

# Finite element analysis of proximal femoral nail anti-rotation in the treatment of osteoporotic A03.3 intertrochanteric fracture

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## Research Article

**Keywords:** Osteoporosis, PFNA, Unstable intertrochanteric fracture, Finite element, Bone cement

**Posted Date:** April 13th, 2022

**DOI:** <https://doi.org/10.21203/rs.3.rs-1508548/v1>

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2 treatment of osteoporotic AO3.3 intertrochanteric fracture

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14

15 **Abstract**

16 **Background:** We aimed to use the finite element method to simulate the  
17 biomechanical effects of bone cement on the treatment of unstable  
18 intertrochanteric fractures with different degrees of osteoporosis using  
19 proximal femoral nail anti-rotation (PFNA) to provide personalized  
20 treatment plans for patients with type 31-A3.3 intertrochanteric fractures  
21 based on the AO Foundation/Orthopaedic Trauma Association  
22 classification.

23 **Methods:** A finite element model of a normal right femur was created  
24 from CT images using related finite element analysis software, and its  
25 effectiveness was verified. In the experimental group, 12 types of fracture  
26 models with different degrees of osteoporosis were fixed using PFNA,  
27 while in the control group, 12 types of osteoporotic fracture models were  
28 fixed using bone cement augmented PFNA. A 700 N force was applied to  
29 the femoral head in a direction that simulated the direction of  
30 load-bearing while standing. The differences in the maximum  
31 displacement of the femur, PFNA, femoral stress, and varus angle  
32 between the two groups were observed and compared in ANSYS  
33 software.

34 **Results:** Compared with the common PFNA model, the femoral head  
35 displacement, PFNA displacement, and varus angle of the femoral head  
36 were significantly smaller in the bone cement augmented PFNA model.  
37 However, the maximum stress in the femur was significantly increased.  
38 Compared with the experimental group, the change rate of femoral head  
39 displacement, PFNA displacement, and varus angle decreased from  
40 2.94% to 5.89%, 3.23% to 4.79%, and 0.41% to 8.51% with increase in  
41 the degree of femur osteoporosis. The maximum stress change rate of the  
42 femur increased from 12.2% to 5.74%.

43 **Conclusion:** Common PFNA for treatment of A3.3 intertrochanteric  
44 fracture is likely to fail in severe osteoporosis, and the varus angle and

45 displacement can be significantly reduced with cement reinforcement.  
46 Therefore, PFNA internal fixation with bone cement should be considered  
47 for treatment of severe osteoporosis. When used in mild osteoporosis,  
48 bone cement increases the stress at the joint between the screw blade and  
49 the main nail; therefore, the use of PFNA alone should be considered for  
50 treatment in such cases to increase the service life of internal fixation  
51 devices.

52 **Key words:** Osteoporosis, PFNA, Unstable intertrochanteric fracture,  
53 Finite element, Bone cement

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## 57 0 Background

58 In recent years, intertrochanteric fracture has attracted much  
59 attention, and its incidence is increasing year by year. It is estimated that  
60 the number of patients with hip fracture worldwide will reach 4.5 million  
61 in 2050 [1], and it is still one of the most common fracture types among  
62 the elderly [2]. Based on available statistics, the fatality rate of  
63 conservative treatment for elderly patients with intertrochanteric fracture  
64 is as high as 20% [3]. Intramedullary fixation is the dominant treatment  
65 method at present, of which the use of proximal femoral nail anti-rotation  
66 (PFNA) is recognized in the treatment of intertrochanteric fracture of the

67 femur [4]. Intertrochanteric fractures could be stable or unstable fractures.  
68 Postoperative failure is the most important clinical problem [5-6] of  
69 internal fixation and may occur in up to 13% [7] of cases. AO 31-A3.3  
70 intertrochanteric fractures are unstable fractures, and the treatment of  
71 these fractures in the background of osteoporosis is still controversial. In  
72 this study, we compare the outcomes of the use of PFNA augmented with  
73 bone cement and PFNA alone in the treatment of intertrochanteric  
74 fractures with different levels of osteoporosis. The postoperative stability  
75 of A3.3 fractures are rarely discussed among intertrochanteric fractures.  
76 We perform a biomechanical study to determine which treatment is better  
77 amongst the available treatment options for type A3.3 intertrochanteric  
78 fractures with different degrees of osteoporosis using the finite element  
79 method, thereby providing reference information for clinical treatment.

## 80 1 Methods

### 81 1.1 Femur model establishment

82 Using a 64-slice spiral CT (GE, USA) scan, we performed computed  
83 tomography of the right proximal femur, and the images were  
84 downloaded in DICOM format and imported into Mimics 21.0  
85 (Materialise, Belgium). Threshold segmentation, noise reduction, filling  
86 and other methods of image processing were used to initially build a 3D  
87 model of the bone. Data of the obtained model were imported into the  
88 reverse engineering software Geomagic Studio (Raindrop Inc., USA) as

89 STL files for smooth optimization, surface mesh reconstruction, and  
90 surface fitting [8]. After this, a 3D smooth right femur model was  
91 obtained.

## 92 1.2 PFNA model establishment

93 PFNA specifications include long nails and short nails. Hong CC et  
94 al. [9] have verified that short nails are more effective in the treatment of  
95 type A3 intertrochanteric femoral fractures. We drew the internal fixation  
96 sketch in Solidworks 2017 (Dassault Systemes Solidworks Corp., USA)  
97 according to the Double Medical PFNA dimensions. The  
98 three-dimensional model of proximal femoral anti-rotation intramedullary  
99 nail internal fixation was obtained through stretching, rotation, cutting,  
100 and combination. The main parameters of the model were as follows: the  
101 length of the main nail was 170 mm with a valgus angle of  $5^{\circ}$ , the  
102 diameter of the proximal end was 16 mm, the diameter of the distal end  
103 was 10 mm; the diameter of the spiral blade was 10 mm, the length was  
104 105 mm, and the neck angle was  $130^{\circ}$ . The other specific parameters  
105 were measured using a vernier caliper.

## 106 1.3 Femoral intertrochanteric fracture and PFNA assembly model

107 In the Solidworks 2017 (Dassault Systemes Solidworks Corp., USA)  
108 software, we assembled cortical bone with cancellous bone. Next the  
109 femoral head, the lesser trochanter, and the femoral body were divided  
110 twice by the base plane which based on the A3.3 fracture line in AO

111 Trauma Association classification. The segmental fracture model and  
112 PFNA internal fixation model were assembled according to standard  
113 surgical techniques, as shown in Figure 1. In the upper view, the position  
114 of the intramedullary nail was co-located with the middle and posterior  
115 1/3 of the greater trochanter. In the frontal view, the spiral blade was  
116 placed as close to the lower part of the femoral head as possible, and the  
117 apex distance was kept between 20–25 mm [10]. After this, the combined  
118 model was extracted, saved in "X\_T" format, and imported into ANSYS  
119 Workbench (ANSYS Inc., USA) for mechanical analysis.

120

#### 121 1.4 Material properties and boundary conditions

122 Femoral cortical bone and spongy bone were set as an isotropic  
123 continuum [11-13]; the elastic modulus of internal fixation was 11,000  
124 MPa and the Poisson's ratio was 0.3 [14]. Normal femoral cortical bone  
125 elastic modulus is 151,000 MPa with a Poisson's ratio of 0.3; normal  
126 femoral cancellous bone elastic modulus is 445 MPa with a Poisson's  
127 ratio of 0.2; the elastic modulus of bone cement is 220,000 MPa with a  
128 Poisson's ratio of 0.2. According to the study by Polikeit et al. [15-16],  
129 the elastic modulus of cancellous bone was reduced by 66% with a  
130 gradient of 6%, and the elastic modulus of cortical bone was reduced by  
131 33% with a gradient of 3% to build 12 models of different degrees of  
132 osteoporosis. The friction coefficient between bone and bone was 0.3,

133 that between screw blade and main nail was 0.3, and that between internal  
134 fixation and femur was 0.23. Other contact relationships were set as  
135 bond[17].

### 136 1.5 Constraints and loads

137 The distal femur was completely constrained and fixed, and the  
138 force on the femur surface during bipedal standing was simulated. A force  
139 of about 700 N, twice the patient's body weight (70 KG), was applied  
140 perpendicular to the sphere of the femoral head in a direction  $10^\circ$  to the  
141 long axis of the femoral shaft [18].

### 142 1.6 Main outcome measures

143 Proximal femur stress, proximal femur displacement, PFNA  
144 displacement, and femoral neck varus angle were the main outcome  
145 measures.

### 146 1.7 Model Verification

147 The unsegmented right femur model was imported into the  
148 ANSYS software with the same material parameters given to the  
149 cortical and spongy bone, and a concentrated load of 700 N was applied  
150 at the same position of the femoral head. In Figure 2, the results showed  
151 that the stress on the right femur was mainly concentrated in the medial  
152 femur moment and transmitted downward, and the amount of stress was  
153 similar to that found in previous studies [17]. The stress distribution and

154 stress magnitude of the model confirm that the model is reliable and that  
155 the research results are in keeping with reality.

156

## 157 2 Results

### 158 2.1 Proximal femur stress

159 PFNA provides the main support to the fracture of the proximal  
160 femur. Comparing the control and experimental groups, the proximal  
161 femur maximum stress value was significantly higher in the bone cement  
162 augmented PFNA group; the maximum stress, determined by simulation,  
163 was in the joint between the helical blade and the main nail; and the rate  
164 of change in maximum stress in the two groups decreased with increasing  
165 osteoporosis, from an initial increase of 12.2% to a final increase of  
166 5.74%, as shown in Figure 4. Meanwhile, based on the color distribution  
167 of the force on the proximal femur in Figure 3, it can be seen that in the  
168 control group, the force site at the fracture junction between the medial  
169 lesser trochanter bone block and the femoral head bone block has a  
170 tendency to decrease.

171

172

### 173 2.2 Proximal femoral displacement

174 The surface of the femoral head bears the main stress in the femur.

175 As shown in Figure 5, the maximum displacement of the femur occurs at

176 the junction of the femoral head and acetabulum. As shown in Figure 6,  
177 the proximal femoral displacement in the experimental group increased  
178 with the increase in the degree of osteoporosis, from 0.34 cm to 0.51 cm,  
179 and the change in femoral displacement showed an obvious trend of  
180 increase subsequently. The calculated displacement in the bone cement  
181 control group was smaller than that in the experimental group in all 12  
182 groups of experiments. The rate of change in displacement reduction in  
183 the first 9 groups was less than 3%, and the rate of change in visible  
184 displacement reduction in the last three groups was significantly  
185 increased, with a maximum reduction of 5.89%.

186

187

### 188 2.3 Varus of the femoral neck

189 In ANSYS Workbench software, remote point A was set on the  
190 surface of the femoral head, and remote point B was set on the bottom of  
191 the femur. Since the bottom of the femur was constrained and fixed, the  
192 changes in the angle of remote point A in the X, Y, and Z axes were  
193 regarded as the angle of femur varus.

194 Formula for calculation of the angle

$$195 = \sqrt{(rotx)^2 + (roty)^2 + (rotz)^2},$$

196 (rotx stands for angle change in the X-axis; roty represents angle  
197 change in the Y-axis; rotz represents angle change in the Z-axis)

198           The angle of femoral neck varus in 12 degrees of osteoporosis is  
199 shown in Figure 7. It can be seen that the angle of femoral neck varus  
200 increased with the increase in the degree of osteoporosis in the  
201 experimental group, and the range of varus angle increased significantly  
202 in the later period. The maximum angle of femoral neck varus in the  
203 experimental group was 5.05°. In the cement control group, except for  
204 group 1, the other 11 groups had lower femoral neck varus angle than that  
205 of the experimental group. With increase in osteoporosis, the rate of  
206 change in the femoral neck varus angle increased significantly, from  
207 0.41% to 8.51%.

208

#### 209 2.4 PFNA displacement

210           The maximum PFNA displacement occurred at the tip of the spiral  
211 blade, as shown in Figure 8. In the experimental group, PFNA  
212 displacement increased with increase in osteoporosis, up to 0.46 cm. In  
213 the control group, the maximum displacement of PFNA was also at the  
214 tip of the spiral blade; however, in the analysis involving 12 degrees of  
215 osteoporosis, the displacement was smaller than that of the experimental  
216 group. The displacement change rate was less than 3.5% in the first 7  
217 groups, and significantly increased in the last 5 groups to a maximum of  
218 5.13%, as shown in Figure 9.

219

220

### 221 3 Discussion

222 Hip fracture often leads to decreased quality of life, disability and  
223 even death in elderly patients [19]. PFNA is currently the main and most  
224 common treatment for intertrochanteric fracture because of its short  
225 operation time, low intraoperative blood loss, and low risk of  
226 peri-prosthesis fracture and dislocation compared to hip arthroplasty [20];  
227 it also has better mechanical stability compared to other devices [21].  
228 However, the outcome of treatment of intertrochanteric fractures is poor.  
229 In AO 31-A3.3 type intertrochanteric fractures, the fracture line involves  
230 the lateral wall of femur and the medial lesser trochanter, and the  
231 instability of the fracture end leads to a significantly higher postoperative  
232 failure rate than that of other types of fractures [22-23]. The bone mass of  
233 patients with osteoporosis is reduced, and the internal bone  
234 microstructure is damaged, resulting in loose trabecular bone and  
235 increased bone brittleness. When the screw blade is pressed into the  
236 femoral head, the surrounding cancellous bone can undergo chain fracture,  
237 and the decreased support of the screw blade can lead to nail withdrawal  
238 and cutting. Fracture type and degree of osteoporosis are the direct factors  
239 leading to postoperative failure of internal fixation [24]. Therefore, it is of  
240 great importance to explore the clinical treatment of patients with A3.3  
241 intertrochanteric fracture accompanied by osteoporosis.

242 In this study, the effect of simulated bone cement surrounding the  
243 spiral blade on postoperative stability of type A3.3 intertrochanteric  
244 fracture was observed. We found that the bone cement augmented PFNA  
245 group had less proximal femoral displacement with all 12 degrees of  
246 osteoporosis than the PFNA only group. By simulating osteoporosis, we  
247 found that the rates of change in proximal femoral displacement in the  
248 control and experimental groups were relatively stable (less than 3%),  
249 and the change in proximal femoral displacement was largest in severe  
250 osteoporosis, which could be up to 5.89%. Regarding PFNA displacement  
251 analysis, the displacement of bone cement reinforced PFNA was smaller  
252 than that of PFNA alone, and the rate of change in PFNA displacement  
253 was relatively stable in mild and moderate osteoporosis but significantly  
254 increased in severe osteoporosis, with a maximum of 5.13%. For the  
255 varus angle of the femur, the varus angle of the femur in the  
256 cement-reinforced PFNA group was smaller than that of the common  
257 PFNA group, and the rate of change in the varus angle gradually  
258 increased with increase in osteoporosis, increasing from 0.4% to 8.51%.  
259 By comparing the differences in proximal femoral displacement, PFNA  
260 displacement, and varus angle between the experimental and control  
261 groups, it can be seen that the overall stability of the femur is effectively  
262 improved when PFNA is augmented by bone cement, which is consistent  
263 with the conclusion reached by Hanke MS [25]. However, in the first trial

264 on the varus angle of the femur, we found that the varus angle of the  
265 femur was higher with cement reinforcement than with PFNA alone.  
266 Considering that in terms of PFNA displacement, femur displacement,  
267 and varus angle, the differences between the two groups were small at the  
268 early stages of osteoporosis (normal, or mild osteoporosis), we concluded  
269 that bone cement augmented PFNA had little influence on the stability of  
270 normal bone or bone with mild osteoporosis. This is consistent with the  
271 conclusion obtained by Erhart S scholars [26] in biomechanical  
272 simulation experiments on a hydraulic servo test machine.

273 Bone cement and bone cementing agents are widely used in lu  
274 mbar cone shaping and pedicle screw fixation. Hanke MS [25] treat  
275 ed a 90-year-old patient with severe osteoporotic intertrochanteric fr  
276 acture with PFNA combined with bone cement, and the X-ray film  
277 showed good fracture healing without implant displacement during  
278 the one-year follow-up after surgery. However, in this finite element  
279 analysis, the proximal femur maximum stress occurred in the main  
280 nail and spiral blade joint in both groups, and the maximum stress  
281 in the proximal femur was higher in the bone cement reinforced P  
282 FNA than in the PFNA alone group. In the first set of experiments,  
283 the maximum stress rate reached 12.2%, but with increase in the  
284 degree of osteoporosis, the stress rate gradually decreased; in the las  
285 t group of experiments, the stress change rate was only 5.74%. The

286 cement reinforced the screw blade's contact with the femoral head  
287 cancellous bone. Although the stress at the proximal end of the fe  
288 mur is concentrated at the connection between the main nail and th  
289 e spiral blade, it was found in this experiment that the value of th  
290 e rate of change in stress in the two groups decreased with severe  
291 osteoporosis, which proves that the concentrated stress does not exc  
292 eed the maximum yield stress of internal fixation. It also further pr  
293 oves that cement-enhanced PFNA has little effect on the stability of  
294 normal bone or bone with mild osteoporosis; we may even sugges  
295 t that it is unsuitable in such cases.

296 This study also has the following limitations: (1) In the experiment,  
297 the material properties of the femur were set as homogenous and isotropic.  
298 (2) Quantitative CT (QCT), which measures volumetric bone mineral  
299 density (BMD), is the most accurate method for the diagnosis of  
300 osteoporosis [27]. The BMD unit assigned to the femur in this study was  
301 also volumetric bone mineral density (BMD). However, there is no  
302 diagnostic grading standard of femur QCT for osteoporosis, and the  
303 classification of osteoporosis may refer to the WHO recognized DXA  
304 diagnostic standard. (3) Conclusions were only obtained from the  
305 biomechanical perspective and will be verified by a large clinical trial in  
306 the future.

307

## 308 4 Conclusion

309       Considering the risk of postoperative side effects with the use of  
310 bone cement [28] and the finite element analysis of the data in this  
311 experiment, we draw the following conclusions: First, in patients with  
312 type A3.3 intertrochanteric fractures with normal bone or mild to  
313 moderate osteoporosis, we recommend the use of PFNA alone for  
314 fixation, because cement reinforcement is associated with the risk of  
315 cement complications and may increase the duration of internal  
316 fixation. Second, for patients with severe osteoporosis, we recommend  
317 cement-enhanced PFNA internal fixation because it significantly  
318 improves mechanical stability.

319

320

### 321 **List of Abbreviations**

322 BMD: bone mineral density, CT: computed tomography, PFNA: proximal  
323 femoral nail anti-rotation, QCT: Quantitative CT

### 324 **Declarations**

325 Ethics approval and consent to participate: Ethical clearance was obtained  
326 through the Ethics Review Committee of Mudanjiang Medical University  
327 (Z 202170) and the informed consent was obtained from individual or  
328 guardian participants. Data collected from participants were kept  
329 confidential and were accessible only to the researchers. All methods

330 were performed in accordance with the relevant guidelines and  
331 regulations.

332 Consent for publication: Not applicable.

333 Availability of data and materials: All data generated or analysed during  
334 this study are included in this published article [and its supplementary  
335 information files].

336 Competing interests: The authors declare that they have no competing  
337 interests.

338 Funding: This study was supported in part by grants from the basic  
339 scientific Research Funds of Heilongjiang Provincial universities in 2021  
340 (2021-KYYWF-0516)

341 Authors' contribution: All authors conceived of the study, took active part  
342 in all aspects of the study and read and approved the final manuscript. ZT  
343 L was the lead author of the original report. HG was the main contributor  
344 in the process of up-dating and revising the contents of the original report  
345 and in preparing this manuscript.

346 Acknowledgements: We would like to thank Editage ([www.editage.cn](http://www.editage.cn))  
347 for English language editing.

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480 **Figure Legends**

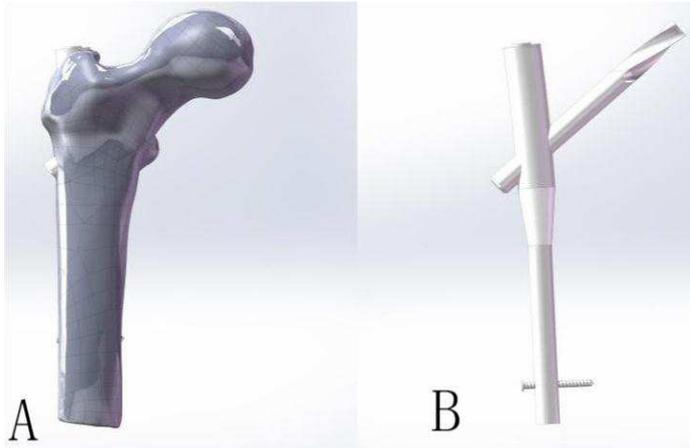


Figure 1 Femur and PFNA assembly model

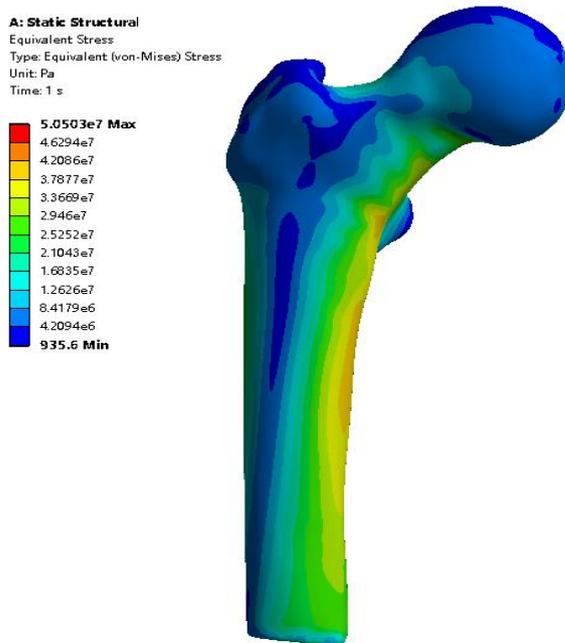
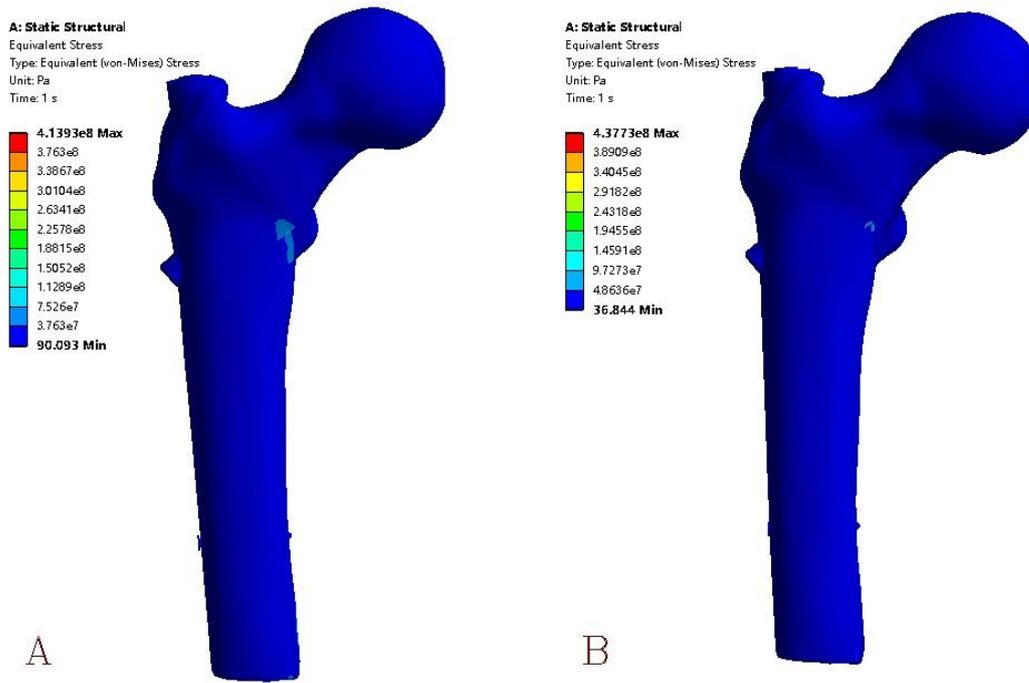


Figure 2 Model Validation

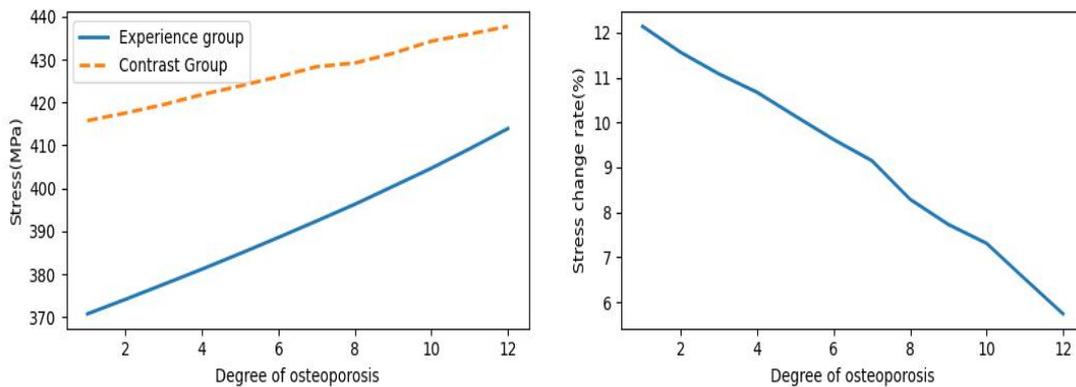


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488 Note: Figure A shows the common PFNA model of stock bone, and  
489 Figure B shows the bone cement reinforced PFNA model

490 Figure 3 The proximal femur stress in the PFNA model was enhanced in  
491 the PFNA model with bone cement

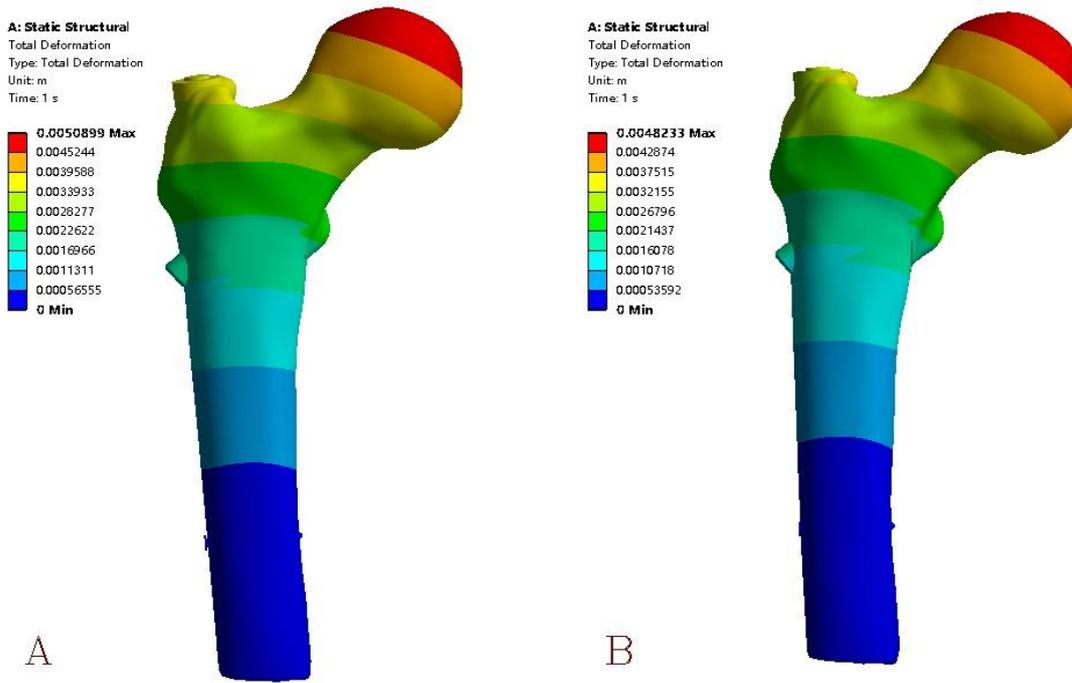
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494 Figure 4 Line plot of the maximum stress change and rate of change in  
495 the proximal femur

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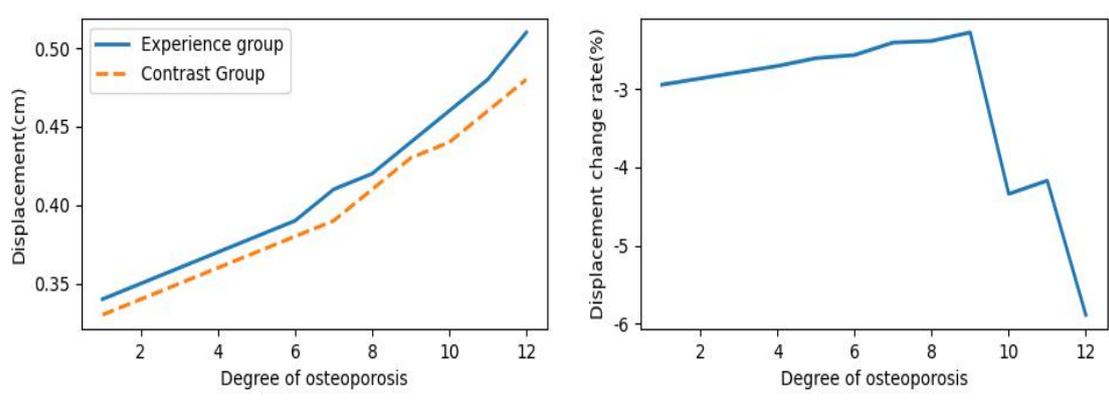


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Note: Figure A shows the common PFNA model of stock bone, and Figure B shows the PFNA model with the nail channel reinforced with bone cement

Figure 5 Proximal femoral displacement in PFNA model and bone cement reinforced PFNA model

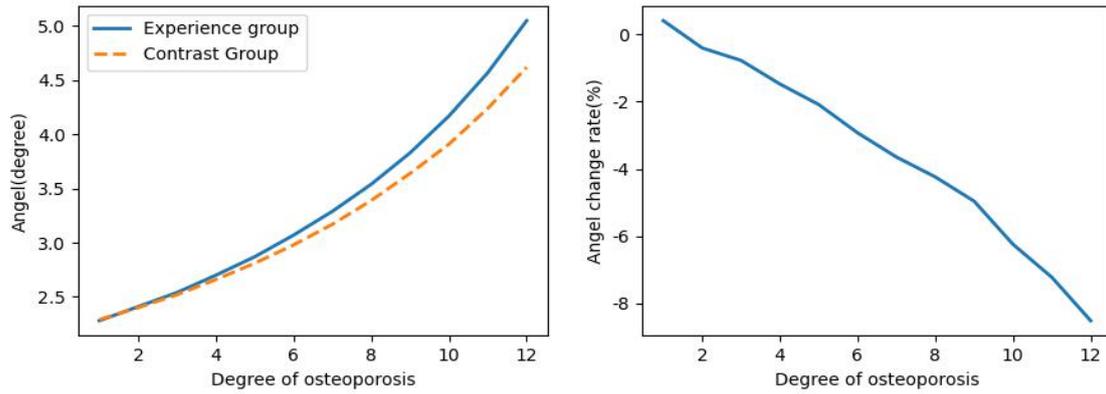
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Figure 6 Line plot of the change in displacement and displacement change rate in the proximal femur

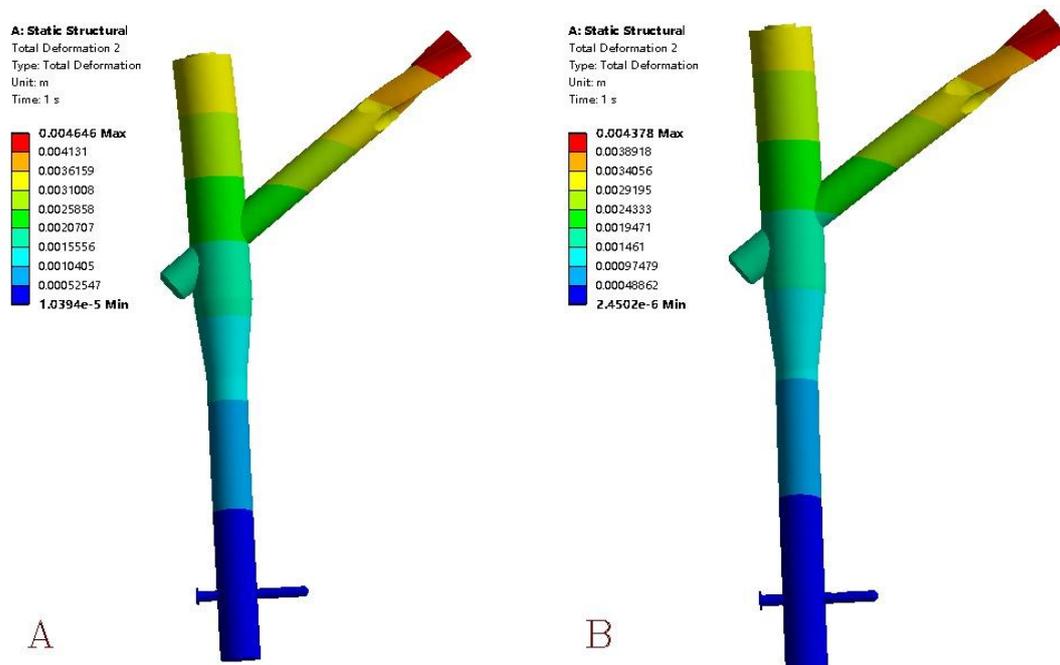
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509 Figure 7 Line plot of angular change and rate of angular change

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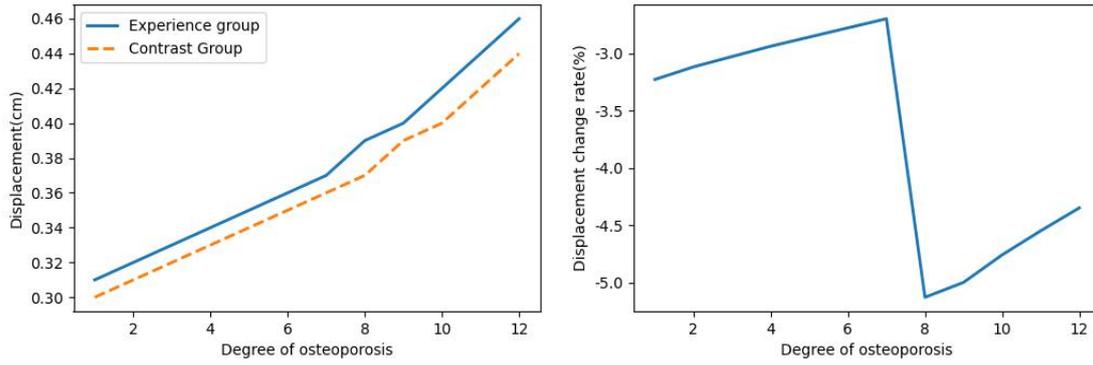
512 Note: Figure A shows the ordinary PFNA model, and Figure B shows the

513 bone cement nail channel reinforced PFNA model

514 Figure 8 PFNA displacement changes in the common PFNA model and

515 bone cement nail channel reinforced PFNA model

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518 Figure 9 Line plot of PFNA displacement change and change rate

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