

# Utilizing Sewage Wastewater Heat in District Heating Systems in Serbia - Effects on Sustainability

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# Utilizing Sewage Wastewater Heat in District Heating Systems in Serbia - Effects on Sustainability

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## ABSTRACT

Transformation of the heating sector is recognized as being essential for ensuring reliable and affordable energy services provided with reduced consumption of energy sources, diminished impact on the environment and less import dependency. The possibility of utilizing energy sources that otherwise would be wasted needs to be considered and treated as a big advantage of district heating systems. Despite many advantages, sewage wastewater heat is still a mostly unused resource at the global level and a totally unused energy source in Serbia, while data about the potential of this energy source are lacking. This research proposes a methodology for the determination of the technical potential of waste heat from wastewater treatment facilities for use in district heating systems by heat pump application. Data from existing wastewater treatment facilities are used for providing data for replication in cities without wastewater treatment plants but with district heating systems. An estimation of the recoverable heat energy potential of wastewater is used for evaluation of some effects that could be obtained through its full utilization for heat production in the existing district heating systems. Three groups of indicators are selected for analysis focusing on district heating systems' energy performance (primary energy factor, specific heat consumption per degree day and heating area), the security of energy supply (import dependency, Shannon Wiener diversification index, the share of renewables) and environmental impact (carbon dioxide emission coefficient). Values of the selected indicators are determined for the current state of district heating systems and for the possible future state that could be achieved after full utilization of sewage wastewater potential. The proposed methodology is applied to Serbia, as a case study. It has been shown that all analyzed indicators for the projected future would have more preferable values compared to the values that correspond to the current state of the district heating systems. The use of this renewable energy source should provide primary energy savings of 5% per year, reduction of carbon dioxide emission of 6.5% per year, reduction of import dependency of DH systems of 9.8% and improved diversification of energy sources of 21%.

## KEYWORDS

Sewage Wastewater Heat Recovery; District Heating Systems; Heat Pumps; Energy Savings; Carbon Dioxide Emission; Energy Security; Serbia

## NOMENCLATURE

DH	district heating
GHG	Green House Gases
HP	heat pump
$f_p$	primary energy factor
$E_i$	energy content of input to the system of the $i^{\text{th}}$ energy carrier
$f_{p,i}$	primary energy factor of the $i^{\text{th}}$ energy carrier
$Q_{\text{ext}}$	externally supplied heat
$f_{p,\text{ext}}$	primary energy factor of the external heat supply
$E_{\text{el,aux}}$	auxiliary electricity
$f_{p,\text{el}}$	primary energy factor for electricity production
$Q_{\text{del},j}$	delivered heat to the $j^{\text{th}}$ consumer
$q_{\text{DD}}$	useful annual heat supplied per Degree Day and heating area

DD	number of degree days
A <sub>i</sub>	heating area of the i <sup>th</sup> consumer
I <sub>d</sub>	import dependency
p <sub>i</sub>	fraction of the i <sup>th</sup> input energy carrier/fuel in the fuel mix ( $\sum p_i = 1$ )
I <sub>d,i</sub>	import dependency of the i <sup>th</sup> energy carrier/fuel
H	Shannon Wiener index
F <sub>RES</sub>	share of renewable energy sources
E <sub>i,RES</sub>	energy content of input to the system of the i <sup>th</sup> renewable energy carrier
Q <sub>ext,RES</sub>	externally supplied heat produced from renewables
K <sub>p,dh</sub>	carbon dioxide emission coefficient
E <sub>i</sub>	energy content of input to the system of the i <sup>th</sup> energy carrier
K <sub>pi</sub>	primary CO <sub>2</sub> -emission coefficient of the i <sup>th</sup> energy carrier
K <sub>ext</sub>	primary CO <sub>2</sub> emission coefficient of externally supplied heat
K <sub>el</sub>	carbon dioxide emission coefficient of electricity
Q <sub>w</sub>	recoverable heat power treated sewage wastewater
m	mass flow of treated wastewater
Δt	temperature difference of treated wastewater at entrance and exit of heat exchanger-evaporator
Q <sub>h</sub>	thermal power of heat pumps
COP	coefficient of performance
Δt <sub>lift</sub>	temperature difference between treated wastewater and supply water
Q <sub>HP</sub>	heat energy produced by heat pumps
q <sub>HP</sub>	availability of heat energy
n	number of inhabitants
q <sub>PE</sub>	primary energy savings in i <sup>th</sup> DH system
PE	population equivalent
η	represents the efficiency of heat production in the existing DH system

40

## 41 1. INTRODUCTION

42 Cities are responsible for more than two thirds of the world's energy consumption (International Energy Agency,  
43 2016). Being heavily dependent on fossil fuels, energy use in urban areas currently generates more than 70% of  
44 global greenhouse gas (GHG) emissions. The major share of energy consumed in urban areas is related to heating  
45 needs, while fossil fuels make approximately 75% of consumption in this sector (International Energy Agency,  
46 2014). Thus, the heating sector has a decisive role in the energy transition to more sustainable energy systems.  
47 Transformation of the heating sector could substantially contribute to reaching goals of sustainable development.

48  
49 Transformation of energy systems should be directed towards ensuring reliable and affordable energy services,  
50 provided with reduced consumption of energy sources, impact on the environment and import dependency (United  
51 Nations, Sustainable Development Goals). Transition to more sustainable systems can be achieved by acting on  
52 the demand and supply side. In the previous period, much attention was paid to the demand side, by retrofitting  
53 the building stock, but lately, the supply side has received more attention in the EU (European Commission, 2016).  
54 Actions on the supply side should be directed to the decarbonization of the heating sector by using renewable  
55 energy sources or introducing more efficient energy utilization methods.

56  
57 Wastewater heat can be considered as an alternative, renewable, and locally available energy source. In the cities,  
58 almost 40% of produced heat is delivered to the sewage system as waste heat (Hepbasli et al., 2014). It has been  
59 estimated that the daily amount of wastewater delivered to sewage system per person comprises 85% of the total  
60 amount of persons daily water demand (Hepbasli et al., 2014). Sewage wastewater is characterized by a small  
61 variation of flow rate and temperature over the year (Meggers and Leibundgut, 2011). Due to the high heat capacity  
62 and density, wastewater is considered as a suitable heat source for implementation in heat pumps (HP) systems,  
63 whose operation is based on simple and proven technology (Hepbasli et al., 2014). Sewage waste heat is more  
64 long-term resilient comparing to industrial or excess heat (Averfalk et al., 2017). Despite all quoted advantages,  
65 wastewater heat is still a mostly unused resource at the global level (Intelligent energy Europe, 2017).

66  
67 More intensive utilization of sewage wastewater heat could be achieved by the integration of heat pumps in district  
68 heating (DH) systems (Averfalk et al., 2017). In (Sayegh et al., 2018), four scenarios of possible integration of  
69 heat pumps in district heating systems were identified: a) Heat pump placement into the existing network without

70 major changes, (b) Heat pump placement in an expanded network, (c) Deep refurbishment of the existing district  
71 heating system, and d) The design of a new district heating system supplied by a heat pump. By implementing  
72 one of the options, heat demands of customers in urban areas can be served by exploiting surplus heat that would  
73 have otherwise been dissipated. This will result in energy savings, increase of renewable share in the energy mix,  
74 decrease of greenhouse gases emissions and air pollution in the cities, which is a promising solution for shifting  
75 towards environmentally acceptable, resource-independent cities (Lu et al., 2020).  
76

77 Selection of an appropriate heat pump is strongly driven by characteristics of available heat source. Besides  
78 selection of the appropriate heat pump technology, the heat source has influence on the placement of the heat pump  
79 unit, connection and operational modes, appropriate thermal capacity and range of operational temperatures for  
80 the system (Sayegh et al., 2018). These issues were analyzed for the cases where heat pumps were successfully  
81 implemented and integrated in district heating systems. Some operational aspects of large heat pumps in the  
82 Swedish district heating system (capacity utilization, competitiveness, refrigerant management, and refrigerant  
83 leakage) are presented in (Averfalk et al., 2017). (Kontu, et al., 2019), assessed the feasibility of introducing heat  
84 pumps to existing DH systems without jeopardizing the profitability of current production plants. Different sized  
85 DH systems have been simulated to explore how increasing the share of heat pump production influences DH  
86 systems. Data on heat pump performance in an Olympic village in Vancouver are given in (Fiore and Genon,  
87 2014). Technical data and analyses of effectiveness of a heat pump installation in Vladivostok are discussed in  
88 (Leonid et al., 2014). Spatial and temporal considerations of the performance of wastewater heat recovery systems  
89 are discussed in (Spriet et al., 2020).  
90

91 In the previous period, besides analyses of technical and operational aspects, a number of studies have been  
92 conducted to evaluate environmental impacts of wastewater heat utilization. (Meunier, 2004) analyzed effects on  
93 carbon dioxide emission reduction of absorption waste heat pumps application for space heating. (Mateu-Royo et  
94 al., 2020) presented the thermo-economic optimization, focusing on performance of the system and environmental  
95 analysis. (Kollmann et al., 2016) proposed a novel method to assess the integration of wastewater treatment plants  
96 (WWTPs) into local energy supply concepts. The method addresses spatial, economic and environmental  
97 perspectives.  
98

99 Different approaches for evaluation of wastewater heat potential, either on state or city level, were presented in  
100 several studies. (Neugebauer et al., 2015) calculated the heat energy potential of Austrian wastewater treatment  
101 plants (larger than 2000 population equivalents). (Sarpong et al., 2020) evaluated energy recovery potential in  
102 wastewater treatment facilities. including codigesting of sewage sludge. (Maddah et al., 2020) presented a thermo-  
103 economic-environmental analysis of heat recovery potential from the Iranian industry sector and calculated natural  
104 gas savings and carbondioxide reduction. (Somogyi et al., 2018) determined the potential of wastewater excess  
105 heat that could be used in district heating systems in Hungary. GIS tools were used for identification of areas where  
106 the excess heat could be used, taking into consideration the distance between heat sources and areas with DH.  
107 Analysis of economic feasibility of wastewater heat utilization showed the strong influence of the distance between  
108 WWTP and DH systems (Santin et al., 2020). (Đurđević et al, 2019) analyzed and determined the potential for  
109 utilizing wastewater heat for heating the city of Rijeka. They evaluated heat production with different values of  
110 COP, depending on the temperature of the supply water in the district heating system and calculated related  
111 reduction of carbondioxide emission. In all this research, the potential of waste heat was evaluated based on  
112 operational data of existing facilities. However, in most of developing countries (such as Serbia) wastewater  
113 treatment plants are rare, or in the preparation phase, so presented methodologies cannot be applied.  
114

115 Although it is evident that utilization of renewable energy sources has a positive effect in mitigating climate  
116 change, it is still not a strong enough driver for wider implementation of renewable energy sources (Duić, 2015).  
117 Some other effects, such as security of supply, might be stronger incentive for investing in alternative energy  
118 sources.  
119

120 Quantification of the effects of wastewater heat utilization on security of supply, has not been analyzed in the  
121 literature so far. Thus, this paper presents a methodology that aims to quantify and determine a range of positive  
122 effects that can be achieved with the introduction of electrically driven heat pumps. These effects are in relation  
123 with the goals of sustainable development and include energy security, reduction of fossil fuel consumption, and  
124 climate change mitigation. The proposed approach includes determination of technical potential of waste heat  
125 based on data from existing wastewater treatment facilities and a simulation of heat energy production by heat  
126 pumps. The effects on sustainability are assessed through analysis of key performance indicators to provide deeper  
127 insight in energy efficiency, energy security, and carbon dioxide emission of district heating systems (primary  
128 energy factor, import dependency index, fuel mix diversification, and carbon dioxide emission). Selected  
129 indicators are calculated for the current state and for a possible future state of district heating systems which would

130 utilize the previously determined full technical potential of wastewater heat. The proposed methodology can be  
131 applied on the levels of city, region, or a state, taking into account specifics of heat and electricity generation and  
132 the structure of primary energy supply. In addition, the methodology provides a possibility for estimation of the  
133 technical potential of wastewater heat for cities without WWTP. This information can be valuable in the  
134 preparation phase and WWTP location selection.

135  
136 In this paper, the proposed methodology was applied for Serbia as a case study, assuming a scenario of integration  
137 of heat pumps in the existing district heating systems without major changes. Currently in Serbia, sewage waste  
138 heat is not utilized at all. Moreover, there are no available data about sewage waste heat potential at the level of  
139 the state, regions, or cities (Ministry of Mining and Energy, 2015). The technical potential of sewage wastewater  
140 heat is estimated and some of the effects that could be obtained through its utilization for heat production in the  
141 existing district heating systems (assuming a scenario of integration of heat pumps in the existing district heating  
142 systems without major changes) are evaluated. It has been shown that all proposed indicators for the projected  
143 future would have more desirable values compared to the values that correspond to the current state of district  
144 heating systems.

## 145 2. METHODOLOGY

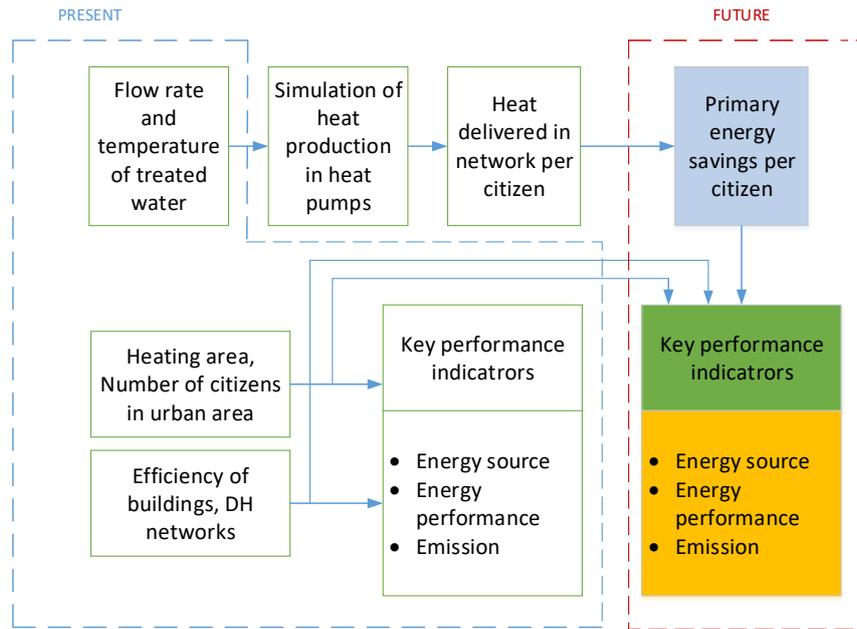
146 The methodology for determination of technical potential of sewage wastewater heat, availability of heat energy  
147 and evaluation of the effects of its utilization on sustainable development goals contains three parts (Figure 1).

148  
149 The first part aims to provide a current state overview of existing district heating systems, supported with values  
150 of selected key performance indicators for the reference year, which will serve as reference values to be compared  
151 with.

152  
153 The second part is related to determination of the recoverable heat energy potential of treated sewage wastewater.  
154 As the aim of this research is to estimate the potential of this energy source in the cities without WWTP (but with  
155 plans for WWTP construction in the future), the heat energy that could be produced from the WWTP and related  
156 primary energy savings are calculated for the selected city with WWTP in operation. The obtained energy amounts  
157 are set in relation to the number of inhabitants of the selected city. In that way, the indicator of energy availability  
158 (which provides information about heat energy produced utilizing waste heat per capita) is obtained, as well as an  
159 indicator of primary energy savings per capita. These indicators provide the basis for the estimation of recoverable  
160 heat energy potential for other cities, with district heating systems and plans for WWTP construction, as well as  
161 for the evaluation of effects of utilization of full potential.

162  
163 Based on the outcomes from the first and second parts, the projection of the future state of district heating systems  
164 is conducted, making preconditions for determination of the key performance indicators of such systems. For  
165 projection of the future energy mix, it is assumed that heat delivered by heat pumps will firstly substitute coal,  
166 then heavy oil and at the end natural gas. Such an approach and order of substitution is governed by the need for  
167 maximizing the reduction of GHG emission and air pollution in the cities. For the projection of the future state of  
168 district heating systems, the heating area and efficiency of the buildings, district heating plants and networks are  
169 assumed to be the same as in the reference year. Thus, load curves of DH systems would have unchanged shapes,  
170 while values of indicators in the base year (current state) and values for the projection would be comparable, and  
171 the effects of utilizing wastewater heat can be evaluated.

172  
173



174  
175  
176

**Figure 1. Methodology for evaluation of effects of full recoverable wastewater heat energy potential utilization in district heating systems with heat pumps**

## 177 2.1 Key performance indicators

178

179 For the evaluation, comparison and analysis of the current and possible state of district heating systems three  
180 groups of indicators are selected focusing on energy performance, energy supply, and environmental impact.

181

### 182 Energy performance.

183

184 **The Primary Energy Factor ( $f_p$ )** determines the primary energy required to supply one unit of useful energy to  
185 the consumer. The Primary Energy Factor is calculated by using the methodology given in the European Standard  
186 EN 15316-4-5 (Standard EN 15316-4-5, 2017). System boundaries for determining the Primary Energy Factor are  
187 presented in Figure 2.

188

189 Evaluation of the primary energy includes the complete supply chain of the fuel (Standard EN 15316-4-5, 2017).  
190 Thus, the primary energy factor is calculated by:

191

192

$$193 \quad f_p = \frac{\sum E_i f_{p,i} + Q_{ext} f_{p,ext} + E_{el,aux} f_{p,el}}{\sum Q_{del,j}} \quad (1)$$

194 Where:

195  $E_i$  [MWh] - Energy content of input to the system of the  $i^{th}$  energy carrier,

196  $f_{p,i}$  [/] - Primary energy factor of the  $i^{th}$  energy carrier,

197  $Q_{ext}$  [MWh] - Externally supplied heat,

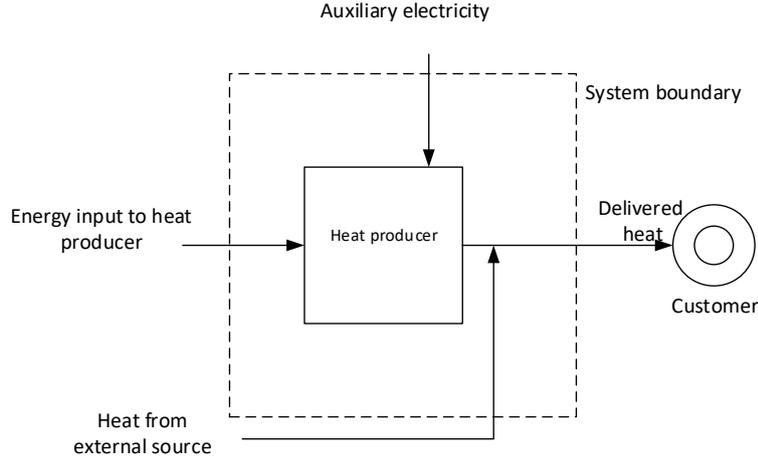
198  $f_{p,ext}$  [/] - Primary energy factor of the external heat supply,

199  $E_{el,aux}$  [MWh] - Auxiliary electricity,

200  $f_{p,el}$  [/] - Primary energy factor for electricity production,

201  $Q_{del,j}$  [MWh] - Delivered heat to the  $j^{th}$  consumer.

202



203 **Figure 2. System boundaries for determining energy efficiency rating of DH system (Ecoheat4cities, 2016)**

204 **Useful annual heat supplied per Degree Day and heating area ( $q_{DD}$ )** introduces the effect of climate conditions  
 205 on consumers heat demand. This indicator makes customers' demands comparable regardless of climate  
 206 conditions, focusing on the efficiency of the buildings and consumers behaviour. It is calculated by:  
 207  
 208  
 209

$$210 \quad q_{DD} = \frac{\sum Q_{del,j}}{DD \cdot \sum A_i} \left[ \frac{\text{MWh}}{\text{m}^2 \text{ DD}} \right] \quad (2)$$

211 Where:

- 212  $Q_{del,j}$  [MWh] - Delivered heat to the  $j^{\text{th}}$  consumer,  
 213  $DD$  [/] - Number of degree days,  
 214  $A_i$  [ $\text{m}^2$ ] - Heating area of the  $i^{\text{th}}$  consumer.  
 215  
 216

217 In the approach adopted in this research, the value of useful annual heat supply per degree day and per heating  
 218 area remains as the current value, providing a straightforward evaluation of the effects of utilization of wastewater  
 219 heat.  
 220

### 221 Energy supply

222 **Import dependency ( $I_d$ )** describes the dependency on imported energy sources. Usually, this is determined at the  
 223 level of the state based on the energy balance, but in this research, it is calculated at a level of DH systems. It is  
 224 determined as a ratio between net imports and primary energy supply:  
 225  
 226

$$227 \quad I_d = \frac{\text{Net Imports}}{\text{Primary Energy Supply}} \quad (3)$$

228 Where Net imports represents a difference between imports and exports of energy carrier:  
 229  
 230

$$231 \quad \text{Net Imports} = \text{Imports} - \text{Exports} \quad (4)$$

232 Alternatively, import dependency can be calculated as the sum of import dependencies of each energy carrier  
 233 (fuel), as follows:  
 234  
 235

$$236 \quad I_d = \sum p_i I_{d,i} \quad (5)$$

- 237  $I_{d,i}$  [/] - Import dependency of the  $i^{\text{th}}$  energy carrier/fuel utilized in DH systems, calculated by eq. (4)  
 238  $p_i$  [/] - Fraction of the  $i^{\text{th}}$  input energy carrier/fuel in the fuel mix ( $\sum p_i = 1$ )  
 239  
 240

241 **Fuel mix diversification ( $H$ )** is most commonly assessed by the Shannon Wiener diversity index. Diversity of  
 242 fuel source mix describes one dimension of security-robustness against interruptions of any source (Liang-huey  
 243 Lo, 2011). The higher the value of the Shannon Wiener index, the more diverse the system is. The Shannon Wiener  
 244 index is calculated by:  
 245

246 
$$H = - \sum p_i \ln(p_i) \quad (6)$$

247 where

248  $p_i$  [1] - Fraction of input energy carrier/fuel  $i$  in the fuel mix ( $\sum p_i = 1$ )

249

250 **Share of renewable energy sources ( $F_{RES}$ )** in the energy mix of district heating systems reflects on its impact on  
 251 the environment, as well as on the energy security of supply (import dependency and fuel mix diversification) and  
 252 the primary energy factor. It is calculated by:

253

254 
$$F_{RES} = \frac{\sum E_{i,RES} + Q_{ext,RES}}{\sum E_i + Q_{ext}} \quad (7)$$

255 where

256  $E_{i,RES}$  [MWh] -Energy content of input to the system of the  $i^{th}$  renewable energy carrier

257  $Q_{ext,RES}$  [MWh] -Externally supplied heat produced from renewables,

258  $Q_{ext}$  [MWh] -Externally supplied heat,

259  $E_i$  [MWh] -Energy content of input to the system of energy carrier  $i$ .

260

261 Environmental impact

262

263 **Carbon dioxide emission coefficient ( $K_{p,dh}$ )**determines the amount of emitted carbon dioxide per useful  
 264 (delivered) unit of heat energy. It is calculated as follows:

265

266 
$$K_{p,dh} = \frac{\sum E_i K_{pi} + Q_{ext} K_{ext} + E_{el,aux} K_{el}}{\sum Q_{del,j}} \quad \left[ \frac{kg}{MWh} \right] \quad (8)$$

267

268  $E_i$ [MWh] - Energy content of the  $i^{th}$ energy carrier input to heat producer,

269  $K_{pi}$   $\left[ \frac{kg}{MWh} \right]$  - Primary CO<sub>2</sub>-emission coefficient of the  $i^{th}$  energy carrier,

270  $Q_{ext}$  [MWh] - Externally supplied heat,

271  $K_{ext}$   $\left[ \frac{kg}{MWh} \right]$  - Primary CO<sub>2</sub> emission coefficient of externally supplied heat,

272  $E_{el,aux}$  [MWh] - Auxiliary electricity,

273  $K_{el}$   $\left[ \frac{kg}{MWh} \right]$  - Carbon dioxide emission coefficient of electricity,

274  $Q_{del,j}$  [J] - Delivered heat to the  $j^{th}$  consumer.

275

276 The primary carbon dioxide emission coefficients are calculated by taking into account emissions that occur during  
 277 extraction, processing/refining, storage, transport and combustion of the fuels. Since there are no national values  
 278 the primary carbon dioxide coefficients, default values were applied (Ecoheat4cities, 2016).

279

280 **2.2. Determination of technical potential of treated sewage wastewater and availability of heat energy**  
 281 **produced by heat pumps**

282

283 Recoverable heat power of treated wastewater –technical potential is determined as:

284

285

286 
$$\dot{Q}_w = \dot{m} \cdot c \cdot \Delta t \quad (9)$$

287 Where:

288

289  $\dot{Q}_w$ [kW] - Recoverable heat power treated sewage wastewater,

290  $\dot{m}$   $\left[ \frac{kg}{s} \right]$  - Mass flow of treated wastewater,

291  $c$   $\left[ \frac{kJ}{kgK} \right]$  -Specific thermal capacity of treated wastewater,

292  $\Delta t$ [K] -Temperature difference of treated wastewater at entrance and exit of heat exchanger-evaporator.

293

294 The temperature difference is the major variable in calculating the theoretical heat potential of wastewater  
 295 treatment plants. In general, the common range of  $\Delta t$  is 3-5K (Somogy et al., 2016). For this research, a temperature  
 296 difference of 4 K is applied.

297

298 Heat energy is produced in heat pumps by utilizing recoverable heat:

299

300 
$$\dot{Q}_h = \dot{Q}_w \cdot \frac{COP}{COP-1} \quad (10)$$

301  
 302  $\dot{Q}_h$ [kW] -Thermal power of heat pumps,  
 303 COP -Coefficient of performance.

304  
 305 (David et al. 2017) showed that value of COP of large heat pumps operating in district heating systems in Europe  
 306 in the most cases was between 3 and 4, but closer to 3. (Jasper et al., 2021) presented correlation between COP  
 307 and temperature difference ( $\Delta t_{lift}$ ) between treated wastewater and supply water in DH. Higher temperature  
 308 difference corresponds to lower values of COP. The heating regime in Serbian district heating systems is 90/70C,  
 309 so temperature at the exit of the heat pump should higher than 70°C. The experience of implementation of large-  
 310 scale heat pumps in Europe shows that in such cases, values of COP are between 2.5 and 3.5 (European Heat Pump  
 311 Association, 2017). For this research a conservative approach is adopted, and value of COP 3 is applied.

312  
 313 Indicator that determines availability of heat energy represents heat energy produced by heat pumps per citizen  
 314 per heating season:

315  
 316 
$$q_{HP} = \frac{Q_{HP}}{n} \quad (11)$$

317  
 318  $Q_{HP}$ [kWh] - Heat energy produced by heat pumps in a heating season,  
 319  
 320  $n$  [/] -Number of inhabitants in selected city

321  
 322 Indicator of primary energy savings in DH systems (calculated per capita) is determined according to data of  
 323 produced heat delivered per heating season per citizen, as

324  
 325 
$$q_{PE} = \frac{q_{HP}}{\eta} \left[ \frac{\text{kWh}}{\text{citizen}} \right] \quad (12)$$

326 where  $\eta$  represents the efficiency of heat production in the existing DH system.

327 For the cities without WWTPs values of indicators are used for obtaining the projection of the future state of  
 328 district heating systems and calculation of selected indicators.

### 329 **3. PERFORMANCE OF THE DISTRICT HEATING SYSTEMS IN SERBIA – CURRENT STATE**

330 Energy consumption in buildings (residential, public, and commercial) accounts for 45.45% of final energy  
 331 consumption in Serbia (Statistical Office of the Republic of Serbia, 2017). The highest share of energy consumed  
 332 in the buildings sector, more than 60%, is related to space heating (Econoler, 2012), (Stoiljković and Todorović,  
 333 2015).

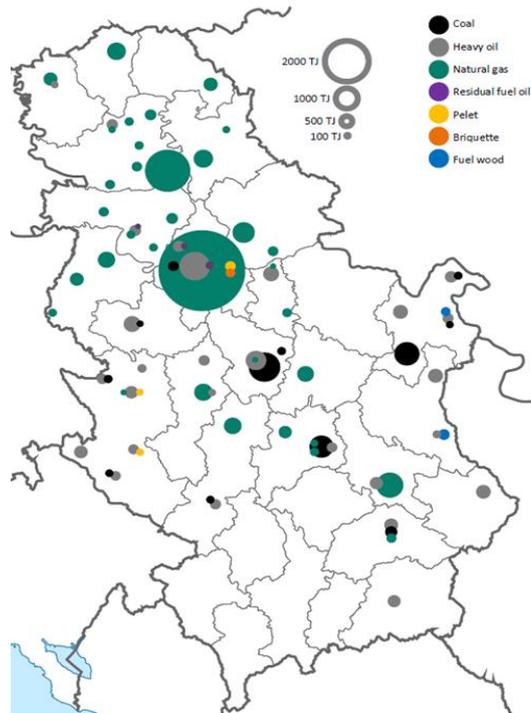
334  
 335 District heating systems exist in 57 towns in Serbia (Figure 3). The total installed capacity of district heating plants  
 336 is over 6,500 MW. In 2017, the total heated area was 42.34 million m<sup>2</sup>, with a share of households of 81%  
 337 (Association of Serbian district heating companies, 2018). Approximately 20% of the total number of households  
 338 in Serbia and 43.8% of households in cities is supplied with heat from district heating systems (Association of  
 339 Serbian district heating companies, 2018), (Statistical Office of the Republic of Serbia, 2013). In some urban areas  
 340 (Bor, Novi Beograd) this share is over 90% (Association of Serbian district heating companies, 2018).

341  
 342 Currently, heat production in district heating systems in Serbia is completely based on combustion processes.  
 343 Fossil fuels are dominantly used (99.5%), while the rest is related to wood biomass (Statistical Office of the  
 344 Republic of Serbia, 2017). Locally available energy sources: municipal solid waste, waste heat from sewage  
 345 wastewater, industrial waste heat that can be used only in centralized supply systems, are not utilized.

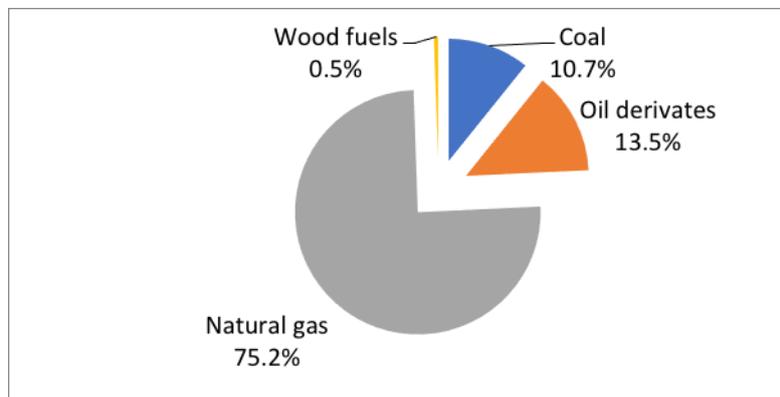
346  
 347 The average efficiency of heat production (boilers) is 83.5%, while heat losses in the district heating networks are  
 348 approximately 15% (Association of Serbian district heating companies, 2018) (PU Beogradske elektrane, 2018).  
 349 Energy consumption in district heating systems in Serbia in 2017. was 26,322.8 TJ with the structure presented in  
 350 figure 4. The auxiliary electricity consumption was 9,321 TJ (Statistical Office of the Republic of Serbia, 2017).

351  
 352 The fuel with the highest share, natural gas, is dominantly an imported energy source. Import is performed through  
 353 a single import route from Russia via Ukraine (Madžarević et al., 2018). The second most used fuel, heavy oil, is  
 354 produced in the country, but mainly from imported oil. Only coal and wood fuel can be considered as indigenous  
 355 energy sources. Gas import dependency of 82.1% and oil import dependency of 75.9%, makes overall import

356 dependency of district heating systems in Serbia of 71.7%. High import dependency and unfavorable  
 357 diversification of energy sources indicate the high vulnerability of the customers connected to district heating  
 358 systems. Average values of key performance indicators of the current state of the district heating systems are given  
 359 in Table 1.  
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 364 **Figure 3. Overview of district heating systems in Serbia, by fuel and by size**



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 368 **Figure 4. Structure of fuel consumption in district heating systems in Serbia in 2017**

**Table 1. Average values of the key performance indicators of the district heating systems in Serbia**

	Indicator	Value in 2017
<b>Energy source</b>	Share of renewable energy sources	0.5%
	Import dependency of district heating systems	71.7%
	Fuel mix diversification/Shanon Wiener index	0.788
<b>Energy performance</b>	Primary energy factor	1.52
	Specific useful annual heat per Degree Day and per heating area	$44.8 \cdot 10^{-6} \frac{\text{MWh}}{\text{m}^2 \text{ DD}}$
<b>Emission</b>	Carbon dioxide emission coefficient	$328.3 \frac{\text{kg}}{\text{MWh}}$

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 372 High values of the primary energy factor (1.52) and the carbon dioxide emission coefficient (328.3 kg/MWh) are consequences of fossil fuels-based heat production.

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Due to the different structure of input fuels, the efficiency of the systems, and the efficiency of the buildings, values of the indicators vary for the different DH systems. Table 2 presents values for the biggest systems in Serbia.

**Table 2. Key performance indicators for the biggest DH systems in Serbia**

City/Municipality	% of households connected to DH	Primary factor	Import dependency	Shannon Wiener index	CO <sub>2</sub> emission coefficient (kg/MWh)
<b>Belgrade</b>	49.03	1.51	80.99	0.31	316.77
<b>Novi Sad</b>	75.00	1.47	82.10	0.00	301.67
<b>Kragujevac</b>	33.00	1.72	18.44	0.56	532.38
<b>Niš</b>	29.00	1.53	81.48	0.14	322.01
<b>Pančevo</b>	37.00	1.54	82.10	0.00	317.50
<b>Bor</b>	91.40	1.72	0	0.00	560.96
<b>Kruševac</b>	42.80	1.58	6.11	0.00	502.83
<b>Zrenjanin</b>	28.00	1.47	82.10	0.00	301.67
<b>Kraljevo</b>	11.50	1.46	81.2	0.41	310.43
<b>Čačak</b>	35.00	1.51	82.01	0.08	312.13
<b>Jagodina</b>	47.86	1.56	82.10	0.00	320.87
<b>Šabac</b>	39.15	1.53	82.10	0.00	314.19

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It can be seen that DH systems with the lowest primary energy factor and carbon dioxide emission coefficient have the highest import dependency since they are relying on a single input fuel – natural gas (Novi Sad, Pančevo, Subotica, Jagodina, Šabac). The other group of DH systems is characterized by high values of primary energy factor and carbon dioxide coefficient, as well as by low import dependency (Kragujevac, Kruševac, Bor). These systems are mainly fueled by a domestic energy source: coal. Values of the Shannon Wiener index point out unfavourable diversification of fuel mix for both groups of DH systems. The weaknesses of the first group of DH systems are high import dependency and unfavourable diversification of fuel mix. Although the second group is not dependent on import fuels, it relies on the most carbon-intensive fuel: coal. The share of renewable energy sources in both groups is negligible. Therefore, none of the DH systems in Serbia can be described as sustainable.

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#### 4. ASSESSMENT OF HEAT POTENTIAL OF TREATED SEWAGE WASTEWATER IN SERBIA

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There are only seven wastewater treatment plants in operation in Serbia (Ministry of environmental protection, 2018). In a few cities, constructions of wastewater treatment plants are taking place, while in several cities preparation of projects has been initiated, so construction of wastewater treatment plants can be expected in the near future (Ministry of environmental protection, 2019). One of the newest and most contemporary wastewater plant (in the city of Šabac) was selected in this paper, for the evaluation of sewage wastewater heat potential and determination of heat potential per inhabitant. Obtained results were then applied for other Serbian cities with DH systems. This approach is justified since the construction of wastewater treatment plants is planned in the cities that already have district heating systems.

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The wastewater treatment plant in Šabac has been in operation since 2016. The plant consists of a water line with a capacity of 84,000 population equivalents (PE) and some elements of the sludge line for a capacity of 126,000 PE. Digesters for the treatment of sludge and biogas production facilities are under construction. Currently, approx. 60,000 inhabitants are connected to the existing plant

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Data on average monthly temperature of treated water and river Sava (recipient) are presented in table 3. Even in the winter period, the temperature of treated water is higher than 11°C, so it is adopted to be a minimal available temperature of the heat source. For calculations, the flow rate of 286 l/s of discharged water is adopted.

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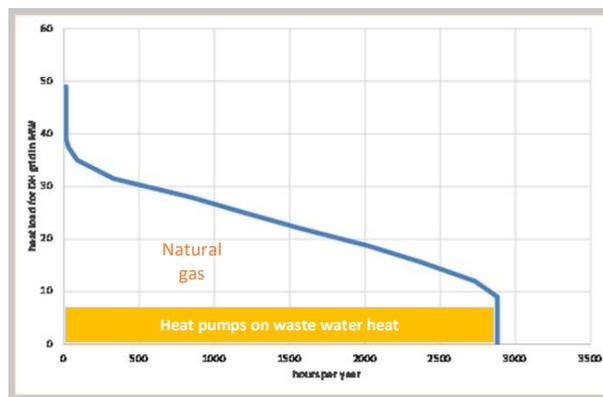
**Table 3. Temperature of treated water and river Sava (recipient), (°C)**

Month	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.
Treated water	11.1	11.3	14.1	15.9	18.8	22.2	23.8	24.2.	21.8	19.7	15.8.	12.2

Sava	3.1	3.9	6.9	11.2	15.8	19.8	22.5	23	18.9	13.8	8.6	4.8
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410 Based on assumptions of the temperature difference in the heat exchanger of 4 K and the value of the coefficient  
411 of performance COP 3 and using equations (9) and (10), the recoverable heat power of treated wastewater ( $\dot{Q}_w =$   
412 4.8 MW) and the thermal capacity of heat pump ( $\dot{Q}_h = 7.2 \text{ MW}$ ) are determined.

413  
414 The heat load duration curve of the DH system in Šabac is presented in Figure 5 (Market uptake of small modular  
415 renewable district heating and cooling grids for communities, 2018). The base load of the system is 9 MW, and  
416 therefore the heat pump would serve as a base load facility. The heat pump could operate 2,800 hours per year  
417 (whole heating season) and deliver  $Q_{HP} = 20,160 \text{ MWh}$  of heat to the DH network. The rest of the required heat  
418 energy would be provided by existing boilers fueled by natural gas. In the city of Šabac there is no centralized  
419 domestic hot water supply, which is also the case for almost all other cities with district heating system in Serbia  
420 (Association of Serbian district heating companies, 2018). Such conditions affect the determination of technical  
421 potential of wastewater heat, since the operation of the heat pump is determined by the number of operating hours  
422 of the district heating system.  
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425 **Figure 5. The heat load duration curve of DH system in Šabac and share of energy sources in future**  
426 **system**

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428 The ratio between the heat produced in heat pumps ( $Q_{HP}$ ) and the number of inhabitants connected to the treatment  
429 plant gives an indicator of possible heat energy that can be produced in the system with the heat pump in  
430 wastewater treatment plant. Based on presented data from the city of Šabac, it was determined using equation (11)  
431 that by utilizing wastewater heat, can be produced  $q_{HP} = 379.8 \text{ kWh}$  of heat energy per inhabitant per heating  
432 season. This value was then used for a rough determination of the theoretical potential of this energy source in  
433 Serbia.

## 434 5. POSSIBLE EFFECTS OF INTRODUCTION WASTEWATER HEAT PUMPS IN DISTRICT 435 HEATING SYSTEMS IN SERBIA

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437 The heat energy that can be produced utilizing wastewater heat in Šabac was the base for estimating amounts of  
438 heat that could be produced in the existing DH systems in Serbia if heat pumps were widely implemented. The  
439 main input parameter was the calculated indicator of produced heat energy per inhabitant. In order to make results  
440 of the key performance indicators comparable, it was assumed that the heating area, the efficiency of buildings,  
441 and DH networks would be the same as in the base year. The obtained structure of energy sources in Serbian DH  
442 systems, in that case, is presented in Figure 6, while the corresponding values of key performance indicators are  
443 presented in Table 4.  
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445 Utilization of the potential of treated wastewater by heat pumps, in all Serbian cities with DH systems, would  
446 result in the production of 6,360 TJ of heat. As a consequence of the introduction of more efficient technology in  
447 heat production, the Primary energy factor will be reduced to 1.467. This value is also highly influenced by the  
448 structure of the Serbian electricity sector, dominantly based on lignite fuelled thermal power plants (Statistical  
449 Office of the Republic of Serbia, 2017). Total energy savings including production, transport, and conversion are  
450 determined to 1229.8 TJ, which is approximately 5% of the energy currently used.  
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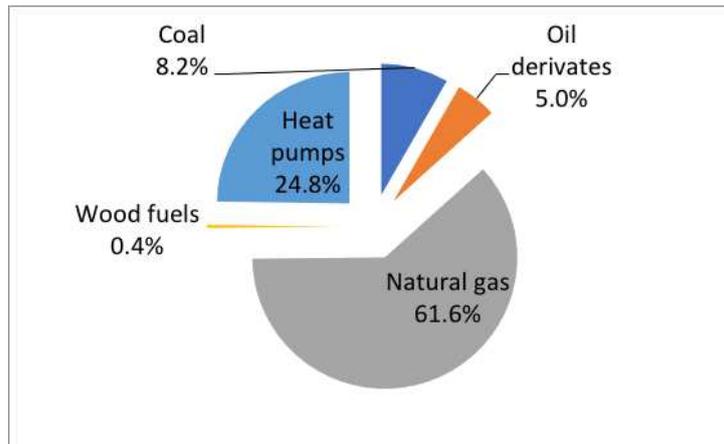


Figure 6. Structure of heat production by source

Table 4. Key performance indicators of the district heating systems in Serbia in the case of wastewater heat pumps implementation

	Indicator	Projected value
Energy source	Share of renewable energy sources	10.68%
	Import dependency of district heating systems	64.7%
	Fuel mix diversification/Shannon Wiener index	0.959
Energy performance	Primary energy factor	1.467
	Specific useful annual heat per Degree Day and heating area	$44.8 \times 10^{-6} \frac{\text{MWh}}{\text{m}^2 \text{ DD}}$
Emission	Carbon dioxide emission coefficient	$307.7 \frac{\text{kg}}{\text{MWh}}$

Besides reducing energy consumption, utilization of wastewater heat will induce other positive effects on the supply side by contributing to the increase in energy security. Share of renewable energy sources will increase from 0.5% to 10.68%. In addition, using this wastewater heat potential reduces import dependency of DH systems to 64.7% and provides a more diverse energy mix (increased value of the Shannon Wiener index is 0.959).

Contribution to GHG emission reduction is also significant. Emission of carbon dioxide decreases from 328.3 to 307kg per useful MWh of heat energy, which represents the reduction of carbon dioxide emission from district heating systems of 6.5%. The slightly bigger emission reduction compared to energy reduction is due to the assumption that waste heat as heat source would firstly substitute environmentally less acceptable fuels (coal and heavy fuel oil).

Analyses of expected effects can be further elaborated at the level of cities or DH companies. For the comparison with the present state and exploring effects of heat pumps introduction in district heating systems, expected values of key performance indicators for the biggest DH systems in Serbia are presented in Table 5.

Table 5 Expected values of key performance indicators for the biggest DH systems in Serbia<sup>†</sup>

City/Municipality	% of households connected to DH	Primary factor	Import dependency	Shannon Wiener index	Carbon dioxide emission coefficient (kg/MWh)
Belgrade	49.03 %	1.45 (-4.0%)	66.43(-18%)	0.49 (+0.18)	288.75 (-8.8%)
Novi Sad	75.00 %	1.41 (-4.1%)	67.14(-18%)	0.47 (+0.47)	281.36 (-6.7%)
Kragujevac	33.00 %	1.66 (-3.5%)	18.44 (0%)	0.66 (+0.10)	468.47 (-12%)
Niš	29.00 %	1.44 (-5.9%)	57.50(-29%)	0.61 (+0.47)	279.33 (-13.3%)
Pančevo	37.00 %	1.46 (-5.2%)	59.35(-28%)	0.59 (+0.59)	284.94 (-10.3%)
Bor	91.40 %	1.69 (-1.7%)	0 (0%)	0.08 (+0.08)	532.37 (-5.1%)

<sup>†</sup>Values in brackets show the changes relative to correspondent values in Table 2.

Kruševac	42.80 %	1.54 (-2.5%)	6.11 (0%)	0.71 (+0.71)	461.22 (-8.3%)
Zrenjanin	28.00 %	1.37 (-6.8%)	54.04(-34%)	0.64 (+0.64)	263.59 (-12.6%)
Kraljevo	11.50 %	1.37 (-6.2%)	57.37(-29%)	0.61 (+0.20)	266.52 (-14.1%)
Čačak	35.00 %	1.39 (-7.9%)	48.34(-41%)	0.68 (+0.60)	263.64 (-15.5%)
Jagodina	47.86 %	1.48 (-5.1%)	62.14(-24%)	0.55 (+0.55)	291.94 (-9.0%)
Šabac	39.15 %	1.42 (-7.2%)	53.84(-34%)	0.64 (+0.64)	274.14 (-12.7%)

Comparison values of key performance indicators in Table 5 with the current values (Table 2) indicates that the utilization of wastewater heat in district heating systems will induce positive effects in all of the analyzed aspects of sustainability: reduced consumption of energy for production (from 1.7 to 12.8%), reduced emission of carbon dioxide (from 5.1 to 24.8%), reduced import dependency (up to 67%), increased diversity of fuel mix (Shannon Wiener index increases in the range 0.08-0.71), and increased share of renewable energy sources. These positive effects are obtained for all analyzed DH systems.

However, the effects vary, depending on the fuel mix in the base year, specific efficiency of heat production and distribution, but also depending on the share of households connected to DH and the number of inhabitants. Therefore, for obtaining optimal positive effects of heat pump introduction and before investment decision, every DH system requires more detailed analysis on production (heat sources, network, substations) and consumption side (inner installation, buildings insulation, habits of consumers, etc.).

## 6. DISCUSSION AND CONCLUSION

Energy systems in the future should be sustainable, optimized, and low carbon systems (Rehman Mazhar et al., 2018). With possibilities to accomplish all aforementioned requirements, district heating systems are being transformed into essential components of future energy systems in cities. The pathway towards more sustainable, resource-independent cities should include locally available energy sources whose wider utilization can be provided by centralized supply systems.

This paper presents a methodology for evaluation of the positive effects related to the goals of sustainable development: energy savings, climate change mitigation, and energy security, which can be obtained through utilization of the full technical potential of treated wastewater heat in district heating systems. The methodology should be used for initial determination of this potential and it is based on calculation of heat energy delivered to DH per citizen, and primary energy savings per citizen per heating season. This enables scaling to other district heating systems with similar climate conditions and consumption pattern. As a result, a possible state of DH systems is obtained to evaluate effects of utilization of waste heat by examining values of selected indicators.

The proposed methodology is tested on district heating systems in Serbia, as a case study. The Serbian district heating systems currently belong to second-generation DH systems and need to follow guidelines for these types of energy system in order to transform to more advanced systems. Utilization of renewable energy sources is seen as one of the first steps in this process. The available energy potential of sewage wastewater is presently completely unused in Serbia, and DH systems are optimal options for utilization of that potential. The experiences of developed countries show that the utilization of wastewater heat is a relatively simple way for a significant increase in the share of renewables on the supply side.

Effects of waste heat recovery are demonstrated by quantification of selected indicators. It has been demonstrated that the introduction of wastewater heat pumps would have multiple positive effects:

- Primary energy savings of 5% per year due to the introduction of more efficient technology with the same efficiency for consumers and level of comfort,
- Reduction of carbon dioxide emission of 6.5% per year by introducing this alternative renewable energy source and substitution of coal, heavy fuel oil, and natural gas,
- Reduction of import dependency of DH systems for 9.8%, making them less vulnerable to unpredictable fossil fuel price variation and interruption in supply,
- Improved diversification of energy sources for 21% by introduction of locally available renewable energy sources.

Although outcomes of this research do not include economical parameters, they can serve as a support in assessing investments in different technical options. The obtained results can be useful for investors, city governments and policy makers by providing them boundary data for decision making. Some of outcomes such as import dependency and fuel mix diversifications should be introduced to policy makers at different levels in order to increase awareness of them

525 and to support options that increase energy security of DH systems and the energy system of the country as whole, by  
526 making it less vulnerable to geopolitical and other unpredictable circumstances.

527  
528 Possibility to utilize energy sources that otherwise would be wasted, need to be considered and treated as a big  
529 advantage of DH systems. Therefore, the envisaged process of building wastewater treatment plants in Serbia should  
530 be followed by detail analyses of possibilities for their integration in the existing DH systems.  
531

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535

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541 The authors declare that they have no known competing financial interests or personal relationships that could have  
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### 543 **Availability of data and material (data transparency)**

544 N/A

### 545 **Code availability (software application or custom code)**

546 N/A

### 547 **Authors' contributions (optional: please review the submission guidelines from the journal whether** 548 **statements are mandatory)**

549 Marija Živković: Conceptualization, Methodology, Investigation, Writing - original draft, Writing - review &  
550 editing. Dejan Ivezić: Conceptualization, Formal analysis, Supervision, Writing - review & editing.  
551

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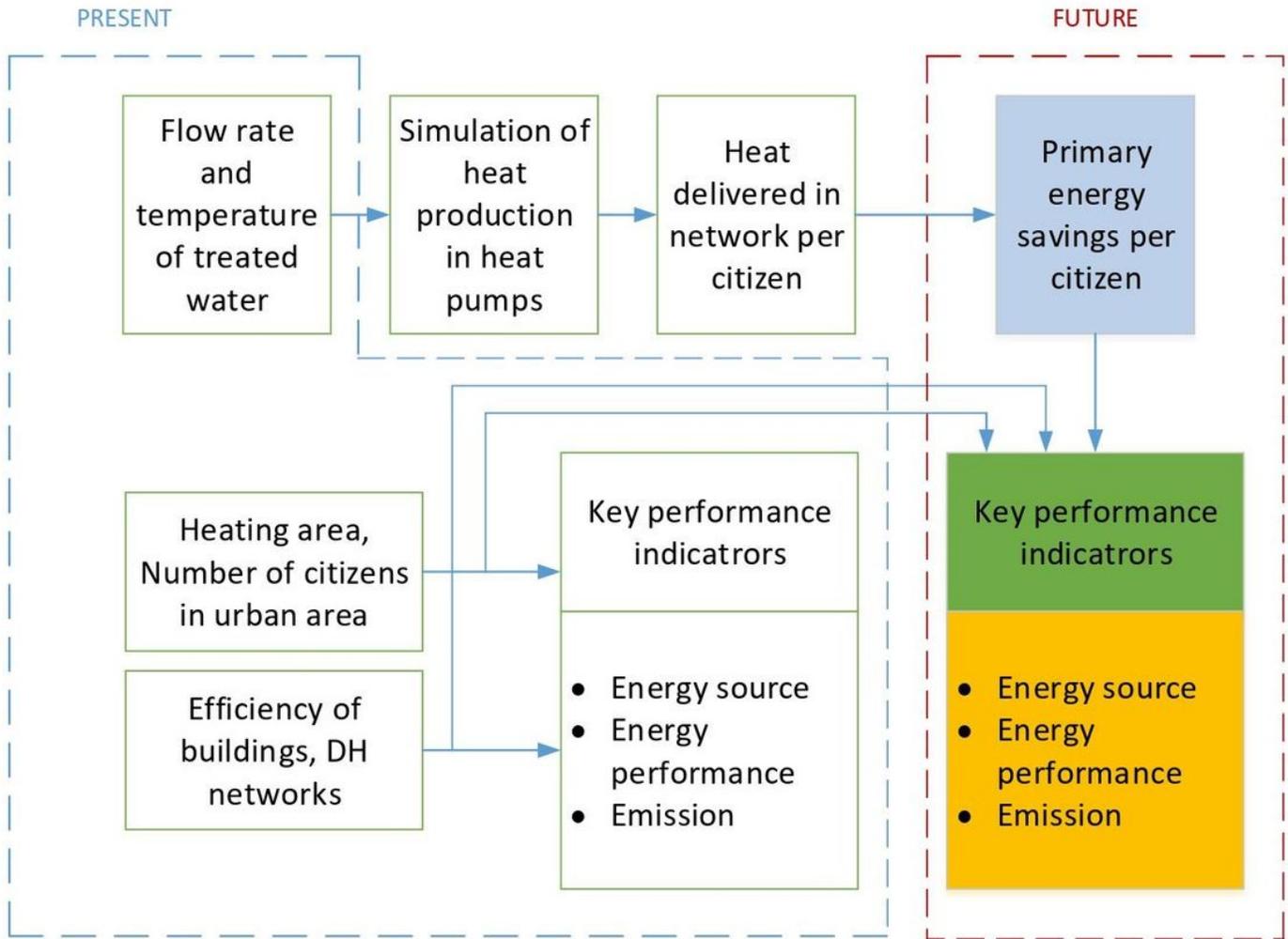
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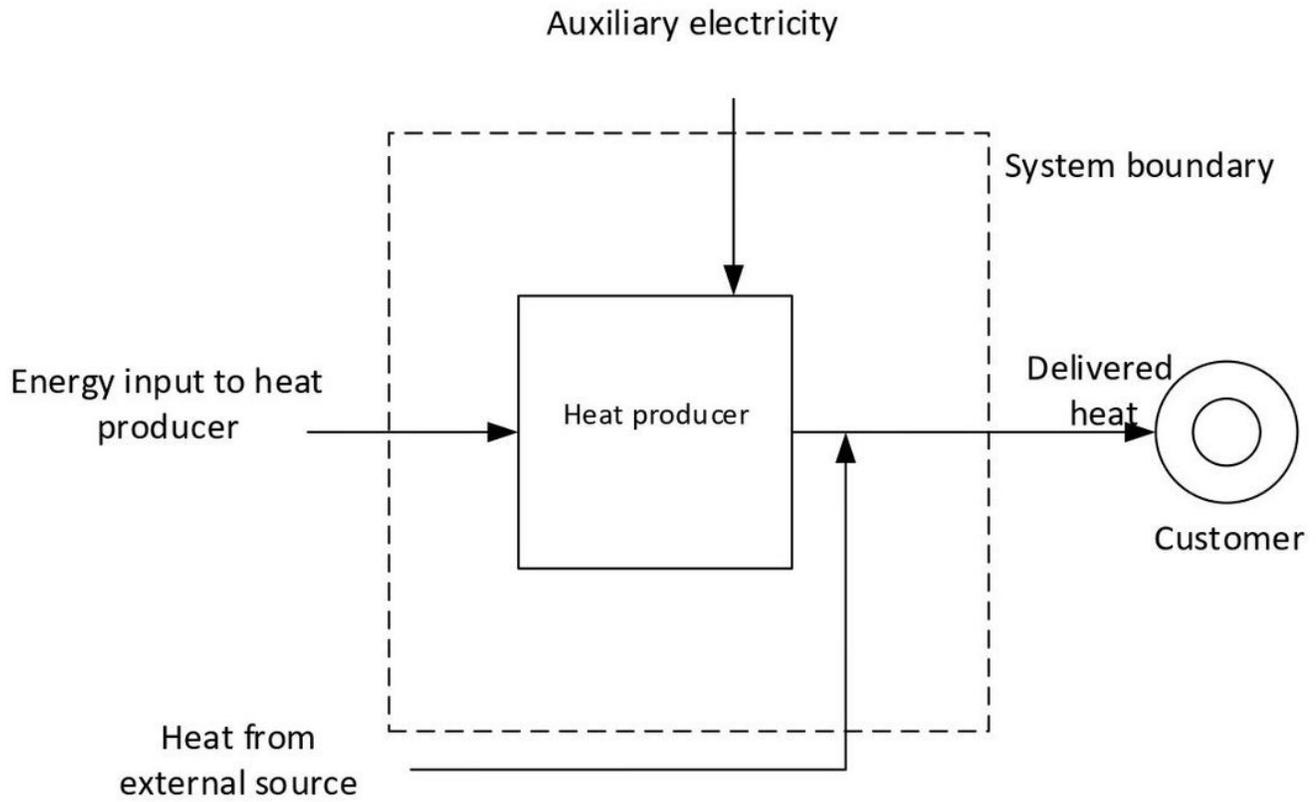
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# Figures



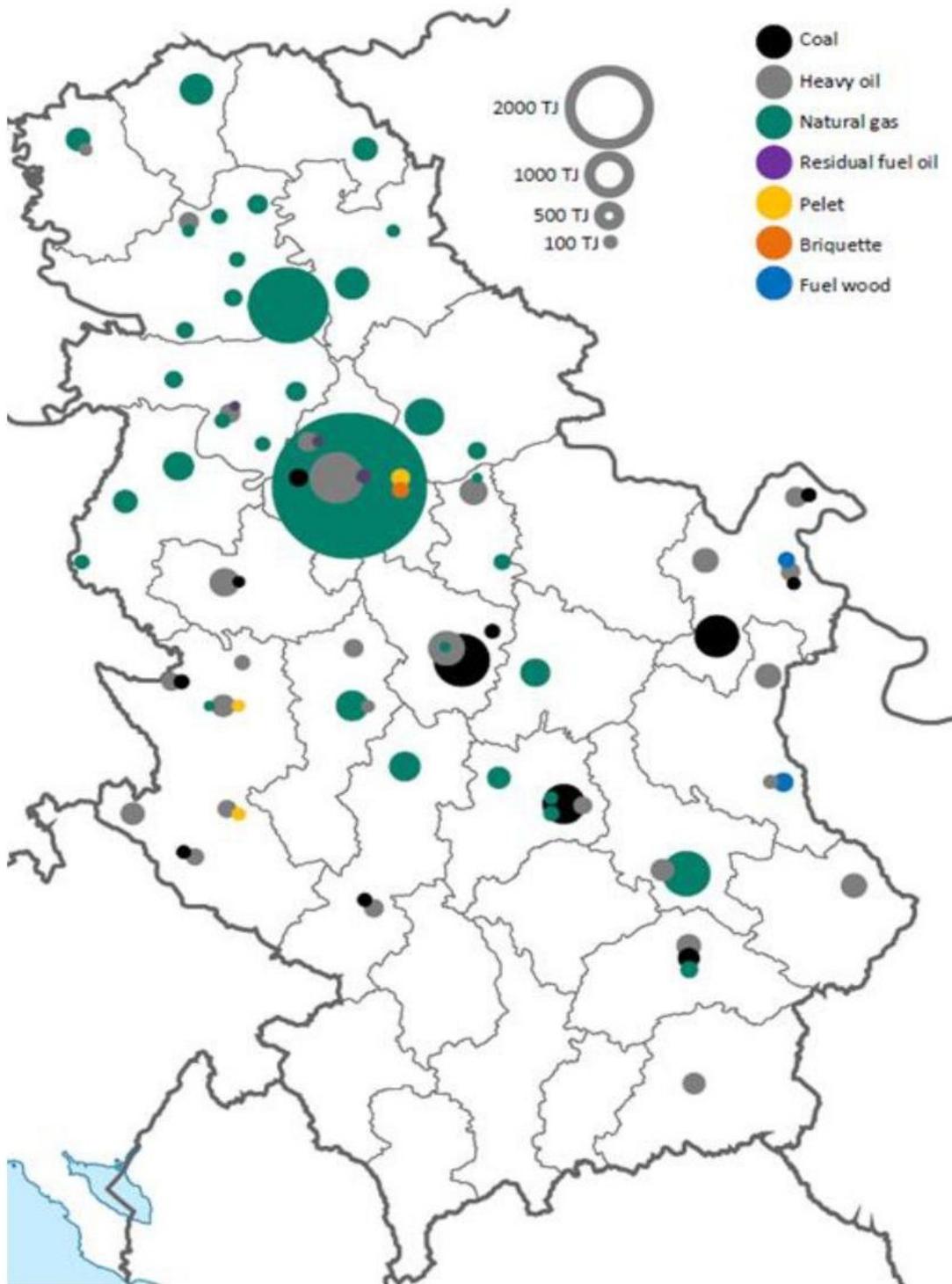
**Figure 1**

Methodology for evaluation of effects of full recoverable wastewater heat energy potential utilization in district heating systems with heat pumps



**Figure 2**

System boundaries for determining energy efficiency rating of DH system (Ecoheat4cities, 2016)



**Figure 3**

Overview of district heating systems in Serbia, by fuel and by size Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

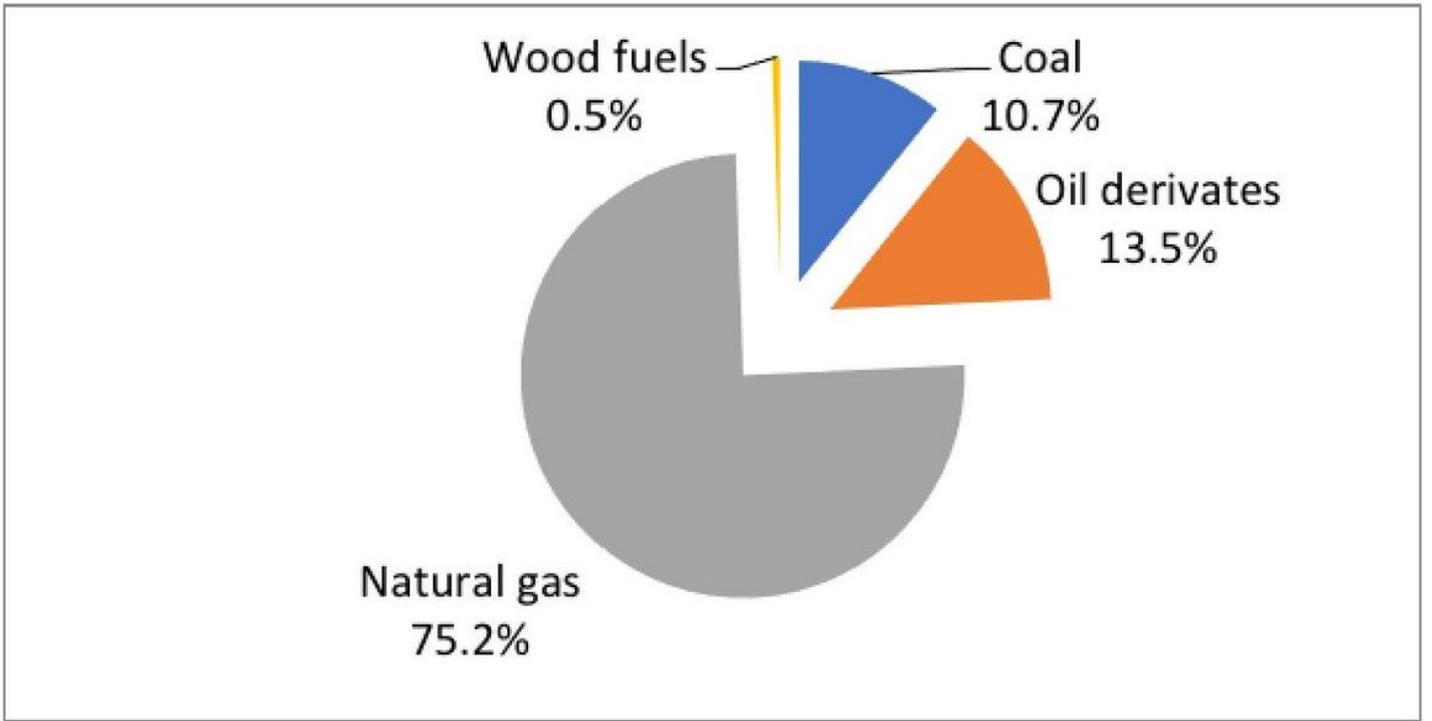
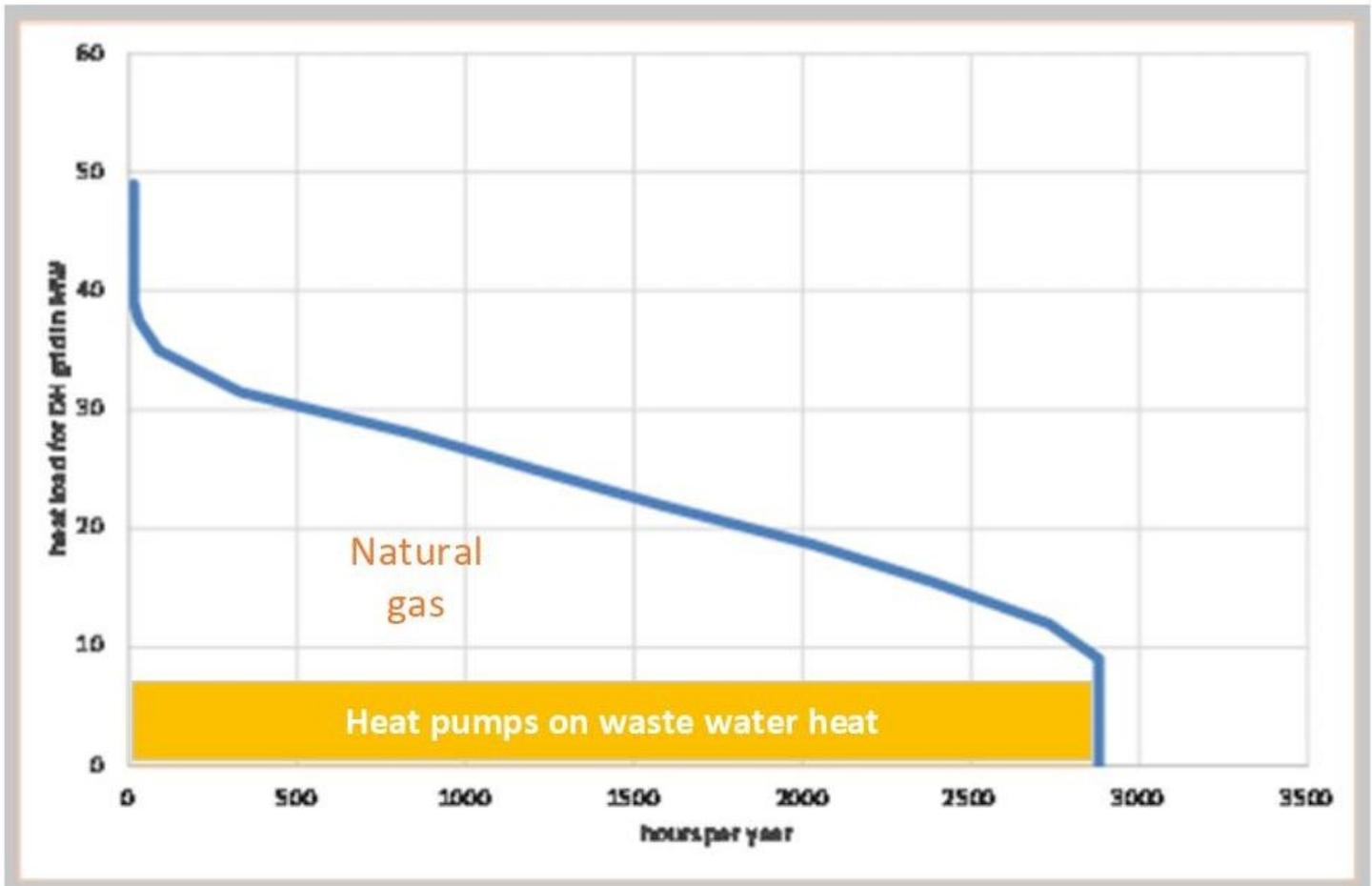


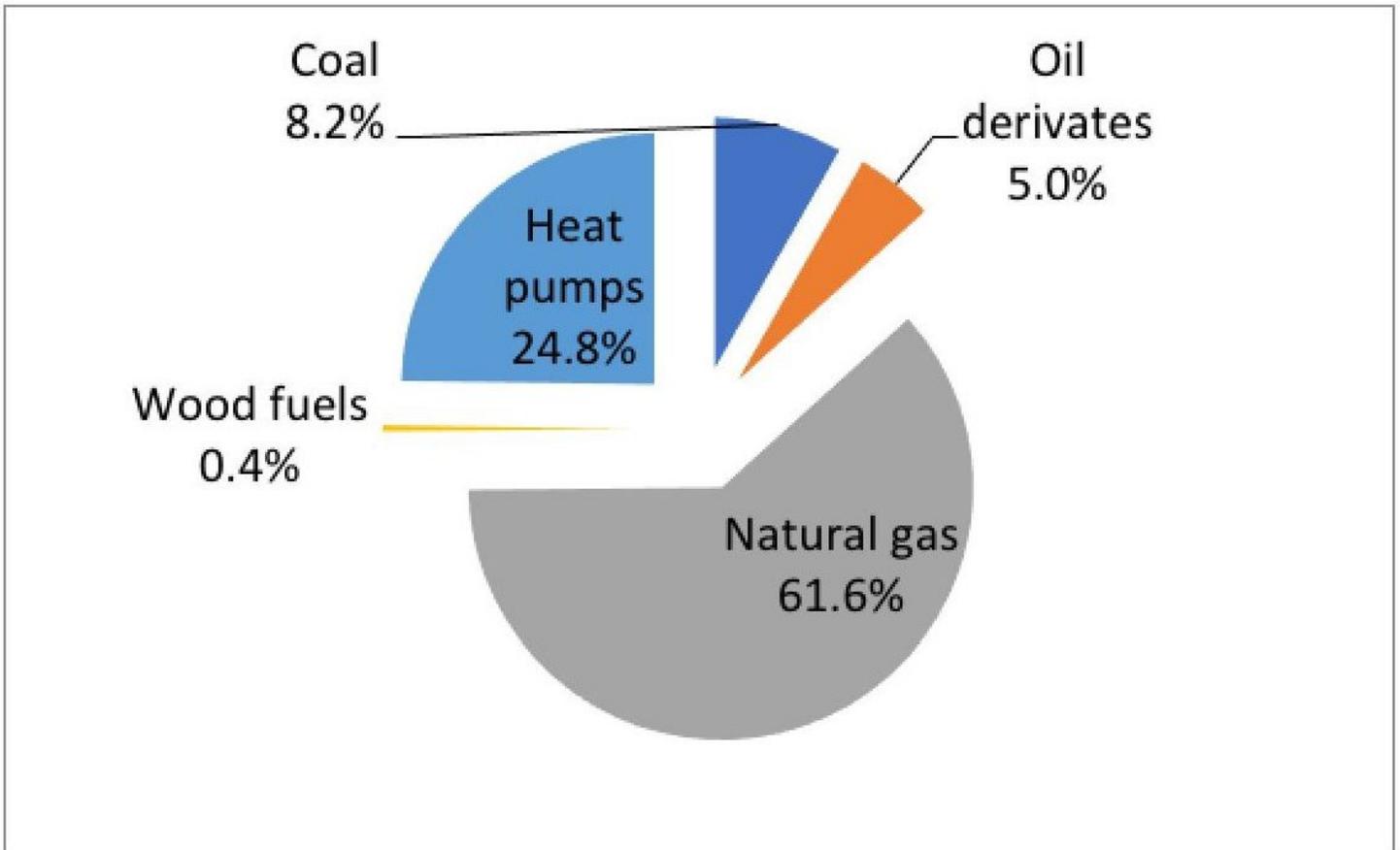
Figure 4

Structure of fuel consumption in district heating systems in Serbia in 2017



**Figure 5**

The heat load duration curve of DH system in Šabac and share of energy sources in future system



**Figure 6**

Structure of heat production by source