

# Effects of traditional Chinese medicine on treatment outcomes of severe COVID-19 patients: A single-centre study.

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1 **Effects of traditional Chinese medicine on treatment outcomes of severe COVID-19 patients: A**  
2 **single-centre study**

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30  
31 **Take-home message:** COVID-19 remains a worldwide epidemic that is out of control. In China's fight  
32 against the disease, traditional Chinese medicine (TCM) treatment may accelerate recovery and largely  
33 improve the outcomes of critically ill COVID-19 patient

34  
35 **Abstract**

36 No specific effective therapeutic drugs have been identified for COVID-19. Critically ill COVID-19  
37 patients in the ICU experience high mortality. This project aims to study the effects of traditional  
38 Chinese medicine (TCM) treatment on deadly outcomes caused by COVID-19. A total of 123 critically  
39 ill COVID-19 patients who received close monitoring at the ICU of Vulcan Hill Hospital between  
40 February 2, 2020, and April 15, 2020 (Wuhan, China) participated in this observational study. All these  
41 ICU patients received supportive management. Eighty-one patients were given additional TCM  
42 treatment. Clinical characteristics during the treatment period (up to 39 days) and the clinical outcome  
43 of each patient were closely monitored and analysed. We observed that patients treated with TCM had  
44 lower mortality than the non-TCM treatment group (16 of 81 vs. 31 of 42; 0.3 person/month vs. 2.9

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45 person/month). In the adjusted Cox proportional hazards models, TCM treatment was associated with  
46 improved survival [multivariate HR, 0.13; 95% confidence interval (CI), 0.06–0.24;  $P < 0.001$ ].  
47 Furthermore, we found that TCM treatment could partially improve the inflammation status by  
48 reducing the levels of proinflammatory cytokines and recovering multiple organic functions. TCM  
49 treatment may decrease inflammation status by reducing the level of proinflammatory cytokines and  
50 allowing the recovery of multiple organic functions, which could improve the survival rate of critically  
51 ill COVID-19 patients.

## 53 **Keywords**

54 COVID-19; Critically ill; Traditional Chinese medicine; Clinical outcome

## 56 **1. Introduction**

57 A new viral pneumonia associated with severe acute respiratory syndrome coronavirus 2  
58 (SARS-CoV-2) was termed coronavirus disease 2019 (COVID-19) and declared a Public Health  
59 Emergency of International Concern by the World Health Organization (WHO) on January 30, 2020.  
60 When COVID-19 broke out, tens of thousands of Chinese people were infected, particularly in  
61 Wuhan[1]. Since the outbreak of COVID-19 in early 2020, several mutant strains have been of  
62 particular concern. The most notable strains include Alpha, first appearing in the UK, Beta in South  
63 Africa, Gamma in Brazil, and Delta in India. B.1.617.2 (Delta) is the most ferocious novel coronavirus  
64 strain in the world. According to research data, its infection ability is 60% higher than that of the Alpha  
65 novel coronavirus strain found in the UK last year, and the transmission capacity is twice that of the  
66 original novel coronavirus. Thus, Delta is already the world's leading pandemic strain[2, 3].

67 COVID-19 patients have some common symptoms of upper respiratory infections, including  
68 fever, dry cough, and shortness of breath. Lymphopenia and elevated lactate dehydrogenase are  
69 nonspecific symptoms[1]. At present, the treatment methods include anti-infection, supportive  
70 treatment and antiviral therapy. However, no specific therapies have yet been proven effective for the  
71 treatment of COVID-19 patients. Thus, supplemental oxygen therapy, supportive management of acute  
72 hypoxic respiratory failure and ARDS remain common treatment methods[4-6]. Finding more effective  
73 strategies to treat and reverse the course of severe COVID-19 is an important challenge. Previously, the  
74 prescription "Shenhuang Granule", self-developed by the team of Longhua Hospital affiliated with the  
75 Shanghai University of Traditional Chinese Medicine, has been shown to effectively prevent the  
76 disease progression of patients with severe COVID-19 and significantly reduce the mortality rate of  
77 patients with severe COVID-19[7]. In addition, teams from Dongzhimen Hospital of Beijing  
78 University of Chinese Medicine found that the proportion of severe patients who used traditional  
79 Chinese medicine (TCM) and then developed mild and moderate symptoms was slightly higher than  
80 that of patients who did not use TCM, and there were no deaths[8]. In some cases, short-term  
81 neuromuscular blockade with cisatracurium or other muscle relaxants can also help improve patient  
82 oxygenation[9]. Previous studies reported that the plasma of patients with severe COVID-19 contains  
83 high concentrations of cytokines, including GCSF, IP10, MCP1, MIP1A, and TNF $\alpha$ , indicating that  
84 there is an association between cytokine storm and disease severity[10].

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85 Many efforts have been invested in the development of antiviral vaccines and screening of  
86 existing antiviral molecules. There is still no effective treatment for COVID-19. According to current  
87 data, compared with conventional treatment, dexamethasone therapy showed a similar effectiveness as  
88 supplemental oxygen and improved the survival rate of patients[11]. In addition, several small  
89 molecule antiviral medicines (remdesivir, favipiravir, lopinavir, etc.) have been reported to be effective;  
90 among them, remdesivir shortened the recovery time from 15 to 11 days according to U.S. clinical trial  
91 data[12, 13]. Thus, remdesivir was approved by the U.S. Food and Drug Administration (FDA) for  
92 COVID-19 treatment on October 22, 2020. However, a global study sponsored by the World Health  
93 Organization (WHO) showed that remdesivir has little benefit to patient survival and treatment  
94 duration. Additionally, small molecule antiviral agents may cause serious adverse events, including  
95 vomiting, low blood pressure and abnormal liver and kidney function. Although other antiviral  
96 therapies, immunomodulators, and anticoagulants are under clinical research, there is an urgent need to  
97 develop safer and more potent drugs.

98 Lianhuaqingwen (LHQW), a classical Chinese medical preparation, is composed of two classical  
99 TCM formulae, Yinqiao San and Maxing Shigan Tang. Historically, LHQW has been used to treat  
100 influenza-like illness[14]. Previous data have indicated that LHQW had a better effect on viral  
101 clearance and performed better in symptom relief[15]. Notably, Li and colleagues' evidence  
102 demonstrated that LHQW, which mainly affects antiviral activity and the inflammatory response, could  
103 prevent COVID-19[16]. A study of subjects who had close contact with confirmed COVID-19 patients  
104 found that treatment with LHQW capsules for 14 days during quarantine medical observation resulted  
105 in a significant reduction in positive nucleic acid testing from nasal and pharyngeal swabs and was  
106 effective in preventing SARS-CoV-2 infection[17]. Lastly, Hu et al. found that treatment with LH  
107 capsules for 14 days significantly improved the recovery rate of clinical symptoms such as fever,  
108 fatigue and cough in COVID19 patients, shortened the duration of symptoms, improved pulmonary  
109 imaging lesions, and increased the clinical cure rate compared with the usual treatment group[18].

110 The aim of this study, which was based on the medical records of 123 critically ill COVID-19  
111 patients hospitalized in the ICU of Vulcan Hill Hospital between February 2, 2020, and April 15, 2020  
112 (Wuhan, China), was to perform a detailed analysis of cytokine changes during the inflammation  
113 process and to evaluate the effect of TCM treatment on the survival rate.

## 114 115 **1. Methods**

### 116 **1.1. Study Design**

117 This study was conducted at the ICU of Vulcan Hill Hospital between February 2, 2020, and April  
118 15, 2020 (Wuhan, China). The follow-up of patients was conducted until date. This study was approved  
119 by the ethics committees of Vulcan Hill Hospital, and each patient was fully informed about the study  
120 content. All patients enrolled in this study who underwent a real-time reverse-transcriptase polymerase  
121 chain reaction (RT-PCR) assay of nasal and pharyngeal swab specimens and had CT image features of  
122 pneumonia were diagnosed with COVID-19 according to the diagnostic criteria of the guidelines.  
123 According to the fifth edition of the COVID-19 diagnosis and treatment guidelines, critically ill  
124 COVID-19 was defined as follows: a. respiratory distress, respiratory rate  $\geq 30$  times/minute; b. oxygen

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125 saturation  $\leq 93\%$ ; c. arterial oxygen partial pressure/inspired oxygen partial pressure  $\leq 300$  mmHg<sup>[17]</sup>.  
126 Patients with one of the following conditions were excluded: 1) patients who were transferred to the  
127 hospital with critical underlying diseases; 2) patients with severe underlying disease who died within  
128 48 h after admission; and 3) patients who lacked important data. Finally, 123 patients met the inclusion  
129 criteria and were enrolled in this study.

## 130 2.2. Traditional Chinese medicine treatment

131 The two types of prescriptions commonly used in clinical treatment are shown in Supplementary  
132 data Table 2. These medicinal herbs were boiled in 1000 ml of pure water for approximately 30  
133 minutes to obtain a tincture of approximately 600 ml. Each tincture was divided into three doses of 200  
134 ml each and administered orally three times daily.

## 135 2.3. Data collection and procedures

136 The medical records of COVID-19 patients were reviewed, and demographic, clinical laboratory,  
137 treatment, and outcome data were extracted from the electronic medical records. The physicians (Dr.  
138 XY and Dr. XK) checked all the data.

139 Based on the therapeutic drugs administered, the patients were divided into the TCM treatment  
140 group and the non-TCM treatment group. Both groups included critically ill COVID-19 patients.

## 141 2.4. Statistical analysis

142 Baseline characteristics were summarized using means and standard deviations or medians and  
143 interquartile ranges for skewed variables and the frequency (percentages) for continuous and  
144 categorical variables. Group comparisons were made using Wilcoxon rank-sum tests and Pearson's  
145 chi-squared test, as appropriate. Laboratory and other clinical parameters were analysed using a  
146 mixed-effects repeated measures model with random effects, which included change from baseline as  
147 an outcome variable with the corresponding baseline value as a continuous covariate. The baseline  
148 value, hospitalization days and treatment were also included in the model. The primary comparison  
149 was the difference in the least-squares (LS) mean among the groups. To assess the association between  
150 TCM treatment and death due to COVID-19, a multivariable Cox proportional hazard regression model  
151 was used to estimate the hazard ratios (HRs) and 95% confidence intervals for the time to the  
152 occurrence of death. A P value  $< 0.05$  was considered statistically significant. All analyses were  
153 performed using Stata, version 16.0 (Stata Corp., College Station, TX, USA).

154

## 155 2. Results

### 156 3.1. Comparison of TCM treatment and non-TCM treatment among all 123 critically ill COVID-19 157 patients

158 A total of 123 eligible critically ill COVID-19 patients hospitalized in the ICU of Vulcan Hill  
159 Hospital were diagnosed using RT-PCR (GeneoDX Co., Ltd). The detailed analysis of the  
160 demographic and clinical characteristics and the medication history of all enrolled patients is  
161 summarized in Table 1. All the patients were critically ill and given supportive treatment. Specifically,  
162 123 patients were divided into two groups: 81 patients were treated with TCM, including 13 patients  
163 treated with TCM + convalescent plasma therapy, and 42 patients were in the non-TCM group. The

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164 patient age ranged from 59 to 82 years. A total of 50.6% of patients had hypertension in the TCM  
165 group and 54.8% had hypertension in the non-TCM group. Furthermore, 3 (9.5%) and 4 (3.7%)  
166 patients in the TCM and non-TCM groups had coronary heart disease, respectively, and 16% in the  
167 TCM group and 26.2% in the non-TCM group had diabetes, respectively. Additionally, 13.6% and  
168 7.1% of the patients had a medical history of pulmonary diseases in the TCM group and the non-TCM  
169 cohort, respectively. The above preclinical conditions did not differ significantly between the groups  
170 ( $P > 0.05$ ). Notably, patients with immunosuppression, fatty liver and liver cirrhosis were enrolled in  
171 the TCM group.

172 A detailed analysis of the baseline laboratory data of all enrolled patients is summarized in Table  
173 2. Several laboratory factors differed significantly between the TCM treatment and non-TCM treatment  
174 groups. In the non-TCM group, the levels of creatinine, AST, DBIL, CK, PT, serum phosphorus and  
175 serum chlorine were significantly higher than those in the TCM group ( $P < 0.05$ ). Meanwhile, the  
176 levels of LDH, serum calcium and total carbon dioxide were markedly higher in the TCM group than  
177 in the non-TCM group ( $P < 0.05$ ). Although the number of white blood cells, LYM%, NEUT%, PLT,  
178 globulin, ALT, and D-dimer tended to be higher in the TCM group, there were no significant  
179 differences ( $P > 0.05$ ). Additionally, the levels of IBIL, blood sugar, TT, serum potassium, serum  
180 sodium, and serum magnesium were comparable between the TCM group and the non-TCM group.  
181 However, these differences were not independent factors associated with mortality in critically ill  
182 COVID-19 patients (data not shown).

### 183 3.2. Efficacy of TCM treatment in critically ill COVID-19 patients

184 To evaluate the effect of TCM treatment, we assessed whether TCM treatment was related to the  
185 survival rate in the overall cohort. Of the 123 critically ill COVID-19 cases evaluated, 47 died, and the  
186 follow-up time was 39 days. TCM treatment was associated with improved survival [multivariate HR,  
187 0.13; 95% confidence interval (CI), 0.06 to 0.24;  $P < 0.001$ ; log-rank  $P < 0.001$ ] (Table 3, Figure 1).  
188 Furthermore, the TCM treatment group had lower mortality than the non-TCM treatment group (16 of  
189 81 vs. 31 of 42; 0.3 person/month vs. 2.9 person/month) (Table 4). Other baseline clinical  
190 characteristics, laboratory data and medication history were not independently associated with  
191 mortality in critically ill COVID-19 patients (data not shown).

192 Further, we explored whether convalescent plasma therapy could enhance patient immunity  
193 against the SARS-CoV-2 virus in the TCM group. Unfortunately, 13 patients' clinical status seemed to  
194 be comparable with those who had not accepted convalescent plasma therapy (data not shown).  
195 Additionally, we further evaluated the effect of TCM plus convalescent plasma combination therapy on  
196 improved survival. Similarly, the survival data displayed no difference [multivariate HR, 0.11; 95%  
197 confidence interval (CI), 0.03 to 0.37;  $P < 0.001$ ; log-rank  $P < 0.001$ ] (Supplementary data Table 1,  
198 Supplementary data Figure 1), demonstrating that convalescent plasma therapy did not affect the  
199 clinical status or survival of patients with severe COVID-19.

### 200 3.3. Treatment effect in relation to other features in critically ill COVID-19 patients

201 To reveal the molecular mechanism underlying the improved survival rate as a result of TCM, we

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202 summarized the patient clinical changes throughout the treatment (Table 4). Notably, the level of  
203 neutrophilic granulocytes, as an indicator of the inflammation state of the patient (NEUT%), was  
204 significantly lower in the TCM group compared to prior treatment ( $P = 0.01$ ), suggesting an attenuated  
205 degree of peripheral inflammation (Table 4). Additionally, nearly half of the patients had a higher level  
206 of lactic dehydrogenase (LDH), indicating multiple organic dysfunctions in severe COVID-19 cases  
207 before treatment (Table 1), which was robustly reduced in the TCM group compared with the  
208 non-TCM group ( $P < 0.001$ ). From the start of treatment, patient thrombin time (TT), which reflects  
209 liver and renal function, was also significantly shortened after TCM treatment ( $-1.27$  s,  $P = 0.04$ ).  
210 Compared with the non-TCM group, creatine kinase (CK) was largely decreased with TCM treatment  
211 ( $-75.52$  U/L,  $P = 0.007$ ), suggesting that TCM improved patient cardiac function. Synchronously, the  
212 high-level state of D-dimer before treatment was also improved in the TCM treatment group ( $-1.27$   
213  $\mu\text{g/L}$ ,  $P = 0.03$ ). Together, these results demonstrated that TCM treatment improved the survival rate of  
214 patients with severe COVID-19 by facilitating the recovery of body system function.

### 215 3. Discussion

216 LHQW has broad-spectrum antiviral, anti-infection, and immunomodulatory effects. Meanwhile, it  
217 can alleviate the clinical symptoms of patients and interrupt the process of virus transformation in the  
218 human body. Previous studies have implied that the early combination of LHQW arbidol may shorten  
219 the recovery time and improve the prognosis of patients with COVID-19[16]. At present, the  
220 mechanism of new coronary pneumonia is not sufficiently clear.

221 In our present study, we found that high levels of cytokines involved in inflammation were largely  
222 decreased after TCM treatment. Li and colleagues reported that LHQW capsules significantly inhibited  
223 SARS-CoV-2 replication in Vero E6 cells and reduced proinflammatory cytokine production at the  
224 mRNA level[19]. The latest research has confirmed that LHQW has an inhibitory effect on the in vitro  
225 proliferation of a variety of different strains of influenza viruses and can block the early stage of viral  
226 infection. Mechanistically, LHQW suppresses A/PR/8/34 virus-induced p65 phosphorylation. The  
227 NF- $\kappa$ B signalling pathway is mainly involved in viral replication and can regulate the production of  
228 cytokines and chemokines during severe influenza infections. The phosphorylation of NF- $\kappa$ B inhibits  
229 the output of viral RNA and virus transmission and reduces virus-induced interleukin (IL-6, IL-8) and  
230 tumour necrosis factor (TNF- $\alpha$ ) levels. The gene expression of interferon-inducible protein (IP-10) and  
231 monocyte chemotactic protein (MCP-1) has a regulatory effect on the immune response to viral  
232 infection[20]. Other studies have confirmed that LHQW can reduce the expression of IL-8, TNF- $\alpha$ ,  
233 IL-17 and IL-23 in serum IL-8 and IL-17 and in the sputum of AECOPD patients. Additionally, it can  
234 reduce the level of serum Th17 cytokines (IL-17, IL-6) in patients with COPD, increase the level of  
235 Treg cytokines (IL-10, TGF- $\beta$ ), and improve the lungs of patients by regulating the balance of  
236 Th17/Treg cytokines in the body features. When used in children with mycoplasma pneumoniae  
237 pneumonia (MPP) with atelectasis, it can upregulate CD3+ and CD4+ and downregulate the expression  
238 of CD8+ in T lymphocyte subsets; reduce serum CRP, IL-6, and PCT levels; and adjust the imbalance  
239 of T lymphocyte subsets to inhibit inflammation[21].

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240 In conclusion, this observational, retrospective study demonstrated that TCM treatment has  
241 largely improved the survival rate of critically ill COVID-19 patients. Therefore, we recommend using  
242 TCM treatment to accelerate recovery and improve the prognosis of critically ill COVID-19 patients.  
243 Multiple-centre studies and large samples are still necessary for further verification.

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249 **Conflict of interest.**

250 The authors declared that they have no conflicts of interest to this work. We declare that we do not have  
251 any commercial or associative interest that represents a conflict of interest in connection with the work  
252 submitted.

253 **Guarantor**

254 All authors had full access to the full data in the study and accept responsibility to submit for  
255 publication.

256 **Author contributions**

257 Zhi Rong Qian, Dawei Xiang, and Kun Xiao contributed the central idea; the remaining authors  
258 contributed to data curation; Yongjiu Xiao, Binbin Li, Zhi Rong Qian and Kun Xiao analysed most of  
259 the formal data. Yongjiu Xiao, Binbin Li, Chang Liu, Ling Ma, Zhi Rong Qian, Dawei Xiang and Kun  
260 Xiao contributed to the investigation and methodology; all authors discussed the results and revised the  
261 manuscript.

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264 **Ethics approval and consent**

265 The study was approved by The 940th Hospital of Jion Logistics Support Force of Chinese People's  
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268 study/trial: No. 2022KYLL157. Animal studies: Not applicable.

269 **Abbreviations**

270 COVID-19, coronavirus disease 2019  
271 CI, confidence interval  
272 CK, creatine kinase  
273 FDA, Food and Drug Administration  
274 HR, hazard ratios  
275 IL, interleukins  
276 IP, interferon-inducible protein  
277 LHQW, Lianhuaqingwen  
278 LS, least-squares  
279 LDH, lactic dehydrogenase

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280 MCP, monocyte chemotactic protein  
281 MPP, mycoplasma pneumoniae pneumonia  
282 QPD, qingfei paidu decoction  
283 RT-PCR, real-time reverse-transcriptase polymerase chain reaction  
284 SARS-CoV-2, severe acute respiratory syndrome coronavirus 2  
285 TCM, traditional Chinese medicine  
286 TNF, tumour necrosis factor  
287 WHO, World Health Organization

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## Figures

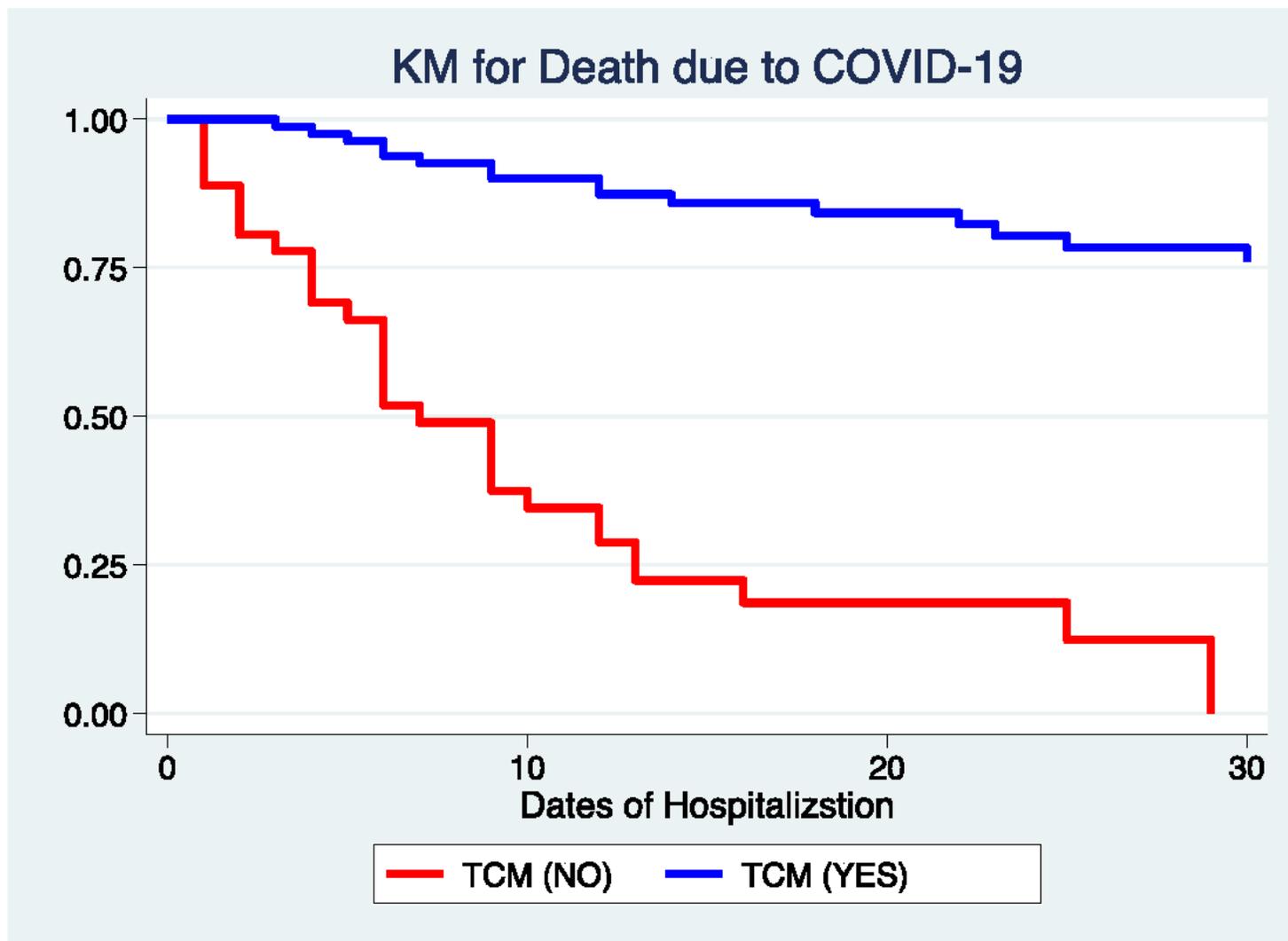


Figure 1

Kaplan–Meier analysis of 123 COVID-19 patients according to whether they received TCM treatment. (Log-rank  $P < 0.001$ )

## Supplementary Files

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