

# A Novel Fuzzy Framework for Technology Selection of Sustainable Wastewater Treatment Plants based on TODIM Methodology in Developing Urban Areas

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## Article

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2 **Methodology in Developing Urban Areas**

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36 **Abstract**

37 Optimum technology selection of wastewater treatments plants (WWTPs) necessitates the adoption of data-driven scientific  
38 approaches that satisfy the sustainability requirements of the urban ecosystem. Such approaches should be able to provide  
39 actionable insights to decision makers constrained by factors such as increasing population, scarcity of land, and loss of  
40 functionality of treatment plants. In this article, we present a criteria system based on the sustainability perspective, which is  
41 crucial for the selection of the treatment plant technology from the planning to the operation of the plants. We further suggest  
42 a hybrid fuzzy multi-criteria decision making framework (MCDM) under the concept of the alpha cut series which takes into  
43 account the risk aversion of decision makers to overcome uncertainties of environmental conditions. To the best of our  
44 knowledge, this study is the first to show how a systematic decision-making process is approached by interpreting the  
45 interaction of criteria for the selection of treatment plant technology through the membership function of Prospect Theory.  
46 The prominent reference criterion manages other sub-criteria according to the function of risk-aversion behavior. The  
47 alternatives are ranked by the fuzzy TODIM (an acronym in Portuguese of interactive and multi-criteria decision-making)  
48 based on  $\alpha$ -cuts sets integrated into the fuzzy analytical hierarchy process (FAHP) including the aggregated weights. We  
49 verify usefulness of our approach through a case study conducted with four full-scale WWTPs in a metropolitan city of an  
50 EMEA country. The ranking results based on dominance degree of alternatives showed that anaerobic-anoxic-oxic (A2O)  
51 without pre-clarification was the most effective process according to sludge disposal cost (C25) calculated as reference  
52 criteria. Finally, we present how our framework can be utilized by reflecting the risk perception of decision makers in  
53 technology selection of WWTPs for developing urban areas.

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55 *Keywords:* Wastewater treatment plant, Technology selection, Sustainable Development, Multi-criteria decision making,  
56 Fuzzy TODIM, Prospect Theory, Fuzzy Analytical Hierarchy Process, Sustainable Development

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## 78 **Introduction**

79 Economic development should be balanced with protection of natural resources and environmental sustainability to  
80 contribute to the circular economy. Wastewater is defined as a valuable resource for both ecological and economic  
81 development of countries in terms of sustainability<sup>1</sup>. Wastewater treatment makes a major contribution to sustainable  
82 development in terms of water resources protection, effective waste management, and openness to the use of renewable  
83 energy<sup>2</sup>. Increasing the proportion of safe and most appropriate technologies for treating domestic and industrial wastewater  
84 is considered one of the expanded goals of the Sustainable Development Goals (SDG) by 2030<sup>3</sup>.

85 Optimum technology selection for the wastewater treatment process can only be achieved by ensuring the right  
86 investment for the right region, taking into account public benefit and social awareness. In real life, the optimal technology  
87 selection for wastewater treatment plants depends directly on the knowledge, experience and competence of the decision  
88 makers<sup>4</sup>. Uncertainties related to forecasts of economic, social, and environmental conditions can have manipulative effects  
89 on stakeholders' attitudes (e.g., risk aversion or risk-taking in making decisions) and lead to limitations in rational decision-  
90 making that requires sufficient knowledge of prevailing conditions<sup>5</sup>. For this reason, the motivation of this study is to  
91 overcome the limitations of the unpredictability of human behavior caused by uncertainty by reflecting the risk-aversion  
92 perspectives of competent decision-makers in a proposed decision-making model for selecting the most appropriate  
93 technology for wastewater treatment plants (WWTPs).

94 Wastewater treatment technology selection is a complex and multidimensional problem necessitating multi criteria  
95 evaluation<sup>6</sup>. In addition to the complexity of the problem, decision makers are faced with the necessity of evaluating  
96 contradictory criteria which, seems to be another challenge in the problem<sup>7</sup>. Accordingly, much research has been done to  
97 assess this interaction between economic or technological feasibility and environmental impacts in order to select the optimal  
98 wastewater treatment alternative over the lifetime of treatment systems. Among them, Molinos-Senante et al.<sup>8,9</sup> propose a  
99 systematic approach based on the analytic hierarchy process AHP and scenario-based analytic network theory (ANP) to  
100 address the assessment of economic feasibility and environmental impact in the decision-making process for ranking  
101 alternatives. In recent years, most studies on the economic assessment and environmental impacts of alternatives to  
102 wastewater management, including wastewater treatment plants, have been conducted by applying a multi-criteria decision-  
103 making approach (MCDM) that focused on expert opinion<sup>10</sup>. Furthermore, some of these studies should be conducted with  
104 exact data as opposed to heterogeneous data, however, this is not always possible with real-world problems. All these  
105 mentioned studies contribute with different perspectives to cope with the complexity of the decision-making process for the  
106 technology selection of wastewater treatment plants and uncertainties from dynamic environments.

107 In this article, we focus on the impact of behavioral psychology on decision-making process, considering the  
108 possibility of reference dependency, loss-averse, and subjective judgement bias to select the optimum technology for WWTPs  
109 under risks and uncertain environments. One of the reference studies in behavioral economics is the Prospect Theory  
110 developed by Kahneman and Tversky in 1979<sup>11</sup>, a descriptive model that includes behavioral expectations for individual  
111 decisions under risk conditions. Prospect theory treats changing individual behavior under risk and uncertainty as a  
112 description of reference-dependent losses and gains. Although the effect of behavioral psychology on decision-making  
113 processes is repeatedly emphasized in the literature on wastewater management, it describes it qualitatively in decision-  
114 making models. On the other hand, it is possible to use this mechanism in quantitative decision models via prospect theory  
115 to make more rational decisions. In this context, Autran Monteiro Gomes and Duncan Rangel in 2009<sup>12</sup> introduced an MCDM  
116 method based on prospect theory and considering psychological behaviors under risk and uncertainty, known as the TODIM  
117 method (an acronym in Portuguese for interactive and multi-criteria decision-making) is abbreviated. Q. Qin et al. in 2017<sup>13</sup>

118 introduced a TODIM-based approach to behavioral decision making integrated with an intuitionistic fuzzy set to transform  
119 linguistic data for business model selection related to energy efficiency. Guo et al. in 2020<sup>14</sup> improved an extended TODIM  
120 methodology using a hesitant fuzzy set to select carbon capture, utilization and storage technologies to represent ambiguity  
121 in decision making.

122 Starting from this perspective, we introduce a fuzzy multi-criteria decision framework based on Prospect Theory to  
123 integrate bounded rational human behaviors, emphasized by many studies in behavioral economics to decision model for  
124 WWTP selection problem. In contrast to traditional decision-making tools, the methodology proposed in this study is worth  
125 showing the results of reference-dependent decisions that reflect loss-averse behavior and ranking the alternatives according  
126 to their degree of dominance by showing the criteria interaction. Additionally, the criteria system is established including all  
127 sustainability dimensions that allow comprehensively analyze not only single effect of specific environmental impact such  
128 as greenhouse gas effect or treated water reuse but rather the whole operations from the construction to operation. The  
129 diverging aspect of this study from the other studies on wastewater management is that in the decision making process,  
130 sustainability indicators determine the dominance degree of the technologies used in real-scale WWTPs in accordance with  
131 the membership function of the Prospect Theory. The strongest aspect of this framework is that it enables more rational  
132 decisions by determining the crucial criteria that influence the concept of sustainability under variable and uncertain  
133 conditions according to the risk aversion approach. On the basis of this perspective, the results we have reached according to  
134 the proposed decision model which considers the behavioral characteristics of decision makers reveal the strong interaction  
135 between economic and environmental criteria in real scale decision problems for WWTPs, and also provide reducing  
136 distortion of subjective judgements or information loss. In this study, the reference dependency approach reflects the decision  
137 model based on the loss avoidance behavior of decision makers and our results show that the sludge disposal cost calculated  
138 by our decision model as ‘reference criterion’, which is sub criteria of economic dimension of sustainability, interact  
139 strongly with sludge generation, operational and maintenance cost, and energy savings for determination of the dominance  
140 degree of each alternatives. According to the calculated dominance degree of alternatives, A2O (anaerobic-anoxic-oxic)  
141 without pre-clarification was the most effective process in terms of sustainability perspective. All these findings indicate that  
142 while the model simulates the reference dependency of human behavior with a focus on risk aversion, the weight of the  
143 criteria that will affect the reference criterion weight is also effective in the alternative ranking.

144 When the contributions and limitations of researches on decision-making processes on the environmental policies  
145 are examined, the following inferences seems to emerge:

- 146 (1) Necessities of multi-dimensional evaluation of the problem by establishing criteria system,
- 147 (2) Achieving the evaluation of heterogeneous types of information such as linguistic, interval or crisp data and  
148 demonstration of the criteria interaction
- 149 (3) Dealing with uncertainty arising from dynamic environmental conditions and human prejudices,
- 150 (4) Reflecting the behavioral psychology of decision makers in the decision process quantitatively,
- 151 (5) Managing the decision process by overcoming incomplete, corrupted or insufficient data.

152 Based on these inferences, we introduce a fuzzy TODIM based approach from sustainability perspective by criteria  
153 evaluation, dealing with heterogeneous types of information and reflecting risk avoidance behavior of decision makers  
154 considering dynamic environmental conditions. To the best of our knowledge, this is the first study to integrate all aspects of  
155 sustainability with the behavioral characteristics of decision makers such as reference dependence, loss avoidance, risk  
156 seeking. Following our approach, when an emergency decision making is required such as sudden change in population,  
157 limitations of land and energy, fuzzy TODIM model can offer a more scientific framework for the ranking of alternatives.

158 The contributions of this study can be summarized as: (1) a fuzzy TODIM method based on  $\alpha$ -cuts set performed by  
159 trapezoidal fuzzy numbers is proposed which provides reliable way to reveal criteria interaction in dynamic environment by  
160 changing qualitative and quantitative data into fuzzy information contrary to traditional approaches. (2) The proposed  
161 methodology presents a rational approach unlike traditional methodology to select optimum technology for WWTPs  
162 reflecting risk averse behavior of decision makers into decision model. (3) This fuzzy approach based decision model reduces  
163 loss of information and eliminate distorted data to make decision closer to the real case by the strength of membership  
164 function of Prospect Theory.

## 165 **Material and Methods**

### 166 **Study Area and Evaluation of Alternatives**

167 Istanbul is Turkey's most populous metropolitan city with a population of over 15 million residents, settled on an  
168 area of 5.34 km<sup>2</sup>. Being a mega city, Istanbul forms the highest population density in Europe. Due to the fact that it receives  
169 a large in-migration, in recent years population growth was recorded as almost twice as expected. Istanbul faced problems in  
170 terms of water availability throughout its history, but the situation has worsened with the rapid population growth over the  
171 last decade<sup>15</sup>. Wastewater in Turkey, which has not been valued until recently, is nowadays being considered as a possible  
172 'new' source of clean water to be used especially for non-potable purposes. Due to this reason, technology selection for  
173 wastewater treatment process needs to be evaluated to serve not only to meet discharge limits, but also the other aspects  
174 related with sustainability such as reuse of treated wastewater and protection of water resources. In Turkey, ecological issues  
175 related sustainability including treated wastewater reuse, energy efficiency, and renewable energy usage in WWTP, green  
176 house effects, and sludge treatment techniques are not always taken into consideration at the same time rationally. Our case  
177 study in Istanbul involves four types of technologies applied for four WWTPs with a capacity of more than 100.000 m<sup>3</sup>/ day.  
178 In this research, the alternatives are collected under four different process titles namely, Conventional Activated Sludge  
179 System with pre-clarification and digester (CAS-W/-P) (A1), A2O without pre-clarification (A2O-W/O-P) (A2), 5-stage  
180 Bardenpho with pre-clarification (BP-5-W/-P) (A3) and finally, A2O with pre-clarification (A2O-W/-P) (A4).

### 181 **Methodology**

182 Our study comprise four major parts, in the first part, a hierarchical criteria system for WWT technology selection  
183 problem is established, and major criteria and sub-criteria is explained. In the second part, data transformation and  
184 normalization is performed using TrFNs. Followed by, criteria and sub-criteria weights are evaluated by AHP. In addition,  
185 aggregate criteria weights of both quantitative and qualitative data are calculated by deriving them from the methodology  
186 developed by Abdel-Kader and Dugdale in 2001<sup>16</sup>. In the final part, alternatives of WWT technologies are ranked according  
187 to fuzzy TODIM method based on  $\alpha$ -cuts set adapting the value function of prospect theory. We performed our model  
188 development using MATLAB software and data transformation and normalization and sensitivity analysis in relation to the  
189 changing attenuation factor ( $\theta$ ) and comparative study using method in the literature.

### 190 **Establishment of Criteria System based on sustainability dimensions**

191 Wastewater treatment activities are considered high-priced and effort-driven due to land requirement, complex  
192 processes, and energy cost<sup>17</sup>. Due to this reason, criteria evaluation is considered to be a strategic part of decision making  
193 problems considering sustainability<sup>18</sup>. In this study, the dimensions of sustainability were selected based on the following  
194 four major criteria: Environmental, economic, technical and social aspects. In order to propose a decision model with criteria  
195 evaluation based on scientific foundations, questionnaire study was conducted with experts involved in every stage from the  
196 feasibility to construction and operation of WWTPs. Furthermore, environmental impact assessment reports, specifications

197 including design parameters for WWTPs, regulations regarding treated water discharge parameters, and literature were  
198 investigated to build a criteria system. Finally, we determined 24 sub-criteria grouped under the main aspects of sustainability  
199 based on relevant literature and research group meetings to build criteria system considering the complex process of  
200 wastewater treatment as presented in Fig. 1. The definition and explanation of these sub-criteria are shown in Table 1.

201 Environmental factor includes the impacts of wastewater treatment activities that affect ecosystem and resources.  
202 Considering the environmental impacts, resource consumption and reusability concepts, three criteria were determined to  
203 evaluate the environmental efficiency of WWTPs: energy consumption, sludge production, and reuse of treated water.

204 Economic factor is a measure of the extent of expenses from the installation phase of plant to operation phase that  
205 the system operate without interruption including investment cost, operation and maintenance cost and land requirement<sup>19</sup>.  
206 Cost-oriented and eco-friendly designs of WWTPs is important for decision makers in maintaining the balance between  
207 compliance with environmental regulations and budget constraints<sup>20</sup>. For this reason, there are five economic indicators  
208 including investment cost, land requirement, operational and maintenance cost, energy savings, and sludge disposal cost were  
209 used to evaluate economic viability of the WWTPs.

210 Technical factor indicates the treatment efficiency, performance, and technological validation of WWTP to achieve  
211 desired goals dictated by legislation<sup>21</sup>. The technical criteria directly relate to efficiency of treatment process including  
212 removal efficiency of biological oxygen demand (BOD), chemical oxygen demand (COD), suspended solid (SS), nitrogen  
213 (N) and phosphorus (P) to perform advanced biological treatment. These sub-criteria show the performance of treatment and  
214 the fulfillment of design commitment of WWTP. The efficiency is calculated as follows:

215 
$$\text{Efficiency} = \frac{\text{Influent} - \text{effluent}}{\text{Influent}} \times 100 \text{ (\% BOD, COD, SS, N, and P)}$$

216 Moreover, six more criteria were added to measure technical performance, which are maturity, simplicity, applicability,  
217 replicability, flexibility, and reliability.

218 Social factor associates with awareness, cultural acceptance, responsibility, and the staffing components of  
219 sustainable development that measures the socio-economic value added to overall benefit of wastewater treatment facilities<sup>22</sup>.

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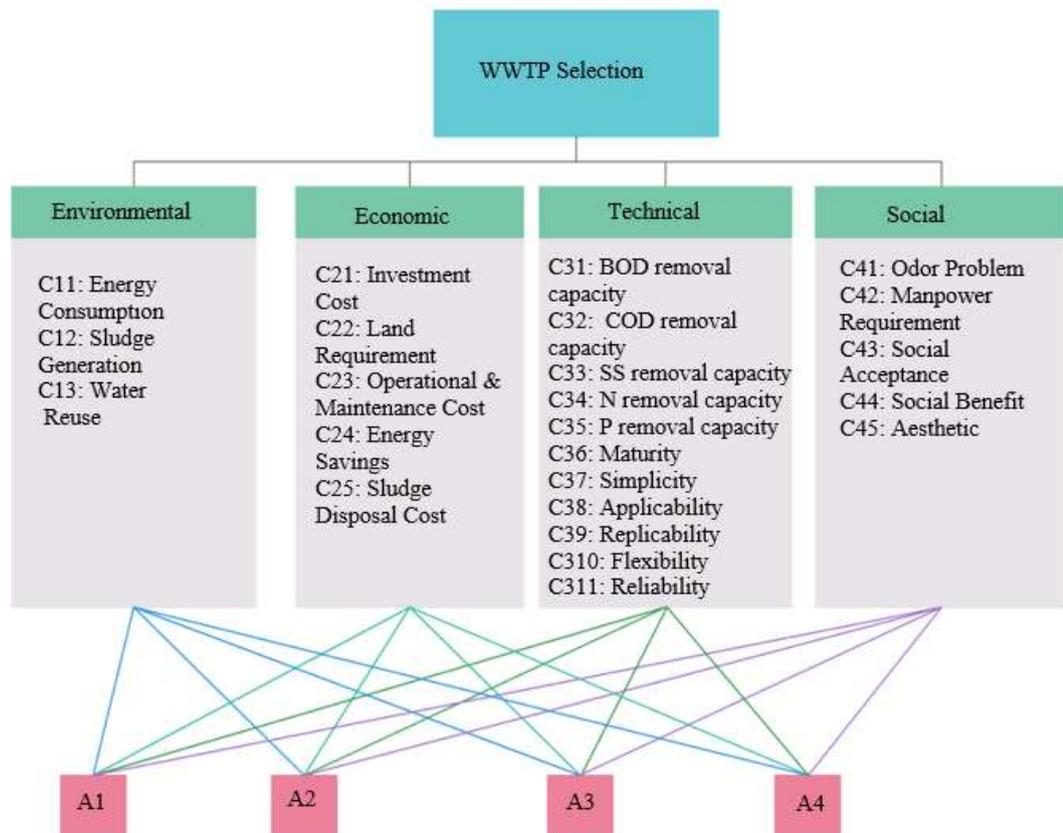


Figure 1. Establishment of criteria system for WWTP selection

Criterion	Explanation
<i>C1: Environmental Criteria</i>	
C11: Energy Consumption	Energy consumption amount during operation to assess carbon footprint, greenhouse gas effect and carbon emissions
C12: Sludge Generation	Sludge production of the system
C13: Water Reuse	The usage potential of water after treatment
<i>C2: Economic Criteria</i>	
C21: Investment Cost	Expenses for the construction of the wastewater treatment plant
C22: Land Requirement	Sufficient space for wastewater treatment plant/ future expansion
C23: Operational & Maintenance Cost	Repair, personnel, chemical and energy costs to manage wastewater treatment plant
C24: Energy Savings	Energy recovery to reduce total energy costs of the system
C25: Sludge Disposal Cost	Expenses of sludge treatment; Sludge Disposal Cost = unit cost of sludge disposal × sludge generation (kg/year)
<i>C3: Technical Criteria</i>	
C31: BOD removal capacity	The removal capacity of the amount of oxygen consumed by microorganisms while decomposing organic matter
C32: COD removal capacity	The removal capacity of the amount of oxygen consumed to oxidize all organic material by chemical oxidants
C33: SS removal capacity	The removal capacity of the amount of tiny solid particles that act as a colloid
C34: N removal capacity	Nitrogen removal capacity
C35: P removal capacity	Phosphorus removal capacity
C36: Maturity	Applicability of the system
C37: Simplicity	Ease of installation, less operation and maintenance and technical staff requirement, availability of on-line plant tracking
C38: Applicability/ Operability	Applicable of the system for changing climatic and geographic conditions and population
C39: Replicability	Adoptability to any location under the same conditions to allow practical selection for DMs.
C310: Flexibility	Sensitive to changing organic load, hydraulic load, allow process changes
C311: Reliability	Includes plant performance, equipment reliability and emergency operations
<i>C4: Social Criteria</i>	
C41: Odor Problem	Undesired smell potential of the system
C42: Employment	Employment creation of the system
C43: Social Acceptance	Public awareness
C44: Social Benefit	Added-value of local community to aware environmental issues
C45: Aesthetic	Acceptability of plant conditions and appearance

**Table 1.** Evaluation Criteria for WWTP selection

246 The procedure to determine subjective judgements of each criteria by using AHP are as follows<sup>23</sup>:

- 247 i) The pairwise comparison matrix A is established and standardized.
- 248 ii) Each column element of the subjective judgements matrix,  $a_{jk}$  is normalized according to
- 249 equation as follows and called normalized pairwise comparison matrix N

$$250 \bar{a}_{jk} = \frac{a_{jk}}{\sum_{i=1}^n a_{jk}}, \quad i = 1, 2, \dots, n \text{ and } j = 1, 2, \dots, m \quad (1)$$

251 where  $\bar{a}_{jk}$  is the element of N.

- 252 iii) The relative weights of criteria or criteria weight vector are obtained by the row average of
- 253 normalized matrix N. Eigen vector,  $\bar{w}_j$  is calculated to represent criteria weight vector and
- 254 expressed as follows.

$$255 w_j = \sum_{k=1}^n a_{jk} \quad (2)$$

$$256 \bar{w}_j = \frac{w_j}{\sum_{i=1}^n w_j} \quad (3)$$

- 257 iv) Final step is calculation of the consistency index (CI) to measure consistency of experts'
- 258 judgements according to Eq.(4)

$$259 CI = \frac{\lambda_{max} - n}{n - 1} \quad (4)$$

260 where  $\lambda_{max}$  is the maximum eigenvalue, n is the rank of the pairwise comparison matrix and  $CI < 0.1$  is

261 acceptable for the consistency of the pairwise matrix.

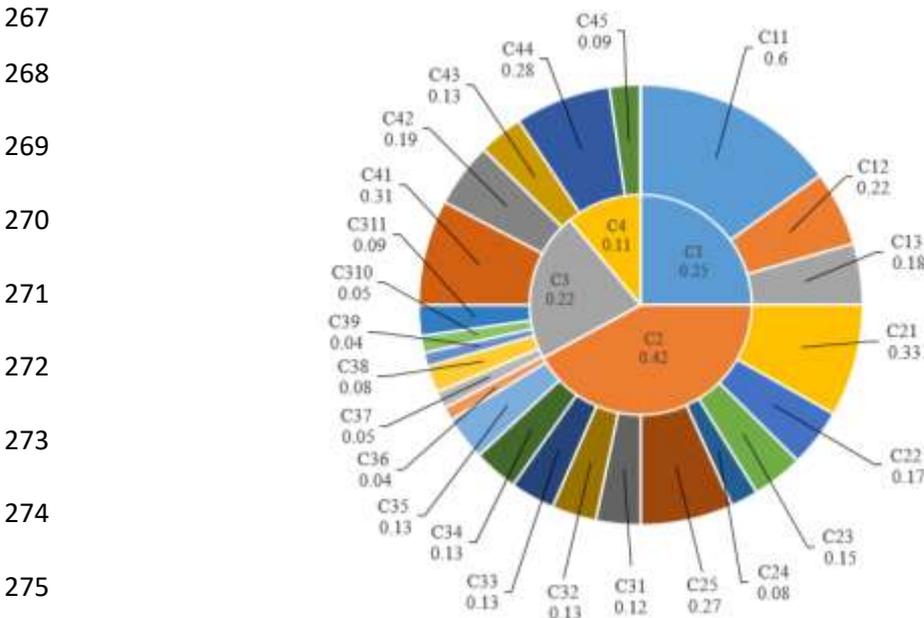
262 Criteria weights obtained by AHP are demonstrated in Fig. 2. According to the scores, economic factors

263 (C2) are of primary importance and the effect of sludge disposal cost (C25) as a sub-criterion seems higher than

264 other economic indicators. In order to make a weighting that can cope with the uncertainty arising from subjective

265 judgments or inaccurate data in decision problems containing qualitative and quantitative data, the criterion

266 weights to be included in the decision model were determined by using TrFNs at the second phase of this study.



276 **Figure 2.** Weights of criteria and sub-criteria

277 **Preliminaries of Trapezoidal Fuzzy Numbers**

278 Simplification of a fuzzy number is achieved effectively by the piecewise linear curves leading to triangle,  
 279 trapezoidal, or orthogonal membership function<sup>24</sup>. In this study TrFN is used to model fuzzy data for the reason of  
 280 the effectiveness of TrFN to solve MCDM problems where the lack of knowledge, and ambiguity nature of human  
 281 decision making process consists<sup>25</sup>. On the other hand, there exists studies suggesting that TrFN is suitable to  
 282 modelling imprecision and reflecting the ambiguous nature of subjective judgments<sup>26,27</sup>.

283 **Definition 1.** A TrFN  $\tilde{a}$  is a special fuzzy subset on the real number set represented as  $\tilde{a} = (a_1, a_2, a_3, a_4)$  whose  
 284 membership function is as follows<sup>24</sup>.

$$285 \mu_{\tilde{a}}(x) = \begin{cases} 0, & x < a_1 \\ \frac{x-a_1}{a_2-a_1}, & a_2 \geq x \geq a_1 \\ 1, & a_3 \geq x \geq a_2 \\ \frac{x-a_3}{a_3-a_4}, & a_4 \geq x \geq a_3 \\ 0, & x > a_4 \end{cases} \quad (5)$$

286 where the  $a_1$  and  $a_4$  are lower and upper limits of  $\tilde{a}$  respectively and  $[a_2, a_3]$  is a closed interval.

287 **Definition 2.** Widely used standard method for defuzzification is the centroid method.  $S(\tilde{a})$  represents defuzzified  
 288 value of a trapezoidal fuzzy number calculated as follows<sup>28</sup>:

$$289 S(\tilde{a}) = \frac{a_1 + a_2 + a_3 + a_4}{4} \quad (6)$$

290 **Definition 3.** Let  $\tilde{a}$  and  $\tilde{b}$  be two trapezoidal fuzzy numbers such that,  $\tilde{a} = (a_1, a_2, a_3, a_4)$  and  $\tilde{b} = (b_1, b_2, b_3, b_4)$ .

291 Then Euclidean distance  $d(\tilde{a}, \tilde{b})$  between two TrFNs is calculated as<sup>29</sup>:

$$292 d(\tilde{a}, \tilde{b}) = \sqrt{\frac{1}{6} \left[ \sum_{i=1}^4 (b_i - a_i)^2 + \sum_{i \in \{1,3\}} (b_i - a_i)(b_{i+1} - a_{i+1}) \right]} \quad (7)$$

293  **$\alpha$ -Cuts in TrFNs Sets**

294 The  $\alpha$ -cut sets are the way of comparing or ranking the fuzzy numbers without membership functions<sup>30</sup>.

295 **Definition 4.** Let  $\tilde{A}$  fuzzy number and its  $\alpha$ -cuts  $\tilde{A}_\alpha$  are defined as<sup>31</sup>

$$296 \tilde{A}_\alpha = \{x \in X \mid \mu_{\tilde{A}}(x) \geq \alpha\}$$

$$297 = [\min\{x \in X \mid \mu_{\tilde{A}}(x) \geq \alpha\}, \max\{x \in X \mid \mu_{\tilde{A}}(x) \geq \alpha\}]$$

$$298 = [(x)_\alpha^L, (x)_\alpha^U].$$

299 (8)

300 where  $\mu_{\tilde{A}}(x)$  is the membership function of  $\tilde{A}$ ,  $x \in X$  denotes the elements belonging to the universal set and  
 301  $\forall \alpha \in [0,1]$ . Each  $\alpha$ -cut set consists of closed intervals containing the upper and lower bound values derived from  
 302 the fuzzy numbers. According to Definition 4, TrFNs are expressed at  $\alpha$ -cuts as follows<sup>32</sup>.

303  $\tilde{A} = (a_1, a_2, a_3, a_4)$  is a TrFN and its  $\alpha$ -cut set can be denoted as

$$304 \quad \tilde{A}_\alpha = [\tilde{A}_\alpha^L, \tilde{A}_\alpha^U] = [a_1 + \alpha(a_2 - a_1), a_4 - \alpha(a_4 - a_3)] \quad (9)$$

### 305 **Prospect Theory**

306 The major concept of prospect theory developed by Kahneman and Tversky in 1979<sup>11</sup> is that decision making  
 307 depends on behavioral tendency under risks considering the potential value losses and gains representing  
 308 variability with respect to reference point selection. The value function of prospect theory is described as follows.

$$309 \quad \mu(z) = \begin{cases} z^\alpha & \text{if } z \geq 0 \\ -\lambda(-z)^\beta & \text{if } z < 0, \end{cases} \quad (10)$$

310 where  $z$  denotes gains or losses;  $z \geq 0$  represents the gains and  $z < 0$  represents losses, and  $\alpha$  is the risk seeking  
 311 coefficient and  $\beta$  is the risk averse coefficient. The expression  $\lambda$  is called the risk aversion coefficient and  $\lambda > 1$   
 312 represents that decision maker is more sensitive to losses than gains. The value function of Prospect Theory is an  
 313 s-shaped (sigmoidal) function, consisting of concave and convex part representing gains and losses respectively.  
 314 In summary, decision makers are risk averse for gains and risk-seeking for losses<sup>33</sup>.

315 Kahneman and Tversky in 1979<sup>11</sup> defined  $\alpha$ ,  $\beta$  and  $\lambda$  in their empirical research and determined their  
 316 values as  $\alpha = \beta = 0.88$  and,  $\lambda = 2.25$ .

### 317 **The TODIM Method**

318 The TODIM Method is attributed as a hybrid method combining aspect of MAUT (Multiattribute Utility  
 319 Theory) of AHP and ELECTRE methods<sup>12</sup>. In general, MCDM problems have a set of finite number of  
 320 alternatives:  $A = \{A_1, \dots, A_m\}$  and a set of finite number of criteria:  $C = \{C_1, \dots, C_n\}$ . The weighting vector of  
 321 criteria  $W = \{w_1, \dots, w_n\}$  and the individual weight  $w_k$ ;  $k = \{1, \dots, n\}$  for each criterion  $C_k$  satisfying  $\sum_{k=1}^n w_k =$   
 322 1. Let  $D = (x_{ik})_{m \times n}$  be a normalized decision matrix  $x_{ik}$  denoting the assessment or performance of alternative  
 323  $A_i$  related to criterion  $C_k$  in the form of crisp number with  $i \in M$ , where  $M = \{1, \dots, m\}$ ,  $k \in N$ , and  $N = \{1, \dots, n\}$ .  
 324 The decision making procedure for TODIM method is described as follows:

325 **Step 1:** Calculate the relative weight  $w_{kr}$  of criterion  $C_k$  to the reference criterion  $C_r$  as follows:

$$326 \quad w_{kr} = \frac{w_k}{w_r} \quad (11)$$

327 where  $w_k$  denotes the weight of the criterion  $C_k$  and  $w_r = \max \{w_k | k \in N\}$ .

328 **Step 2:** TODIM method relies on the dominance of one alternative ( $A_i$ ) over another alternative ( $A_j$ ) under  
 329 criterion  $k$  calculated by using the value function of Prospect Theory expressed following:

$$330 \quad \phi_k(A_i, A_j) = \begin{cases} \sqrt{|x_{ik} - x_{jk}| \frac{W_{kr}}{\sum_{k=1}^n W_{kr}}} & x_{ik} - x_{jk} > 0 \\ 0 & x_{ik} - x_{jk} = 0 \\ -\frac{1}{\theta} \sqrt{|x_{ik} - x_{jk}| \frac{\sum_{k=1}^n W_{kr}}{W_{kr}}} & x_{ik} - x_{jk} < 0 \end{cases} \quad (12)$$

331 where  $\theta$  denotes attenuation factor that evaluate loss aversion.  $\theta > 0$  represents high risk aversion preference of  
 332 DM. If  $\theta < 0$ , less risk aversion or higher risk seeking attribute of DM reflected on ranking alternatives.

333 **Step 3:** Obtain the overall dominance degree of each alternative  $A_i$  over each  $A_j$  calculated by:

$$334 \quad \phi(A_i, A_j) = \sum_{k=1}^n \phi_k(A_i, A_j) \quad i, j \in M \text{ \& } k \in N \quad (13)$$

335 **Step 4:** Calculate the global dominance of alternative  $A_i$  as follows:

$$336 \quad \xi(A_i) = \frac{\sum_{j=1}^m \phi(A_i, A_j) - \min \sum_{j=1}^m \phi(A_i, A_j)}{\max \sum_{j=1}^m \phi(A_i, A_j) - \min \sum_{j=1}^m \phi(A_i, A_j)} \quad i \in M \quad (14)$$

337 **Step 5:** Calculation of the global dominance of each alternative makes possible to rank of alternatives. The  
 338 alternative has higher value of  $\xi$  is the best alternative.

### 339 **Decision-Making Process: Fuzzy TODIM based on $\alpha$ -Cuts to Select WWTP**

340 Since the classical MCDM tools do not consider the risk orientations of the decision makers, the situation  
 341 arises that the model is inadequate in the face of dynamic changes<sup>34</sup>. Unforeseen parameters such as sudden  
 342 population changes, epidemics, disasters, adverse conditions due to climate change, lack of space, cost of energy,  
 343 cause the phenomenon that manages the uncertainty to be chosen according to the behavior of avoiding risk rather  
 344 than avoiding loss. The form of this behavior in real life expressed as a function is the membership function of  
 345 Prospect Theory. TODIM is a technique derived from Prospect Theory which puts the membership function of  
 346 Prospect Theory at the center of the decision making process to reflect the rational behavior of DMs<sup>35</sup>. In this  
 347 context, the framework for the decision making process including the weighting to obtain relative weights of  
 348 criteria is retrieved from Fig. 3 and consists of three phases as can be seen in Fig. 4:

349 Phase-I: Figuration includes (a) defining an alternative sets (b) establishment of criteria system with  
 350 respect to available technology of WWTP processing, (c) data collection from design engineer, construction  
 351 engineer, process or operation engineer and environmental impact assessment expert, (d) priority evaluation of  
 352 criteria and sub-criteria in terms of weight calculation through AHP.

353 Phase-II: Modelling and Evaluation composes of (a) data normalization procedure of TrFNs, (b)  
 354 weighting of subjective judgements and objective data based on  $\alpha$ -cuts and derived the membership function, (c)  
 355 calculation of aggregate weights of criteria, (d) converting TrFNs into their upper and lower bounds to obtain their  
 356  $\alpha$ -cuts for crisp data (e) obtaining the gains and losses, (f) calculating dominance of each alternatives based on the  
 357 gains or losses, (g) evaluating overall dominance degree of alternatives, (h) calculating global dominance of  
 358 alternatives.

359 Phase III: Selection encloses ranking of alternatives according to its global dominance degree at each  
 360 alpha level sets. A solution environment will be provided to DMs in which they can evaluate the most compromised  
 361 solution according to global dominance degree at each alpha level sets. In addition, presenting the average global  
 362 dominance degrees considering alpha cuts guide DMs to choose the most consistent solution for process of WWTP.

363 **Data Transformation**

364 In order to, reflect the ambiguous nature of subjective judgements and uncertainty of inaccurate  
 365 information, trapezoidal fuzzy numbers were used in decision model. Under the condition of symmetric  
 366 uncertainty around the mean, crisp and linguistic data were changed into TrFNs. 20% of uncertainty to compose  
 367 with trapezoidal fuzzy numbers and %5 of symmetric uncertainty around the mean calculated as follows:  $a_1 =$   
 368  $t - 0.2 t$ ,  $a_2 = t - 0.05 t$ ,  $a_3 = t + 0.05 t$ ,  $a_4 = t + 0.2 t$  where  $t$  denotes the collected crisp data value.  
 369 Additionally, linguistic terms are transformed to TrFNs via their mapping relations showed in Table 2. Linguistic  
 370 terms are determined by survey of wastewater treatment plant's operators and experts with respect to 1-10 scale.  
 371

Linguistic Terms	1-10 Scale
Extremely Poor (EP)	1
Very Poor (VP)	2
Fairly Poor (FP)	3
Poor (P)	4
Medium (M)	5
Fairly Strong (FS)	6
Strong (S)	7
Very Strong (VS)	8
Extremely Strong (ES)	9,10
	Trapezoidal Interval Fuzzy Number
EP	(0.8, 0.95, 1.05, 1,2)
VP	(1.6, 1.9, 2.1, 2.4)
FP	(2.4, 2.85, 3.15, 3.6)
P	(3.2, 3.8, 4.2, 4.8)
M	(4.0, 4.75, 5.25, 6.0)
FS	(4.8, 5.7, 6.3, 7.2)
VS	(5.6, 6.65, 7.35, 8.4)
ES	(6.4, 7.6, 8.4, 9.6)
	(7.2, 8.55, 9.45, 9.6);
	(8.0, 9.5, 10.5, 12,0)

372  
 373 **Table 2.** Linguistic Terms and their expression based on TrFNs.  
 374

375  
 376  
 377

378 **Data Normalization**

379 To obtain normalized decision matrix, assume that there is an alternative set of  $A = \{A_1, \dots, A_m\}$   
 380 including m alternatives, a criteria set of  $C = \{C_1, \dots, C_n\}$  including n criteria, and the fuzzy decision matrix  $\tilde{A} =$   
 381  $[\tilde{x}_{ij}]_{m \times n}$  where  $\tilde{x}_{ij}$  denotes the information of alternative  $A_i$  related to criterion  $C_j$  transformed into TrFNs. Then,  
 382 the fuzzy decision matrix is converted into the normalized decision matrix as  $\tilde{A} = [\tilde{r}_{ij}]_{m \times n}$ . The criteria are  
 383 separated into two groups benefit and cost criteria. The normalization of criteria is performed by:

384 
$$\tilde{r}_{ij}^k = \frac{\max(a_{ij}^4) - a_{ij}^k}{\max_i(a_{ij}^4) - \min_i(a_{ij}^1)} \quad k = 1,2,3,4 \quad x_{ij} \in F^C$$

385

386 
$$\tilde{r}_{ij}^k = \frac{a_{ij}^k - \min_i(a_{ij}^1)}{\max_i(a_{ij}^4) - \min_i(a_{ij}^1)} \quad k = 1,2,3,4 \quad x_{ij} \in F^B$$

387 (15)

388 **Determination of Criteria Weights**

389 In the decision model presented in this study, an aggregate weighting approach is proposed in order to  
 390 include both subjective judgements and objective data. During the operation phase of wastewater treatment plants,  
 391 both quantitative data and engineering experiences are taken into consideration while evaluating plant efficiency.  
 392 The methodology is developed by Abdel-Kader and Dugdale<sup>16</sup> are employed to calculate final weight scoring of  
 393 subjective judgement and objective data. The aggregated weight is calculated to obtain holistic approach for  
 394 weighting by linear weighting method as follows:

395 
$$w_{j\_agg} = \gamma w_{j\_sub} + \lambda w_{j\_ob} \quad \gamma + \lambda = 1, \text{ and } \gamma, \lambda > 0 \quad (16)$$

396 where  $w_{j\_agg}$ ,  $w_{j\_sub}$ , and  $w_{j\_ob}$  are denoted aggregated weight, subjective weight and objective weight vectors  
 397 respectively. In this study,  $\gamma$  and  $\lambda$  represents the influence coefficient of the subjective and objective weights,  
 398 respectively which were assumed to be 0.5.

399 **Determination of Subjective Weights of Criteria**

400 In order to make a realistic criteria evaluation, it is necessary to deal with the ambiguity of subjective  
 401 judgments and data inconsistencies. Hence, the effect of subjective judgment on the criteria is evaluated in two  
 402 stages. In the first stage, the first weights of the criteria according to the priority evaluation performed by the  
 403 experts are determined via AHP. The results of subjective judgements are represented in Table 3. The evaluation  
 404 results of subjective judgements set is expressed  $E = \{E_1, \dots, E_t\}$  and, t is the number of experts. A criteria set is  
 405 defined as  $C = \{C_1, \dots, C_n\}$  and, n is the number of criteria. The evaluated results through AHP are converted into  
 406 the linear fuzzy weights of criteria expressed as  $\tilde{w}_E = [\tilde{w}]_{t \times n}$ . The normalized fuzzy weights are calculated by  
 407 Eq. (15) according to the benefit and cost criteria. As the last phase, to keep uncertain environment as much as  
 408 possible  $\alpha$ -cut sets are employed rather than centroid method. The corresponding normalized fuzzy weights are  
 409 expressed as  $\alpha$ -cuts ( $\alpha = \{0, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0\}$ ) by Eq. (9)<sup>36</sup>.

410

411

Criteria	Expert-1	Expert-2	Expert-3	Expert-4
C11	0.21	0.49	0.40	0.26
C12	0.55	0.31	0.40	0.41 <sup>414</sup>
C13	0.24	0.19	0.20	0.33 <sup>415</sup>
C21	0.24	0.29	0.09	0.14
C22	0.25	0.34	0.11	0.21 <sup>416</sup>
C23	0.22	0.12	0.28	0.21 <sup>417</sup>
C24	0.13	0.12	0.26	0.14
C25	0.16	0.11	0.26	0.30 <sup>418</sup>
C31	0.13	0.12	0.15	0.17 <sup>419</sup>
C32	0.13	0.12	0.15	0.17
C33	0.13	0.12	0.15	0.17 <sup>420</sup>
C34	0.13	0.12	0.15	0.17 <sup>421</sup>
C35	0.13	0.12	0.15	0.17
C36	0.04	0.02	0.02	0.01 <sup>422</sup>
C37	0.05	0.04	0.04	0.01 <sup>423</sup>
C38	0.08	0.11	0.07	0.03 <sup>424</sup>
C39	0.05	0.06	0.03	0.01
C310	0.04	0.07	0.02	0.01 <sup>425</sup>
C311	0.09	0.10	0.07	0.08 <sup>426</sup>
C41	0.27	0.14	0.24	0.36
C42	0.16	0.18	0.18	0.10 <sup>427</sup>
C43	0.17	0.25	0.24	0.22 <sup>428</sup>
C44	0.20	0.18	0.23	0.22
C45	0.20	0.25	0.11	0.10 <sup>429</sup>
CI <sub>11-13</sub>	2.0%	6.0%	0.0%	6.0% <sup>430</sup>
CI <sub>21-25</sub>	8.9%	1.0%	1.0%	7.0%
CI <sub>31-311</sub>	6.0%	1.0%	2.0%	1.0% <sup>431</sup>
CI <sub>41-45</sub>	2.0%	5.0%	8.0%	2.0%

433 **Table 3.** Evaluation results of subjective judgements through AHP

434 In order to determine final weight scoring, a membership function is suggested which is derived from the  
435 methodology is developed by Abdel-Kader and Dugdale<sup>16</sup> to achieve final subjective weight scoring. The  
436 methodology is used in fuzzy multi-criteria decision making models based on  $\alpha$ -cuts considering risk seeking or  
437 risk avoiding perspective of DMs which enables to compare solution set for compromise solutions<sup>37</sup>. The  
438 membership function for final weighting is as follows:

439

440

$$\begin{aligned}
441 \quad \mu_s(w_k)_\alpha &= \left[ \beta \left( \frac{(\tilde{x}_k)_\alpha^U - \min(\tilde{x}_k)^L}{\max(\tilde{x}_k)^U - \min(\tilde{x}_k)^L + (\tilde{x}_k)_\alpha^U - (\tilde{x}_k)_\alpha^L} \right) \right. \\
442 \quad &+ (1 - \beta) \left( 1 - \frac{\max(\tilde{x}_k)^U - (\tilde{x}_k)_\alpha^L}{\max(\tilde{x}_k)^U - \min(\tilde{x}_k)^L + (\tilde{x}_k)_\alpha^U - (\tilde{x}_k)_\alpha^L} \right) \left. \right] \left[ \frac{(\tilde{x}_k)_\alpha^U + (\tilde{x}_k)_\alpha^L}{2} \right] \quad (17)
\end{aligned}$$

443 where  $(\tilde{x})_{\alpha'} = [(\tilde{x})_{\alpha'}^L, (\tilde{x})_{\alpha'}^U]$  is the  $\alpha$ -cuts of  $\tilde{x}$  which denotes subjective criteria weights. The  $\max(\tilde{x}_k)^U$  and,  
444  $\min(\tilde{x}_k)^L$  shows the value of the maximum upper, and minimum lower bound of  $\tilde{x}$  related to criterion k among  
445 eleven alpha level sets ( $\alpha$ -cuts) respectively. In the derived membership function,  $\beta$  represents the risk tendencies  
446 of the decision makers (risk averse or risk seeking) and its value defined as 0.5. The calculated weights can be  
447 normalized as follows:

$$448 \quad (w_k)_\alpha = \frac{(w_k)_\alpha}{\sum_{k=1}^n (w_k)_\alpha} \quad (18)$$

449 The results of normalized weights matrix of evaluated subjective judgements are represented in Supplementary  
450 Material section.

#### 451 **Determination of Objective Weights of Criteria**

452 The evaluation of quantitative data gathering from operation department of WWTPs includes data  
453 transformation, normalization and, obtaining objective criteria weights phases. The approach to converting crisp  
454 values to TrFNs and normalization procedure is discussed in *Data Transformation* and *Data Normalization*,  
455 respectively.

456 As a final scoring of quantitative data to calculate compromise weighting vector based on  $\alpha$ -cut sets Eq.  
457 (8) and Eq. (9) are employed with respect to eleven alpha levels,  $\alpha = \{0, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0\}$ .  
458 To obtain the decision weighting vector of quantitative data, the membership function and its normalized form is  
459 as follows:

$$\begin{aligned}
460 \quad \mu_o(w_{ik})_\alpha &= \left[ \beta \left( \frac{(\tilde{x}_{ik})_\alpha^U - \min(\tilde{x}_{ik})^L}{\max(\tilde{x}_{ik})^U - \min(\tilde{x}_{ik})^L + (\tilde{x}_{ik})_\alpha^U - (\tilde{x}_{ik})_\alpha^L} \right) \right. \\
461 \quad &+ (1 - \beta) \left( 1 - \frac{\max(\tilde{x}_{ik})^U - (\tilde{x}_{ik})_\alpha^L}{\max(\tilde{x}_{ik})^U - \min(\tilde{x}_{ik})^L + (\tilde{x}_{ik})_\alpha^U - (\tilde{x}_{ik})_\alpha^L} \right) \left. \right] \left[ \frac{(\tilde{x}_{ik})_\alpha^U + (\tilde{x}_{ik})_\alpha^L}{2} \right] \quad (19)
\end{aligned}$$

462 where  $(\tilde{x})_{\alpha'} = [(\tilde{x}_{ik})_\alpha^L, (\tilde{x}_{ik})_\alpha^U]$  is the  $\alpha$ -cuts of  $\tilde{x}$  which denotes quantitative criteria weights. The  $\max(\tilde{x}_{ik})^U$  and,  
463  $\min(\tilde{x}_{ik})^L$  shows the value of the maximum upper, and minimum lower bound of  $\tilde{x}_i$  represented performance of  
464 alternative  $A_i$  related to criterion k among eleven alpha level sets ( $\alpha$ -cuts) respectively. In the derived membership  
465 function,  $\beta$  represents the risk tendencies of the decision makers (risk averse or risk seeking) and its value defined  
466 as 0.5. The calculated weights can be normalized as follows:

$$467 \quad (w_k)_\alpha = \frac{(w_k)_\alpha}{\sum_{k=1}^n (w_k)_\alpha} \quad (20)$$

468 The results of normalized weights of quantitative data are available from Supplementary Material section.

469 **Dominance of Each Alternative**

470 The fuzzy TODIM approach is implemented with the fuzzy criterion weights and the distance calculation  
 471 between two fuzzy numbers to obtain overall dominance degree of each alternative. Dominance of each alternative  
 472  $\tilde{A}_i$  over each alternative  $\tilde{A}_j$  is calculated by the membership function of Prospect Theory is based on gains or losses  
 473 performed by trapezoidal fuzzy numbers to evaluate risk aversion degree in the presence of uncertain environment  
 474 as follows.

$$475 \varphi_k(\tilde{A}_i, \tilde{A}_j) = \begin{cases} \sqrt{d(\tilde{x}_{ik}, \tilde{x}_{jk}) \frac{w_{kr}}{\sum_{k=1}^n w_{kr}}} & \text{if } [S(\tilde{x}_{ik}) - S(\tilde{x}_{jk})] > 0, \\ 0 & \text{if } [S(\tilde{x}_{ik}) - S(\tilde{x}_{jk})] = 0, \text{ and} \\ -\frac{1}{\theta} \sqrt{d(\tilde{x}_{ik}, \tilde{x}_{jk}) \frac{\sum_{k=1}^n w_{kr}}{w_{kr}}} & \text{if } [S(\tilde{x}_{ik}) - S(\tilde{x}_{jk})] < 0. \end{cases}$$

476 (21)

477  $S(\tilde{x}_{ik})$  and  $S(\tilde{x}_{jk})$  parameters are defuzzied values which allow to compare two trapezoidal fuzzy numbers for  
 478 construction of final decision matrix according to defuzzification function expressed as  $S(\tilde{x}_{ik}) - S(\tilde{x}_{jk})$ . The  
 479 expression  $d(\tilde{x}_{ik}, \tilde{x}_{jk})$  is the distance between two trapezoidal fuzzy numbers. The defuzzification function  
 480 employs to determine gain, loss or nil conditions. The use of the distance,  $d(\tilde{x}_{ik}, \tilde{x}_{jk})$ , to calculate dominance  
 481 degree rather than defuzzification function appears logical, since the property  $0 \leq d(\tilde{x}_{ik}, \tilde{x}_{jk}) \leq 1$  is satisfied.  
 482 Three conditions are available in terms of gain or loss presented below. Gains, losses and nil are fitted into the  
 483 membership function of Cumulative Prospect Theory as anticipated. The expression of  $\varphi_k$  emphasizes  
 484 contribution of the criterion k to function  $\delta(\tilde{A}_i, \tilde{A}_j)$  when comparing the alternative i with j.  $\theta$  denotes the  
 485 attenuation factor of the loss. The value of  $\theta$  should satisfy the condition  $\theta > 0$ , which indicates the degree of  
 486 experts' loss averse preference. If  $0 < \theta < 1$ , then the impact of loss increases, if  $\theta > 1$ , the impact of loss  
 487 decreases<sup>38</sup>.

488 For attenuation factor  $\theta$  which is taken 2.25 in this proposed decision framework:

- 489 i)  $S(\tilde{x}_{ik}) - S(\tilde{x}_{jk}) > 0$ ; Gain
- 490 ii)  $S(\tilde{x}_{ik}) - S(\tilde{x}_{jk}) = 0$ ; Nil
- 491 iii)  $S(\tilde{x}_{ik}) - S(\tilde{x}_{jk}) < 0$ ; Loss

492 The fuzzy TODIM based on  $\alpha$ -cuts calculate dominance of each alternative according to different  $\alpha$ -cuts of TrFNs  
 493 and relative weights of criteria considering alpha level sets. To determine dominance degree of alternatives based  
 494 on  $\alpha$ -cut sets, gains and losses are calculated by upper and lower bounds of TrFNs instead of defuzzied values. On  
 495 the other hand, for reliable and compromise ranking of alternative according to dominance degree is performed by  
 496 interval of dominance degree rather than the distance calculation of two TrFNs. In order to realistic evaluation of  
 497 criteria and expected alternative ranking fuzzy conditions should be preserved as much as possible in the decision  
 498 process model. The  $\alpha$ -cut are the effective forms of fuzzy sets to cope with uncertain environment along with  
 499 decision process<sup>39</sup>. The expression of  $(\tilde{x}_{ik})_\alpha$  and  $(\tilde{x}_{jk})_\alpha$  are two intervals of TrFNs which are denoted as  $(\tilde{x}_{ik})_\alpha =$   
 500  $[(\tilde{x}_{ik})_\alpha^L, (\tilde{x}_{ik})_\alpha^U]$  and  $(\tilde{x}_{jk})_\alpha = [(\tilde{x}_{jk})_\alpha^L, (\tilde{x}_{jk})_\alpha^U]$ . The dominance degree of  $(\tilde{x}_{ik})_\alpha$  over  $(\tilde{x}_{jk})_\alpha$  are acquired by<sup>40</sup> is  
 501 calculated by:

$$P((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) = \frac{\max[0, (\tilde{x}_{ik})_\alpha^U - (\tilde{x}_{jk})_\alpha^L] - \max[0, (\tilde{x}_{ik})_\alpha^L - (\tilde{x}_{jk})_\alpha^U]}{[(\tilde{x}_{ik})_\alpha^U - (\tilde{x}_{ik})_\alpha^L] + [(\tilde{x}_{jk})_\alpha^U - (\tilde{x}_{jk})_\alpha^L]} \quad (22)$$

Gains and losses are expressed according to the following two conditions.

$$\begin{aligned} \text{i)} \quad & P((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) \geq P((\tilde{x}_{jk})_\alpha > (\tilde{x}_{ik})_\alpha); \\ & \rho_\alpha^+((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) = P((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) - P((\tilde{x}_{jk})_\alpha > (\tilde{x}_{ik})_\alpha) \\ \text{ii)} \quad & P((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) < P((\tilde{x}_{jk})_\alpha > (\tilde{x}_{ik})_\alpha); \\ & \rho_\alpha^-((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) = P((\tilde{x}_{jk})_\alpha > (\tilde{x}_{ik})_\alpha) - P((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) \end{aligned} \quad (23)$$

where  $\rho_\alpha^+((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha)$  and  $\rho_\alpha^-((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha)$  denotes gains and losses respectively.

In this context, the dominance degree of alternative  $A_i$  over  $A_j$  with respect to criterion  $C_k$  based on  $\alpha$ -cut sets can be obtained by rearranging as follows.

$$\phi_k^\alpha(A_i, A_j) = \begin{cases} \sqrt{\rho_\alpha^+((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) \frac{w_{kr}}{\sum_{k=1}^n w_{kr}}} & \text{if } P((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) \geq P((\tilde{x}_{jk})_\alpha > (\tilde{x}_{ik})_\alpha) \\ -\frac{1}{\theta} \sqrt{\rho_\alpha^-((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) \frac{\sum_{k=1}^n w_{kr}}{w_{kr}}} & \text{if } P((\tilde{x}_{ik})_\alpha > (\tilde{x}_{jk})_\alpha) < P((\tilde{x}_{jk})_\alpha > (\tilde{x}_{ik})_\alpha) \end{cases} \quad (24)$$

The overall dominance degree of alternative  $\tilde{A}_i$  over alternative  $\tilde{A}_j$  is necessary to obtain the global value of alternatives that is calculated by:

$$\delta_\alpha(A_i, A_j) = \sum_{k=1}^n \phi_k^\alpha(A_i, A_j) \quad \forall (i, j) \quad (25)$$

Where  $\delta_\alpha(A_i, A_j)$  represents the measurement of overall dominance degree of alternative  $A_i$  over alternative  $A_j$  based on  $\alpha$ -cuts,  $n$  is the number of criteria;  $k$  is any criterion for  $k = 1, \dots, n$ .

### Calculation of Global Dominance of Each Alternative

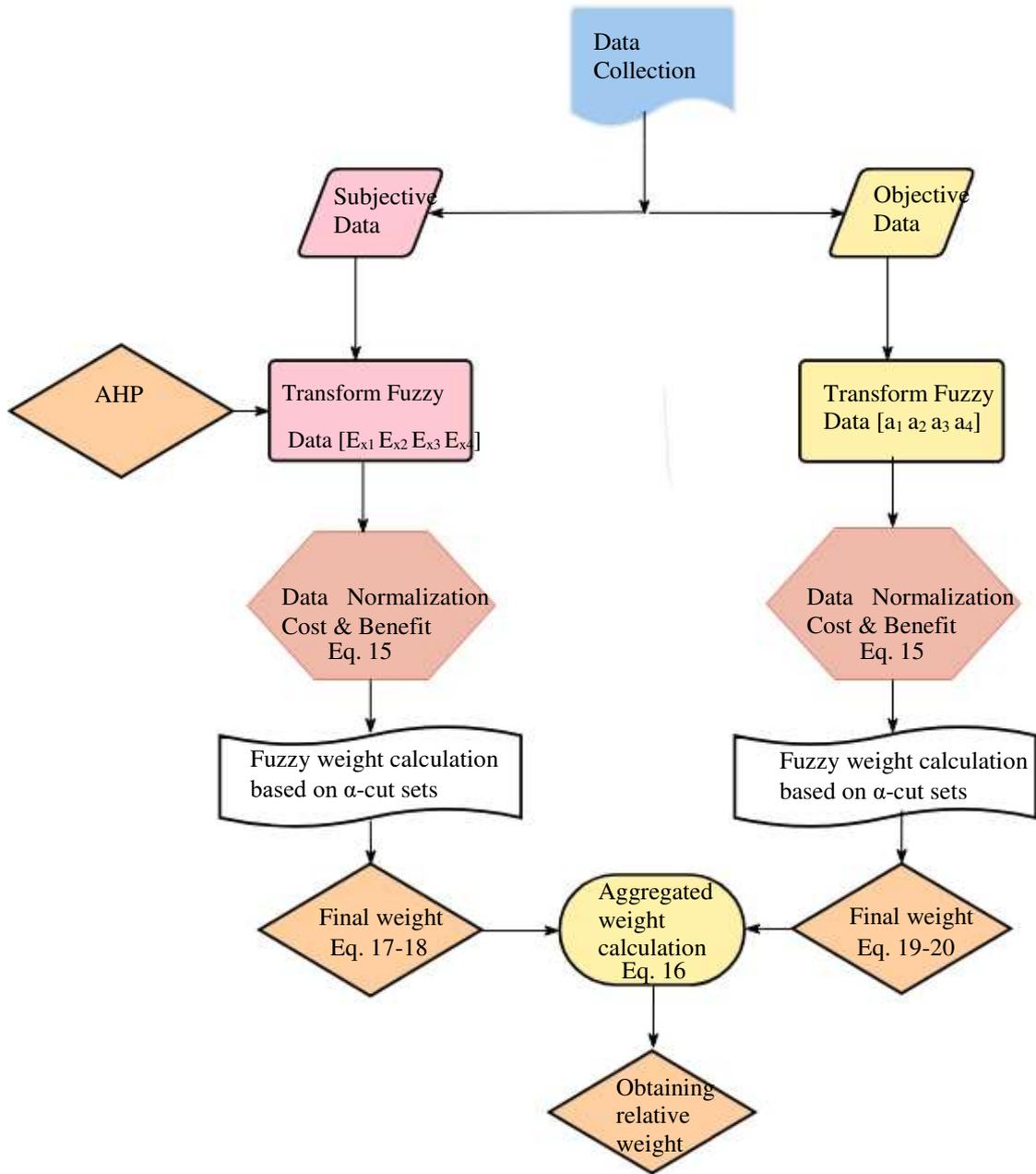
The overall value of alternative  $i$  through normalization of the corresponding dominance measurements. The rank of each alternatives are determined the following equation that normalizes the overall dominance degree of alternatives to achieve eleven ranking set.

$$\xi_\alpha(A_i) = \frac{\sum_{j=1}^m \delta_\alpha(A_i, A_j) - \min \sum_{j=1}^m \delta_\alpha(A_i, A_j)}{\max \sum_{j=1}^m \delta_\alpha(A_i, A_j) - \min \sum_{j=1}^m \delta_\alpha(A_i, A_j)} \quad (26)$$

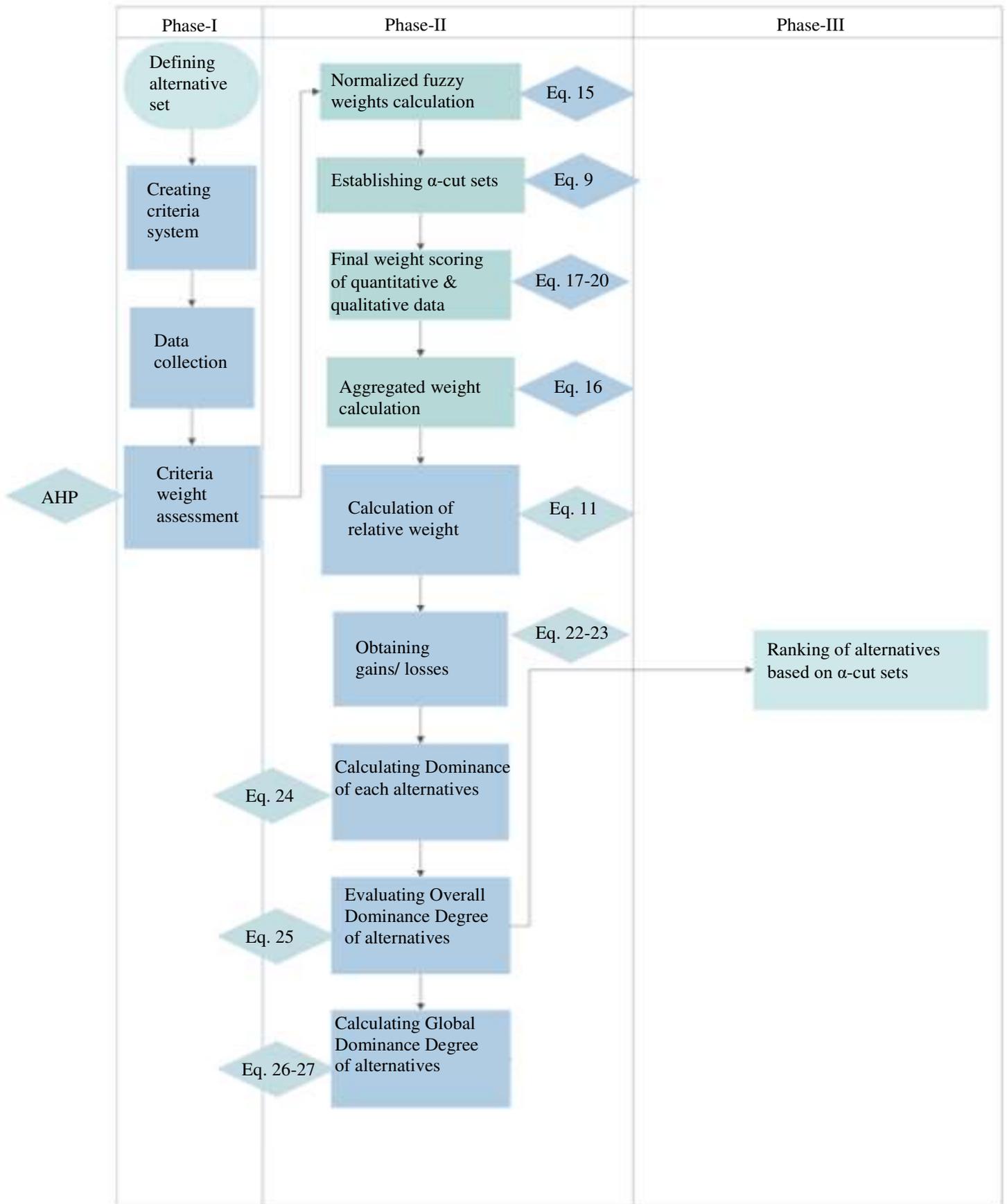
The overall dominance degree calculation based on  $\alpha$ -cuts allows to choose the compromise ranking related risk seeking or risk averse choices through solution set. The advantage of the methodology is presented a solution set with respect to attenuation factor,  $\theta$  to reflect risk perspective of DMs to the decision model and gives the best

527 possible alternative according to the average global dominance degree,  $\xi_{\alpha}(A_i)$ . The average global dominance  
 528 degree is calculated by:

529 
$$\bar{\xi}(A_i) = \frac{1}{\beta} \sum_{b=1}^{\beta} \xi_{\alpha_b}(A_i), i = 1, \dots, m \quad (27)$$



**Figure 3.** Creation of the weighted decision matrix



**Figure 4.** Decision making process in this study

## 531 Case Study and Discussion

### 532 Selection of Alternatives

533 The rapid progress of technological developments in the wastewater sector leads to differentiation of  
534 wastewater treatment technologies. Many authorities still prefer mature technologies for a variety of reasons such  
535 as lack of qualified personally, risk aversion, or outdated technical information. In Turkey the following treatment  
536 technologies are usually applied for WWTPs for plants having capacity of more than 100.000 m<sup>3</sup>/ day (CAS-W/  
537 P or CAS-W/O-P; A2O- W/-P or A2O-W/O-P; BP-5-W/-P or BP-5-W/O-P). In order to solve the possible  
538 infrastructure problems that Istanbul may encounter in the near future, high capacity WWTPs should be compared  
539 rationally. Based on this need, four types of wastewater treatment plants, which are of critical importance for  
540 Istanbul, were evaluated with a decision model, under the guidance of the sustainability concept taking into account  
541 the perception of experts. The alternatives are collected under four different process titles namely, CAS-W/-P  
542 (A1), A2O-W/O-P (A2), BP-5-W/-P (A3) and A2O W/-P (A4).

543 Conventional Activated Sludge System includes primary settling, aerobic biological treatment, secondary  
544 settling, disinfection, and discharge. It is commonly used as treatment technology for the removal of BOD and  
545 COD and, partial nutrient (N-nitrogen and P-phosphorus) removal can also be accomplished. Digester is required  
546 for this system since the sludge generated in CAS system is not stable.

547 A2O without Pre-clarification is a type of activated sludge process where a sequence of anaerobic, anoxic  
548 and aerobic tanks/zones are provided to remove organic carbon, nitrogen and phosphorus.

549 5-stage Bardenpho with Pre-clarification is an A2O process followed by a second anoxic zone and aerobic  
550 zone. Digester is used for the stabilization of sludge allowing a large fraction of the sludge organic matter to  
551 decompose under anaerobic conditions to carbon dioxide and methane.

552 A2O with pre-clarification is system where pre- clarification is followed by A2O system to remove  
553 organic carbon, nitrogen, and phosphorus<sup>41</sup>. Anaerobic digestion is used to stabilize the sludge coming from pre-  
554 clarification and final clarification units<sup>42</sup>.

### 555 Establishment of Decision Matrices

556 Since four different wastewater treatment plants, which are predicted to be of critical importance for  
557 Istanbul, are A<sub>1</sub>, A<sub>2</sub>, A<sub>3</sub> and A<sub>4</sub> as explained above, the set of alternatives is defined as  $A = \{A_1, A_2, A_3, A_4\}$ . In  
558 the decision model, four main criteria related to the sustainability aspects of WWTP technologies and 24 sub-  
559 criteria were determined as shown in Fig. 1, and the set of sub criteria is  $C = \{C_1, C_2, \dots, C_{24}\}$ . The crisp data  
560 obtained from real scale WWTPs belonging to sub-criteria are as listed in Table 4. The proposed fuzzy decision  
561 making framework comprises three main phases as namely data transformation, data normalization, and  
562 calculation of dominance of each alternatives to rank. The data transformation phase performed with two different  
563 approaches depending on the qualitative and quantitative criteria. For the crisp values of quantitative data are  
564 transformed to TrFNs with respect to 20% uncertainty of condition and 5% of symmetric uncertainty around the  
565 mean calculated by  $a_1 = t - 0.2 t$ ,  $a_2 = t - 0.05 t$ ,  $a_3 = t + 0.05 t$ , and  $a_4 = t + 0.2 t$ .

566 The approach to transform qualitative data consists of two separate steps. First, subjective judgements are  
567 evaluated by AHP and, in the second part evaluated data are expressed with linear fuzzy weights. After the  
568 transformation phase, both the subjective and objective data is normalized in order to create decision matrix with  
569 normalized fuzzy weights. The normalized fuzzy weighting matrix is obtained by Eq. (15). Data normalization

570 provides a classification of data as cost and benefit to provide data compatibility. Data transformation and data  
 571 normalization matrices are available on Supplementary Information.

Sub-criteria	Unit	Treatment Process Alternatives			
		A1	A2	A3	A4
C11	KW/year for 1 m <sup>3</sup> wastewater	39,069,360	21,486,488	54,109,275	48,473,924
C12	kg/year for 1 m <sup>3</sup> wastewater	30,996,000	51,963,177	111,795,652	34,414,044
C13	m <sup>3</sup> /year	-	10,396,987	338,555	692,579
C21	USD	138,650,000	140,000,000	133,318,000	155,197,000
C22	m <sup>2</sup>	550,000	329,000	430,000	230,862
C23	USD/year for 1 m <sup>3</sup> wastewater	6,500,000	4,700,000	8,500,000	6,000,000
C24	%	42	-	40	57
C25	USD/kg-Year for 1 m <sup>3</sup> wastewater	925,540.56	1,551,620.47	3,338,218.17	1,027,692.93
C31		94.2	96.3	92.6	97.0
C32		80.8	93.3	85.8	97.0
C33	%	88.3	93.7	81.6	92.5
C34		74.7	89.0	56.8	75.0
C35		86.9	75.8	63.7	60.0
C36		5	7	8	7
C37		7	8	7	8
C38		2	8	8	8
C39		2	8	8	8
C310		8	9	8	9
C311	1-10 scale	6	10	9	10
C41		2	7	6	6
C42		7	8	7	7
C43		5	7	8	8
C44		6	8	8	8
C45		6	7	9	9

572

573 **Table 4.** Crisp and linguistic data of sub-criteria for WWTP Technology Selection Problem

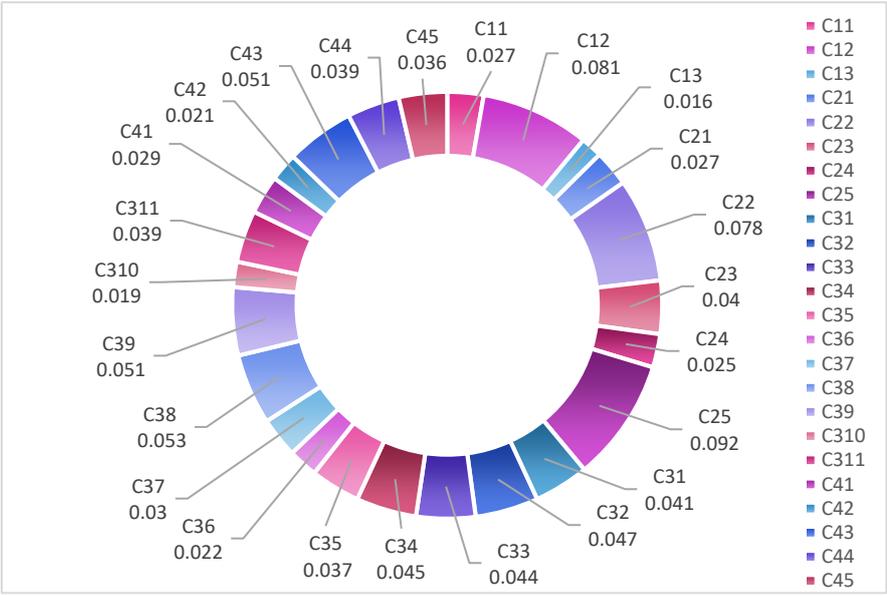
574 **Analysis of the results**

575 **Individual Weight of Criteria**

576 In order to obtain relative criteria, an approached weight calculation is developed on the base of  $\alpha$ -cut set, which  
 577 is shown in Fig. 3. The final weighting calculation is a crucial role in reaching compromise solution by  $\alpha$ -cut sets  
 578 which is defined by the membership function of in Eq. (17)-(20). The weighting vectors at each alpha level  
 579 incorporate the methodology discussed in *Determination of Criteria Weights* section depending on the optimism  
 580 index of the DMs, in order to enable an evaluation of the weighting of subjective judgements and objective data  
 581 related to risk reverse or risk seeking behavior. This weighting procedure contributes to a decision-making process  
 582 that is compatible with the TODIM method. The relative weights of the aggregated weights to be used in the  
 583 TODIM method used for ranking the alternatives are calculated according to Eq. (11). The individual or relative  
 584 weight of criteria calculation necessitates ascertaining reference criteria. The individual weight of criteria employs

585 the calculation of the dominance degree of alternatives. The obtained sets of aggregated criteria are shown in Fig.  
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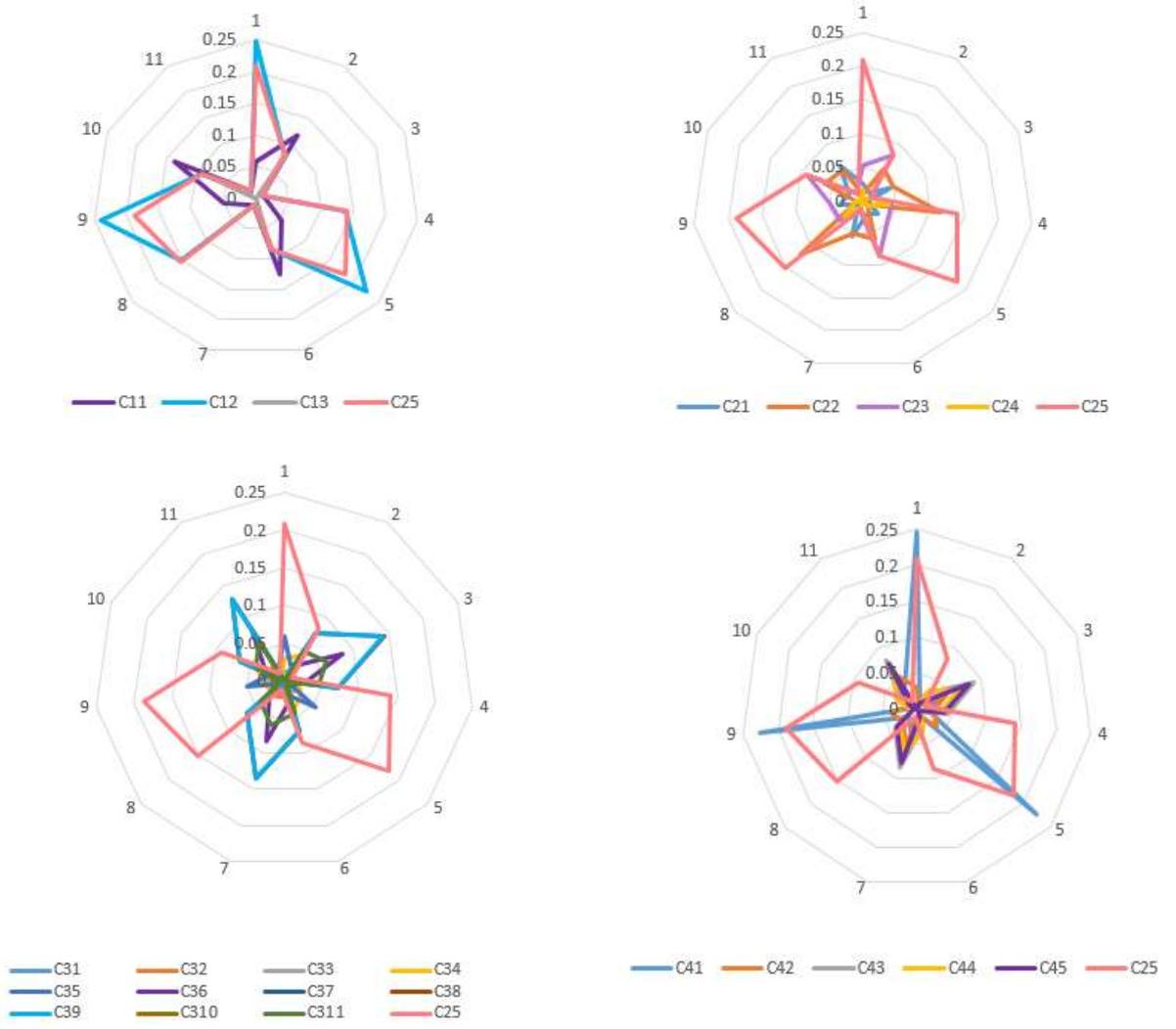


**Figure 5.** The aggregated criteria weights distributions. The aggregated weights of criteria employ to produce relative weight of each criterion provided ranking of the alternatives according to the loss-averse behavior of the DMs.

Such an approach allows a clear numerical demonstration of the dominance of one alternative over another. The aggregated weight with the highest value corresponds to the reference criteria used to calculate relative weights. Fig. 5 clearly shows that the reference criterion (RC) had the highest aggregated weight C25 (sludge disposal cost). Another with higher aggregated weight is C12 (sludge generation). The weighting results show that sludge plays a crucial role to select an optimal alternative for WWTPs. In real life problems, sewage sludge is positioned as a by-product that is difficult to dispose of and high disposal costs as an insurmountable barrier. This point of view is effective in the subjective assessment of the experts and, due to the high sludge disposal costs, is also reflected in the weightings with quantitative data.

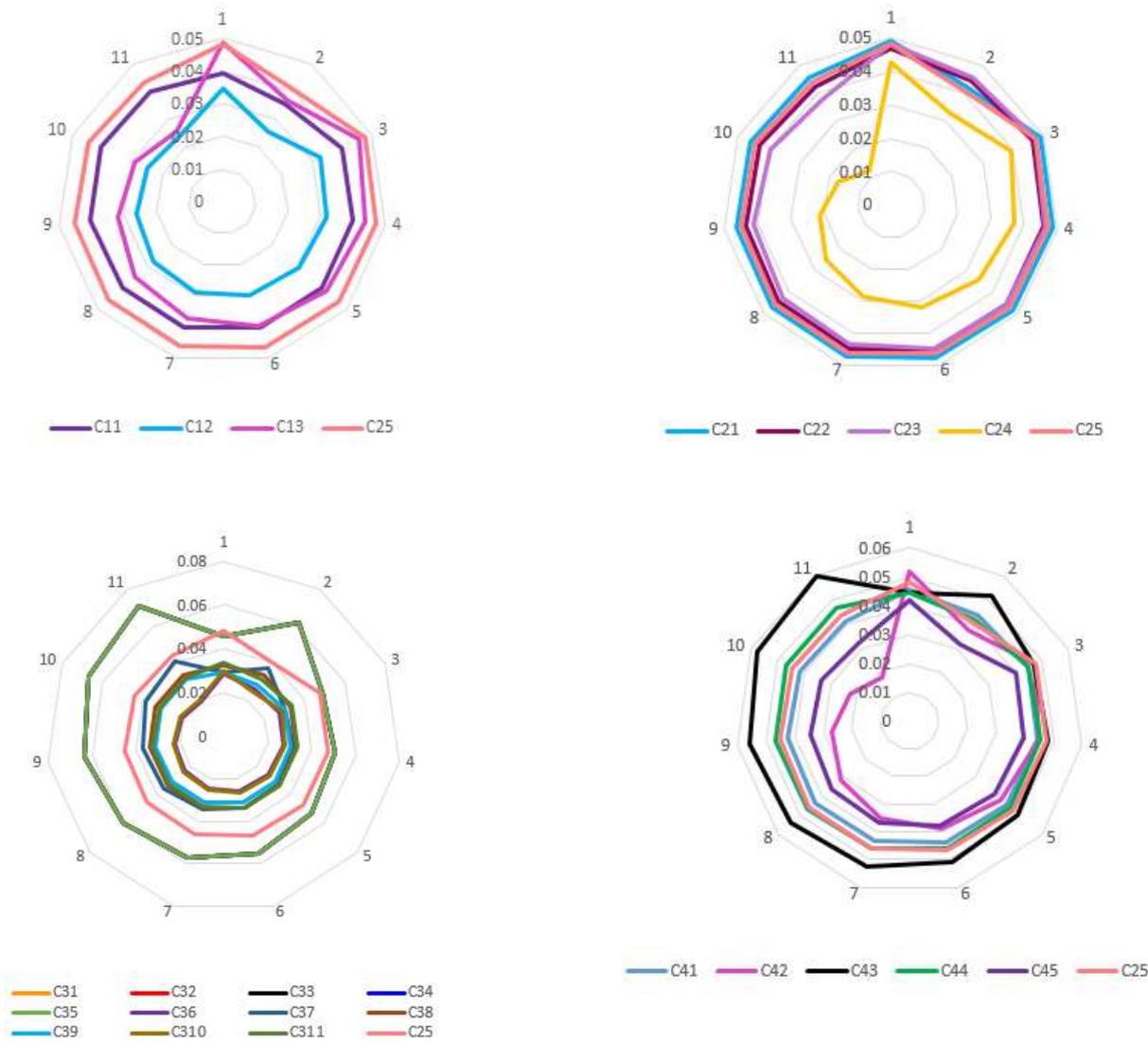
The dominance of the objective and subjective data weights, which are the components of the aggregated weights, when selecting the reference criterion is shown in Fig. 6 and Fig. 7. The graphic interpretation of the positions of the objective and subjective data weights according to the calculated reference criterion weight clearly demonstrates the dominant weight set based on  $\alpha$ -cuts.

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**Figure 6.** The objective weights relative to the reference criterion

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**Figure 7.** The status of each subjective weight relative to the reference criterion found.

**Calculation of the Global Dominance of Each Alternatives**

After completion the weighting phase of the decision-making process has been completed, to evaluate the most suitable process selection of WWTP, the global dominance degree is calculated. To achieve global dominance of alternatives the procedure summarized as follows.

- i) According to the evaluate gain or loss condition through Eq. (23), gain or loss is calculated based on  $\alpha$ -cuts by Eq. (22) and where  $\theta = 2.25$ .
- ii) Obtaining the dominance of each alternatives from Eq. (24)
- iii) Evaluating overall dominance degree of the alternatives Eq. (25)
- iv) Calculating global dominance and average global dominance degree Eq. (26) and Eq. (27), respectively.
- v) Ranking of alternatives.

695 The dominance degree matrix of comparison between two alternatives at each alpha level sets are shown  
 696 below

$$697 \quad \phi_1 = \begin{bmatrix} 0 & -3.1 & -4.4 & -1.4 \\ -11.8 & 0 & -10.7 & -6.7 \\ -4.7 & 0.8 & 0 & 0.8 \\ -10.3 & -2.2 & -5.8 & 0 \end{bmatrix} \quad \phi_2 = \begin{bmatrix} 0 & -3.5 & -4.5 & -1.4 \\ -12.7 & 0 & -11.6 & -7.0 \\ -7.0 & 0.31 & 0 & 0.82 \\ -11.6 & -2.8 & -6.2 & 0 \end{bmatrix}$$

$$698 \quad \phi_3 = \begin{bmatrix} 0 & -3.9 & -4.8 & -1.9 \\ -13.0 & 0 & -12.5 & -7.4 \\ -8.4 & 0.11 & 0 & 0.92 \\ -12.7 & -3.4 & -7.3 & 0 \end{bmatrix} \quad \phi_4 = \begin{bmatrix} 0 & -4.2 & -5.5 & -2.2 \\ -16.0 & 0 & -13 & -7.8 \\ -9.6 & -0.04 & 0 & 1.04 \\ -14.4 & -4.02 & -8.6 & 0 \end{bmatrix}$$

$$699 \quad \phi_5 = \begin{bmatrix} 0 & -4.6 & -6.7 & -2.5 \\ -18 & 0 & -14 & -8.3 \\ -11.0 & -0.18 & 0 & 1.15 \\ -16.9 & -4.6 & -9.7 & 0 \end{bmatrix} \quad \phi_6 = \begin{bmatrix} 0 & -5.3 & -8.4 & -2.7 \\ -20.5 & 0 & -16 & -8.9 \\ -12.9 & -0.3 & 0 & 1.3 \\ -19 & -5.2 & -11.4 & 0 \end{bmatrix}$$

$$700 \quad \phi_7 = \begin{bmatrix} 0 & -5.9 & -10 & -3.0 \\ -23 & 0 & -17.8 & -10 \\ -15 & -1.0 & 0 & 1.5 \\ -22 & -6.5 & -13 & 0 \end{bmatrix} \quad \phi_8 = \begin{bmatrix} 0 & -6.7 & -11.5 & -4.1 \\ -26 & 0 & -20 & -12 \\ -17 & -1.5 & 0 & 1.7 \\ -25 & -7.5 & -15 & 0 \end{bmatrix}$$

$$701 \quad \phi_9 = \begin{bmatrix} 0 & -7.6 & -13 & -4.9 \\ -30 & 0 & -22.5 & -14 \\ -20 & -1.8 & 0 & 1.9 \\ -28.5 & -8.7 & -17 & 0 \end{bmatrix} \quad \phi_{10} = \begin{bmatrix} 0 & -9.2 & -15.5 & -5.8 \\ -36.0 & 0 & -27.0 & -16.0 \\ -23.0 & -4.0 & 0 & 1.09 \\ -33.4 & -2.2 & -5.8 & 0 \end{bmatrix}$$

$$702 \quad \phi_{11} = \begin{bmatrix} 0 & -12.1 & -18.5 & -7.7 \\ -44.7 & 0 & -33.6 & -19.7 \\ -27.7 & -7.3 & 0 & 0.3 \\ -41.7 & -15.5 & -27.4 & 0 \end{bmatrix}$$

703 This overall dominance results are discussed with the graphical demonstration in Fig. 8 in terms of gain  
 704 and loss calculation via Eq. (24).

705 The overall dominance degree  $\delta(A_i)$  of alternative  $A_i$  is calculated by using Eq. (25) and  $\delta(A_i)$  are  
 706 obtained as follows:

$$707 \quad \delta_1(A_1) = -8.9; \delta_1(A_2) = -29.2; \delta_1(A_3) = -3.1; \delta_1(A_4) = -18.3$$

$$708 \quad \delta_2(A_1) = -9.4; \delta_2(A_2) = -31.3; \delta_2(A_3) = -5.87; \delta_2(A_4) = -20.6$$

$$709 \quad \delta_3(A_1) = -10.6; \delta_3(A_2) = -32.9; \delta_3(A_3) = -7.37; \delta_3(A_4) = -23.4$$

$$710 \quad \delta_4(A_1) = -11.9; \delta_4(A_2) = -36.8; \delta_4(A_3) = -8.6; \delta_4(A_4) = -27$$

$$711 \quad \delta_5(A_1) = -13.8; \delta_5(A_2) = -40.3; \delta_5(A_3) = -10.3; \delta_5(A_4) = -31.2$$

$$712 \quad \delta_6(A_1) = -16.4; \delta_6(A_2) = -45.5; \delta_6(A_3) = -11.9; \delta_6(A_4) = -35.6$$

$$713 \quad \delta_7(A_1) = -18.9; \delta_7(A_2) = -40.3; \delta_7(A_3) = -13.5; \delta_7(A_4) = -31.2$$

$$714 \quad \delta_8(A_1) = -22.3; \delta_8(A_2) = -58.0; \delta_8(A_3) = -16.8; \delta_8(A_4) = -47.5$$

$$715 \quad \delta_9(A_1) = -25.5; \delta_9(A_2) = -66.5; \delta_9(A_3) = -19.9; \delta_9(A_4) = -54.2$$

716  $\delta_{10}(A_1) = -30.5; \delta_{10}(A_2) = -79.0; \delta_{10}(A_3) = -25.9; \delta_{10}(A_4) = -41.4$

717  $\delta_{11}(A_1) = -38.3; \delta_{11}(A_2) = -9.8; \delta_{11}(A_3) = -34.7; \delta_{11}(A_4) = -84.6$

718 The global dominance of the alternatives is determined according to Eq. (26) considering  $\delta_\alpha(A_i, A_j)$  function and  
 719 by aligning the global dominance according to  $\delta_\alpha(A_i, A_j)$  function. It facilitated ranking of alternatives with respect  
 720 to overall dominance degrees that represented in Table 5.

	$\alpha$									
$\bar{\xi}_\alpha(A_i)$	0	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9
A1	0	0	0	0	0	0	0	0	0	0
A2	1	1	1	1	1	0.989	0.971	0.977	0.98	0.95
A3	0.242	0.33	0.346	0.373	0.395	0.378	0.384	0.391	0.4	0.41
A4	0.868	0.93	0.95	0.973	0.993	1	1	1	1	1
Rank	<b>2-4-3-1</b>	<b>2-4-3-1</b>	<b>2-4-3-1</b>	<b>2-4-3-1</b>	<b>2-4-3-1</b>	<b>4-2-3-1</b>	<b>4-2-3-1</b>	<b>4-2-3-1</b>	<b>4-2-3-1</b>	<b>4-2-3-1</b>

721

722 **Table 5.** The global dominance of alternatives for each  $\alpha$ -cuts and rank of the alternatives for  $\theta = 2.25$ .

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724 The expression  $\delta_\alpha(\tilde{A}_i, \tilde{A}_j)$  indicates the performance of each alternative based on each sub-criteria and the  
 725 superiority of  $A_i$  over  $A_j$  for each  $\alpha$ -cuts.

726 The global values  $\bar{\xi}_\alpha(A_i)$  allows a clear ordering for appropriate selection. To compare alternative  $i$  with  
 727 alternative  $j$ , the function  $\delta_\alpha(A_i, A_j)$  can be used, which is a value function and is expressed by computing  
 728  $\phi_k^\alpha(A_i, A_j)$  will. The determination of global dominance is carried out in two ways in order to obtain a compromise  
 729 solution. First, the global degree is calculated using  $\alpha$ -cut sets, the ranking options for selecting suitable WWTPs  
 730 depending on the DM perspective. The second is to calculate the average global dominance to demonstrate the  
 731 possible optimal set of solutions. The results show that the ranking of the alternatives based on the average global  
 732 dominance degree for the selection of the WWTP process is: **A2 > A4 > A3 > A1**. The average global dominance  
 733 degree is calculated as  $\bar{\xi}(A_i) = \{0, 0.979, 0.384, 0.975\}$ .

734 In order to deal with the uncertainty that arises from subjective judgments and incomplete information  
 735 that may be contained in the objective data, an effort is made to maintain the uncertain conditions until the end of  
 736 the decision-making process by using the data presented with TrFNs were fuzzified and, alpha cut series without  
 737 defuzzification.. The model offers decision makers the opportunity to choose according to risk-taking or risk-  
 738 avoidance behavior. The average global dominance degree of alternatives ranks the alternatives depends on the  
 739 attenuation factor ( $\theta$ ), which reflects the DM's point of view regarding risk aversion or risk seeking behavior.

740 **Discussion**

741 In real life scenarios, dynamic environmental conditions lead to urgent decisions that may affect the  
 742 psychological base behind behavior of DMs<sup>43</sup>. Thankfully, limitations such as governmental regulations, limited  
 743 investment cost, compelling features of energy recovery, or sludge disposal can facilitate standardization the

744 perception of risk in technology selection for wastewater treatment plants<sup>44</sup>. Determining the criteria that affect  
745 the perception of risk can ensure that the decisions are more rational and compatible with real cases. From this  
746 vantage point, the current study proposes a systematic approach that reflecting the decision making mechanism of  
747 risk aversion and revealing criteria set that manipulate the risk perception of DMs. By calculating the dominance  
748 degree of the alternatives, it is shown that the model enables options to rank alternatives in terms of risk-seekers  
749 or risk aversion behavior of DMs. The results showed that the decision maker's attitude towards risk aversion or  
750 risk-seeking the model predicts the cost of sludge disposal (C25) as the reference criterion. When calculating the  
751 dominance degree of the alternatives, it is shown that the model eliminates the choices in the risk-seeking tendency  
752 and highlights the gain values in the risk aversion tendency as shown in Fig. 8. The model performs by determining  
753 the dominance degrees depending on gain values of the criteria that fit into the sigmoidal function (S-shaped) for  
754 choices that tend to risk-averse, while reducing the effectiveness of the loss values that tend to risk –seeking. As a  
755 result, the criteria that have a high weight in the selections with a tendency of risk aversion come to the fore and  
756 determine the dominance degree of alternatives. Our results implies that alternative A<sub>2</sub> (A2O without pre-  
757 clarification) is the optimal technology of wastewater treatment plants in terms of sustainability. According to the  
758 current decision process based on the sustainability perspective, ‘‘A2O without pre-clarification’’ has emerged as  
759 the most ideal and sustainable process according to risk aversion approach. Sludge disposal cost (C25), which is  
760 the most effective criterion in this decision process, also plays a key role in real-life risk-oriented technology  
761 choices for wastewater treatment.

762 Sludge, which is recognized as an environmental problem due to the difficulties in disposal for WWTPs,  
763 is one of the main factor affecting the energy savings amounts, operational and maintenance problems and  
764 operating costs in the facilities<sup>45</sup>. Taking everything into consideration, the proposed decision process reveals a  
765 realistic ranking for technology selection of WWTPs rather than ideal one. Although, in real life, the investment  
766 costs are considered more in the design or operation of the WWTPs in the risk-avoidance choices of the decision  
767 makers, it emerges in a systematic decision making approach supported by scientific methods that the determining  
768 criteria should be environmental factors such as sludge for the implementation of correct sustainability policies.

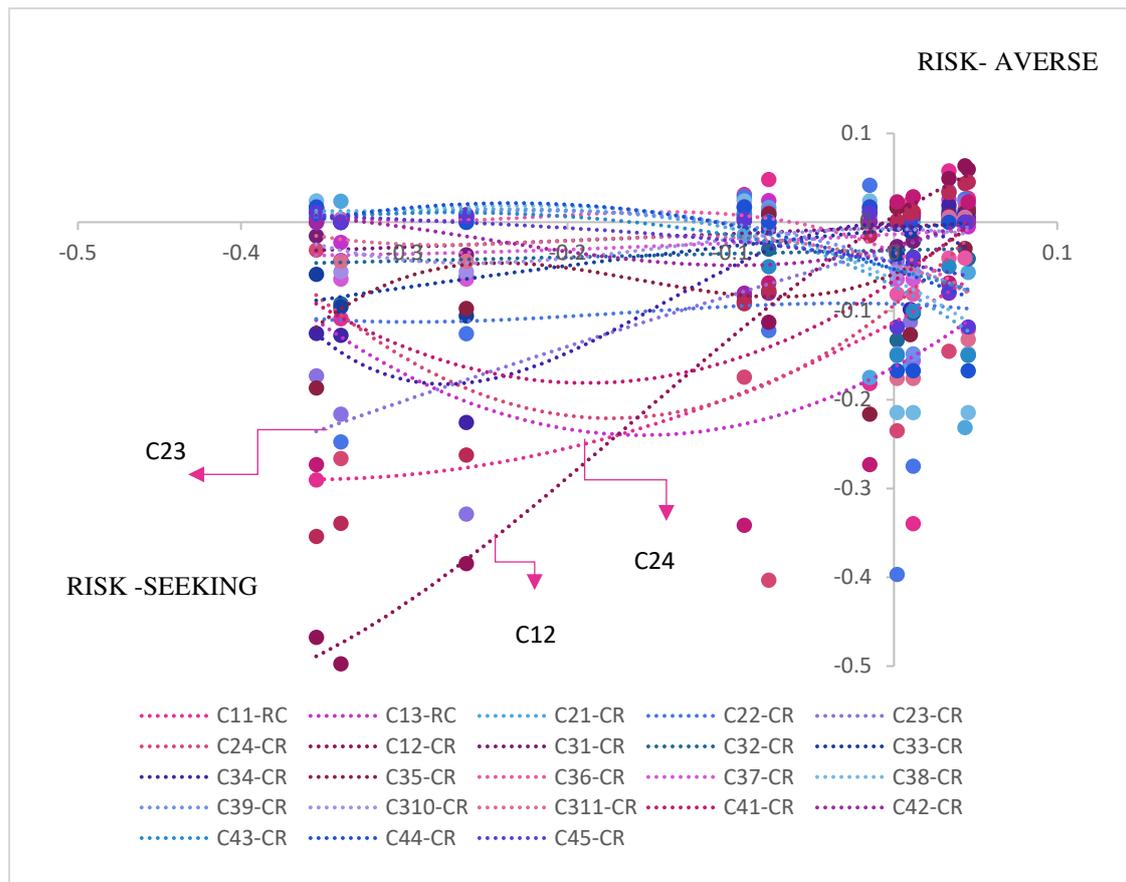
### 769 Sensitivity and Comparative Analysis

770 The sensitivity analysis was performed by changing the value of  $\theta$  in order to obtaining comparative  
771 results regarding the risk aversion or risk seeking trend of DMs<sup>46</sup>. Table 6 shows the variations in the results along  
772 with  $\theta$  values and average global dominance degree of alternatives and, ranking.

$\theta$	$\xi_i$				Ranking of Alternatives
	A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>	
0.1	0	0.982	0.416	0.973	A <sub>2</sub> > A <sub>4</sub> > A <sub>3</sub> > A <sub>1</sub>
1	0	0.981	0.402	0.974	A <sub>2</sub> > A <sub>4</sub> > A <sub>3</sub> > A <sub>1</sub>
2	0	0.980	0.388	0.975	A <sub>2</sub> > A <sub>4</sub> > A <sub>3</sub> > A <sub>1</sub>
2.25	0	0.979	0.384	0.975	A <sub>2</sub> > A <sub>4</sub> > A <sub>3</sub> > A <sub>1</sub>
3	0	0.978	0.374	0.976	A <sub>2</sub> > A <sub>4</sub> > A <sub>3</sub> > A <sub>1</sub>
4	0	0.977	0.360	0.976	A <sub>2</sub> > A <sub>4</sub> > A <sub>3</sub> > A <sub>1</sub>
5	0	0.976	0.347	0.977	A <sub>4</sub> > A <sub>2</sub> > A <sub>3</sub> > A <sub>1</sub>
10	0	0.970	0.285	0.979	A <sub>4</sub> > A <sub>2</sub> > A <sub>3</sub> > A <sub>1</sub>

773

774 **Table 6.** The sensitivity analysis changing  $\theta$  value



**Figure 8.** Risk-averse and risk seeking trend curves of criteria. Risk seeking and risk averse curves were obtained from the relative dominance degree of one alternative over other related to criteria to show how criteria impacts the overall dominance degree of alternatives. According to the reference criterion (C25) operational and maintenance cost (C23), energy savings (C24) and sludge generation (C12) criteria have the greatest influence on the determination of the overall dominance degree according to gain and loss value of criteria.

777 The sensitivity analysis results in Table 6 show that the difference between the global dominance degree of  
 778 competing alternatives  $A_2$  and  $A_4$  is increase with respect to smaller risk aversion perception. The value of  $\theta$  shows  
 779 that the different psychological behavior in terms of risk aversion. The smaller  $\theta$  expresses that a more risk averse  
 780 behavior manages the ranking of alternatives. For example,  $\theta=0.1$  means that a higher risk aversion is indicated  
 781 and  $\theta=10$  the experts prepare to taking risks so that the risk-seeking behavior manipulates the decisions. For this  
 782 reason, the proposed decision-making model leads to consistent results according to the sensitivity analysis. While  
 783 the compromise solution for a decision maker with a risk-seeking perspective is  $2 > 4 > 3 > 1$  for the optimal process  
 784 selection of wastewater treatment plant, the result for a decision-maker with a risk-seeking perspective changes as  
 785  $4 > 2 > 3 > 1$ .

786 For the comparative study, TOPSIS, fuzzy TOPSIS and, intuitionistic TOPSIS and, intuitionistic VIKOR  
 787 were used. Fuzzy TOPSIS under TrFN was applied for the comparative analysis<sup>27</sup>. Fuzzy TOPSIS is a  
 788 methodology to rank of alternatives based on calculation of shortest distance from the positive ideal solution and  
 789 the farthest distance from the negative ideal solution<sup>47</sup>. After the normalization process of the qualitative and  
 790 quantitative data, the weights of which are calculated by expressing with TrFNs, the fuzzy TOPSIS method leads  
 791 to the calculation of the positive fuzzy ideal solution and the fuzzy negative ideal solution. The distance of each

792 alternative from the fuzzy positive ideal solution and the fuzzy negative ideal solution is calculated, and the  
 793 alternatives are ranked according to their closeness coefficients values. A detailed procedure of fuzzy TOPSIS  
 794 under the TrFN can be referred to<sup>48</sup>. In addition, in order to demonstrate the sensitivity of the proposed extended  
 795 fuzzy TODIM methodology, the intuitionistic VIKOR (IF-VIKOR) and the intuitionistic TOPSIS (IF-TOPSIS)  
 796 method are applied to the procedure introduced by Alkafaas et al. in 2020<sup>49</sup> and Uyanik et al. in 2020<sup>50</sup>. The  
 797 comparison of ranking results are represented in Table 7. The main factor of comparative analysis revealed  
 798 reasonable output due to both of methods based on reference dependent approach and distance calculation.

	TOPSIS	F-TOPSIS	IF-TOPSIS	IF-VIKOR
	CC <sub>i</sub>	CC <sub>i</sub>	C <sub>i</sub>	Q
A <sub>1</sub>	0.528	0.530	0.9208	0.9972
A <sub>2</sub>	0.659	0.584	0.9318	0.0018
A <sub>3</sub>	0.336	0.223	0.9280	0.7432
A <sub>4</sub>	0.544	0.558	0.9367	0.5000
Rank	A <sub>2</sub> > A <sub>4</sub> > A <sub>1</sub> > A <sub>3</sub>	A <sub>2</sub> > A <sub>4</sub> > A <sub>1</sub> > A <sub>3</sub>	A <sub>4</sub> > A <sub>2</sub> > A <sub>3</sub> > A <sub>1</sub>	A <sub>2</sub> > A <sub>4</sub> > A <sub>3</sub> > A <sub>1</sub>

808 **Table 7.** The sensitivity analysis performed by TOPSIS, fuzzy TOPSIS, IF TOPSIS and, IF VIKOR

809

810 Proposed model in this study reflects much more clear the dominance of the alternatives with respect to  
 811 psychological behavior over each other comparing with TOPSIS and fuzzy TOPSIS. In the comparative study  
 812 performed with the IF-TOPSIS and IF-VIKOR methods, IF-TOPSIS revealed the same ranking of risk-seeking  
 813 behavior in the ranking of the competing alternatives A<sub>2</sub> and A<sub>4</sub> compared to the method we proposed, while IF-  
 814 VIKOR produced a similar ranking with less risk-aversion psychological behavior.

### 815 **Conclusion and Future Studies**

816 The increasing importance of sustainable urbanization in developing countries and the rapid depletion of  
 817 resources with the increasing population require a rational assessment of the need for WWTPs and the  
 818 sustainability of existing facilities in order to minimize the risks arising from the possible water crisis in the near  
 819 future. In this study, we introduce a framework presented a comparable analysis for the selection of WWTP  
 820 technologies that could be applied on metropolitan cities by making use of fuzzy based decision making model by  
 821 considering essentials of sustainability. The main conclusions of proposed decision making framework are  
 822 summarized as below:

- 823 (1) The problem of technology selection for wastewater treatment plants is evaluated with a holistic approach by  
 824 considering the environmental, economic, technical and social aspects of sustainability.
- 825 (2) According to the risk-oriented approach, the economic criteria have the biggest weight in the model, sludge  
 826 disposal cost, which is a sub-criterion of economic factors, has become the prominent criterion to compare  
 827 the relative dominance of alternatives.
- 828 (3) Heterogeneous data including both qualitative and quantitative data were normalized in the fuzzy environment  
 829 to employ in the proposed decision model. To the best of our knowledge, our study is the first to pursue the

830 best compromise solution for the technology selection of WWTPs by using a risk-oriented decision-making  
831 methodology combined with TrFNs and considering the psychological behavior of DMs.

832 (4) The performance indicators were assessed to support decision-making procedure operated with TODIM  
833 methodology under fuzzy environment, which seems to offer the most appropriate decision making strategy  
834 based on behavioral characteristics of DMs and considering emergencies to effectively control decision  
835 making.

836 (5) Using a methodology based on fuzzy and risk oriented decision making provided an opportunity to include  
837 not only objective data such as inaccurate or insufficient technical information but also subjective judgements  
838 of DMs related to personal experience or knowledge and awareness level into decision-making process.

839

840 As a future work, the proposed decision-making procedure can be evaluated under the intuitionistic fuzzy  
841 environment to express the uncertainty of decision information coming from subjective judgements and improved  
842 in order to rank alternatives and hybrid models can be conducted to compare of water/wastewater treatment  
843 processes. The proposed decision model, will guide decision makers by making rational risk assessments under  
844 uncertainty by using the natural mechanism of human behavior in decision-making problems encountered in real  
845 life.

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855

#### 856 **Authorship contributions**

857 **Güneş Eseoğlu:** Investigation, Methodology, Software, Writing and original draft. **Kozet Yapsakli:** Supervision,  
858 conceptualization, formal analysis, writing and editing. **Hakan Tozan:** Validation and editing. **Özalp Vayvay:**  
859 Audit, Supervision, review.

#### 860 **Appendix Supplementary Data**

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