

Coasting along the effects of climate change: the challenges of adapting our anthropogenic shorescapes to new uncertain conditions

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ABSTRACT

Nature Based Solutions (NbS) are mainstreamed as an innovative and adequate approach to climate change. Combining natural dynamics and materials with technical knowledge, NbS are seen as a promising venue for coastal adaptation. However, little still is known about the role that the many uncertainties associated with such projects play in the effectiveness of these solutions, and about how to cope with these uncertainties, considering the impacts NbS may have for our society. Here, we investigate, if and how, managing uncertainties via the *cascades of interrelated uncertainties* conceptual framework improves the governance capacity for implementing NbS coastal management projects. To this end, we conduct an ex-post analysis of the uncertainties in two NbS study cases: Sand Engine and Safety Buffer Oyster Dam BwN projects in The Netherlands, critically analyzing through the conceptual framework, how uncertainties were addressed and proposing better fit supporting alternatives. Our results indicate major benefits for uncertainty management, supporting project development and implementation: generating more flexibility in managing under unknown conditions, being able to anticipate conflict, providing opportunities of creating new supporting relationships and alternative solutions.

Keywords: uncertainty, ambiguity, uncertainty cascades, Nature Based Solutions, Building with Nature, coastal management, climate change adaptation

1 **1. INTRODUCTION**

2 Adapting to climate change has become an inescapable fact, one that has tremendous repercussions for how we
3 manage our coasts (IPCC AR5 WGII, Wong et al 2014). Even when future predictions can appear to reflect rough
4 estimates, the presence of sea level rise, increases in sand erosion, biodiversity loss, changing temperatures and
5 extreme events unequivocally constitute an inevitable reality for managers and decision-makers. A new normal is
6 established that challenges and conditions how our coasts must be managed. Here, the command and control
7 approaches combined with hard engineering solutions, exceptionally preferred during the past decades, no longer
8 serve us (Temmerman et al 2013, De Vriend et al 2014, Sutton-Grier et al 2015). Instead, more inclusive and
9 adaptive solutions that are better equipped to cope with the great complexity, uncertainty and multiplicity of risks
10 of an anthropogenic evolving coast are needed (Pahl-Wostl et al 2012, Ingram 2013, Arkema et al 2017, Powel et
11 al 2019).

12 Paralleling its urgency, this concern for climate change adaptation has increasingly taken traction in policy and
13 decision-making arenas. Expanding from local to regional and national scales, in the recent past, devising
14 adaptation plans for climate change is inherent to the design of formal institutions. In Europe, for example, the
15 new EU Adaptation Strategy was launched (European Commission 2021) setting out how the European Union can
16 adapt to the impacts of climate change and become climate resilient by 2050. Within this strategy, EU member-
17 countries have the task of individually creating their own action plans.

18 In these institutional proposals, Nature Based Solutions (NbS) are mainstreamed as an innovative and adequate
19 approach to climate change (Seddon et al 2021). Under the rationale of letting the working of nature do the job of
20 adapting, instead of forcing nature through the use of hard engineering solutions, NbS aims at utilizing natural
21 dynamics (e.g., wind and currents) and natural materials (e.g., sediment and vegetation) for the realization of
22 effective flood defense systems, while at the same time, providing opportunities for nature development (De
23 Vriend and Van Koningsveld 2012). Good examples of NbS in the field of coastal protection are the Building with
24 Nature (BwN) approach in the Netherlands, the similar Working with Nature approach of PIANC and the
25 Engineering with Nature approach of the US Army Corps of Engineers (van Slobbe et al 2013).

26 Beyond the appeal of such multifunctional innovations and the co-benefits they have proved to offer (Borsje et al
27 2011, Nesshöver et al 2017), one question remains: will these innovations render the expected effective results
28 under the uncertain and ever changing conditions of climate change? Here we argue that when adopting NbS, the
29 uncertainties - and the risks these uncertainties pose - are potentiated, since the inherent uncertainties in climate
30 change nest the uncertainties associated with the natural (e.g., will the wind bring the sand to the shore?) and social
31 dynamics (e.g., will people accept this innovation?). Further, the complexity of this situation is increased even
32 more as the effectiveness of NbS depends on societal acceptance and how the people that are affected respond to
33 them.

34 Innovations, such as NbS, are foreseeable to have consequences in terms of coastal geo-morphodynamics and
35 ecology, as well as in terms of behaviors, organizations, routines, policies and institutions. Therefore, in addition
36 to technical capacities, the successful implementation of such novel approaches requires the governance capacity
37 for assessing and changing common practices and policies as well as of sustaining these developments in the short-
38 medium and long term (Seddon et al 2020, Wild et al 2020). Doing so requires recognizing the social,
39 organizational and political dimensions of these innovations, and acknowledging that the extent to which an
40 innovation is successful is contingent on how humans respond to these innovations, on how different actors'
41 perspectives and interest are taken into account, and on how uncertain and ambiguous issues are addressed (Geel
42 et al 2019, Köhler et al 2019).

43 An extensive body of scholarly work has made clear that the adoption of a new technology induces fundamental
44 changes in the societal system in which the technology is being introduced (Trist and Bamforth 1951, Heller 1989).
45 Associated with technological innovations there are particular user practices, norms, regulations, time-spatial
46 scales and networks of maintenance that support the technology in fulfilling a societal function (Holtz et al
47 2008). Scholarly work in the field of transition research has clearly indicated that technological transitions are
48 always paralleled with transformations in the way in which society functions. As stated by Geels: "technological
49 transitions do not only involve technological changes, but also changes in elements such as user practices,
50 regulation, industrial networks, infrastructure, and symbolic meaning" (Geels 2002, pp 1257).

51 Here, we investigate how managing uncertainties via the *cascades of interrelated uncertainties* conceptual
52 framework (Van den Hoek et al 2014a) could improve the governance capacity in supporting the implementation

53 of BwN innovative processes of coastal management. Our goal is twofold: 1. To understand the role that the
54 different types of uncertainty, being interrelated, have in settling project's societal acceptability. 2. To identify
55 possibilities for managing uncertainties that are aligned with what society wants and how it functions. To this end,
56 and building on our previous work and findings (Van den Hoek et al 2014a), we conducted an ex-post analysis of
57 the uncertainties in two NbS study cases: Sand Engine and Safety Buffer Oyster Dam BwN projects, critically
58 evaluating the shortcomings of how uncertainties were addressed and proposing better fit supporting alternatives.

59 **2. METHODS**

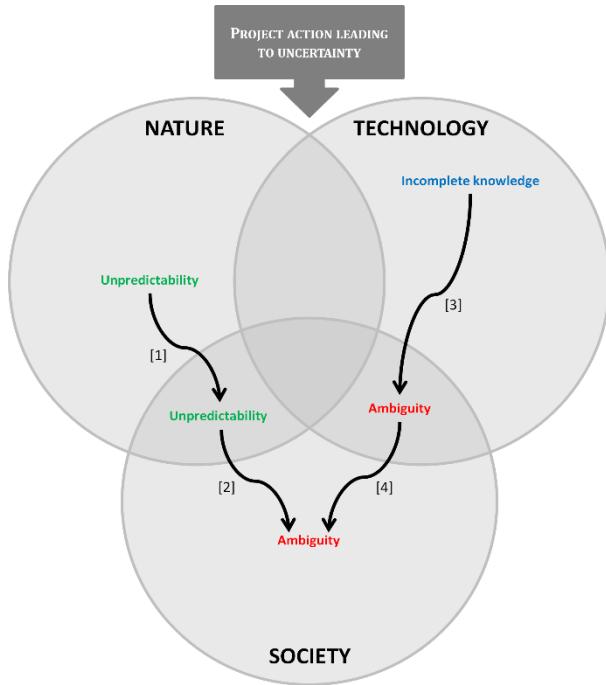
60 **2.1 Analytical framework**

61 We adopt the *cascades of interrelated uncertainties* conceptual framework developed by Van den Hoek et al
62 (2014a). The framework distinguishes three so-called uncertain knowledge relationships, namely incomplete
63 knowledge, unpredictability and ambiguity. The system to be managed is conceptualized as three interconnected
64 subsystems, namely the natural, technical and social system.

65 Incomplete knowledge originates from the imperfection of our knowledge, which may be reduced by, for example,
66 additional research. It concerns what we do not know at this moment, but might know in the future if sufficient
67 time and resources are available to perform additional research in order to collect more data. Unpredictability is
68 caused by the inherent chaotic or variable behavior of e.g. natural processes, human beings or social processes.
69 Thus, it is different from incomplete knowledge: unpredictability concerns what we cannot know and therefore
70 can never fully reduce by doing more research.

71 Ambiguity is considered an uncertainty of a different kind, as it does not attend to how much, or how well, actors
72 know something, but to the different ways in which they know. It refers to the situation in which there are different,
73 and sometimes non-overlapping or conflicting interpretations regarding a particular issue (Dewulf et al 2005,
74 Brugnach et al 2008, Renn et al 2011, Van den Hoek et al 2014b). As the two study cases will demonstrate,
75 ambiguity speaks for the needs and views of society, having a primordial role in determining the acceptability of
76 a project. E.g., would the groundwater be affected by the sand dynamics? What do we do about it?

77 In this framework, uncertainties are not conceptualized as isolated knowledge elements (e.g., not knowing when
78 the next storm will be) but as interrelated elements influencing each other, where the impact of a particular
79 uncertainty may be created or enlarged through the cascading effects of other uncertainties. The underlying
80 rationale is that these cascades of interrelated uncertainties consider both the objects that are uncertain (e.g., water
81 depth) as well as the influence that one uncertainty may have on another one. Based on this framework, different
82 uncertainties, which might have a fundamentally different nature and could be associated with different aspects of
83 the system under study, are directly related in *cascades of interrelated uncertainties*. One unique feature of this
84 framework is the consideration of ambiguity capturing the perspective of the actors, regarding what they care about
85 and what they perceive to be problematic. Figure 1 presents a brief description to the reader of the cascades and
86 its elements.



98

99 **Figure 1.** Black arrows express that an uncertainty is related to another uncertainty. For each uncertainty, colors
100 indicate which of the three uncertain types; green for unpredictability, blue for incomplete knowledge and red for
101 ambiguity. At the top of each figure, it is indicated which project action or aspect the cascade concerns. Adopted
102 from Van den Hoek et al (2014a)

103 2.2 Ex-post analysis of uncertainties

104 Adopting the *cascades of interrelated uncertainties* conceptual framework described above, the ex-post analysis
105 of uncertainty conducted in the two study cases follows the four steps procedure indicated below:

106 **Step 1: Identification of main issues of concern:** Identify the main societal issues of concern associated with the
107 implementation of the BwN project, which emerge in relation to what is known, or not known, about the project
108 and the multiple, and sometimes contested, framings held by the various involved actors. The work of Van den
109 Hoek et al (2014a) is used as the baseline in this identification process.

110 **Step 2: Per issue of concern, identification of uncertainty cascades associated and their impact:** Assess the
111 relevance of each uncertainty cascade by considering two aspects: **its potential impact to the project**
112 **implementation** (“can it lead to substantial cost overrun, a substantial delay or even project cancellation?”) and
113 **the actors perceived project-wide relevance** (“is this uncertainty considered important by multiple interviewees
114 and project’s actors?”).

115 **Step 3: Identification of coping strategies:** In each uncertainty cascades, identify the coping strategies that were
116 opted or applied by the project team.

117 **Step 4: Evaluation of coping strategy:** Determine how successful the identified coping strategies were in
118 preventing the potential negative impact of the uncertainty (e.g., substantial cost overrun, delay or project
119 cancellation). Discuss whether the applied coping strategies provide a *sustainable* solution: the strategies should
120 not only offer a solution in the short term, but should also prevent the uncertainty from re-intensifying at a later
121 stage in the project’s development process.

122

2.3 Case studies and data collection

123 The analysis is based on two Dutch BwN projects: Sand Engine and Safety Buffer Oyster Dam.

124 *2.3.1 Sand Engine Delfland*

125 The continuing coastal erosion, on-going land subsidence and sea level rise, made the sandy Holland coast
 126 increasingly vulnerable to flooding. Therefore, the Dutch government implemented the so-called Dynamic
 127 Preservation policy: Holland's coastline had to be maintained at its 1990 position by performing periodic,
 128 relatively small-scale, sand nourishments (Hillen and Roelse 1995). But how to do so? Would one major
 129 nourishment done at once, instead of several small ones over the years, have similar, or even increased impacts on
 130 the coastline? To explore the answer, the Sand Engine Delfland – a mega-sand nourishment of 21.5 million m³ –
 131 was proposed to be constructed in 2011. The Sand Engine constituted the first large-scale pilot project based on
 132 BwN design principles, and was supported by public authorities, private companies and research institutes (De
 133 Vriend and Van Koningsveld 2012). The end goal was to stimulate natural dune development concomitantly with
 134 opportunities for nature and recreational development over an expected period of 20 to 50 years. One key objective
 135 of the project was to learn about the applicability and efficiency of the mega-nourishment concept (for an overview
 136 of the results so far, see Luijendijk and Van Oudenhoven 2019).

137 *2.3.2 Safety Buffer Oyster Dam*

138 The Sand Hunger is an erosion problem suffered in the Eastern Scheldt that originates from the construction of a
 139 large storm surge barrier in the 1980s. It refers to the on-going erosion of existing tidal flats – important bird
 140 habitats and natural flood defences – due to the disturbance of the sediment balance caused by the estuary's closure.
 141 The Safety Buffer Oyster Dam project constituted a practical and local response to the effects of the Sand Hunger
 142 problem. This pilot project – performed in November 2013 – consisted of a sand nourishment of 350,000 m³, to
 143 reconstruct the eroded tidal flat in the Eastern Scheldt estuary, as well as the construction of an artificial oyster
 144 reef to slow down the tidal flat's erosion. While one of the project's goals was to gain knowledge about dealing
 145 with the effects of the Sand Hunger problem, the main objective was to develop a sustainable flood safety situation
 146 and a restored tidal flat landscape at the Oyster Dam for the next 50 years. The preferred design alternative was
 147 the nourishment of half of the existing tidal flat, while letting the sand of the other half to be redistributed through
 148 natural dynamics. At that time, no studies regarding the nourishment's future development were commissioned by
 149 those responsible for the initiative (for an overview of the results of the pilot project, see Boersema et al (2018)).

150 **2.4 Data collection methods**

151 **Sand Engine Delfland.** We used two main data collection methods. First, three public information meetings were
 152 attended, during which stakeholders and the general public had the opportunity to pose critical questions, express
 153 their appreciation or concerns about the project and to file complaints. Minutes of these meetings were made and
 154 studied to identify important uncertainties and to understand the diverging viewpoints regarding the project.
 155 Second, we performed nine interviews with individuals that were or are involved in the Sand Engine's development
 156 process or its maintenance after implementation. In April and May 2011, we interviewed three (former) members
 157 of the project team, one member of the project steering group and two experts – involved in the Environmental
 158 Impact Assessment (EIA) and modeling – about the most important uncertainties encountered during project
 159 development, how these could have hampered the project and how the uncertainties were coped with. In the period
 160 from May until November 2012, we performed three additional interviews to acquire specific information about
 161 the Sand Engine's recreational safety situation. The interviewees were invited to elaborate on the safety measures
 162 regarding recreation, the reasons why measures were changed and which specific uncertainties were coped with.
 163 The semi-structured interviews were conducted in the Dutch language, took between one and two hours, and were
 164 recorded and transcribed. Standardized interview protocols with several open-ended main questions and follow-
 165 up questions were used during both interview series.

166 **Safety Buffer Oyster Dam.** First, we attended meetings of the project's knowledge development team in March
 167 2012 and the stakeholder sounding board in April 2012. Whereas the meeting of the knowledge team was recorded
 168 and transcribed, the sounding board meeting could not be recorded but minutes were made. We studied the data
 169 of both meetings to identify important uncertainties, discussion themes and stakeholder issues in the Safety Buffer
 170 Oyster Dam project. Second, we conducted four interviews with actors related to the project team (performed by
 171 two interviewers) and nine interviews with stakeholders (performed by one interviewer) in July, August and
 172 September 2012. During three of these interviews, two respondents were interviewed instead of one. Thus, in total,

173 we spoke to six project team associates (three at the executive and three at the project level) and ten stakeholders.
174 The interviewees were invited to elaborate on those project topics that were most important for them, but that also
175 caused the hardest discussions due to the existence of uncertainty and diverging viewpoints. For each of these
176 uncertainties, it was discussed how the project team aimed to cope with it. The semi-structured interviews were
177 conducted in the Dutch language, took about one hour, and were recorded and transcribed. Two standardized
178 interview protocols (one for the project actors and one for the stakeholders) with up to fourteen open-ended main
179 questions were used.

180 In both cases, we studied project documentation and communication as additional research material. These
181 documents indicate whether a particular uncertainty was coped with by acquiring more information (e.g., a
182 research report on the topic is present) or by addressing the different viewpoints of particular stakeholders' issues
183 (e.g., there are emails in which stakeholders are invited to participate during a meeting). Furthermore, we consulted
184 interviewees or other project actors to acquire additional information on specific uncertainties if needed.

185 **3. RESULTS**

186 **3.1 Sand Engine Delfland**

187 ***Identification of main concerning issues.***

188 Initially, the Sand Engine was expected to redistribute sand along the coast over a period of 20 to 50 years, through
189 natural dynamics such as waves and wind. This was thought to result in a beach area and dunes fairly naturally
190 built (see Luijendijk and Van Oudenhoven (2019) for an overview of project results). However, unpredictable
191 weather conditions over long time scales, plus highly uncertain predictions regarding the nourishment's
192 distribution, soon triggered major concerns regarding: **A. swimming safety, B. drinking water quality, C.**
193 **harbor accessibility and D. project's economic attractiveness** (Van den Hoek et al 2012). Here below we
194 address the issues of swimming safety and drinking water quality. The reader can learn about the concerns about
195 the harbor accessibility and the project's economic attractiveness in Supplementary Information A1 and 2
196 respectively.

197 **A. Swimming safety**

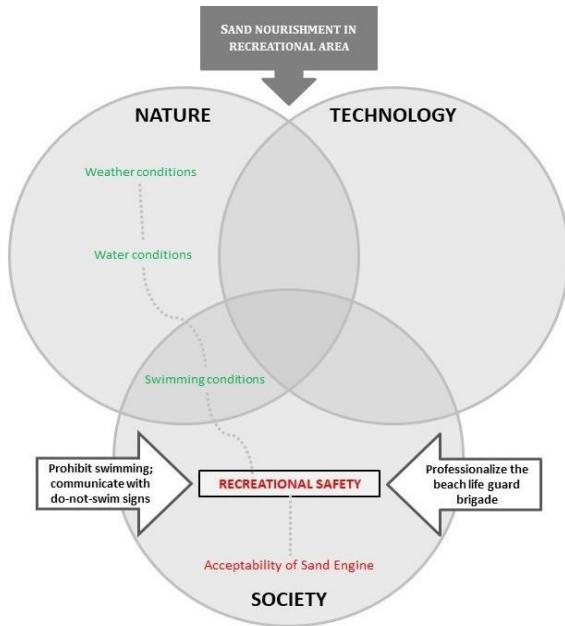
198 ***Uncertainty cascade associated with swimming safety, and their impact.*** Early on during the Sand Engine's
199 development process, experts carried out a modeling exercise to study the project's morphological development.
200 The results indicated that the *water conditions* in the vicinity of the Sand Engine were expected to be unpredictable.
201 Consequently, it was acknowledged that the effects of the nourishment on *swimming conditions* were also highly
202 unpredictable – an uncertainty associated with the physical aspect of the natural processes. This in turn led to
203 ambiguity regarding *recreational safety* – an uncertainty associated with the social system – calling into question
204 the acceptability of the whole project, and, in consequence, with potentially negative impacts to the project
205 implementation.

206 **Coping strategies.** The project team initially approached *recreational safety* as an isolated – and rather
207 deterministic – issue, focusing on strategies that created a robust management plan consisting of measures such as
208 a swimming prohibition, do-not-swim signs and professionalizing the local life guard brigades (Figure 2). The
209 project team was convinced that their swimming safety management plan was sufficient to assure a safe situation,
210 but seemingly failed to adequately assess the social dimension of the problem.

211 A group of local inhabitants – supported by a large political party – had a different view regarding *recreational*
212 *safety*, fearing that the Sand Engine would create a highly unsafe recreational situation. They claimed that the
213 project was *unacceptable* due to safety risks. They formed an action committee to oppose the initiative on the
214 internet and in public meetings. The supporting political party officially requested the project's cancellation in the
215 Dutch parliament.

216 The project team addressed the claims on *recreational safety*, and the ambiguity emerging between local
217 inhabitants and them, by acquiring more knowledge regarding *swimming conditions*. They commissioned high-
218 quality modeling studies in order to develop detailed scenarios of the 20-year morphological development of four
219 Sand Engine design alternatives. Furthermore, they proposed an extensive monitoring and evaluation program to
220 assess the development of the nourishment and its impacts.

221 These new studies proved to be partially accurate, predicting the shape of the Sand Engine as it developed;
 222 however, they underestimated the *speed* of the initial morphological development, which was higher than
 223 expected, probably because of the many storms that happened during the Sand Engine's first winter. Over time,
 224 the opposition generated by these miscalculations gradually reduced, partly because only few incidents with
 225 recreants with no major injuries were experienced (to the authors' knowledge), and the project was eventually able
 226 to be successfully implemented without significant time overrun or budgetary problems.



227

228 **Figure 2.** Uncertainty cascade associated with swimming safety

229 **Reflection on coping strategy.** Despite its potential impact, the project team did not account for the cascading
 230 effects of unpredictable *water conditions* into *recreation safety*. The team was confident about their management
 231 plans and convinced that the body of additional knowledge could suffice for addressing the safety concerns and
 232 the ambiguity that *swimming safety* raised among different actors. By ignoring this uncertainty cascade, the Sand
 233 Engine opponents' viewpoints were not attended to, and the ambiguity about *recreational safety* remained a
 234 potentially hampering factor during the whole developmental process. Another, more inclusive, and probably less
 235 risky response to uncertainty would have been to meet the opponents and jointly discuss actions on how to reach
 236 a sustainable solution with regard to the issue (Brugnach et al 2011).

237 B. Drinking water quality

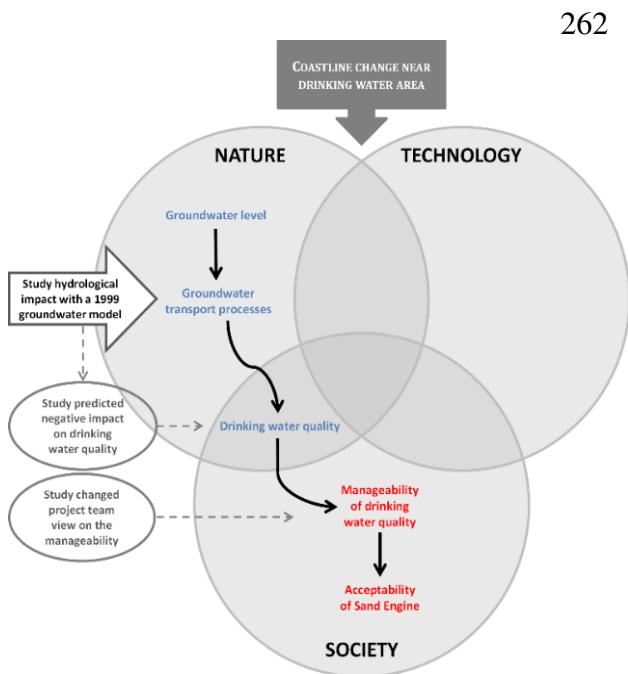
238 **Uncertainty cascade associated with drinking water quality, and their impact.** An important uncertainty cascade
 239 emerged from the lack of knowledge about how the construction of a major sand peninsula at a coastline could
 240 affect *groundwater levels* and *groundwater transport processes*, and eventually *drinking water quality*. As such,
 241 posing major concerns to the drinking water company, since the drinking water supply nearby could come in
 242 contact with non-potable saltwater or might even become polluted with waste present in the local dunes. This
 243 uncertainty cascade resulted in ambiguity between the drinking water company and the project team regarding the
 244 *manageability of drinking water quality*.

245 Being aware of a potential water quality problem, and based on the Environmental Impact Assessment, the project
 246 team was confident that the effects of the Sand Engine project on drinking water quality was manageable, if some
 247 minor mitigating measures were taken. However, the local drinking water company anticipated problems with the
 248 drinking water supply and demanded additional research, claiming that otherwise, they would file an official
 249 complaint – as the project would be unacceptable for them – which would cause significant delays.

250 **Coping strategies.** Initially, the project team unsuccessfully attempted to address the ambiguity emerging with the
 251 drinking water company, proceeding as follows:

252 "We gave proper answers [to the drinking water company]. Then [we made] the draft permit and exactly the same
253 questions popped up again from [the drinking water company]. And I really thought: 'how come?' [Our experts]
254 tell me that everything is fine... [However, it turned out that] the engineering company's and our knowledge just
255 wasn't sufficiently accurate."

256 Because the ambiguity between the two actors (project team and water company) remained troublesome when the
257 project needed to be completed, the project team eventually had to commission the research requested by the
258 drinking water company to avoid potential delays. Based on the information acquired from this research, it was
259 found that the concerns of the drinking company were legitimate and that additional mitigating measures were
260 needed (i.e., a drainage pipe with a pumping station). Ultimately, the project team acknowledged that the view of
261 the drinking water company was the correct one (Figure 3).



272 **Figure 3.** Uncertainty cascade associated with drinking water quality

273 **Reflection on coping strategy.** The initial passive response from the project team in addressing the eventual
274 impacts of the Sand Engine in water quality, was followed by the urgent need for addressing the controversial
275 demands of the water company, which in this case was met by gathering more and better information and finding
276 engineering solutions. Although these strategies allowed to successfully address the ambiguity (to the authors'
277 knowledge, no impact on the drinking water quality occurred), it nearly led to the cancellation of the project. In
278 fact, the late involvement of the drinking water stakeholder was pointed out as one of the main reasons that the
279 ambiguity eventually emerged:

280 "The drinking water [stakeholder] in fact also didn't want the [Sand Engine] because they weren't involved in
281 the project team... [The groundwater issues] could have influenced the design if it had surfaced [earlier]. Very
282 late in the process, it was acknowledged that [we] should take a closer look at it. In fact, for two reasons I think.
283 [The drinking water stakeholder] was never fully involved in the project team. And the other reason is: at some
284 point, we once had some workshop about monitoring and [the drinking water issue] was not mentioned [at that
285 occasion]."

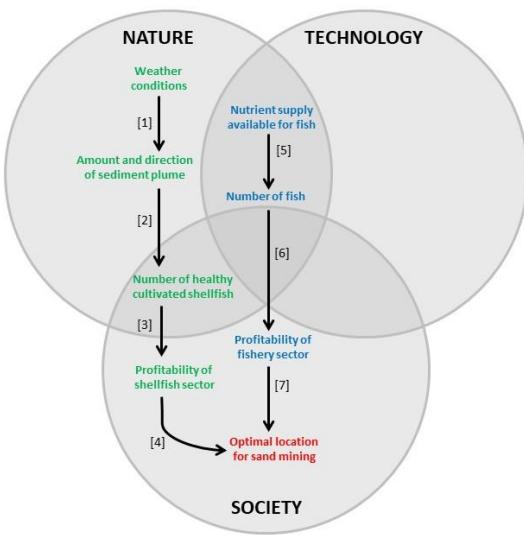
286 To avoid the potential negative effects of this ambiguity, a more inclusive, and less risky, coping strategy, would
287 have been to recognize the importance that water quality may have for the drinking water company and actively
288 involve this stakeholder at an early stage in the process, instead of doing so as the belated response to an
289 Environmental Impact Assessment stating that "agreements with this stakeholder need to be made".

290 **3.2 Safety Buffer Oyster Dam**

291 A few years before the launch of the Safety Buffer Oyster Dam project, a coalition of governmental parties and
 292 local stakeholders worked out some initial ideas about the Oyster Dam's future through small-scale projects. This
 293 preliminary work, carried out by an unusual coalition formed by two Dutch governmental agencies and a non-
 294 governmental environmental interest organization, not only served as a basis of the 2013 project, but also as a
 295 stimulus for stakeholders to actively participate in the initiative. The project commenced with a major stakeholder
 296 meeting, intended to come up with a list of stakeholder requirements that need to be taken into account as much
 297 as possible. Moreover, the project team formulated boundary conditions to protect stakeholders' interests: the
 298 Safety Buffer Oyster Dam was not allowed to adversely impact stakeholders and all unforeseen damage had to be
 299 fully compensated. The major concerns regarding the project's impact were associated with: **A. the sand mining**
 300 **location, B. shellfish health at the nourishment site, C. benthic organism health, from a nature**
 301 **conservationist and a fishermen's perspective, and D. fishing grounds at the nourishment site** (Van den Hoek
 302 et al 2014b). Below, we zoom in on the concern with regard to the sand mining location; the other three issues of
 303 concern are presented in the Supplementary Information B.

304 A. Sand mining location

305 **Uncertainty cascade associated with sand mining location, and their impact.** For a nourishment as large as the
 306 Safety Buffer Oyster Dam a considerable amount of sand is required. This sand is harvested through a process
 307 called 'sand mining', which consists of taking sand from an external location and then transporting it to the
 308 nourishment's site. These sand mining activities can potentially impact local fish and shellfish populations in the
 309 site of extraction, directly affecting two stakeholder parties, small-scale professional fishermen and the shellfish
 310 industry, triggering two different uncertainty cascades (Figure 4).



311

312 **Figure 4.** Uncertainty cascade associated with sand mining location. Adopted from Van den Hoek et al (2014a)

313 For small-scale professional fishermen, the particular spot within the Eastern Scheldt estuary where sand was
 314 mined could (temporarily) lose economic attractiveness due to the disturbance of the local fish habitat: the
 315 *profitability of the fishery sector* rests on the *number of fish* and so, on the *nutrients supply available for fish* which
 316 is affected by sand mining. A large part of the nutrients in the upper layer of the estuary bed was to be removed
 317 due to the mining activities. Although the impact on the fish habitat was presumed to be low, the extent to which
 318 the fish population would be influenced was highly uncertain.

319 Instead, for the shellfish sector, the impact of the sand mining activities were different. Dredging usually causes
 320 the formation of a plume of suspended sediment, under specific *weather and tidal conditions*, the *amount and*
 321 *direction of this sediment plume* might drift off towards commercially cultivated shellfish beds and cover oysters
 322 or mussels under a suffocating layer of sediment, affecting the *number of healthy cultivated selfish* as well as the
 323 nutrient-rich upper layer of a highly populated fish habitat near the mining area. The cascading effects of the

324 uncertainty associated with sand mining in uncertain weather conditions can have a great financial impact on the
325 *profitability of the shellfish sector.*

326 **Coping strategy.** The shellfish and fishing sectors had a specific view regarding the sand mining activities and
327 preferred a sand mining location with only a minor probability of undesired suspended sediment transport towards
328 their (shell)fish areas. Furthermore, they demanded mining activities to only take place during low tide. The project
329 team acknowledged the stakeholder concerns and invited both sectors to participate in the search for an appropriate
330 sand mining location. During this process, several alternative locations were proposed and rejected. Finally, a
331 consensus was reached between participants on the locations Wemeldinge and Lodijksche Gat. Furthermore, it
332 was agreed that the sand mining activities will only take place during favorable tidal and weather conditions and
333 impacts will be monitored extensively.

334 **Reflection on coping strategy.** Differently than in the Sand Engine, uncertainty coping strategies relied on
335 stakeholder participation and consensus seeking, namely: early involvement of stakeholders in a meeting to
336 determine stakeholder demands and preferences, and dialogues to find optimal sand mining location. All actors
337 involved agreed on the preferred sand mining location.

338 4. DISCUSSION

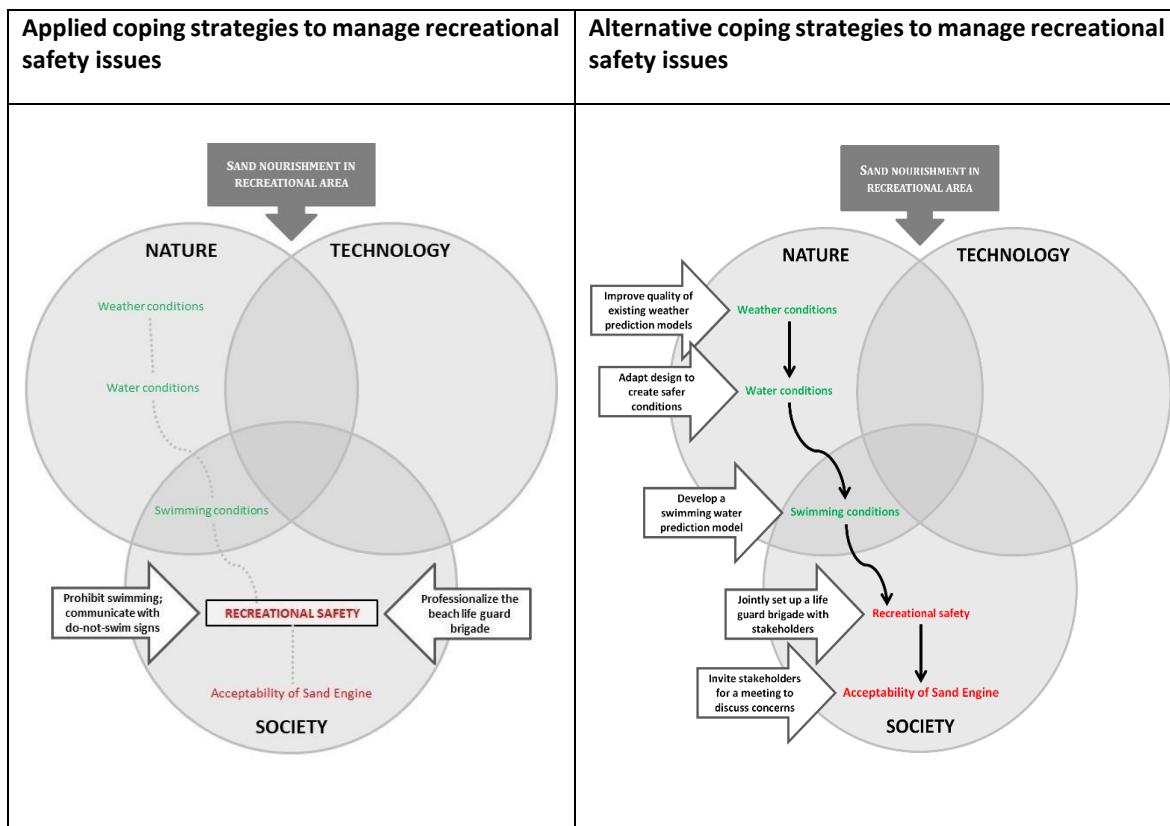
339 Here, we have used the *cascades of interrelated uncertainties* conceptual framework to analyze the role played by
340 uncertainty in the development and implementation of two BwN projects in coastal management. Building on the
341 framework's central premise which states that uncertainties are not independent but interrelated and influencing
342 one another generating cascading effects, we reflect on the coping strategies chosen, identify alternative
343 possibilities and explore the ways in which the management of uncertainty can improve the implementation of
344 BwN innovations. Our results indicate that project teams can benefit from the information that a cascade of
345 interrelated uncertainties provides, supporting the development of timely coping strategies, through the
346 identification of (diverse and substitutive) strategic alternatives, and the anticipation of undesirable effects. Below
347 we discuss our findings based on the two BwN projects we used as examples.

348 4.1 *Diversifying the possibilities of action for coping with uncertainty through interrelatedness and cascading 349 effects*

350 In the *cascades of interrelated uncertainties* conceptual framework, each identified uncertainty within a cascade
351 represents a potential point of intervention or facilitation for managing uncertainty. Here, uncertainties are
352 conceived as interdependent from one another, with their effects branching out through the cascade. For coping
353 with uncertainty, these cascading effects and the chain impacts they can generate, can constitute an advantage,
354 since alternative possibilities of intervention can be made available, increasing the opportunities of intervention
355 and strategy repertoire.

356 In the Sand Engine project, the issue of swimmer safety provides an ideal example of how the cascades of
357 interrelated uncertainties could have contributed to better uncertainty management during the project. While the
358 unpredictability associated with swimming safety was handled by carrying out additional studies regarding the
359 sea's physical swimming conditions, an early assessment of the cascades could have, instead, led to a better
360 understanding of the problem at hand, and thus to the identification of more effective strategies for coping with
361 uncertainties and their impact in project development. For example, in addition to the development of a swimming
362 water prediction model, early stakeholder involvement for the development of inclusive solutions able to take into
363 account swimmers views and needs (e.g., invite stakeholders to share their concerns, jointly set up a life guard
364 brigade with stakeholders instead of communicating swimming prohibition, etc.) would have helped to cope with
365 the rising ambiguity about the safety situation between swimmers and the project team (Figure 5).

366 An early assessment of the cascade of interrelated uncertainty would also have expanded the strategy repertoire
367 by exposing the relationships between the different uncertainties at an early project's stage, providing insights
368 about the social implications of swimming safety within the socio-political context in which the project was
369 running. Unpredictable swimming conditions were likely to affect stakeholders' views on safety, a priority issue
370 for managing the Dutch coast, one that could have potentially eroded the *acceptability* of the project to a point of
371 risking stopping the project for contributing to human unsafety.

373 **Figure 5.** Applied and alternative coping strategies for recreational safety374 **4.2 Anticipating and preventing undesirable outcomes**

375 Lying at the end of the cascades of interrelated uncertainties is ambiguity. As a different uncertainty type, it speaks
 376 for the different meanings that social actors attribute to the project. Ambiguity makes visible the differences in
 377 understanding and interests among stakeholders, throwing light on the pros and cons faced by those that are
 378 affected by it, and the potential social implications of a BwN intervention. Knowing what ambiguities are present
 379 or expected, gives essential information for supporting the successful development of a BwN project. Through our
 380 two case studies we learned that paying attention to ambiguity can help anticipate and prevent potential obstructive
 381 differences, as well as being prepared to face surprises. This, our results suggest, is better done proactively and at
 382 early stages of the project, before the effects of ambiguity become controversial and potentially negative.

383 For a project's development, ambiguity can be both a blessing and a curse. On the one hand, being aware of the
 384 multiplicity of valid meanings can help to understand an issue in its full complexity (e.g., what people really care
 385 about) and plan accordingly. As the following Sand Engine interviewee statement exemplifies for the case of
 386 swimming safety in the Sand Engine:

387 “*I think that [the action committee] helped us to sharply define the subject of swimmer safety... Due to them, it
 388 was put high on our agenda... I am not sure if we would have done so well without that group. I actually do not
 389 know that. Safety is always on top. Always. But such a group helps you to give it additional [attention].*” On the
 390 other hand, ambiguity can point to lack of agreements or conflicts, which if not timely addressed, can become a
 391 hampering factor for the implementation of a BwN project (Van den Hoek et al 2012).

392 In the Sand Engine project, ambiguities regarding swimming safety and water quality, at first instance unnoticed
 393 by the project team, posed major threats to the project's implementation. Whereas an early assessment of the
 394 cascades of interrelated uncertainties could have been very valuable, in aligning the project development with
 395 people's views and needs, in practice the project team did not fully understand the subject until the opposition had
 396 already emerged, losing the possibility of preventing potential severe conflict. Differently, the project team
 397 invested great effort in addressing the ambiguities regarding *shape and location of the Sand Engine* concerning

398 the issue of harbor accessibility (explained in Supplementary Information A.1), and *project's attractiveness for*
399 *constructors* concerning economic attractiveness (explained in Supplementary Information A.2), as they
400 considered them to be an imminent risk to the implementation of the project. In doing so, undermining the power
401 of small stakeholders.

402 In the Safety Buffer Oyster Dam project, ambiguities were addressed very differently. From an initial stage, the
403 project team took an inclusive and dialogical approach in bringing different sectors and interest groups, which
404 influenced how they were able to cope with the emerging ambiguities. This is reflected, for example, in the
405 agreements reached with the shellfish and fishing sectors regarding the preferred sand mining location, and the
406 conditions for mining (see concern 1: sand mining location). Or, in how they tackled the unexpected opposition of
407 the oyster sector concerning the eventual impacts of sediment transport in shellfish health (explained in
408 Supplementary Information B.1). Or, in how the project team resolved the discontent regarding the potential lost
409 benthic organisms, raised by local amateur environmental interest groups and fishermen, going beyond what they
410 legally obliged to do to maintain good quality relationships (explained in Supplementary Information B.2). Or, in
411 how the project team compensated the fisherman that just wanted to fish (explained in Supplementary Information
412 B.3). So, even though ambiguities could have risked the project development in any of these cases, the project
413 team had the capacity to integrate them as part of the project design and openly and collectively cope with and
414 learn from them. As one representative of the fishermen sector stated:

415 “[The state water authority] just took that up very well at the Oyster Dam and figured prudently that we again
416 had a major interest there. And [they] just called us for consultation in the initial stages... You can oppose the
417 project and just try to stop it. Insist [that you have] your permit and say: ‘[look], we just don’t want it’... Or you
418 could indeed think along from the beginning to come to a joint solution. And then we always prefer the latter.”

419 **4.3 Adapting strategies: from knowledge gathering to learning to agree**

420 The two cases studied showed distinct ways of addressing ambiguity. In the Sand Engine, those responsible for
421 the project mainly relied on strategies that aimed at gathering more knowledge. For instance, to address the
422 concerns and ambiguity associated with swimming safety, the project team commissioned high-quality model
423 studies in order to develop detailed scenarios of the 20-year morphological development of four Sand Engine
424 design alternatives. Furthermore, an extensive monitoring and evaluation program was set up to assess the
425 development of the nourishment and its impacts. Similarly, to address the differences in views with the drinking
426 company about potential water pollution, the project team had to commission more research. In both situations,
427 the project team mediated actors’ differences by improving the factual knowledge base. While the strategy of
428 gaining more or better knowledge (to reduce uncertainty) worked well in these two situations, predicting the
429 behavior of such complex systems may not always render good results, particularly under the presence of
430 ambiguity (Brugnach et al 2011).

431 As BwN projects are driven by unpredictable natural dynamics, system conditions can change at any time – even
432 after project implementation – and an uncertainty management approach that proved to be very effective might
433 eventually fall short due to an unanticipated surprise. Thus, this suggests that it is important that those responsible
434 for the development of a BwN project have the capacity to adapt their uncertainty management approach if needed.
435 In the Sand Engine project, the best models and experts available were used to formulate trustworthy forecasts
436 regarding the project’s future developments and impacts. This resulted in adequate predictions of the development
437 of the Sand Engine’s shape, forecasts needed for applying swimmer safety measures and essential information
438 about the impacts of the project on the drinking water supply. However, the future can never be forecasted
439 flawlessly in BwN projects. An interviewed expert stated the following regarding this issue:

440 “Now, the Sand Engine was calculated using a coastal morphology model. But I think, off the cuff, that there are
441 like 10 reasons why that model is not [accurate]. That is, among other things, because you model on the very long
442 term. So inevitably you have to simplify particular things... you take a sort of annual average as model input...
443 run [the model] for 20 years and get an outcome. [But] particular things are modelled less accurately. Storms
444 that occur once in a while... So the expectation is just simply that processes could go much faster than we predicted
445 using those coastal morphology models... What does [the Sand Engine] do in case of a storm? Then you observe,
446 of course, that it goes much faster.”

447 As a flood protection solution, the nourishment still develops in a promising way and it continues to be
448 scientifically studied. Furthermore, the concept of the Sand Engine has been adopted in other places, like for
449 example, by the Bacton to Walcott coast landscaping scheme in the UK in 2019 (RoyalHaskoningDHV, 2020).

450 Differently, in the Safety Buffer Oyster Dam project, the focus for coping with uncertainty was on addressing
451 ambiguity (e.g., ambiguity about the impacts on the shellfish and fishermen sector) via participatory processes,
452 instead of improving the scientific knowledge base. The project team sought the agreement among actors about
453 the best course of action and, as a result, reduced the urgency to acquire more knowledge about the speed and
454 extent of the benthos recovery during project development. In this case, differences in understanding and interests
455 among the actors were discussed, negotiated, and agreed, with no further need of additional research. To this end,
456 the project team purposely invested in closely engaging with the pertinent actors, an inclusive strategy that
457 improved their relationships and mutual understanding, increasing the acceptability of the project as well as
458 avoiding present conflicts and becoming prepared to avoid future ones. Interpreting this situation via the cascades
459 of interrelated uncertainties, the initial need for improving the incomplete knowledge of the shellfish and fishermen
460 sector was addressed through reframing what actors considered to be important about the project: a learning
461 opportunity that may have initial adverse impact on the benthos, where no more knowledge was needed on
462 beforehand.

463 Many are the scholars that advocate early and active participation of a diversity of actors as an important means
464 to cope with uncertainty and ambiguity leading to better and more legitimate decisions in the end (e.g., Newig et
465 al 2005, Van der Keur et al 2008, Renn et al 2011, Brugnach 2017, Josephs and Humphries 2018). However, actor
466 engagement in itself is not a magic bullet for improving decision making (Ward et al 2020). This is an activity that
467 requires a thorough inquiry regarding who participates and in which role (Quick and Feldman 2011) and how these
468 processes of participation are organized (Lepenies et al 2019, Brugnach et al 2021), considering that participation
469 may bring strategic and controversial behaviors (e.g., the oyster sector in the Safety Buffer Oyster Dam,
470 Supplementary Information B, case B1) that may influence project development (Brugnach and Ingram 2012,
471 Cutroneo et al 2014). Compared to existing uncertainty conceptualizations, the concept of *cascades of interrelated*
472 *uncertainties* add the comprehensive analytical means to identify those uncertainties – and especially those
473 ambiguities – that are unknown but could become a hampering factor in a project’s development process.
474 Assessing a cascade of interrelated uncertainties at an early stage in a project provides the insight to proactively
475 anticipate potential ambiguity. If it is clear which ambiguities can be expected to arise, based on genuine concerns
476 or strategically motivated actions, a cascade of interrelated uncertainty provides essential insight into which actors
477 to actively involve during a project’s development process.

478 **5. CONCLUSION**

479 Here, we have investigated, if and how, managing uncertainties via the *cascades of interrelated uncertainties*
480 conceptual framework improves the governance capacity for implementing coastal management projects based on
481 BwN design principles. At its very core, the cascades of interrelated uncertainties bring the science of nature-based
482 solutions and stakeholder experiences together, and our results indicate that doing so yields major benefits for
483 uncertainty management, supporting project development and its successful implementation: generating more
484 flexibility in managing under unknown conditions, being able to anticipate conflict, providing opportunities of
485 creating new supporting relationships and alternative solutions.

486 Based on the two case studies analyzed here, we have identified different ways in which the *cascades of*
487 *interrelated uncertainties* conceptual framework can help policy- and decision-makers strategizing coping
488 mechanism for dealing with the uncertainty and associated risks of these complex solutions:

- 489 • Taking advantage of the multiplicity of uncertainty types associated with a concerning issue or problem, their
490 relationships and their cascading societal effect, widens the strategies available to manage uncertainty within
491 a BwN project, and can assist those responsible to adaptively anticipate any development that occurs over
492 time.
- 493 • The uncertainty cascades can, already at an early stage of a project’s development, provide an overview of
494 the many potential coping strategies, where each uncertainty in the cascade represents a potential node of
495 intervention or facilitation.
- 496 • Acknowledging that there are multiple fundamentally different, yet interrelated, uncertainties associated with
497 a problem or issue of concern, means that coping with a particular uncertainty will influence also those to
498 which it is related.
- 499 • If a particular strategy for coping with uncertainty fails or predictions turn out to be incorrect, the other
500 uncertainties in the cascade can provide alternative opportunities of intervention, offering the chance to adapt
501 the uncertainty management approach.

- 502 • Under this framework, not only what is factually known (or not known) counts, but also people's interests,
503 beliefs and knowledge, and the discrepancies that differences in views may trigger.
504 • Ambiguities are a distinct type of uncertainty considered in this conceptual framework. Explicitly addressing
505 them enables a proactive inclusive approach towards the development of strategies and the creation of
506 mutually beneficial opportunities, with the long term benefit of community building. In collective decision-
507 making settings, ambiguity can help anticipate and prevent conflicts.
508 • Resolving ambiguity can also help reduce the importance of other uncertainties, opening new ways for
509 addressing uncertainty, like for example, via participatory processes instead of doing so through more data
510 collection. Early involvement, listening to and recognizing others can be potent tools to cope with ambiguity.

511 Our conclusion is that the *cascades of interrelated uncertainties* conceptual framework provides comprehensive
512 analytical means for the design of an adaptive uncertainty management approach matching the unpredictable
513 dynamics of NbS projects. Compared to existing conceptualizations of uncertainty, this framework provides a step
514 forward in the understanding of the uncertainties that are faced in NbS, their cascading effects and the eventual
515 hampering role they may exert in a project and society. It acknowledges that our knowledge, no matter how good
516 it is, is inseparable from the people that are involved in decision-making. A particularly important point, if we
517 want NbS that are technically sound and socially inclusive for the adaptation to climate change.

518 **6. COMPETING INTERESTS**

519 The authors declare no competing interests

520 **7. DATA SHARING PLANS**

521 Interviews questions could be shared. However, due to confidentiality protocols, interviews results cannot be
522 shared.

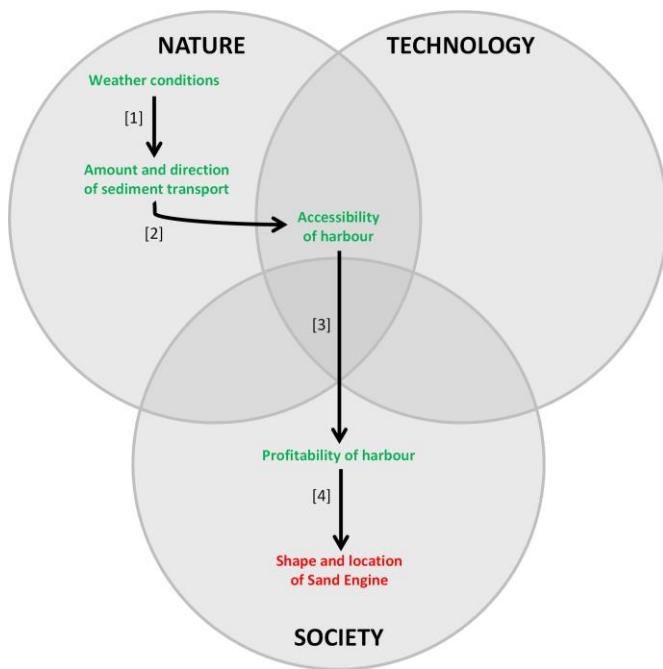
523 **Supplementary Information A**

524 **A1. Harbor accessibility**

525 ***Uncertainty cascade associated with harbor accessibility, and their impact.*** At early stages of the project, there
 526 were concerns about the impact that the Sand Engine peninsula could have on the profitability of the Scheveningen
 527 Harbor. Local politicians of the municipality of The Hague were anxious that the nourished sediment could
 528 potentially lead to an increasingly shallow harbor entrance, hindering its activities and eventually having an
 529 unpredictable negative financial impact. Unpredictable *weather conditions* could impact the *amount and direction*
 530 of *sediment transport*, and with it the *accessibility and profitability of the harbor*. This resulted in an uncertainty
 531 cascade that culminated in ambiguity regarding what the best *shape and location of the Sand Engine* would be
 532 (Figure 1 (A1)). The project team was very well-acquainted with the impacts of these cascading effects, and their
 533 importance for the implementation of Sand Engine.

534 ***Coping strategy.*** Being aware that the negative impacts on the harbor were a definite “no go” for the
 535 aforementioned politicians, the project team knew that cooperation with The Hague’s municipality was required
 536 for a successful implementation of the project. So, to address the differences in views regarding the optimal
 537 *location and shape of the Sand Engine*, the project team adopted a strategy of persuasive communication (*sensu*
 538 Brugnach et al., 2011), proving and convincing local politicians that no harm would be done to the activities of
 539 Scheveningen Harbor. This was accompanied by a proper management plan written with ample attention for how
 540 to act in case of an accessibility problem.

541 ***Reflection on coping strategy.*** The ambiguity regarding the *location and shape of the Sand Engine* was addressed,
 542 at an early stage of the project development, by making a proper, and collectively agreed, management plan. As a
 543 result, this particular ambiguity was effectively terminated as a potentially hampering factor in an early stage of
 544 the Sand Engine’s development process.



545

546 **Figure 1 (A1).** Uncertainty cascade associated with harbor accessibility. Adopted from Van den Hoek et al
 547 (2014a).

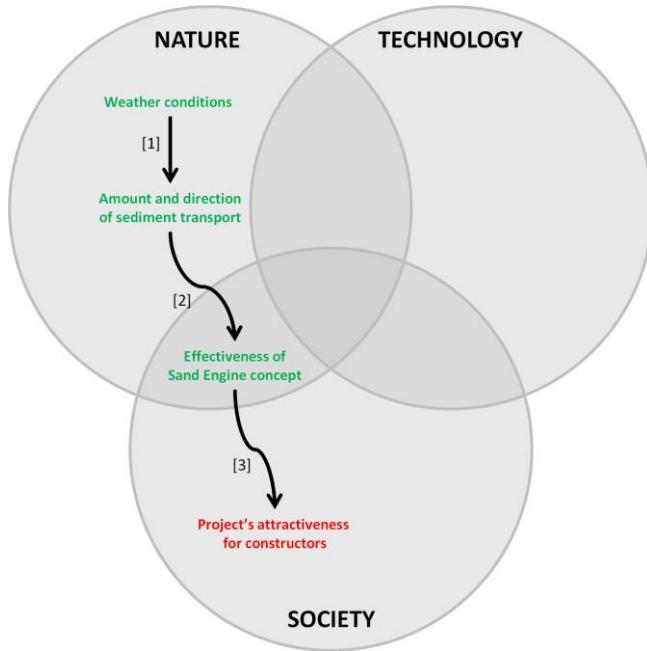
548 **A2. Economic attractiveness**

549 ***Uncertainty cascade associated with economic attractiveness, and their impact.*** The unpredictable nature of the
 550 Sand Engine dynamics, and the uncertainty associated with *weather conditions* and *amount and direction of*
 551 *sediment transport*, brought into question the *effectiveness of the Sand Engine concept* (Figure 2 (A2)). This
 552 concern heightened due to the need for fixing the budget and sand volume required for the Sand Engine at an early

553 stage of development. The project team soon realized that this might lead to ambiguity about the *project's*
554 *attractiveness for constructors* (dredging companies). Since, while the innovative Sand Engine project might be
555 seen as a valuable marketing instrument for a dredging company in order to attract (inter)national customers (i.e.,
556 the view of the project team), the dredging company responsible for the project's construction would only earn
557 half of the price normally received for a Holland Coast nourishment. It was unclear if potential constructors would
558 view the project as a major opportunity or a risky and unprofitable business.

559 **Coping strategy.** To cope with this uncertainty, the Sand Engine project team started a lobby with the major Dutch
560 dredging companies as early as possible in order to quickly assess the project's feasibility. In the end, this lobby
561 gave a positive result, and the potential ambiguity between the project team and the constructors was successfully
562 prevented.

563 **Reflection on coping strategy.** From an early stage, the project team actively worked on increasing the project's
564 *attractiveness for constructors*, preventing the downfall of this ambiguity. While they could have avoided it by
565 designing a smaller Sand Engine (cheaper solution) or by increasing the budget (more expensive for the
566 government, but more profitable for the constructor), the project team opted for facing the dredging companies by
567 communicating and lobbying, and taking the risks of working within the constrained budget. As it turned out, the
568 project was carried out as planned - with the desired size in volume - and was successfully doable within the
569 available budget.



570

571 **Figure 2 (A2).** Uncertainty cascades associated with economic attractiveness. Adopted from Van den Hoek et al
572 (2014a).

573 **Supplementary Information B**

574 **B1. Shellfish health at nourishment site**

575 ***Uncertainty cascade associated with shellfish health at nourishment sites, and their impact.*** The oyster sector
576 and – to a lesser extent – the mussel sector was concerned about the planned nourishment and the activities to
577 acquire the nourishment sand. Due to these activities, sand was likely to become suspended in the water column.
578 It was uncertain how this suspended sediment would behave and where it would be transported. If the sediment
579 cloud would drift off towards the commercially cultivated shellfish beds, these mussels and oysters would certainly
580 be suffocated by an excess of sediment (Figure 1 (B1)). While the mussel sector did not oppose the project and
581 participated during project meetings, the oyster sector initially did not participate – although they were invited for
582 all relevant meetings and received all project documentation – and did not join any meeting for indistinct reasons.

583 Instead, in May 2011, representatives of the oyster sector started opposing the Safety Buffer Oyster Dam project
584 in the regional media, characterizing it as an unacceptable fatal blow for the oyster industry. After a polemic
585 between the project team and the oyster sector that lasted until September 2011, the actors finally agreed on having
586 a meeting and negotiated that the initial Safety Buffer design would be discarded in favor of a jointly developed
587 new design. Although the oyster sector indicated that their opposition was based on substantive arguments, several
588 interviewees (implicitly) suggested that the opposition was strategically motivated and aimed at obtaining a
589 substantial financial compensation. As a result, the project team initially underestimated the urgency of the
590 situation and made incorrect assumptions regarding the oyster sector:

591 “*Then you can thus wonder: ‘how come that you didn’t bring [each actor from] the shellfish sector into play from
592 the start?’ [Well:] with the shellfish sector, we were already busy a few doors away. And we did not see that this
593 [topic] could be so sensitive.*”

594 **Coping strategy.** The project team did not expect any problems and was stunned by the oyster sector’s opposition,
595 because next to the Safety Buffer Oyster Dam project, they were on speaking terms in several different contexts.
596 Additionally, an initiative was started to develop experiments with new oyster breeding techniques, which was a
597 long-cherished wish of the oyster sector. These considerations resulted in the project team’s overestimation of the
598 oyster sector’s commitment to the Safety Buffer Oyster Dam project: they were convinced that the oyster sector
599 was “completely on board”.

600 According to several interviewees, the described issue of concern did not so much arise from the project’s contents
601 but mainly from issues with the development process itself. An initial project plan and a design concept were
602 already created at a very early stage of the development. These plans were on the shelf for quite some time and no
603 one communicated about it. For outsiders, such as the oyster sector, this course of action probably led to rumors
604 and a feeling that the project was already set in stone.

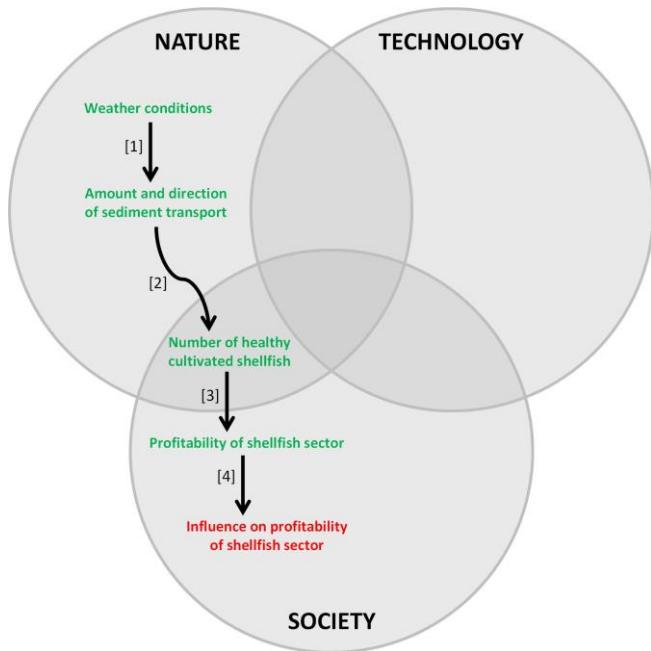
605 While the description above might give the impression that it was all a participation problem caused by the project
606 team’s negligence, nearly all interviewees indicated that the oyster sector might have created an ambiguous
607 situation on purpose. Their intention might have been to create room to negotiate, as the oyster sector was
608 suspected to have a strong desire for the construction of a fresh water inlet in the Eastern Scheldt estuary, which
609 would increase oyster breeding productivity significantly (and about which ultimately a deal was made in yet
610 another caucus).

611 In order to cope with the ambiguity, first, the CEO’s of the project team’s governmental organizations and the
612 involved municipality aligned their position with regard to the problem. Thereafter, on the CEO level, the project
613 team’s governmental organizations and the chairman of the oyster sector had several (in)formal meetings
614 concerning the issue, for instance at an oyster tasting party and at an art exhibition. This however resulted in a
615 polemic about whether these meetings had a formal status or not.

616 In the end, one of the aforementioned CEO’s and the chairman of the oyster sector had a formal meeting to discuss
617 the situation and their differences, and negotiated that the initial design concept would be discarded. Thereafter,
618 all participants - project team and stakeholders - jointly developed a new design for the Safety Buffer Oyster Dam.
619 Furthermore, they agreed that the impacts of the initiative would be monitored extensively. Despite this being a
620 major issue, it fortunately did not impact the project’s lead time in the end.

621 **Reflection on coping strategy.** Despite good intentions of the project team, the oyster sector was not optimally
622 involved and acted strategically (e.g., by skipping meetings and involving the media). Eventually, the opposition
623 was decreased successfully by diplomacy and the decision to initiate a new participatory design process.

624



625

626

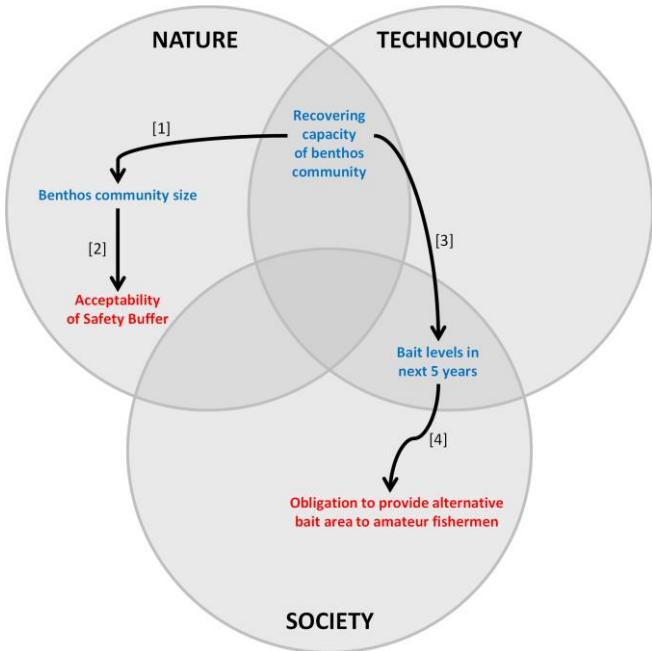
627 **Figure 1 (B1).** Uncertainty cascade associated with the shellfish health at nourishment site. Adopted from Van
628 den Hoek et al (2014a).

629 **B2. Benthic organism health, from a nature conservationist and fishermen's perspective**

630 *Two uncertainty cascades associated with the benthic organism health, and their impact.*

631 *From a nature conservationist perspective*

632 The tidal flat reconstruction of the Safety Buffer Oyster Dam project required nourishing a thick layer of sand on
633 top of the existing flat, where so-called benthic organisms or benthos are living. The nourishment would probably,
634 if not certainly, disturb their habitat and result in their death due to suffocation (*benthos community size*). In the
635 years after the nourishment, the area is expected to be gradually reclaimed by benthic organisms from other parts
636 of the Eastern Scheldt, although at the time knowledge regarding the speed and extent of such recovery (*recovering*
637 *capacity of the benthos community*) was still incomplete. While the described issue was an acceptable outlook for
638 the project team, the initial adverse impacts were unacceptable for a local amateur environmental interest group
639 (*acceptability of safety buffer*).



640

641 **Figure 2 (B2).** Uncertainty cascade associated with the benthic organism health, and their impact. Adopted from
 642 Van den Hoek et al (2014a).

643 **Coping strategy.** In order to cope with this ambiguity, representatives from both parties had several meetings to
 644 have in-depth discussions about the project, its intentions and expected impacts. These meetings revealed that the
 645 interest group's assumptions about the project deviated from the actual design. Because the meetings clarified the
 646 goals and intentions of the project, the interest group seized their opposition and the ambiguity was resolved.
 647 Additionally, acquiring more knowledge about the speed and extent of the benthos recovery was no longer a
 648 requirement of the interest group. Thus, by successfully coping with the ambiguity, the incomplete knowledge
 649 related to the speed and extent of the benthos recovery became less important and lost its meaning in the decision-
 650 making situation. Instead, the interest group even embraced the learning opportunity the Safety Buffer Oyster Dam
 651 project offered and fully accepted the initial adverse impact on the benthos:

652 “What strikes me is that I eventually had a quite positive feeling about it. That assessment [by the project team]
 653 is not so bad after all... One of the goals was ‘to learn’. I find that quite amusing. Well, if we never do [a pilot
 654 project like this], then of course, we can never reply how we should do [such a project] when it is really [necessary
 655 in the future]. So I think that’s something positive. So, then you sacrifice a few hundred thousand beach worms.
 656 Well, fine.”

657 **Reflection on coping strategy.** In order to cope with this ambiguity, the local amateur environmental interest group
 658 was properly informed about the project, its intentions and development process by the project team. Thereby, the
 659 opposition was eliminated by successfully adapting the group's frame regarding the project, causing the
 660 incomplete knowledge about the issue of concern to become insignificant in the decision-making situation.

661 *From a fishermen's perspective*

662 An organization representing amateur fishermen viewed the issue of the benthic organisms, discussed above, from
 663 a different perspective. Specific benthic organisms (i.e., worms) are used as bait by amateur fishermen. For amateur
 664 fishermen with a modest income, manually harvesting worms as bait is essential for their hobby: buying bait is
 665 rather expensive. The Oyster Dam tidal flat was one of the best locations for harvesting these worms at the time,
 666 but half of these organisms were certainly going to die due to the plans to nourish half of the existing tidal flat.
 667 The time frame within which the bait level was expected to be restored to its initial value was highly uncertain,
 668 but it was expected that it would take at least 5 years for harvesting to resume.

669 Thus, the uncertainty associated with incomplete knowledge about the *recovery capacity of benthos communities*
 670 would lead to uncertainty about *bait levels in the next five years*, bringing about the ambiguous *obligation to*
 671 *provide alternative bait areas to amateur fisherman* (Figure 2 (B2)). While the amateur fishermen organization

672 rightfully claimed that an alternative bait area should be provided to them as they have a permit, the project team
673 pointed out that this was not legally required for projects executed for flood safety purposes in the Netherlands.

674 **Coping strategy.** The project team identified the ambiguity on the obligation to provide alternative bait areas for
675 the amateur fishermen organization at an early stage. They preferred to avoid a conflict situation and so, decided
676 to propose an alternative bait area. Of course, the amateur fishermen organization appreciated the open-mindedness
677 of the project team and agreed with the proposal that they would get an alternative area. Actually, the amateur
678 fishermen organization had a very proactive attitude in order to resolve the matter. As soon as they realized that
679 the Safety Buffer Oyster Dam project might negatively affect the fishermen's activities, the organization initiated
680 a search for an alternative area which they could propose to the project team. After agreement of the authorities,
681 the amateur fishermen were allowed to harvest worms in several areas in which that was forbidden at the time, as
682 a test to find out if these areas could serve as reasonable alternatives. One of these areas was eventually formally
683 appointed as the new harvesting area.

684 **Reflection on coping strategy.** An agreement about an alternative bait area was reached through open
685 communication and a proactive problem-solving attitude of both parties. As such, the ambiguity was solved and
686 the amateur fishermen organization refrained from taking legal actions.

687 B3. Fishing grounds

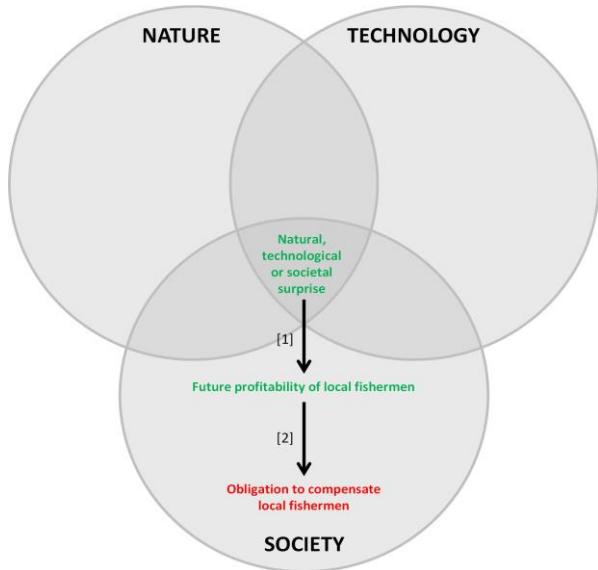
688 *Uncertainty cascade associated with fishing grounds at the nourishment site, and their impact.*

689 A number of commercial fishing grounds, for which an official permit was given, were located at the north side
690 of the nourishment area. It was almost certain that a part of the fishing grounds would be filled with sand to a large
691 extent, causing it to – at least temporarily – become unfit for commercial fishing activities. The involved
692 commercial fishermen insisted on receiving some form of (financial) compensation beforehand, but indicated that
693 this demand was not about the money: "*a fisherman just wants to fish and does not require to gain, but he certainly
694 is not willing to suffer a loss*". Others had doubts about the true motives of the commercial fishermen. Some of
695 the fishing grounds in question were not used for over 10 years at the time, so in that case the fishermen would not
696 suffer any financial consequences at all due to the Safety Buffer Oyster Dam project. Nevertheless, as many
697 unpredictable events could occur – such as an economic crisis or a political decision changing the spatial use of
698 the Eastern Scheldt estuary – it could not be ruled out for certain that, at some point in the near future, it could
699 become a dire necessity for the fishermen to resume their use of the specific fishing grounds this issue concerns.

700 Summarizing, the ambiguity about whether there was an *obligation to compensate local commercial fishermen*
701 resulted from uncertainty about the impact of the Safety Buffer Oyster Dam project on the *future profitability of*
702 *the local commercial fishermen*. This profitability could be positively or negatively influenced by *natural,*
703 *technical or societal surprise* (Figure 3 (B3)).

704 **Coping strategy.** The involved commercial fishermen were very serious about their claim, as they explicitly
705 indicated that they would pursue legal actions if compensation was not agreed upon before the start of the
706 nourishment works. Such a legal procedure could have caused a considerable delay. So, the project team let the
707 fishermen participate in the creation of the plans and, more importantly, started negotiation with the involved
708 commercial fishermen to find alternative fishing grounds. The search for an alternative area was quite a challenge,
709 as the Eastern Scheldt estuary is a 'busy' water in which many stakeholders already have their own dedicated spot
710 and uses. Eventually, the project team offered the commercial fishermen a compensating area.

711 **Reflection on coping strategy.** An agreement about an alternative fishing area was reached through negotiating
712 alternatives. This was a strategy that not only served to settle a new fishing ground location, but also led the
713 fishermen to refrain from taking legal actions.



714

715 **Figure 3 (B3).** Uncertainty cascade associated with fishing grounds at the nourishment site, and their impact.