

# The starting mechanism study on rainfall-induced sloop loose source in strong earthquake area

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## Research Article

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1 The starting mechanism study on rainfall-induced sloop loose source in strong earthquake area

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9

## 10 Abstract

11 Regarding risks from debris flows, previously unrecognized low-frequency loose slope source materials starting in  
12 formation areas constitute an especially significant threat. The 5.12 Wenchuan earthquake in 2008 led to a large  
13 number of loose deposits on the slope on both sides of the gully in the formation area. Under the triggering action  
14 of heavy rainfall, the gully easily failed and started earlier than the overall start of the gully debris flow, which has  
15 practical significance for realizing the early warning of the gully debris flow starting. The special loose source  
16 conditions and postearthquake geological environment change the hydraulic mechanism of traditional debris flow  
17 start-up, which brings great difficulties to the monitoring and early warning of postearthquake debris flows.  
18 Therefore, based on hydraulics, on the premise of building a hydraulic model of groundwater level change of loose  
19 slope accumulation body, the characteristics and rules of groundwater level change are analyzed with the aid of  
20 hydraulic seepage theories, and the action characteristics of hydrodynamic pressure and hydrostatic pressure on the  
21 accumulation body are quantitatively studied. Based on field exploration data and average slope gradient, we  
22 divided the accumulation body in a reasonable manner; completed the sliding force, anti-sliding force and  
23 residual-sliding force mechanical expression and establishment; and performed a slope stability analysis. The  
24 research results show that the slope-starting mode is divided into the thrust-type landslide and the retrogressive  
25 landslide mode of the subsection disintegration. The hydraulic deposit mechanism analytical results can also  
26 reverse the critical rainfall condition of slope failure. Finally, taking the Yindongzi gully in Dujiangyan as an  
27 example, the hydraulic mechanism of typical slope deposits in the formation area was analyzed and combined with  
28 the historical debris flow events in the study area to verify the physical simulation test, which was consistent with  
29 the field investigation results after the disaster.

30 **Keywords:** debris flow; rainfall; mechanical mechanism; loose slope source materials; startup

31 The Ms 8.0 The Wenchuan earthquake (Sichuan, China) occurred on May 12, 2008, resulting in  $28 \times 10^8 \text{ m}^3$   
32 loose deposits. These gully sources and bank slope collapse accumulation bodies caused incessant debris flow  
33 disasters in the southwestern mountainous areas of China under the excitation of postearthquake heavy rainfall  
34 (Tang et al. 2012). On August 13, 2010, the torrential rain in the Longchi area of Dujiangyan led to the  
35 simultaneous outbreak of 48 debris flow gullies. On August 20, 2019, the Dujiangyan and Wenchuan areas were  
36 affected by subsequent rainstorms, resulting in group-occurring mountain torrents and debris flows (Jin et al.

37 2019). The sustained and combined effects of postearthquake secondary disasters are obvious. From 5 to 15 years  
38 after the earthquake, debris flow activities evolved into low-frequency, medium- and large-scale groups of sticky  
39 and transitional debris flows accompanied by mountain floods. Regarding risks from debris flows, previously  
40 unrecognized debris flow formation area loose sources on both sides of the gully bank in the upper basin constitute  
41 an especially significant threat (Zhao et al. 2020). On the one hand, because the forming region is located in a high  
42 valley, there is an abundant quantity of loose sources and a large longitudinal slope ratio; therefore, slope surface  
43 sources become the supply sources after slope failure. At the same time, the slope sources transport materials that  
44 further induce gully debris flows with high velocity, and slope source failure is highly invisible before outbreak  
45 and large chain destructiveness (Fan et al. 2008; Ouyang et al. 2019; Allaire et al. 2009; Gabet et al. 2006;  
46 Lourenço et al. 2015). On the other hand, heavy rainfall often occurs in southwestern mountainous areas during the  
47 flood season, and it takes time for the rain collection area above the formation area to form flow confluences and  
48 enter the debris flow gullies. Therefore, the failure of slope sources in formation areas is 10 to 15 minutes earlier  
49 than the start of the gully debris flows (Wang et al. 2016b; Ouyang et al. 2017; Lin et al. 2018). This short ten  
50 minutes is enough for common people to evacuate from the hazard range (Zhang et al. 2012; Zhang et al. 2013;  
51 Marcel et al. 2019). Therefore, it is of great realistic significance to understand the hydrodynamic conditions and  
52 mechanical mechanisms of gully bank slope sources to realize early warning and prediction of gully debris flow  
53 initiation.

54 Soil (void ratio, particle-size distribution, permeability coefficient, fine particle content, etc.), hydraulic  
55 (rainfall intensity, rainfall pattern), topographic and geomorphic conditions all affect the initiation of slope source  
56 materials (Shieh et al. 2009; Johnson et al. 1990; Marchi et al. 2002; Cannon et al. 2010). Under the sustained  
57 action of rainfall, the pore-water pressure increases, the soil shear strength correspondingly decreases, and the  
58 residual downward slippery force of the soil above the slope increases and accumulates continuously. When the  
59 down-sliding force is more than the anti-sliding force, the whole slope becomes unstable. When the slope body  
60 encounters heavy rainfall, the tip of the tensile crack in the slip band expands and deforms, and the pore-water  
61 pressure at the foot of the slope increases sharply, resulting in excess pore-water pressure formation, and the  
62 shallow landslide turns into a debris flow (Wen et al. 2005).

63 The sink flow produced by rainfall has the effects of bottom tearing and scouring, coercion, scraping and  
64 transporting of loose materials on the ditch bed replenishing the debris flow. Moreover, lateral erosion, devolution  
65 and dam breaks are also involved in the dynamic process of hydraulic debris flows (Zhuang et al. 2013; Gao et al.  
66 2011). Cui (1992) carried out 48 groups of flow-scouring experiments in 1992, and the results showed that soil  
67 saturation, gully bed gradient and fine particle content jointly controlled the critical conditions for the initiation of  
68 hydraulic gully debris flow. Previous studies have been performed on soil-mechanical and hydraulic debris flows,  
69 and preliminary research on the mechanism of rock–soil masses with loose structural characteristics transforms  
70 into debris flows. However, these studies are not involved in the initiation problem of slope debris flows under  
71 special postearthquake conditions (Wu et al. 2012), and the relevant results could not meet the requirements of  
72 disaster prevention techniques for postearthquake reconstruction. The mechanical properties and particle  
73 composition of the loose postearthquake deposits have changed greatly compared with those before the earthquake.  
74 The postearthquake loose deposits on the gully bank slope have a wide range of sizes, from clay (particle size <  
75 0.001 mm) to boulder (particle size > 100 mm), and are collectively called wide-grading loose soils (WGLS) (Guo  
76 et al. 2015). Wide-grading loose soils (WGLS) are a special slope deposit that often serve as a source for debris

77 flows in the western mountainous area of China and are mainly composed of gravel, soil, sand and block stone.  
 78 WGLSs have a disorganized attitude, poor separation, loose structure, large void ratio and high permeability  
 79 coefficient, and the corresponding hydraulic properties are very different from those of current water accumulation  
 80 in regard to microstructure (Guo et al. 2020; Cui et al. 2017), which makes the traditional studies on debris flows  
 81 starting difficult to interpret in regard to the problem of postearthquake debris flow initiation (Cui et al. 2019).  
 82 Under the triggering action of heavy rainfall, the instability and movement of skeleton particles are mainly affected  
 83 by seepage and flow scouring, and the movement of accumulated soil particles occurs as sliding with a small  
 84 amplitude and then they become stable, transitional type sliding or rapid slip phenomena (Yang et al. 2014). The  
 85 hydraulic mechanism of postearthquake loose deposit initiation is complex. The establishment of the hydraulic  
 86 model of instability and the identification of the starting critical condition (rainfall threshold) can provide a  
 87 theoretical basis for the early warning and prediction of postearthquake debris flows (Yang et al. 2019).

## 88 1. Hydraulic mechanism analysis of postearthquake loose slope source materials

89 The continuous priming action of steady-state heavy rainfall reduced the stability of the postearthquake slope  
 90 in the formation area and eventually led to slope failure and further transformed into slope debris flows with a  
 91 solid–liquid–vapor triphase. The initiation of slope failure is the result of water and soil coupling; therefore, it is  
 92 necessary to combine the theories of soil mechanics, hydraulics and permeation fluid mechanics to reveal the  
 93 mechanical mechanism of postearthquake slope material failure, which then further transforms into a debris flow.

### 94 1.1 Underground water level of slope materials formed by heavy rainfall

95 We set the steady-state rainfall intensity as  $I$  and the surface area of the slope or water-collecting area as  $S$  ;  
 96 then, the rainfall flow that infiltrates the whole slope is:

$$97 \quad Q = IS \quad (1-1)$$

98 The slope deposits in the southwestern mountainous area are mainly composed of gravelly soil, with a loose  
 99 structure and a high permeability coefficient. When rainstorms occur in the flood season, heavy rainfall  
 100 continuously infiltrates the slope, and the soil around the bedrock surface is saturated and generates a certain  
 101 height  $H$  of free hydraulic head; that is, a diving level at a certain height. The accumulation body becomes  
 102 unstable under hydraulic action and may eventually transform into a debris flow.

103 According to the Darcy law that can be applied in groundwater laminar flow, the seepage flow amount that  
 104 rainfall infiltrates into the slope is directly proportional to the water level difference of the updown stream  
 105 (elevation difference from the geoidal surface) and the wetted cross section and inversely proportional to the  
 length of the seepage path:

$$106 \quad Q = KA(H_2 - H_1) / L = KA \sin \theta \quad (1-2)$$

107 where  $Q$  is the seepage quantity,  $(H_2 - H_1)$  is water level difference of the updown stream,  $A$  is the  
 108 cross-section area, which is perpendicular to the water flow direction, namely, the wetted area,  $L$  is the length of  
 109 the seepage path,  $K$  is the permeability coefficient, and  $\theta$  is the slope inclination angle. Figure 1 shows the  
 hydrological model of loose slope deposits. We can infer that:

$$A = WH \cos \theta \quad (1-3)$$

110 where  $W$  is the slope width and  $H$  is the depth of the diving level (Fig. 1). We bring Eq. (1-3) into Eq.  
111 (1-2) and we can obtain that:

$$Q = KWH \cos \theta \cdot \sin \theta \quad (1-4)$$

112 By integrating Eq. (1-1) and Eq. (1-4), we can obtain:

$$H = \frac{IS}{KW \sin \theta \cos \theta} \quad (1-5)$$

113 Theoretically, with continuous rainfall, the height of the groundwater level can be continuously raised until  
114 the slope is completely saturated (i.e.,  $H = Z$ , where  $Z$  is the height of the slope), and eventually, surface  
115 runoff or excess infiltration surface flow is formed on the slope surface.

116 According to the hydraulics expression of runoff flux in saturated soil (Bishop et al. 1961; Lei et al. 2017), we  
117 can infer that:

$$Q_z = TW \sin \theta \quad (1-6)$$

118 where  $T$  is the transmissibility coefficient.

119 Then, by integrating Eq. (1-4) and Eq. (1-6), we can obtain:

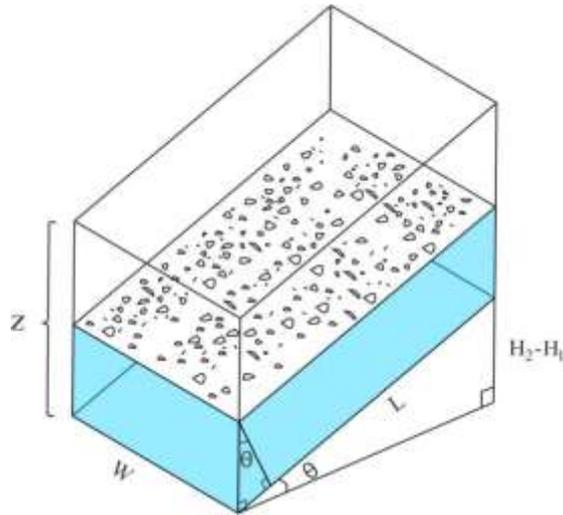
$$K = \frac{T}{Z \cos \theta} \quad (1-7)$$

120 We bring Eq. (1-7) into Eq. (1-5) and the formula can be simplified to obtain the groundwater level height  
121 generated in the slope after rainfall infiltration:

$$H = \frac{ISZ}{TW \sin \theta} \quad (1-8)$$

122  
123  
124 According to Formula (1-8), the multivariate function relationship between groundwater depth and geometric  
125 parameters such as rainfall intensity, slope inclination angle and slope area is established. Groundwater is deeply  
126 affected by the above factors: the depth of the groundwater level (i.e., the height of the diving level) is positively  
127 proportional to rainfall intensity and slope height and inversely proportional to the transmissibility coefficient,  
128 slope width and slope inclination angle, and the depth of the groundwater level is mainly controlled by rainfall  
129 intensity.

130



131

132

Fig. 1. The hydraulics model of slope deposits (CAD).

133

1.2 Analysis of the hydraulic mechanism of loose slope deposits

134

1.2.1 Hydrostatic pressure action

135

(1) Hydrostatic pressure

136

137

138

139

According to hydraulics, the height of the free water head at the upper entrance of the strip accumulation body is set as  $h_2$ , the free water head at the inferior outlet is set as  $h_1$ , and a coordinate system with the  $X$  direction along the gully bed and the  $Y$  direction perpendicular to the gully bed is established. Fig. 2 is a schematic diagram of the hydraulic function of the loose slope accumulation body.

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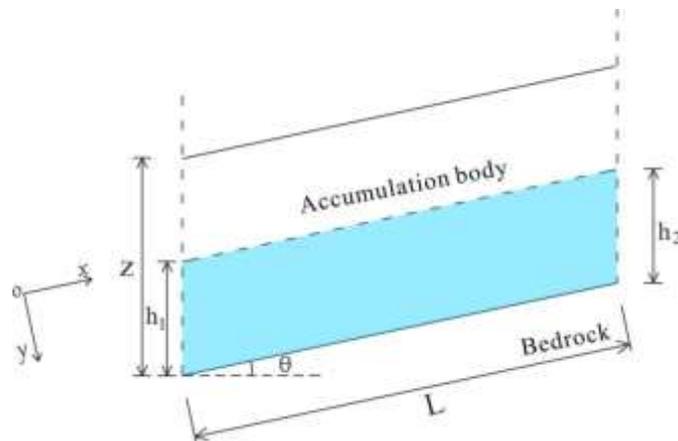
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142

143

According to the hydrostatic pressure at a point in the accumulation body (Montgomery et al. 1994; Wilkinson et al. 2002)  $P = \gamma_w \cdot h$  (where  $\gamma_w$  is the unit weight of water in the accumulation body and  $h$  is the depth of underground water as well as the free water depth of this point), the osmotic hydrostatic pressure at a point along the  $X$  direction can be obtained as follows:

$$P_x = P_{h1} + \frac{x}{L}(P_{h2} - P_{h1}), \quad x \in [0, L] \quad (1-9)$$



144

145

Fig. 2 The hydraulic function analysis of loose slope deposits at groundwater level.

146 By integrating  $P_m$  along the X-axis, the hydrostatic pressure of the strip accumulation body can be  
 147 obtained:

$$P_m = \int_0^L \left[ P_{h_1} + \frac{X}{L} (P_{h_2} - P_{h_1}) \right] dx = \frac{P_{h_1} + P_{h_2}}{2} L = \frac{\gamma_w (h_1 + h_2)}{2} L \quad x \in [0, L] \quad (1-10)$$

148 By setting  $H_m = \frac{h_1 + h_2}{2}$ ,  $H_m$  is the average water depth of the strip accumulation body, and the hydrostatic  
 149 pressure of the strip is:

$$P_m = \gamma_w H_m L \quad (1-11)$$

150 The hydrostatic pressure is an omnidirectional force and acts evenly on all parts of the slope surface. The  
 151 average vertical compressive stress of phreatic flow on the bedrock surface of the accumulation body is:

$$\sigma_{wm} = \gamma_w H_m \quad (1-12)$$

### 152 1.2.2 Dynamic water pressure action

153 Postearthquake slope deposits with loose structures, large pores and grain compositions are given priority  
 154 with gravel soil. When the rainfall intensity is large enough and the duration is long enough in the flood season,  
 155 the groundwater level at a certain height is produced in the internal deposits. In the meantime, laminar flow occurs  
 156 at the bottom of the deposit, which generates seepage dynamic water pressure. The effect of hydrodynamic  
 157 pressure on the soil skeleton is presented in the form of a "drag".

158 According to the continuous media principle, the seepage dynamic water pressure at any point in the seepage  
 159 zone is :

$$\vec{G}_d = \gamma_w \vec{I} \quad (1-13)$$

160 where  $\vec{G}_d$  and  $\vec{I}$  are the dynamic water pressure vector and hydraulic gradient vector, respectively.

161 Then, the seepage dynamic water pressure of laminar flow in the bedrock bottom to the slope accumulation  
 162 body of unit width is:

$$G_{dm} = \int_0^{H_m} \int_0^L \lambda \gamma_w I dx dy = \lambda L H_m \gamma_w I_m \quad (1-14)$$

163 where  $\lambda$  is the void ratio of the loose deposits and  $I_m$  is the underground water hydraulic slope of the unit  
 164 width loose slope deposits:

$$I_m = \frac{h_2 + L \sin \theta - h_1}{L} \quad (1-15)$$

165 The drag force of underground water on the slope accumulation body under seepage action is:

$$d_m = \frac{G_{dm}}{L} = \frac{I_w H_m}{L} = \frac{H_m (h_2 + L \sin \theta_1)}{L} \quad (1-16)$$

166 When slope underground water flows through a loose accumulation body, the main internal and external  
 167 causes of water head loss are the viscous action of rainwater and the friction resistance of the soil granular medium  
 168 to slow waterflow, respectively. At this time, if the physical and permeability properties of the slope accumulation  
 169 body are determined, the head loss  $\eta$  per unit flow distance of bottom laminar flow at low speed is determined or  
 170 can be obtained by a response model test. Equation (1-14) can be simplified as:

$$G_{dm} = \lambda L H_m \gamma_w \eta \quad (1-17)$$

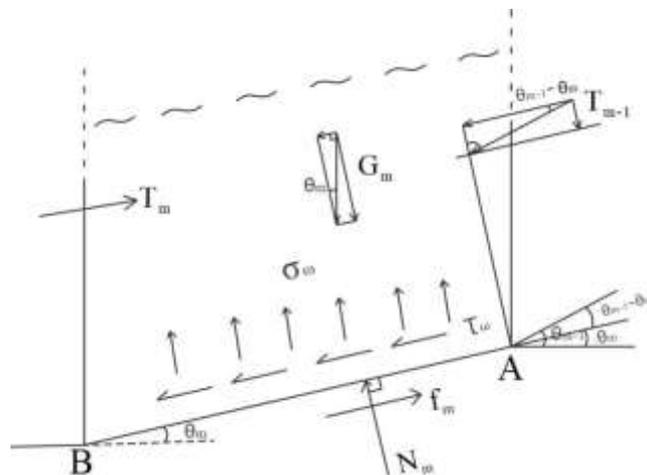
171 Of course, for the whole loose slope accumulation, if the underground water head disappears before it flows  
 172 out of the accumulation body, the drag effect of seepage water pressure of the hydraulic action mentioned above  
 173 cannot be reflected. Assuming that the free water head at the top of the loose accumulation body is  $h_{m1}$  and the  
 174 whole accumulation body is divided into  $m$  strips, the conditions for the bottom laminar flow on the bedrock  
 175 surface (interface between bedrock and overburden) are as follows:

$$h_{m1} + \sum_{m=1}^{m=n} L_m \sin \theta_m - \sum_{m=1}^{m=n} \eta L_m \geq 0 \quad (1-18)$$

176 **1.3 Stress and stability analysis of slope accumulation bodies in formation areas under heavy rainfall**  
 177 **conditions**

178 By considering that the slope gradient of the postearthquake loose slope accumulation body is not uniform,  
 179 the loose slope accumulation body can be further finely divided according to the slope change of the bedrock  
 180 surface during the stress analysis to form multisegment unit strips, as shown in Fig. 3. Without considering shear  
 181 and dislocation between each unit strip, the external forces that control the stability of the  $m$  section of the strip  
 182 are gravity, the residual-sliding force between the strips, hydrostatic pressure, dynamic water pressure, supporting  
 183 force of the bedrock surface and basal anti-sliding force. If the sliding force is greater than the anti-sliding force,  
 184 the force system is balanced, and the accumulation body is stable.

185



186

187 **Fig. 3. The force analytical schematic diagram of the unit loose accumulation body strip.**

188  
189 According to the force analysis of strip  $M$ , the sliding force of the strip sliding along bedrock surface AB is:

$$S_m = G_m \sin \theta_m + T_{m-1} \cos(\theta_{m-1} - \theta_m) + G_{dm} \quad (1-19)$$

190 where  $S_m$  is the sliding force of the strip,  $G_m$  is the gravity of the strip  $m$ , and  $T_{m-1}$  is the  
191 residual-sliding force of the previous strip  $m-1$ . In particular, when  $m=1$ , the initial strip has no  
192 residual-sliding force  $T_{m-1}$  from the previous strip; similarly, when  $m=n$ , the unit strip is at the bottom of  
193 the accumulation body, and there is no reaction force  $T_m$  of the next strip  $m+1$ , namely:

$$\begin{cases} T_0 = 0 \\ T_m = 0 \end{cases} \quad (1-20)$$

194 The sliding force acting on the strip  $m$  is:

$$F_m = T_m + f_m \quad (1-21)$$

195 where  $T_m$  is the reaction force of the next strip and  $f_m$  is the basal anti-sliding force of the strip  $m$ . In  
196 1773, Coulomb proposed the Moore–Coulomb yield criterion:

$$\tau_w = c + \sigma_w \tan \varphi \quad (1-22)$$

197 where  $C$  is the cohesion of the loose accumulation soil,  $\varphi$  is the internal friction angle of the loose  
198 accumulation soil, and  $\tau_w$  and  $\sigma_w$  are the shear stress and normal stress on the slip surface, respectively. By  
199 combining the hydrostatic pressure formula (1-9), we can obtain the following:

$$\tau_w = \frac{f_m}{L} = c + \frac{[G_m \cos \theta_m + T_{m-1} \sin(\theta_{m-1} - \theta_m) - \gamma_w H_m L]}{L} \tan \varphi \quad (1-23)$$

200 After simplification, we can obtain:

$$f_m = cL + [G_m \cos \theta_m + T_{m-1} \sin(\theta_{m-1} - \theta_m) - \gamma_w H_m L] \tan \varphi \quad (1-24)$$

201 According to the force analysis of the accumulation body, the condition for the stability of the loose  
202 accumulation body unit strip  $m$  is  $F_m \geq S_m$ ; in theory, strip 1 to strip  $m$  are all stable. Especially when the  
203 strip  $n$  of the loose accumulation body still meets the condition  $F_n \geq D_n$ , the whole accumulation body is in the  
204 overall stable state. In contrast, when the unit strip accumulation body  $m$  is stable while the unit strip  
205 accumulation body  $n$  is unstable, the loose accumulation body on the slope surface will partially start. In the  
206 meantime, the unit strip accumulation body  $n$  must also meet the condition:  $f_n < S_n$ .

## 207 2 Case study of monitoring data in the study area

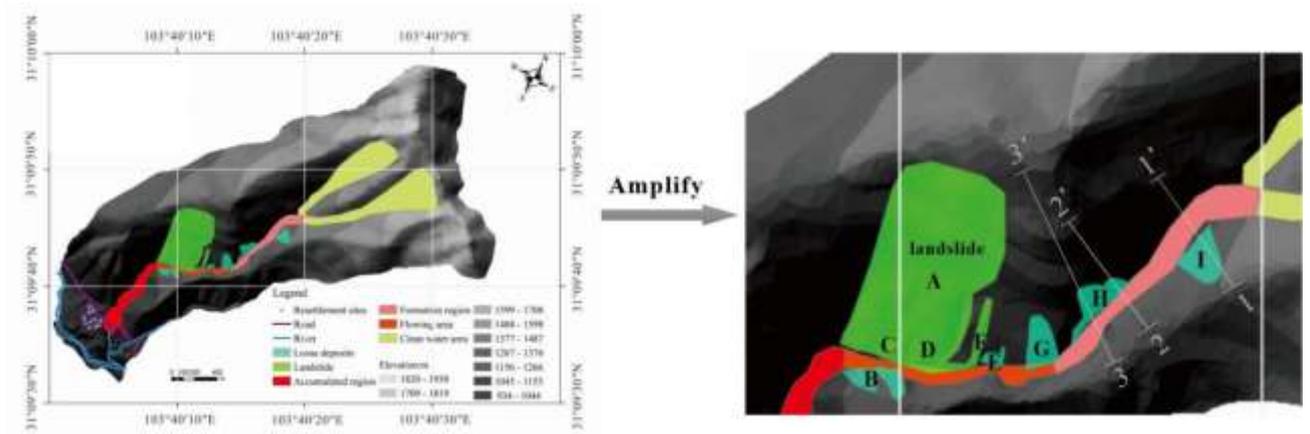
### 208 2.1 Profile of the study area

209 To verify the theory of hydraulic mechanism analysis in this paper, the Yindongzi gully in Dujiangyan city is  
210 taken as an example. The Yindongzi debris flow gully is located in Longchi town, Dujiangyan city, which is a  
211 serious disaster area of the Wenchuan earthquake. The geographic location coordinates of the gully mouth are  
212  $103^{\circ}40'19''$  E and  $31^{\circ}9'46''$  N, and the elevation is 1070~2 2050 m. It belongs to the tectonic erosion landform of  
213 Zhongshan Canyon. The mountain trend is mostly toward the northeast and southwest, and the crest is narrow. The  
214 general terrain slope gradient is between  $30^{\circ}$  and  $55^{\circ}$ , and the valley cutting is deep, steep above and slow at the  
215 bottom, and is mostly a "V"-type valley.

216 The exposed strata in the gully consist of bedrock and Quaternary loose deposits, among which bedrock  
217 contains granite, andesite, diorite, tuff and some metamorphic rocks of the Sinian lower series volcanic rock group  
218 ( $Z_a$ ). The Quaternary strata consist of Holocene residual-slope accumulation ( $Q_4^{el+pl}$ ), diluvial-slope deposits ( $Q_4^{pl}$   
219  $^{+dl}$ ) and colluvial-slope deposits ( $Q_4^{col+dl}$ ), which are dominated by loose wide-graded gravel soils with a thickness  
220 of approximately 1~20 m. The thickness of deposits varies greatly, generally having thin ridges and thick gullies,  
221 and there are a total of 5 deposit bodies on both sides of the gullies, which can provide abundant loose materials  
222 for debris flow initiation. The area of Yindongzi gully is approximately 2.2 km<sup>2</sup>, the overall length of the main  
223 gully is 2.5 km, the average longitudinal slope declination of the main gully is 310‰, the total material source  
224 volume of the gully is  $83.55 \times 10^4$  m<sup>3</sup>, and the dynamic reserve volume on the slope that can participate in debris  
225 flow activity is  $23.02 \times 10^4$  m<sup>3</sup> (Fig. 4).

226 The clear water area of the Yindongzi debris flow gully is a funnel-shaped terrain surrounded by mountains  
227 on three sides and an exit on one side. The formation area elevation is 1560 m-1330 m, the gully is 813 m long, the  
228 rainwater collecting area is 0.35 km<sup>2</sup>, the gully is deep, the terrain is steep, the valley slope is  $45^{\circ}$ - $75^{\circ}$ , and the  
229 average longitudinal slope decline is 283‰. This terrain condition enables the rapid and straight downward flow of  
230 debris flows; moreover, the formation area materials start earlier than the gully debris flow. Therefore, the four  
231 loose source deposits in the formation area are the key research objects of this study, which can realize the early  
232 warning of gully debris flows. The circulation area is the coseismic landslide of the Wenchuan earthquake. The  
233 accumulation area has a  $7^{\circ}$  slope and is located at the mouth of Yindongzi gully, with a total volume of  $2.8 \times 10^4$   
234 m<sup>3</sup> consisting of a loose accumulation body. On the right side of the accumulation fan at the gully mouth of the  
235 debris flow, the postdisaster reconstruction resettlement site of Lianhe Village of Hongkou Township was built.  
236 Fifty-six families and 228 residents are planned to be settled in the resettlement site, which is adjacent to the  
237 Baisha River watershed.

238 The study area has abundant rainfall but uneven spatial and temporal distributions. The annual mean rainfall  
239 in recent years was approximately 1100 mm, and the maximum rainfall in one day was 183.2 mm (2010.8.13).  
240 There are frequent rainstorms and concentrated rainfall in the flood season, which provides hydrodynamic  
241 conditions for the start of slope source materials. The potential danger of debris flows is medium, which poses a  
242 great threat to the lives and property safety of more than 200 people(Yang et al.2017).



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Fig. 4. Topographic map of the Yindongzi debris flow gully (1:500).



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Fig. 5. The accumulation fan in the gully mouth and postdisaster reconstruction site.

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## 2.2 Case study on the hydrodynamic mechanism of postearthquake slope source materials

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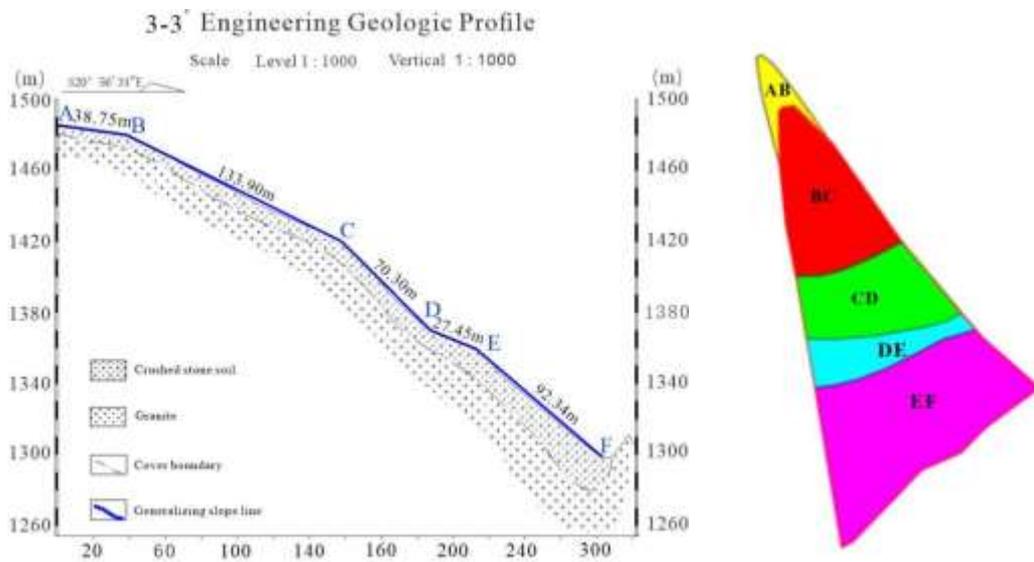
Before starting the mechanism study of postearthquake slope source materials, a detailed field investigation and geological exploration work of the Dujiangyan Yindongzi debris flow gully were carried out, and precise mapping and exploratory trench excavation were performed on the whole basin. In addition, the geological and geomorphic elements of slope sources in the formation area were calculated and counted in detail on a topographic map at a scale of 1:1000 (Fig. 5) to explore the distribution, reserves, morphology and other characteristic elements of loose source deposits. To study the starting pattern and conditions of the postearthquake loose accumulation body under the rainfall excitation action, the stress calculation and analysis of the slope above the formation area where Section Plane 3-3' is located are shown in Fig. 5 and Fig. 6. According to the field geological survey, the slope body is 23.07-115.96 m wide and 326.7 m long, with an area of approximately 5000 m<sup>2</sup> and a slope of 8.16°-45.34°. The slope is mostly a loose landslide accumulation body, which is thin on the top and thick on the bottom and is unstable at present. Based on the geological sectional drawing of the accumulation body, it can be divided into AB, BC, CD, DE and EF 5 sections from top to bottom according to the average width, slope gradient and accumulation thickness, as shown in Fig. 7 and Fig. 8, and relevant calculation parameters are shown in Table 1 and Table 2.



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264

Fig. 6. Loose deposits at the foot of the slope in the study area.



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Fig. 7 Cross-section diagram of loose deposits at Section Plane 3-3. Fig. 8 Strip division of loose slope deposits.

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Table 1 Geometric parameters of the loose slope deposits of the H landslide.

m	Segmentation	$L_m/m$	$W_m/m$	$Z_m/m$	$\theta_m / ^\circ$
1	AB	38.75	23.07	5.50	8.16
2	BC	133.90	42.33	60.00	26.62
3	CD	70.30	66.15	50.00	45.34
4	DE	27.45	87.83	10.50	22.49
5	EF	92.34	115.96	60.50	40.93

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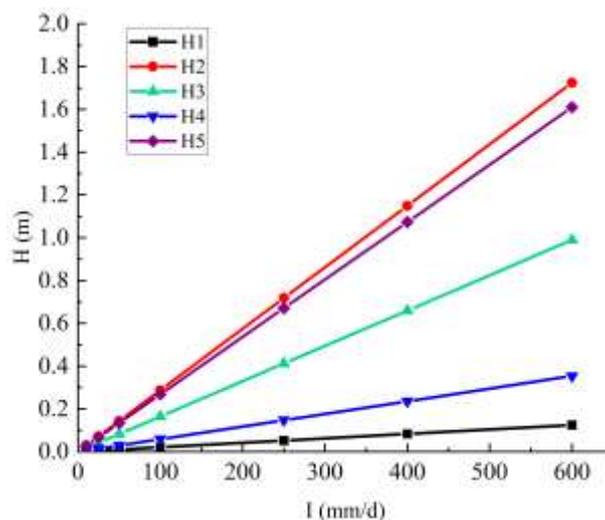
Table 2 Mechanical parameters of postearthquake loose slope deposits.

Internal friction angle	Pore ratio of accumulation body	Head loss of unit length	Cohesion	Transmissibility coefficient	Unit weight of accumulation body	Unit weight of groundwater
$\varphi'$ (°)	$\lambda$	$\eta$	c/kPa	T/(m <sup>2</sup> /h)	$\gamma^s$ /(kN/m <sup>3</sup> )	$\gamma^w$ /(kN/m <sup>3</sup> )
34.1	0.42	0.24	10.15	301	18.9	9.8

271

272 **2.2.1 Variation law of groundwater level height and hydraulic power of slope accumulation body under the**  
 273 **rainfall effect**

274 According to the geometric and mechanical parameters of the loose slope accumulation body in the study  
 275 area (Table 1 and Table 2), combined with Equations (1-8), the groundwater level depth of the accumulation body  
 276 in each section under different steady rainfall intensities can be calculated. It is necessary to further explain that  
 277 Slope 3-3' in the study area is divided into five sections according to the average slope gradient. The first section  
 278 accumulation body is located at the top of the slope; therefore, the rainfall collecting area is the section rainfall  
 279 receiving area as well as the slope surface area. The second accumulation body is the sum of the rainfall collecting  
 280 area of the previous section accumulation body and the area of the current accumulation body on the slope.  
 281 Classification grades based on rainfall intensity include light rain (<10 mm/d), moderate rain (10-25 mm/d), heavy  
 282 rain (25-50 mm/d), rainstorm (50-100 mm/d), severe rainstorm (100-250 mm/d) and severe torrential rain (>250  
 283 mm/d). Fig. 9 shows the groundwater level depth of accumulation bodies in each section under different  
 284 steady-state rainfall intensities.

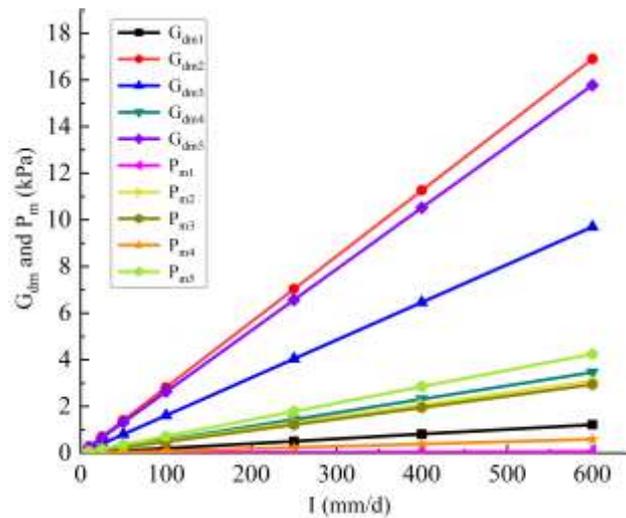


285

286 Fig. 9. The groundwater level depth of the slope accumulation body at different positions under different rain  
 287 intensity conditions.

288 Theoretically, with the increase in rainfall intensity, the height of groundwater level H in the accumulation

289 body will increase until it exceeds the height of the accumulation body to form surface runoff. However, due to the  
 290 large thickness of the slope accumulation body in the study area, there will be no groundwater exposure under the  
 291 condition that the severe torrential rainfall intensity is 600 mm/d. When the slope gradient is smaller, the slope  
 292 surface is narrower and the deposit is thicker, the groundwater level will be higher, and the influence of the  
 293 catchment area will be largest, resulting in Section 2 producing the highest groundwater level due to the dip length  
 294 and catchment area of deposit BC being the largest. Meanwhile, deposit section AB with minimum catchment area  
 295 AB produced the lowest groundwater level. Second, the groundwater level height is also highly sensitive to the  
 296 slope gradient, which results in the accumulation body in the third Section CD and fifth Section EF having the  
 297 largest slope gradient, producing a higher groundwater level height.



298

299 Fig. 10. Hydraulic variation rule of the slope accumulation body under different rainfall conditions.

300 If the groundwater level height of deposits under different rainfall conditions varies, then hydraulic function  
 301 also changes. By Formulas (1-16), we can determine that the groundwater of the accumulation body will  
 302 experience laminar flow and seepage effects of dynamic hydraulic pressure in the form of a drag effect, and the  
 303 entire deposit dynamic hydraulic pressure is far less than the hydrostatic pressure (Fig. 10). This indicates that the  
 304 instability of postearthquake loose slope deposits is the main result of hydrostatic pressure change and  
 305 groundwater level raising. As the rain intensity continues to increase, theoretically, hydrostatic pressure, dynamic  
 306 hydraulic pressure and other hydraulic characteristics will remain constant only when groundwater flows out.

### 307 2.2.2 The starting force analysis of postearthquake loose slope source materials

308 Combined with the stress stability analysis of the accumulation body in 1.3, the critical conditions, start-up  
 309 pattern and stability of the loose accumulation body in Dujiangyan Yindongzi gully are analyzed and calculated. If  
 310 the remaining sliding force is negative, it indicates that the accumulation body in this section is self-stable and that  
 311 there is anti-sliding reserve. In this case, the accumulation body is stable, and the force on the next section of the  
 312 accumulation body is written as 0. The calculation results are shown in Table 3 and Table 4. Under the rainfall  
 313 condition of severe torrential rain, the residual-sliding force of the Section 1 accumulation body is still negative,  
 314 indicating that this accumulation body can be self-stable, will not start, and cannot be used as a debris flow source  
 315 to start and supply the dynamic reserves of the gully source. From the longitudinal perspective of Table 3 and  
 316 Table 4, rainfall intensity and slope gradient have the greatest influence on slope stability. For the same section of

317 accumulation body. When the rainfall intensity increases, the sliding force increases, and the anti-sliding force  
 318 continues to increase due to the growth of the dead weight, but the growth rate of the anti-sliding force is less than  
 319 that of the sliding force, leading to a gradual increase in the residual-sliding force. Table 3 and Table 4 show that  
 320 slope is mostly sensitive to slope stability. According to the slope gradient of the deposits in Table 1, the  
 321 accumulation body slope gradient of Section 3 and Section 5 are both greater than 40°, and it is difficult to achieve  
 322 self-stability even under the very small rainfall intensity condition. The slope of the Section 4 accumulation body  
 323 is again gentle (22.49°), resulting in the remaining sliding force between Section 3 and Section 4; furthermore,  
 324 these results demonstrate that Section 4 resisted part of the pushing force from the previous section of the  
 325 accumulation body.

326 Table 3 Stress values of accumulation bodies under different rainfall conditions.

I	S <sub>1</sub>	S <sub>2</sub>	S <sub>3</sub>	S <sub>4</sub>	S <sub>5</sub>	f <sub>1</sub>	f <sub>2</sub>	f <sub>3</sub>	f <sub>4</sub>	f <sub>5</sub>
10	13.1 9	2880.19	4878.154	3854.81	11340.85	16.86	1030.15	660.04	356.43	1855.22
25	13.1 9	2880.52	4878.61	3855.19	11342.01	16.86	1030.25	660.1	356.46	1855.41
50	13.1 9	2881.07	4879.37	3855.82	11343.93	16.87	1030.43	660.18	356.52	1855.71
100	13.2	2882.16	4880.89	3857.09	11347.77	16.87	1030.77	660.36	356.65	1856.32
250	13.2 1	2885.44	4885.44	3860.88	11359.3	16.88	1031.8	660.87	357.01	1858.13
400	13.2 2	2888.72	4889.98	3864.67	11370.83	16.89	1032.83	661.39	357.38	1859.95
600	13.2 4	2893.08	4896.05	3869.73	11386.2	16.91	1034.21	662.08	357.87	1862.37

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328 Table 4 Residual-sliding force under different rainfall conditions.

I (mm/d)	T <sub>1</sub>	T <sub>2</sub>	T <sub>3</sub>	T <sub>4</sub>	T <sub>5</sub>
10	-3.67	1850.04	6068.15	9566.54	19052.17
25	-3.67	1850.27	6068.78	9567.51	19054.11
50	-3.67	1850.64	6069.83	9569.13	19057.35
100	-3.67	1851.39	6071.92	9572.36	19063.82
250	-3.67	1853.64	6078.20	9582.07	19083.24

400	-3.67	1855.88	6084.47	9591.77	19102.65
600	-3.67	1858.87	6092.84	9604.70	19128.53

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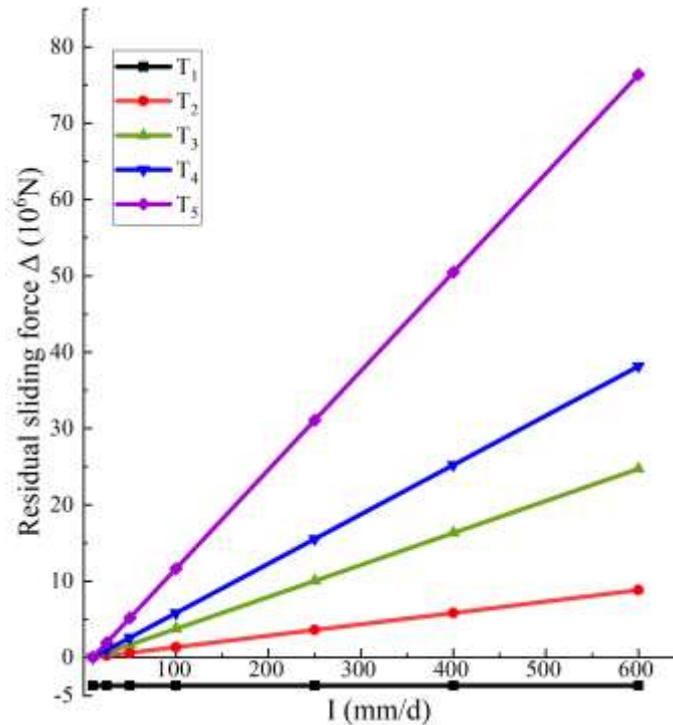
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Note: In Table 3 and Table 4, the unit of rainfall intensity  $I$  is mm/d,  $S_m$  is the sliding force,  $f_m$  is the basement anti-sliding force,  $T_m$  is the residual-sliding force, and the units of the above three factors are  $10^6$  N. When  $T_m$  is negative, it indicates that the accumulation body can be self-stable and has no force effect on the next section of the accumulation body.



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Fig. 12. Change regulation of the hydraulic action of the accumulation body at different groundwater levels.

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Figure 12 shows the variation regulation of the residual-sliding force under different rainfall conditions, and  $\Delta$  is the difference value between the residual-sliding force in this section and the minimum values under different rainfall conditions (except Section 1). Section 1 of the deposit will realize a self-stable state under any rainfall condition under different rainfall intensities. Deposits 2 to 5 are particularly unstable, and they will lose anti-sliding reserves under light rainfall intensity conditions. As a result, the consecutive and integral instability of Section 2 to Section 5 of accumulation bodies and this type of starting pattern represents typical thrust-type instability. Theoretically, if Section  $m$  of the accumulation body is stable and Section  $m+1$  of the deposit starts, then the loose accumulation body starts in sections by disintegration and evolves into a debris flow, which is a typical overall thrust-type failure.

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### 3. Comparison and verification of historical debris flow events in the study area and hydraulic mechanism analytical results

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348

According to the above stress analytical results, the first section of the accumulation body is stable, while Sections 2 to 5 are in an extremely unstable state. The Yindongzi debris flow gully in Dujiangyan started 15 times

349 after the earthquake, including the 7.17 debris flow in 2009, 8.13 debris flow in 2010, and 7.9 debris flow in 2013  
350 (Table 5). Although the lowest rainfall in 24 hours was 39 mm/d (2011.9.6), the gully debris flow could be started  
351 at a full-scale. A topographic map (Fig. 4) was explored in July 2016, and monitoring instruments, such as rain  
352 gauges, pore pressure and moisture content sensors, surface tiltmeters and video monitors, were installed in the  
353 study area. From August 18 to 19, 2017, and August 24 to 25, 2017, the city of Dujiangyan had two obvious  
354 regional rainstorm weather processes (the former referred to as the "8.18" rainstorm, the latter referred to as the  
355 "8.24" rainstorm). The rainfall of the 8.18 rainstorm was up to 156 mm/d in 24 hours, and the accumulated rainfall  
356 of the 8.24 rainstorm was up to 178 mm. According to the field investigation after the disaster, except for a small  
357 part of the upper accumulation body of slope accumulation body H in the formation area that was "self-stable"  
358 (Section 1), the rest of the slope accumulation body (Section 2 to Section 5) were all started. Under such rainfall  
359 conditions, the starting and instability failure state of accumulation body H was completely consistent with the  
360 calculation results in Section 2.2.2. In addition, because the rainfall over 24 hours was heavy, water gushed out at  
361 the bottom of the slope (Fig. 14) and converged into a high-speed water flow with a width of approximately 1 m in  
362 the gully (Fig. 15). Field investigation results after the disaster showed that rainfall led to the formation of a  
363 groundwater level with a certain height in the accumulation body. According to the height of the mud trace on both  
364 sides of the gully, the overflow height was approximately 6 m, and the maximum flood flow velocity was  
365 approximately 100 m<sup>3</sup>/s. After the debris flow, the total amount of accumulation below the ditch mouth reached  
366 6×10<sup>4</sup> m<sup>3</sup>, and the deposit amount in the gully above the ditch mouth reached 9×10<sup>4</sup> m<sup>3</sup>, which represents a  
367 large-scale debris flow. The debris flow did not destroy the houses of the settlement site, the road through the  
368 village was buried, the rainfall early warning signal was issued in time, and people safely evacuated without  
369 casualties. The debris flow event resulted in severe damage to the gravity dam, drainage canal and monitoring  
370 equipment.

371

Table 5 Historical events of the Yindongzi debris flow gully.

Date	Disaster characteristics and starting time	Rainfall in 24 h (mm)	Accumulated rainfall (mm)
2009.7.17	Group-occurring debris flow in Hongkou township.	97.40	219.00
2010.8.13	Group-occurring debris flow in Hongkou township.	183.20	275.10
2010.8.19	Group-occurring debris flow in Hongkou township.	98.00	150.00
2011.07.21	Rainstorm, debris flow occurred	65.10	95.10
2011.08.15	A small amount of debris flow appeared at 4:30 a.m.	42.00	61.70
2011.08.16	The debris flow occurred at 9:15 a.m. and 4:23 p.m., respectively.	49.00	110.70
2011.08.21	The debris flow occurred at 2:30 a.m.	144.80	150.10
2011.09.06	The debris flow occurred at 5:30 a.m.	39.00	66.60
2012.08.18	The debris flow occurred at night.	105.60	206.00

2012.08.19	The debris flow reached the Baisha River.	41.90	247.90
2013.07.08	A large amount of debris flow rushed out.	111.60	163.90
2013.07.09	A large amount of debris flow rushed out.	217.20	409.50
2013.07.26	The debris flow occurred at 5:30 a.m.	108.80	235.00
2013.07.29	A large amount of debris flow rushed out.	128.10	403.00



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Figure. 13 Before and after accumulation body H morphological comparison of the 8.24 debris flow in the study area.



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Figure. 14 Clear water gushing from the bottom of the H slope foot.



Figure. 15 High-speed flow at a large landslide downstream and in the circulation area.

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#### 4. Large physical model experimental verification

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Li Mingli (2018) used more than 20 groups of artificial rainfall physical test methods to set rainfall intensity and slope gradient as control variables and studied four slope types (32°, 34°, 37° and 42°) under five rainfall intensity conditions (60 mm/h, 90 mm/h, 120 mm/h, 150 mm/h, and 180 mm/h), the instability mechanism and failure mode of loose source materials on slope surfaces. According to the critical condition of slope start-up,

382 regression analysis of test results was conducted by using mathematical statistical methods. The multiparameter  
383 early warning model and rainfall threshold of 24 h cumulative rainfall were proposed as 180.2 mm/d. The  
384 experiments showed that the slope gradient was positively correlated with the slope starting rainfall intensity.  
385 Under a slope condition of 32° -37° medium degree, the slope source materials were fully activated, and above 37  
386 degrees slope, an integral failure mode dominated the slope starting, while a certain height of groundwater level  
387 was generated. When the 8.18 and 8.24 rainstorms occurred, each section failure state of accumulation body H and  
388 the height of the groundwater level generated in the slope were consistent on the one hand with the calculation  
389 results of the hydraulic mechanism (2.2.1) and on the other hand verified the field investigation results after the  
390 disaster (2.2.3).

391

## 392 **5. Discussion**

393 This paper is based on hydrology, on the premise of constructing a hydraulic model of groundwater level  
394 variation in a loose accumulation body, and with the aid of hydraulic theory, the change in hydraulic  
395 characteristics and the variation in hydraulic power with increasing groundwater level were analyzed. Furthermore,  
396 the mechanical expression of rainfall infiltration on the accumulation body was constructed, the stability of the  
397 slope was analyzed, and the critical condition of source instability and the mechanical prediction model were  
398 obtained.

399 The research object is the postearthquake loose slope source materials in the formation area, and the  
400 application scope of the hydraulic model is postearthquake wide-grading loose soils (WGLS) with a large void  
401 ratio and high permeability coefficient in the mountainous area of Southwest China. The physical and mechanical  
402 properties of this kind of crushed soil are quite different from those before the earthquake, and the interior of the  
403 slope body forms a groundwater level of a certain height under the excitation action of steady heavy rainfall.  
404 Different from the shallow surface landslides of the rainfall type, the postearthquake slope source starting is the  
405 result of the combined action of hydrostatic pressure and hydrodynamic pressure, and before the slope starts, the  
406 hydraulic condition continuously deteriorates, which belongs to the hydraulic class starting form.

407 Studies of hydraulic-type gully debris flows have become more mature, and the failure time of slope source  
408 materials on both sides of gully banks in watershed formations was earlier than the comprehensive start of gully  
409 debris flows. The hydraulic analyses of postearthquake slope source materials not only prove the hydraulic  
410 mechanism of slope source initiation but also invert the critical steady rainfall condition of its initiation, which has  
411 practical and feasible significance for realizing the early warning of gully debris flow initiation. The results of the  
412 theoretical analysis, historical debris flow events in the study area, physical model test results and real-time field  
413 monitoring data of the postearthquake slope source materials in the formation area were compared and analyzed.  
414 The results are basically consistent, and the results of hydraulic mechanism analysis are relatively reliable. The  
415 study area of the Yindongzi debris flow gully has detailed geological exploration content and rich historical debris  
416 flow data; at the same time, field monitoring work has lasted for ten years, the typical geological disaster site field  
417 monitoring work is ongoing and will continue for a long time, and primary field monitoring data will constantly  
418 improve and effectively modify slope source starting hydraulic models.

419

420 **6. Conclusions**

421 (1) The postearthquake slope source materials are mainly gravel soil, which has the characteristics of wide-grain  
422 grading and a large permeability coefficient. The instability of loose slope accumulation bodies is the result of the  
423 continuous increase in groundwater level and deterioration of hydraulic conditions under the triggering action of  
424 heavy rainfall.

425 (2) According to the theoretical derivation of hydraulics and soil mechanics, the height of the groundwater level  
426 generated in the accumulation body is a comprehensive function of parameters such as slope rainfall area  $S$ ,  
427 steady-state rainfall intensity  $I$ , slope geometric parameters ( $W$ ,  $H$ ,  $Z$ ) and transmissibility coefficient  $T$ . For the  
428 physical and geometric properties of the slope accumulation body, the larger  $S$  and  $I$  are, the higher the height of  
429 groundwater level  $H$ ; conversely, the larger  $T$ ,  $W$  and  $Z$  are, the lower the height of groundwater generated in slope  
430 body  $Z$ . Generally, the narrower the slope is, the deeper the accumulation body thickness, the larger the slope  
431 surface area, and the greater the loose accumulation body instability, which further translates into debris flows.

432 (3) In terms of the two hydraulic effects caused by the uplift of the groundwater level, on the one hand, the  
433 increase in hydrostatic pressure  $P$  in the slope reduces the anti-sliding force of the base of the accumulation body;  
434 on the other hand, the increase in hydrodynamic pressure  $G_d$  increases the sliding force that causes slope failure.  
435 However, the effect of hydrodynamic pressure on the loose accumulation body instability after rainfall is relatively  
436 small.

437 (4) According to the existence form of the remaining sliding force, the starting mode of the postearthquake loose  
438 slope accumulation body can be divided into two types: the sliding mode of the thrust-type landslide and the  
439 retrogressive landslide mode of the subsection disintegration. Taking the case of the Yingdongzi gully as an  
440 example, except for Section 1 of the accumulation body, the residual-sliding force of the accumulation body of  
441 Section 2 to Section 5 continuously accumulated downward, presenting a starting mode of overall thrust-type  
442 failure.

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