

# Lung Contractive Change in Severe Acute Respiratory Failure Requiring Invasive Ventilation; A Comparison of Features Between Interstitial Lung Disease and Severe Infection

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**Research**

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19

20 Short title: Irreversible acute respiratory failure

21 Key words: severe pneumonia, ventilation, lung contraction, interstitial lung

22 disease, ARDS.

23

24 Abstract: 329 words

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26 **Abstract**

27 **Background:** Generally, the incidence of irreversible lung injury is considered  
28 to be higher in acute respiratory failure due to interstitial lung disease (ILD),  
29 compared to those due to severe infection. However, those sub-phenotypes,  
30 which follow irreversible lung injury, remain poorly characterized. We aimed to  
31 examine their clinical and radiological features, in patients who could not  
32 withdraw from ventilation after receiving any treatment (defined as  
33 “irreversible respiratory failure”).

34 **Methods:** Retrospective study including all patients receiving CT evaluation  
35 at onset and invasive mechanical ventilation for severe infection or acute ILD,  
36 who admitted our institution from April 2013 to May 2019. Participants were  
37 divided into Infection group and ILD group according to the dominant cause,  
38 and predictors of irreversible respiratory failure were examined among those  
39 subjects. In addition, we quantitatively evaluated the changes in lung region  
40 volumes and dispersion of ground glass opacity, using automated methods.

41 **Results:** 31 patients were subdivided to ILD group, whereas 139 patients were  
42 subdivided to Infection group. Significantly more subjects in ILD group  
43 developed irreversible respiratory failure (n=22; 70.9%), compared to those in

44 Infection group (n=27; 19.4%; p<0.001). With validation of radiological  
45 features in those subjects, distinct CT findings, including lung contractive  
46 change and non-edematous lung injury (NE-LI), were found in both groups.  
47 Lung contractive change was observed with 23 subjects in ILD group (74.2%)  
48 and 7 subjects in Infection group (5.0%). Among those, >10% lung volume  
49 reduction was confirmed by CT analysis with 19 subjects in ILD group and 4  
50 subjects in Infection group. By multivariate logistic regression analysis, the  
51 following factors were found to be strong predictors of irreversible respiratory  
52 failure; lung contractive change (odds ratio [OR]=32.6; 95% confidence  
53 interval [CI], 7.1-150), NE-LI suspicious lesion (OR=13.3; 95% CI [2.9-59]),  
54 ILD-dominant respiratory failure (OR=18.4; 95% CI, 4.3-79), multidrug-  
55 resistant bacterial- or fungal-infection (OR=6.4; 95% CI, 1.3-31).

56 **Conclusions:** We demonstrated the presence of sub-phenotypes in acute  
57 respiratory failure due to ILD and severe infection, which followed an  
58 irreversible course with distinctive radiological features including lung  
59 contractive changes.

60

61 **Introduction**

62 Acute respiratory distress syndrome (ARDS) is a lung injury that results from  
63 excessive inflammation induced by various causes [1]. The leading cause of  
64 ARDS is severe infection, pneumonia or extrapulmonary sepsis, and the  
65 mainstay of treatment is early diagnosis followed by the initiation of  
66 appropriate antibiotic therapy [2]. Controversially, there still remain cases,  
67 that follow a fatal course with irreversible respiratory failure, even after  
68 infectious inflammation has been adequately controlled [3,4]. Conventionally,  
69 the most prevalent pathologic finding in ARDS is diffuse alveolar damage  
70 (DAD), which follows irreversible change [5], however, several autopsy  
71 studies have revealed that the pathology of ARDS is not limited to DAD, but  
72 includes various findings, such as potentially reversible lesions [6, 7]. There  
73 are few descriptive data available that examine the detailed features of  
74 irreversible respiratory failure in infectious ARDS.

75 Recently, distinct ARDS subtypes which lack exposure to common risk factors  
76 (CRFs) have been gaining increasing attention [8, 9]. Gibelin et al. reported  
77 that 7.5% of all ARDS patients in their institution had ARDS without CRFs  
78 [8]; the etiologies of these subtypes included immune, drug-induced,

79 malignant and idiopathic ARDS. To date, it has become more difficult to  
80 precisely distinguish those sub-phenotypes from acute respiratory failure due  
81 to interstitial lung disease (ILD), which frequently follow irreversible course  
82 refractory to steroid/immunosuppressive therapy [10]. Thus, further study is  
83 considered necessary, that investigate detailed features of those cases.

84 Given that lung transplantation has recently been considered as a salvage  
85 therapeutic option in patients with end-stage lung disease, including ARDS  
86 due to infection or ILD, the decision regarding the reversibility of lung  
87 function has become a crucial issue [11]. Herein we sought to examine the  
88 clinical and radiological feature of subtypes in severe acute respiratory failure  
89 requiring invasive ventilation, which followed irreversible respiratory failure.

90 In this study, “irreversible respiratory failure” was defined as respiratory  
91 failure which could not be withdrawn from invasive ventilation after  
92 receiving any treatment including antibiotics and corticosteroid therapy.

93 Generally, the incidence of irreversible lung injury is considered to be higher  
94 in acute respiratory failure due to ILD, compared with those due to severe  
95 infection. Thereby, we adopted the comparison of two groups, ILD vs Infection,  
96 to extract a predictor of irreversible respiratory failure. The primary end-

97 point of the study was extraction of predictors associated with acute  
98 irreversible respiratory failure due to ILD or infection.

99

## 100 **Material and Methods**

### 101 **Study design**

102 We conducted a retrospective study including all consecutive patients  
103 receiving invasive ventilation in our tertiary hospital (ICU or the hospital  
104 ward of respiratory medicine) from April 2013 to May 2019. This  
105 observational, non-interventional analysis of medical records was approved  
106 by the Human Subjects Review Committee of the Hospital (019-0304). As per  
107 Japanese law, no informed consent was required for this type of study.

108

### 109 **Study participants and protocol**

110 Participants were divided into two groups according to the dominant cause of  
111 acute respiratory failure. The participants whose dominant cause were  
112 diagnosed as infection were assigned to the Infection group, and those  
113 diagnosed as ILD were assigned to the ILD group. For the subjects whose ILD  
114 was exacerbated after infection, the group were selected according to the

115 initiated-timing of ventilation: those during infection were included in the  
116 Infection group, and those after the control of infection were included in the  
117 ILD group.

118 In the Infection group, patients with an initial diagnosis of infectious  
119 pneumonia were defined as the pneumonia group, and the others were  
120 defined as the non-pulmonary infection group. Pneumonia was classified as  
121 community-acquired pneumonia, nursing and health-care associated  
122 pneumonia (NHCAP), or hospital-acquired pneumonia according to the  
123 American Thoracic Society guidelines [12, 13] and the Japanese criteria to  
124 NHCAP [14].

125 In the ILD group, those without any previously known ILD were defined as  
126 ARDS without CRFs, when its deterioration period was within 7 days, and  
127 the others were defined as acute interstitial pneumonia. The diagnosis of ILD  
128 was made using the relevant society's diagnostic criteria [1, 15–19].

129 Patients without a computed tomography (CT) scan from onset until the  
130 initiation of ventilation were excluded, because initial evaluation of the lung  
131 lesion was unavailable.

132

133 **Radiological examination**

134 Previous chest CT scans were reviewed retrospectively and evaluated by two  
135 experienced physicians (KN and ST/NO). Radiological findings were  
136 categorized based on the patterns seen on a CT scan performed at the onset  
137 of respiratory failure, according to the Fleischner Society Glossary of Terms  
138 for Thoracic Imaging [20]. In this study, the dominant distribution pattern of  
139 ground glass opacity (GGO) was also categorized as follows (Figure 1A-D); non-  
140 segmental, peribronchovascular, perivascular, periconsolidation pattern. We  
141 determined the GGO distribution pattern according to the presence of  
142 gradient change around the bronchovascular bundle, vascular or  
143 consolidation, intending to assess whether the lesion affected from blood flow  
144 (increased vascular permeability, pulmonary congestion) or extended  
145 inflammation around pneumonia.

146 Most of the patients with irreversible respiratory failure showed loss of lung-  
147 compliance as well as lung contractive changes, reduction of aeration area,  
148 and appearance of traction bronchiectasis, on follow-up CT. Thus, we  
149 quantitatively evaluated the changes in lung areas using SYNAPSE  
150 VINCENT volume analyzer (FUJIFILM Medical Co., Ltd., Tokyo, Japan.)

151 Briefly, three CT slices were selected from high resolution CT images,  
152 following the modified method of Matsuoka et.al [21]; the upper cranial slice  
153 was approximately 1 cm above the upper margin of the aortic arch, the middle  
154 slice approximately 1 cm below the carina, and the lower caudal slice was at  
155 the level of the right inferior pulmonary vein. Then, the lung margins were  
156 manually traced (Figure 1E, F), and the lung areas were calculated as the  
157 sum of those in the three images. The lung contraction rate was determined  
158 by ratio relative to the lung-areas from onset-CT. We defined 10% of lung  
159 contraction as CT-confirmed lung contraction, to avoid effects of respiratory  
160 fluctuation.

161 Among radiological findings in the cases with lung contractive changes, we  
162 had experienced a precedented appearance of non-segmentally distributed  
163 GGO with the specific feature. Those findings were characterized as  
164 uniformly distributed GGO extending to the pleura with a poor reticular  
165 pattern, which was considered to reflect parenchymal interstitial abnormality  
166 with less edematous change. We defined the radiological pattern as “non-  
167 edematous lung injury (NE-LI) suspicious lesion” (Figure 1G), and evaluated  
168 its frequency in this study. In order to confirm uniformity of the GGO lesion,

169 we also validated dispersion of GGO density by using XTREK view (J-MAC  
170 SYSTEM Inc., Sapporo, Japan), and compared those of NE-LI suspicious  
171 lesion with the other GGO lesions. Briefly, regions of interest were manually  
172 selected from the representative area of GGO, avoiding vessels and trachea,  
173 and CT density of GGO was calculated. We defined “non-uniformity index” as  
174 ratio of standard deviation to absolute value of CT density.

175

#### 176 **Statistical analysis**

177 Background factors were expressed as median (interquartile range) or  
178 number (percentages). To evaluate differences between patients’ groups, the  
179 Wilcoxon test and Pearson’s Chi-squared test were utilized to compare  
180 continuous and nominal variables, respectively. Predictors associated with  
181 irreversible respiratory failure were determined by logistic regression model,  
182 adjusted for several clinical indexes, within the whole cohort of patients  
183 (Infection group and ILD group). P values <0.05 were considered to be  
184 statistically significant. The JMP 11 statistical program (SAS Institute Inc,  
185 Cary, NC) was used for statistical analysis.

186

187 **Results**

188 **Diagnosis of the patients in this study**

189 The distributions of patients in this study are shown in Figure 2. In the study  
190 period, 2,439 patients received invasive ventilation, mostly for post-operative  
191 management without any complication (n=1,088). Among those in the ILD  
192 group (n=31), 15 patients were clinically diagnosed as ARDS without CRFs  
193 (10 were post-operative, 4 had chemotherapy-induced ARDS), and 6 patients  
194 were diagnosed as AIP. Among Infectious pneumonia group (n=89), 56  
195 patients (61% of pneumonia group) were confirmed to have bacterial  
196 pneumonia, 10 patients (11%) had viral pneumonia, and 4 patients (3%) for  
197 fungus infection. For those in the non-pulmonary infection group (n=50), 45  
198 patients (90% of non-pulmonary infection group) were confirmed as having a  
199 bacterial infection. More detailed diagnosis for each group are described in  
200 the supplemental data (Supple Table S1-3).

201

202 **Clinical characteristics and laboratory findings**

203 The clinical characteristics, laboratory findings, and the treatment and  
204 outcomes of ILD groups and Infection groups are summarized in Table 1. The

205 clinical features were significantly different between Infection group and ILD  
206 group, including their simplified acute physiology score (SAPS) II score [22]  
207 and sequential organ failure assessment (SOFA) score [23]. Notably,  
208 significantly higher patients could not withdraw from ventilation in ILD  
209 group, compared with those in Infection group.

210

### 211 **Radiological findings**

212 The radiological findings of patients in this study are summarized in Table 2.  
213 There existed 4 patients in the ILD group and 3 patients in the Infection  
214 group, whose lung contractive change could not be confirmed by CT analysis  
215 due to the following factors; lung contraction was partial, moderate pleural  
216 effusion was found at onset CT, and a lack of follow-up CT (X-ray was  
217 available). The representative image of NE-LI suspicious lesion is described  
218 in Supple Figure S1A. In contrast, the GGO lesion, which was not correspond  
219 to NE-LI lesion, is described in Supple Figure S1B. The non-uniformity index  
220 in NE-LI suspicious lesion were significantly lower than those in the other  
221 GGO area ( $p < 0.0001$ ; Supple Figure S1C).

222

223 **Predictors of irreversible respiratory failure**

224 Table 3 shows the results of multivariate analyses performed to determine  
225 the predictors of irreversible respiratory failure in this study. The strongest  
226 predictors of irreversible respiratory failure were lung contractive change,  
227 followed by ILD-dominant respiratory failure, the presence of a NE-LI  
228 suspicious lesion, and infection due to an antibiotic-resistant pathogen  
229 (multidrug-resistant bacteria or fungus). Additional analysis revealed that  
230 those other than NE-LI lesion were also significant increased risk of in-  
231 hospital mortality in this study, as well as routine corticosteroid use (Supple  
232 Table S4).

233

234 **Characteristics of the patients who presented with lung contractive change**  
235 **in follow-up CT**

236 The clinical feature of the patients who presented with lung contractive  
237 changes in follow-up CT are shown in Table 4. The representative images of  
238 the lung contraction pattern were described in Supple Figure S2A-F. The time  
239 for initial diagnosis of lung contractive change were mostly around 2-4 weeks  
240 after onset, and lung contraction rates were similar among infectious ARDS,

241 ARDS without CRFs, and AE-ILD (Supple Fig S3A, B). While the majority  
242 (76.7%) of lung contractive change could also be confirmed by CT analysis, for  
243 those in the remaining cases, lung contractive change in partial lung lesions  
244 was not possible to confirm with CT analysis. Therefore, we determined the  
245 cases with lung contractive changes, and not limited to those within the CT  
246 analysis-confirmed cases group.

247

## 248 **Discussion**

249 In the present study, we demonstrated that the respiratory prognosis of acute  
250 respiratory failure in ILD was significantly worse than those in severe  
251 infection. Lung contractive change was frequently observed with those who  
252 followed irreversible respiratory failure, mostly confirmed by CT analysis.  
253 Further, we found the frequent appearance of non-edematous GGO preceding  
254 lung contraction, which is likely to be a novel predictor of irreversible  
255 respiratory failure.

256 In this study, we re-considered the suitability of the ARDS criteria for the  
257 inclusion of subjects, in line with the study aims. For the ILD group, we  
258 included ARDS without CRFs, AIP, and AE-ILD, together with the ILD group.

259 Although diagnostic criteria for each disease is different, the appearance of  
260 clinical/radiological features of those in the acute phase were substantially  
261 similar, which predominantly results from acute interstitial abnormalities of  
262 autoimmune origin. Since the exacerbation period in those cases mostly  
263 extended to 1-4 weeks (Supple Figure S3A), it was difficult to categorize those  
264 subjects merely into ARDS criteria, which define the exacerbation period  
265 within one week from onset. For the Infection group, 131 patients (92.3% of  
266 Infection group) developed hypoxemia within ARDS criteria, however, the  
267 accompaniment of bilateral infiltration (bilateral lung lesions, non-infectious)  
268 was only confirmed in 51 patients (35.9% of the Infection group) during CT  
269 scan evaluation. Since we strictly evaluated initial lung abnormality with CT  
270 scan, ARDS due to severe infection in this study was possibly underdiagnosed  
271 compared to those studies diagnosing ARDS by chest X-ray. On the basis of  
272 these points, we enrolled the patients in this study, not limited to those  
273 meeting the ARDS criteria.

274 In our study, acute respiratory failure in the ILD group presented different  
275 pathogenic characters from those in the Infection group. Several lines of  
276 evidence support this notion. First, exacerbated periods of respiratory

277 symptoms from onset until ventilation were significantly longer in ILD group,  
278 compared with Infection group. Second, PCT and lactate were significantly  
279 higher in the Infection group than those in the ILD group. These data suggest  
280 that the characteristics of the initial inflammation were different between the  
281 two groups. Third, creatinine was also significantly higher in the Infection  
282 group than in the ILD group, possibly reflecting higher vascular permeability  
283 in the Infection group. Accordingly, severity score (SOFA, SAPS II ) at  
284 initiation of ventilation were significantly higher in the Infection group than  
285 in the ILD group. Fourth, the majority of GGO distribution in the Infection  
286 group was categorized as peribronchovascular/perivascular pattern or  
287 periconsolidation pattern, which predominantly reflected pulmonary  
288 edematous changes. Taken together, acute respiratory failure due to  
289 interstitial lung disease is characterized by milder inflammation than those  
290 due to severe infection, which results in subacute progression with less  
291 vascular permeability and systemic dysfunction. These results were  
292 compatible with those described in a previous report [8].

293 In this study, we proposed NE-LI suspicious lesion as a novel predictor of  
294 irreversible respiratory failure. Previously, several studies reported an

295 association between extensive pulmonary lesions, increased GGO and  
296 excessive lung edema, and poor clinical outcome in acute respiratory failure  
297 including ARDS [24, 25]. Contrary, NE-LI suspicious lesion was frequently  
298 confirmed in ILD group, which possibly correspond to the initial phase of lung  
299 injury with mild inflammatory change, frequently advanced to lung  
300 contractive change after 2-4 weeks (Supple Table S4A). We could at least  
301 suggest that additional caution would be necessary for non-edematous GGO  
302 lesions in acute respiratory failure, in particular with acute ILD.

303 In our study, the most significant predictor of irreversible respiratory failure  
304 was lung contractive change. Lung contractive change, reduction of lung  
305 compliance and lung-volume, are universally accepted phenomena in chronic  
306 ILD, dominantly induced by fibrotic change in the parenchymal interstitium  
307 and evaluated by a decrease in forced vital capacity, total lung capacity, or  
308 presentation of traction bronchiectasis [26–28]. Recently, a few studies have  
309 shown correlations between lung volume and the prognosis of ARDS, using  
310 automated CT analysis with relatively small numbers of subjects [29, 30]. As  
311 shown in Table 4, lung contraction mostly occurred with ILD cases, after  
312 preceding infection, exposure to pressure injury during general anesthesia, or

313 cytotoxic chemotherapy. Of note, our study demonstrated a high prevalence  
314 of subjects who were post-operative or on cancer chemotherapy treatment,  
315 which amounted to 48.4% of subjects with lung contractive change. From  
316 these results, it was felt that the incidence of lung contractive change was  
317 based on severe interstitial lung injury by complex insults, represented by  
318 direct pressure or chemical reaction. In contrast, the effect of infectious  
319 inflammation on lung injury might be mild, given the lower incidence of lung  
320 contractive changes in the group of severe infections in this study. However,  
321 in five cases of severe infection, lung contractive changes had progressed after  
322 adequate control of infectious inflammation. Taken together, once lung  
323 contractive change was confirmed after the onset of acute respiratory failure,  
324 irreversible respiratory failure can develop with high frequency. Thus, we  
325 propose that detection of lung contractive change would be a crucial predictor  
326 of irreversible respiratory failure in severe acute respiratory failure.

327 Our study has several limitations. First, we used a single center retrospective  
328 design, which may have resulted in a selection bias. Second, several  
329 important laboratory findings, such as BNP and PCT, were not examined in  
330 all the subjects, thus, could not be included to analyze all of the predictors.

331 Third, the small numbers of patients within the ILD group may have limited  
332 our ability to show differences among clinical or laboratory features. Fourth,  
333 we did not include COVID-19 cases in Infection group, which has been  
334 worldwide concern with unfavorable outcome. However, we believe our work  
335 has several important clinical implications, which would be contributive to  
336 the management of a range of intractable acute respiratory failure cases.

### 337 **Conclusion**

338 This study demonstrated the presence of sub-phenotypes in acute respiratory  
339 failure due to ILD and severe infection, which followed irreversible  
340 respiratory failure. Comparison of ILD group and Infection group extracted  
341 the sub-phenotypes with lung contractive changes, which were mostly  
342 observed in ILD group, and, interestingly, in part of Infection group. The  
343 distinct CT findings, including lung contractive change and NE-LI, were  
344 considered to be potential predictive factors of irreversible respiratory failure.  
345 Further investigation concerning the characteristics of these subjects might  
346 contribute to guiding clinical decision-making in intractable acute respiratory  
347 failure.

348 **ABBREVIATIONS:** AE-ILD = acute exacerbation of interstitial pneumonia;  
349 AIP = acute interstitial pneumonia; ARDS = acute respiratory distress  
350 syndrome; CT = computed tomography; CRFs = common risk factors; DAD =  
351 diffuse alveolar damage; ECMO = extracorporeal membrane oxygenation;  
352 GGO = grand glass opacity; ILD = interstitial lung disease; IPAF = interstitial  
353 pneumonia with autoimmune features; NE-LI = non-edematous lung injury;  
354 NHCAP = nursing and health-care associated pneumonia; SAPS = simplified  
355 acute physiology score; SOFA = sequential organ failure assessment

356

357 **Declarations**

358 **Ethical Approval and Consent to participate:** This study was approved by the  
359 Human Subjects Review Committee of Hokkaido University Hospital  
360 (approval number: 019-0304). As per Japanese law, no informed consent was  
361 required for this type of study.

362

363 **Consent for publication:** Not applicable.

364

365 **Availability of supporting data:** All data generated or analyzed during this  
366 study are included in this article and its supplemental data.

367

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370

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375

376 **Authors' contributions:** KN designed and interpreted clinical data,  
377 radiological findings, and prepared the manuscript. KN and YY, with  
378 assistance of HK, HS and MS, collected the clinical data. AO and KS  
379 contributed analysis of lung-contraction rate. KN, ST and NO contributed  
380 analysis of the radiological findings and non-uniformity index.  
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382 author contributed discussions throughout the work.

383

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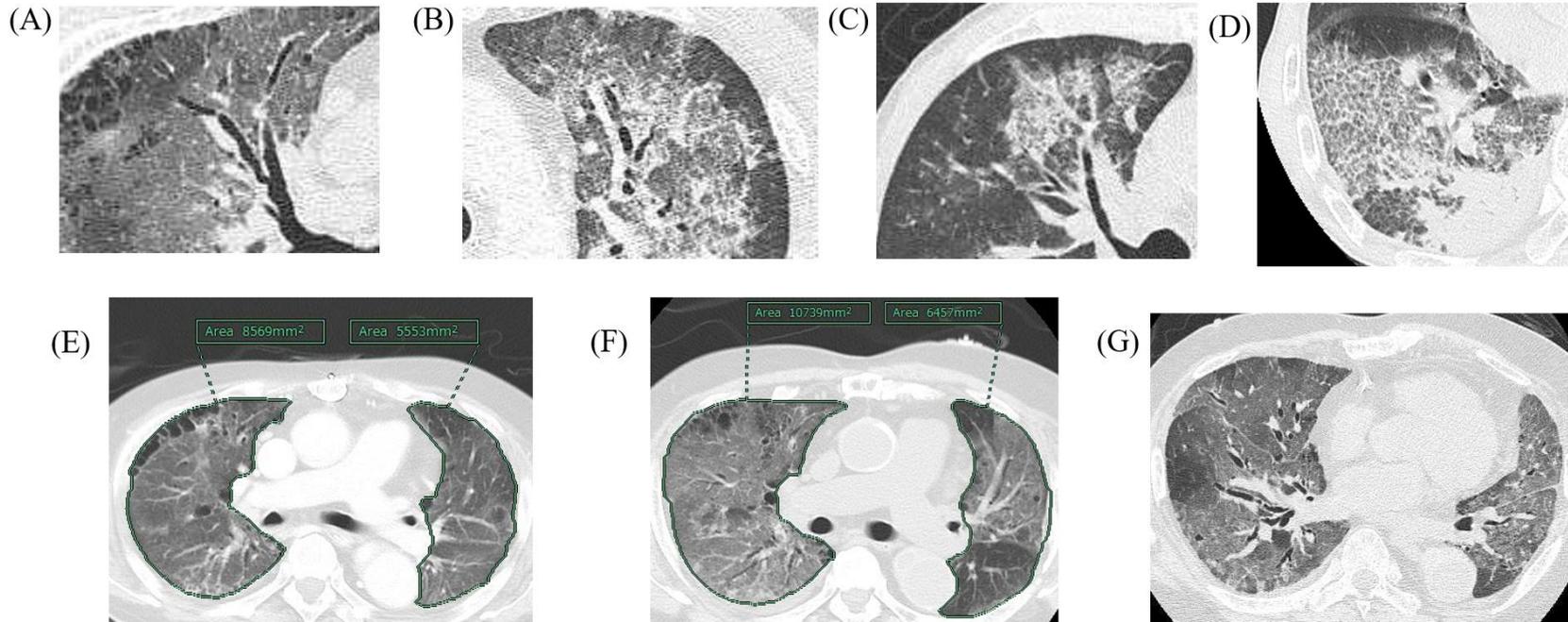
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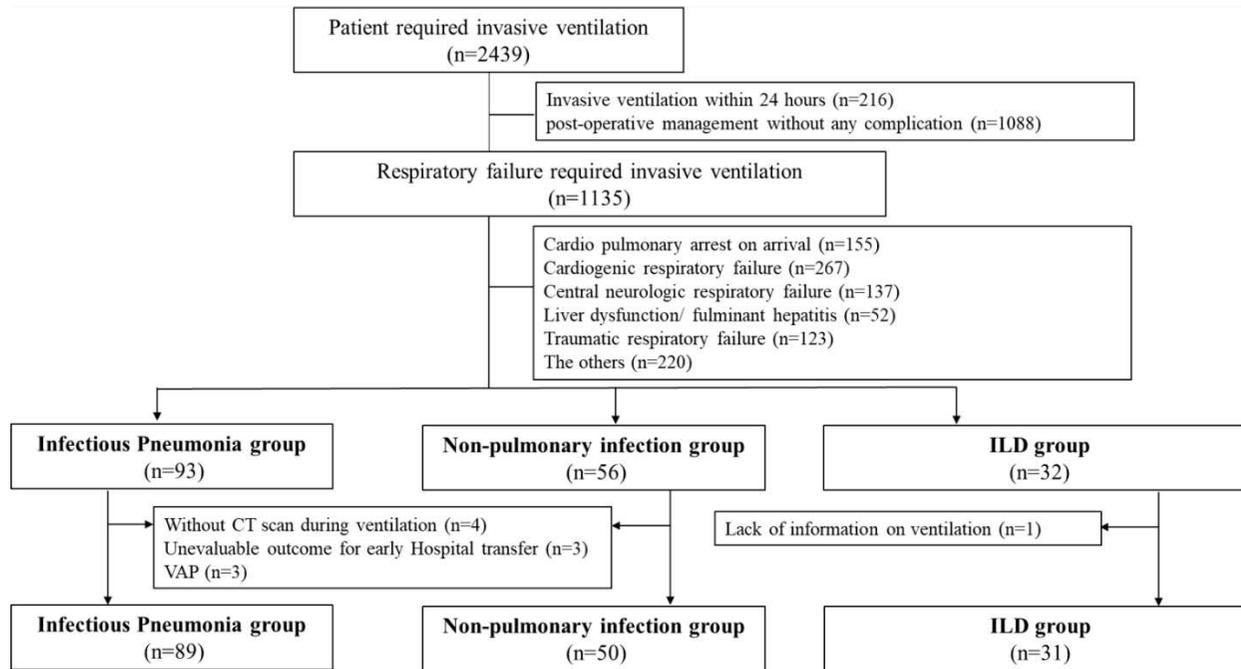
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508 Figure 1



509  
510 Definition of CT manifestations in this study. Dominant distribution pattern of grand-glass opacity; non-segmental (A),  
511 peribronchovascular (B), perivasucular (C), peri-consolidation pattern (D). Quantitative analysis of lung contraction by  
512 CT analysis; contraction rate was calculated by the ratio of lung capacity of follow up CT (E) to initial CT (F).  
513 Representative manifestation of non-edematous lung injury suspicious lesion (G).

514 Figure 2



515

516 Flow chart illustrating the distribution of patients in this study. Participants were divided into two groups according to

517 the dominant cause of acute respiratory failure; ILD for ILD group or severe infection for Infection group (subdivided

518 with focus of infection into pneumonia group or non-pulmonary group). Abbreviations: CT, computed tomography; ILD,

519 interstitial lung disease; VAP, ventilator-associated pneumonia.

520 **Table**

521 Table 1. Clinical feature, severity, laboratory findings, the treatment and  
 522 outcomes of patients in this study.

	ILD group (n=31)	Infection group			P value <sup>f</sup>
		Total (n=139)	Pneumonia group (n=89)	Non pulmonary group (n=50)	
Age (years)	67 [58-73]	71 [64-79]	72 [64-79]	68 [63-76]	0.2
Male gender, n (%)	22 (70)	84 (60)	63 (70)	21 (42)	0.17
Community onset, n (%)	17 (54)	92 (66)	59 (66)	33 (66)	0.43
Underlying Disease					
Chronic respiratory disease <sup>a</sup>	15 (48)	36 (26)	32 (35)	4 (8)	<0.05
Diabetes Mellitus	5 (16)	38 (27)	24 (26)	14 (28)	0.34
Malignant disease <sup>b</sup>	16 (51)	42 (30)	29 (32)	13 (26)	0.07
Routine use of corticosteroid	12 (38)	23 (17)	14 (15)	9 (18)	<0.05
Perioperative period (within two weeks)	9 (29)	13 (9)	8 (8)	5 (10)	0.08
General anesthesia within 1 year	13 (41)	27 (19)	16 (17)	11 (22)	<0.05
Days from onset to intubation	4 [1-12]	1 [1-3]	2 [1-3]	1 [1-3]	<0.01
P/F ratio matching to ARDS severity <sup>c</sup>					
P/F >300	1 (3)	11 (8)	4 (4)	7 (14)	0.39
Mild (P/F 200< <300)	4 (13)	32 (23)	21 (24)	11 (22)	0.34
Moderate (P/F 100< <200)	20 (65)	66 (47)	41 (46)	25 (50)	0.05
Severe (P/F <100)	6 (19)	30 (22)	23 (26)	7 (14)	0.39
WBC <sup>d</sup> (10 <sup>9</sup> /L)	13.3 [8-19]	9.7 [6-16]	9.1 [5-16]	10.3 [5-18]	0.07
CRP <sup>d</sup> (mg/dL)	9 [4-16]	15 [6-22]	12 [6-20]	16 [8-25]	0.08
PCT <sup>e</sup> (ng/mL)	0.3 [0.1-0.9]	8.3 [1-39]	2.5 [0.8-11]	39.2 [9-100]	<0.001
BNP <sup>d</sup> (pg/mL)	104 [48-360]	230 [84-662]	210 [71-466]	513 [176- 1016]	0.09
BMI <sup>c</sup> (kg/m <sup>2</sup> )	23.4 [21-26]	21.4 [19-26]	21.1 [17-23]	22.9 [20-27]	0.07
Lac <sup>d</sup> (mmol/L)	1.4 [1-2]	3.5 [2-6]	2.6 [1.7-4.5]	4.6 [2.6-8.4]	<0.001
SOFA score <sup>d</sup>	5 [4-8]	9 [7-12]	8 [7-11]	12 [9-13]	<0.001

SAPSI score <sup>d</sup>	35 [29-44]	56 [44-66]	56 [43-66]	53 [47-66]	<0.001
Cre <sup>d</sup> (mg/dL)	0.7 [0.6-0.9]	1.3 [0.8-2]	1.2 [0.8-1.7]	2.0 [0.9-2.7]	<0.001
Alb <sup>e</sup> (g/dL)	2.5 [2.1-2.9]	2.5 [2.2-2.9]	2.5 [2.2-2.9]	2.4 [2.1-2.7]	0.42
Systemic antibiotics administration	30 (96)	139 (100)	89 (100)	50 (100)	0.05
Systemic steroid therapy					
Steroid pulse (1 g/day)	27 (87)	15 (11)	13 (14)	2 (4)	<0.001
High dose (PSL, 1mg/kg/day)	3 (9)	16 (12)	14 (15)	2 (4)	0.93
Low dose (PSL, 0.5mg/kg/day or less)	0 (0)	67 (48)	40 (44)	27 (54)	<0.001
Immunosuppressant therapy	14 (15)	2 (1)	2 (2)	0 (0)	<0.001
Tidal volume (mL/kg IBW) <sup>g</sup>	7.9 [6-10]	8.1 [7-9]	8.1 [6-9]	8.0 [7-9]	0.52
PEEP (mmHg) <sup>g</sup>	8 [6-10]	8 [6-10]	10 [8-10]	8 [6-10]	0.94
Peak inspiratory pressure (mmHg) <sup>g</sup>	21 [17-25]	21 [17-25]	21 [18-25]	21 [16-25]	0.64
Compliance (Cdyn) <sup>g</sup>	40 [21-77]	39 [29-34]	39 [30-60]	36 [25-47]	0.95
CRRT	1 (3)	48 (35)	20 (22)	28 (56)	<0.001
ECMO	7 (22)	15 (11)	14 (15)	1 (2)	0.13
Complication during ventilation	15 (48)	10 (7)	9 (10)	1 (2)	<0.001
Unable to withdraw from ventilation	22 (70)	27 (19)	20 (22)	7 (14)	<0.001
Tracheostomy	17 (54)	43 (31)	34 (38)	9 (18)	<0.05
Prolonged dysphagia until discharge	9 (29)	35 (25)	25 (28)	10 (20)	0.36
In hospital mortality	19 (61)	32 (23)	21 (23)	11 (22)	<0.001

523

524 Continuous variables are reported as median [interquartile range (IQR) 25-  
525 75]. Categorical variables are reported as number (percentages). Each  
526 laboratory data was available for all the patients, except for BNP and PCT.  
527 BNP was validated within 48 h of ventilation in 25 patients (80.6%) of ILD  
528 group and 90 patients (64.7%) of Infection group. PCT was validated within 1  
529 week of ventilation in 29 patients (93.5%) of ILD group and 107 patients  
530 (77.0%) of Infection group. The records of ventilation-settings were available

531 in 29 patients (93.5%) of ILD group and 132 patients (95.0%) of Infection  
532 group. ARDS acute respiratory distress syndrome, BMI body mass index,  
533 CRRT continuous renal replacement therapy, ECMO extracorporeal  
534 membrane oxygenation, IBW ideal body weight, ILD interstitial lung disease,  
535 PEEP positive end-expiratory pressure, PCT procalcitonin, PSL  
536 predonisolone.

537 <sup>a</sup> Chronic respiratory disease included any respiratory disease required  
538 clinical follow-up.

539 <sup>b</sup> Malignant disease included any present/previous history of malignant  
540 tumor or hematological malignancies.

541 <sup>c</sup> Based on the lowest value within 48 h of invasive mechanical ventilation.

542 <sup>d</sup> Based on the highest value within 48 h of invasive mechanical ventilation.

543 <sup>e</sup> Based on the highest value within 7 days of invasive mechanical ventilation.

544 <sup>f</sup> Infection group vs ILD group

545 <sup>g</sup> Based on the initial setting of invasive mechanical ventilation within 24 h.

546

547 Table 2. CT manifestation, GGO feature, dominant distribution pattern, lung  
 548 contraction changes of patients in this study.

	ILD group (n=31)	Infection group			P value <sup>a</sup>
		Total (n=139)	Pneumonia group (n=89)	Non pulmonary group (n=50)	
Consolidation	8 (25)	105 (76)	85 (95)	20 (40)	<0.001
Pleural effusion	7 (22)	35 (25)	12 (13)	23 (46)	1.00
GGO	30 (96)	49 (35)	36 (40)	13 (26)	<0.001
Diffuse area	25	30	22	8	0.08 <sup>b</sup>
Intralobular line	14	35	29	6	<0.05 <sup>b</sup>
Intralobular septa thickening	7	31	21	10	<0.001 <sup>b</sup>
Traction bronchiectasis	22	6	6	0	<0.001 <sup>b</sup>
Dominant distribution of GGO					
Non-segmental	15	7	7	0	<0.005 <sup>b</sup>
Peribronchovascular bundle/perivascular	13	32	19	13	0.06 <sup>b</sup>
Periconsolidation	1	10	10	0	<0.05 <sup>b</sup>
Lung contraction confirmed by CT analysis	19 (61)	4 (3)	4 (4)	0 (0)	<0.001
Lung-contraction rate (%)	22.5 [15-29]	-	39.1 [31-41]	-	-
Non edematous lung injury suspicious lesion	16 (51)	2 (1)	2 (2)	0 (0)	<0.001

549

550 Categorical variables are reported as number (percentages). Continuous  
 551 variables are reported as median [interquartile range (IQR) 25-75]. For  
 552 evaluation of dominant characteristics of lung lesion, CT scan performed for  
 553 initial evaluation after onset, within 48 hours of intubation, was selected for  
 554 26 patients in ILD group and 139 patients in Infection group. Meanwhile, CT  
 555 scan at onset, which were performed 7-21 days before ventilation, were  
 556 selected in remaining cases (6 patients in ILD group). CT computed

557 tomography, GGO ground-glass opacity, ILD interstitial lung disease.

558 <sup>a</sup> Infection group vs ILD group, <sup>b</sup> Comparison of the subjects with GGO lesion

559 between Infection group and ILD group.

560 Table 3. Factors associated with irreversible respiratory failure in this study.

	Withdrawal from ventilation		Multivariate analysis <sup>a</sup>	
	Achieved (n=121)	Impossible (n=49)	AOR (95% CI)	P
Lung contractive change with respiratory failure-progression	4 (13)	26 (87)	32.6 (7.1-150)	<0.001
Interstitial lung disease-dominant respiratory failure	9 (29)	22 (71)	18.4 (4.3-79.1)	<0.001
The presence of NE-LI suspicious lesion	3 (16)	16 (84)	13.3 (2.9-59.7)	<0.001
Multidrug-resistant bacterial/ fungal infection	2 (15)	11 (85)	6.4 (1.3-31.6)	<0.001
Routine corticosteroid use	27 (60)	18 (40)	5.2 (1.9-14)	<0.005
Male	71 (66)	37 (34)	3.2 (1.2-8.6)	<0.05
Complication during mechanical ventilation	10 (42)	14 (58)	2.9 (0.9-9.4)	0.06
Age >75	41 (67)	20 (33)	2.6 (1.1-6.5)	<0.05
ARDS Berlin Criteria >severe	22 (61)	14 (39)	2.4 (0.8-6.4)	0.09
Malignant disease	35 (60)	23 (40)	1.9 (0.8-4.8)	0.13
BMI <22 kg/m <sup>2</sup>	58 (67)	28 (33)	1.5 (0.6-3.5)	0.33
Chronic respiratory disease	30 (58)	22 (42)	1.2 (0.5-3)	0.63
Hospital-acquired onset	40 (66)	21 (34)	1.0 (0.4-2.7)	0.96
SAPS2 >50	63 (72)	24 (28)	0.85 (0.4-2.1)	0.72
General anesthesia within 1 year	28 (70)	12 (30)	0.7 (0.2-2.2)	0.51
CRP >10 mg/dL	78 (74)	27 (26)	0.4 (0.2-0.9)	<0.05

561

562 Categorical variables are reported as number (percentages). AOR adjusted

563 odds ratio, ARDS acute respiratory distress syndrome, BMI body mass index,

564 CI confidence interval, CT computed tomography, GGO ground-glass opacity,

565 ILD interstitial lung disease, NE-LI non-edematous lung injury, OR odds

566 ratio.

567 <sup>a</sup> Adjusted by age >75, sex (Male), BMI <22 kg/m<sup>2</sup>, routine steroid therapy,

568 present/previous history of malignant disease, chronic respiratory disease,  
569 general anesthesia within 1 year, complication during ventilation, in-hospital  
570 onset, severe ARDS, SAPS II score >50, CRP >10mg/dL.

571 Table 4. The feature of the patients who presented lung contraction with  
 572 progressive respiratory failure.

	Infectious group (n=7)	ILD group (n=23)	Total (n=30)
ARDS/AIP	7 (100)	15 (65)	22 (73)
Risk factor, insult			
Precedent infection before/at onset			
Bacterial-	4 (57)	8 (35)	12 (40)
Viral-/ pathogen not detected	3 (43)	5 (22)	8 (27)
Pneumocystis-	—	3 (13)	3 (10)
Post-operation or Cancer Chemotherapy	3 (43)	12 (52)	15 (50)
CT feature			
NE-LI suspicious lesion	2 (21)	16 (70)	18 (60)
Lung-contraction confirmed by CT analysis (>10%)	4 (57)	19 (83)	23 (77)
Response to treatment			
Did not response to steroid therapy	6 (86)	10 (43)	16 (53)
Did not response to steroid and IVCY	—	7 (30)	7 (23)
Improved with steroid therapy	0 (0)	2 (9)	2 (7)
Improved with IVCY	—	4 (17)	4 (13)
Improved with rituximab	1 (14)	—	1 (3)
Pattern of Lung-contractive area			
diffuse	4 (57)	16 (70)	20 (67)
partial	2 (21)	7 (30)	9 (30)
consolidation	1 (14)	0 (0)	1 (3)
Time for initial diagnosis of lung-contractive change			
within 2 weeks	1 (1)	5 (22)	6 (20)
2-4 week	4 (57)	18 (78)	22 (73)
>4 week	2 (21)	0 (0)	2 (7)

573

574 Categorical variables are reported as number (percentages). The radiological  
 575 patterns of lung-contraction were categorized to ‘diffuse’ and ‘partial’,  
 576 according to contracted GGO area. The lung-contraction limited to

577 consolidation area was observed in 1 case in Infection group, which was  
578 defined as 'consolidation' patterned lung-contraction. AIP acute interstitial  
579 pneumonia, ARDS acute respiratory distress syndrome, CT computed  
580 tomography, ILD interstitial lung disease, IVCY intravenous  
581 cyclophosphamide, NE-LI non-edematous lung injury.

582

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583

Supplementary Figure Legend

584

**Lung contractive change in severe acute respiratory failure requiring**

585

**invasive ventilation; a comparison of features between interstitial lung**

586

**disease and severe infection**

587

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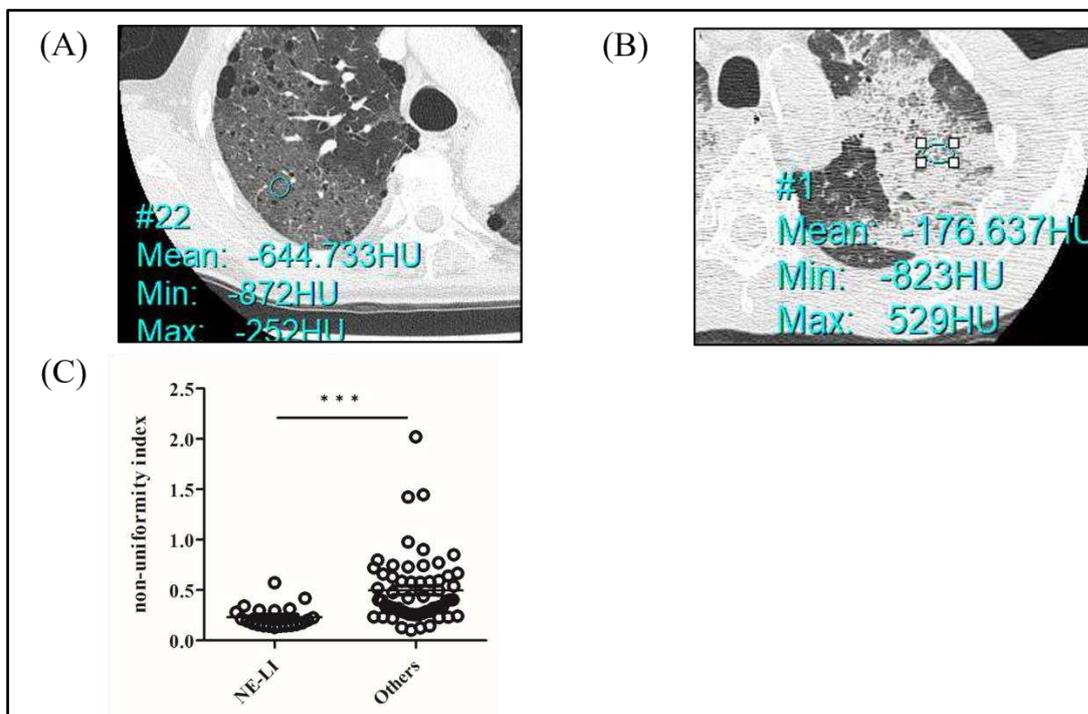
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600 Supplemental Figure

601 Figure S1

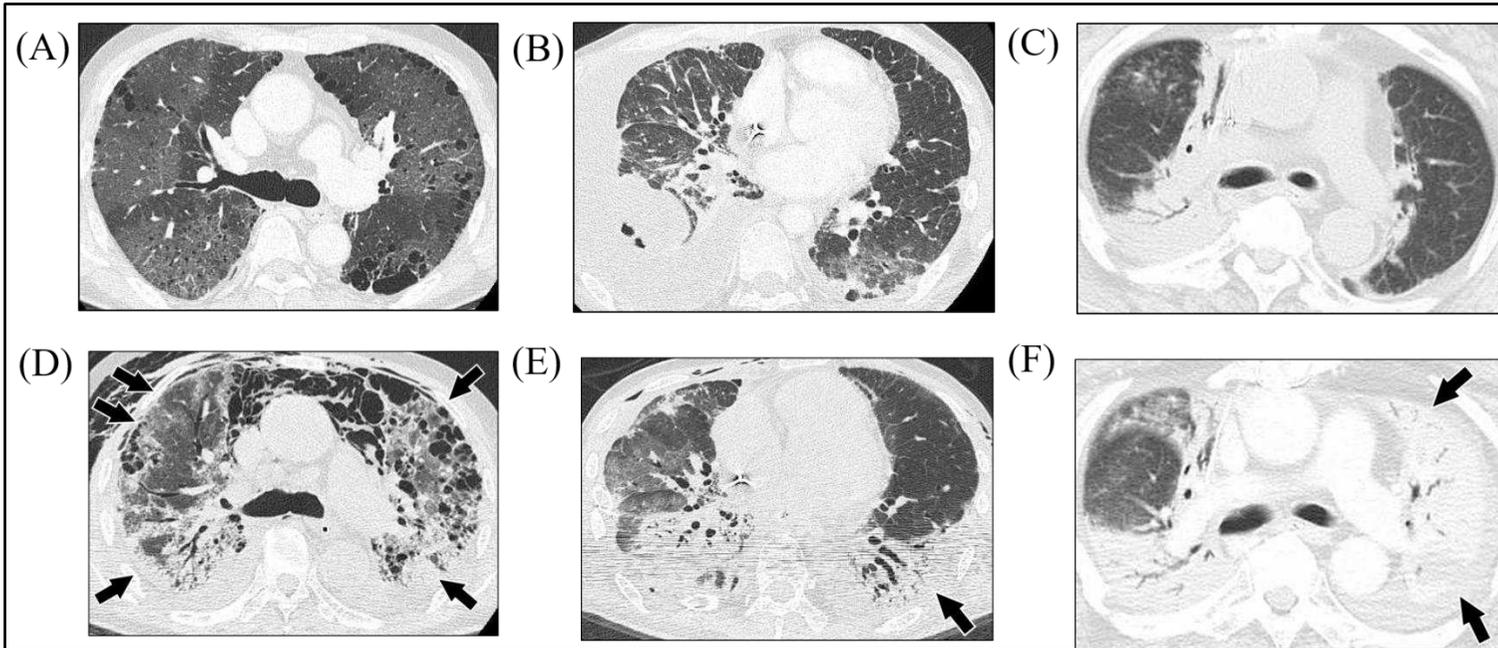


602  
603

604 Fig S1. Representative manifestation of non-edematous lung injury (NE-LI)  
605 suspicious lesion (A). The regions of interest were manually selected from the  
606 representative area of GGO; non-uniformity index = 0.17. The manifestation  
607 of GGO lesion, which did not correspond to NE-LI (B); non-uniformity index  
608 = 1.4. Non-uniformity index (dispersions of GGO density) in NE-LI suspicious  
609 lesion compared with those in the other GGO area (C). Statistically  
610 significant differences are indicated as follows: \*\*,  $P < 0.005$ .

611

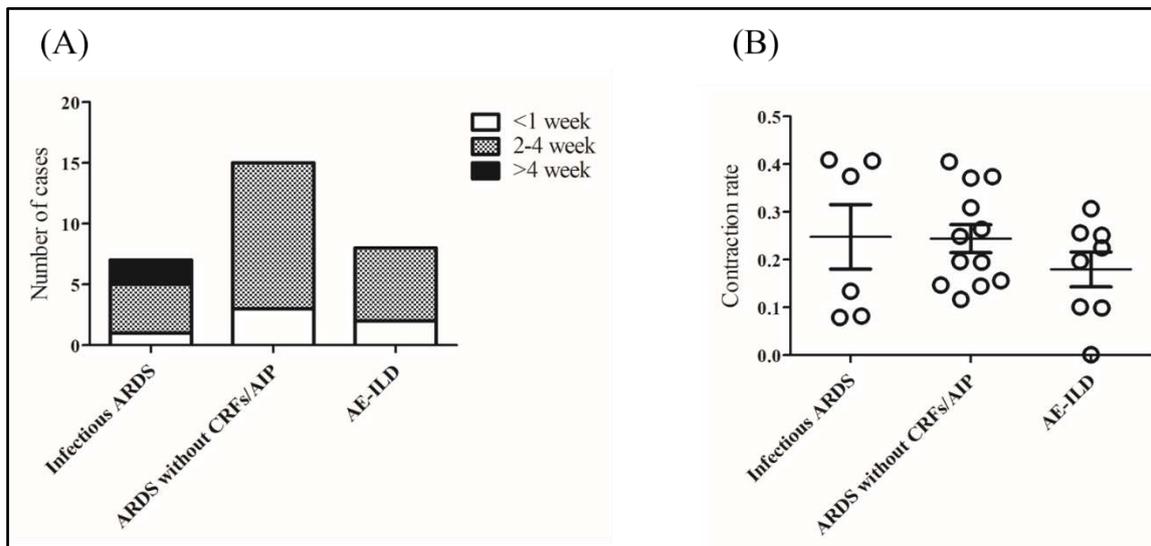
612 Figure S2



613

614 Fig S2. Representative manifestation of lung contractive change; diffuse (A, D), partial (B, E), and consolidation pattern  
615 (C, F). Contraction was determined with distinct CT findings, appearance of reduction of aeration area and appearance  
616 of traction bronchiectasis, in comparison between CT manifestation performed at onset (A-C) and during the follow-up  
617 period (D-F).

618 Figure S3



619

620 Fig S3. The time for initial diagnosis of lung contractive change (A) and lung

621 contraction rate among ARDS due to infection, ARDS without CRFs/AIP and

622 AE-ILD. Abbreviations: AE-ILD, acute exacerbation of interstitial lung

623 disease; AIP, acute interstitial pneumonia; ARDS, acute respiratory distress

624 syndrome; CRFs, common risk factors.

625

626 **Supplemental Table**

627 Table Supple 1. Clinical diagnosis of Interstitial lung disease group in this  
 628 study.

Clinical diagnosis of ILD group	Total n=31
ARDS without CRFs/ AIP	n=22 (71)
Post-operative	10
Cancer chemotherapy-induced	7
Drug induced (other than cancer chemotherapy)	2
Post-infection	2
Post-burn	1
Acute exacerbation of previously known ILD	n=9 (29)
UIP	2
NSIP	1
IPAF	3
RA-related ILD	1
PPFE	1
iLIP	1

629 Categorical variables are reported as number (percentages). A patient who  
 630 developed acute respiratory failure after burn was included for AIP, because  
 631 respiratory condition exacerbated after 2 weeks from burn, which was  
 632 unusual course of typical ARDS due to burn. AIP acute interstitial pneumonia,  
 633 ARDS Acute respiratory distress syndrome, CRFs common risk factors, ILD  
 634 interstitial lung disease, iLIP idiopathic lymphatic interstitial pneumonia,  
 635 IPAF interstitial pneumonia with autoimmune features, NSIP nonspecific  
 636 interstitial pneumonia, PPFE pleuroparenchymal fibroelastosis, RA-related  
 637 ILD rheumatoid arthritis related interstitial lung disease, UIP usual  
 638 interstitial pneumonia.

639 Table Supple 2. Clinical diagnosis of Infectious pneumonia group in this study.  
 640

Types of pneumonia	total n=89
CAP	48 (54)
NHCAP	11 (12)
HAP	30 (34)
Dominant causative pathogen	
Bacteria	n=54 (61)
<i>Streptococcus pneumoniae</i>	14
Enterobacteria ( <i>Escherichia coli</i> + <i>Klebsiella</i> spp.)	15
<i>Staphylococcus aureus</i> (MSSA/MRSA)	6
<i>Pseudomonas aeruginosa</i>	7
<i>Hemophilus influenzae</i>	3
<i>Mycoplasma pneumoniae</i>	2
Other bacteria	7
Virus	n=10 (11)
<i>Influenzae</i> virus	8
Cytomegalovirus	2
Fungus	n=3 (3)
<i>Aspergillus fumigatus</i>	3
The others	n=3 (3)
<i>Pneumocystis jirovecii</i>	3
Pathogen not detectable	n=20 (22)

641

642 Categorical variables are reported as number (percentages). CAP community-  
 643 acquired pneumonia, HAP hospital-acquired pneumonia, MRSA methicillin-  
 644 resistant *Staphylococcus aureus*, MSSA methicillin-susceptible  
 645 *Staphylococcus aureus*, NHCAP Nursing and HealthCare Associated  
 646 Pneumonia.

647 Table Supple 3. Clinical diagnosis of Non pulmonary infection group in this  
 648 study.

649

Type (Location) of infection	total n=50
Urinary tract	18 (36)
Enteral/intra-peritoneal	15 (30)
Hepatobilliary/pancreatic	8 (16)
Necrotizing fasciitis/endocarditis	1 (2)
Lateral cervical abscess	1 (2)
Focus unknown (bacteremia)	7 (14)
<b>Dominant causative pathogen</b>	
<i>Escherichia coli</i> + <i>Klebsiella</i> spp.	21 (42)
Enterobacteria ( <i>Salmonella</i> spp., <i>Citrobacter</i> spp., <i>Serratia</i> spp., <i>Enterobacter</i> spp., <i>Providencia</i> spp.)	10 (20)
Obligate anaerobe ( <i>Bacteroides</i> spp., <i>Clostridium</i> spp., <i>Prevotella</i> spp.)	6 (12)
Gram-positive bacterium ( <i>Streptococcus</i> spp., <i>Enterococcus</i> spp., <i>Staphylococcus</i> spp.)	8 (16)
Pathogen not detectable	5 (10)

650

651 Categorical variables are reported as number (percentages).

652

653 Table suppl 4. Factors associated with in-hospital mortality in this study.

654

	In-hospital mortality		Multivariate analysis <sup>a</sup>	
	Alive (n=120)	Dead (n=50)	AOR (95% CI)	P
Lung contractive change with respiratory failure- progression	8 (27)	22 (73)	16.9 (4.6-60)	<0.001
Interstitial lung disease-dominant respiratory failure	12 (40)	18 (60)	13.3 (3.3-52)	<0.001
Multidrug-resistant bacterial/ fungal infection	1 (8)	12 (92)	12.8 (2.4-68)	<0.001
Routine corticosteroid use	16 (46)	19 (54)	6.92 (2.5-18)	<0.005
The presence of NE-LI suspicious lesion	7 (37)	12 (73)	4.91 (1.3-17)	<0.005
Complication during mechanical ventilation	11 (44)	14 (56)	3.7 (1.3-11)	<0.05
SAPS2 >50	31 (61)	20 (39)	2.4 (1.5-5.5)	<0.05
ARDS Berlin Criteria >severe	22 (61)	14 (39)	2.1 (0.8-5.4)	0.13
Malignant disease	34 (59)	24 (41)	1.9 (0.4-2.4)	0.92
Chronic respiratory disease	30 (59)	21 (41)	1.7 (0.7-3.9)	0.21
BMI <22 kg/m <sup>2</sup>	56 (64)	31 (36)	1.4 (0.7-3.1)	0.36
Hospital-acquired onset	41 (67)	20 (33)	1.2 (0.5-3)	0.65
Male	73 (69)	33 (31)	1.1 (0.5-2.5)	0.81
Age >75	41 (67)	20 (33)	1.0 (0.4-2.4)	0.92
General anesthesia within 1 year	28 (72)	11 (28)	0.5 (0.2-1.5)	0.21
CRP >10mg/dL	76 (73)	28 (27)	0.5 (0.2-1.1)	0.07

655

656 Categorical variables are reported as number (percentages). AOR adjusted

657 odds ratio, ARDS acute respiratory distress syndrome, BMI body mass index,

658 CI confidence interval, CT computed tomography, GGO ground-glass opacity,

659 ILD interstitial lung disease, NE-LI non-edematous lung injury, OR odds

660 ratio.

661 <sup>a</sup> Adjusted by age>75, sex (Male), BMI<22, present/previous history of

662 malignant disease, chronic respiratory disease, general anesthesia within 1  
663 year, complication during ventilation, in-hospital onset, severe ARDS, SAPS  
664 II score >50, CRP >10mg/dl.  
665

666 Supple Table S5. Histopathological and cytological diagnoses of the patients  
 667 with lung-contractive change.

668

Cases	Clinical diagnosis	Lung tissue specimen	Cytological/Histopathological patterns
ILD groups (n=7/23)			
Case 1	AE-ILD (iLIP)	Autopsy	Malignant lymphoma (Hodgkin lymphoma)
Case 2	AIP (chemotherapy induced)	Autopsy	DAD, organizing phase
Case 3	AE-ILD (IPAF)	Autopsy	UIP, IPA
Case 4	AIP (chemotherapy induced)	Autopsy	DAD, organizing phase
Case 5	AE-ILD (UIP)	Autopsy	Pulmonary tumor thrombotic microangiopathy
Case 6	ARDS (post-operative)	Autopsy	DAD, organizing phase
Case 7	ARDS (post-operative)	Autopsy	DAD, organizing phase
Infection group (n=2/7)			
Case 1	ARDS (pneumococcal pneumonia)	Autopsy	DAD, organizing phase
Case 2	ARDS (severe HAP)	OLB	Organizing pneumonia, lung abscess

669

670 AE-ILD acute exacerbation of interstitial lung disease, AIP acute interstitial

671 pneumonia, ARDS acute respiratory distress syndrome, DAD diffuse alveolar

672 damage, HAP hospital acquired pneumonia, ILD interstitial lung disease,

673 iLIP idiopathic lymphatic interstitial pneumonia, IPA invasive pulmonary

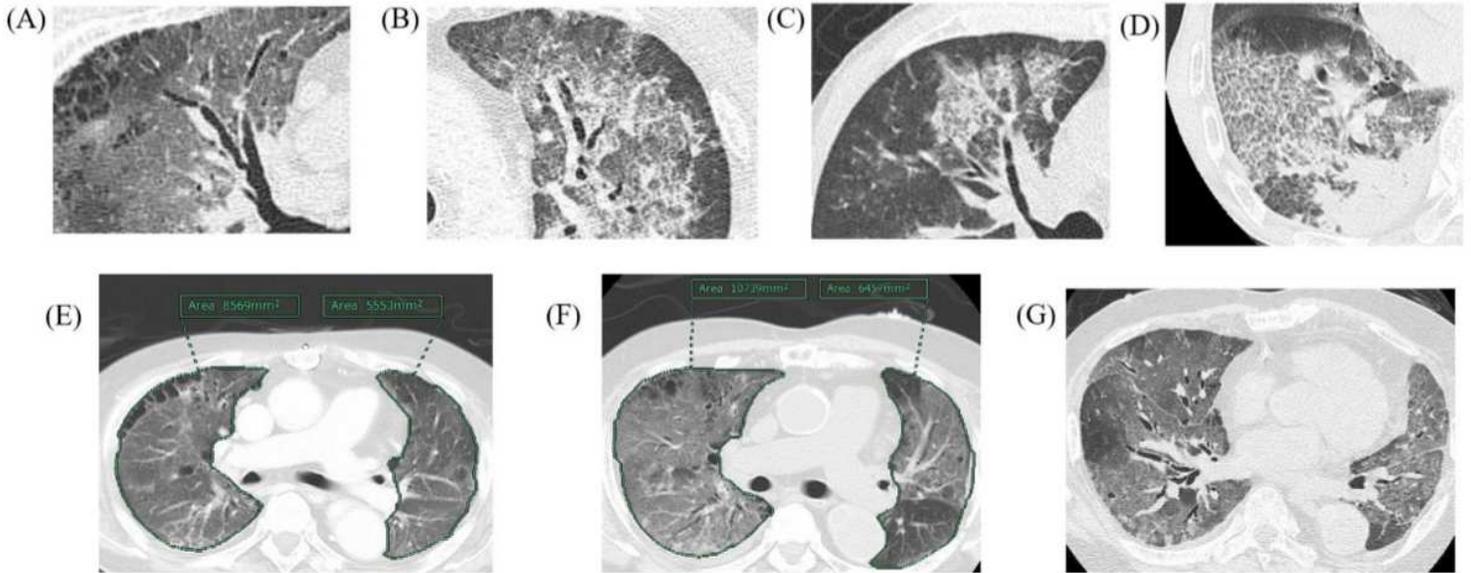
674 aspergilloma, IPAF interstitial pneumonia with autoimmune features, OLB

675 open lung biopsy, UIP usual interstitial pneumonia.

676

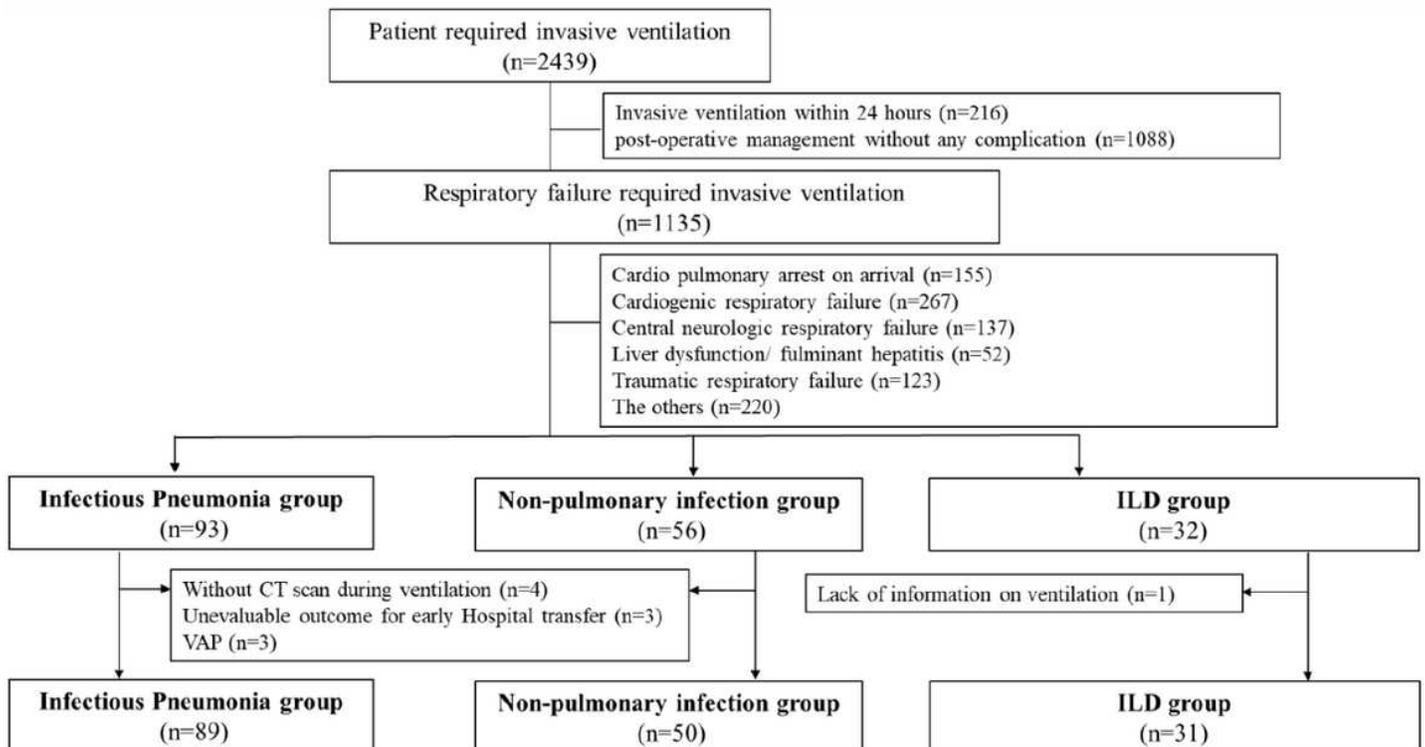
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# Figures



**Figure 1**

Definition of CT manifestations in this study. Dominant distribution pattern of grand-glass opacity; non-segmental (A), peribronchovascular (B), perivasucular (C), peri-consolidation pattern (D). Quantitative analysis of lung contraction by CT analysis; contraction rate was calculated by the ratio of lung capacity of follow up CT (E) to initial CT (F). Representative manifestation of non-edematous lung injury suspicious lesion (G).



## Figure 2

Flow chart illustrating the distribution of patients in this study. Participants were divided into two groups according to the dominant cause of acute respiratory failure; ILD for ILD group or severe infection for Infection group (subdivided with focus of infection into pneumonia group or non-pulmonary group). Abbreviations: CT, computed tomography; ILD, interstitial lung disease; VAP, ventilator-associated pneumonia.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Supplementary.pdf](#)