

Nexus Between Genome-Wide Copy Number Variations and Autism Spectrum Disorder in Northeast Han Chinese Population

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Research

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Abstract

Background: Autism spectrum disorder (ASD) is a common neurodevelopmental condition, with an increasing prevalence worldwide. Copy number variation (CNV), as one of genetic factors, is involved in ASD etiology. However, there exist substantial differences in terms of location and frequency of some CNVs in the general Asian population. Whole-genome studies of CNVs in Northeast Han Chinese samples are still lacking, necessitating our ongoing work to investigate the characteristics of CNVs in a Northeast Han Chinese population with clinically diagnosed ASD.

Methods: We performed a genome-wide CNVs screening in Northeast Han Chinese individuals with ASD using array-based comparative genomic hybridization.

Results: We found 22 kinds of CNVs (six deletions and 16 duplications) were potential pathogenic. These CNVs were distributed in chromosome 1p36.33, 1p36.31, 1q42.13, 2p23.1-p22.3, 5p15.33, 5p15.33-p15.2, 7p22.3, 7p22.3-p22.2, 7q22.1-q22.2, 10q23.2-q23.31, 10q26.2-q26.3, 11p15.5, 11q25, 12p12.1-p11.23, 14q11.2, 15q13.3, 16p13.3, 16q21, 22q13.31-q13.33, and Xq12-q13.1. Additionally, we found 20 potential pathogenic genes of ASD in our population, including eight protein coding genes (six duplications [*DRD4*, *HRAS*, *OPHN1*, *SHANK3*, *SLC6A3*, and *TSC2*] and two deletions [*CHRNA7* and *PTEM*]) and 12 microRNAs genes (ten duplications [*MIR202*, *MIR210*, *MIR3178*, *MIR339*, *MIR4516*, *MIR4717*, *MIR483*, *MIR675*, *MIR6821*, and *MIR940*] and two deletions [*MIR107* and *MIR558*]).

Limitations: The sample size in our study may confer limited statistical power to discover significant findings. De novo or inherited of the CNVs were not be classified because of the lack of data from parents.

Conclusions: We identified CNVs and genes implicated in ASD risks, conferring perception to further reveal ASD etiology.

Background

Autism spectrum disorder (ASD) is a common neurodevelopmental condition, with an increasing prevalence worldwide [1, 2]. Persons with ASD manifest the wide range of symptoms and severity in perceivability and socialization with others, such as limited and repetitive patterns of behavior. Both genetic and environmental factors are involved in the pathogenesis of ASD. Environmental factors, including viral infections, medications during pregnancy, and air pollutants, may contribute to ASD [3]. Compared with environmental factors, genetic factors appear to be a prerequisite for ASD development: genetic changes (mutations) may increase ASD risks; and genes, such as *CHD8* [4], *CNTNAP2* [5], *DCC* [6], neurexin genes [7], *SHANK1* [8], *SHANK2* [9], *SHANK3* [10], and *WNT2* [11] may affect brain development or brain-cells communication. The heritability of ASD has been estimated to be 50%, reflecting that genetic factors contribute to main components in ASD etiology [12].

ASD begins in early childhood. Children with ASD usually show symptoms of autism within the first year, and regress during a period between one and two years of age. Although there is no specific medication for ASD patients [13], early treatment can confer a big difference in the lives of children with ASD. Gene-based test provides an impressive method to identify the potential infants with ASD [8].

Accumulating whole-genome, association, and linkage studies have strongly documented the roles of genes in ASD [14–16]. Copy-number variants (CNVs) are defined as deletions and duplications of DNA segments in the genome greater than one kilobase (Kb) [17, 18]. De novo CNV events have been found to be implicated in the etiology of depression, schizophrenia, bipolar disorder, attention deficit hyperactivity disorder, and ASD [19–22]. Array-based comparative genomic hybridization (aCGH) technology has proven to be a rapid method to detect the association between CNVs and ASD risks [23, 24]. Large simplex ASD cohort studies show that the rate of rare de novo CNVs is significantly higher in affected sibling (5.8–7.9 %) than that in unaffected siblings (1.7–1.9 %) [25, 26]. CNVs at 1q21.1, 2p16.3, 3q29, 7q11.23, 15q11.2–13.1, 16p11.2, 17p11.2, 17q12, and 22q11.2 are associated with ASD risks [24, 27]. Moreover, CNVs in *NRXN1*, *SETD5*, *HDAC9*, and *PARK2* are found to be associated with ASD risks [28–30]. However, there exist substantial differences in terms of location and frequency of some CNVs in the general Asian population [31]. In this paper, we investigated in CNVs in Northeast Han Chinese individuals with ASD.

Research Methods

Study subjects

We enrolled 16 individuals with ASD aged 2 to 7 years from the Chunguang Rehabilitation hospital in Jilin Province, after cases with fragile X syndrome, Rett syndrome, chromosomal abnormalities, and any neurological or psychiatric disorders were excluded. The individuals with ASD were diagnosed by Pediatric Neurology and Neurorehabilitation doctors using the Diagnostic and Statistical Manual of Mental Disorders (5th edition) [32]. All the individuals with ASD were northeast Han Chinese. This study was approved by the ethics committee of Jilin University. The parents or guardians of each individual with ASD signed the written informed consent forms.

DNA extraction and Detection of CNVs

Genomic DNA was extracted from peripheral blood samples using DNA extraction kits, according to the manufacturer's instructions (DP319 TIANamp Blood DNA Kit, TIANGEN BiotechCo. Ltd, Beijing, China) [33]. We used Nano Drop (Cat#ND-1000, ThermoFisher, Waltham, MA, US) and 1% agarose gel electrophoresis to check the quantity and quality of the isolated DNA. We used aCGH for genome-wide CNVs screening (Agilent SurePrint G3 Human CGH 60K). Male and female DNA samples were hybridized with male and female reference DNA samples (G1471, G1521, Promega), respectively.

aCGH data Analysis

We converted the raw data using FEATURE EXTRACTION software 10.7 and analyzed CNVs using Agilent CytoGenomics software 4.0.3.12 (Agilent technologies, Santa Clara, CA, US). The human genome assembly NCBI36/hg18 was used as a reference. The analysis settings for CNVs calling were Aberration Detection Method 2 algorithm, centralization threshold 6, bin size 10, and minimum number of adjacent probes 3. Thresholds were set via \log_2 -ratio (\log_2^R) (for detecting duplications, $\log_2^R \geq 0.25$; for detecting deletions, $\log_2^R \leq -0.25$).

Identification of potential pathogenic CNVs of ASD

We calculated the frequency of each overlapping or non-overlapping CNV in DNA samples from our subjects. CNVs with same overlapping sequence were defined as one kind of CNV, and a non-overlapping CNV was also sorted as one kind of CNV. The circular plot of CNVs distribution in chromosome was visualized using circlize package in R3.6.2 software [34]. We converted our bed file from exon coordinates for human build NCBI36 (hg18) into GRCh37 (hg19) using UCSC LiftOver tool (<http://genome.ucsc.edu/cgi-bin/hgLiftOver>). The classification of CNVs was based on Database of Genomic Variants (DGV, <http://dgv.tcag.ca/dgv/app/home>), Database of Genomic Structural Variation (dbVar, <https://www.ncbi.nlm.nih.gov/dbvar>), Clinical Genome Resources (ClinGen, <https://clinicalgenome.org/>), and Online Mendelian Inheritance in Man (OMIM, <https://www.ncbi.nlm.nih.gov/omim>). CNVs were classified as benign, likely benign, a variant of unknown significance (VOUS), likely pathogenic, and pathogenic using AnnotSV program (<https://lbgf.fr/AnnotSV/>) according to American College of Medical Genetics guideline [35].

CNVs were considered of strong putative interest when they reached the following criteria: (1) they were classified as likely pathogenic or pathogenic; (2) they were of large size (> 100kb); (3) they had been found in the knowledgebases for the genetic evidence of ASD (Simons Foundation Autism Research Initiative [SFARI, <https://www.sfari.org/resource/sfari-gene/>], or AutismKB [http://db.cbi.pku.edu.cn/autismkb_v2/index.php]); (4) they had been found in the Database of genomic variation and phenotype in Humans using Ensembl Resources (DECIPHER, <https://decipher.sanger.ac.uk/about#overview>); and (5) they contained previously reported ASD-related genes. All potential pathogenic CNVs showing $\geq 90\%$ overlap with at least one common variant of the same type in the DGV database were considered as common CNVs, and the others were rare CNVs [36, 37].

Identification of potential pathogenic genes of ASD

We selected potential pathogenic genes within potential pathogenic CNVs on the basis of the following criteria: (1) genes enriched in ASD-related pathways; and (2) within 363 genes classified as high-confidence or strong-candidate in SFARI, and 228 genes classified as high-confidence in AutismKB.

Identification of potential pathogenic microRNAs of ASD

MicroRNAs (miRNAs) are involved in the pathogenesis of ASD [30, 38]. Because genes implicated in CNVs that we found encode miRNAs, we further selected potential-pathogenic-CNVs-related miRNAs by retrieving PubMed according to experimental evidence documenting nervous system dysfunction.

Bioinformatic analysis

The Gene Ontology (GO) and KEGG pathway analyses of the genes from potential pathogenic CNVs were performed using clusterProfiler package in R3.6.2 software [39]. *P*-value < 0.05 was considered statistically significant. miRWalk 2.0 database, which contained 12 miRNA-target-prediction database, was used to predict target genes of CNVs-miRNAs [40]. We selected the target genes according to the criteria—target genes existed in at least seven of the 12 databases. Moreover, interactive relationship between CNVs-miRNAs and target genes was presented using Cytoscape 3.8.0 (<http://www.cytoscape.org/>).

Results

Identification CNVs

To detect CNVs, aCGH was performed in all DNA samples from the 16 subjects with ASD (13 males and 3 females). We identified 364 CNVs (153 deletions and 211 duplications) with an average genomic size of 211.982 kb (114.091 kb for deletions and 258.705 kb for duplications). The mean number of CNVs per subject was 22.750 (9.563 for deletions and 13.188 for duplications). The mean number of deletions in male (10.462) was greater than that in females (5.667) (Table 1).

Table 1
The Characteristics of genome-wide CNVs among our subjects

Characters	Number (proportion %) of CNVs	Median CNV Size (kb)	Mean Number of CNVs per Subject
Total	364 (100.0)	211.982 (78.813, 705.031)	22.750
Male	299 (82.1)	213.241 (81.797, 864.947)	23.000
Female	65 (17.9)	132.360 (73.256, 305.926)	21.667
Duplication	211 (58.0)	258.705 (86.903, 708.853)	13.188
Male	163 (77.3)	305.926 (86.903, 1092.277)	12.538
Female	48 (22.7)	133.712 (87.668, 377.979)	16.000
Deletion	153 (42.0)	114.091 (73.256, 656.149)	9.563
Male	136 (88.9)	114.518 (73.256, 693.563)	10.462
Female	17 (11.1)	73.256 (60.021, 135.433)	5.667
A total of 13 males and 3 females.			

Identification potential pathogenic CNVs of ASD

A total of 20 CNVs from 364 CNVs failed to be converted to GRCh37 (hg19); thus, we obtained 72 benign, 65 likely benign, 9 VOUS, 167 likely pathogenic, and 31 pathogenic CNVs (Table 2). We found that more than half CNVs were likely pathogenic or pathogenic.

Table 2
The Classification of CNVs based on ACMG

Classification	Total (%)	Duplication (%)	Deletion (%)
Benign	72 (19.8)	35 (16.6)	37 (24.2)
Likely Benign	65 (17.9)	59 (28.0)	6 (3.9)
VOUS	9 (2.5)	9 (4.3)	0 (0.0)
Likely Pathogenic	167 (45.9)	68 (32.2)	99 (64.7)
Pathogenic	31 (8.5)	28 (13.3)	3 (2.0)
VOUS: variant of unknown significance; A total of 20 CNVs failed to be converted to GRCh37 (hg19), thus, the total proportion was not equal to 100%. ACMG: American College of Medical Genetics guideline			

After we calculated the frequency of each overlapping or non-overlapping CNV in DNA samples from our subjects, 344 CNVs were converted into 115 kinds of CNVs (45 deletions and 70 duplications). All the 115 kinds of CNVs were further classified (benign: 13 kinds; likely benign: 18 kinds; VOUS: two kinds; likely pathogenic: 60 kinds; and pathogenic: 13 kinds) (Supplementary Table 1). The distribution of the 115 kinds of CNVs in chromosome is visualized by circular plot (Fig. 1).

We investigated SFARI, AutismKB, and DECIPHER database to identify potential pathogenic CNVs from the 115 kinds of CNVs, revealing that 22 kinds of CNVs (6 deletions and 16 duplications) were potential pathogenic. The 22 kinds of CNVs were distributed in chromosome 1, 2, 5, 7, 10, 11, 12, 14, 15, 16, 22, and X. Among them, 19 kinds of CNVs were rare (Table 3).

Table 3
Summary of candidate CNVs of ASD

M/F No.	Coordinates, hg18	Cytoband	Size (Kb)	CNV Type	Classification	Rare/Common CNV	Number of genes	Gene Name
1/0	chr1:1179223-2271500	1p36.33	1092.277	Duplication	LP	Rare	42	DVL1, TMEM52
1/0	chr1:5998727-6334157	1p36.31	335.430	Duplication	LP	Rare	9	CHD5
4/0	chr1:225876894-226738916	1q42.13	862.022	Duplication	LP	Rare	26	PRSS38
1/0	chr2:31412158-32712484	2p23.1-p22.3	1300.327	Deletion	P	Rare	12	BIRC6, SPAST, SRD5A2
1/0	chr5:360041-873365	5p15.33	513.324	Duplication	LP	Common	11	AHRR, EXOC3, PDCD6
1/0	chr5:1115468-8452427	5p15.33-p15.2	7336.959	Duplication	P	Rare	49	ADCY2, SLC6A3, TERT
2/0	chr7:524935-1037461	7p22.3	512.526	Duplication	LP	Rare	13	ADAP1, PRKAR1B
1/0	chr7:1037461-2536804	7p22.3-p22.2	1499.343	Duplication	LP	Rare	26	INTS1
1/0	chr7:103622888-104803388	7q22.1-q22.2	1180.501	Deletion	LP	Rare	8	KMT2E, LHFPL3
13/0	chr10:89540133-91524263	10q23.2-q23.31	1984.131	Deletion	LP	Rare	31	PTEN
1/0	chr10:127658856-135254513	10q26.2-q26.3	7595.658	Duplication	P	Rare	58	DOCK1, EBF3, GLRX3
5/2	chr11:498019-2179368	11p15.5	1681.349	Duplication	P	Rare	84	BRSK2, CD151, CTSD, DEAF1, DRD4, HRAS, IGF2, PHRF1, TALDO1
3/0	chr11:132773688-134043707	11q25	1270.019	Duplication	LP	Rare	16	IGSF9B
6/0	chr12:25156062-27414420	12p12.1-p11.23	2258.359	Deletion	LP	Rare	17	KRAS, MED21
1/0	chr14:22086438-22354007	14q11.2	267.569	Deletion	LP	Rare	4	SLC7A7
1/0	chr15:29809025-30298155	15q13.3	489.131	Deletion	P	Rare	1	CHRNA7
0/2	chr16:2021433-2484806	16p13.3	463.373	Duplication	LP	Common	32	PGP, PKD1, RNPS1, SLC9A3R2, TRAF7, TSC2
0/3	chr16:2484806-2747528	16p13.3	262.722	Duplication	LP	Common	13	SRRM2

M/F No.	Coordinates, hg18	Cytoband	Size (Kb)	CNV Type	Classification	Rare/Common CNV	Number of genes	Gene Name
2/0	chr16:61464644–64965235	16q21	3500.591	Duplication	LP	Rare	4	CDH11
4/0	chr22:46395224–49412774	22q13.31-q13.33	3017.550	Duplication	P	Rare	44	CHKB, MAPK12, MAPK8IP2, PANX2, PPP6R2, SBF1, TRABD
2/0	chr22:49412774–49525130	22q13.31-q13.33	112.356	Duplication	P	Rare	3	SHANK3
1/0	chrX:67331017–68768438	Xq12-q13.1	1437.422	Duplication	P	Rare	8	OPHN1

M: Male; F: Female. M/F No. means the number of CNV among male/female. P: Pathogenic; LP: Likely Pathogenic. The genes were reported to be related with ASD.

Identification of potential pathogenic genes with CNVs of ASD

A total of 511 genes from the 22 potential pathogenic CNVs were functionally annotated by GO. The annotated genes were classified into three GO domains (biological processes [BP], cellular component [CC], and molecular function [MF]). For BP, some gene sets were enriched in synaptic-related functions, including modulation of chemical synaptic transmission (GO: 0050804), regulation of trans-synaptic signaling (GO: 0099177), positive regulation of excitatory postsynaptic potential (GO: 2000463), positive regulation of synaptic transmission (GO: 0050806), chemical postsynaptic transmission, (GO: 0099565), modulation of excitatory postsynaptic potential (GO: 0098815), and regulation of postsynaptic membrane potential (GO: 0060078), and in central nervous system related functions (positive regulation of neurological system process [GO: 0031646]). For CC, the top five CC terms included keratin filament (GO: 0045095), myelin sheath (GO: 0043209), Golgi lumen (GO: 0005796), glutamatergic synapse (GO: 0098978), and neuron to neuron synapse (GO: 0098984). For MF, the top five MF terms encompassed catecholamine binding (GO: 1901338), dopamine binding (GO: 0035240), magnesium ion binding (GO: 0000287), insulin receptor binding (GO: 0005158), and lipase activity (GO: 0016298). The top 20 GO functions are presented in Fig. 2 and Supplementary Table 2, 3, 4.

KEGG pathway enrichment analysis showed enriched key pathways, such as dopaminergic synapse (hsa04728), mTOR signaling pathway (hsa04150), GnRH signaling pathway (hsa04912), cholinergic synapse (hsa04725), and MAPK signaling pathway (hsa04010). The top 20 pathways are presented in Fig. 2 and Supplementary Table 5.

We constructed intersections among 511 genes that we found, 363 high-confidence or strong-candidate risk genes of ASD reported in SFARI database, and 228 high-confidence risk genes related to ASD reported in AutismKB database (Fig. 3). After investigating genes in the intersections, we found that cholinergic receptor nicotinic alpha 7 subunit gene (*CHRNA7*) was involved in the regulation of excitatory postsynaptic potential and cholinergic synapse; dopamine receptor D4 gene (*DRD4*) was involved in the regulation of synaptic transmission, dopamine binding, and glutamatergic synapse; HRas proto-oncogene (*HRAS*) played roles in the regulation of excitatory postsynaptic potential, glutamatergic synapse, and mTOR signal pathway; oligophrenin 1 gene (*OPHN1*) correlated with regulated synaptic signal, ionic glutamate receptor binding, and glutamatergic synapse; phosphatase and tensin homolog (*PTEN*) was implicated in the regulation of synaptic signal, neuron differentiation of central nervous system, ionic glutamate receptor binding, sphingolipid signaling, and mTOR signaling; SH3 and multiple ankyrin repeat domains 3 gene (*SHANK3*) was involved in the regulation of synaptic signal, ionic glutamate receptor binding, neuronal synapse, postsynaptic density, and asymmetric synapse; solute carrier family 6 member 3 gene (*SLC6A3*) played roles in dopamine binding, neurotransmitter: sodium cotransporter activity, and neurotransmitter transport activity; and TSC complex subunit 2 gene (*TSC2*) was involved in synapses, postsynaptic density, asymmetric synapses, and mTOR signaling pathways. Scores of all these genes (*CHRNA7*, *DRD4*, *HRAS*, *OPHN1*, *PTEN*, *SHANK3*, *SLC6A3*, and *TSC2*) in AutismKB and corresponding ranks in SFARI are listed in Table 4. *DRD4*, *HRAS*, *OPHN1*, *SHANK3*, *SLC6A3*, and *TSC2* were in the regions of CNVs duplication. *CHRNA7* and *PTEN* were in the regions of CNVs deletion.

Table 4
Summary of candidate genes of ASD

Gene Name	CNV Type	M/F No.	Category of gene in SFARI	Score of gene in AutismKB
CHRNA7	Deletion	1/0	2	#
DRD4	Duplication	5/2	—	30
HRAS	Duplication	5/2	1	20
OPHN1	Duplication	1/0	2	#
PTEN	Deletion	13/0	1	78
SHANK3	Duplication	2/0	1	62
SLC6A3	Duplication	1/0	2	30
TSC2	Duplication	0/2	1	46

M: Male; F: Female. M/F No. means the number of CNV among male/female. —: Not reported as high-confidence or strong candidate autism risk genes in SFARI. #: Not reported as high-confidence autism risk genes in AutismKB.

Identification and Analysis of potential pathogenic miRNAs with CNVs of ASD

We found 50 potential-pathogenic-CNVs-related miRNAs (45 encoded by duplication regions and 5 encoded by deletion regions). According to experimental evidence documenting nervous system dysfunction, we retrieved PubMed, identifying that 12 miRNAs genes were previously reported to be associated with brain or nervous system dysfunction (Table 5).

Table 5
miRNAs with function related to brain or nervous system in CNVs

miRNA ID	CNV Type	Functional relevance	Reference (PMID)
miR-202	Duplication	Depression, Glioma, Neuroblastoma	32425535; 28714009; 21654684; 24337320
miR-210	Duplication	Alzheimer's disease, Epilepsy, Glioblastoma, Glioma, Head and neck paragangliomas, Neuroblastoma, Neuroprotective effects	31092279; 23108914; 21655185; 22977270; 23902947; 25279461; 24729345; 24382515; 25481483; 24930954; 25756397; 29126304; 29362886; 31146085; 32194691; 31896490; 29226333; 30947960; 30746749; 27471387
miR-3178	Duplication	Neuropsychiatric diseases	30766477
miR-339	Duplication	Alzheimer's disease, Glioblastoma, Neuroendocrine neoplasias	32176627; 29983867; 30564636; 24352696; 30176243
miR-4516	Duplication	Glioblastoma	30559405
miR-4717	Duplication	Guillain-Barre Syndrome	27836180
miR-483	Duplication	Alzheimer's disease, Glioma, Neuroblastoma	31938135; 24577456; 22465663
miR-675	Duplication	Glioma	31468534; 28187439; 24466011
miR-6821	Duplication	Alzheimer's disease	27050411
miR-940	Duplication	Glioblastoma, Glioma	31497204; 30906627; 31934283; 29296221; 30431124
miR-107	Deletion	Alzheimer's disease, Amnesic mild cognitive impairment, Bipolar disorder, Brain disorders, Frontotemporal dementia, Glioblastomas, Glioma, Major depression, Neuroblastoma, Neurogenesis, Schizophrenia	31556571; 29258209; 28847283; 26084601; 30543171; 31250578; 27343180; 21625387; 20489155; 28578378; 25662174; 22811466; 20413881; 31778666; 31787850; 29885309; 29671226; 30056425; 30480816; 18234899; 29136645; 23811124; 27143098; 29073742; 25596705; 31605836; 31420923; 23220650; 22594617; 26223576; 23572380; 27501295; 27878295; 32124921; 23962497; 29286086; 21179570; 21111402
miR-558	Deletion	Neuroblastoma	25616966; 27276678

We intersected target genes predicted using miRWalk 2.0 database with the union between SFARI and AutismKB, presenting the interaction networks between CNVs-miRNAs and 219 target genes (Figs. 4 and 5).

We further investigated potential functions of the 219 target genes using GO analysis. For BP, some gene sets were enriched in synaptic-related functions, including synapse organization (GO: 0050808), modulation of chemical synaptic transmission (GO: 0050804), regulation of trans-synaptic signaling (GO: 0099177), synaptic transmission, glutamatergic (GO: 0035249), postsynaptic density organization (GO: 0097106), postsynaptic specialization organization (GO: 0099084) and regulation of glutamatergic synaptic transmission (GO: 0051966), and in central nervous system related functions, including learning or memory (GO: 0007611), cognition (GO: 0050890), and neurotransmitter transport (GO: 0006836). For CC, some gene sets were enriched in synaptic-related cellular components, including synapse membrane (GO: 0097060), postsynaptic specialization (GO: 0099572), and neuron to neuron synapse (GO: 0098984). For MF, some gene sets were enriched in ion-gated channel activity (GO: 0022839), gated channel activity (GO: 0022836), ion channel activity (GO: 0005216), ionotropic glutamate receptor activity (GO: 0004970), and transmitter gated channel activity (GO: 0022835). The top 20 GO functions are presented in Fig. 6 and Supplementary Table 6, 7, 8.

KEGG pathway enrichment analysis showed enriched key pathways, such as glutamatergic synapse (hsa04724), dopaminergic synapse (hsa04728), and Wnt signaling pathway (hsa04310). The top 20 pathways are presented in Fig. 6 and Supplementary Table 9.

Discussion

In the present study, we identified that 22 kinds of CNVs (six deletions and 16 duplications), eight protein-coding genes, and 12 miRNAs genes are associated with ASD risks in northeast Chinese Han from Jilin province, China.

CNVs have repeatedly been found to correlate with ASD risks [41, 42]. In our study, we filtered 22 potential pathogenic CNVs. Individuals with deletions and duplications of 15q13.3 have been found to manifest neuropsychiatric disease and cognitive deficits [43]. In line with the discoveries of Chen *et al.* [44] and Pinto *et al.* [28], we further documented that CNVs at 22q13.33 and 15q13.3 are associated with ASD risks. Autism-related phenotypes are common in patients with deletion or duplication at 22q13.3 [45–48]. Most of the defects are due to haploinsufficiency of *SHANK3* [46]. Chen *et al.* found a deletion at 22q13.3 in two male children with ASD and a duplication at 22q13.31-q13.33 in one male child with ASD from Taiwan, China [44]. In our study, we found a duplication at 22q13.31-q13.33 that overlaps *SHANK3* from two male children with ASD, indicating that the duplication at 22q13.31-q13.33 may play a key role in the etiology of ASD in our population. CNVs at 15q13.3 have been found to be involved in a variety of neuropsychiatric diseases, including intellectual disability/developmental delay, epilepsy, schizophrenia, and ASD [43, 49–51]. The relation between *CHRNA7* at 15q13.3 and neuropsychiatric disorder phenotype has been validated intensively [50]. In accordance with the discovery of Pinto *et al.* [28], we also found that a deletion of *CHRNA7* is associated with ASD risks. Except *CHRNA7* and *SHANK3*, we found CNVs-duplications (*DRD4*, *HRAS*, *OPHN1*, *SLC6A3*, and *TSC2*) and CNVs-deletions (*PTEN*). For *DRD4* and *HARS*, we found seven children with ASD had duplications at 11p15.5, which overlaps *DRD4* and *HARS*. Mutations in *DRD4* are associated with ASD risks [52–54]. The mRNA expression levels of *DRD4* in peripheral blood lymphocytes are higher in people with ASD than that in healthy controls [55, 56]. Haurault *et al.* also found positive association between *HRAS* and autism in French-Caucasian [57, 58]. For *OPHN1* at Xq12-q13.1, Celestino-Soper *et al.* found a deletion of exons 7–15 of *OPHN1* at Xq12 in a male child with ASD [59]. In contrast, we found a male child with ASD had a duplication at Xq12-q13.1. For *SLC6A3* at 5p15.33-p15.2, Bowton *et al.* found *SLC6A3* coding variant Ala559Val is related to ASD [60]. We further found a child with ASD had a duplication at 5p15.33-p15.2. For *TSC2* at 16p13.3 and *PTEN* at 10q23.2-q23.31, Bourgeron *et al.* found that mutations in *TSC2* and *PTEN* activate the mTOR/PI3K pathway, associating with ASD risks [61]. We found duplications at 16p13.3 in two female children with ASD. *PTEN* loss involved in white matter pathology in human with ASD is consistent with in mouse models of *Pten* loss [62]. We revealed that deletions at 10q23.2-q23.31 overlapping *PTEN* in 13 male children with ASD, rather than 3 female children with ASD. Thus, these eight genes may be implicated in the etiology of ASD.

MiRNAs coded within CNVs are important functional variants, providing a new dimension to recognize the association between genotype and phenotype [63]. MiRNAs play vital roles in governing essential aspects of inhibitory transmission and interneuron development in nervous system [64]. Deletion or duplication of a chromosomal loci changes the levels of miRNAs which further impact on neuronal function and communication [38]. In our study, 12 candidate-susceptible miRNA-coding genes of ASD were identified (ten duplications [*MIR202*, *MIR210*, *MIR3178*, *MIR339*, *MIR4516*, *MIR4717*, *MIR483*, *MIR675*, *MIR6821*, and *MIR940*] and two deletions [*MIR107* and *MIR558*]). *BDNF*, a brain-derived neurotrophic factor and a member of the neurotrophic factor family, is a target gene of miR-202 [65]. Moreover, we further predicted that miR-4717-5p, miR-483-3p, and miR-940 also targeted *BDNF*. Skogstrand *et al.* found that lower *BDNF* levels in serum correlate with ASD risks [66, 67]. miR-339-5p has been found to be a drug target for Alzheimer's disease, and is low expressed in mature neurons and related to axon guidance [68, 69]. In our study, we found that miR-339-5p targets 42 genes associated with ASD risks. Among these genes, the association of *DIP2A* and ASD risks has been validated by our team [70]; moreover, *Dip2a* knockout mice exhibit autism-like behaviors, including excessive repetitive behavior and social novelty defects [71]. Notably, autism-like behaviours and germline transmission in *MECP2* transgenic monkeys corroborate association between miR-339-5p and *MECP2* [72]. In addition, miR-202-5p, miR-483-3p, and miR-940 also targets *MECP2*. For these reasons, miRNAs coded within CNVs may be implicated in ASD etiology.

Limitations

Our study had some limitations: (1) the sample size in our study may confer limited statistical power to discover significant findings; (2) genetic and environmental factors contribute to ASD risk; however, environmental factors were not available for us; and (3) de novo or inherited of the CNVs were not be classified because of the lack of data from parents.

Conclusions

We identified that 22 kinds of CNVs (six deletions and 16 duplications), eight protein-coding genes, and 12 miRNAs genes are implicated in ASD risks, conferring perception to further reveal ASD etiology.

Abbreviations

ASD Autism spectrum disorder

CNV Copy number variation

Kb kilobase

aCGH Array-based comparative genomic hybridization

miRNAs MicroRNAs

GO Gene Ontology

BP biological processes

CC cellular component

MF molecular function

Declarations

Ethics approval and consent to participate

This study was approved by the ethics committee of Jilin University.

Consent for publication

Not applicable.

Availability of data and material

The datasets generated during the current study are available from the corresponding authors on reasonable request.

Competing of interests

The authors declare no conflict of interest.

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Author's contributions

Study design: Shuang Qiu, Yi Cheng, and Yawen Liu. Experiment: Shuang Qiu. Data collection, analysis and interpretation: Shuang Qiu, Xianling Cong, Yan Li, Jikang Shi, Yingjia Qiu, Yanbo Guo, Yulu Gu, Yong Li, Xiaojuan Zhu, Yunkai Liu, and Yichun Qiao. Drafting of the manuscript: Shuang Qiu. Critical revision of the manuscript: Yi Cheng and Yawen Liu. Approval of the final version for publication: all co-authors.

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Figures

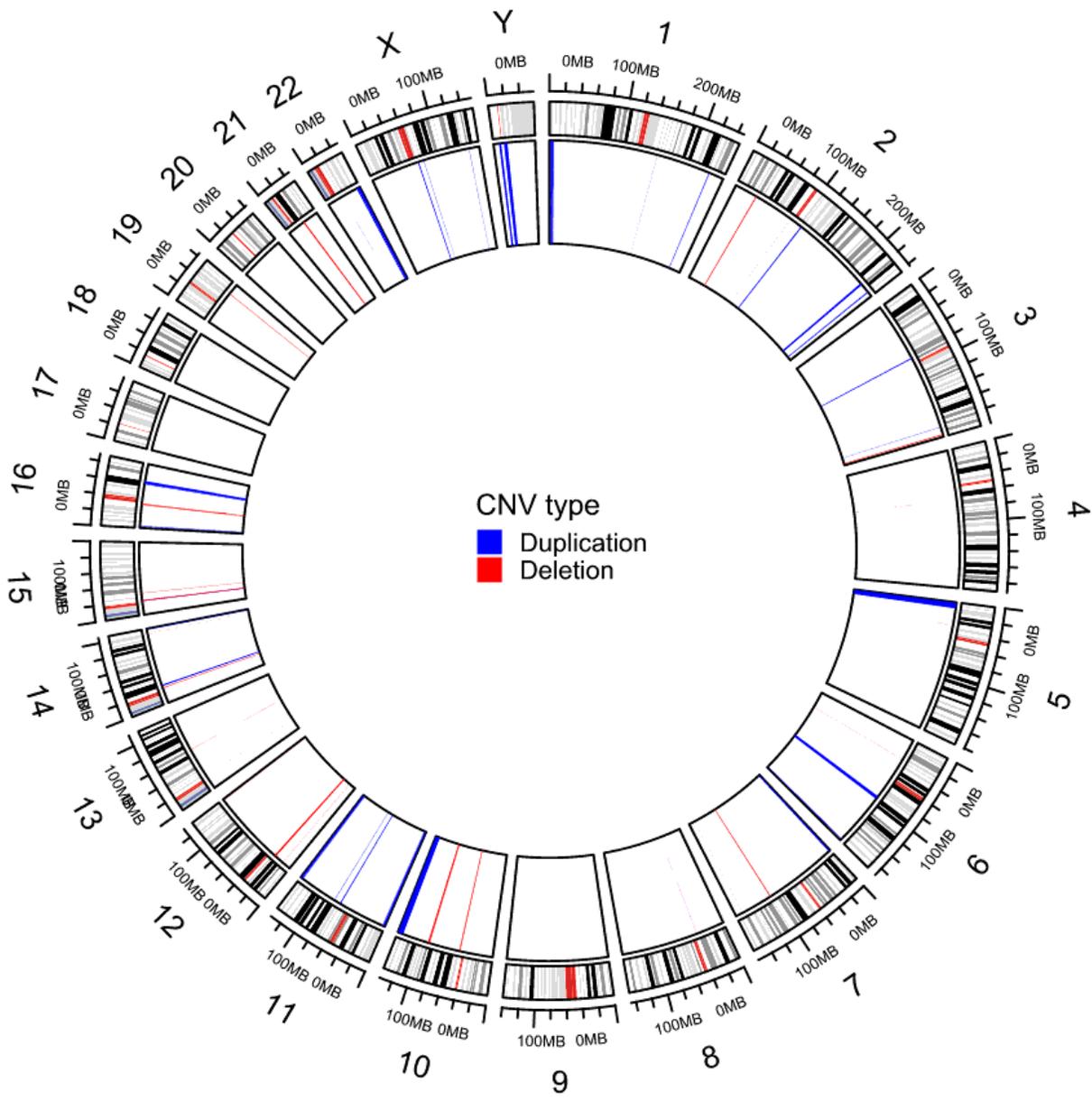


Figure 1

The distribution of CNVs on genome-wide chromosomes

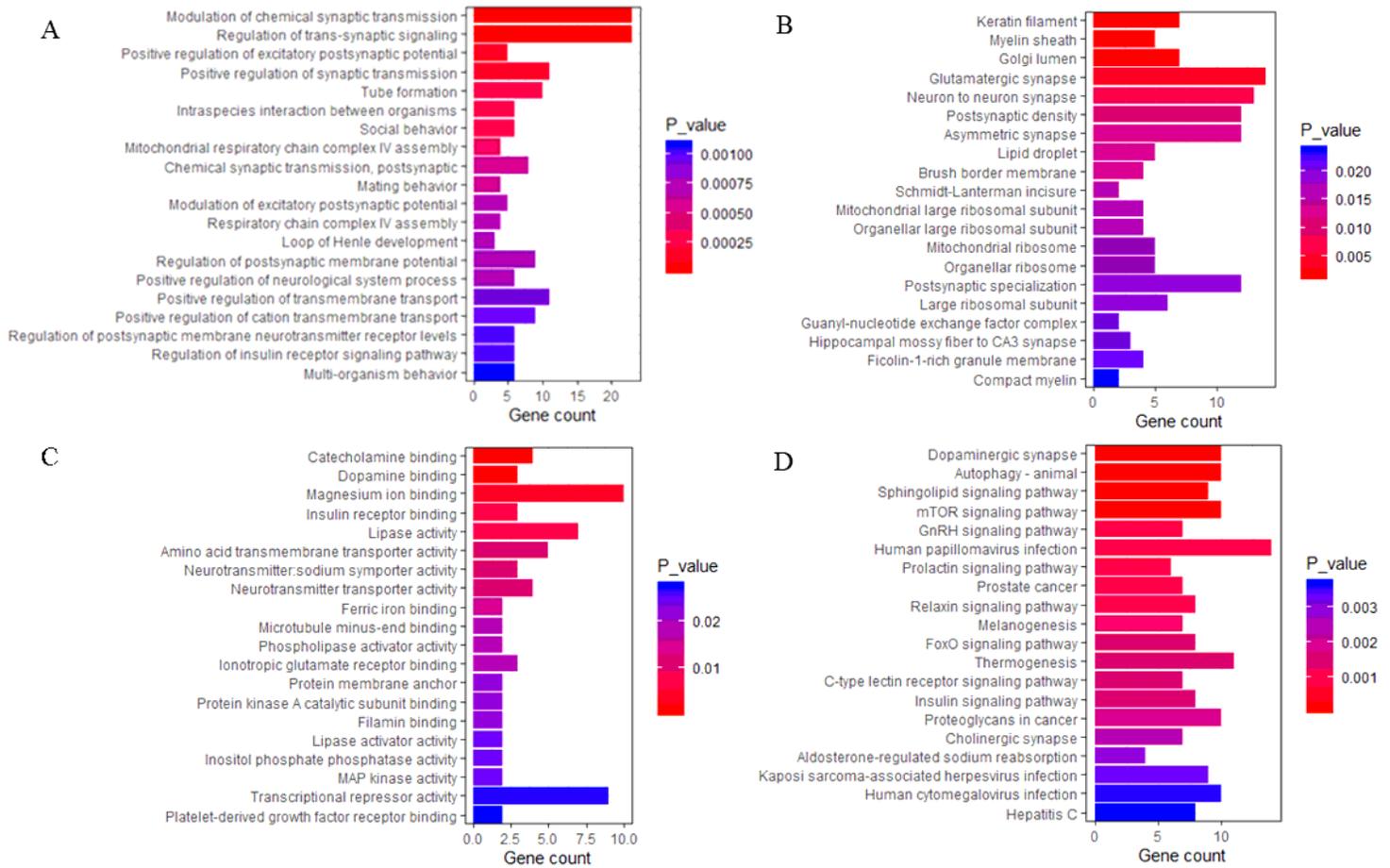


Figure 2

Function and pathway enrichment of the 511 genes from 22 potential pathogenic CNVs Note: Top 20 annotations or pathways ordered by P_value. A: Biological Process; B: Cellular Component; C: Molecular Function; D: Kyoto encyclopedia of genes and genomes pathway. The ordinate represents the gene ontology function, and the abscissa represents the number of genes enriched to the term. P_value indicate the degree of enrichment, with smaller P_value indicating genes that are more likely to play significant functional roles.

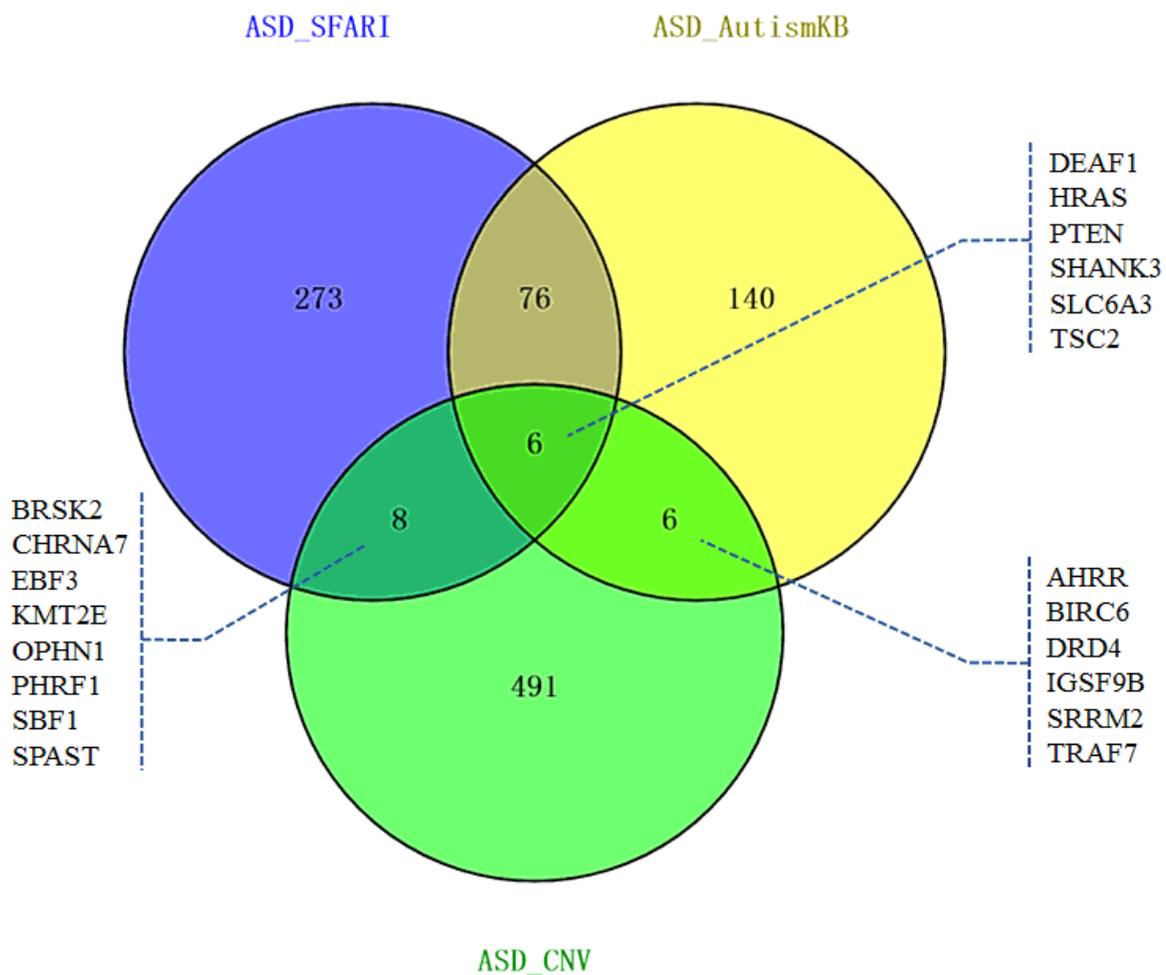


Figure 3

Venn diagram of the number of genes among ASD_SFARI, ASD_AutismKB and ASD_CNV. Note: We denote genes in our candidate CNVs for ASD as "ASD_CNV", the 363 high confidence and strong candidate autism risk genes in SFARI as "ASD_SFARI", and the 228 high confidence autism related genes in AutismKB as "ASD_AutismKB".

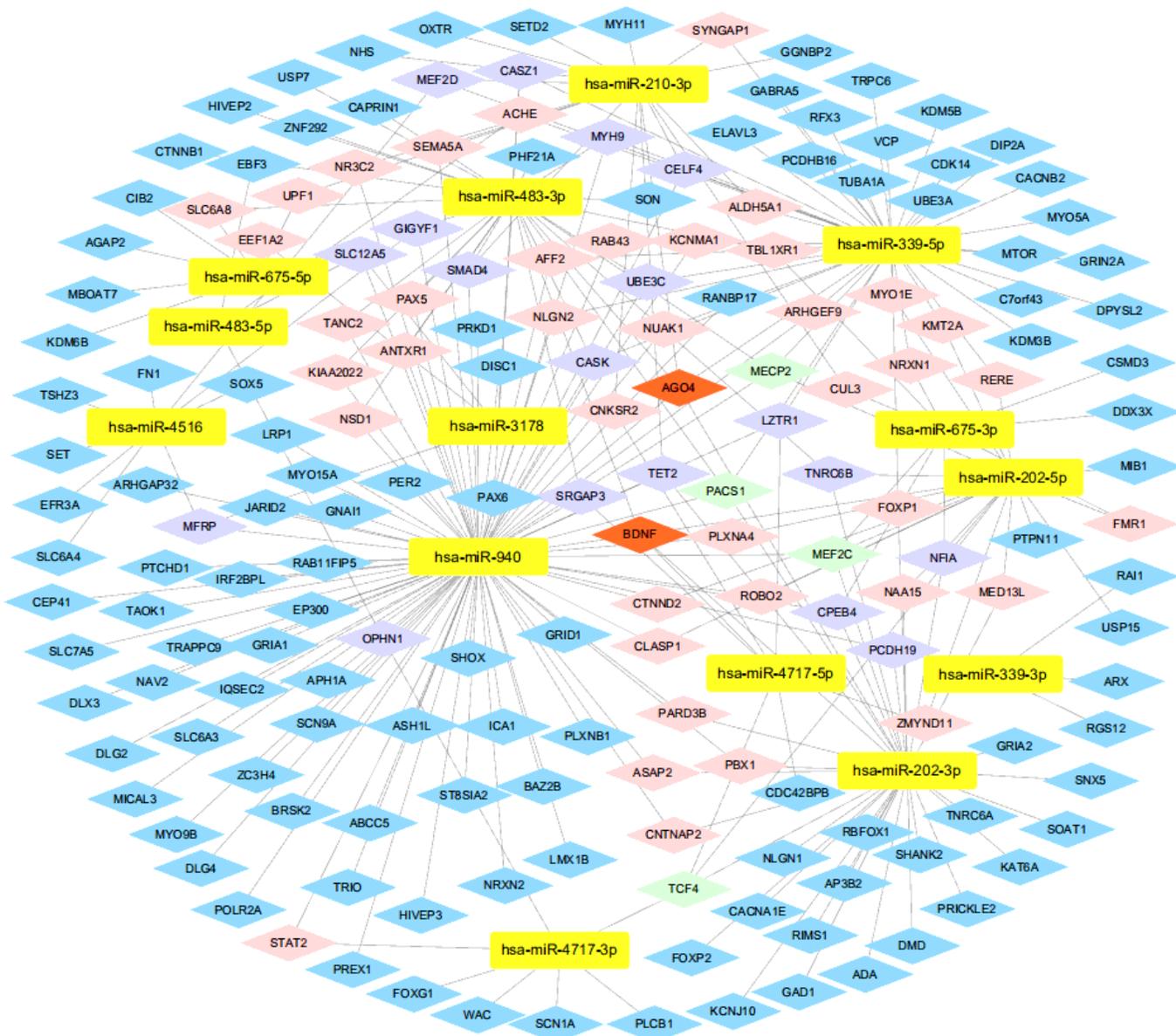


Figure 4

CNVs-miRNAs-target genes interaction network in ASD (duplication) Note: Yellow rectangles represent the miRNAs in pathogenic CNVs regions, while miRNAs-target genes are denoted by diamonds. Blue, pink, purple, light green, and red diamonds represent different target genes which are targeted by 1, 2, 3, 4, and 5 miRNAs respectively.

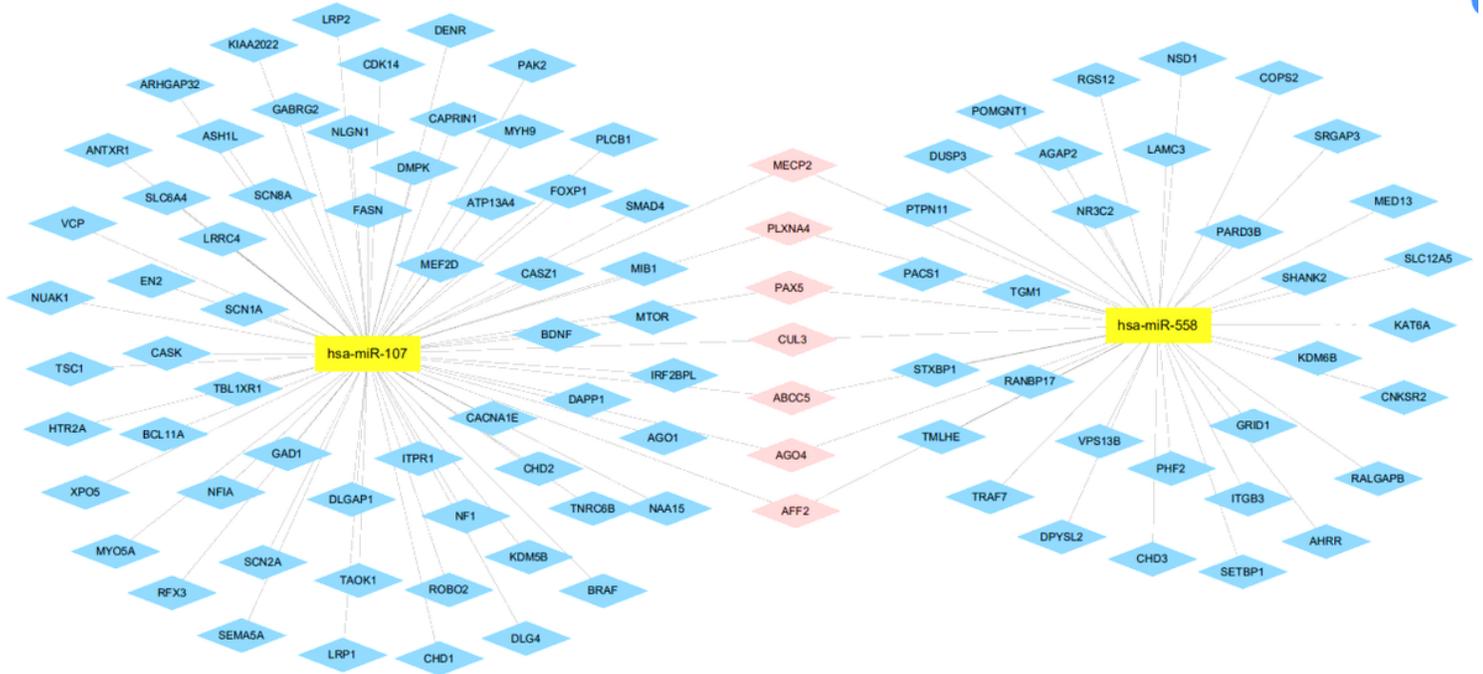


Figure 5

CNVs-miRNAs-target genes interaction network in ASD (deletion) Note: Yellow rectangles represent the miRNAs in pathogenic CNVs regions, while miRNAs-target genes are denoted by diamonds. Blue and pink diamonds represent different target genes which are targeted by one and two miRNAs respectively.

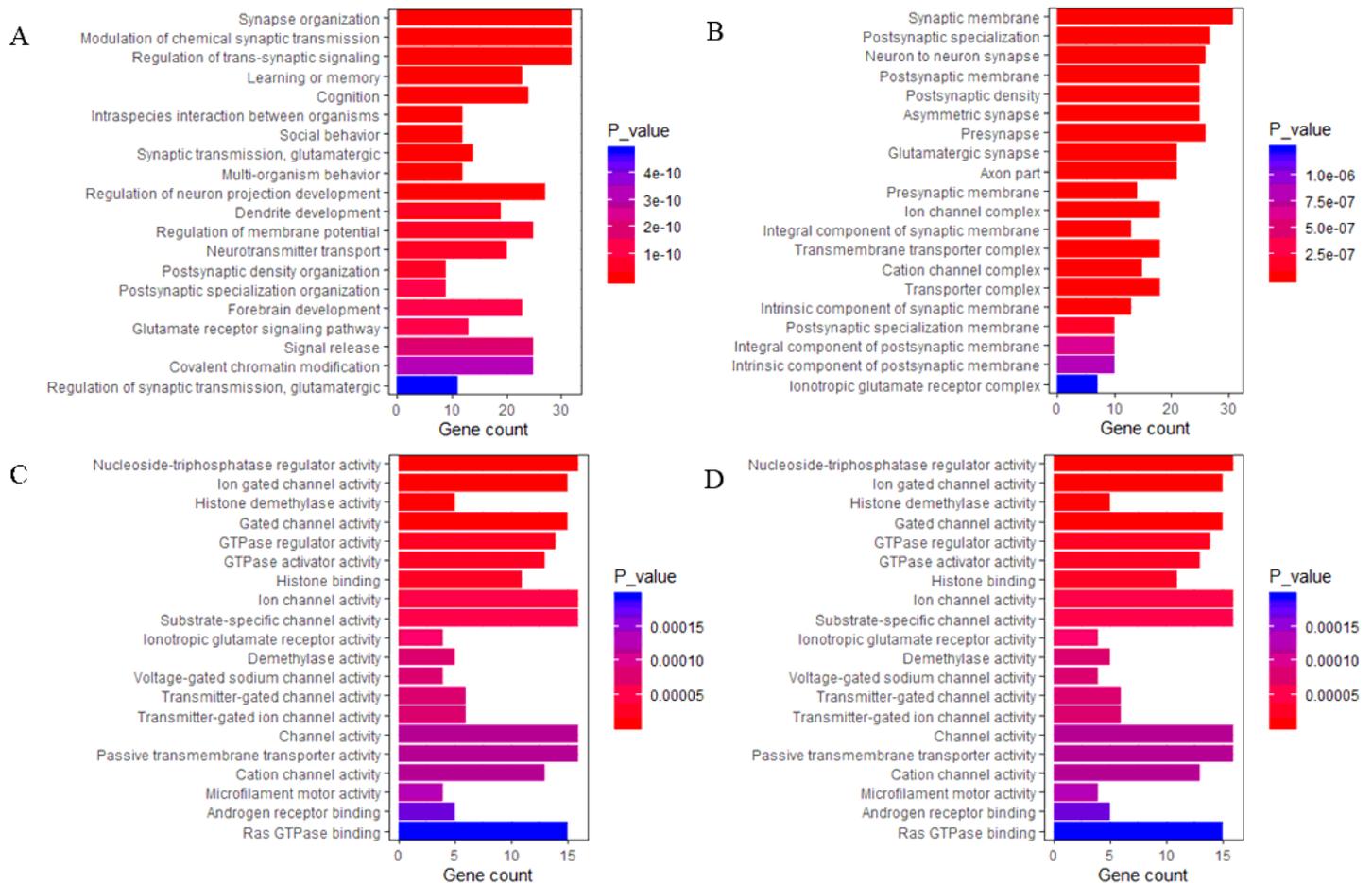


Figure 6

Function and pathway enrichment of the 219 target genes of 16 potential pathogenic miRNAs in CNVs Note: Top 20 annotations or pathways ordered by P_value. A: Biological Process; B: Cellular Component; C: Molecular Function; D: Kyoto encyclopedia of genes and genomes pathway. The ordinate represents the gene ontology function, and the abscissa represents the number of genes enriched to the term. P_value indicate the degree of enrichment, with smaller P_value indicating genes that are more likely to play significant functional roles.

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