,
360 specialized reagents were designed to target the deletion of the *SULTR3;4* gene via
361 CRISPR/*Cas9*. This included construction of editing vectors and confirmation of vector
362 gRNA editing by protoplast transfection in Nipponbare (as the reference genome).

363

364 Using the genome sequence information from the Nipponbare as the reference genome, five
365 gRNAs targeting the *SPDT* (*SULTR3;4*) gene were designed (1U, 1D, 3, 4, and 1F) (Table
366 2, Figure 6a). The gRNAs met the following criteria: high on-target scores (0.59 to 0.90),
367 relatively low number of off-targets (5 to 19, except gRNA 3 with 40) preferentially located
368 in non-genic regions, and if located in genes, low off-target scores (0.22 to 0.44) with a high
369 number of mismatches (3-4). These selection criteria were selected to give a low probability
370 of off-target editing by CRISPR/*Cas9* in rice (Tang et al. 2018). Any of the four possible
371 pair-wise combinations of selected gRNAs (1U or 3 at the 5'end with 4 or 1D at the 3'-end)
372 are expected to produce a deletion of the gene. The 1F gRNA designed to produce a
373 frameshift mutation was considered as a back-up editing strategy, to be used only if any of
374 the four possible pair-wise combinations (described above) failed to work and if 1F produced
375 a desirable early stop codon.

376

377 The 1U-1D gRNA pair was selected for further work based on the low number of predicted
378 off-target sites in the Nipponbare genome (12 and 5, respectively Table 2). Moreover, this
379 pair is expected to delete the entire gene using a template-free approach with the 1U-gRNA
380 targeting a sequence upstream of the transcriptional start site and the 1D-gRNA targeting a
381 sequence downstream of the stop codon (Figure 6a). Most importantly, the gRNA regions in
382 the target *SPDT* gene showed conserved nucleotide identity among the Colombian cultivars
383 and the reference Nipponbare (Figure 6b). Deletion of the gene is advantageous because it
384 minimizes country-level regulatory concerns (Chilcoat et al. 2017; Schmidt et al. 2020).
385 Therefore, we next transfected protoplasts with a vector containing the 1U-1D gRNA pair.
386 The PCR using protoplast genomic DNA produced a band of the expected size (0.37 Kb),
387 indicating that the desired *SPDT* deletion (about 7.3 Kb) had occurred. This was confirmed
388 by Sanger sequencing (Figure 6c).

389

390 **Discussion**

391

392 **Abiotic stress alleles harbored by Colombian cultivars shed light on adaptation** 393 **mechanisms and needs for further genetic improvement**

394 The rice cultivars Llanura11 and Porvenir12 are cultivated as *tropical japonica* upland
395 varieties in the savannas of Colombia which form part of the great Savanna biome
396 (Amezquita et al. 2013; Rincón et al. 2014; Saito et al. 2018). These ecosystems are
397 characterized by highly acidic, low fertility soils associated with Al toxicity, P deficiency,
398 and drought alternating with high precipitation. To investigate the potential for targeted
399 genetic improvement, we characterized alleles found in these varieties at five major-effect

400 genes known to confer Al toxicity tolerance (*ART1*), drought avoidance (*DROI*),
401 submergence tolerance (*SUB1A*), P-acquisition (*PSTOLI*), and P-use efficiency (*SPDT*) via
402 PCR and sequencing. Desirable alleles were found at *ART1*, *DROI*, and *PSTOLI*, suggesting
403 that adaptative mechanisms associated with physiological response to soil pH and ionic
404 composition, including root growth angle and overall root system architecture are conferred
405 by these loci in response to drought-prone acidic soil environments. In fact, Llanura 11, also
406 known as Cirad 409 (Grenier C., personal communication), is characterized by a strong root
407 system under irrigated conditions and an increased total root volume under drought
408 conditions (Guimarães et al. 2020).

409 The fact that the Colombian cultivars carry Al tolerant alleles at *ART1*, a deep rooting allele
410 at *DROI*, and a likely P-deficiency tolerant allele at *PSTOLI* is consistent with their
411 adaptation to acid soils. In rice varieties that are susceptible to Al toxicity (a ubiquitous
412 feature of low pH soils), root growth is severely limited (Famoso et al. 2011; Kochian et al.
413 2015), making it difficult for roots to explore the soil and reach deeper horizons to find water
414 (Uga et al. 2013) and/or to find an immobile nutrient such as Pi (Heuer et al. 2017). Thus,
415 favorable alleles at these three loci would be mutually complementary in promoting root
416 growth in acid soils.

417 The present study suggests that the desirable *PSTOLI* allele found in the Colombian cultivars
418 shares ancestry with the *PSTOLI* allele found in CG14, a cultivar of African rice, *O.*
419 *glaberrima*. This allele is different from the allele originally cloned from the *aus* variety
420 Kalalath (Gamuyao et al. 2012; Pariasca-Tanaka et al. 2014). It shows a 35 base-pair
421 substitution when aligned to the Kasalath allele, which facilitated the development of the
422 Kasalath (342-bp amplicon) and CG14 (258-bp amplicon) allele-specific markers (Pariasca-

423 Tanaka et al.2014). We observed that the 258-bp amplicon in the two Colombian cultivars
424 and in *O. glaberrima* carried six nucleotide substitutions as previously reported (Figure 2,
425 Pariasca-Tanaka et al.2014). The fact that we also observed a faint 342-bp band in these three
426 cultivars and a faint 258-bp band in Kasalath may be explained by weak primer annealing
427 due to nucleotide substitutions between the Kasalath and CG14 alleles. In fact, the K46-K1F
428 / K46-Ksp3rv primer pair specific for the 342-bp Kasalath allele has two polymorphisms in
429 the forward primer and three in the reverse primer, while the K46-CGsp2fw / K46-1 specific
430 for the 258-bp *O. glaberrima* CG14 allele has three polymorphisms in the forward primer
431 (Pariasca et al., 2004).

432 The Colombian cultivar Llanura 11 was developed by conventional cross breeding with
433 various progenitors (IRAT 146/Oryzica Sabana10//CT10035-43-4-M-3) (ICA resolution No.
434 002581 of 2011). This line was developed as part of a recurrent selection population where
435 identification of promising lines adapted to the Colombian savannah eventually gave rise to
436 Porvenir12 (PCT-11\0\0\2,Bo\2\1>133-M-5-1-4-3-M) (ICA resolution No. 00024795 of
437 2018; Grenier et al. 2015). Tracing the CG14 allele back through the ancestral lines may
438 clarify its origin in the Colombian cultivars. On the other hand, while it appears to be
439 favorable, it remains to be determined whether the CG14 allele confers a similar P-uptake
440 advantage as the Kasalath allele in the cultivars under investigation.

441

442 In contrast to *ART1*, *DROI* and *PSTOLI*, adaptive alleles at *SUB1A* and *SPDT* were lacking,
443 suggesting that targeted introgression and/or gene editing of favorable alleles at these loci
444 could enhance the performance of Llanura11 and Porvenir12. The *SUB1* locus is known to
445 harbor a gene family consisting of 2-3 tandemly arrayed members on chromosome 9 (Fukao

446 et al. 2006), with the *SUBIA* family member conferring tolerance to complete submergence
447 in the *aus* variety FR13A for up to 14 days (Xu et al. 2006; Singh et al. 2020). It is also
448 associated with enhanced tolerance to other abiotic stresses, including drought (Fukao et al.
449 2011; Bin Rahman and Zhang 2016). The *SUBIA* gene is absent in the Nipponbare reference
450 genome and other *japonica* varieties due to an inversion and deletion (Singh et al. 2010).
451 Consistent with this, we found that the gene was absent from the *tropical japonica* Llanura11
452 and Porvenir12 cultivars (Figure 2). Although the Colombian savannas are characterized by
453 a strong dry season, there is also an important water surplus due to precipitation and even
454 floods (Rincón et al. 2014), suggesting that marker-assisted introgression of *SUBIA* may be
455 the most efficient way to generate climate-resilient varieties for these environments.

456

457 *SPDT*, a gene involved in internal P use efficiency (PUE), was cloned in cv. Nipponbare by
458 transposon *Tos17* tagging (Yamaji et al. 2017). The loss-of-function desirable allele causes
459 less P to be allocated to the grain (by about 20%) and consequently a potential increase of
460 bioavailability of essential nutrients (Fe, Zn) without affecting seed germination and grain
461 yield (Yamaji et al. 2017). At the same time, more P is assigned to the straw, which remains
462 in the field after harvest and could be used as a fertilizer (Rose et al. 2013; Yamaji et al.
463 2017). The sequence of this gene locus in the upland cultivars under investigation showed
464 that the wild-type allele was present. Thus, further cultivar improvement by knocking out
465 *SPDT* offers an interesting possibility to generate high PUE and grain quality cultivars.

466

467 Given that directed DNA insertion is both technically challenging and presents regulatory
468 hurdles (because it would be subjected to the restrictions imposed on genetically modified

469 organisms (GMOs) (Georges and Ray 2017; Wang et al. 2017), it would be advisable to
470 introgress the *SUB1A* gene into the two Colombian varieties using marker-assisted selection
471 (MAS). This approach has successfully introduced submergence tolerance into varieties
472 grown on thousands of hectares in flood-prone regions of Asia and Africa (Mickelbart et al.
473 2015). On the other hand, editing the *SPDT* gene through a targeted gene deletion offers a
474 practical solution, given that a DNA-free editing strategy or an *Agrobacterium* mediated
475 transformation editing strategy producing transgene-free products could be used, posing
476 fewer technical and regulatory constraints (Chilcoat et al. 2017; Georges and Ray 2017).
477

478 The *SPDT* phenotype was next examined in the Colombian cultivars and compared with
479 Nipponbare (*SPDT wt*) and the two *Tos17* mutant lines (*spdt*). This was done by evaluating
480 gene expression (using qPCR) and organ P concentration at the early vegetative and seed
481 maturity stages. For qPCR, the SBR was targeted for analysis based on a report showing that
482 this tissue produced the most significant *SPDT* differential expression using 0 and 90 μM P
483 treatments under hydroponic conditions (Yamaji et al. 2017). The induction of *SPDT*
484 expression under 0 μM P in the reference Nipponbare was 2.3-fold higher compared to the
485 control condition of 90 μM P (figure 4c). This represents a smaller induction of gene
486 expression as compared to that observed in the previous study for Nipponbare (6.5-fold
487 increase) (Yamaji et al. 2017). There was also no difference in P concentration in the
488 youngest leaf 8 at the 8-leaf stage (Figure 4b) as opposed to the reported in the previous study
489 (Yamaji et al. 2017), where lower P concentrations were detected in the *spdt* mutants as
490 compared to wild type Nipponbare. The differences in gene expression and P concentrations
491 in the 8th leaf in the two studies are likely due to differences in the nutrient solutions used

492 (Table S3; Magnavaca in the present study vs. Kimura in Yamaji et al. (2017)) and/or small
493 differences in experimental conditions.

494

495 Llanura11 and Porvenir12 were most similar to Nipponbare in terms of induced RNA
496 expression under P-deficiency conditions, increased P concentration in older leaves during
497 early vegetative development (5 and 8- leaf stages) and in flag and green leaves at seed
498 maturity, and reduced P concentrations in BS (Figures 4-5). Based on these results, we
499 concluded that the two Colombian cultivars carry the wild-type *SPDT* allele and are therefore
500 likely to benefit from deletion of the *SULTR3;4* gene, mimicking the results observed in the
501 ND0047 and NE3502 mutant lines.

502

503 **Early phenotyping for fast screening of *spdt* genotypes**

504 The *SPDT* phenotype was previously evaluated at the 8-leaf stage using Kimura B solution
505 at half-strength (Yamaji et al. 2017). One objective of this study was to determine whether
506 we could phenotypically differentiate the *SPDT* wild-type from the *spdt* knock-out lines at
507 earlier stages of development, i.e., at the 5-leaf stage in plants grown in Magnavaca solution
508 (Famoso et al. 2011), which has 7.5 times greater ionic strength than the Kimura B^{1/2} solution
509 (Table S3). We also grew plants in a growth chamber rather than in a greenhouse which
510 helped to minimize environmental variation, providing greater consistency of temperature
511 and luminosity, regardless of the time of year.

512

513 Our results indicate that the earlier 5- leaf stage of development and higher ionic strength
514 solution under growth chamber conditions can be used to reliably evaluate the phenotype
515 under hydroponic conditions, saving time and resources (Figure 4). The original method

516 required 32-42 days to evaluate the Nipponbare phenotype at the 8- or 9-leaf stage (Yamaji
517 et al. 2017), while the modified method required 22 days for Nipponbare, and 25 days for the
518 Colombian varieties to reach the 5-leaf stage. Nipponbare takes 33 days and the Colombian
519 varieties 42 days to develop to the 8-leaf stage in the Magnavaca solution under growth
520 chamber conditions (Figure S3). The higher ionic strength of the growth solution, a high
521 volume ratio (about 10 liters of solution/plant), and shorter growth periods resulted in
522 minimal nutrient depletion from the solutions, as indicated by routinary ICP analysis of the
523 Magnavaca solution throughout the experiment. These modifications reduce water usage,
524 minimal replacement of microelements, and overall less labor. Therefore, this modified
525 protocol represents an improved technique to quickly screen for desirable *spdt* mutants at the
526 seedling stage.

527

528 **Low P brown seed as a potential source of bioavailable nutrients**

529 Varieties that transfer less P to developing grains offer environmental and nutritional benefits
530 (Rose et al. 2013). Phytic acid salt (phytate) is the main form of P in cereal grains where it
531 acts as an antinutrient, decreasing the bioavailability of other essential nutrients, such as Fe
532 and Zn (Perera et al., 2018). Phytate accumulates in the bran or aleurone layer of brown rice
533 seed where it can chelate Fe, K, and Ca, while Zn is found broadly distributed from the
534 aleurone layer to the inner endosperm, often bound to phytic acid but also found in another
535 storage form (Iwai et al. 2012). In this study, we investigated the hypothesis that reducing
536 this chelant might increase nutrient bioavailability and nutritional benefits by comparing P,
537 Fe, and Zn concentrations of BS and PS in *spdt* mutants and wild-type rice.

538

539 We confirmed that higher P concentrations were detected in BS in *SPDT* wild-type genotypes
540 (Nipponbare and Colombian cultivars) compared with *spdt* mutants, while no differences
541 were found in PS for either P or phytate concentrations, nor for Fe bioavailability (Figure 5).
542 This lack of correspondence between Fe concentration and Fe uptake determined by the caco-
543 2 cell bioavailability assay has been previously reported for brown rice (Glahn et al. 2002).
544
545 The molar ratios of Phytic acid:Fe were very high (Table S5), indicating that not much Fe in
546 the PS is contributing from a nutritional perspective. This is in agreement with the fact that
547 only 4.335 ng of ferritin/mg of protein was formed in the control Nipponbare with no
548 significant differences among genotypes (Figure 5e), therefore, not much Fe is likely to be
549 delivered from the PS. On the other hand, the molar ratios of phytate:Zn were much lower
550 (Figure S5). Typical Zn levels in polished rice are low (8-12 ppm) but there is a wide genetic
551 variability in brown (7.3 to 52.7 ppm) and polished (8 to 38 ppm) rice (Babu et al. 2020).
552 The primary inhibitor of Zn is phytate (Lönnerdal 2000) and more Zn, as observed in the
553 mutants and Colombian genotypes of this study (Figure 5f), usually means more absorbed Zn.
554 However, to our knowledge there is not a good method for assessing Zn bioavailability.
555
556 Brown or unmilled rice is known to have higher vitamin and mineral content compared to
557 milled rice (Muthayya et al. 2014). The present study confirms higher Fe and Zn
558 concentrations in BS compared to PS. However, many of the nutritional benefits of brown
559 rice cannot be not realized if nutrient bioavailability is compromised by high levels of phytate
560 in BS. Thus, low P *spdt* mutants that accumulate less phytate in the bran offer a possible
561 solution for breeders interested in developing cereal varieties with high concentrations of
562 bioavailable Fe and Zn as part of the human diet and also as a component of animal feed.

563 Low phytate grain would be particularly useful in non-ruminant livestock feeds, including
564 poultry, swine, and fish feeds, where P and inositol in phytic acid are generally not
565 bioavailable, and P-deficiency is a problem, while at the same time it may help reduce P
566 excretion that contributes to environmental problems, such as eutrophication of waterways
567 (Perera et al. 2018).

568

569 **Technical, regulatory, and societal implications of editing the *SPDT* gene**

570 P is an essential micronutrient, and P-deficiency is a major constraint for crop yield; thus, to
571 obtain high yields, regular applications of P- fertilizer are needed, but the supply of phosphate
572 rock is limited (Rose et al. 2013). P efficiency (PE), the capacity of plants to tolerate stress
573 caused by P-deficiency, can be achieved by increasing P acquisition efficiency (PAE) or PUE
574 traits (Rose et al. 2013; Heuer et al. 2017). The latter has prompted more recent attention and
575 has been envisaged that breeding crops by lowering grain P concentration is one approach to
576 increase PUE in cereal systems since less P removed from the field could lower fertilizer
577 requirements, saving production costs to farmers (Rose et al. 2013). For example, the low
578 phytic acid (*lpa*) recessive mutation *lpa1-1* in barley, harbored by the US commercial cultivar
579 “Herald”, reduces total grain P by 10-20% and lowers phytate levels without a penalty on
580 subsequent crop yields (Bregitzer et al. 2007; Ye et al. 2011).

581

582 In rice, the genetic architecture of PUE was investigated using GWAS with a rice diversity
583 panel grown in a hydroponic system to ensure uniform access to P (Wissuwa et al. 2015).
584 The study identified loci associated with PUE on four chromosomes, with the chromosome
585 1 haplotype showing high priority based on association with candidate genes of potential
586 utility in plant breeding. Alternatively, novel variation can be generated by mutation breeding

587 (Rose et al. 2013; Heuer et al. 2017). In fact, transporters involved in delivering phosphate
588 to developing seeds and synthesis of phytic acid have been discovered through mutation
589 screens, identifying key genes belonging to the Sulfate Transporter (SULTR) family. One of
590 them, *OsSULTR3;3*, was discovered in two *lpa* mutants developed to improve the nutritional
591 value of rice grains. Disruption of *OsSULTR3;3* in these mutants leads to reduced
592 concentrations of total grain P (19–28%) and grain phytate (35–45%) (Zhao et al. 2016), with
593 the rice *SULTR3;3* gene is closely related to the barley sulfate transporter *LPA1* (Ye et al.
594 2011; Zhao et al. 2016). More recently, *OsSULTR3;4* (referred to as *SPDT* in this study), the
595 first characterized transporter for inorganic P in this family, was shown to be involved in P
596 allocation to rice grain, with the desired mutation reducing P (by 20%) as well as reducing
597 phytate concentration. These discoveries point to a potential role in improving PUE in rice
598 cropping systems such that disruption of the Pi transporter leads to retention of P in the straw,
599 that it can be easily returned to the field after harvest (as a form of mulch) to help fertilize
600 the next season’s crop (Yamaji et al. 2017).

601

602 Here we aim to leverage knowledge about *SPDT* to enhance PUE in two upland Colombian
603 cultivars using CRISPR-associated endonuclease as a targeted form of mutagenesis. This
604 system has great precision and minimal risk of introducing *off-target* variation in the genome
605 compared to historical mutagenesis techniques (Graham et al. 2020). Whether this will be
606 the case for the Colombian cultivars awaits confirmation based on whole genome sequencing
607 of edited vs wild type genotypes.

608

609 We generated specialized reagents to produce an *SPDT* deletion using CRISPR/Cas9 and
610 tested our approach in the *temperate japonica* variety, Nipponbare before applying it to the

611 *tropical japonica* upland cultivars, Llanura11 and Porvenir12. As a first step, we confirmed
612 that editing occurs as expected in Nipponbare protoplasts. This is important because
613 Nipponbare is the reference genome from which the gRNAs were designed (as described in
614 the methods) and in which gene function was originally studied (Yamaji et al., 2017). We
615 further sequenced the target *SPDT* region in Llanura11 and Porvenir12 and confirmed that it
616 matched the Nipponbare reference (Figures 3a and 6b). Therefore, we conclude that it is
617 reasonable to expect that the desired edit in the *SPDT* gene may also occur in the Colombian
618 varieties using the selected gRNAs. Plant transformation in both the Colombian and the
619 Nipponbare varieties is currently underway using both transgenic (via *Agrobacterium*) and
620 non-transgenic (via Ribonucleoprotein complexes) methods.

621

622 The reagents included plant transformation vectors containing the *Cas9* and gRNAs to target
623 identical *SPDT* regions in the three cultivars. We confirmed the intended deletion of 7.3 Kb
624 at the *SPDT* locus by transfecting Nipponbare protoplasts with a vector targeting the 5' and
625 3' up- and downstream gene ends (Figure 6). These are essential tools for proof-of-concept
626 to determine whether *SPDT* can be subjected to a targeted deletion in cultivars of interest;
627 whether desired edits, if obtained in plants, produce the improved phenotype to enhance PUE
628 and grain quality under acid soils; and whether individual effects of P reduction in the grain-
629 based on deletions of *SULTR3;3* and *SULTR3;4* can be leveraged by pyramiding mutations
630 in both genes in a single cultivar.

631

632 Our work establishes the basis for targeted deletion of *SPDT* in upland rice cultivars of
633 interest to breeders. Gene deletions are preferred over gene insertions because they tend to
634 present fewer societal and regulatory concerns. Currently, genome edits involving deletions

635 are usually classified into the Site Directed Nuclease -1 (SDN-1) category, as long as there
636 is no addition of foreign DNA (Schmidt et al. 2020). SDN-1 edits follow the standards of
637 conventional mutagenesis with categorization based on the product and are considered non-
638 regulated as GMOs in most countries except the European Union and New Zealand (Schmidt
639 et al. 2020). The first *Xanthomonas oryzae* pv. *oryzae* (*Xoo*) genome-edited resistant rice,
640 where promoter elements of the sucrose transporter genes *SWEET* were targeted through
641 CRISPR/Cas9 (Oliva et al. 2019) was declared transgene-free, non-regulated, and equivalent
642 to what could be accomplished with conventional breeding in Colombia and the USA
643 (Agdaily 2020). This opens the path for approval of other DNA-free editing products such as
644 those proposed in this study for the Colombian savannas.

645

646 **Conclusions**

647 Allelic variation at five major-effect abiotic stress tolerance genes (*ART1*, *DROI*, *PSTOL1*,
648 *SUBIA*, *SPDT*) was studied in two upland Colombian rice cultivars (Llanura11 and
649 Porvenir12) and compared to a variety of reference genomes using PCR and sequence
650 analysis. The Colombian cultivars carried desirable alleles at three of the loci and non-
651 desirable alleles at *SUBIA* and *SPDT*, providing targets for further genetic improvement.
652 Based on technical and regulatory criteria, the *SPDT* gene, involved in P use efficiency (PUE)
653 and nutritional quality of the grain, was targeted for deletion via a gene knock-out strategy
654 using CRISPR/Cas9. An improved phenotyping pipeline was established to evaluate P
655 concentrations at the early vegetative stage and used in combination with evaluation of P
656 concentrations in the grain at seed maturity to establish the foundation for *SPDT* editing in
657 the Colombian cultivars. An editing vector containing a pair-wise combination of the most

658 suitable gRNAs was developed for targeted *SPDT* deletion and successfully used in
659 experiments involving protoplasts to obtain the desired deletion. This study provides an
660 essential foundation for applying CRISPR/*Cas9* editing to improve PUE and grain nutritional
661 quality in upland *tropical japonica* cultivars.

662

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851

852 **Tables**

853

Table 1. Description of genes conferring abiotic stress tolerance used in the study

Gene abbreviation	Gene name	Codes for	Major QTL	Phenotypic effect	Undesirable allele/phenotype	Reference cultivar (subpopulation) with undesirable allele	Desirable allele phenotype	Reference cultivar (subpopulation or species) with desirable allele	Reference
<i>ART1</i>	<i>Aluminum Resistant Transcription Factor</i>	C2H2 zinc-finger transcription factor	<i>Alt12.1 (ART1)</i>	Aluminum (Al) tolerance	Al susceptibility	IR64 (<i>indica</i>); Kasalath (<i>aus</i>)	Al tolerance	Azucena (<i>tropical japonica</i>); Nipponbare (<i>temperate japonica</i>)	Yamaji et al., 2009; Famoso et al., 2011; Arbelaez et al., 2017
<i>DROI</i>	<i>Deeper Rooting1</i>	N-myristoylation sites associated with a membrane protein	<i>DROI</i>	Drought avoidance; controls root growth angle	Shallow-rooting	IR64 (<i>indica</i>)	Deep-rooting	Kinandang Patong (<i>tropical japonica</i>); Nipponbare (<i>temperate japonica</i>)	Uga et al., 2013
<i>SUB1A</i>	<i>Submergence1</i>	Ethylene-response-factor-like gene	<i>SUB1</i>	Submergence tolerance	Submergence intolerant: Death after 1 week of inundation	Nipponbare (<i>temperate japonica</i> : <i>SUB1A</i> absent); IR64 (<i>indica</i> : <i>SUB1A-1</i> absent or allele <i>SUB1A-2</i>)	Submergence tolerant: Survival for more than 2 weeks under inundation	FR13A, Dhalputtia (<i>aus</i>) allele <i>SUB1A-1</i> present	Xu et al., 2006
<i>PSTOL1</i>	<i>Phosphorus Starvation Tolerance1</i>	Receptor-like cytoplasmic kinase	<i>PUP1 (PSTOL1)</i>	Phosphorus (P) acquisition efficiency (PAE); root biomass	Pi deficiency-susceptible	Nipponbare (<i>temperate japonica</i>); IR64, IR74 (<i>Indica</i>)	Pi deficiency-tolerant	Kasalath (<i>aus</i>); CG14 (<i>Oryza glaberrima</i>)	Gamuyao et al., 2012; Pariasca et al., 2014
<i>SPDT (SULTR3;4)</i>	<i>SULTR-like Phosphorus Distribution Transporter</i>	Transporter for inorganic P (Pi)	No QTL; isolated by <i>Tos17</i> tagging	P use efficiency (PUE); grain quality	High grain-P; low straw-P	Nipponbare (<i>temperate japonica</i>)	Grain-P reduced and straw-P increased (by 20–30%)	Nipponbare (<i>temperate japonica</i> : <i>Tos17</i> loss-of-function allele at <i>SPDT</i>)	Yamaji et al., 2017

Table 2. Description of gRNAs selected for targeting the *SPDT* gene based on CRISPR-P v.2.0

gRNA	gRNA sequence (PAM site underlined)	Position in <i>SPDT</i> gene	<i>On-target</i> Score	Number of <i>off-targets</i>	Number of <i>off-targets</i> in genes	Localization in off-target gene	<i>Off-target</i> gen score	Number of mismatches in <i>off-target</i> gene
1U	TTAAATCGACCTTTTCCTGG <u>TGG</u>	906 nt upstream of start codon	0.77	12	0	n.a.	n.a.	n.a.
1D	TGTTTCTCACGAGTCGCACAG <u>GGG</u>	749 nt downstream of stop codon	0.61	5	1	UTR	0.44	4
3	GGATTAAGAGGCGAGTTGGG <u>GGG</u>	190 nt upstream of start codon	0.65	40	0	n.a.	n.a.	n.a.
4	GCACATCTCTGCTCTTGTCAG <u>GGG</u>	At stop codon	0.59	19	1	Intron	0.22	4
1F	TCAAGAACCAGTCGTCCGCG <u>CGG</u>	In exon 1	0.90	9	1	CDS	0.26	3

n.a. = non-applicable

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Authors' contributions

LSB conceived, designed, and performed the experiments, analyzed the data, wrote the paper. MRW contributed to conception and design of gene editing. EC contributed to the phenotyping experiments under hydroponic conditions. KMA contributed to genotyping, DNA extractions, PCRs, sequencing, and preparation of seed samples for phenotyping. SH maintained, amplified, and tracked plants and seed stocks. GGM analyzed the data, prepared figures. RG conceived and

advised on nutrient evaluation of seed. MAP conceived and advised on early development phenotyping. SM conceived and supervised the overall research at Cornell University and jointly wrote the paper with LSB. All authors read and approved the final manuscript.

Conflict of Interest Statement

The authors declare that they have no conflicts of interests.

Supplemental Data Files

Figure S1. The *SPDT* gene (Os06g0143700) showing the position of primers used for Sanger sequencing.

Figure S2. Representative sampled organs used for hydroponic experiments.

Figure S3. Follow-up of leaf developmental stages per cultivar under hydroponics P-sufficiency (90 μ M) conditions.

Figure S4. P concentration shown for the 5- leaf developmental stage in leaf 2 (L2) and shoot basal región (SBR) under hydroponics P-sufficiency conditions (90 μ M).

Figure S5. Molar ratios of phytic acid (PA) to iron (Fe) and zinc (Fe) of polished seed (PS).

Table S1. Plant material used for the study

Table S2. Primers used for the study

Table S3. Magnavaca and Kimura $\frac{1}{2}$ nutrient solution compositions and ionic strengths

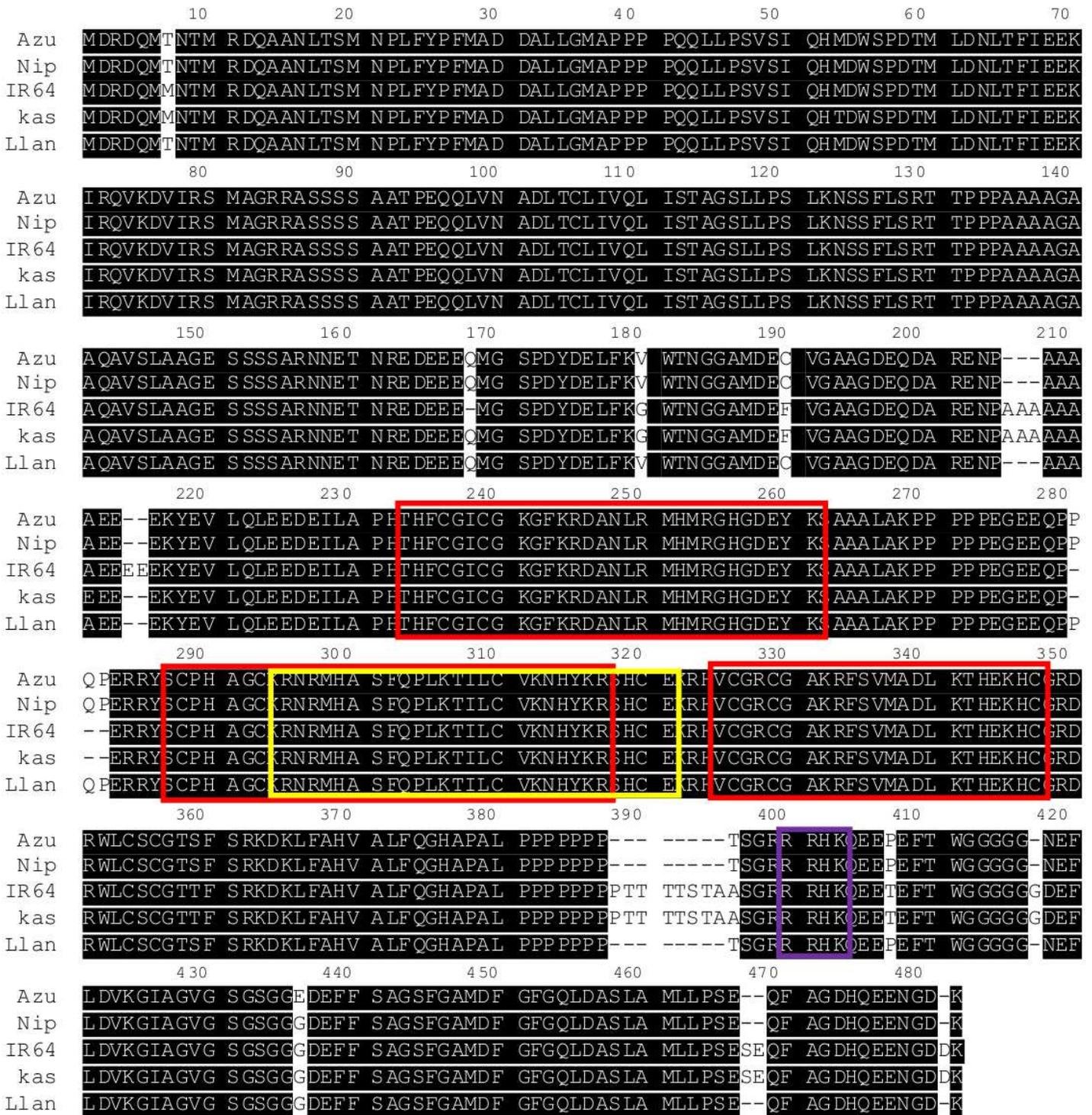


Figure 1. Annealing of the predicted aa sequence of the ART1 protein. In Llanura 11 (Llan) and reference *japonica* Azucena (Azu) Genebank accession No. ATU81899.1, Nipponbare (Nip) Genebank accession No. ATU81901.1, *indica* IR64 Genebank accession No. ATU81900.1, and *aus* Kasalath (kas) Genebank accession No. ATU81902.1. Llan is shown as a representative of upland varieties under investigation since Porvenir 12 was identical. Red squares represent the C2H2 zinc finger domains and yellow and purple squares the monopartite and bipartite nuclear localization domains according to Arbelaez *et al.* (2017).

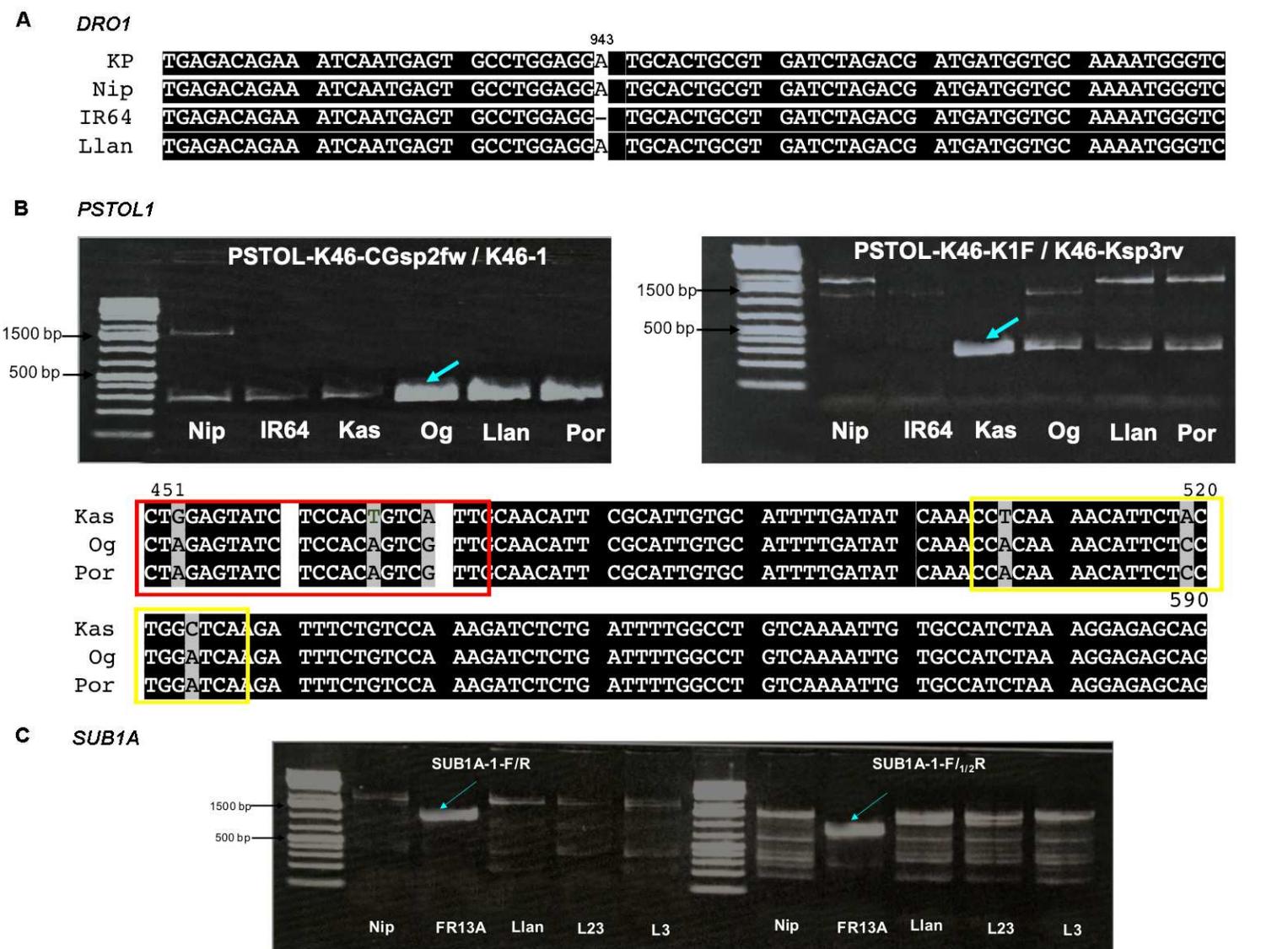


Figure 2. Allelic variation in PCR products and sequences of three genes. A) *DRO1* nucleotide alignment at exon 4 in Llanura 11 (Llan), the reference *japonica* Kinandang Patong (KP) Genebank accession (Gb) No. AB689741.1, Nipponbare Gb No. AP005570, and the *indica* IR64 Gb No. AB68742.1, showing the InDel 943 from start of the 5'UTR in the transcribed region according to Uga *et al.* (2013). B) *PSTOL1* PCRs using primers indicated above each gel (Table S2). Blue arrows show the expected PCR product in base pairs (bp): the 258 pb indicative of the *O. glaberrima* (Og) CG14 allele and the 342 pb indicative of the Kasalath (Kas) allele. The nucleotide alignment shows six SNPs (Grey boxes) at primers K46-CGsp2 (red square) and K46-Ksp3 (yellow square) between the reference Kas Gb No. AB458444.1 and Og according to Pariasca-Tanaka *et al.* (2014). C) *SUB1A-1* PCRs using primers indicated above each gel (Table S2). Blue arrows show expected PCR products: the 1015 (left) and 825 pb product (right) as confirmed by sequence corresponded to the FR13A allele Gb No. DQ011598.1 (Xu *et al.*, 2006). The Llan sequence is shown as representative of upland cultivars since both Llan and Porvenir 12 (Por) were identical.

A

	10	20	30	40	50	60	70
Nip	MVVNKKVDSL	SYDVEAPPAQ	APTTPAVVS	PPTPRGEAPA	MTTAAAEHL	KVSVPEERRST	AKALRQRLAE
Llan	MVVNKKVDSL	SYDVEAPPAQ	APTTPAVVS	PPTPRGEAPA	MTTAAAEHL	KVSVPEERRST	AKALRQRLAE
	80	90	100	110	120	130	140
Nip	VFFPDDPLHQ	FKNQSSARRL	VLALQYFFPI	FHWGSDYSLR	LLRSDVVSGL	TIASLAIPQG	ISYAKLANLP
Llan	VFFPDDPLHQ	FKNQSSARRL	VLALQYFFPI	FHWGSDYSLR	LLRSDVVSGL	TIASLAIPQG	ISYAKLANLP
	150	160	170	180	190	200	210
Nip	PIIGLYSSFV	PPLIYSLG	SRDLAVGPVS	IASLVMGSM	RQAVSPDQEP	ILYLQLAFTS	TFFAGVFQAS
Llan	PIIGLYSSFV	PPLIYSLG	SRDLAVGPVS	IASLVMGSM	RQAVSPDQEP	ILYLQLAFTS	TFFAGVFQAS
	220	230	240	250	260	270	280
Nip	LGFLRLGFIV	DFLSKATLTG	FMGGAALIVS	LQQLKGLLGI	IHFTSQMGFV	QVMHSVFKHH	DEWAWQTILM
Llan	LGFLRLGFIV	DFLSKATLTG	FMGGAALIVS	LQQLKGLLGI	IHFTSQMGFV	QVMHSVFKHH	DEWAWQTILM
	290	300	310	320	330	340	350
Nip	GVAFLAVLLT	TRHISARNPK	LEWVSAAPL	TSVIISTIS	FVSKAHGISV	IGDLPKGLNP	PSANMLTFSC
Llan	GVAFLAVLLT	TRHISARNPK	LEWVSAAPL	TSVIISTIS	FVSKAHGISV	IGDLPKGLNP	PSANMLTFSC
	360	370	380	390	400	410	420
Nip	SYVGLALNTG	IMTGILSLTE	GIAVGRTFAS	INNYQVDGK	EMMAIGVMNM	AGSCASCYVT	TGSFERSAVN
Llan	SYVGLALNTG	IMTGILSLTE	GIAVGRTFAS	INNYQVDGK	EMMAIGVMNM	AGSCASCYVT	TGSFERSAVN
	430	440	450	460	470	480	490
Nip	YSAGCKTAVS	NIVMASAVLV	TLLFLMPLFH	YTPNVILSAI	IITAVIGLID	VRGAARLWKV	DKLDFLACMA
Llan	YSAGCKTAVS	NIVMASAVLV	TLLFLMPLFH	YTPNVILSAI	IITAVIGLID	VRGAARLWKV	DKLDFLACMA
	500	510	520	530	540	550	560
Nip	AFLGVLLVSV	QMGLATAVGI	SLFKILLQVT	RPNMVVKGVV	PGTASYRSMA	QYREAMRVPS	FLVVGVESAI
Llan	AFLGVLLVSV	QMGLATAVGI	SLFKILLQVT	RPNMVVKGVV	PGTASYRSMA	QYREAMRVPS	FLVVGVESAI
	570	580	590	600	610	620	630
Nip	YFANSMYLGE	RIMRFLREED	ERAAKNQCP	VRCIILDMSA	VAAIDTSGLD	ALAELEKVVLE	KRNIELVLAN
Llan	YFANSMYLGE	RIMRFLREED	ERAAKNQCP	VRCIILDMSA	VAAIDTSGLD	ALAELEKVVLE	KRNIELVLAN
	640	650	660	670			
Nip	PVGSVTERLY	NSVVGKTFGS	DRVFFSVAEA	VAAAPHKTOP			
Llan	PVGSVTERLY	NSVVGKTFGS	DRVFFSVAEA	VAAAPHKTOP			

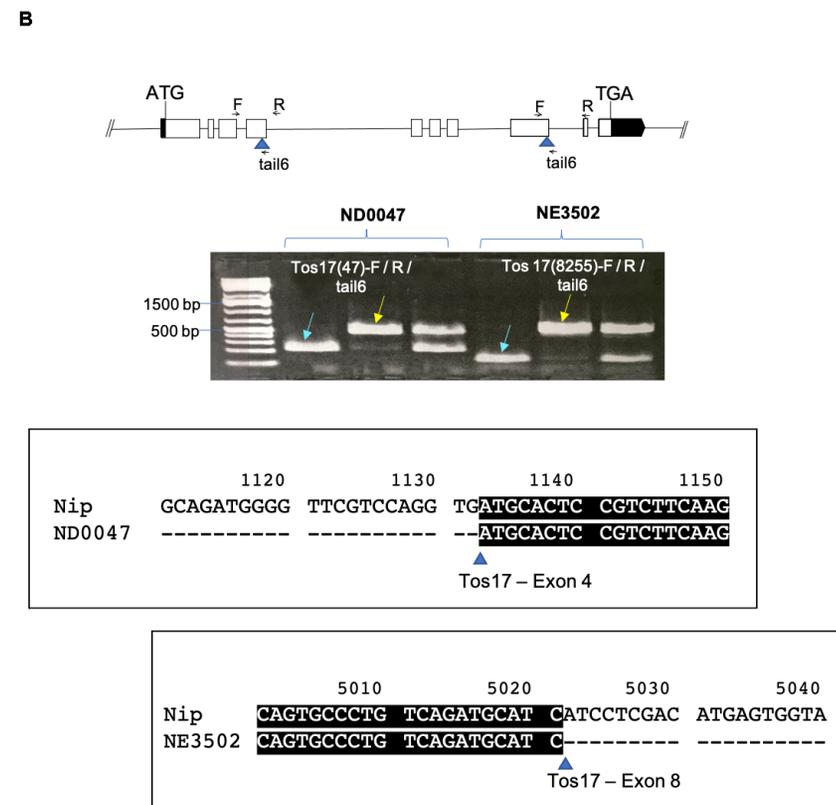


Figure 3. Allelic variation in sequences and PCR products of SPDT. A) Annealing of the predicted aa sequence of SPDT in Nipponbare (Nip) (Os06g0143700) and Llanura 11 (Llan), as a representative of upland cultivars. The sulfate transporter (SULTR) anti-sigma STAS domain is shown as predicted by InterPro-EMBL-EBI (red box). STAS is conserved in the SULTR family though SPDT is the first characterized transporter for Pi in this family (Yamaji *et al.*, 2017). B) Structure of the *SPDT* gene showing the position of the Tos17 insertions (blue triangles) targeting exons 4 and 8 (white boxes) in lines ND0047 and NE3502, respectively, and the position of the 3-primers (F, R and tail 6) used for amplification of the wild-type and mutant alleles (Miyao *et al.*, 2003; Yamaji *et al.*, 2017; Table S2). The gel shows mutant homozygous amplicons (blue arrows) of ~250 bp (in ND0047) and ~150 bp (in NE3502), and wild type homozygous amplicons (yellow arrows) of 476 bp (ND0047) and 521 bp (NE3502) along with heterozygous plants. The nucleotide annealing of wild type vs. mutant alleles shows the Tos17 insertions (Genebank accessions AG25438.1 and AG214407.1).

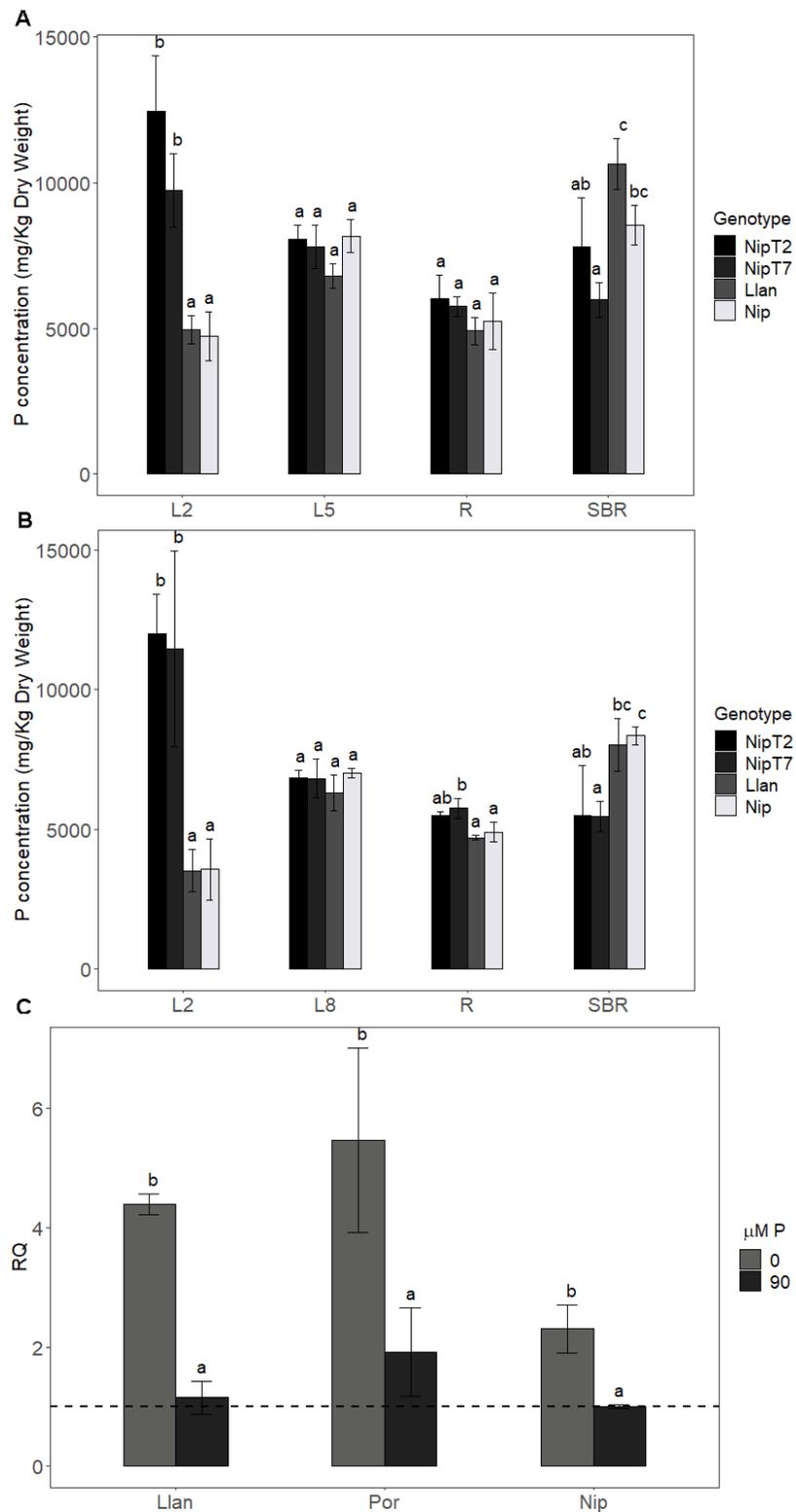


Figure 4. Phenotyping and *SPDT* expression at early vegetative development under hydroponic conditions. A) and B) P concentrations shown for the 5 and 8- leaf developmental stages, respectively, in leaf 2 (L2), leaf 5 (L5), leaf 8 (L8), root (R), and shoot basal region (SBR) under P-sufficiency conditions (90μM). C) Relative quantification (RQ= 2- $\Delta\Delta C_t$) in the SBR of seedlings exposed to P-deficiency (0 μM) and P-sufficiency (90μM) relative to the control condition (90μM P, dashed line) using Actin as internal standard. Significant differences are shown by different letters at p<0.01 and standard deviations are shown after Tukey test. NipT2= *spd*t mutant NE3502; NipT7= *spd*t mutant ND0047; Llan= Llanura 11; Nip= *spd*t wild-type Nipponbare.

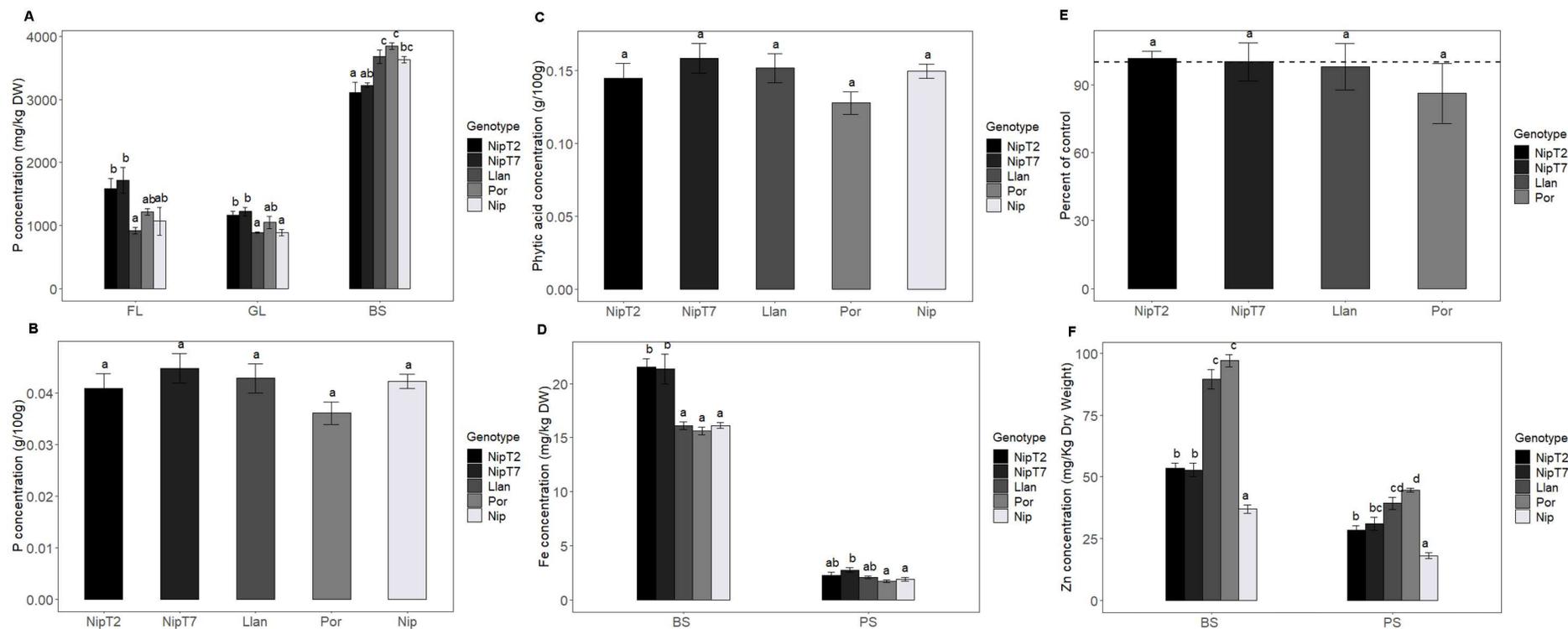


Figure 5. Phenotyping at seed maturity stage under greenhouse conditions. A) P concentration in flag leaf (FL), green leaves (GL) and Brown seed (BS) determined by ICP. B) and C) P and Phytic acid concentrations in polished seed (PS) determined by Megazyme. D) Fe and F) Zn concentrations in BS and PS determined by ICP. E) Fe-bioavailability in PS relative to the control Nipponbare (4,335 ng ferritin/mg protein, 100% represented by the dashed line) was measured by Ferritin formation in Caco-2 cells *in vitro* assay. Significant differences are shown by different letters at $p < 0.05$ and standard errors are shown after Tukey test. NipT2= *spd1* mutant NE3502; NipT7= *spd1* mutant ND0047; Llan= Llanura 11; Por= Porvenir 12; Nip= *spd1* wild-type Nipponbare.

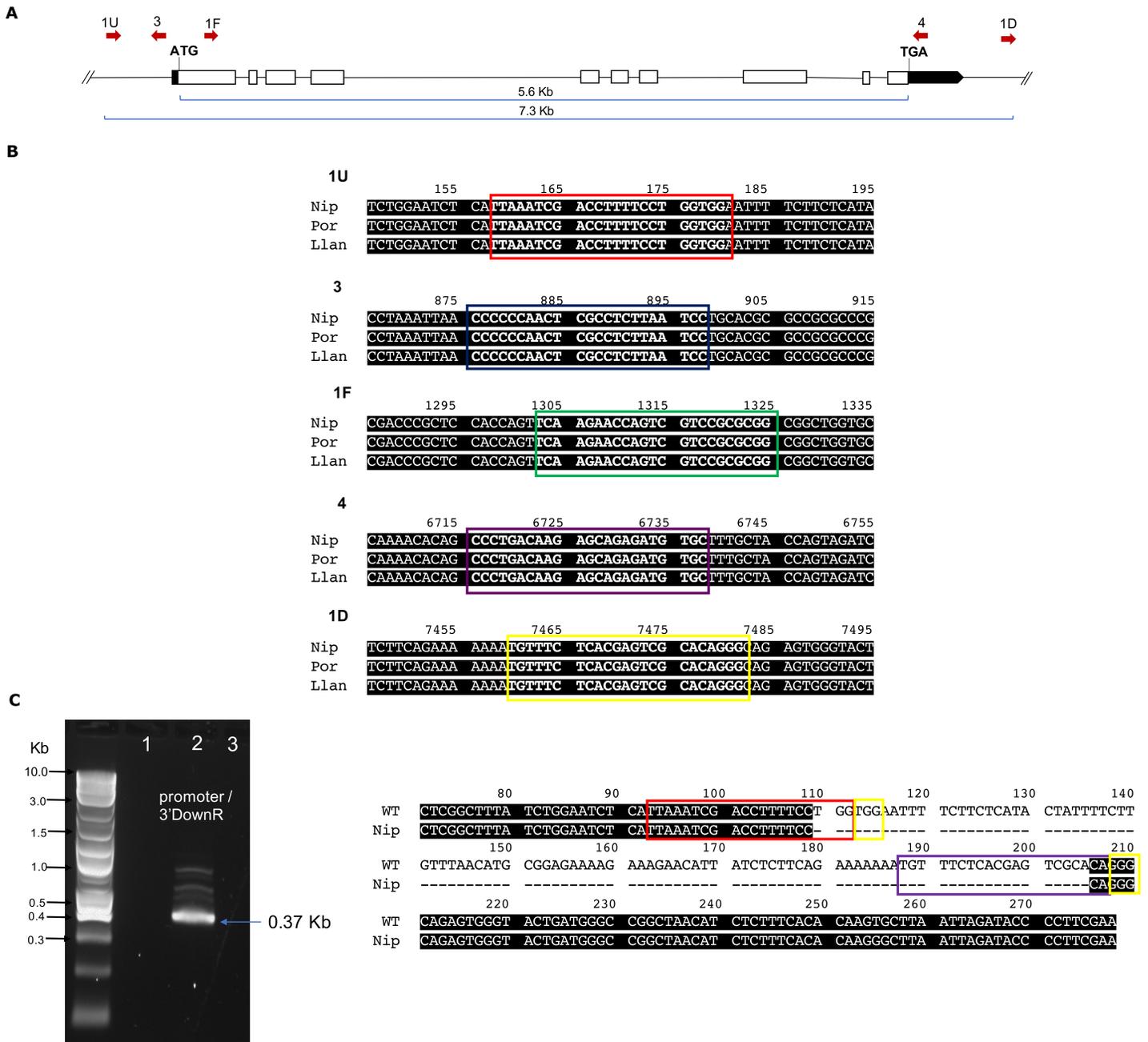


Figure 6. Effectiveness of the *SDPT* gRNAs in cv. Nipponbare protoplasts. A) Position of the selected gRNAs for deletion of the *SPDT* gene (red arrows). The exons (white boxes), UTR region (black boxes), and the start and termination codons (adapted from Yamaji *et al.*, 2017) are indicated. The size of the gene from start to stop codon (5.6 Kb) and the size of the expected deletion using 1U and 1D gRNAs (7.3 Kb) are underlined. B) Nucleotide sequences corresponding to the regions of gRNA annealing in the two Colombian cultivars Por= Porvenir12, Llan=Llanura11 and the reference Nip= Nipponbare used to target the *SPDT* gene. gRNAs are indicated in colored boxes. C) PCR from DNA protoplasts transformed with vector containing the 1U-1D gRNA pair using the primers indicated above the gel (Table S2). The sequence of the expected 370 bp band indicative of the *SPDT* deletion (line 2) was aligned with the wild-type (WT) gene. The 1U (red box), 1D (Purple box) gRNAs, and the PAM sites (Yellow boxes) are indicated. To facilitate visualization, most of the WT 7.3 kb deleted region is omitted and only the 5' and 3'-ends are shown.

Supplementary Files

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