

# Inverse Ratio Ventilation for Preventing Intra-Operative Hypercapnia in Children Undergoing Laparoscopic Surgery

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## Research

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# Abstract

## Background

High end-tidal carbon dioxide tension ( $P_{ET}CO_2$ ) and respiratory acidosis occurs frequently in patients undergoing laparoscopic surgery. The aim of this study is to be investigate the effect of pressure-controlled inverse ratio ventilation (IRV) with inspiratory to expiratory ratio (I: E) of 2:1 on children undergoing laparoscopic surgery.

## Methods

Eighty children undergoing elective laparoscopic surgery were allocated randomly to the IRV group (I: E=2:1) and the control group (I: E=1:2). Children received pressure-controlled ventilation with I: E ratio of 2:1 or 1:2. Hemodynamic parameters and respiratory mechanics were recorded. Side effects were also recorded.

## Results

At 30 min after  $CO_2$  pneumoperitoneum, tidal volume ( $V_t$ ) and arterial partial pressure of oxygen ( $PaO_2$ ) were greater in the IRV group than the control group ( $100.6 \pm 6.6$  vs.  $95.1 \pm 7.9$  ml,  $282.7 \pm 45.6$  vs.  $246.5 \pm 40.1$  mmHg, respectively) ( $P < 0.01$ ), but  $PaCO_2$  was lower than the control group ( $43.9 \pm 5.45$  vs.  $46.7 \pm 4.90$  mmHg,  $P = 0.013$ ). The incidence of intra-operative hypercapnia was lower in the IRV group (25% vs. 42.5%,  $P = 0.03$ ).

## Conclusion

IRV may reduce the incidence of intra-operative hypercapnia as well as increasing  $V_t$  and thus improving  $CO_2$  elimination in children undergoing laparoscopy. (Registration number: ChiCTR2000035589)

## Introduction

At present, laparoscopic technology is widely used for pediatric surgery. Insufflation of carbon dioxide ( $CO_2$ ) could result in hypercapnia and acidemia in the patients undergoing laparoscopic surgery. [1] We are confronted with difficulty in maintaining end-tidal carbon dioxide tension ( $P_{ET}CO_2$ ) in normal range without lung injury. It is difficult to improve the hypercapnia by increasing the respiratory frequency under pressure control ventilation mode. Pressure control ventilation with positive end-expiratory pressure (PEEP) would improve oxygenation accompanied with a decrease in tidal volume ( $V_t$ ). However, pressure control ventilation with higher airway pressure would increase  $V_t$ , but high airway pressure might lead to lung barotrauma and volutrauma. The documents indicated that inverse ratio ventilation (IRV) could improve oxygenation and reduce peak airway pressure compared with conventional ventilation mode. [2-6] Moreover, it was reported that the optimal I: E ratio was 2:1 when using IRV. [7] So we investigated the effect of pressure-controlled IRV (PC-IRV) with inspiratory/expiratory (I: E) ratio of 2:1 on respiratory

function in children undergoing laparoscopic surgery. PC-IRV has been used extensively in the past to improve oxygenation in patients with acute hypoxemic respiratory failure. The aim of this study was to investigate whether PC-IRV might have the benefit of also improving ventilation without increasing the peak airway pressure. We hypothesized that pressure-controlled IRV with I: E ratio of 2:1 could increase  $V_t$ , improve gas exchange and promote  $\text{CO}_2$  elimination in the children undergoing laparoscopic surgery.

## Methods

This study was approved by the Jiaxing Hospital's Institutional Review Board. Written informed consent was obtained from the children's guardians. The trial was registered at [www.chictr.org.cn](http://www.chictr.org.cn) (Registration number: ChiCTR2000035589). From August 10 to October 9, 2020, we recruited a total of 82 children undergoing elective laparoscopy (appendectomy or herniorrhaphy). Inclusion criteria: ASA grade I or II, age 2–6 years, weight 10–25 kg and expected duration of surgery lasted more than 30 min. The children with cardiopulmonary disease, obesity (index body mass  $\geq 30\text{kg/m}^2$ ) and airway hyper-response were excluded from the study. Eighty children were randomly divided into the IRV groups (I: E = 2:1) and the control group (I: E = 1:2), based on a computer-generated randomization table.

All children had no premedication. On arrival at operating room, monitoring including electrocardiogram, noninvasive blood pressure (BP), heart rate (HR) and pulse oxygen saturation ( $\text{SpO}_2$ ) was applied with anesthesia monitor, and venous access was established. Anesthesia was induced with inhalation of 5%-7% sevoflurane, intravenous fentanyl 4  $\mu\text{g/kg}$ , propofol 2  $\text{mg/kg}$ , and tracheal intubation was facilitated with intravenous cis-atracurium 0.1  $\text{mg/kg}$ . Anesthesia was maintained with inhalation of sevoflurane. The lungs were mechanically ventilated with pressure-controlled mode and respiratory parameters of anesthesia ventilator were set as follows: driving pressure of 15  $\text{cmH}_2\text{O}$ , respiratory rate of 20 breaths/min, PEEP of zero, oxygen flow of 1 L /min, fraction of inspired oxygen of 1.0 and I: E ratio of 1:2. When establishing  $\text{CO}_2$  pneumoperitoneum, the driving pressure value was adjusted to 18  $\text{cmH}_2\text{O}$  in both groups, and I: E ratio was set as 2:1 in the IRV groups and I: E ratio was still 2:1 in control group, other respiratory parameters were constant.

Anesthesia was maintained with 2–3% end-tidal sevoflurane to keep the bispectral index (BIS) value between 40 and 55 (BIS monitor Model A2000, USA) and control the hemodynamic response to the surgical procedure within a 20% range of the preoperative value. Muscle relaxation was monitored by the train-of-four (TOF) stimulation (Organon Corporation, type: TOF-Watch SX, Holland). Cis-atracurium was infused at a rate of 0.1  $\text{mg} \cdot \text{kg}^{-1} \cdot \text{h}^{-1}$  to keep TOF value below 5%. The driving pressure was increased to maintain  $P_{\text{ET}}\text{CO}_2$  below 50 mmHg if the value of the end-tidal carbon dioxide tension ( $P_{\text{ET}}\text{CO}_2$ ) exceeded 50 mmHg. Spirometry readings included inspiratory  $V_T$ , mean airway pressure ( $P_{\text{mean}}$ ),  $P_{\text{ET}}\text{CO}$  and total PEEP ( $\text{PEEP}_{\text{tot}}$ ) using a side-stream spirometry device (Type: D-FPD15-00, GE company, Taipei, China). During the study period, lactated Ringer's solution was infused at a rate of 5–6  $\text{ml} \cdot \text{kg}^{-1} \cdot \text{h}^{-1}$ .

CO<sub>2</sub> pneumoperitoneum tension value was set at the level of 8 mmHg during operation. Immediately after establishing CO<sub>2</sub> pneumoperitoneum, the children were turned to a supine position with head down at 10 degrees below horizon. Noninvasive systolic blood pressure (SBP) and diastolic blood pressure (DBP) and HR were recorded at baseline or before anesthesia (T0), 2 minutes before establishing CO<sub>2</sub> pneumoperitoneum (T1), 30 min after initiation of CO<sub>2</sub> pneumoperitoneum (T2) and the end of surgery (T3). Respiratory mechanics were recorded at T1 and T2. Arterial blood was drawn and analyzed using a blood gas analyzer (Type: ABL8000, Denmark) at T1 and T2 respectively. Hypercapnia was defined as PaCO<sub>2</sub> > 45 mmHg. The time to extubation and discharge time from post-anesthesia care unit (PACU) were recorded. Post-operative complications, if any, were also recorded, such as post-operative hypoxemia (post-operative hypoxemia was defined as SpO<sub>2</sub> below 91% while receiving air), pneumothorax and other pulmonary complications. The children could be discharged from PACU when Modified Aldrete Score was 9 or above. [8]

## Statistical analysis

Data were analyzed with a SPSS 17.0 statistical software (SPSS Inc., Chicago, USA). Quantitative variables with normal distribution were compared with *t* test and one- side analysis of variance. Data with non-normal distribution were used two-side Mann-Whitney *U*-test in both groups. Categorical variables were evaluated with the Chi-square test. All quantitative data were expressed as mean ± standard deviation. A *P* < 0.05 was considered statistically significant.

The sample sizes were determined based on the primary outcome. The primary variable was V<sub>T</sub> in this study. A priori power analysis using two-sided analysis with α error of 0.05 and a power of 0.8 showed that 32 patients were needed to detect a 7 ml increase in V<sub>T</sub> from the mean value in each group for this study. Sample size was increased to 40 to allow for dropout in each group in this study.

## Results

Eighty-two children were enrolled into the study (Fig. 1). Eighty children finished this study and two patients were excluded for hesitations in participation. No significant differences in terms of age, weight and duration of surgery between the groups (Table 1) (*P* > 0.05). At T1, there were no significant differences in PaO<sub>2</sub>, PaCO<sub>2</sub> and pH in both groups (*P* > 0.05). At T2, PaO<sub>2</sub> and pH were greater in the IRV group than in the control group (282.7 ± 45.6 vs. 246.5 ± 35.5 mmHg, 7.34 ± 0.03 vs. 7.32 ± 0.03) (Table 2) (*P* < 0.01), while PaCO<sub>2</sub> was lower in the IRV group than in the control group (43.9 ± 4.65 vs. 46.7 ± 4.90 mmHg) (*P* = 0.013), there were significant differences in PaO<sub>2</sub>, PaCO<sub>2</sub> and pH at T2. SaO<sub>2</sub> were similar in both groups (*P* < 0.05).

Table 1  
Data of children (n = 40)

Index	IRV group	Control group	P-value
Age (year)	4.0 ± 1.2	4.2 ± 1.3	0.521
Weight (kg)	17.5 ± 4.1	18.1 ± 4.5	0.545
Gender (Male/Female)	28/12	24/16	0.321
Surgery performed,1/2 (n)	16/24	18/22	0.723
Duration of pneumoperitoneum (min)	53.9 ± 12.6	58.0 ± 16.1	0.272
Duration of surgery (min)	66.5 ± 6.8	71.2 ± 7.6	0.681
Time to extubation (min)	13.6 ± 4.7	12.5 ± 5.3	0.332
PACU discharge time(min)	43.6 ± 6.5	45.3 ± 7.2	0.274
Intaoperative hypercapnia (n)	8(25%)	17(42.5%)	0.030*
Postoperative hypoxemia (n)	0	0	0.999

Data are expressed as the mean (standard deviation) or number. Surgery performed: 1, appendectomy; 2, herniorrhaphy.

Table 2  
Blood gas of children (n = 40)

Index	IRV group	Control group	P-value
pH	7.34 ± 0.03	7.32 ± 0.03 <sup>#</sup>	0.005*
PaO <sub>2</sub> (mmHg)	282.7 ± 45.6	246.5 ± 40.1	0.005*
PaCO <sub>2</sub> (mmHg)	43.9 ± 4.65	46.7 ± 4.90	0.013*
SaO <sub>2</sub> (%)	99.7 ± 1.5	99.6 ± 1.7	0.782

Data are expressed as the mean (standard deviation). Comparison between the two groups, \*P < 0.05.

The V<sub>T</sub>, P<sub>mean</sub> and auto-PEEP were higher in the IRV group than those in control group at T2, there was statistical significance on V<sub>T</sub>, P<sub>mean</sub> and auto-PEEP (100.6 ± 6.6 vs. 95.1 ± 7.9 ml, 13.7 ± 1.1 vs. 12.0 ± 1.2 cmH<sub>2</sub>O and 2.60 ± 0.50 vs. 1.73 ± 0.55 cmH<sub>2</sub>O, respectively) (P < 0.01) (Fig. 3). P<sub>ET</sub>CO<sub>2</sub> was lower at T2 in the IRV group than in the control group (42.0 ± 2.37 vs. 43.4 ± 2.83 mmHg) (P = 0.023), there were significant differences in P<sub>ET</sub>CO<sub>2</sub>. Blood pressure and HR are shown in Fig. 2, there were no statistical significances in blood pressure and HR between the 2 groups at T2 (P > 0.05).

Eight cases of hypercapnia occurred in IRV group within 30 minutes after initiation of CO<sub>2</sub> pneumoperitoneum and 17 cases of hypercapnia occurred in the control group, there were statistical

significances in the incidence of intra-operative hypercapnia between the 2 groups ( $P = 0.03$ ). No postoperative hypoxemia was observed and there was no statistical significance in incidence of hypercapnia, extubation time and PACU discharge time between the 2 groups ( $P > 0.05$ ) (Table 1). There were no respiratory complications observed during the hospital stays.

## Discussion

Longer inspiratory time with ongoing flow will increase the tidal volumes. Larger tidal volume at the same rate will lead to higher minute ventilation and peak airway pressure. Ventilation with PEEP may improve oxygenation, but increase peak airway pressure. Hypercapnia is the most common complication in the patients undergoing laparoscopic surgery, and anesthesiologists face challenges in maintaining  $P_{ET}CO_2$  without increasing obviously the peak airway pressure. In view of the above mentioned, we didn't use PEEP in this study.

In this study, we discussed the respiratory effects of pressure-controlled IRV (I: E = 2) ventilation in children undergoing laparoscopy, and found that pressure-controlled IRV could significant decreases  $P_{ET}CO_2$ , improve oxygenation and gas exchange in children undergoing laparoscopy compared to conventional ratio ventilation.

IRV is different from the conventional ventilation mode. Prolonging inspiratory time can increase the alveolar ventilation volume and functional residual capacity and expand the collapsed alveolar. Besides, IRV may reduce dead-space, which is contributed to the gas distribution in the lungs. At present, the studies on IRV are fewer in children undergoing laparoscopy. Under the pressure-controlled mode, the  $V_t$  and  $P_{mean}$  will be higher in IRV group than those in the control group. As inspiratory time was prolonged and inspiratory flow velocity slowed down, the airway resistance decreased, which brought about an increase in  $V_t$ . Moreover, IRV could generate auto-PEEP (endogenous PEEP),<sup>[9]</sup> which was beneficial to oxygenation. Besides, it was reported that arterial blood oxygenation is directly related to mean airway pressure,<sup>[6, 10]</sup> so higher  $P_{mean}$  was also contribute to oxygenation and gas exchange in a certain range.<sup>[11]</sup>

As inspiratory time is prolonged, the expiratory time is relatively short under pressure-controlled IRV mode, IRV may generate endogenous PEEP. IRV could lead to an increase in  $P_{mean}$  and reduce venous return. In our study, blood pressure and heart rate had no statistical differences in both groups. It meant that IRV with I: E of 2:1 didn't affect venous return. IRV might reduce cardiac output only I: E ratio beyond 2:1.<sup>[3, 12]</sup> Only when inspiratory time was excessive prolonged and  $P_{mean}$  reached a certain high level, IRV would result in a decrease in cardiac output, and have an effect on hemodynamics.<sup>[3, 13]</sup> IRV could bring about an increase in the  $P_{mean}$  and  $PaO_2$ , as was agree with the study of *Merzat A, et al.*<sup>[5]</sup> However,  $P_{mean}$  has an important effect on hemodynamics. Although the  $P_{mean}$  was significantly higher in the IRV group than conventional ventilation group, there were no significant differences in hemodynamic parameters

between the 2 groups. Hence,  $P_{mean}$  has no effect on hemodynamics in a certain range, it was similar to the results of *Movassagi R, et al.* [13]

$PaO_2$  was significantly higher in the IRV group than conventional ventilation group at 30 min after initiation of  $CO_2$  pneumoperitoneum. It indicated that IRV could obviously increase the oxygen content, and promote oxygenation.  $PaCO_2$  was lower in IRV group than the control group, there was significantly different between the 2 groups.  $PaCO_2$  increased obviously in both groups 30 min after initiation of  $CO_2$  pneumoperitoneum. The main reason was caused by the  $CO_2$  absorption in blood, [14] IRV did not affect the discharge of  $CO_2$ .  $PaCO_2$  fell down 5.3 mmHg in patient with normal weight during mechanical ventilation when tidal volumes decrease per 100 ml,  $PaCO_2$  fell 3.6 mmHg in morbidly obese patients. [15] So the tidal volume was the main factor that determined  $CO_2$  discharge during mechanical ventilation. Moreover,  $CO_2$  pneumoperitoneum might affect hemodynamics during laparoscopic surgery. [16]

**The limitations** of our study are as following: IRV is different from the conventional ventilation mode, it may have some potential risks: the long-term complications of respiratory system remain to be studied. The large sample sizes are needed to investigate the adverse respiratory and hemodynamic effects.

## Conclusion

Pressure controlled IRV may reduce the incidence of intra-operative hypercapnia as well as increasing  $V_t$  and thus improving  $CO_2$  elimination in children undergoing laparoscopy compared to conventional ventilation. It is superior to conventional ratio ventilation in terms of gas exchange and respiratory mechanics in children undergoing laparoscopy.

## Declarations

**Ethics approval and consent to participate:** This study was approved by the Ethics Committee of Jiaying hospital and written informed consent was obtained from the children's guardians.

**Consent for publication:** Yes

**Availability of data and material:** Authors will allow sharing the data, such as blood pressure, heart rate and respiratory parameters. The data will be accessible 6 months after publication.

**Competing interests:** None declared.

**Funding:** No

**Authors' contributions:** Study design and data analysis: X.D

Patient recruitment and data collection: Z.W

Writing up of the first draft of the paper: X.D and Z.W

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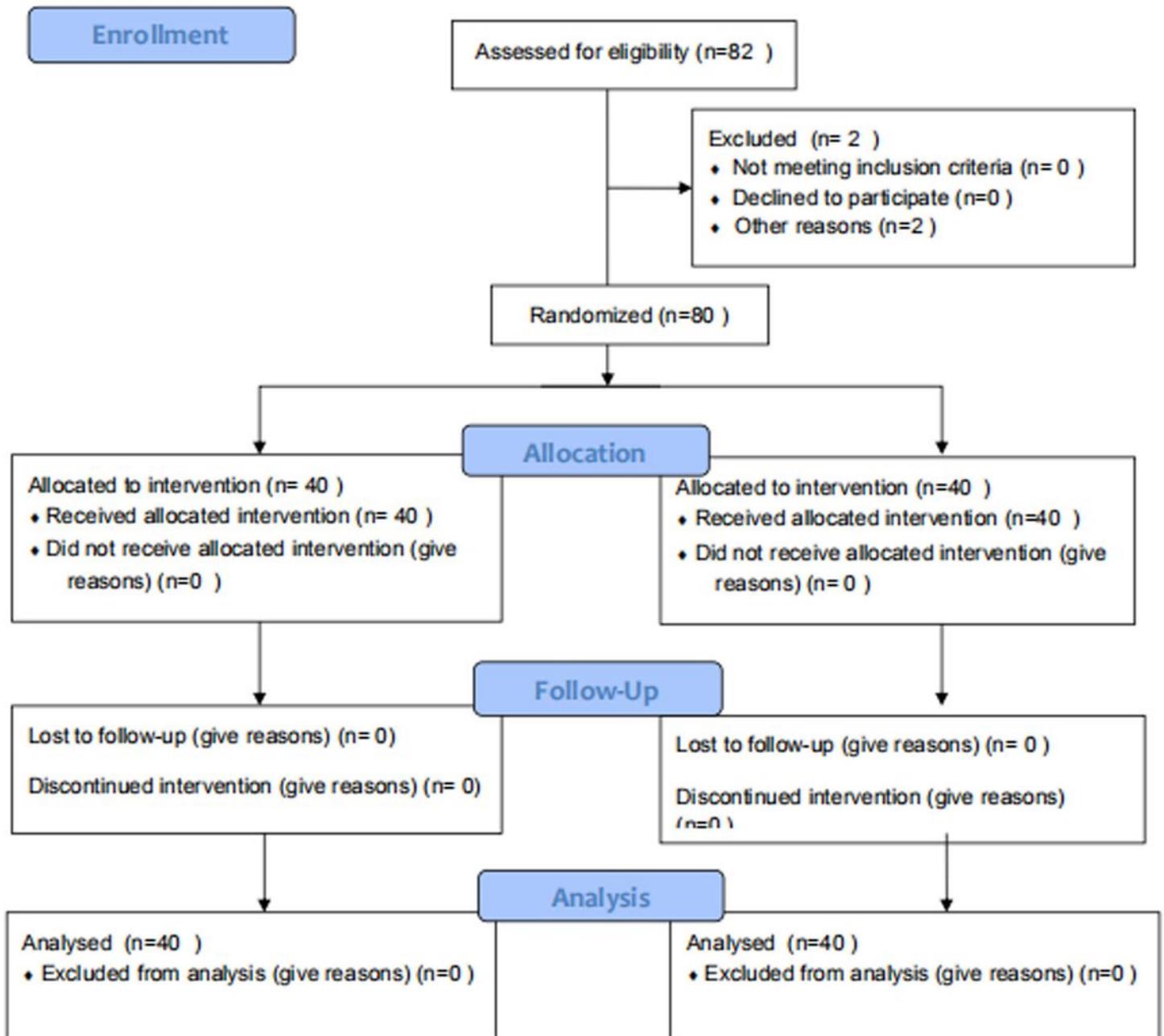
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## Figures

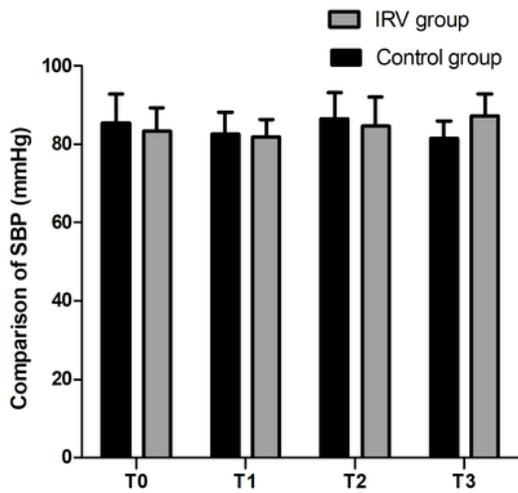
**Fig1. CONSORT 2010 Flow Diagram**



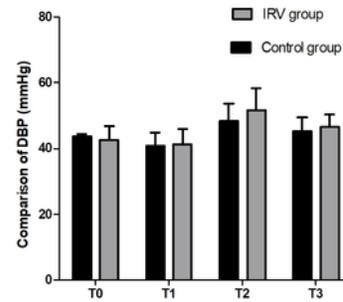
**Figure 1**

Flow diagram of study.

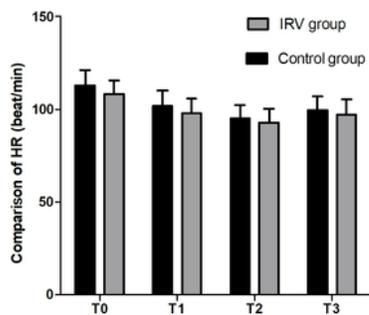
## 2A



## 2B

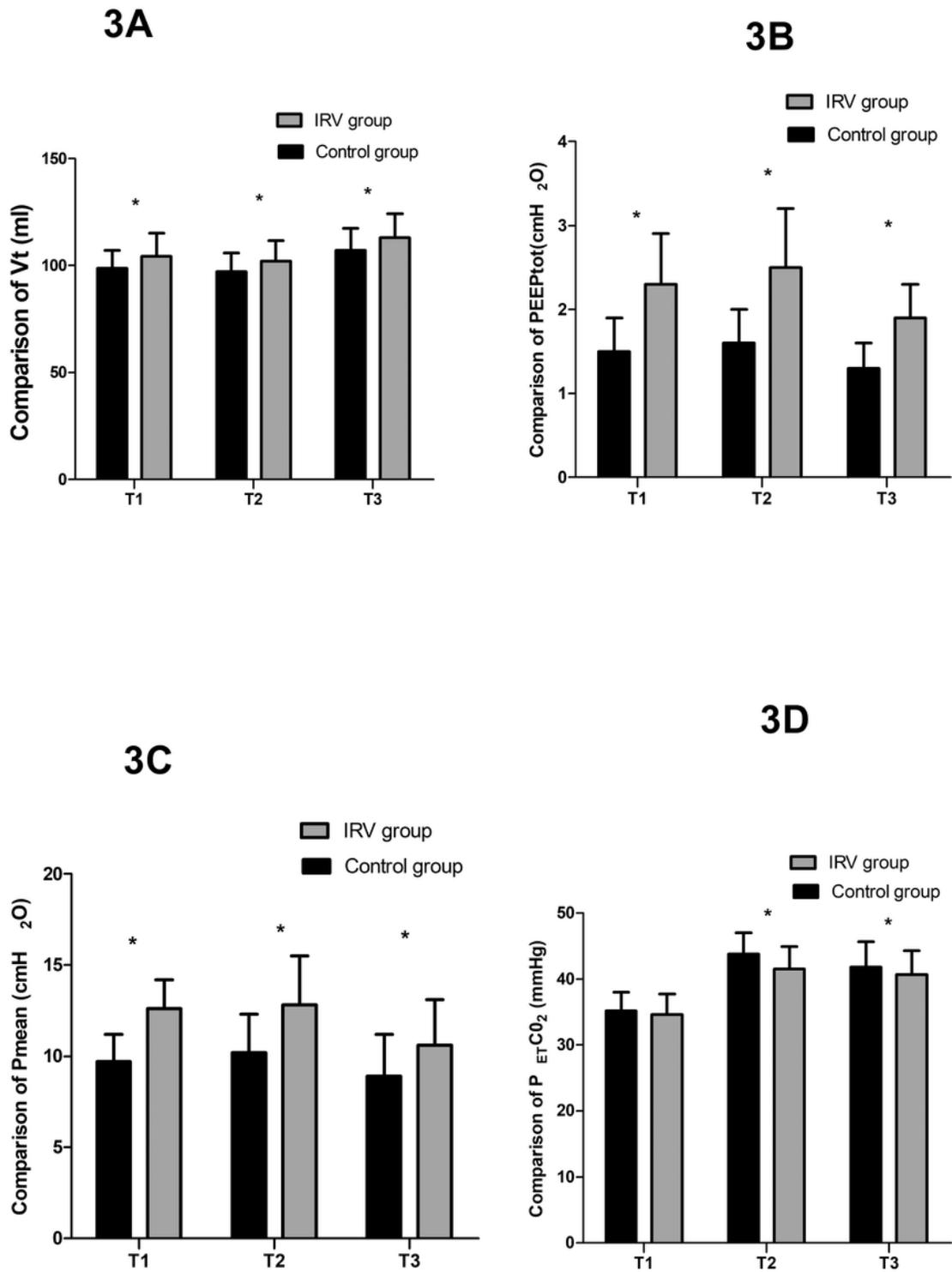


## 2C



**Figure 2**

Comparison of hemodynamic parameters (SBP, DBP and HR) between the 2 groups. At T0, T1, T2 and T3, there were no significant differences in SBP, DBP and HR between the 2 groups ( $P > 0.05$ ). SBP: systolic blood pressure, DBP: diastolic blood pressure, HR: heart rate. T0: at baseline or before anesthesia, T1: immediately before establishing CO<sub>2</sub> pneumoperitoneum, T2: 30 min after initiation of CO<sub>2</sub> pneumoperitoneum and T3: the end of surgery.



**Figure 3**

Comparison of respiratory mechanics between the 2 groups. At T1, T2 and T3, there were significant differences in VT, Pmean, PEEPtot and PETCO<sub>2</sub> between the 2 groups (\*P<0.05). T1: immediately before establishing CO<sub>2</sub> pneumoperitoneum, T2: 30 min after initiation of CO<sub>2</sub> pneumoperitoneum and T3: the end of surgery.