

# Environmental Implications of Soil Erosion and Sediment Yield in Lake Hawassa Watershed, South-central Ethiopia

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## Research

**Keywords:** Lake Hawassa, sediment yield, soil erosion, sediment retention

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2 **Ethiopia**

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29 **Abstract**

30 **Background:** Assessing soil erosion, sediment yield and sediment retention capacity of watersheds is one of the  
31 under researched areas in watersheds of developing countries like Lake Hawassa watershed. The study examined  
32 soil erosion, sediment yield and sediment retention and their environmental implications in Lake Hawassa  
33 watershed. The quantification and mapping was carried out using Integrated Valuation of Ecosystem Services and  
34 Tradeoffs (InVEST) model. Data such as Land Use Land Cover (LULC), Digital Elevation Model (DEM), rainfall,  
35 soil, and management practice were used as input parameters.

36 **Results:** The empirical analysis confirmed that the watershed has a total soil loss of about 5.27 Mt annually. The  
37 mean annual erosion rate from the watershed was estimated to be 37 t ha<sup>-1</sup> yr<sup>-1</sup>. The estimated erosion rate was  
38 greater than the maximum tolerable erosion limit in Ethiopia (2-18 t ha<sup>-1</sup> yr<sup>-1</sup>). The total amount of sediment which  
39 was exported to the nearby streams and lakes in the watershed was estimated to be 1.6 t ha<sup>-1</sup> yr<sup>-1</sup>. The water bodies  
40 receive a total of 226,690.3 t of sediment annually. Although higher soil loss and sediment export per unit of area  
41 were estimated from the highest slope gradients, greater contributions to the total soil loss and sediment export were  
42 computed from slopes with 5-30% gradients. In terms of LULC, the highest contribution to the total soil loss was  
43 computed from cultivated land while the highest rate of soil loss per hectare was observed from bare land. Due to  
44 the existing vegetative cover, a total of 18.65 Mt (130.7 t ha<sup>-1</sup> yr<sup>-1</sup>) of sediment was retained. Vegetation-covered  
45 LULCs such as forest, woodland, shrub land, and agroforestry revealed the highest sediment retention capacity. As a  
46 result of the increasing soil erosion and sediment yield in the watershed, a drying of a small lake and the rise in the  
47 water level of Lake Hawassa were identified.

48 **Conclusion:** Most of the soil loss and sediment yield were contributed by small part of the watershed. Thus, the  
49 results underscore the urgent need for targeted soil and water conservation measures of various types to ensure  
50 sustainability of the watershed resources.

51 **Key words:** Lake Hawassa, sediment yield, soil erosion, sediment retention

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57 **1. Introduction**

58 Lakes and the associated watershed resources have various environmental and economic benefits (ILEC, 2003;  
59 Huang and Cai, 2009). Despite their multifaceted importance, lake and their watersheds are facing growing threats  
60 due to increasing anthropogenic pressures (Holdren et al., 2001; Olson and Maitima, 2006; Huang and Cai, 2009).  
61 The major threats that lake watersheds are facing today are soil erosion and the associated sedimentation problems.  
62 Soil erosion is a main global environmental problem and it is a major concern in developing countries including the  
63 sub-Saharan African countries (Lal, 2001; Borrelli et al., 2017).

64 Soil erosion and the associated sediment yield have many environmental repercussions and have received the  
65 attention of many scientists (Ionita et al., 2015). It has the impacts of reducing ecosystem services and functions  
66 (Angassa, 2014; Haregeweyn et al., 2012, 2015). In addition, it has not only on-site impacts of increasing soil  
67 nutrient loss and reduced productivity of land (Pimentel, 2006; Haregeweyn et al., 2008, 2015, 2017; Fenta et al.,  
68 2020) but also has off-site impacts like damaging of infrastructure and deposition of sediment in downstream water  
69 resources (Tamene et al., 2011; Haregeweyn et al., 2017). Soil erosion and the resulting sedimentation have also  
70 undesirable impacts on water holding capacity, water quality and recreational value of downstream lakes and  
71 reservoirs (LIA, 2011; Haregeweyn et al., 2012; deNoyelles and Kastens (2016), Desta and Lemma, 2017; Issaka  
72 and Ashraf, 2017).

73 A quantified estimation of soil erosion and sediment yield is very important to better understand the impacts of land  
74 use or climatic changes (Ambers, 2001; Navas et al., 2009) and helps to address the problems through planning  
75 (Xiaoqing, 2003). Although there are many studies on sediment yield estimations at global level (Jansson, 1988;  
76 Syvitski and Milliman, 2007), the number of studies in tropical environments, particularly in sub-Saharan Africa, is  
77 generally scanty (Vanmaercke et al., 2010). In addition, most of the studies on erosion and sediment yield conducted  
78 so far have focused mainly on the use of sophisticated instruments and well experienced experts in data-rich  
79 environments. Such approaches are largely less practical in the context of developing countries such as Ethiopia,  
80 where there is data scarcity and lack of experienced experts (Haregeweyn, et al., 2012).

81 In Ethiopia, studies show that soil erosion and the resulting sediment yield are common problems (Hurni, 1993;  
82 Bantider, 2007; Erkossa et al., 2015; Gelagay, 2016; Desta and Lemma, 2017; Haregeweyn, et al., 2017). However,  
83 the levels of erosion and sediment yield reported have shown spatial variation depending on the type of soil, climate,

84 topography, population density and farming and management practices. Such variations signify that site-specific  
85 studies and locally adaptable erosion and sediment mitigation strategies are necessary in order to minimize the  
86 impacts of accelerated erosion and sedimentation. Additionally, although the studies indicate that soil erosion and  
87 related sediment yield in the country have been leading to various environmental problems, there were very limited  
88 studies which were conducted on the estimations of erosion, sediment yield and retention capacity in the rift-valley  
89 lake watersheds of Ethiopia.

90 Thus, this study was conducted in an environmentally fragile watershed of Lake Hawassa, which is located in the  
91 south-central rift-valley region of Ethiopia. The lake and its watershed resources play significant role in supporting  
92 the livelihoods of many people. However, the watershed is currently exposed to various pressures due to  
93 uncontrolled anthropogenic activities. The expansion of small- and large-scale farms, conversion of wetlands in to  
94 various land uses, and rapid expansion of population and unplanned settlements have been leading to the growing  
95 deterioration of land and water resources in the watershed (Dessie, 2007; Van Dijk, 2016; Degife et al., 2019). There  
96 were studies conducted on the degradation of Lake Hawassa (Geremew, 2000; Gebre-Mariam and Desta, 2002;  
97 Gebreegziabher, 2004; Esayas, 2010). However; the source, magnitude, and spatial distribution of soil erosion,  
98 sediment yield and sediment retention capacity at watershed scale have not been sufficiently studied. This has made  
99 it difficult to understand the impacts of anthropogenic activities on land and water resources of the watershed. It is  
100 scientifically proved that identifying the magnitude and spatial variation of sources of pressure on natural resources  
101 are the major requirements for making proper conservation planning and management (FEI, 2003). Hence, the  
102 purpose of this study is to quantify and map the spatial variations of erosion and sediment yield and examine their  
103 environmental implications in Lake Hawassa watershed. This will help to understand the degree of stress on natural  
104 resources and make informed decision before irreversible damage happen to the Lake and the associated watershed  
105 resources.

## 106 **2. Materials and methods**

### 107 **2.1. Study site description**

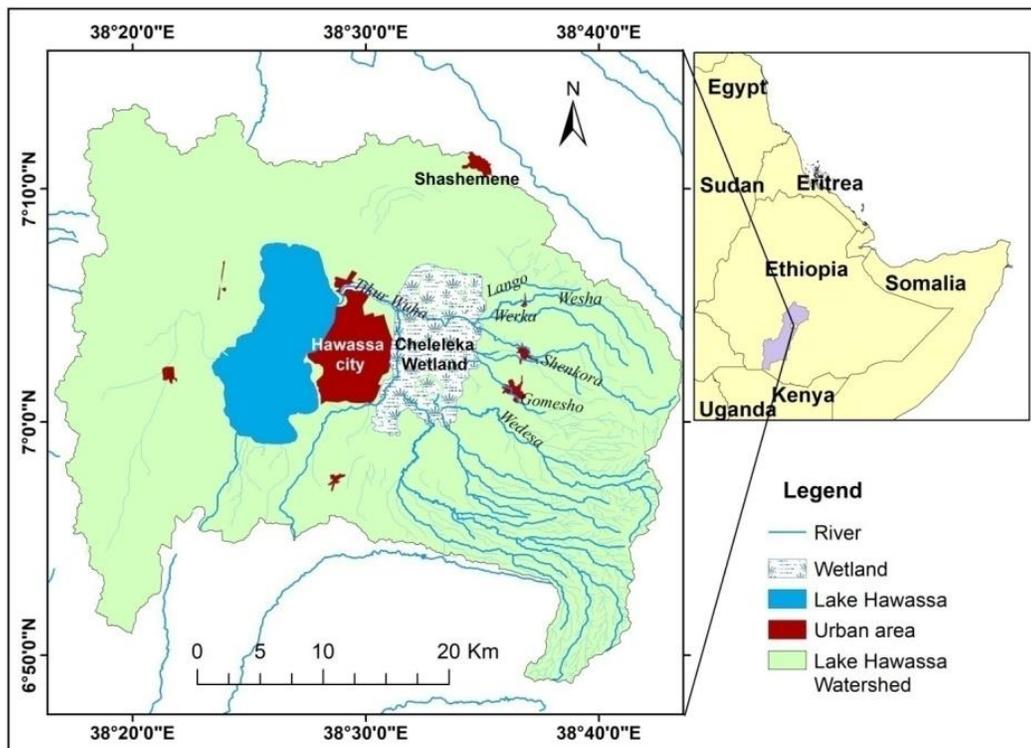
108 Lake Hawassa watershed is located in a closed drainage system with an area of 142,661ha. Its formation is  
109 associated with the tectonic activity which formed the Great East African Rift System and the Lakes Region in  
110 Ethiopia (Chorowicz, 2005; Macgregor, 2015). Geographically, the watershed is situated between 6° 45'N and 7° 15'  
111 N Latitude and 38° 15' E and 38° 45' E Longitude (Fig. 1). The dominant landscapes characterizing the watershed

112 are the volcanic mountains forming the surrounding escarpments and flat plains lying at the foothills of the  
113 mountains. In terms of elevation, the watershed ranges from 1680m to 2550m above sea level.

114 Prior to early settlement and agricultural land expansion, the watershed was predominantly covered by *Podocarpus*  
115 *falcatus* and *Juniperus procera* in the moist *Woina Dega* (moist mid-highland) and by acacia and shrubs in the Dry  
116 *Woina Dega* (dry mid- highland) (Dessie, 2007). The watershed consists of important ecosystems such as lakes and  
117 wetlands and small streams (Fig. 1). The streams flow from the eastern escarpment and later collected into one  
118 major river called Tikur Woja that finally joins Lake Hawassa.

119 The watershed is characterized by high population growth. According to the estimate by the Federal Government of  
120 Ethiopia (Ministry of Water, Irrigation and Energy (MoWIE)), in 2007, the total Population of the watershed was  
121 estimated to be 839,585, of which 23% was urban. In 2020, the projected population, by Rift valley Lakes Basin  
122 Master Plan Studies, was 2,491,295.

123 The dominant economic activity in the watershed was agriculture, which was characterized by subsistence level  
124 mixed cropping with some commercial farming and livestock production.



125

126

**Fig. 1** Location map of the study area

## 127 **2.2. Data analysis tool**

128 Various supporting models are available for quantifying and mapping erosion and sediment yield in a watershed  
129 (Morgan, 2009; Biggs et al., 2015; Farhan and Nawaiseh, 2015; Karabulut et al., 2016; Redhead et al., 2016;  
130 Schmalz et al., 2016). Some of the models have little data requirements (e.g. USLE) and others are sophisticated  
131 which require intensive data and resources (e.g. WEAP) (Sharp et al., 2018). The choice of a model is dependent up  
132 on the requirement and availability of input data and the type of output required. Hence, considering the serious data  
133 scarcity in the study area, InVEST model was selected to quantify and map runoff, erosion and sediment yield for  
134 this study.

135 The InVEST model estimates the relative contributions of sediment from each parcel of a landscape in a spatially  
136 explicit manner, offering insight into how changes in LULC patterns affect the annual sediment yield. However, the  
137 model has some limitation as it is based on annual averages, which disregard extremes and sub-annual patterns of  
138 sediment delivery (Sahle et al., 2018; Sharp et al., 2018). However, the model still provides a useful assessment of  
139 how landscape scenarios may affect the annual delivery of sediment (Sharp et al., 2018). Compared to other  
140 sophisticated and data intensive models, InVEST model was preferred for this study due to its requirement of less  
141 number of input parameters, availability of the required input spatial data and its compatibility with various GIS  
142 data. Most importantly, the model uses the Revised Universal Soil Loss Equation (RUSLE) and some of the input  
143 parameters of the RUSLE equation were calibrated for the Ethiopian context (Hurni, 1985) which can readily be  
144 used in the model. Above all, very limited studies in Ethiopia (e.g. Sahle et al. (2018)) and probably no other studies  
145 in Lake Hawassa watershed were conducted employing this model.

## 146 **2.3. Data used**

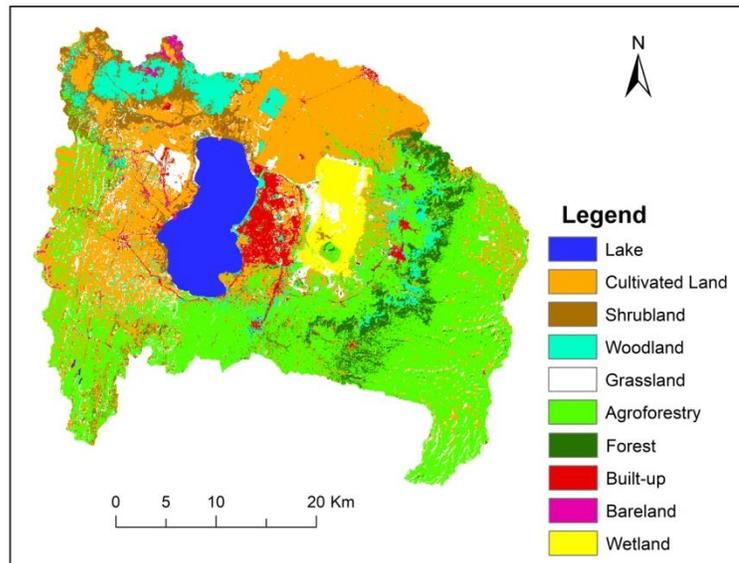
147 Various factors including LULC, soil, topography, climate, and management and support practices affect the rate of  
148 soil erosion, sediment yield and sediment retention in a watershed. In this study, multiple data including spatial and  
149 non-spatial such as field observation with the purpose of triangulating data were utilized. The data used in the study  
150 includes watershed boundary, LULC data, rainfall erosivity (R-factor), soil erodibility factor (K-factor), DEM, and  
151 biophysical table.

### 152 **2.3.1. Watershed Boundary**

153 A shapefile of Lake Hawassa watershed was one of the inputs to InVEST model. It was extracted from the DEM  
154 using ArcGIS and used to determine the boundaries of the watershed.

155 **2.3.2. LULC data**

156 In the sediment delivery ratio module of InVEST model, a raster LULC dataset with an integer LULC code for each  
157 cell is required. The LULC dataset was extracted from Landsat image 2017 which was downloaded from USGS  
158 website ([http:// earth explorer.usgs.gov](http://earthexplorer.usgs.gov)) (fig. 2). Before classifying; image sub-setting, layer stacking, and image  
159 enhancement were made as image pre-processing. The LULC dataset was then created by employing supervised  
160 classification using maximum likelihood algorithm in ERDAS IMAGIN 2014 environment (Fig.2). The accuracy of  
161 the LULC classification was 93% with over all kappa of 0.90.



162  
163 **Fig. 2** LULC map of Lake Hawassa Watershed

164 **2.3.3. Rainfall erosivity (R-factor)**

165 The Rainfall erosivity (R) factor is the power of rain to initiate soil erosion. It is the energy of a given storm that  
166 depends on the amount, duration, intensity, energy and size of rain drops, pattern of rainfall and rate of the resulting  
167 runoff (Renard et al., 1997; Farhan and Nawaiseh, 2015). It is considered as the most prominent factor that affects  
168 soil erosion and sediment yield (Wischmeier and Smith, 1978). It is derived from rainfall intensity records of an area  
169 (Kouli et al., 2009; Renard et al., 1997). However, such data are not readily available at weather stations of most  
170 third world countries, including Ethiopia, owing to lack of automatic rain gauges (Hurni, 1985). Hence, R-factor is  
171 alternatively estimated from the long-term mean annual rainfall values of a watershed (Renard et al., 1997). In this  
172 study, R-factor was computed based on the regression equation developed by Hurni (1985) for the highlands of  
173 Ethiopia (Eq.1).

174 
$$R = -8.12 + (0.562 * P)$$
 Equation (1)

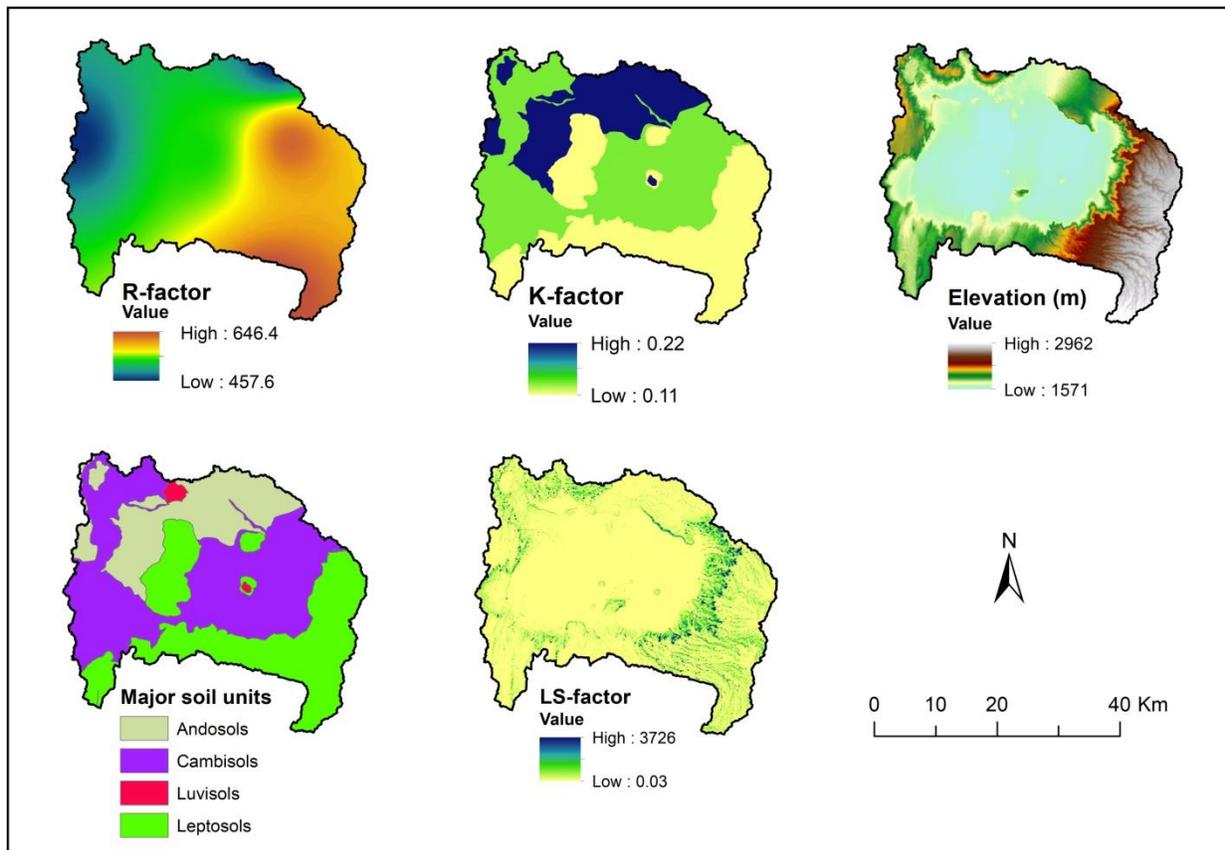
175 Where, R is the rainfall erosivity factor and P is the mean annual Precipitation (mm).

176 In the process of calculating R, first, the daily average precipitation data was summed to obtain the mean annual  
177 rainfall amount (in mm) at each station. However, since the annual rainfall erosivity value significantly fluctuates at  
178 spatial and temporal scales, a minimum of 15 years of data is required to achieve proper estimates of rainfall  
179 erosivity of a watershed (Panagos et al., 2015; Yusuph and Dagne, 2019). The variation in altitude may also cause  
180 the variation in the distribution of rainfall. Hence, to adequately consider the variation of rainfall in the entire  
181 watershed, more than 30 years of rainfall data from 6 weather stations (from within and around the watershed with  
182 varied altitudinal locations) were used following a method employed by Wolka et al. (2015), Esa et al (2018), and  
183 Yusuph and Dagne (2019).

184 Therefore, the mean annual rainfall data at each station was used to generate gridded rainfall data using inverse  
185 distance weighted (IDW) interpolation technique in ArcGIS 10.3. The IDW geo-statistical interpolation method was  
186 preferred because it makes it easier to generate relatively accurate rainfall gridded data from known sample points  
187 located at closer distances than those located far from the points of unknown values. The method was also selected  
188 for the reason that it enables better interpolation of the required data from grid based irregularly spaced samples (Li  
189 and Heap, 2008).

190 Finally, the raster rainfall data which was generated using the IDW method was used to compute rainfall erosivity  
191 (R) raster data using eq. 1 in a raster calculator of ArcGIS. A similar approach was used to compute R factor in  
192 Ethiopia by Bewket and Teferi (2009), Shiferaw (2011), Wolka et al. (2015), Esa et al. (2018), and Yusuph and  
193 Dagne (2019). The computed R value ranged from 457.6 to 646.4 MJ mm ha<sup>-1</sup>h<sup>-1</sup>year<sup>-1</sup> (Fig. 3).

194



**Fig. 3** Spatial distributions of R-factor, K-factor, Elevation, Major soil units, and LS-factor

195  
196

#### 197 2.3.4. Soil erodibility (*K-factor*)

198 One of the requirements for InVEST model is soil erodibility data. Soil erodibility (*K*) is the biophysical and  
 199 chemical properties of the soil indicating the susceptibility of soil to erosion (Renard et al., 1997; Farhan and  
 200 Nawaiseh, 2015; Panagos et al., 2015). The *K-factor* reveals the ease with which the soil is removed by splash and  
 201 surface flow. It also indicates the effect of soil properties on soil loss and the susceptibility of soil to erosion.

202 Literatures reveal that various approaches have been used by scholars to determine erodibility of soil depending on  
 203 data availability (Hurni, 1985; Romkens et al., 1997). For instance, a study by Hurni (1985) indicated that *k-factor*  
 204 can be determined depending on soil texture, organic matter content, permeability, grain size distribution and other  
 205 factors. In the present study, due to paucity of data, the *K-values* for each soil type were determined by using the  
 206 values adopted by the Ethiopian Rift valley Lakes Basin Master Plan Studies from Hurni (1985). The soil units'  
 207 spatial data of the watershed was extracted from the Rift valley Lake Basin Master Plan study. The soil data contains  
 208 four dominant soil units namely; Andosols, Cambisols, Luvisols and Leptosols (Fig. 3).

209 Finally, the soil erodibility (K) map of the watershed having a grid size of 30 m was produced using ArcGIS 10.3  
210 “Spatial Analyst” tool. The K value ranges from 0 to 1, where 0 indicates less and 1 reveals high susceptibility to  
211 erosion risk (Farhan and Nawaiseh, 2015). The K-factor values for the soil types of Lake Hawassa watershed were  
212 presented in Table 1 and Fig. 3.

213 **Table 1** Soil erodibility factor

Soil type	K-factor
Andosols	0.2
Cambisols	0.13
Luvisols	0.11
Leptosols	0.22

214  
215 **2.3.5. Digital Elevation Model (DEM)**  
216 One of the requirements for RUSLE equation was LS factor. A DEM with 30m spatial resolution which was  
217 corrected by filling-in sinks was used as a major input to InVEST model to calculate slope length (L) and slope  
218 gradient (S) in sediment delivery calculations. The LS-factor is a combined factor that indicates the effects of slope  
219 length and slope gradient and determines the velocity and volume of runoff and the transport of soil particles  
220 (Prasannakumar et al., 2012). The steepness and length of slope determines the rate of soil erosion (Gashaw et al.,  
221 2017), through greater accumulation of runoff (Wischmeier and Smith, 1978).

222  
223 In RUSLE, the LS-factor represents a ratio of soil loss per unit area on a site to the corresponding loss from a  
224 “standard” 9% slope steepness and 22.13 m long plot (Renard et al., 1997; Kaltenrieder, 2007). LS-factor increases  
225 with slope length and slope gradient. The higher the value of LS-factor of a land the higher will be the velocity and  
226 erosive power of runoff (Wischmeier and Smith, 1978; Renard et al., 1997).

227  
228 Since it is difficult to make direct field measurements to determine LS-factor in a complex topography, the InVEST  
229 model computes the slope LS value from the input DEM. In line with this, many studies suggest using DEM in the  
230 calculation of LS-factor (Moore and Wilson, 1992; Mitasova and Mitas, 1999; Simms et al., 2003; Yusuph and  
231 Dagneu, 2019). Hence, in this study a 30m spatial resolution SRTM DEM was used as input for the calculation of  
232 LS factor.

233 As indicated by Sharp et al. (2018), InVEST model calculates the LS-factor from the input DEM using an equation  
234 developed by Desmet and Govers (1996):

235 
$$LS_i = S_i \frac{(A_{i-in} + D^2)^{m+1} - A_{i-in}^{m+1}}{D^{m+2} * x_i^m * 22.13^m}$$
 Equation (2)

236 Where,  $S_i$  represents the slope of a grid cell computed as function of slope radians  $\theta$ , with  $S = 10.8 \cdot \sin(\theta) + 0.03$   
 237 for  $\theta < 9\%$  while  $S = 16.8 \cdot \sin(\theta) - 0.50$  for  $\theta \geq 9\%$ ;  $A_{i-in}$  represents the contributing area in  $m^2$  at the inlet of a grid  
 238 cell which is computed based on the d-infinity flow direction method;  $D$  indicates the grid cell linear dimension in  
 239  $m$ ;  $x_i = |\sin ai| + |\cos ai|$  where  $ai$  stands for the aspect direction for grid cell  $i$ ;  $m$  is the RUSLE slope length  
 240 exponent of LS factor which is based on Oliveira et al. (2013), where:  $m = 0.2$  for slope  $\leq 1\%$ ,  $m = 0.3$  for  $1\% <$   
 241 slope  $\leq 3.5\%$ ,  $m = 0.4$  for  $3.5\% <$  slope  $\leq 5\%$ ,  $m = 0.5$  for  $5\% <$  slope  $\leq 9\%$ , and  $m = \beta / (1 + \beta)$  where  $\beta = \sin$   
 242  $\theta / 0.0986 / (3 \sin \theta^{0.8} + 0.56)$  for slope  $> 9\%$ .  
 243 Finally, the calculated LS-values range from 0.03 in low flow concentration level slope land to 3,725.98 in very steep  
 244 slope areas (Fig.3).

245 **2.3.6. Biophysical table**

246 For the calculation of erosion and sediment yield, a “.csv” table containing information on cover-management and  
 247 support practice factors corresponding to each of the LULC classes was required. In the table, rows were LULC  
 248 classes and columns were named as “lucode”, “rusle\_c” and “rusle\_p”, where they represent land use code, land  
 249 cover and management factor, and support practice factor, respectively.

250 The “lucode” was land use code of a unique integer for each LULC class (e.g., 1 for cultivated land, 2 for  
 251 agroforestry, etc.) which was matched to the LULC raster input.

252 The “rusle\_c” values indicate how the covers of the land types (such as cultivated land, agroforestry, etc.) affect soil  
 253 loss (Renard et al., 1997; Haregeweyn et al., 2017). Determining “rusle\_c” values entails data related to soil  
 254 management condition, the nature of plant canopy and crop residues as a soil cover, soil surface roughness, and the  
 255 level of soil moisture. However, estimating each of these parameters was difficult due to paucity of data (Renard et  
 256 al., 1997; Farhan and Nawaiseh, 2015). In most cases, LULC map and normalized difference vegetation index  
 257 (NDVI) are used for “rusle\_c” value estimation (Karaburun, 2010; Lin et al., 2017). In this study, the LULC map  
 258 approach was selected since it gives comparatively precise “rusle\_c” value than the NDVI (Lin et al., 2017). To  
 259 assign C-factor value for each LULC class, the raster data was converted to vector format using ArcGIS10.3. The

260 “rusle\_c” values were assigned based on literature suggestions for the highlands of Ethiopia (see table 2). The values  
 261 were floating point values between 0 and 1.

262 **Table 2** Adopted values of “rusle\_c” factor for different LULC classes

LULC type	“rusle_c” values	References
Lake	0	Girma and Gebre (2020)
Cultivated land	0.15	Hurni (1985), Bewket and Teferi (2009)
Shrub	0.05	Tamene et al. (2014), Haregeweyn et al. (2013)
Woodland	0.06	Eweg and van Lammeren (1996)
Forest	0.01	Hurni (1985); Zerihun et al. (2018)
Grassland	0.05	Hurni (1985), Bewket and Teferi (2009);
Agroforestry	0.06	Eweg and van Lammeren (1996)
Wetland	0.001	Wischmier and Smith (1978); Hurni (1985) and Kaltenrieder (2007)
Bareland	1	Eweg et al. (1998); Hurni (1985)
Built-up	0.05	Moges and Bhat (2017)

263  
 264 The “rusle\_p” factor reveals the role of land conservation practices in minimizing the level of soil erosion (Renard et  
 265 al., 1997). It is determined by the type of conservation measures implemented in the field. However, the “rusle\_p”  
 266 factor is the least reliable factor due to the difficulty in measuring the characteristics of conservation practices in the  
 267 field (Renard et al., 1991). In fact, some soil and water soil conservation measures have been practiced in the  
 268 watershed. However, the observed conservation practices in the field were either scanty, poorly designed and  
 269 implemented or totally damaged due to poor follow-up and maintenance. As a result, it is difficult to use these  
 270 support practices as an input data to determine soil erosion in the watershed. Hence; as suggested by Wischmeier  
 271 and Smith (1978), Hurni (1985), Sharma et al. (2011), and Yusuph and Dagne (2019); “rusle\_p” values of various  
 272 LULC classes were used.

273 To this end, the watershed was classified into cultivated land and other LULC types as recommended by  
 274 Wischmeier and Smith (1978). In addition, as suggested by scholars who carried out similar studies in the Ethiopian  
 275 context (Gelagay and Minale, 2016; Esa et al., 2018; Gashaw et al., 2018; Yusuph and Dagne, 2019), cultivated  
 276 lands were further categorized into six slope classes (Table 5) for the reason that land management activities are  
 277 highly dependent on slope classes. Then, the cultivated lands under each slope class were given p-values while the  
 278 remaining LULC classes were assigned with a uniform default value of 1 based on the literatures’ recommendation.

279 The resulting values vary between 0 and 1 with the lower values indicating a comparatively better soil erosion  
 280 control measures (Table 3).

281 **Table 3** Adopted values of “rusle\_p” factor  
 282

LULC	Slope category	“rusle_p” factor	References
Cultivated land	0-5	0.10	Wischmeier and Smith (1978), Bewket and Teferi (2009), Gelagay and Minale (2016), Esa et al. (2018), Gashaw et al. (2018); Yusuph and Dagnew (2019).
	5-10	0.12	
	10-20	0.14	
	20-30	0.19	
	30-50	0.25	
	50-100	0.33	
Non-cultivated LULCs	All	1	

283

284 **2.4. Model structure**

285 InVEST sediment yield model helps the mapping and quantification of annual soil erosion, sediment export and  
 286 sediment retention in a watershed. It calculates soil erosion and sediment delivery in a spatially-explicit manner  
 287 working at the spatial resolution of the input DEM raster. For each cell of the output data, the model primarily  
 288 calculates the amount of eroded soil and then computes the sediment delivery ratio (SDR), which is the amount of  
 289 soil loss that reaches a watershed’s outlet (Sharp et al., 2018). The approach was developed by Borselli et al. (2008)  
 290 and has received an increasing attention in recent years (Cavalli et al., 2013; López-vicente et al., 2013).

291 **2.4.1. Annual soil erosion**

292 To determine the amount of annual soil loss on pixel  $i$ ,  $rusle_i$  ( $t\ yr^{-1}$ ), the model uses the Revised Universal Soil  
 293 Loss Equation (RUSLE) (Sharp et al., 2018). The equation estimates water-caused soil loss for varying climatic,  
 294 soil, and topographic conditions. Since its development, RUSLE has been continuously improved to more precisely  
 295 calculate soil loss and to adapt to varying range of geographic areas. The equation is widely applied and is explained  
 296 by the following equation (eq.3) (Renard et al., 1997):

297 
$$rusle_i = R_i * K_i * LS_i * C_i * P_i$$
 **Equation (3)**

298 Where,  $rusle_i$  is the average annual soil loss in  $t\ ha^{-1}\ yr^{-1}$ ;  $R_i$  is the rainfall erosivity in mega joules millimeter per  
 299 hectare per hour per year [ $MJ\ mm,\ (ha^{-1}\ h^{-1}\ year^{-1})$ ] which is derived from daily precipitation data;  $K_i$  is the soil  
 300 erodibility factor in ton hectare hour hectare<sup>-1</sup> megajoule<sup>-1</sup> millimeter<sup>-1</sup> ( $t\ ha^{-1}\ h\ MJ^{-1}\ ha^{-1}\ mm^{-1}$ ) which is derived  
 301 from data on soil types;  $LS_i$  is the slope length-gradient factor which is the length of the slope and percent of the  
 302 slope steepness derived from DEM (dimensionless);  $C_i$  is the land cover and management factor (dimensionless)

303 which is derived from LULC classification of satellite image data; and  $P_i$  is the support practice factor which  
 304 accounts for soil erosion control measures (dimensionless) derived from literature.

#### 305 2.4.2. Annual sediment export

306 The sediment export is the proportion of soil loss reaching the nearby streams (Sharp et al., 2018). The model  
 307 estimates the exported sediment based on the work by Borselli et al. (2008). Since the estimation of SDR at each  
 308 pixel is determined by the upslope area and downslope flow path, the model first computes the connectivity index  
 309 ( $IC$ ) which is given by the following equation:

$$310 \quad IC = \log_{10}\left(\frac{D_{up}}{D_{dn}}\right) \quad \text{Equation (4)}$$

311  $D_{up}$  is the upslope component and given by:

$$312 \quad D_{up} = \bar{C}\bar{S}\sqrt{A} \quad \text{Equation (5)}$$

313 Where,  $\bar{C}$  is the mean  $C$  factor of the upslope contributing area;  $\bar{S}$  is the mean slope gradient of the upslope  
 314 contributing area; and  $A$  is the upslope contributing area in  $m^2$ , which the model delineates based on the D-infinity  
 315 flow algorithm (Tarboton, 1997; Sharp et al., 2018).

316 The downslope component ( $D_{dn}$ ) is defined as:

$$317 \quad D_{dn} = \sum_i \frac{d_i}{C_i S_i} \quad \text{Equation (6)}$$

318 Where  $d_i$  is the length (in m) of the flow path along the  $i^{\text{th}}$  cell based on the steepest downslope direction;  $C_i$  and  $S_i$   
 319 represent the  $C$  factor and the slope gradient of the  $i^{\text{th}}$  cell, respectively. The model determines the downslope flow  
 320 path is using the D-infinity flow algorithm (Tarboton, 1997; Sharp et al., 2018).

321 Then, the model computes the SDR ratio for a pixel  $i$  from the connectivity index ( $IC$ ) based on Vigiak et al. (2012):

$$322 \quad SDR_i = \frac{SDR_{max}}{1 + \exp\left(\frac{IC_0 - IC_i}{k}\right)} \quad \text{Equation (7)}$$

323 Where  $SDR_{max}$  is the maximum hypothetical SDR, set to an average value of 0.8 (Vigiak et al., 2012), and  $IC_0$  and  $k$   
 324 are calibration values that determine the shape of the SDR- $IC$  relationship (increasing function) (Sharp et al., 2018).

325 The sediment load from a given pixel  $i$ ,  $E_i$  ( $t\ ha^{-1}yr^{-1}$ ) is given by:

$$326 \quad E_i = usle_i * SDR_i \quad \text{Equation (8)}$$

327 The total sediment load from the watershed,  $E$  ( $t\ ha^{-1}yr^{-1}$ ) is given by:

$$328 \quad E = \sum_i E_i \quad \text{Equation (9)}$$

329 **2.4.3. Annual sediment retention**

330 For estimating the sediment retention service that the watershed provides, the model uses as a benchmark a  
331 hypothetical scenario where the whole watershed is cleared to bare soil. The value of the sediment retention service  
332 is then estimated based on the difference between the sediment export from this bare soil watershed and that of the  
333 watershed under the existing land management and vegetative cover (Sharp et al., 2018).

334 **2.5. Data analysis techniques**

335 In order to combine the datasets and run the model, all the input data were set to the same spatial resolution,  
336 projection and reference system. The Landsat image and the DEM used in this study were with 30m cell sizes and  
337 all the remaining data were processed to the same cell size and reference system. After preparing and arranging the  
338 input data using ERDAS IMAGIN 2014 and ArcGIS 10.3, all the parameters were combined using InVEST 3.8.9  
339 model to generate final estimated values of soil erosion, and sediment yield and retention.

340

341 The outputs from the InVEST sediment yield model included the amount of sediment eroded in the catchment, the  
342 sediment retained by the vegetation and topographic features as well as the sediment load beyond the retention  
343 capacity of the vegetation and topographic features which are delivered to a water body at an annual time scale.  
344 These outputs are important to estimate the regulatory capacity of the watershed's LULC for soil erosion and  
345 sediment protection services, which are important in studying the management of lake, reservoir and water quality in  
346 streams (Sharp et al., 2018).

347 Finally, the spatial distribution of the estimated mean annual soil erosion and sediment export and retention were  
348 presented using maps and tables. The computed results were categorized in to different intensity classes and ranges  
349 of soil loss, and sediment export and retention rates following literature recommendations such as FAO guideline  
350 (FAO, 2006) and personal expertise, with some adjustment to fit local circumstances as depicted in Table 4. In  
351 addition, the spatial variations in rates of soil erosion and sediment export and retention in different LULC  
352 categories and slope classes were computed by using the zonal statistics tool of ArcGIS 10.3.

353 **2.6. Validation of model results**

354 Due to lack of measured data specific to the study area, the validity of the model outputs were compared with the  
355 results of other studies conducted in Ethiopia. In addition, field observations were conducted to identify a severely

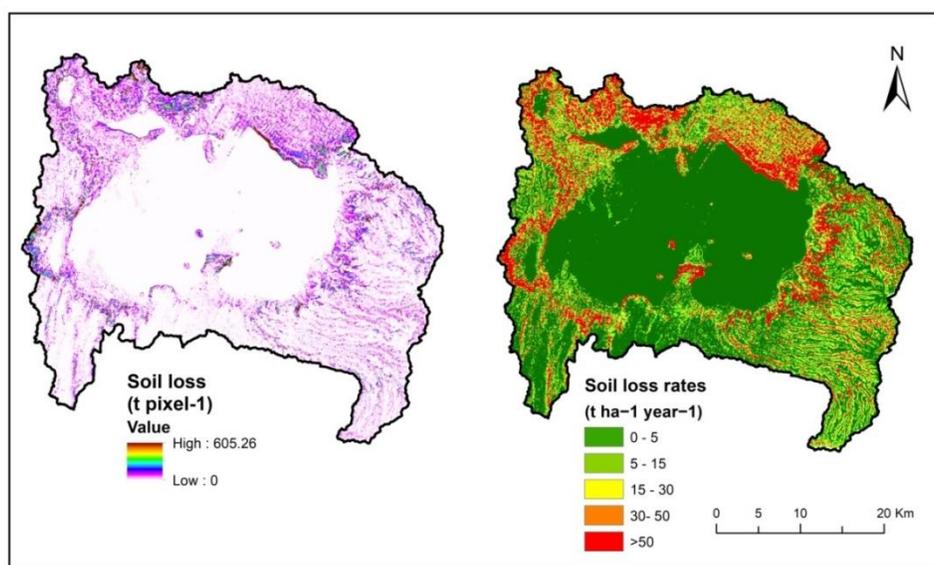
356 erosion affected areas. The field visits were accompanied by color printed model output maps of soil erosion,  
 357 sediment yield and retention maps to prove it on the ground.

### 358 3. Results

#### 359 3.1. Soil erosion in Lake Hawassa watershed

##### 360 3.1.1. Spatial variation of annual soil loss in the watershed

361 The soil loss estimation in each pixel ranged from 0 to 605 t pixel<sup>-1</sup> (1 pixel = 0.09 ha) (Fig. 4). The computed total  
 362 annual soil loss from the watershed was 5,275,201 t (37 t ha<sup>-1</sup> yr<sup>-1</sup>). As demonstrated in Fig. 4 and Table 4, the  
 363 annual soil loss was categorized into five erosion intensity classes. The result in the table indicated that 54.8% of the  
 364 watershed was affected by very slight rates of soil erosion, while 15.7% and 9.9% of the watershed experience slight  
 365 and moderate rates of soil loss, respectively. The remaining 19.6% of the watershed had severe and very severe soil  
 366 loss rates (Table 4). The result also reveals that majority of the soil loss (83.1%) was contributed by very small area  
 367 (13.7%) of the watershed which experience high erosion rates per unit of area.



368  
 369 **Fig. 4** Soil erosion rate in Lake Hawassa watershed

370 **Table 4.** Severity classes, area coverage, magnitude and rates of annual soil erosion

Soil loss rates (t ha <sup>-1</sup> year <sup>-1</sup> )	Severity classes *	Area (ha)	Percent of total	Estimated annual loss (t)	Percent of total loss
<5	Very slight	78,178.1	54.8	80,425.5	1.5
5-15	Slight	22,328.1	15.7	189,940.6	3.6
15-30	Moderate	14,124.7	9.9	295,228.2	5.6
30-50	Severe	8,440.8	5.9	323,644.0	6.1
>50	Very sever	19,589.3	13.7	4,385,962.7	83.1
Total		142,661	100.0	5,275,201.0	100.0

371 \*This classification was made based on FAO (2006), Haregeweyn et al. (2017) and Yusuph and Dagne (2019)

372 **3.1.2. Spatial variation of annual soil loss along slope classes**

373 The soil erosion rate varies with slope. The result in table 5 reveals that 83.4% of the watershed is situated on slope  
 374 <15% and only 16.5% of the watershed is situated on the steeply sloping terrain (slope >15%). The result also  
 375 indicated that areas with medium slope gradients have the highest contribution to the total annual soil loss compared  
 376 to areas with lower and higher slope gradients. For instance, 67.8% of the soil loss was contributed by the slope  
 377 ranging between 5 and 30%. In addition, the result in the table revealed that the mean soil loss per unit of area (ha)  
 378 increased linearly with increasing slope gradient. As shown in table 5, the highest mean soil loss per unit of area  
 379 (201.4 t ha<sup>-1</sup>yr<sup>-1</sup>) was estimated on areas with slopes >50% while the lowest (10.6 t ha<sup>-1</sup>yr<sup>-1</sup>) was observed on areas  
 380 with slopes <5%.

381 **Table 5.** Variation of annual soil erosion rates with slope classes

Slope class (%)	Area		Estimated annual soil loss		
	ha	%	t yr <sup>-1</sup>	Contribution to the total soil loss (%)	t ha <sup>-1</sup> yr <sup>-1</sup>
0-5	77,187.8	54.1	816,977.2	15.5	10.6
5-15	41,819.1	29.3	2,025,774.5	38.4	48.4
15-30	17,147.2	12.01	1,551,541.5	29.4	90.5
30-50	5,590.6	3.9	696,352.8	13.2	124.6
>50	916.3	0.6	184,554.8	3.5	201.4
Total	142,661.0	100.0	5,275,200.9	100.0	37.0

382

383 **3.1.3. Spatial variation of soil loss with LULC classes**

384 The soil erosion rate also revealed significant variations with LULC. According to the model results indicated in  
 385 table 6, the highest contribution to the total soil loss was from cultivated land (41.9%) which was followed by  
 386 agroforestry (18%). The lowest contribution to the total soil loss was from built-up area (7.4%) (disregarding lake  
 387 and wetlands). However, the highest rate of erosion per unit of area was computed from bare land (599.6 t ha<sup>-1</sup>yr<sup>-1</sup>).

388 **Table 6.** Variation of annual soil erosion rates with LULC types

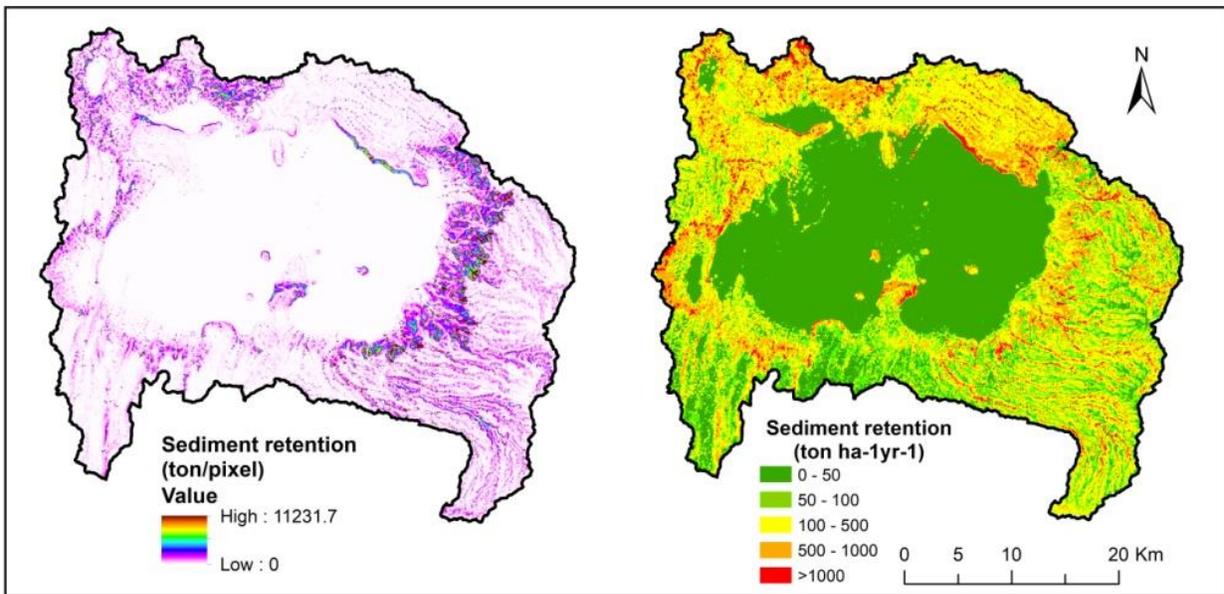
LULC Class	Area		Estimated annual soil loss		
	ha	%	ton yr <sup>-1</sup>	Contribution to the total soil loss (%)	t ha <sup>-1</sup> yr <sup>-1</sup>
Cultivated	39,172.8	27.5	2,209,089.0	41.9	56.4
Agroforestry	49,188.2	34.5	952,106.0	18.0	19.4
Bare land	863.6	0.6	517,784.3	9.8	599.6
Built-up	5,637.3	4.0	41,706.0	0.8	7.4
Forest	5,745.7	4.0	150,472.0	2.9	26.2
Grassland	8,982.4	6.3	133,821.0	2.5	14.9
Lake	9,512.5	6.7	90.6	0.0	0.0
Shrubs	9,667.7	6.8	556,327.6	10.5	57.5
Wetland	4,376.9	3.1	30.8	0.0	0.0
Woodland	9,513.9	6.7	713,773.8	13.5	75.0
Total	142,661.0	100.0	5,275,201.1	100.0	36.98

389 **3.2. Sediment retention capacity of Lake Hawassa watershed**

390 **3.2.1. Spatial variation of annual sediment retention in the watershed**

391 For estimating the amount of avoided soil erosion due to the existing LULC and management practices, the model  
392 uses a hypothetical scenario as a benchmark where all land is cleared to bare soil. Then, it calculates the amount of  
393 retained sediment based on the difference between the sediment export from the watershed under bare soil and the  
394 sediment export from the watershed under the existing LULC.

395 As indicated in fig. 5, the sediment retention potential of the watershed was in a range of 0–11,231.7 t pixel<sup>-1</sup>. Due to  
396 the existing LULC and management practices, a total of 18,646,116 t yr<sup>-1</sup> (130.7 t ha<sup>-1</sup>yr<sup>-1</sup>) of sediment was retained  
397 in the watershed. As it is indicated in table 7, the annual average sediment retention capacity of the watershed was  
398 grouped into five sediment retention capacity levels. The result revealed that 51.4% of the watershed had very low  
399 sediment retention capacity, while 16.7% and 25% of the watershed had low and moderate sediment retention  
400 capacities, respectively. Only 7% of the watershed had high and very high sediment retention capacity. In addition,  
401 the result revealed that 84.7% of the total sediment was retained by only 32% of the watershed (Fig. 5 and Table 7).



402 **Fig. 5** Sediment retention rate in Lake Hawassa watershed

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404  
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406

407

**Table 7.** Annual sediment retention levels, rates, and area coverage

Sediment retained (t ha <sup>-1</sup> yr <sup>-1</sup> )	Retention levels	Area (ha)	% of the total area	Estimated annual Sediment retention (t)	% of the total retention
0-50	Very low	73,377.2	51.4	734,325.4	3.9
50-100	low	23,768.9	16.7	2,118,433.6	11.4
100-500	Moderate	35,619.5	25.0	6,906,696.0	37.0
500-1000	high	8,515.5	6.0	5,699,354.4	30.6
>1000	Very high	1,379.9	1.0	3,187,306.6	17.1
Total		142,661.0	100	18,646,115.97	100

408

409 **3.2.2. Spatial variation of sediment retention along slope classes**

410 The spatial distribution of the retained sediment varies with slope gradients. As demonstrated in table 8 the  
 411 contribution to total watershed's sediment retention was higher in areas with slope ranges of 15-30% followed by 5-  
 412 15% and 30-50%. Overall, 86% of the sediment was retained by areas within the slope ranges of 5-50%. However,  
 413 the sediment retention per unit area (ha) revealed an increasing trend with increasing slope gradients, being the  
 414 highest (1,378.6 t ha<sup>-1</sup>year<sup>-1</sup>) on slopes >50.

415

Table 8. Variation of annual sediment retention rates with slope classes

Slope class (%)	Area		Estimated annual Sediment retention		
	ha	%	t yr <sup>-1</sup>	Contribution to total sediment retention (%)	t ha <sup>-1</sup> yr <sup>-1</sup>
0-5	77,187.8	54.1	1,230,643.7	6.6	15.9
5-15	41,819.1	29.3	5,407,373.6	29.0	129.3
15-30	17,147.2	12.0	6,115,926.0	32.8	356.3
30-50	5,590.6	3.9	4,642,882.9	24.9	830.5
>50	916.3	0.6	1,249,289.8	6.8	1378.6
Total	142,661.0	100.0	18,646,116.0	100	

416

417 **3.2.3. Spatial variation of sediment retention with LULC classes**

418 Similar to the variations observed in soil loss, the spatial distribution of the retained sediment varied with LULC  
 419 types. As depicted in table 9; agroforestry, forest, and woodland with their respective 36.5%, 28.2%, and 13.9%  
 420 contribution to the total sediment retention; had the highest sediment retention capacity while bare land (0.3%) and  
 421 built-up (0.6%) (disregarding lake and wetlands) had the lowest retention capacity. However, high sediment  
 422 retention per unit of area (ha) were estimated from forest (915 t ha<sup>-1</sup> yr<sup>-1</sup>), woodland (273 t ha<sup>-1</sup> yr<sup>-1</sup>), shrubs (143 t  
 423 ha<sup>-1</sup> year<sup>-1</sup>) and agroforestry (138.2 t ha<sup>-1</sup> yr<sup>-1</sup>) while lower sediment retention were computed from built-up (20.1 t  
 424 ha<sup>-1</sup> yr<sup>-1</sup>), grassland (55.6 t ha<sup>-1</sup> yr<sup>-1</sup>) and bare land (62.1 t ha<sup>-1</sup> yr<sup>-1</sup>).

425

426

Table 9 Sediment retention by LULC types

LULC Class	Area		Estimated annual sediment retention		
	ha	%	t yr <sup>-1</sup>	Contribution to the total sediment retention (%)	t ha <sup>-1</sup> yr <sup>-1</sup>
Cultivated	39,172.80	27.5	1,939,196.1	10.4	49.4
Agroforestry	49,188.20	34.5	6,805,832.3	36.5	138.2
Bare land	863.6	0.6	55,938.3	0.3	62.1
Built-up	5,637.30	4.0	111,876.7	0.6	20.1
Forest	5,745.70	4.0	5,258,204.7	28.2	915.0
Grassland	8,982.40	6.3	503,445.1	2.7	55.6
Lake	9,512.50	6.7	0	0.0	0.1
Shrubs	9,667.70	6.8	1,379,812.6	7.4	143.0
Wetland	4,376.90	3.1	0	0.0	1.1
Woodland	9,513.90	6.7	2,591,810.1	13.9	273.2
Total	142,661.00	100.0	18,646,116.0	100	130.7

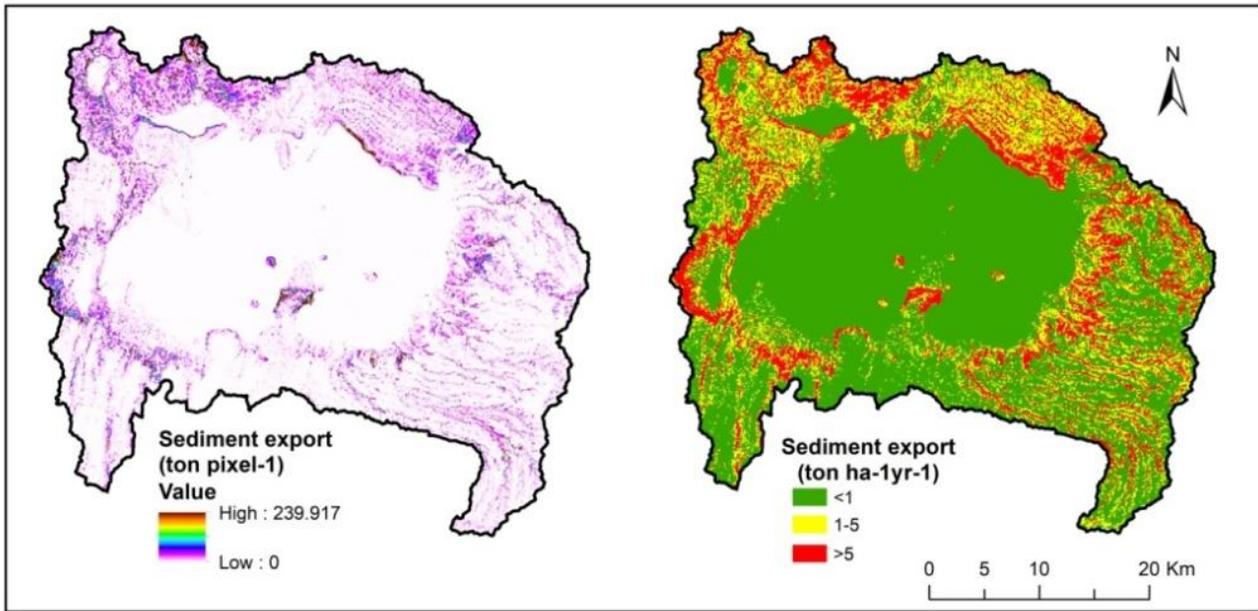
427

### 428 3.3. Sediment export in Lake Hawassa watershed

#### 429 3.3.1. Spatial variation of annual sediment export in the watershed

430 Exported sediment is the sediment beyond retention capacity of the vegetative cover and management practices  
 431 which has a potential to reach the nearby streams. It is the sediment amount which can be compared to any observed  
 432 sediment loading at the outlet of a watershed. For estimating the sediment export for each cell, the model first  
 433 computed the amount of eroded sediment, then the sediment delivery ratio (SDR), which is the amount of soil loss  
 434 that actually reaches the nearby streams and outlet of the watershed.

435 The result revealed that, the computed total annual sediment export from the watershed was 226,690.3 tons (1.6 t ha<sup>-1</sup>  
 436 yr<sup>-1</sup>) (table 10). The sediment export from each pixel in the watershed was in a range of 0-239.9 t pixel<sup>-1</sup> (Fig. 6). In  
 437 addition, the result in table 10 shows that 85% of the watershed had a sediment export <1 t ha<sup>-1</sup> yr<sup>-1</sup>, contributing  
 438 only 8.1% of the total sediment export. Whereas, 7.6% of the watershed had a sediment export of 1- 5 t ha<sup>-1</sup> yr<sup>-1</sup>  
 439 while supplying 18.7% of the total sediment. A sediment export >5 t ha<sup>-1</sup> yr<sup>-1</sup> was estimated from only 7.4% of the  
 440 watershed which contributed 73.2% of the total sediment export.



441  
442

Fig. 6 Sediment export rate in Lake Hawassa watershed

443

Table 10. Annual sediment export rates, magnitude and area coverage

Exported sediment (t ha <sup>-1</sup> yr <sup>-1</sup> )	Export level	Area (ha)	Percent of the total area	Estimated annual sediment export (t yr <sup>-1</sup> )	Percent of the total export
0	Low	121,219.0	85.0	18,267.5	8.1
1-5	Moderate	10,864.8	7.6	42,433.5	18.7
5	High	10,577.2	7.4	165,989.3	73.2
Total		142,661.0	100.0	226,690.3	100.0

444

### 445 3.3.2. Spatial variation of sediment export along slope classes

446 There was variation in the spatial distribution of sediment export with slope. The contribution to the total sediment  
447 export was higher for the medium slope areas. For instance, about 70% of the sediment exported to the nearby water  
448 bodies was contributed by areas with slopes ranging between 5 and 30% (table 11). Only 15.3 % of the exported  
449 sediment was contributed by areas with slopes below 5%, with similar proportion of sediment contributed by slopes  
450 >30%. However, it is indicated in the table 11 that the annual mean sediment export per hectare increased linearly  
451 with increasing slope gradients. Areas with higher slope gradients contributed greater exported sediment per hectare  
452 than areas with lower slope gradients. For example, the highest mean sediment export per hectare was estimated  
453 from areas with slopes >50% with the lowest observed from areas with slopes <5%.

454

455

456

Table 11. Variation of annual sediment export rates with slope classes

Slope class (%)	Area		Estimated annual sediment export		
	ha	%	t yr <sup>-1</sup>	Contribution to the total sediment export (%)	t ha <sup>-1</sup> yr <sup>-1</sup>
0-5	77,187.8	54.1	34,675.58	15.3	0.5
5-15	41,819.1	29.3	90,087.59	39.7	2.2
15-30	17,147.2	12.01	67,754.61	29.9	4.0
30-50	5,590.6	3.9	28,393.01	12.5	5.1
>50	916.3	0.6	5,779.56	2.5	6.3
Total	142,661.0	100.0	226,690.36	100	1.6

457

### 458 3.3.3. Spatial variation of sediment export with LULC classes

459 Similar to the result observed in the spatial distribution of soil loss, variation of sediment export was observed with  
 460 different LULC classes. From the total sediment that reaches the surrounding water bodies, the highest contribution  
 461 was from cultivated land (40.7%) (table 12). However, the highest sediment export per unit of area (ha) was  
 462 observed from bareland.

463

Table 12 Sediment export by LULC types

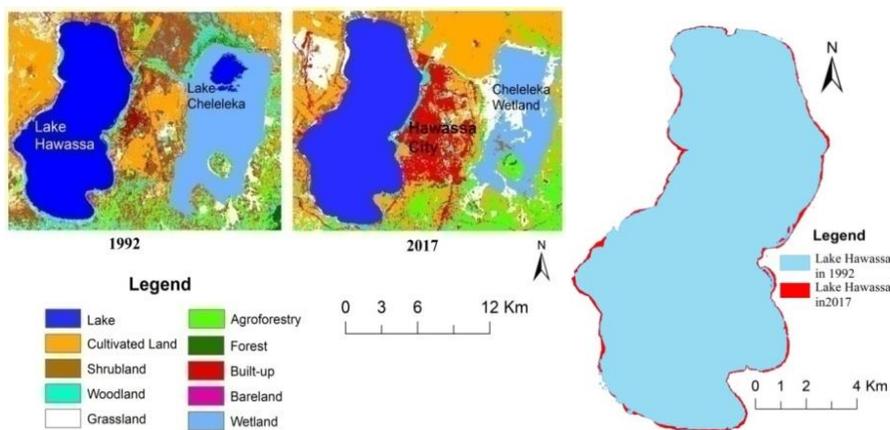
LULC class	Area		Estimated annual sediment export		
	ha	%	t yr <sup>-1</sup>	Contribution to the total sediment export (%)	t ha <sup>-1</sup> yr <sup>-1</sup>
Cultivated	39,172.8	27.5	92,263.0	40.7	2.4
Agroforestry	49,188.2	34.5	34,683.6	15.3	0.7
Bareland	863.6	0.6	28,789.7	12.7	33.2
Built-up	5,637.3	4.0	2,040.2	0.9	0.4
Forest	5,745.7	4.0	5,213.9	2.3	0.9
Grassland	8,982.4	6.3	5,440.6	2.4	0.6
Lake	9,512.5	6.7	-	0.0	0.0
Shrubs	9,667.7	6.8	26,522.8	11.7	2.7
Wetland	4,376.9	3.1	-	0.0	0.0
Woodland	9,513.9	6.7	31,736.7	14.0	3.3
Total	142,661.0	100.0	226,690.4	100	1.6

464

### 465 3.4 The environmental implications of soil erosion and sediment yield

466 Lake Hawassa is located in a closed watershed. The lake is situated at the lowest elevation in the watershed and it is  
 467 the end receiver of runoff and sediment from the whole watershed. It was indicated in section 3.3 that the total  
 468 annual sediment export from the watershed that joins the lake was 226,690.3 t (1.6 t ha<sup>-1</sup> yr<sup>-1</sup>). The accumulation of  
 469 such amount of sediment in the lake has many environmental repercussions. One of the effects was most likely the  
 470 dry-out of Lake Cheleleka, a small lake which is located in the upstream of Lake Hawassa (Fig. 7). In addition, the

471 rise in the water level and the increase in the surface area of Lake Hawassa are the other effects which are likely or  
 472 partly related to such sediment accumulation (Fig.8). Lake Cheleleka with a surface area of 570 ha in the 1992  
 473 LULC map was not identified in 2017 LULC map, indicating the complete dried-out of the lake (Fig. 7). In addition,  
 474 the surface area of Lake Hawassa which was 9,249 ha in 1992 increased to 9,481 ha in 2017, signifying the  
 475 accumulation of sediment in the lake and flow-out of the water to the surrounding areas (Fig. 8). A personal  
 476 experience of the area indicates that the rise in the lake level and the resulting flooding have been the major  
 477 environmental concerns threatening the nearby Hawassa city in the last few decades.

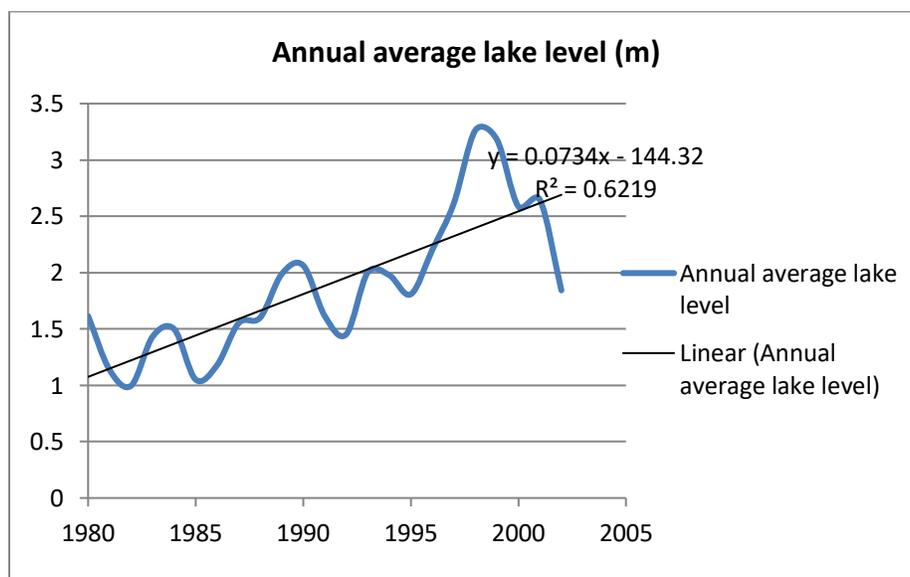


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479

**Fig. 7** Lake Cheleleka in 1992 and 2017

**Fig. 8** Surface area of Lake Hawassa in 1992 and 2017



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 483

**Fig. 9** Water level of Lake Hawassa

## 484 4. Discussion

### 485 4.1 Soil erosion and sediment retention and export in Lake Hawassa watershed

#### 486 *Soil erosion*

487 Understanding the level of erosion and sediment retention and export is important for science-based sustainable  
488 management of natural resources in a watershed. The study found that the average rate of soil loss from the  
489 watershed was  $37 \text{ t ha}^{-1} \text{ yr}^{-1}$ . The estimated soil loss value is higher compared to the soil formation rates of different  
490 places in Ethiopia, which were in a range of  $2\text{--}22 \text{ t ha}^{-1} \text{ yr}^{-1}$  (Hurni, 1983). In addition, the value is higher compared  
491 to the soil loss tolerance limit in Ethiopia, which was suggested by Hurni (1986) to be in a range of  $2\text{--}18 \text{ t ha}^{-1} \text{ yr}^{-1}$   
492 on agricultural lands, and which was calculated by Morgan (1995) to be  $10 \text{ t ha}^{-1} \text{ yr}^{-1}$ . Moreover, the value is higher  
493 than the rate that can be reversed within 50 to 100 years time. Kouli et al. (2009) reported that an erosion rate which  
494 is above  $10 \text{ t ha}^{-1} \text{ yr}^{-1}$  will not be reversed within 50 to 100 years time.

495 However, the computed erosion rate in the watershed is less than the highest rates of erosion in Ethiopia which were  
496 reported by Zeleke (2000) in northwestern highlands of Ethiopia ( $243 \text{ t ha}^{-1} \text{ yr}^{-1}$ ), Sahle et al. (2018) in Wabe river  
497 catchment ( $165 \text{ t ha}^{-1} \text{ yr}^{-1}$ ), and Haregeweyn et al. (2015) in Anjeni ( $110 \text{ t ha}^{-1} \text{ yr}^{-1}$ ) and Chemoga ( $102 \text{ t ha}^{-1} \text{ yr}^{-1}$ )  
498 watersheds in Ethiopia. On the other hand, the value is greater than the rate reported by Haregeweyn et al. (2015)  
499 who reported the average soil erosion rate in Ethiopia to be  $29.9 \text{ t ha}^{-1} \text{ yr}^{-1}$ .

500 Overall, compared to the soil loss estimated values in this study, the figures in the literature have disparity and  
501 inconsistency. Such disparity may arise from the use of different methods and the variations in biophysical  
502 environment and management practices. Nevertheless, the estimated value and spatial distribution of the estimated  
503 soil loss were comparable to what is observed in the field and to that reported by SCRIP (1996) ( $35 \text{ t ha}^{-1} \text{ yr}^{-1}$ ) and  
504 Yusuph and Dagne (2019) ( $37 \text{ t ha}^{-1} \text{ yr}^{-1}$ ) in the northern Ethiopia where high erosion rates were reported to exist.

505 With regard to the spatial distribution of the estimated soil loss, the study revealed that about 18% of the watershed  
506 had a severe and very severe soil loss rates, contributing 88% of the soil loss. In addition, the study indicated large  
507 part of the watershed had slight and very slight soil loss rates. This implies that most of the total soil loss was  
508 contributed by small part of the watershed which experienced high erosion rates. Hence, there is a need to  
509 implement targeted soil and water conservation measures of various types to ensure sustainability of the watershed  
510 resources. The soil loss map (Fig. 4) also revealed that the extent of soil loss is the highest in the upper reaches of

511 the watershed. This could be due to the expansion of agricultural activities into marginal steep slope areas which  
512 cleared large areas of forest, woodland and shrubs and exposed the soil for the direct forces of raindrops.

513

514 From slopes perspective, the study revealed a considerable effect of slope gradient on the rate of soil erosion.  
515 Although higher soil loss per unit of area was identified on the highest slope gradients, the study found greater  
516 contribution to the total soil loss from medium ranging slopes (slopes with 5-30%). This is mainly because of the  
517 smaller proportion of the watershed with very steep slope gradients. For instance, only 4.5% of the watershed exists  
518 on slopes >30%. Hence, the contribution of such steep slope gradients to the total soil loss was small (only 16.7% of  
519 the total soil loss). On the other hand, part of the watershed with 5-30% slope gradients covers significant proportion  
520 of the watershed (41.3%) that contains relatively optimal slope gradients which were accessible to agricultural  
521 practices and contributed 67.8% of the total soil loss. This is an indication that apart from slope gradient, the  
522 proportion of area with relatively higher slope gradient is the major factor that affected the soil loss in the area.  
523 Therefore, stabilizing slopes using various soil and water conservation structures and considering slope classes in  
524 the efforts towards controlling soil erosion should be given priority.

525

526 In connection with LULC, the study indicated that the type of LULC has significant effect on the extent of soil  
527 erosion in the watershed. The highest contribution to the total soil loss was from cultivated land while the highest  
528 rate of soil loss per hectare was observed from bare land. It appears that the higher soil loss from cultivated land was  
529 related to the intensive farming of large area on higher slopes of the watershed which caused removal of the natural  
530 vegetative cover that exposed the soil to direct forces of raindrops and running water. Similar results were found by  
531 Bewket (2003), Bantider (2007) and Yusuph and Dagne (2019) who reported higher soil erosion rates of cultivated  
532 lands. In addition, although the study revealed higher rate of soil loss per hectare on bare land, its contribution to the  
533 total soil loss was minimal because of its small coverage in the watershed.

#### 534 *Sediment export*

535 The study reported that the sediment export to the water bodies in the watershed was 1.6 t ha<sup>-1</sup> yr<sup>-1</sup>. The figure is  
536 comparable to other sediment yield estimations in Ethiopia. For instance, Haregeweyn et al. (2012) estimated a 2 to  
537 19 t ha<sup>-1</sup> yr<sup>-1</sup> of sediment export from 14 micro-dam watersheds in northern Ethiopia. Sahle et al. (2018) also  
538 identified a sediment export of 0–33 t ha<sup>-1</sup> yr<sup>-1</sup> from the Wabe river catchment of the Gibe basin. The estimated

539 sediment export value was generally reasonable compared to the reported figures and to what is observable in the  
540 field which indicates considerable mass of soil materials which were removed from steep slopes of the watershed  
541 have been accumulated in downstream areas. Such sediment export created problems of lake sedimentation,  
542 pollution of water bodies and sediment deposition on the active agricultural lands.

543 The spatial distribution of the sediment export along the slope and LULC classes was in line with the results  
544 observed in the spatial distribution of soil loss. Similar to the values observed in the soil loss, the sediment export  
545 per unit of area increased linearly with increasing slope gradient with the highest values observed on very steep  
546 slopes. The contribution to the total sediment export from the watershed was also proportional to the amount of the  
547 estimated soil loss. The highest sediment export was observed from the slopes ranging between 5 and 30%. Areas  
548 within this slope class contributed 69.6% of the total sediment export. This is mainly due to the relatively increasing  
549 slope gradient and the fact that significant part (41.3%) of the watershed is located within this slope category.  
550 Although, large part (54.1%) of the watershed is situated within a slope range <5%, the contribution to the total soil  
551 loss and sediment export from this slop class was only 15.5% and 15.3%, respectively. This is mainly due to the  
552 plain nature of the area that made it less liable to running water. In addition, the sediment contribution of the area  
553 located on slopes >30% is only 15% of the total sediment export because of the fact that small part (4.5%) of the  
554 watershed was located within this slope category.

555 The estimated sediment export along the LULC classes was also in line with the results observed in the spatial  
556 distribution of soil loss. The result revealed that the highest contribution to the total sediment export comes from  
557 cultivated lands. The result is in conformity with the findings of Haregeweyn et al. (2015) who found higher  
558 sediment export from cultivated lands. This is associated with cultivation of steep slopes, intensive plowing and  
559 mono cropping practices and poor land management activities. Hence, the result gives a reason to suggest the need  
560 for promoting sustainable land management practices in the watershed.

### 561 ***Sediment retention***

562 The estimation of the sediment retention capacity of the watershed shows that large volumes of sediment, which  
563 could impose great environmental problems on the downstream lake ecosystem were maintained because of the  
564 existing vegetative cover and management practices in the watershed. The estimated average annual sediment  
565 retention was 130.7 t ha<sup>-1</sup> yr<sup>-1</sup>. This value is greater than the rate of soil loss (37 t ha<sup>-1</sup> year<sup>-1</sup>) and sediment export

566 (1.6 t ha<sup>-1</sup> yr<sup>-1</sup>) in the watershed. This means that the watershed has high sediment yield potential but large part of it  
567 was retained by the existing vegetative cover. High level of sediment was also retained by slopes ranging between 5  
568 and 50%, where high soil loss and sediment export potential were estimated. This implies that much of the sediment  
569 retention was observed on high sediment yield potential areas; indicating the requirements for protecting, retaining and  
570 enhancing the existing vegetation cover and targeted management practices on this slope range to sustain and  
571 enhance the sediment retention capacity of the watershed.

572 In addition, the estimated sediment retention along the LULC classes revealed that vegetation-covered LULCs such  
573 as forest land, woodland, shrub land, and agroforestry had the highest sediment retention capacity. It appears that the  
574 remnant vegetation and expanding agroforestry practices may have supported the protection of such significant  
575 volumes of soil from being further exported to the water bodies.

576

#### 577 **4.2. Environmental implications of soil erosion and sediment export**

578 The study revealed that the expansion of cultivation on higher slopes combined with meager land management  
579 practices are continuing to erode the top fertile soil and causing sediment deposition in the water bodies. It appears  
580 that the rise in the water level and expansion of surface area of Lake Hawassa are likely related to such  
581 accumulation of sediment. In support of this, studies indicate that the horizontal expansion of Lake Hawassa is  
582 resulting in flooding of lakeshore areas, damaging of properties, and displacement of people due to the rising lake  
583 level (Belete, 2013; Degife et al., 2019). It has to be noted that the pressure on natural resources will continue to  
584 increase tremendously as the watershed is being occupied by more people, settlements, farms, industries and  
585 increasing population. For instance, according to the estimate by the Federal Government of Ethiopia, Ministry of  
586 Water, Irrigation and Energy (MoWIE) and the Rift valley Lakes Basin Master Plan Studies the population of  
587 watershed increased from 839,585 in 2007 to 2,491,295 in 2020 with an annual population growth rate of over 4%.  
588 This implies that there is a highly increasing population in the area and this is a clear indication that the watershed  
589 will continue to be under pressure in the coming years with such population increase. Hence, the sustainability of  
590 sensitive ecosystems such as lake, wetlands and related fauna and flora will continue to be under threat unless  
591 appropriate and integrated interventions are implemented.

592

593

#### 594 **4.3 Management and policy implications**

595 The study revealed that anthropogenic activities affected the state of erosion and sediment yield in the watershed. In  
596 line with this result a study by Bewket (2003) in Chemoga watershed indicated that loss of vegetation and the  
597 consequent soil erosion in upstream areas resulted in agricultural land degradation, sedimentation, and pollution of  
598 water bodies and increased flood flows in downstream areas. Hence, as it is suggested by De Graff (1996) and  
599 Bewket (2003), a well-coordinated conservation measures are required to avert the problem. The measures may  
600 include: afforestation, reforestation, soil and water conservation and limiting further expansion of bare and degraded  
601 lands. The conservation activities measures should be designed to tackle worst cases which cause greater soil losses.  
602 For the measures to be effective, active participation of the community during planning and implementation is  
603 mandatory. As Sharma (1999) and Bewket and Sterk (2002) suggested, participation of the local people is at the  
604 center of resource conservation. People are also required to be provided with options of conservation technologies  
605 and be allowed to opt on what is suitable for the biophysical and socioeconomic situation of their landscape.  
606 Without active participation of people, conservation activities mostly end up with a failure (Bewket, 2003).  
607 Moreover, the delivered technologies should also address people's priorities and be able to provide perceivable,  
608 quick and direct benefits that address the issues of food security and poverty. As Blaikie (2016) confirmed, resource  
609 degradation is a cause, sign and outcome of poverty. Without addressing rural poverty, sustainable management of  
610 natural resources is very difficult. Hence, providing farmers with economically rewarding conservation activities is  
611 important. Finally, the study indicated that there is high population growth in the watershed while the watershed  
612 resources are degrading critically. Although there is a policy in the country for the control of rapid population  
613 growth since 1994, little or no success has been achieved in this regard. Thus, there is a need to revise the existing  
614 population policy and strategies and enhance political commitment to control the ever-increasing population number  
615 against the economic growth and deteriorating natural resources.

#### 616 **5. Conclusion**

617 Soil erosion and sediment yield are critical environmental problems in Lake Hawassa watershed. This study not only  
618 mapped and quantified the spatial distribution of the annual soil erosion and sediment yield and retention capacity of  
619 the watershed but also identified their downstream and management implications.

620 The study revealed much of the soil erosion and sediment export were contributed by cultivated lands and higher  
621 slopping areas in the watershed. This is an indication that the soil erosion and sediment yield in the watershed were

622 mainly induced by human activities through the cultivation of higher slopes. In fact, it is not disregarded that other  
623 factors such as soil type, rainfall, and vegetation also have a great influence on the rate of soil erosion and sediment  
624 yield in the watershed.

625 The estimated average annual soil loss was  $37 \text{ t ha}^{-1} \text{ year}^{-1}$  and found to be higher than the soil loss tolerance limits  
626 for Ethiopian highlands. In addition, the estimated exported sediment to the nearby water bodies was  $1.6 \text{ t}$   
627  $\text{ha}^{-1}\text{year}^{-1}$ , which was generally reasonable compared to the reported figures in Ethiopia and to what is observable in  
628 the field. Greater contributions to the total soil loss and sediment export were observed from cultivated lands and  
629 slope gradients ranging between 5 and 30% while the highest soil loss and sediment export per hectare were  
630 estimated from bare lands and higher slope areas in the watershed. Although, greater part of the watershed has low  
631 contribution to the total soil loss and sediment export, extreme and very extreme soil erosion and sediment export  
632 were observed in parts of the watershed with scanty vegetative cover, poor conservation practices, cultivated and  
633 bare lands, steep slopes and mountainous areas.

634 Large volumes of soil which had a potential to be exported to the water bodies in the watershed were also retained  
635 due to the existing vegetative cover and management practices. The estimated average annual sediment retention  
636 was  $130.7 \text{ t ha}^{-1} \text{ year}^{-1}$ , which was higher than the rate of soil loss and sediment export in the watershed. The study  
637 showed very small part of the watershed retained the great majority of the sediment that has a potential to be  
638 exported to the water bodies. Areas with a slope range of 5-50% and vegetated LULCs such as forest land,  
639 woodland, shrub land, and agroforestry had the highest contribution to the retained sediment.

640 The study signifies that the soil erosion and sediment export from the watershed had led to lake surface expansion,  
641 lake level rise and dry-out of a small lake. The information obtained from the estimated soil loss and sediment  
642 export and retention was found to be useful for implementing a sustainable lake watershed management in general  
643 and planning soil conservation measures in particular. The study demonstrated that InVEST model is useful to better  
644 estimate soil loss and sediment yield and retention in data-sparse watersheds like Lake Hawassa. The result helps to  
645 identify hotspot areas and prioritize areas for effective planning of sustainable lake watershed management. The  
646 study also gives a lesson on how to ease and systematize watershed planning and management and prioritize  
647 intervention areas for decision making through the use of modeling, GIS and remote sensing tools. Finally, for  
648 effective conservation of watershed resources, the study recommends that there is a need to plan for sustainable lake

649 watershed management through effective soil and water conservation activities with active participation of the local  
650 people.

#### 651 **Recommendations for future research**

652 The model represents rill and inter-rill erosion processes only and has a limitation of estimating gully erosion. This  
653 limitation suggests the need for further studies using other possible modeling approaches to identify and measure  
654 gullies in the watershed to improve the accuracy of soil loss and sediment yield estimations for better planning and  
655 management in the future. In addition, given the simplicity of the model and small number of input parameters, it is  
656 likely that outputs are very sensitive to most input parameters. However, sensitivity analysis was not carried out to  
657 identify the most sensitive input parameters that may help selective and targeted interventions. Hence, further  
658 studies are recommended to conduct sensitivity analyses to investigate how the confidence intervals in input  
659 parameters influence the study outputs and identify most sensitive parameters.

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# Figures

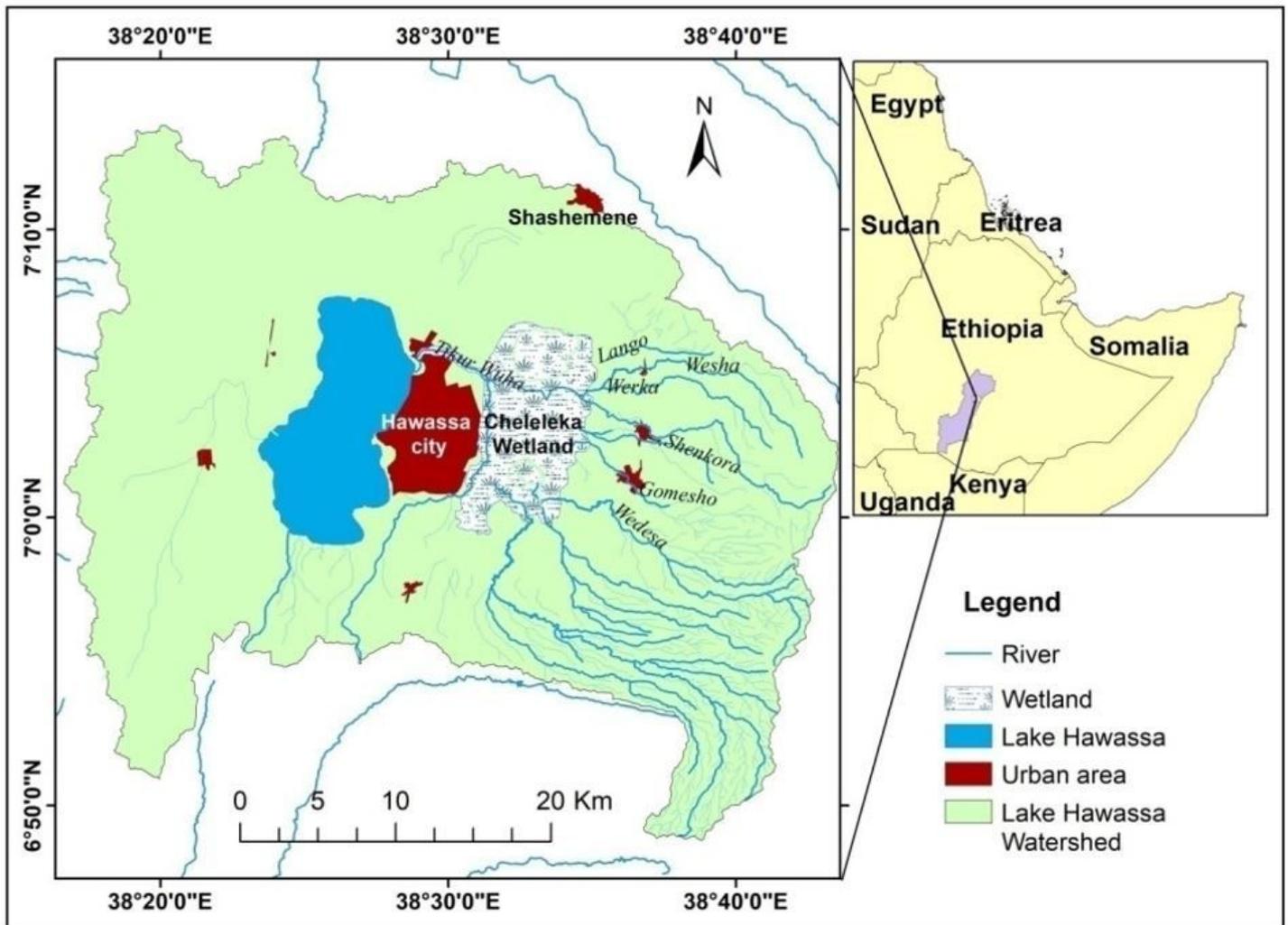
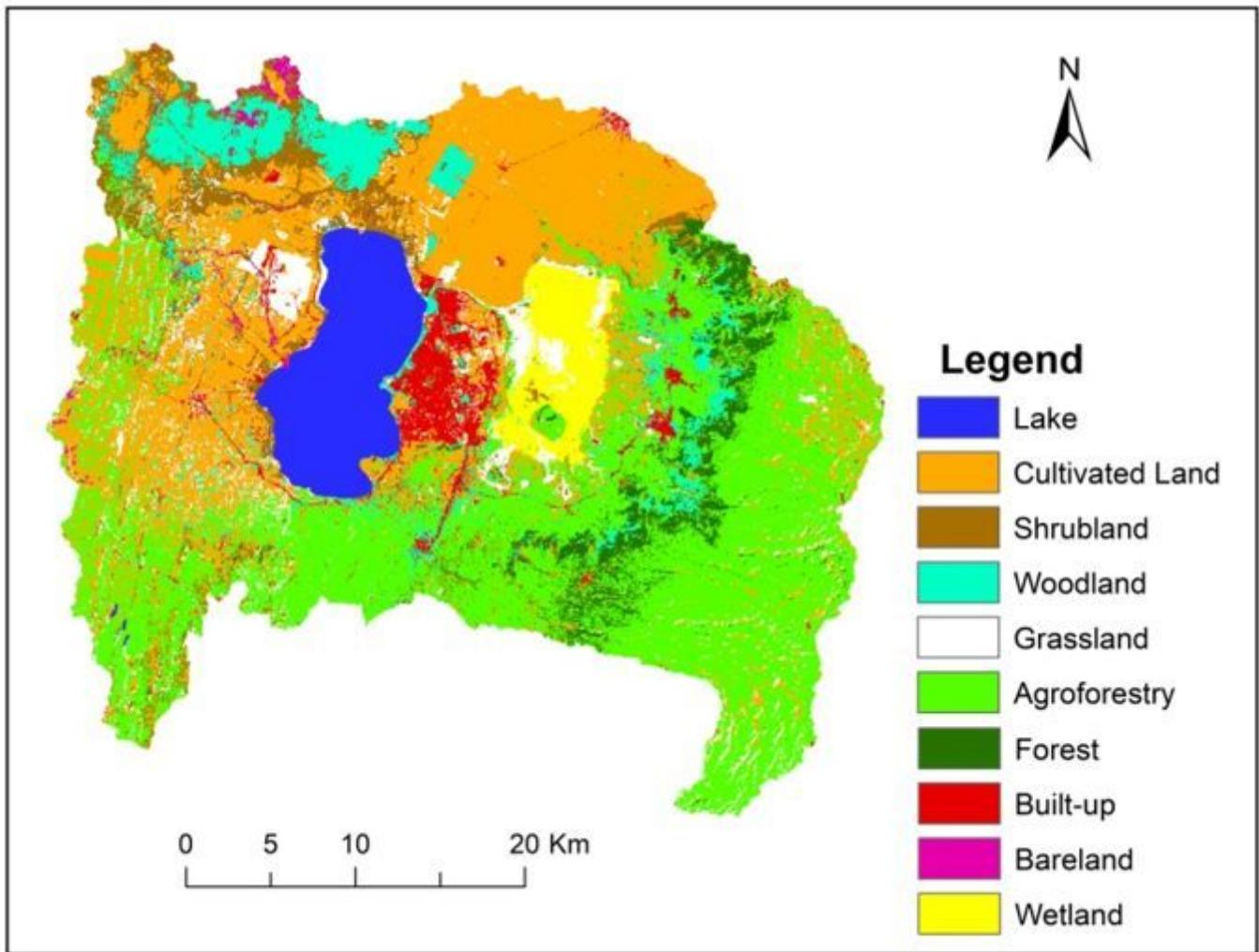


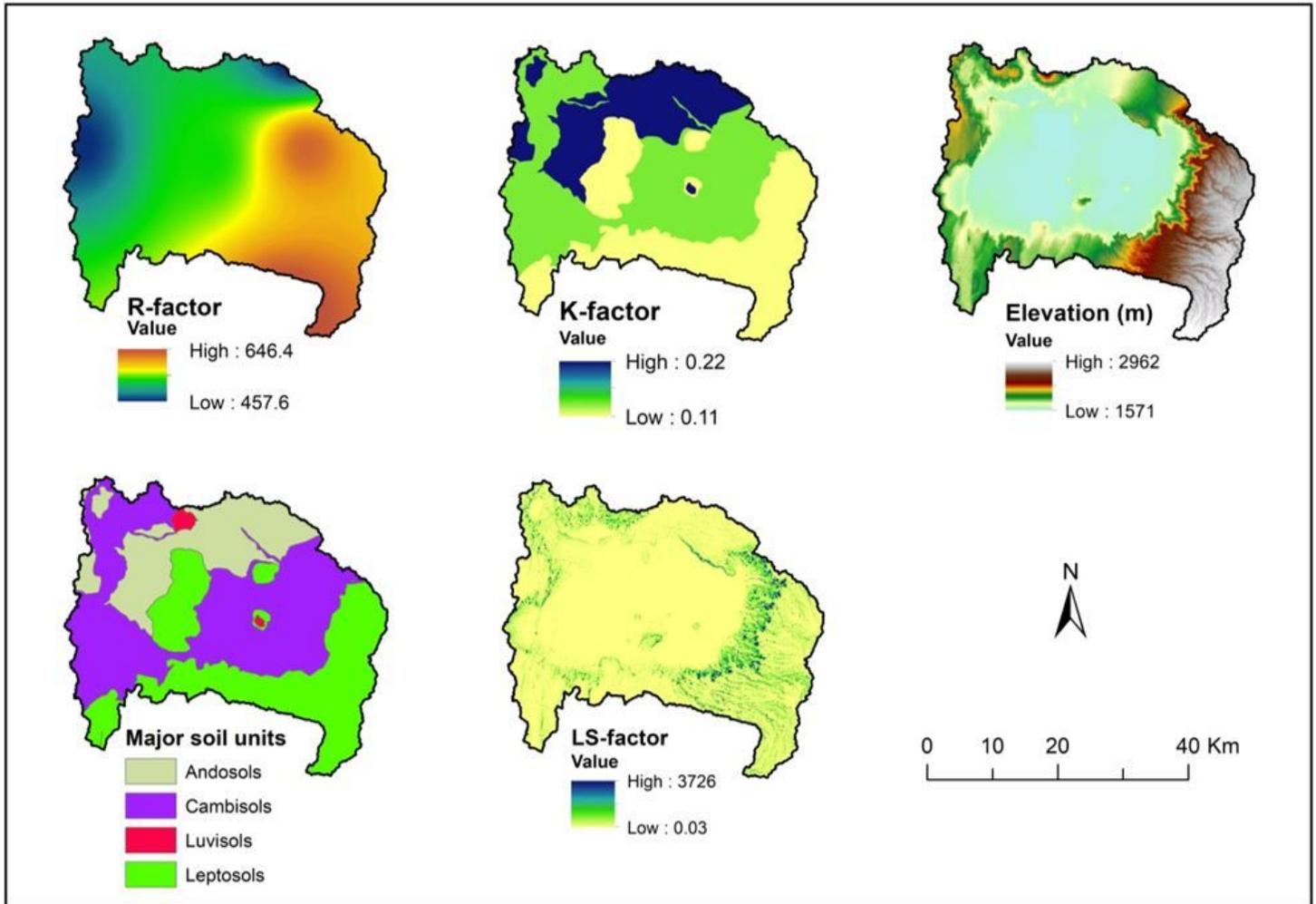
Figure 1

Location map of the study area Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



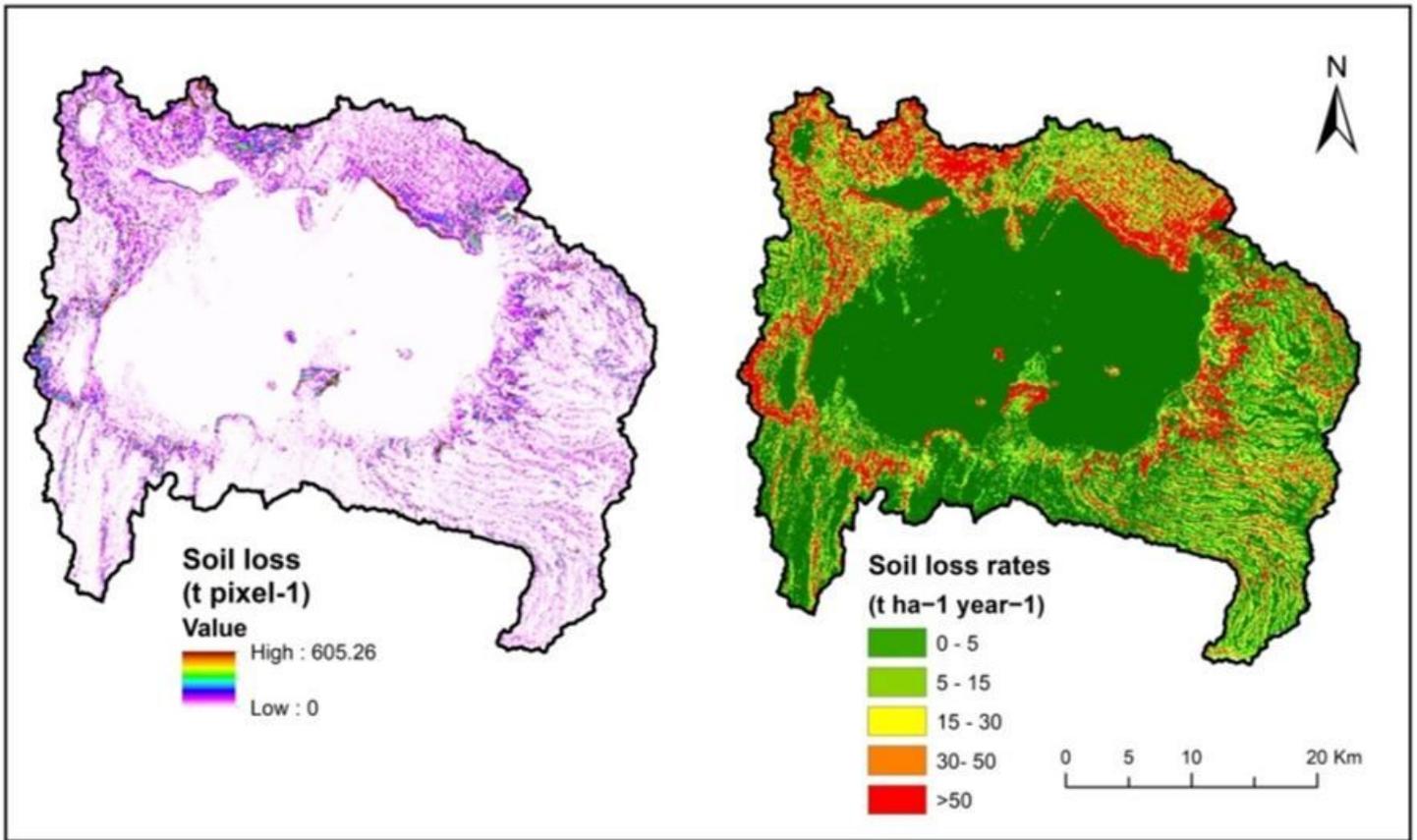
**Figure 2**

LULC map of Lake Hawassa Watershed Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 3**

Spatial distributions of R-factor, K-factor, Elevation, Major soil units, and LS-factor Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 4**

Soil erosion rate in Lake Hawassa watershed Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

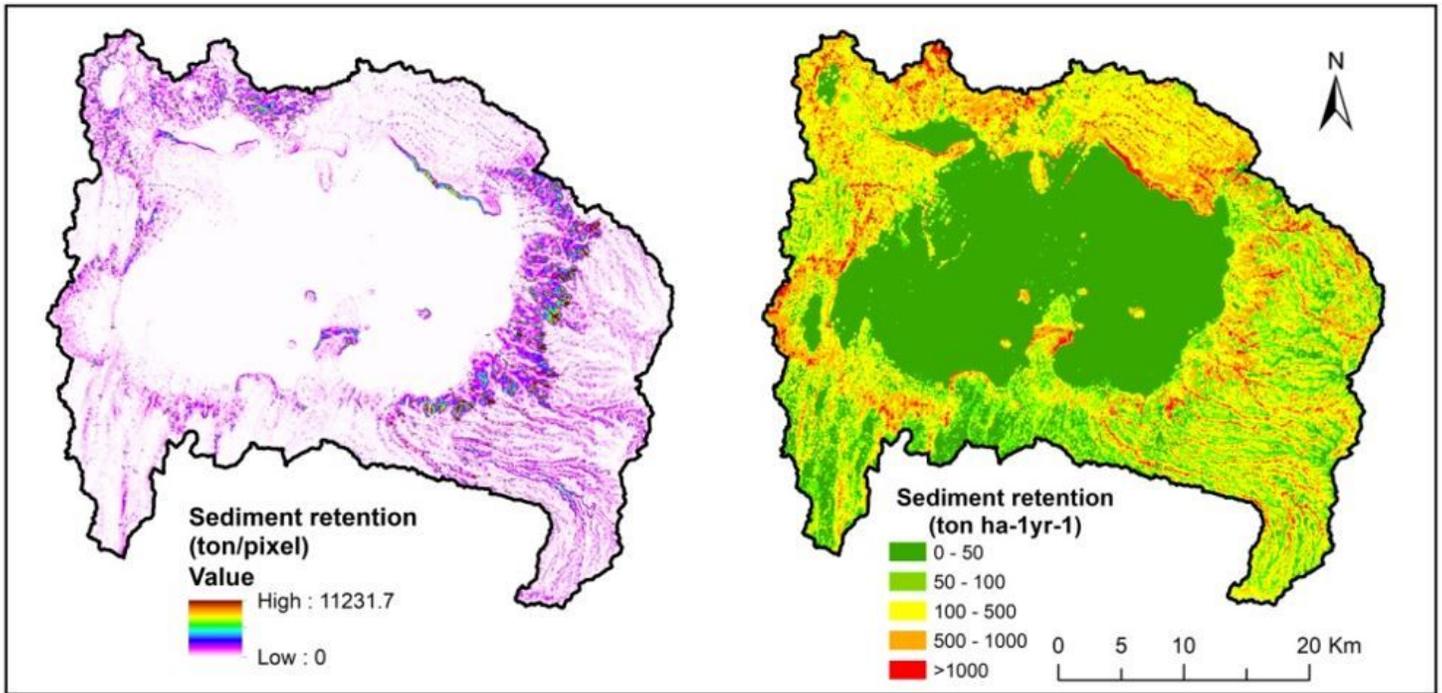


Figure 5

Sediment retention rate in Lake Hawassa watershed Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

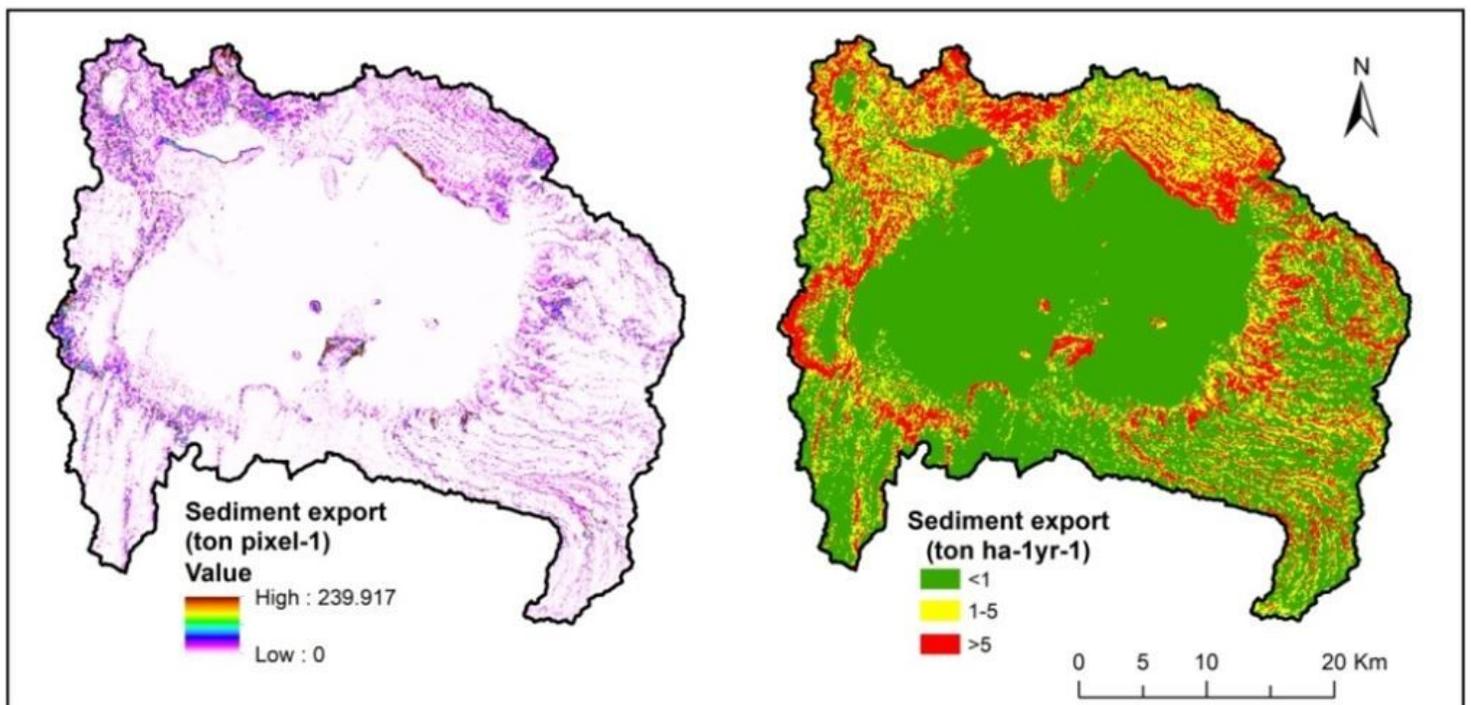


Figure 6

Sediment export rate in Lake Hawassa watershed Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

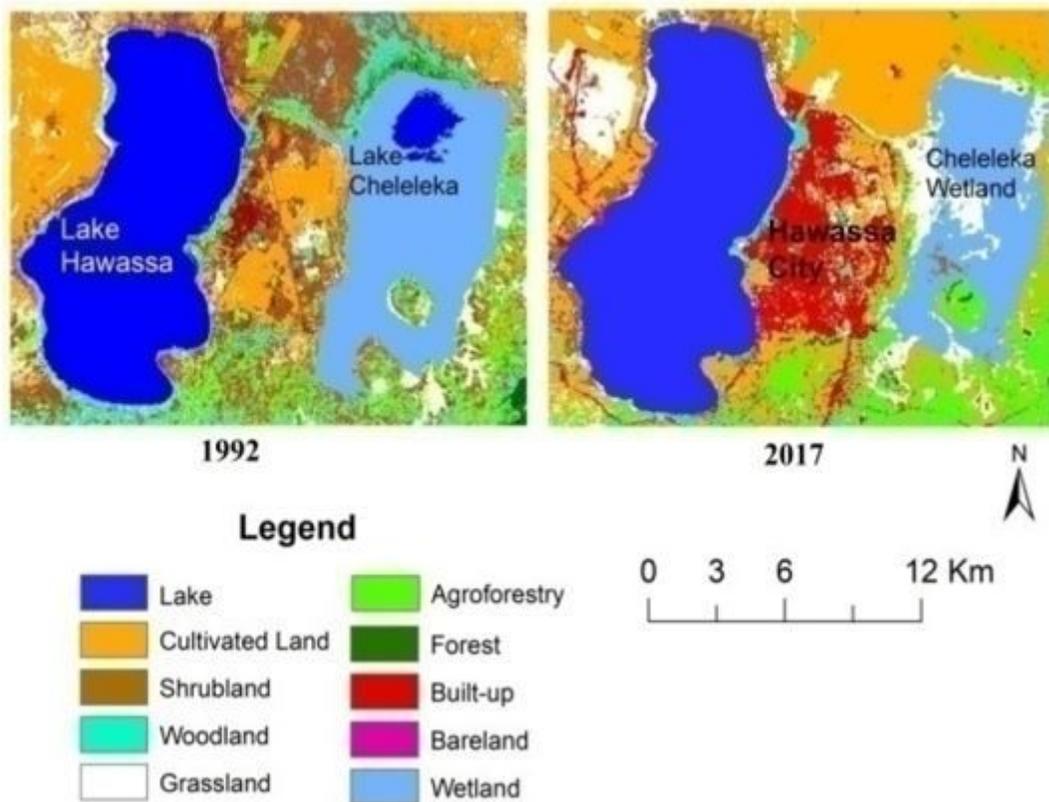
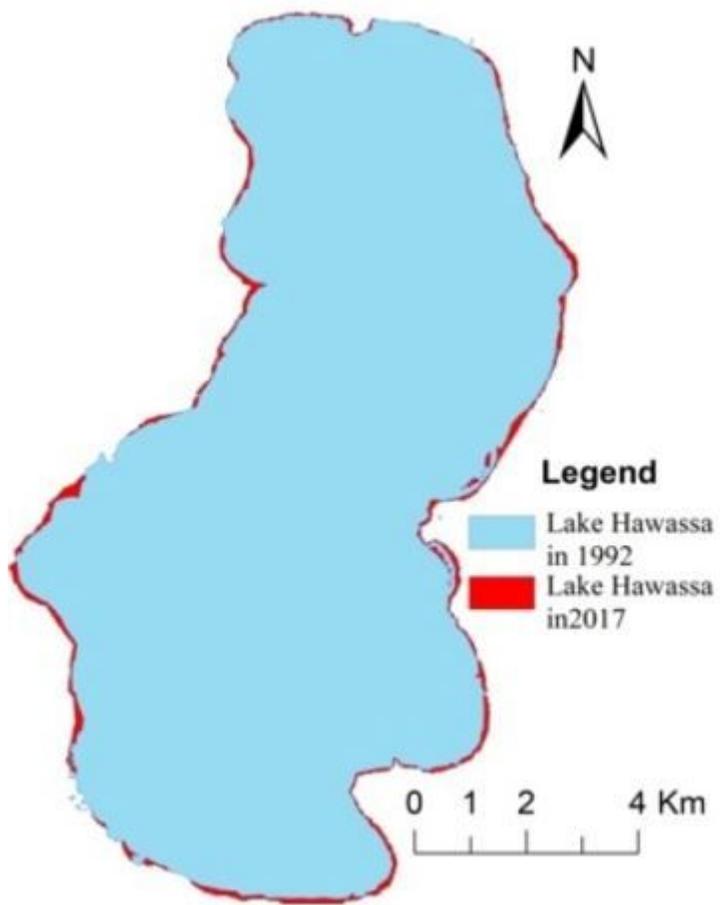


Figure 7

Lake Cheleleka in 1992 and 2017 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 8**

Surface area of Lake Hawassa in 1992 and 2017 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

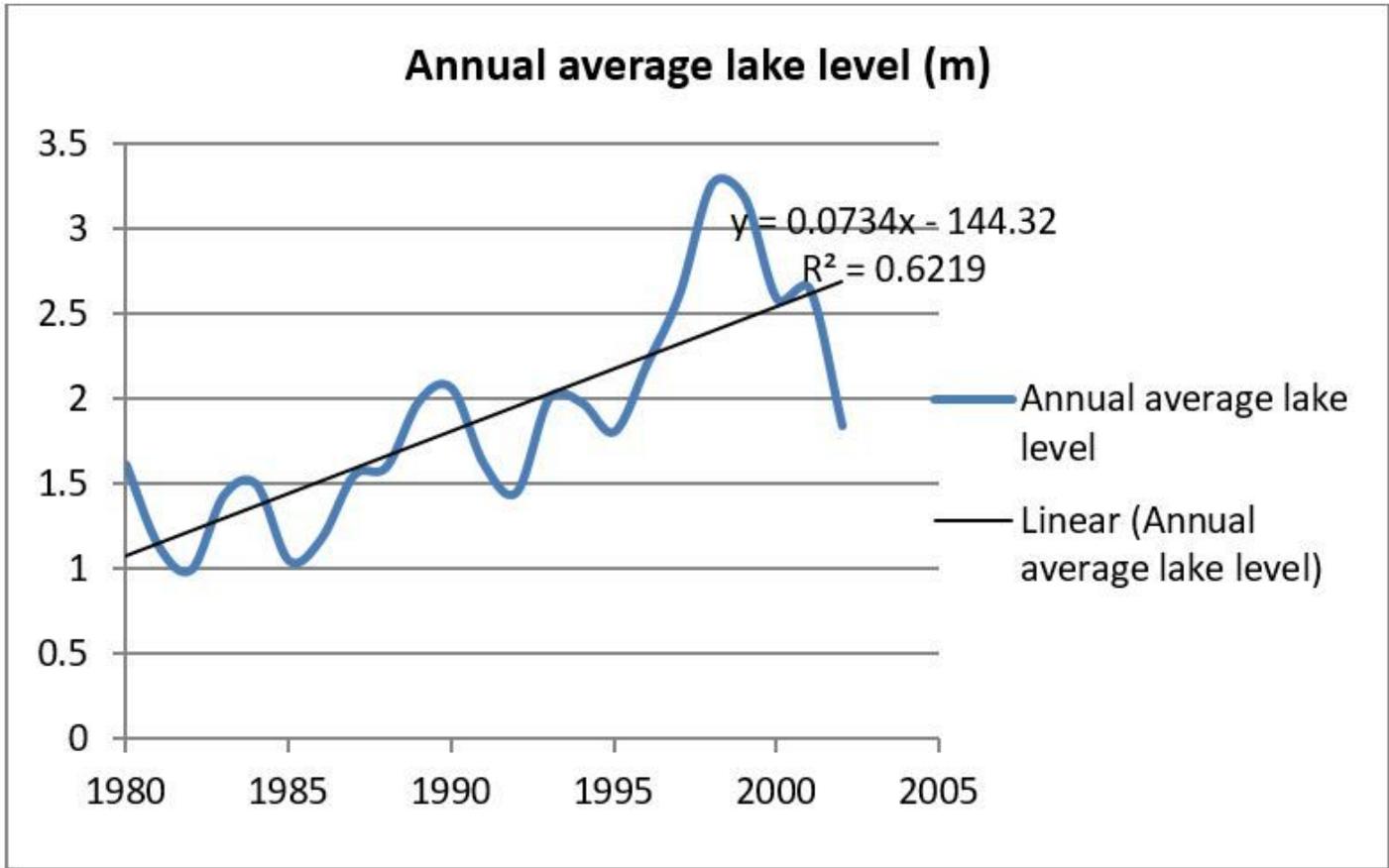


Figure 9

Water level of Lake Hawassa