

Surface water quality assessment using hybrid multivariate statistical analysis and geographic information system based on integrated water quality index model for Maozhou River Basin, Guangdong, China

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Keywords: Integrated water quality index (IWQI), Multivariate statistical techniques, Geographical Information System (GIS), Black-odorous water, Maozhou River Basin

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29 in the watershed. It is concluded that this combined surface water quality evaluation model is
30 more efficient and reasonable for surface water quality evaluation at a larger scale. It can
31 provide scientific foundation for the water ecosystem management and planning in efficiently
32 managing and evaluating surface water quality at river or basin scales.

33 **Keywords:** Integrated water quality index (IWQI); Multivariate statistical techniques;
34 Geographical Information System (GIS); Black-odorous water; Maozhou River Basin

35 **Introduction**

36 Adequate and high-quality water is one of vital strategic resource for sustainable
37 development of human society and ecosystems (Vorosmarty et al., 2010). Increase in
38 industrialization, the expansion of urbanization, and rapid economic development have
39 created a series of serious pollution problems for surface water (Madan et al., 2020). The river
40 being flowing blood of ecosystems of city is under enormous pressure to fulfill livable
41 environment demands for developing economic society, especially in developing region
42 including the Guangdong–Hong Kong–Macao Greater Bay Area (GBA). With the rapid
43 economic development, the sustainable development of the Guangdong–Hong Kong–Macao
44 Greater Bay Area is constrained by ecosystem environmental problems, and thus affect its
45 goal to build a first-class international bay area (Weng et al., 2020; Cai et al., 2020). Few
46 previous studies addressed the issue of ecosystem environmental development of the
47 Guangdong–Hong Kong–Macao Bay Area, especially in surface water quality (Chen et al.
48 2020; Fang et al., 2018). Therefore, there is an urgent to formulate effective evaluation and
49 management strategies for sustainable utilization and protection of surface water resources.

50 The surface water quality safety is one of the most important foundation to ensure
51 economic growth and social development (Gao et al., 2020; Nong et al., 2020). However,
52 Increase in urbanization, industrialization and agricultural activities brought a serious problem
53 of the quality of both surface water and groundwater in many countries and regions (Adimalla
54 et al., 2019; Zhang et al., 2020). Therefore, it is important to take effective management
55 measures to prevent and control the deterioration of water quality (Peyman et al., 2017; Shi et
56 al., 2016). Surface water quality assessment is a process including but not limited to both
57 qualitative study and quantitative evaluation which includes classification of water quality
58 and determination of pollution types (Lin et al., 2017; Wu et al., 2004; Edegbene et al.,

59 2019). Among this, surface water quality evaluation based on field sampling and chemical
60 experiment methods is essential to understand water quality and to control water pollution
61 (Sany et al. 2019; Sun et al. 2016). In past few decades, the WQI and fuzzy comprehensive
62 assessment method are widely used in water quality assessment (Benouara et al., 2016;
63 Ramos et al., 2016; Wu et al. 2019) However, the previous studies addressed the issue that
64 surface water quality are evaluated independently by these methods in the different
65 spatio-temporal condition, but the spatio-temporal variations of surface water quality index
66 are seldom considered. Numerous studies have focused on the applicability of water quality
67 assessment methods and did not make a map for the spatial variation of water quality (e.g.,
68 Bolton et al., 1978; Babiker et al., 2007; Naveedullah et al., 2016; Nong et al., 2020). It may
69 lead to unnecessary repeated calculation and evaluation for surface water quality in a large
70 scale.

71 This paper comprehensively evaluate the surface water quality by Geographical
72 Information System (GIS)-based multivariate statistical techniques (ANOVA, PCA and HCA)
73 and the integrated water quality index model. In addition, the changes of hydrological
74 conditions in space and time also need to be considered. Therefore, all parameters of surface
75 water data has been analyzed by statistical package for social sciences. Meanwhile, the water
76 quality evaluation results corresponding water quality sample points of each group has been
77 obtained by using the IWQI method, and the spatial characteristics of surface water quality
78 has been presented by GIS. This combine model realized the water quality evaluation for a
79 large scale.

80 **Site description**

81

82 *Study Area*

83 Maozhou River Basin is located in the northwest of Shenzhen, Guangdong Province,
84 China, which is 31.29 km length in main stream and covering 388 km² drainage area (Fig. 1).
85 The Maozhou River flows gently and affected by high tides. There are 41 rivers in the basin,
86 including 1 main stream, 23 first-grade tributaries, and 17 second-grade or third-grade
87 tributaries. The annual average precipitation of Maozhou River basin is 1938.6 mm, which
88 gradually decreases from west to east. Precipitation is mainly concentrated from April to

89 September, with about 80% of the annual precipitation. Moreover, this basin has subtropical
90 oceanic monsoon climate with an annual average temperature of 22 °C (Zhou et al., 2010; Shi,
91 et al., 2020). Industrial wastes and sewage from a large number of factories (i.e., papermaking
92 factory) and residential areas distributed along the river are discharged into the river, which
93 caused the deterioration of water ecosystem of Maozhou River (Liu et al., 2019; Zhang et al.,
94 2020). Moreover, the estuary of the river is low-lying and the tidal crest is frequent. As a
95 result, the river ecological is irreversibly destroyed and the water became black and smelly.

96

97

Figure 1 should be placed here

98

99 *Sample measurement and data collection*

100 The surface water samples of river channel monitoring sections in the Maozhou River
101 Basin were collected weekly from 2018 to 2020. The monthly average water quality
102 information was obtained from the weekly water quality data in this study area which based
103 on the basic items of the Environmental Quality Standards for Surface Water (China, 2002a)
104 and the standard of the Guidance on Sampling Techniques (China, 2009). Figure 1 shows the
105 locations of surface water sampling points in the study area. There are 8 water quality
106 parameters in total, including flow velocity (m/s), water depth (cm), dissolved oxygen (DO,
107 mg/L), chemical oxygen demand (COD, mg/L), ammonia nitrogen (NH₃-N, mg/L), fluoride
108 (F⁻, mg/L), oxidation-reduction potentiometer (ORP, mv) and anionic surfactant (LAS,
109 mg/L). During sampling collection, flow velocity, water depth, and DO were determined by a
110 portable flow velocity meter (LS1206B), portable ultrasonic sounder (ZMSS-100), and YSI
111 multi-parameter probes (556MPS). Other samples for the remaining parameters were
112 collected in polyethylene bottles and stored in refrigerators. All samples were waterproofed
113 on the bottle with detailed information to prevent misdiagnosis. Latitude and longitude
114 coordinates were also noted for each sampling point using the global positioning system
115 (GPS). All water samples were promptly transferred to the laboratory for further testing
116 within 24 hours.

117 **Methodology**

118

119 *Data processing*

120 Detailed surface water quality data were analyzed by conducting analysis of variance
121 ANOVA, principal components analysis (PCA) and hierarchical cluster analysis (HCA) for all
122 parameters by Statistical Package for Social Sciences(SPSS)(Xu et al., 2019; Dassi et al.,
123 2011; Liu et al., 2018; Zhang et al., 2014;Xu et al.2021). For ANOVA test, the controlling
124 factors in this study is time or space, which belongs to one-way ANOVA. The influence of
125 two independent factors towards surface water quality was evaluated by one-way analysis of
126 variance (Varol, et al., 2019). Principal component analysis (PCA) is often used to extract a
127 few potential common factors from numerous observable variables (Xu et al., 2019c; Zhang
128 et al., 2020). These factors explain the information of the original variables to the greatest
129 extent, thus explaining the essence of things (Howladar, et al., 2017). HCA is widely used in
130 cluster grouping, and adopted to obtain comprehensive understanding on the water quality by
131 discretization of sampling into different groups (Lambraski et al., 2004). In essence, the
132 process of cluster analysis is calculating the distance between each hydrochemical
133 characteristics of the surface water samples. The water quality is similar, the more likely it is
134 to be in the same water quality clustering (Shyu et al., 2011; Chin et al., 2012). HCA makes
135 surface water sample to maximize the similarity and minimize the difference between samples
136 within a group (Varol et al. 2012).

137

138 *Surface water quality index*

139 The IWQI consists of an integer and three or four decimal places (Xu., 2005; Lin et al.,
140 2017). The mechanism is calculated according to the following formula.

141
$$IWQI = X1.X2X3X4 \quad (1)$$

142
$$X1.X2 = \frac{1}{n} \sum_{i=1}^n Pi \quad (2)$$

143 Where $X1.X2$ is the integrated water quality index; Pi indicate the parameter value of
144 single water quality index; n is the number of parameters. $X3$ is the number of single index
145 that are inferior to the target of water environment functional area. If $X3 = 1$, it means that
146 one of the indexes participating in the comprehensive water quality assessment can't reach the
147 functional area target. $X4$ is the comparison result between the comprehensive water quality

148 category and the functional area category. If $X_4=1$, it indicates that the surface water quality
149 is inferior to the water quality target of function zones.

150 The single water quality index was computed using the following methods. Only
151 dissolved oxygen is a decreasing index by using the equation 3 (Eq. 3). The remaining
152 incremental water quality indicators are calculated using the equation 4 (Eq. 4).

$$153 \quad P_{DO} = K_{DO} + \frac{\rho_{DO,k+1} - \rho_{DO,i}}{\rho_{DO,k+1} - \rho_{DO,k}} \quad (3)$$

$$154 \quad P_i = K_i + \frac{\rho_i - \rho_{i,k}}{\rho_{i,k+1} - \rho_{i,k}} \quad (4)$$

155 When the surface water quality parameter exceed thresholds of the class V standards ([table2](#)),
156 incremental water quality index and dissolved oxygen index are calculated using equation 5 (Eq. 5)
157 and equation 6 (Eq.6).

$$158 \quad P_{DO} = 6 + \frac{\rho_{DO,k5} - \rho_{DO,i}}{\rho_{DO,k5}} \quad (5)$$

$$159 \quad P_i = 6 + \frac{\rho_i - \rho_{i,5}}{\rho_{i,5}} \quad (6)$$

160 Where K_i represents the i th water quality category, according to environmental quality
161 standards for surface water, and the value is 1, 2..., 6. ρ_i is the measured value of the i th water
162 quality index. $\rho_{(i,k)}$ is the standard value of the K_i class water quality category.

163 According to the IWQI value ([table2](#)), the surface water quality status in this study is divided
164 into seven grades, i.e., excellent (1-2), better (2-3), good (3-4), medium (4-5), qualified (5-6),
165 inferior class V but not black stink (6-7) and inferior class V and black stink (More than 7). This
166 classification meet China's actual surface water quality management standards.

167

168 **Table 1 should be inserted here**

169

170

171 *GIS- IWQI models*

172 The IQWI value of the Maozhou River basis were employed to draw the water quality
173 map following the procedure proposed by Babiker et al (2007). The whole process can be
174 carried out in three main steps viz., the date of surface water sample analysis, surface water
175 quality evaluation and surface water quality mapping based on geographic information

176 system.

177 **Results and discussion**

178

179 *Surface water quality characteristics*

180 It can be seen from [Table 2](#), annual average water depth is greater than 32.49 cm, and the
181 values gradually declined during monitoring period. The annual average flow rate value is
182 more than 0.08 m/s, the maximum value was measured in 2018 and minimum value occurred
183 in 2020. It shows that the hydrodynamic conditions gradually become more disadvantageous
184 during the period of monitoring in the basin.

185 The annual average concentrations of DO for each year is higher than thresholds of the class
186 V standards (2 mg/L). The lowest concentration of DO (0.39 mg/L) was observed in 2018, while
187 the concentrations of DO at 98.6% sections in 2019 and all sections in 2020 is greater than 2 mg/L.
188 The DO value in the channel had a significant increasing trend. The annual average concentrations
189 of COD decreased from 2018 to 2020, while the highest value is 54.26 in 2018 and annual average
190 concentrations of COD below than 40mg/L from 2019 to 2020. The COD can be used as a
191 parameter for characterizing the pollution levels of water by organic matter, and the degree of
192 organic pollution has been alleviated in the Maozhou River Basin.

193 The annual average concentration of NH₃-N in 2020 is 1.66 mg/L, which is relatively
194 below than it in 2018 and 2019. The temporal distribution of the NH₃-N showed a decreasing
195 trend with fluctuations from the 2018 to 2020. The maximum value of NH₃-N detected every
196 year is higher than thresholds of the class V standards (2 mg/L), indicating that the parameter
197 of NH₃-N could cause surface water quality turn to inferior class V at some section. The
198 annual average and maximum concentration of TP decreased from 2018 to 2020, but the TP
199 maximum and the average value is higher than thresholds of the class V standards (0.4 mg/L)
200 from 2018 to 2019. The parameter of TP presented a high risk for causing the water quality
201 turn to inferior class V. Both NH₃-N and TP can be used as parameters for characterizing the
202 pollution levels of water by nutrients matter ([Mao et al, 2019](#)). It showed that the surface
203 water environment is polluted by nutrients in study area.

204 The annual average concentration of F⁻ and LAS showed little variations, which range
205 from 0.57 to 1.2 mg/L and 0.13 to 0.65 mg/L. Due to the maximum concentration of LAS is

206 higher than in the water thresholds of the class V standards (0.3 mg/L), the surface water
207 quality and the survival of aquatic organisms may be at risk from organic pollutant. The
208 annual average value of ORP increased year by year. It shows that the tendency of pollutants
209 oxidized in water is increasing. The nitrogen, phosphorus and other nutrients in water are
210 more likely to be oxidized into inorganic substances, reducing the risk of water
211 eutrophication.

212

213 **Table 2 should be inserted here**

214

215

216 *Correlation analysis of water quality index*

217 The relationship between different water parameter is represented by Pearson's
218 correlation (r). If the r values is greater than 0.7, the correlation is considered strong. While
219 the weak correlation is represented by r value and it less than 0.3. When r values are between
220 0.3 and 0.7, the relationship is considered moderate. (Xu et al., 2019b; Zhang et al., 2020;
221 Emenike et al., 2018). In the study area, the DO has a strong positive relationship with NH₃-N,
222 TP and ORP. Similarly, COD also has a strong positive relationship with NH₃-N, while
223 NH₃-N has a strong positive relationship with TP. It can be seen from (Fig. 2) that there is a
224 moderate correlation between LAS and flow, DO, COD, NH₃-N, TP and ORP. Additionally,
225 this is a moderate correlation of ORP between depth, COD, NH₃-N, TP and LAS. It shows
226 that the concentrations of organic pollutants and nutrients in water and ORP present a
227 moderate correlation. The hydrodynamic parameters showed moderate or weak correlation
228 with the water quality chemical parameter, indicating that the hydrodynamic had influence on
229 the surface water quality, but it was not the main reason.

230

231 **Figure 2 should be placed here**

232

233 *Principal components analysis (PCA)*

234 Principal component analysis (PCA) is a multivariate statistical method, which can
235 identify the correlation between variables (Xiao et al., 2019). The interpretation of principal

236 components (PC) was performed on the hydrochemical characteristics of the surface water
237 samples using a scree plot (Fig. 3) and principal component loadings (Table 3). (Zhang et al.,
238 2019). The factor with eigenvalue greater than 1 was selected as the PC (Xu et al., 2019c).
239 PCA generates three components PC1, PC2 and PC3, each PC load can be used to explain the
240 surface water quality characteristics (Xu et al., 2019b). The PC1 with the maximum variance
241 (48.8%) dominated the hydrochemical characteristics of the surface water samples ,which
242 affected by positive loadings of COD (0.915), NH₃-N (0.943), LAS (0.9), TP(0.843) and
243 negative loading of DO (-0.792).The loading on PC1 can be regarded as organic and nutrient
244 pollutant. The domestic sewage and rainfall runoff are the main pollution sources in study
245 area (O'Sullivan AD., 2016). In particular, residents in the river basin discharge a large
246 amount of domestic sewage to the river through municipal pipelines, and factories on both
247 sides of the river dump industrial garbage and sewage into the river. PC2 accounted for 20.9%
248 of the total variance and was dominated by F⁻ (0.746), indicating that water pollution in the
249 basin was affected by Industrial wastewater (Liu et al., 2019). The contribution rate of PC3
250 variance was 13.4%. The absolute value of factor load greater than 0.7 in the rotational
251 component matrix table were respectively COD, NH₃-N, TP, F⁻, LAS and DO. Namely, these
252 6 variables are selected as the important indexes of surface water quality evaluation.

253

254

255 **Figure 3 should be placed here**

256 **Table 3 should be inserted here**

257

258 *Analysis of variance (ANOVA)*

259 The date of surface water Samples collected from Maozhou River trunk stream and
260 branch stream were determined by one-way ANOVA (Table 4, 5). During the monitoring
261 period, the surface water quality parameter of COD and F⁻ are no significant difference, but
262 the parameter of DO, NH₃-N, TP and LAS are significant differences. Between different
263 section, there are significant difference in DO, COD, NH₃-N, TP and F⁻, only LAS is no
264 significant difference. The surface water parameter are variations in the spatio-temporal scales,
265 surface water samples can be grouped according to the differentiation between hydrochemical

266 characteristics in this study.

267

268 **Table 4 should be placed here**

269 **Table 5 should be placed here**

270

271 *Hierarchical cluster analysis (HCA)*

272 The HCA is a method that can distinguish the various parameter data has been used in
273 the hydrochemical research of the surface water. HCA clustered the water sample data with
274 similar hydrochemical characteristics into the same group. A visual overview of the clustering
275 process is presented as a dendrogram. Based on the, boreholes with similarities are mainly
276 categorized into three distinguishable groups. According to the dendrogram, the similar water
277 samples are mainly categorized into 8 groups by SPSS20.0 in this study (Table 6). The data
278 features are represented by the average value of parameter in each group .On this basis, the
279 average value of surface water quality after grouping is obtained (Table 7). The average value
280 of parameter in each group evaluated by IWQI. The evaluation results of each group are
281 assigned to their corresponding sample points according to Table 6.

282

283 **Table 6 should be inserted here**

284 **Table 7 should be inserted here**

285

286 The first group are constituted by the surface water sampling S9, S17 and S20 which
287 situated in the upper and middle reaches from Maozhou River tributaries at the nonflood
288 season in 2018. This group clusters the maximum concentrations of COD, NH₃-N, TP, F⁻ and
289 LAS, and the minimum concentrations of DO, which reflects the most serious water pollution
290 in the study area. Group 2 was located the water samples of S9, S11, S16, S17 and S18 which
291 situated in middle reaches from Maozhou River tributaries in 2018. The average
292 concentrations of COD, NH₃-N, TP and LAS in this group are relatively high, but the average
293 concentrations of DO and LAS up to the thresholds of the class V Standards. The degree of
294 water pollution rank only second to the first group. Third group clustering the maximum
295 concentrations of F⁻ from Maozhou River tributary is most serious. The fourth group mainly

296 clustered the water quality sampling from trunk S1, S7 and tributary S8, S14, S17, S23, S24
297 in 2018. The fifth group includes water samples from parts of trunk and tributaries in
298 2018-2020. Among them, the average concentrations of DO, COD and F⁻ are below the
299 thresholds of the class V Standards, while the concentrations of NH₃-N, TP and LAS are
300 above than the thresholds of the class V Standards. The sixth group clustered the minimum
301 concentrations of NH₃-N, TP, F⁻ and LAS at different season in 2020, which are below than
302 the thresholds of the class V Standards. The seventh group had the largest number of
303 clustering samples, which involved water samples of different sections and periods. The
304 eighth group clustered the water samples with the highest concentration of DO and the lowest
305 concentration of COD from the study area.

306

307 *Evaluation results of surface water quality Identification index*

308 The water quality categories of 8 group samples distributed in the class III to Inferior V,
309 including 1 group belongs to class III Standards (G6), 2 groups belong to the class IV
310 Standards (G7 G8), 1 group belongs to V class Standards (G5), 4 groups belong to the Inferior
311 V class standards (G1, G2 and G3 and G4) (Table 8). The surface water quality of 82.17% of
312 monitoring site reached the water quality target of function zones. The surface water quality
313 of G6 is best, and the status of water quality is “good”, which collect from upstream of basis
314 at the flood season in 2020. The surface water quality of G1 is worst, and the status of water
315 quality is “Inferior class V and black stink”, which taken from the upper tributaries at
316 nonflood season in 2018. For water quality samples of the same water quality grade, the IWQI
317 method can compare their advantages and disadvantages (e.g. water quality of G7 and G8 are
318 both class IV standard, but water quality G8 (IWQI=4.060) is better than G7 (IWQI=4.540)).
319 In addition, IWQI method can determine water pollution levels within inferior V class
320 standard.

321

322 **Table 8 should be placed here**

323

324 *Analysis of spatial distribution of water quality*

325 It is apparently that from (Fig. 4 (A)), the surface water quality of the upper and middle

326 stream IS better than the estuary, the mainstream is better than the tributaries, and the
327 southwest tributaries is the worst in 2018. The IWQI results of the all streams is inferior to the
328 water quality target of function zones objectives, and the water quality reaches Inferior class
329 V but not black stink. The estuary water pollution is more serious and water quality is inferior
330 V and black stink. Due to the mainstream is fed by tributaries and groundwater and river have
331 a recharge-discharge relation. The upper and middle reaches of main stream less polluted than
332 the tributaries. In non-flood season, as the flow of the river decreases, the dilution and
333 diffusion capacity of pollutants decrease, which leads to the decrease of water quality status.
334 By comparing the surface water quality of flood season, non-flood season and annual average
335 in 2018, the water quality in flood season is better than annual average and non-flood season.
336 The key background pollutants for the water quality target of function zones are COD, NH₃-N,
337 TP, DO and LAS. The external and internal pollution sources were major reasons to cause the
338 deterioration of water quality, and the main pollution sources includes industrial sewage,
339 domestic garbage, rainfall runoff and internal source. In addition, at the early stage of rainfall,
340 a lot of non-point source pollution is brought into the river and leading to the increase of
341 pollutant concentration, which indicated that non-point source pollution has become the main
342 reason affecting river water quality (O'Sullivan AD., 2016).

343 As can be seen from (Fig. 4 (B)), the spatial distribution characteristics of water quality
344 in 2019 are similar to those in 2018, water quality is still poor during non-flood season.
345 However, the water quality has been significantly improved, and black-odorous water have
346 been completely improved. With the water environment management project is nearing
347 completion on the Maozhou River Basin (e.g. thorough-going reform, silt removal and water
348 supply, etc.), the municipal sewage pipes on both sides of the river have been obsoleted, and
349 the industrial and domestic sewage is collected and transported to the sewage treatment
350 station through the newly-built municipal pipeline network. After being treated by the sewage
351 treatment station, the tail water comply with discharge standard then supply for river flow.
352 The key background pollutants for the water quality target of function zones are NH₃-N and
353 TP. The main reason affecting river water quality is rainfall, which brought a lot of non-point
354 source pollution into the river.

355 By 2020, the water environment quality of the river basin continued to improve from

356 (Fig. 4 (C)), and the water quality status has been steadily maintained at “Medium and good”
357 level. The water quality status upstream of main stream and its tributaries has “good” at all
358 year round. The water quality of northeast and southwest tributaries and estuary still need to
359 further improve, indicating that the pollution phenomenon occurs in the main stream and the
360 root is in the tributaries. The concentration of $\text{NH}_3\text{-N}$ at nonflood season has a risk to
361 approach the water quality target of function zones.

362

363 **Figure 4 should be placed here**

364

365 **Conclusions**

366 The spatio-temporal variation of surface water quality in Maozhou River Basin from
367 2018 to 2020 is analyzed in this paper. The surface water quality was evaluated by the
368 multivariate statistical and the integrated water quality index according to the monthly
369 average surface water quality data. The main conclusions are as follows:

370 The results demonstrated that the water quality status of in the Maozhou River Basin has
371 been steadily improved during the monitor period. The surface water quality of 82.17% of
372 monitoring site reached the water quality target of function zones (surface water quality of the
373 Class V Standards), with the IWQI values ranging from 12.118 to 3.650. The key pollutants
374 source of surface water ecosystem in study area are organic and nutrient pollution from
375 industrial sewage, domestic garbage, rainfall runoff and internal source. By 2020, the main
376 background pollutants for the water quality target of function zones is $\text{NH}_3\text{-N}$.

377 From the perspective of time, the water quality of the river improves year by year. By
378 2019, black-odorous water has disappeared from our sight. By 2020, the water quality of the
379 river had basically reached the water quality target of function zones objectives and the water
380 quality status has been steadily maintained at “Medium and good” level.

381 From the perspective of space, the water quality of tributaries is more serious than main
382 streams, while the surface water quality in the southeast tributaries is the worst. The surface
383 water quality in upstream of main stream is better than the estuary. Therefore, it is necessary
384 to strengthen the water quality control of the tributaries, especially the southeast tributaries.

385 In the process of water quality evaluation, geographic information system (GIS) based

386 on multivariate statistical technique and integrated water quality index model (IWQI) to
387 evaluate surface water quality and draw spatial distribution map. This water quality model can
388 judge surface water quality status and pollution level at same water quality rank. In addition,
389 it can achieve the objective of quantitative evaluation of water quality inferior to Class V. This
390 method is suitable for assessing surface water quality at a larger scale. It can provide scientific
391 foundation for the water ecosystem management and planning in efficiently managing and
392 evaluating surface water quality at river or basin scales. Meanwhile, this study presented
393 useful water quality models and provided a reference for ecosystem projects of
394 Guangdong-Hong Kong-Macao Greater Bay Area to more accurately evaluate water quality
395 and to determine distribution of water pollution.

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Table 1 The IWQI range and status of surface water quality

| IWQI | Rank | water quality status |
|--------------------|------------------|--------------------------------------|
| $1 < X1.X2 \leq 2$ | Class I | Excellent |
| $2 < X1.X2 \leq 3$ | Class II | Better |
| $3 < X1.X2 \leq 4$ | Class III | Good |
| $4 < X1.X2 \leq 5$ | Class IV | Medium |
| $5 < X1.X2 \leq 6$ | Class V | Qualified |
| $6 < X1.X2 \leq 7$ | Inferior class V | Inferior class V but not black stink |
| $X1.X2 > 7$ | Inferior class V | Inferior class V and black stink |

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Table 2 Comparison of the variations of the water quality parameters from 2018 to 2020

| Parameters | Thresholds of the Class V Standards ^a | 2018 | | | 2019 | | | 2020 | | |
|--------------------------|--|--------------------|--------|--------|--------------------|--------|--------|--------------------|--------|--------|
| | | Avg. \pm S.D. | Min | Max | Avg. \pm S.D. | Min | Max | Avg. \pm S.D. | Min | Max |
| flow(m/s) | N/A | 0.15 \pm 0.09 | 0.02 | 0.51 | 0.09 \pm 0.06 | 0 | 0.28 | 0.08 \pm 0.06 | 0 | 0.23 |
| depth(cm) | N/A | 65.62 \pm 63.11 | 8.42 | 300 | 35.51 \pm 13.09 | 6.71 | 57.67 | 32.49 \pm 14.7 | 6.36 | 84.45 |
| DO(mg/l) | ≥ 2 mg/L | 2.74 \pm 1.75 | 0.39 | 7.12 | 4.21 \pm 0.99 | 1.74 | 5.92 | 5.08 \pm 0.73 | 3.23 | 9.27 |
| COD(mg/l) | ≤ 40 mg/L | 54.26 \pm 39.61 | 17.99 | 205.4 | 31.25 \pm 10.46 | 18.81 | 73.12 | 25.18 \pm 2.18 | 21.56 | 37.11 |
| NH ₃ -N(mg/l) | ≤ 2 mg/L | 13.78 \pm 10.88 | 0.99 | 45.8 | 5.63 \pm 4.07 | 0.57 | 18.94 | 1.66 \pm 0.84 | 0.57 | 4.79 |
| TP(mg/l) | ≤ 0.4 mg/L | 2.11 \pm 3.48 | 0.16 | 29.04 | 0.76 \pm 0.57 | 0.12 | 3.15 | 0.27 \pm 0.26 | 0.07 | 2.13 |
| F ⁻ (mg/l) | ≤ 1.5 mg/L | 1.20 \pm 3.55 | 0.24 | 32.32 | 0.59 \pm 0.38 | 0.30 | 2.94 | 0.57 \pm 0.18 | 0.3 | 1.19 |
| LAS(mg/l) | ≤ 0.3 mg/L | 0.65 \pm 0.53 | 0.08 | 2.31 | 0.26 \pm 0.17 | 0.10 | 1.04 | 0.13 \pm 0.05 | 0.09 | 0.37 |
| ORP(mv) | N/A | 245.10 \pm 47.92 | 117.93 | 335.42 | 286.40 \pm 18.54 | 218.92 | 328.92 | 300.89 \pm 14.27 | 252.34 | 326.59 |

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^a Standards from the Environmental Quality Standards for Surface Water (China, 2002a); Avg.: Average; S.D.: Standard deviation.

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Table 3 The water quality rotation factor load matrix

| Evaluation index | Principal components | | |
|--------------------|----------------------|--------|--------|
| | 1 | 2 | 3 |
| flow | -0.369 | 0.07 | -0.083 |
| depth | 0.087 | -0.461 | 0.410 |
| DO | -0.792 | 0.323 | -0.176 |
| COD | 0.915 | 0.022 | -0.151 |
| NH ₃ -N | 0.943 | -0.05 | 0.215 |
| TP | 0.843 | 0.356 | -0.075 |
| F ⁻ | 0.123 | 0.746 | 0.452 |
| LAS | 0.9 | -0.115 | -0.134 |
| ORP | -0.109 | 0.498 | 0.148 |

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Table 4 ANOVA of spatial variation among section

| Time | Significance | | | | | |
|------|--------------|-------|--------------------|-------|----------------|-------|
| | DO | COD | NH ₃ -N | TP | F ⁻ | LAS |
| 2018 | 0.002 | 0.574 | 0.002 | 0.081 | 0.153 | 0 |
| 2019 | 0.460 | 0.980 | 0.444 | 0.014 | 0.101 | 0.001 |
| 2020 | 0.396 | 0.476 | 0.002 | 0.212 | 0.002 | 0.021 |

580 Note: The significance level was 5%

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Table 5 Anova of spatial variation among 2018-2020

| Section | Significance | | | | | |
|---------|--------------|-------|--------------------|-------|----------------|-------|
| | DO | COD | NH ₃ -N | TP | F ⁻ | LAS |
| S1 | 0.988 | 0.160 | 0.182 | 0.517 | 0 | 0.623 |
| S2 | 0.140 | 0.085 | 0.180 | 0 | 0.324 | 0.012 |
| S3 | 0.055 | 0.462 | 0.257 | 0.128 | 0.132 | 0.032 |
| S4 | 0.288 | 0.009 | 0.094 | 0.029 | 0 | 0.003 |
| S5 | 0.206 | 0.005 | 0.140 | 0.005 | 0 | 0.012 |
| S6 | 0.813 | 0.091 | 0.721 | 0.330 | 0.070 | 0.001 |
| S7 | 0.468 | 0.325 | 0.077 | 0.039 | 0.008 | 0.001 |
| S8 | 0.216 | 0 | 0.121 | 0.024 | 0.027 | 0.134 |
| S9 | 0.934 | 0.568 | 0.735 | 0.718 | 0 | 0.349 |
| S10 | 0.085 | 0.051 | 0.131 | 0.048 | 0.246 | 0.004 |
| S11 | 0.115 | 0.008 | 0.171 | 0.153 | 0 | 0.005 |
| S12 | 0.022 | 0.074 | 0.003 | 0.007 | 0.223 | 0 |
| S13 | 0.125 | 0.022 | 0.001 | 0.003 | 0.013 | 0.023 |
| S14 | 0.143 | 0.021 | 0.001 | 0.023 | 0.293 | 0.325 |
| S15 | 0.275 | 0.003 | 0.004 | 0.003 | 0 | 0.124 |
| S16 | 0.480 | 0.025 | 0.629 | 0.148 | 0 | 0.909 |
| S17 | 0.174 | 0.002 | 0.007 | 0.002 | 0.552 | 0.014 |
| S18 | 0.373 | 0.026 | 0.234 | 0.027 | 0.017 | 0.355 |
| S19 | 0.523 | 0 | 0 | 0 | 0.017 | 0 |
| S20 | 0.975 | 0.469 | 0.723 | 0.695 | 0.437 | 0.317 |
| S21 | 0.298 | 0 | 0.013 | 0.025 | 0.736 | 0.001 |
| S22 | 0.465 | 0.016 | 0.259 | 0.431 | 0.029 | 0.012 |
| S23 | 0.984 | 0.278 | 0.452 | 0.001 | 0.015 | 0.012 |
| S24 | 0.950 | 0.030 | 0.185 | 0.513 | 0.098 | 0.001 |

Note: The significance level was 5%

Table 6 The corresponding samples of 8 groups

| No | Number | Water samples |
|----|--------|---|
| G1 | 17 | S9-18-1~9, S16-18-1, S17-18-2~5, S18-18-1, S20-18-1, S20-18-2 |
| G2 | 40 | S9-18-8, S9-18-10~12, S10-18-1, S11-18-1~4, S16-18-2~7, S17-18-7, S17-18-10, S18-18-1, S18-18-2, S18-18-8, S22-18-1, S22-18-2, S22-18-4, S22-18-6, S22-18-8, S9-18-3, S9-19-1~3, S20-19-6, S20-19-7 |
| G3 | 48 | S8-19-4, S11-19-2, S11-19-3, S16-19-4, S20-19-1, S20-19-2, S20-19-9, S22-19-5, S22-19-6, S1-18-1, S1-18-7, S8-18-8, S16-18-8, S16-18-10, S16-18-11, S17-18-8, S17-18-9, S18-18-3~9, S18-19-1, S20-18-6, S20-18-8, S21-18-6, S21-19-1, S14-19-2, S16-19-1, S16-19-2, S16-19-3, S16-19-5, S18-19-3, S20-19-3, S21-19-9, S24-19-1 |
| G4 | 39 | S1-18-2~4, S1-18-8, S1-18-9, S6-18-12, S7-18-1, S7-18-12, S8-18-1, S8-18-3~5, S14-18-1~4, S17-18-6, S17-18-8, S21-18-4, S21-18-8, S22-18-7, S23-18-1, S23-18-3, S23-18-4, S23-18-6, S23-18-11, S23-18-12, S24-18-1, S24-18-2 |
| G5 | 97 | S1-19-1, S1-19-3, S1-19-4, S1-19-6, S1-19-7, S4-19-3, S5-19-2, S6-19-1, S6-19-2, S7-19-2, S8-19-2, S8-19-3, S18-19-2, S18-19-5, S18-19-6, S19-19-10, S20-19-4, S20-19-5, S20-19-8, S20-19-11, S20-19-12, S21-19-5, S21-19-8, S23-19-1, S22-19-8, S22-19-12, S23-19-2~8, S24-19-2 |
| G6 | 107 | S1-20-1, S1-20-7, S1-20-6, S1-20-10, S2-20-5, S2-20-2, S2-20-6, S2-20-10, S3-20-2, S3-20-4, S3-20-6, S3-20-10, S4-20-10, S5-20-1, S5-20-3~5, S5-20-10, S7-20-1, S7-20-3, S7-20-7, S7-20-11, S7-20-8, S8-20-1, S8-20-5~7, S8-20-10, S11-20-10, S12-20-1, S12-20-3, S12-20-5~7, S12-20-10, S13-20-1~3, S13-20-9, S14-20-7, S14-20-10, S14-20-8, S17-20-1~3, S18-20-1, S18-20-2, S18-20-4, S19-20-1, S19-20-6, S19-20-7, S19-20-8, S19-20-9, S19-20-4, S20-20-1, S21-20-9, S21-20-10, S22-20-1, S22-20-6, S22-20-9, S22-20-3, S22-20-8, S23-20-7, S23-20-3, S23-20-9, S23-20-10, S1-20-8, S6-20-4, S7-20-9, S8-20-9 |
| G7 | 81 | S2-18-1~3, S2-18-5~11, S3-18-1~12, S4-18-2, S5-18-2, S8-18-10, S8-18-11, S10-18-9~11, S12-18-2, S12-18-4, S12-18-8, S14-18-5, S14-18-6, S14-18-10, S15-18-9, S19-18-2, S19-18-12, S21-18-9, S22-18-12, S1-19-2, S2-19-2, S2-19-8, S12-19-3, S12-19-4, S13-19-3, S17-19-6~10, S18-19-9, S18-19-12, S19-19-2, S1-20-2, S2-20-11, S4-20-11, S8-20-1, S13-20-11, S14-20-1, S15-20-11, S16-20-11, S18-20-11, S19-20-1 |
| G8 | 437 | S1-18-10, S1-18-11, S1-18-12, S2-18-4, S2-18-8, S2-18-12, S3-18-10, S4-18-1, S4-18-3~12, S5-18-4~7, S5-18-9, S10-18-2~8, S10-18-12, S11-18-9, S12-18-1, S12-18-3, S12-18-5, S12-18-6, S12-18-8, S12-18-11, S12-18-12, S13-18-1, S14-18-8, S14-18-9, S14-18-11, S14-18-12, S15-18-1, S15-18-2, S15-18-6, S15-18-11, S15-18-12, S16-18-9, S16-18-10, S22-18-10, S23-18-10, S24-18-2, S24-18-3, S1-19-8~12, S2-19-1~6, S2-19-7, S2-19-9~12, S3-19-1, S3-19-4~12, S4-19-1, S7-19-1, S7-19-3~11, S8-19-5~11, S9-19-4, S9-19-7~12, S10-19-3~2, S11-19-4~12, S12-19-1, S12-19-2, S12-19-5, S16-19-8, S17-19-5, S17-19-9, S17-19-11, S17-19-12, S18-19-4, S18-19-7~11, S19-19-1, S19-19-3, S19-19-5~12, S20-20-1, S22-19-10, S22-19-11, S23-19-10, S23-19-11, S23-19-9, S23-19-12, S24-19-3~12, S1-20-3~5, S1-20-9, S1-20-11, S2-20-1, S4-20-3, S4-20-6, S4-20-8, S4-20-9, S5-20-2, S5-20-6, S5-20-7, S5-20-8, S5-20-9, S5-20-11, S6-20-3, S6-20-5, S7-20-4, S7-20-5, S7-20-6, S8-20-2, S8-20-4, S8-20-8, S7-20-12, S8-20-12, S9-20-1, S9-20-3, S9-20-4, S9-20-5, S9-20-8, S11-20-1~4, S11-20-8, S11-20-9, S11-20-12, S12-20-12, S12-20-2, S12-20-4, S12-20-8, S12-20-9, S13-20-4, S13-20-10, S14-20-11, S15-20-1, S15-20-2, S15-20-6~10, S15-20-12, S16-20-12, S16-20-1, S16-20-2, S16-20-4, S16-20-7, S16-20-10, S18-20-3~5, S18-20-7, S18-20-8, S18-20-10, S19-20-2, S19-20-3, S19-20-5, S19-20-10, S19-20-12, S20-20-12, S20-20-2, S21-20-7, S21-20-11, S21-20-12, S22-20-2, S22-20-4, S22-20-7, S22-20-10~12, S23-20-12, S23-20-1, S23-20-2, S23-20-11, S24-20-11, S24-20-12 |

Table 7 The mean value of each water quality indicator of 8 groups

Unit: mg/l

| No | DO | COD | NH ₃ -N | TP | F ⁻ | LAS |
|----|------|--------|--------------------|------|----------------|------|
| G1 | 1.02 | 169.95 | 38.69 | 5.63 | 0.83 | 1.76 |
| G2 | 2.10 | 99.98 | 26.24 | 3.20 | 0.80 | 1.41 |
| G3 | 2.42 | 64.85 | 16.08 | 2.00 | 0.97 | 0.84 |
| G4 | 2.74 | 43.86 | 13.23 | 1.59 | 0.79 | 0.59 |
| G5 | 3.01 | 36.47 | 8.24 | 1.32 | 0.84 | 0.36 |
| G6 | 5.08 | 27.05 | 1.59 | 0.26 | 0.58 | 0.13 |
| G7 | 4.51 | 24.08 | 2.87 | 0.49 | 0.63 | 0.17 |
| G8 | 5.64 | 17.14 | 1.86 | 0.35 | 0.64 | 0.16 |

Table 8 The surface water quality assessment results of 8 groups

Unit: mg/l

| No | Individual water quality identification index | | | | | | Integrated water quality index | Rank |
|----|---|------|--------------------|-------|----------------|-------|--------------------------------|------------------|
| | DO | COD | NH ₃ -N | TP | F ⁻ | LAS | | |
| G1 | 6.49 | 9.25 | 24.35 | 19.09 | 2.83 | 10.86 | 12.118 | Inferior class V |
| G2 | 5.10 | 7.50 | 18.12 | 12.99 | 2.80 | 9.69 | 9.414 | Inferior class V |
| G3 | 5.42 | 6.62 | 13.04 | 9.99 | 2.97 | 7.80 | 7.612 | Inferior class V |
| G4 | 5.74 | 6.10 | 11.61 | 8.97 | 2.79 | 6.97 | 7.012 | Inferior class V |
| G5 | 4.00 | 5.65 | 9.12 | 8.30 | 2.84 | 6.21 | 5.921 | V |
| G6 | 3.08 | 4.70 | 5.17 | 3.62 | 2.58 | 2.63 | 3.650 | III |
| G7 | 4.76 | 4.41 | 6.44 | 6.22 | 2.63 | 2.83 | 4.540 | IV |
| G8 | 3.64 | 3.43 | 5.72 | 5.49 | 2.64 | 2.82 | 4.060 | IV |

600

601

Figures

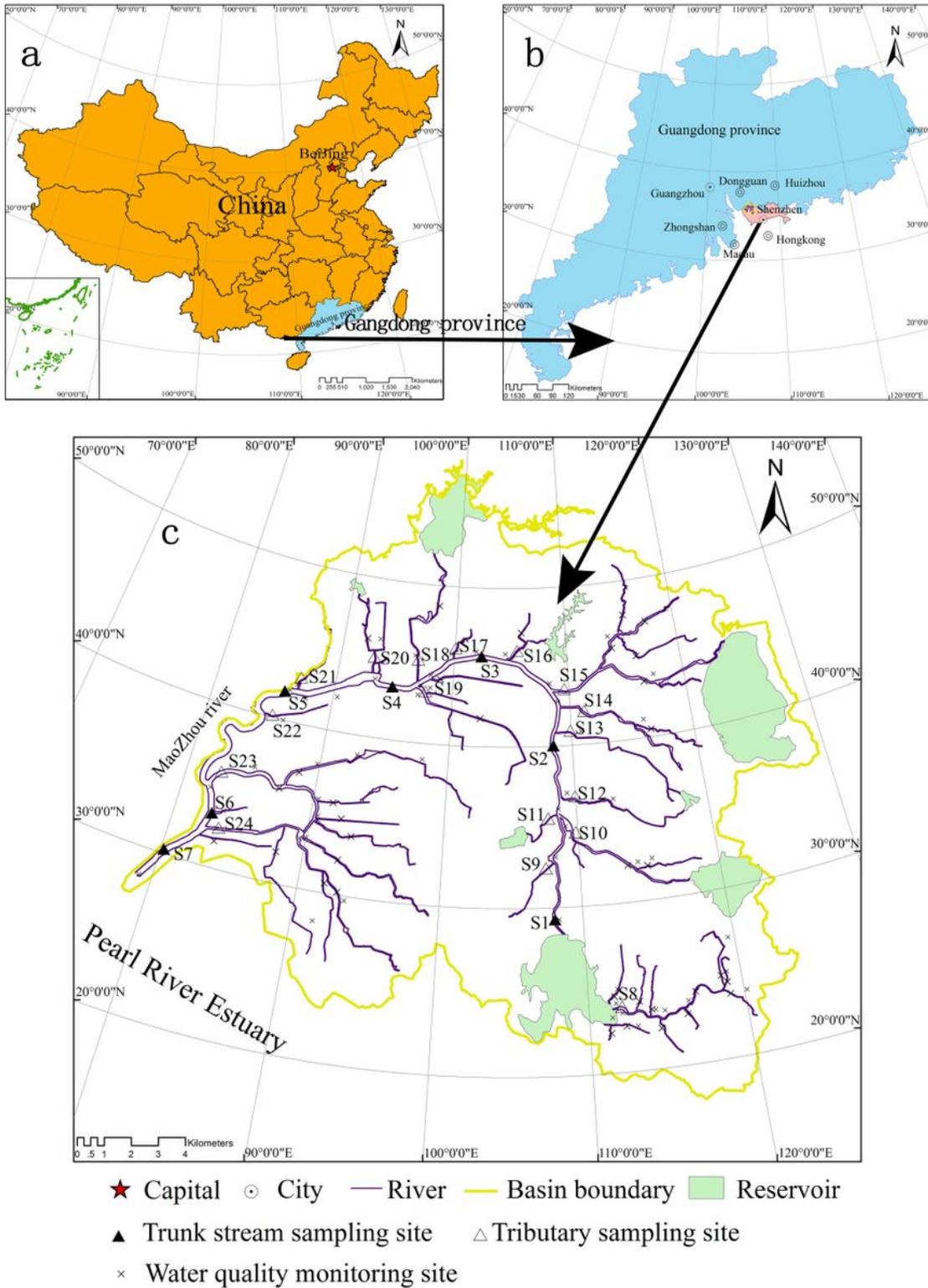


Figure 1

Location map of water samples in part of Maozhou River Basin, China. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its

authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

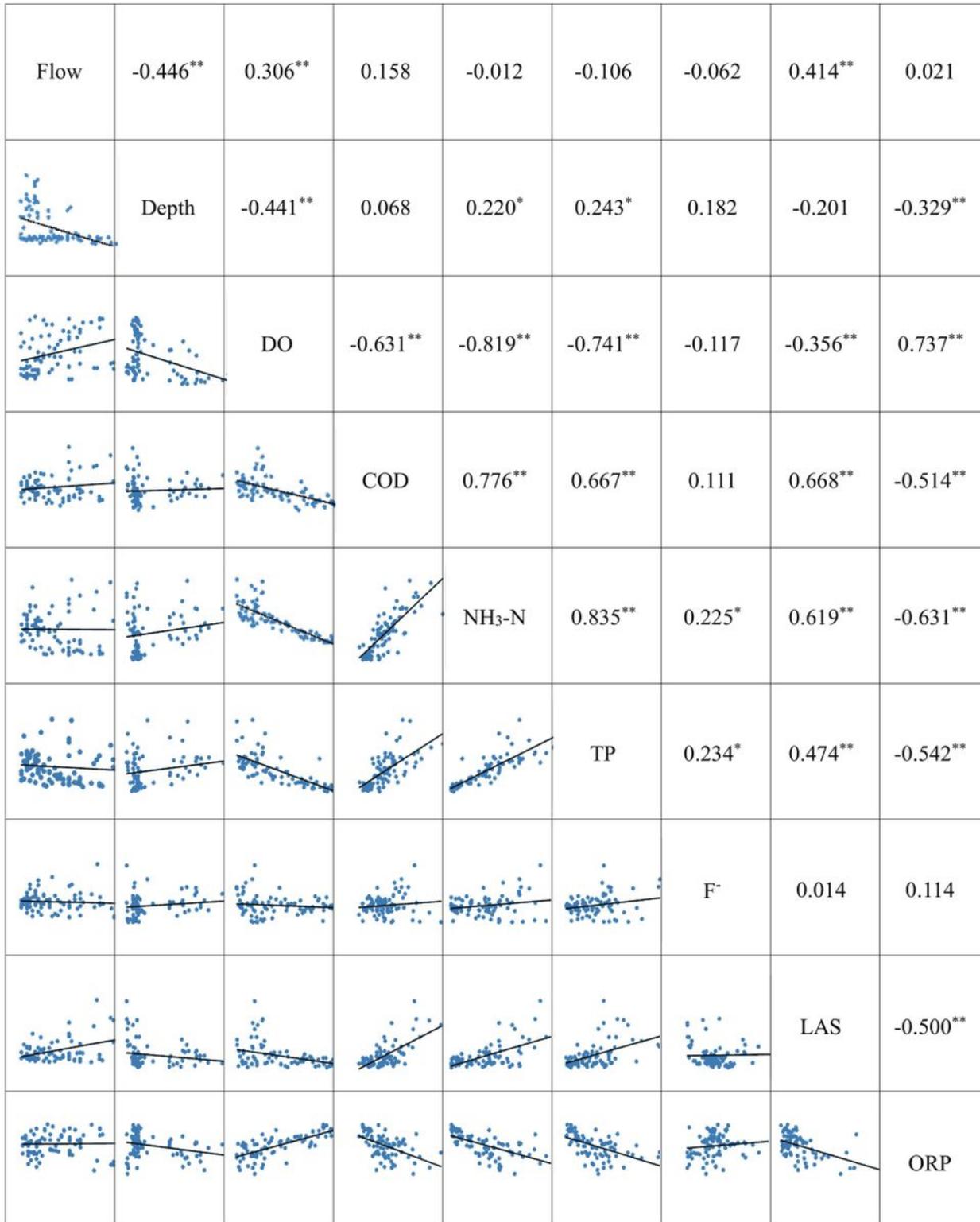


Figure 2

Relationships between different indices in this study region.

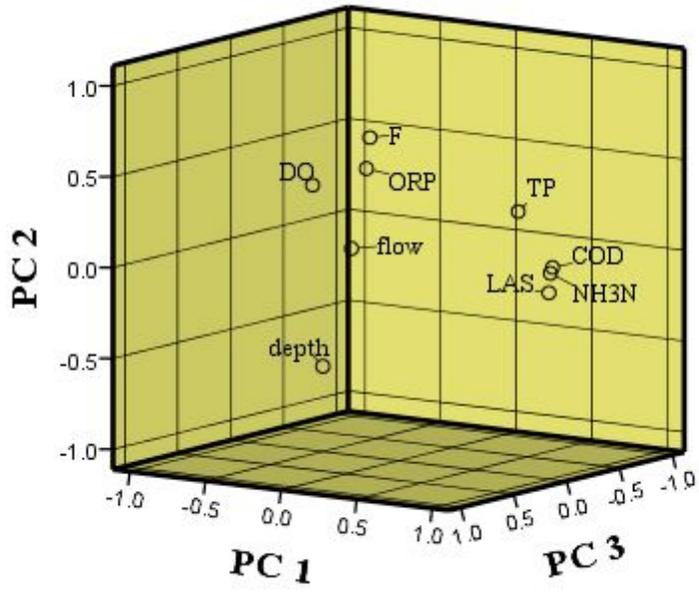


Figure 3

Scree plot from PCA loadings scores for dataset of water samples.

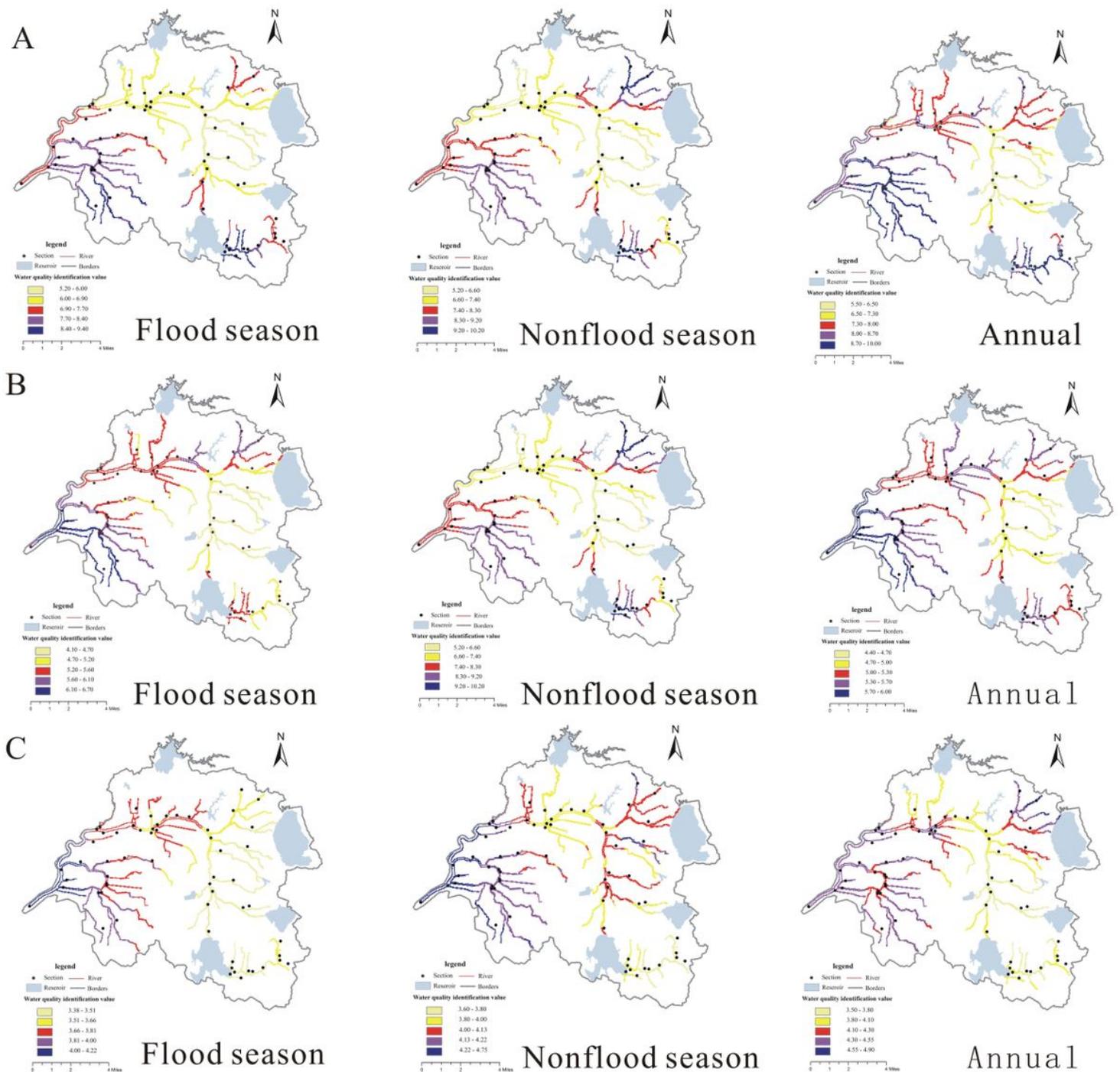


Figure 4

Surface water quality distribution map of Maozhou River Basin from 2018 to 2020. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.