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Antibody- and T cell-dependent responses elicited by a SARS-CoV-2 adenoviral-based vaccine in a socially vulnerable cohort of elderly individuals

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25 **Abstract**

26 **Background:** In spite of compelling evidence demonstrating safety and immunogenicity
27 of adenoviral-based SARS-CoV-2 vaccines in the general population, its effects in
28 socially vulnerable elderly individuals is poorly understood Here we aimed to investigate
29 the efficacy of two doses of combined vector vaccine, the Gam-COVID-Vac (Sputnik V),
30 at 14, 42 and 180 days after immunization, in a nursing home for low-income and
31 homeless individuals.

32 **Methods:** A phase 3, open-label clinical trial involving administration of two adenoviral
33 vectors (Ad26 - Ad5) vaccine, in elderly individuals over the ages of 60 years was
34 performed. SARS-CoV-2 Spike RBD-specific IgG antibodies at days 21-, 42- and 180
35 post-vaccination was analyzed in sera of individuals receiving two doses of the Sputnik
36 V vaccine with an interval of 21 days. SARS-CoV-2-specific CD8 T cell responses,
37 measured by intracellular tumor necrosis factor (TNF) was determined by flow cytometry
38 following antigen-specific cultures.

39 **Results:** A total of 72 elderly adults with a mean age of 72.6 ± 9.5 years-old was selected
40 after applying the inclusion criteria, all corresponding to a very low-income population.
41 Two-doses vaccination with Sputnik V vaccine elicited an antibody-mediated immune
42 response (revealed by quantitative detection of SARS-CoV-2-specific IgG antibodies,
43 CMIA) 70% at day 21, 90% at day 42s and 66.1% at day 180. Fully vaccinated individuals
44 had robust SARS-CoV-2-specific T cell responses, evidence by TNF production in CD4+
45 and CD8+ T cells in all time periods analyzed.

46 **Conclusion:**

47 Six months after receipt of the second dose of the Gam-COVID-Vac vaccine, SARS-
48 CoV-2-specific IgG levels declined substantially among the tested population, whereas
49 CD4+ and CD8+ T-cell-mediated immunity remained at high levels. These data suggest

50 that two doses of combined adenoviral-based vaccine elicits a considerable level of
51 SARS-CoV-2 immune responses in elderly individuals, highlighting its safety and
52 immunogenicity in this highly vulnerable population.

53 **Keywords:** COVID-19, Immune Function, Health Disparities, Nursing Home Issues

54 **INTRODUCTION**

55 Since the first cases of coronavirus disease 2019 (COVID-19) in Wuhan, China, in
56 December 2019, this disease has spread to millions of individuals worldwide. Severe
57 acute respiratory syndrome coronavirus 2 (SARS-CoV-2) was identified in January,
58 2020. This virus is highly transmissible between humans and has spread rapidly, causing
59 the COVID-19 pandemic¹⁻². Patients infected with SARS-CoV-2, especially older
60 patients and those with pre-existing respiratory or cardiovascular conditions are at greater
61 risk to develop complications, including severe pneumonia, acute respiratory distress
62 syndrome, multiple organ failure, and in some cases death³⁻⁴. By March 3, 2022 SARS-
63 CoV-2 had infected more than 440 million people and killed more than 5.9 million
64 worldwide⁵.

65 SARS-CoV-2 elicit detectable antibody and T cell-mediated immune responses⁶.
66 Although previous studies suggested detectable humoral responses at least 4 months after
67 infection⁷; the durability of anti-SARS-CoV-2 IgG antibodies after vaccination without
68 repeated exposure is variable. In contrast, robust and durable T cell mediated memory
69 responses have been documented following natural SARS-CoV-2 infection and
70 immunization with different vaccine platforms, including mRNA-1273, BNT162b2,
71 Ad26.COVS.S, and NVX-CoV2373) that cross-recognize viral variants from Alpha to
72 Omicron⁸⁻⁹. Although most of studies focused on safety and immunogenicity of vaccines
73 in the general healthy population, the long-term effects of combined adenoviral-based
74 vaccines in a socially-vulnerable elderly population has not been explored.

75

76 We conducted a population-based longitudinal sero-epidemiological study in Cordoba,
77 Argentina, starting in April 2021, and three successive follow-ups in May and September
78 2021. Vaccine immunogenicity was assessed by analyzing SARS-CoV-2-specific

79 immunoglobulin (Ig) G and SARS-CoV-2-specific T cell-mediated immunity evaluated
80 by intracellular cytokines by flow cytometry
81
82

83 **METHODS**

84 **Trial Design and Participants**

85 We initially conducted a Phase 3, open-label clinical trial of two adenovirus vectors
86 (Ad26 - Ad5) vaccine, involving participants over the ages of 60 years, for the
87 determination of IgG antibodies for Spike RBD. at 21- and 42-days post-vaccination We
88 subsequently expanded the trial to include testing at 180 days after the first dose of
89 Sputnik V vaccine.

90 The trial was conducted at the Padre La Monaca home for senior citizens, an institution
91 dependent of the Secretary of Health of the City Hall of Córdoba, Argentina. Enrolled
92 individuals were healthy and provided written informed consent before undergoing any
93 study procedures. We did not screen for evidence of past or current SARS-CoV-2
94 infection by testing blood or nasal specimens before enrollment. However, in order to
95 overcome this limitation, we determined whether individuals had asymptomatic infection
96 from the detection of the rapid test for SARS-CoV-2 nucleoprotein (Abbott Panbio,
97 COVID-19 IgG/IgM).

98

99 **Sputnik V Vaccine**

100 This vaccine is based on two adenovirus vectors (Ad26 - Ad5) expressing the Spike
101 protein.

102

103 **Study Oversight**

104 The Secretary of Health of the City Hall of Córdoba served as the trial sponsor and made
105 all the decisions regarding study design and implementation.

106 The manuscript was written entirely by the authors, with the first two authors serving as
107 overall lead authors. All the authors guarantee for the completeness and accuracy of the

108 data and for the adherence of the study to the protocol. No one who is not an author
109 contributed to the writing of the manuscript.

110

111 **Trial Procedures**

112 The two adenoviral vectors (Ad26 - Ad5) vaccine was administered as a 0.5-ml
113 intramuscular injection into the deltoid on days 1 and 21 of the study; the same dose of
114 the vaccine was administered on both days. Follow up for antibody detection was
115 scheduled 21, 42 and 180 days after the administration of the first dose of vaccine. At 180
116 days, a sample of EDTA-anticoagulated blood of 24 individuals was taken to study T-cell
117 mediated responses of vaccinated individuals, using the ‘COVID-T Platform⁸’, an
118 optimized strategy to study SARS-CoV-2-specific T cell responses. Purification of
119 peripheral blood mononuclear cells (PBMC) was performed as described¹⁰. A standard
120 toxicity scale was used to grade adverse events. Local and systemic adverse events were
121 analyzed 7 days after each vaccination dose. Data regarding unsolicited adverse events
122 were collected through day 60. Collection of specimens, as well as monitoring for
123 medically attended adverse events, development of new chronic medical conditions, and
124 serious adverse events, was scheduled to continue through 1 year after the last dose.

125

126 **Assessment of Antibody Responses**

127 Qualitative (rapid test), semi-quantitative (ELISA) and quantitative (CMIA -
128 chemiluminescence) analysis was used to determine SARS-CoV-2-specific IgG
129 responses recognizing S-2P containing an Asp (D) residue at position 614 and to the
130 receptor-binding domain on days 21, 42 and 180.

131 Asymptomatic infections were assessed using the rapid SARS-CoV-2 nucleoprotein
132 (Abbott Panbio, COVID-19 IgG/IgM). test Pooled data were obtained in unidentified

133 format from the nursing home resident health record system and institutional review
134 board approval was obtained.

135

136 **Assessment of T-cell responses by flow cytometry**

137 For evaluation of the SARS-CoV-2-specific T cell responses, cryopreserved PBMCs
138 were thawed in complete RPMI 1640 (Serendipia) in the presence of 0.1 mg/ml of DNase
139 I (Roche) and cultured in the presence of 1 ug/ml SARS-CoV-2-specific peptides pools
140 (Miltenyi) for 6 h. Cultures in the absence of peptides, were used as negative controls and
141 stimulation with phorbol-12-myristate-13-acetate (PMA) plus ionomycin was included as
142 positive control. Brefeldin A and Monensin (Biolegend) were added to cultures for the
143 last 4 h. Cells were then washed, and surface stained for 25 min at room temperature,
144 fixed with 1% paraformaldehyde (Sigma) for 25 min and intracellularly stained following
145 incubation with permeabilization buffer (BD) for 25 min. All samples were acquired on
146 BD LSR FortessaTM X-20 and analyzed with FlowJo software. Determination of
147 interferon- γ (IFN- γ), interleukin-2 (IL-2), tumor necrosis factor (TNF) as well as
148 assessment of the frequency of CD154+ cells was performed. Antibodies used in the assay
149 are listed in **Table S1**.

150

151 **Statistical Analysis**

152 Safety analyses included all the participants who had received at least one dose of two
153 adenovirus vectors (Ad26 – Ad5) vaccine. The data were extracted from the “Padre la
154 Mónica” Home for the Elderly and were collected in a Microsoft® Excel spreadsheet. A
155 database was then generated in SPSS, IBM® for statistical analysis.
156 Numerical variables were presented as means and standard deviations and nominal
157 variables as percentages. Comparisons of numerical variables were performed with the

158 Student's t test for numerical variables and if the distribution was abnormal, the Wilcoxon
159 test was used; nominal variables were analyzed with the Chi-square or Fisher test as
160 appropriate. Correlations between variables and simple and multiple linear regressions
161 were performed; models were tested with the test for comparison of means of related
162 variables. Formulas for predictive models were created.

163

164

165 **RESULTS**

166 **Trial Population**

167 A total of 72 elderly adults with a mean age of 72.6 ± 9.5 were eligible after applying the
168 inclusion criteria, all of them corresponding to a very low-income group. They received
169 the first and second doses of the vaccine with 21 days interval. The demographic
170 characteristics of the participants are shown in Table 1. The studied population had the
171 following general features: 30.1% were women, the mean age of the population was 72.6
172 ± 9.4 years and the mean body mass index (BMI) was 25.1 ± 7.7 , stratified as follows:
173 underweight 23.9%, normal 28.2%, overweight 21.1% and obese 27.8%. Of the total
174 number of patients, 68.5% were self-supporting or independent and 31.5% were semi-
175 dependent, dependent or were receiving palliative cares

176 In the cohort analyzed, Sputnik vaccine induced a robust immune response
177 (quantitative detection of IgG antibodies against Spike RBD CMIA) at 21 days in 70%,
178 at 42 days in 90% and at 180 days in 66.1% of the participants (Figure 1).

179 Those individuals who were previously infected (whose sera had antibodies against the
180 nucleoprotein), produced higher levels of Spike RBD-specific IgG upon receiving the
181 vaccine than individuals who had never been in contact with the virus, in spite of
182 receiving full immunization protocol. The frequency of RBD-specific antibodies at 42
183 days post-vaccination in the previously infected group (individuals who had no
184 symptoms, but asymptomatic infection was detected by the presence of antibodies) was
185 significantly higher (22945.5 AU/mL vs. 1495 AU/mL $p = 0.014$) as compared with
186 patients not previously infected (Figure 2). Likewise, exposure to other viruses, such as
187 hepatitis B (HBV; core positive), was also reflected by an increase in the production of
188 antibodies to SARS CoV-2 (9487.6 vs. 1832.2; $p = 0.013$), when compared to vaccinated
189 individuals without previous infection.

190 Patients receiving the Sputnik vaccine were stratified according to age. Of those
191 aged 61 – 70 years, 63.6% developed reactive antibodies at 21 days and 87.9% did so at
192 42 days; while among those over 70 years, reactivity was 66.7% and 83.3% respectively.
193 Stratification of patients into age ranges of 60 – 69 years, 70 – 79 years, 80 – 89 years
194 and 90 – 99 years showed that the 60 – 69 years group presented higher antibody
195 concentration values, 3078.4 ± 1585.5 AU/mL and 4947 ± 2268.1 AU/mL for
196 measurements taken on days 21 and 42, respectively (Figure 3).

197

198 T cell immunity plays a central role in the control of SARS-CoV-2 infection and is a key
199 component of immunization strategies. Particularly, both CD4 and CD8 T cells have been
200 reported control multiple viral infections and provide protection against subsequent re-
201 infections by generating immunological memory¹¹. Previous studies have shown that both
202 convalescent COVID-19 patients and individuals vaccinated with any of the different
203 COVID-19 vaccine platforms develop long-term immunity mediated by CD4⁺ and CD8⁺
204 specific T cells¹¹. We analyzed SARS-CoV-2 specific T cell responses at 180 days post-
205 vaccination in our cohort as measured by cytokine production after stimulation with
206 peptide pools that cover the immunodominant sites of SARS-CoV-2 Spike protein. We
207 found that fully vaccinated individuals had robust specific T cell responses as shown by
208 the increased percentage (2-fold) of cells positive for TNF compared to the unstimulated
209 controls (Figure 4). Moreover, we also found higher percentage of IFN- γ + when
210 comparing stimulated vs. unstimulated samples ($p = 0.013$) being this effect statistically
211 significant. A similar outcome was observed when IL-2 was evaluated (data not shown).

212

213

214 **Vaccine Safety**

215 No serious adverse events were reported, and no pre-specified trial-halting rules were met
216 in any of the individuals analyzed. The most common solicited adverse events were
217 headache, fatigue, and injection-site pain. Local events were more common after the
218 administration of the second dose of the vaccine. These symptoms typically occurred on
219 the day of vaccination or 1 day afterward and resolved soon. Those patients who had
220 nonspecific symptoms were treated with ibuprofen or acetaminophen.

221

222

223 **DISCUSSION**

224 On February 2, 2021, the County Government of the city of Cordoba (Argentina) ordered
225 that the elderly residents of the long-term care facility (Padre Lamónaca) will receive the
226 Sputnik-V vaccine. In this study we determined the levels of IgG antibodies at days 21,
227 42 and 180 after the first dose in individuals who had not been exposed to SARS-CoV-2
228 and who received the 2 doses 3 weeks apart.

229 On the basis of published results from vaccine trials and other data sources, it is estimated
230 that people immunized against SARS-CoV-2 would experience a decline in
231 approximately half of their protective antibodies every 108 days or so. As a result,
232 vaccines that initially offered, 90% protection against mild cases of disease might only
233 be 70% effective after 6 or 7 months¹². In fact, immunological studies have documented
234 a steady decline of antibody levels among vaccinated individuals¹³. Long-term follow-up
235 of vaccine trial participants has revealed a growing risk of breakthrough infection¹⁴.
236 Health-care records from countries such as Israel, the United Kingdom and other
237 countries all show that COVID-19 vaccines lose their potency through time. In our study
238 we observed a similar behavior in the case of humoral immunity, where antibodies titers
239 increased progressively until 42 days post-vaccination, and then decreased to values
240 below those reached at 21 days post-vaccination. A possible explanation for the observed
241 decrease in the production of antibodies stimulated by the Sputnik V vaccine, in
242 comparison to the work published previously¹⁵, include the study of a population of older
243 adults (mean 72.6 ± 9.5 ; minimum 61 - maximum 97), history of malnutrition in the
244 population studied (23.9% of older adults were underweight and 48.9% were overweight)
245 and the fact that all these individuals belong to a vulnerable population with a very low
246 socioeconomic level, being many of them homeless In this sense, a multiple regression
247 was performed with all the numerical variables collected and the only variable that

248 remained in the model was calf muscle circumference, a measure that is generally used
249 to detect nutritional alterations in older adults. These data suggest that malnutrition could
250 potentially be linked to a decrease in the capacity to generate immunity in older adult
251 patients.

252 The antibody concentration values obtained 14 days after the second dose of the vaccine
253 is promising. However, it also highlights the importance of monitoring antibody
254 responses post-vaccine administration, especially among the elderly socially-vulnerable
255 population which could be immunocompromised.

256

257 In addition to humoral responses, understanding the nature and magnitude of SARS-CoV-
258 2-specific T cell responses is essential to monitor vaccine effectiveness. In other
259 coronaviruses of the family (i.e. SARS-CoV-1), antibody levels fall below the detection
260 limit between 1 and 3 years¹⁶, while memory T lymphocytes remain active up to 11 years
261 later¹⁷. At the same time, recent studies in patients recovered from COVID-19, revealed
262 the fundamental value of T-lymphocytes (both CD4 and CD8)¹¹.in conferring protection
263 against SARS-CoV-2. In order to monitor the immunological memory elicited by
264 vaccination ,we measured the antigenic specific T cell responses. Individuals involved in
265 this study exhibited a robust T cell response against SARS-CoV-2 peptides. Circulating
266 memory T cells elicited by Sputnik V vaccine in elderly individuals produced high
267 amounts of TNF and IL-2 following stimulation with SARS-CoV-2 Spike-derived
268 peptides. Particularly, statistically significant differences were observed for TNF and IL-
269 2-producing CD4 and CD8 T cells with respect to unstimulated cells ($p = 0.013$ and $p =$
270 0.01 respectively).

271

272

273 Neutralizing antibodies that can intercept viruses before they infect cells might not be
274 protective during the whole infection cycle. Although antibody levels typically rise after
275 vaccination, they rapidly decline months later. In contrast, cellular responses are longer
276 lasting. Memory B cells, which can rapidly deploy more antibodies in the event of re-
277 exposure to the virus, tend to stay on-site, and so do memory CD8 T cells, which can
278 exert cytotoxic activity toward infected target cells. Both memory cell populations
279 provide a critical protection in case SARS-CoV-2 infection. In fact, vaccination
280 stimulated long-lasting responses when both arms of adaptive immunity were considered
281 simultaneously¹⁸. Memory B cells continued to grow in number for at least six months,
282 and enhanced their ability to fight the virus over time. On the other hand, T-cell counts
283 remained relatively stable, decreasing only slightly during the study period¹⁸.

284 In this regard, analysis of lymph nodes from vaccinated individuals revealed the
285 appearance of germinal centers that produced increasingly potent activated follicular B
286 cells over time¹⁹. The B cells in these structures randomly mutated their genes (somatic
287 hypermutation) to create an entirely new set of antibodies. Cells that produced the best
288 antibody repertoires eventually prevailed through an evolutionary process that enhanced
289 the immune system's ability to fight other SARS-CoV-2 variants⁸⁻⁹. These germinal
290 centers persisted for 15 weeks after immunization with an RNA platform-based vaccine,
291 a response which was much longer than those previously seen with older technology
292 vaccines for other diseases. Our work shows that vaccinated individuals, with only two
293 doses of a combined adenoviral vaccine, display robust T cell -mediated responses and
294 do not acquire moderate nor severe COVID-19 infections, highlighting the safety and
295 immunogenicity of this vaccination strategy in socially vulnerable elderly individuals.

296

297 Lowering infection rates should help break the cycle of viral transmission, ultimately
298 resulting in fewer cases of severe COVID-19 infection and reduced death rates, thus
299 keeping the emergence of vaccine-resistant variants at bay resistant viruses are more
300 likely to emerge when transmission is not controlled²⁰. Getting more people vaccinated
301 and protecting the most vulnerable population is the most effective intervention to keep
302 transmission rates low.

303

304 **Conclusion**

305 This work reaffirms the efficacy of the Sputnik-V vaccine, showing high levels of
306 immunization, even in an elderly, underprivileged population with very low resources.
307 We also found that immunity decreases as the age of the vaccinated individuals increases.
308 Finally, we found that cellular immunity persists even after humoral immunity declines,
309 giving us the indication that immunized older adults, and we can extend this to the general
310 population, continue to be protected even if antibodies decline. We understand that this
311 is a great advance in the knowledge of the protection provided by vaccines and this is one
312 of the first studies that evidences this cellular protection against COVID-19 in an elderly
313 population.

314

315

316 **Declarations**

317 - ***Ethical Approval and Consent to participate*** The work performed was accepted by
318 the Internal Review Board. Although this work is framed within the nursing home
319 standard of treatment, all participants had to consent to participate.

320 - ***Consent for publication*** All authors have consented to the publishing of this article.

321 - *Availability of data and materials* The data and materials are open to all health
322 agents who wish to view and analyze them.

323 - *Competing interests* There were no conflicting interests in carrying out the
324 proposed work.

325 - *Funding* The work was carried out with the resources of the Health Secretariat of
326 the Municipality of Córdoba; we did not receive any grant to carry out the work.
327 However, for the evaluation of cellular immunity, the Institute of Biology and
328 Experimental Medicine (IBYME) did receive funds as stated in another section.

329 - *Authors' contributions*

330 Martin P. Moya: literature search, figures, study design, data analysis, data
331 interpretation, writing, funding acquisition,

332 Ariel Aleksandroff: study design, data analysis, data interpretation, funding acquisition,
333 Marcela Marrama: study design, data collection,

334 Carina D'Orazio: study design, data collection,

335 Florencia Veigas: literature search, data analysis,

336 Montana N. Manselle Cocco: literature search, data analysis,

337 Tomás Dalotto Moreno: literature search, data analysis,

338 Gabriel A Rabinovich: literature search, data analysis, data interpretation, writing,
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Table1. Characteristics of the participants at baseline	
Characteristic	All Participants (N = 72)
Sex – no. (%)	
Male	51 (69.9)
Female	22 (30.1)
Age – yr*	72.6 ± 9.4
Body-mass index (BMI)*^	25.1 ± 7.7
BMI Category – no. (%)	
Underweight	17 (23.9)
Normal range	20 (28.2)
Overweight	15 (21.1)
Obese (Class I)	4 (5.6)
Obese (Class II)	4 (5.6)
Obese (Class III)	
Type of patient	
Ambulatory	50 (68.5)
Bedridden	23 (31.5)
* Plus–minus values are means ±SD.	
^The body-mass index is the weight in kilograms divided by the square of the height in meters.	

Figures

Efficacy of Sputnik V Vaccine (IgG CMIA)

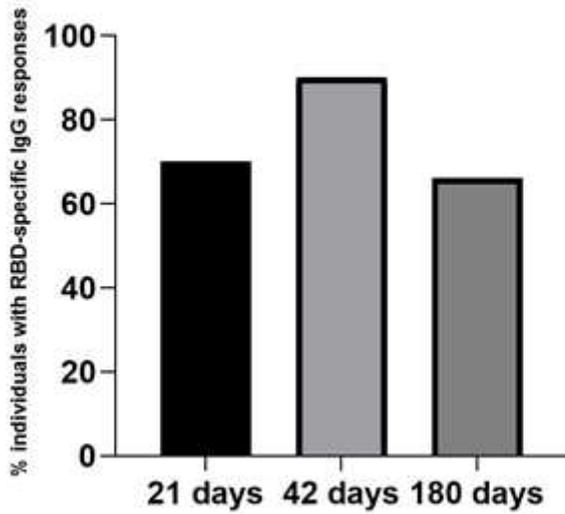


Figure 1

Efficacy of the Sputnik vaccine as measured by the percentage of individuals displaying RBD-specific IgG responses at days 21, 42 and 180 post-immunization.

Anti-SARS-CoV-2IgG (CMIA) titers in previously-infected patients

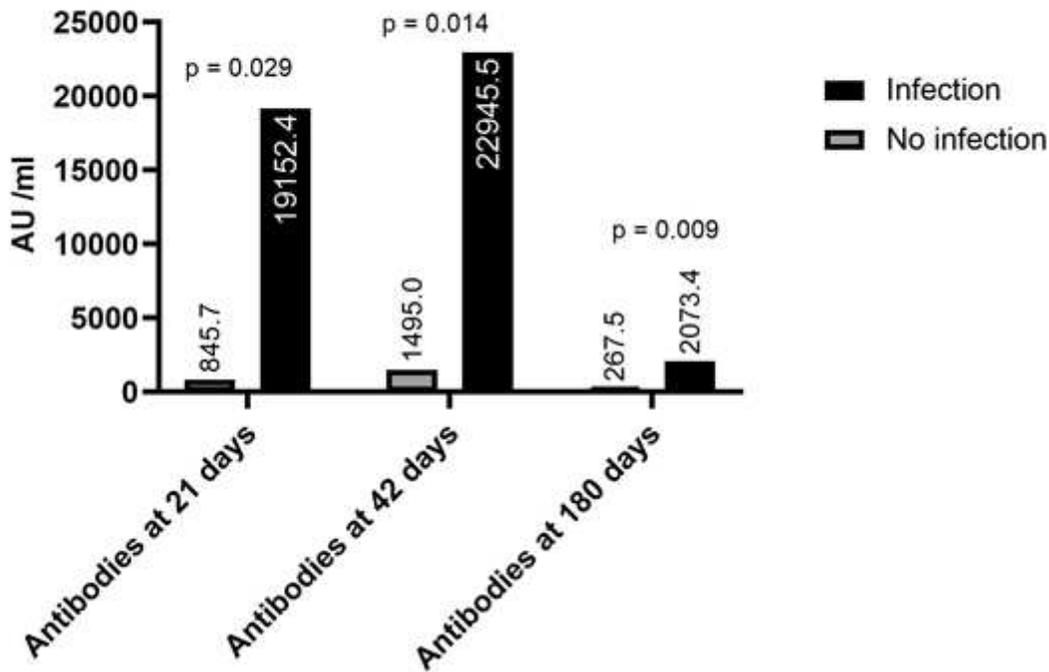


Figure 2

Difference in RBD-specific IgG antibodies in previously infected individuals immunized with Sputnik vaccine versus those who have not been infected or were asymptomatic

Age-dependent RBD-specific IgG antibodies in immunized individuals

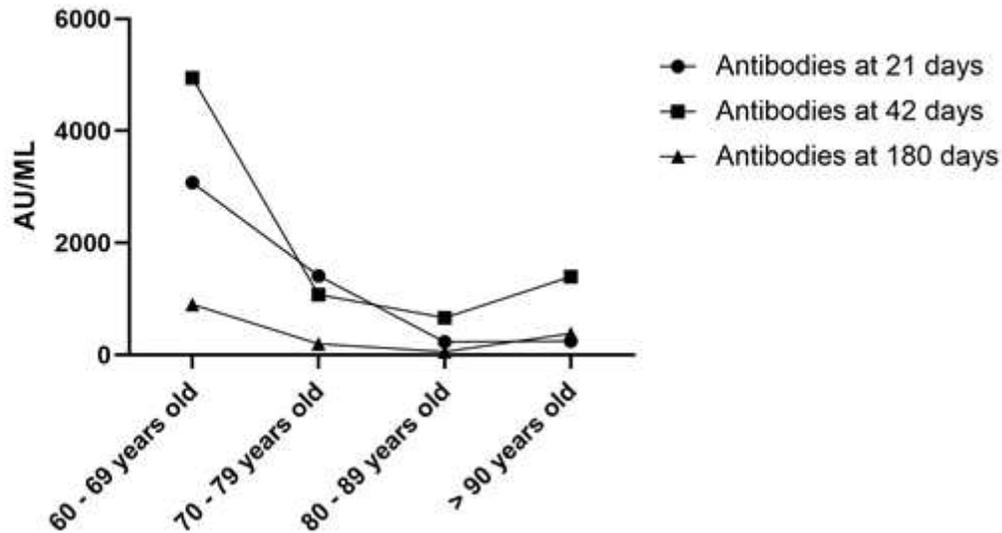


Figure 3

Antibody levels obtained at 21-, 42- and 180-days post-vaccination, following stratification into 4 different groups according to their age (60 to 69 years; 70 to 79 years, 80 to 89 years and over 90 years).

SARS-CoV-2-specific T cell immunity in Sputnik vaccinated individuals

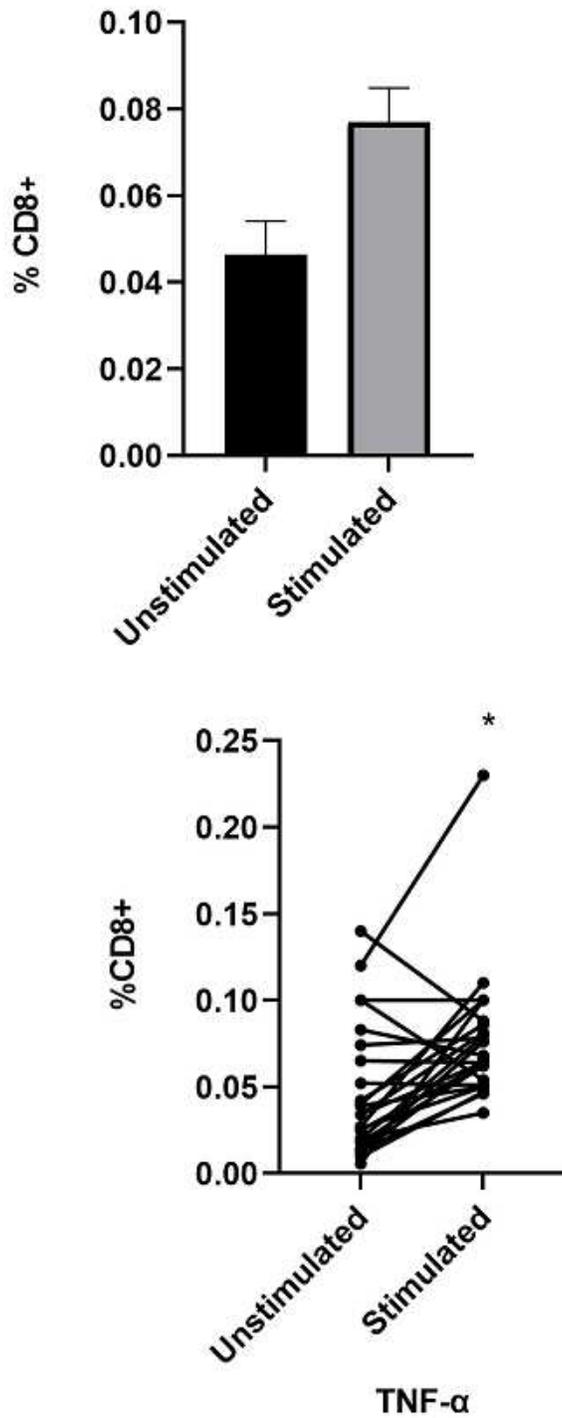


Figure 4

Determination of SARS-CoV-2-specific CD8 T cell immunity in Sputnik vaccinated individuals as measured by TNF synthesis