

# Intrathecal CAR-NK cells infusion for isolated CNS relapse after allogeneic stem cell transplantation

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## Research Article

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# Abstract

A 24-year-old man with central nervous system (CNS) involvement of T-cell lineage acute lymphoblastic leukemia received sibling allogeneic stem cell transplantation (allo-SCT). He developed isolated CNS relapse early post-SCT, while high-dose systemic chemotherapy, intrathecal (IT) triple infusion and IT donor lymphocytes infusion (DLI) all demonstrated effectiveness. We performed IT umbilical cord blood-derived CAR-NK cells infusion. After infusion, detection of cytokines revealed that interferon- $\gamma$ , interleukin-6 and interleukin-8 increased in CSF. He developed high fever, headache, nausea, vomiting and a spinal cord transection with incontinence in a short time, whereas the ptosis and blurred vision improved completely. The bone marrow remained encouragingly complete remission and complete donor chimerism over 9 months after IT CAR-NK infusion. In conclusion, IT CAR-NK cells infusion is a potentially feasible and effective option for patients with CNS relapse, with limited neurological toxicity.

## Introduction

Central nervous system (CNS) relapse is a major obstacle for patients with hematologic malignancies after allogeneic stem cell transplantation (allo-SCT). The incidence is 2 ~ 5.5% and 11 ~ 27% among patients without and with previous CNS involvement, respectively. <sup>[1]</sup> Intrathecal (IT) injection, cranial irradiation, high-dose chemotherapy, and tandem SCT are general options for CNS relapse, but intensive chemotherapy is inappropriate for patients with transplantation related complications post-SCT. In addition, these treatments always come with acute or long-term neurotoxicity. <sup>[2-5]</sup> Relapse of leukemia in the CNS after conventional treatments is difficult to treat and is associated with poor outcome. <sup>[6]</sup>

Although chimeric antigen receptor (CAR) T cell therapy has achieved great success in the treatment of leukemia, there remain many deficiencies in CAR-T cell therapy, such as cytokine release syndrome (CRS). CAR-engineered natural killer (CAR-NK) cell therapy is expected to remedy some of these deficiencies. Herein, we present a patient with T-cell lineage acute lymphoblastic leukemia (T-ALL) who underwent IT CAR-NK cells derived from unrelated umbilical cord blood (UCB) infusion for isolated CNS relapse early post-SCT.

## Case Presentation

A 24-year-old man was diagnosed with cortical T-ALL. At onset, white blood cells count was 2,110/ $\mu$ L, while bone marrow (BM) was with 89% of lymphoblasts. The leukemic cells were positive for CD2, CD3, CD4, CD8, CD7, CD1 $\alpha$  and cytoplasmic CD3, but negative for B-cell and myeloid cell markers with flow cytometry (FCM) analysis. Chromosomal karyotyping revealed a normal karyotype of 46, XY, but T-cell receptor (TCR) rearrangement confirmed by polymerase chain reaction revealed T-cell clonality. The brain CT scan revealed negative, while lumbar puncture test showed no increased cells or protein level in cerebrospinal fluid (CSF). All tests above ruled out initial CNS involvement. After induction chemotherapy regimen, initial response evaluation achieved complete remission. He then received two cycles of consolidation regimen, while FCM monitoring for minimal residual disease (MRD) revealed 1.92%

lymphoblasts. [7-8] MRD assessment remained persistently positive, so the patient intended to receive peripheral blood SCT with his elder sister as a human leukocyte antigen (HLA) identical sibling donor.

However, 10 days after a high-dose intravenous methotrexate and cytarabine regimen before SCT, he developed a sudden onset of blepharoptosis of right eye with left deviation of mouth. [9] These signs lasted for seconds each time. Diagnostic lumbar spinal puncture was performed immediately. The cells count in CSF was 2/ $\mu$ L, with no leukemic cells observed. IT triple therapeutic infusion of methotrexate 10 mg, cytarabine 30 mg, and dexamethasone 5 mg was administered concomitantly at this diagnostic lumbar puncture. The symptoms were shortly relieved. On basis of neurological symptoms and therapeutic response, diagnosis of CNS relapse was made. Subsequently he received systemic chemotherapy of high-dose methotrexate plus IT triple therapeutic infusions twice weekly. The neurological symptoms were obviously relieved. The BM MRD revealed down to 0.25%.

The conditioning regimen comprised whole brain and total spinal cord radiotherapy, venetoclax, hydroxycarbamide, cytarabine, busulfan, cyclophosphamide and Methyl-CCNU. The prophylaxis of graft-versus-host disease (GVHD) included mycophenolate mofetil, cyclosporin, and short-term methotrexate. The engraftment was confirmed without complications. He developed no acute or chronic GVHD. The FCM monitoring for MRD achieved persistent negative remission, with complete donor chimerism in BM and peripheral blood.

On day 83 post-SCT, his left eye developed esophoria with limited abduction and double vision, which was similar to previous manifestations. Lumbar spinal puncture showed increased CSF pressure and cell counts. The exfoliative cytology showed that leukemic blasts were easily to be seen, while FCM analysis revealed 87% of lymphoblasts. After cycles of high-dose methotrexate plus repeated IT triple therapy twice weekly, cell counts in CSF decreased to 2/ $\mu$ L. Meanwhile his neurological signs improved. During this process, the MRD monitoring through FCM revealed persistently negative. No lymphoblasts were found with complete donor chimerism both in BM and peripheral blood.

His neurological symptoms got worse again 4 weeks later. The patient developed progressive paraplegia without urine and feces incontinence. To treat myelitis, the patient received intravenous immunoglobulin and methylprednisolone. However, the neurological signs were not obviously improved. He had already received a therapeutic radiotherapy and many cycles of systemic chemotherapy with IT injection. We therefore performed IT DLI in dose of  $1.23 \times 10^9$ /L. But IT DLI had limited efficacy and his neurological signs seemed no obvious improvement. In the meantime, he developed progressive legs numbness or weakness and activity obstacle.

On day 131, we performed IT umbilical cord blood-derived CAR-NK cells infusion (NK cells count  $1.0 \times 10^7$ /L). The donor lymphocytes and CAR-NK cells were prepared using a local distributed, standardized, automated system at the cell processing center of Hebei Senlang Biotechnology Company under good manufacturing practice conditions. The institutional review board of Hebei Medical University approved the study protocol, and the patient provided written informed consent. After IT CAR-NK infusion,

he developed high fever, headache, nausea and vomiting. Three days later, he developed a spinal cord transection with incontinence. Physical examination revealed absence of touch and pain sensation below the level of the third lumbar vertebra. Muscle strengths of lower extremities declined to class zero.<sup>[9]</sup> Magnetic resonance imaging of brain and spinal cord revealed subacute combined degeneration. Detection of cytokines revealed that interferon- $\gamma$ , interleukin-6 and interleukin-8 increased in CSF. After IT CAR-NK cells infusion, his limb numbness and movement disorder got worse in a short time, whereas the ptosis and blurred vision improved completely. BM and blood simultaneously remained CR and complete donor chimerism over nine months after IT CAR-NK cells infusion.

## Discussion

The majority of patients with CNS relapse post-SCT usually coincide with or predict soon afterwards for systemic relapse. Univariate analysis showed that patients with CNS involvement had worse survival after allo-SCT due to a higher incidence of relapse. Cranial irradiation is still the most effective treatment for overt CNS leukemia, but previous studies have demonstrated that neither approach successfully decreases CNS recurrence. Our T-ALL patient with MRD positive and CNS involvement before SCT predicted a higher rate of relapse. He had undergone cycles of high-dose systemic chemotherapy, IT triple therapy and IT DLI after CNS recurrence. But the neurological signs only relieved for about 4 weeks. The efficacy of IT DLI was probably insufficient for CNS relapse, because leukemic cells relapsing in CNS have already escaped from circulating donor T cells. Regrettably, he developed progressive inflammation of the brain and spinal cord. A history of cranial irradiation before SCT was considered to be a possible risk factor for CNS complications. It suggested us to find a more effective therapy.

Adoptive immunotherapy based on NK cells has shown clinical benefits in patients of leukemia.<sup>[10–12]</sup> Since CNS may be an immunologic sanctuary protecting lymphoblasts from NK-cell activity, we planned IT CAR-NK cells infusion. In present case, we performed IT CAR-NK cells derived from unrelated UCB infusion. After IT infusion, his neural symptoms significantly worsen. We speculated the causes were various. First, the patient had received cranial irradiation, multiple high-doses of intravenous chemotherapy plus IT therapy. Serious and unexpected neurotoxicity was observed and progressive aggravation. Second, the proinflammatory cytokines levels in CSF increased. IT CAR-NK infusion might cause CRS, which led to neurological complications. It suggested us that IT CAR-NK infusion was not completely safe, with potential neurological toxicity. This may, in part, be improved by decreasing the CAR-NK cells dose or increasing the sessions of IT infusion.

Nevertheless, his initial signs with limited abduction and double vision significantly improved for more than 8 weeks after CNS recurrence, while BM and blood maintained in CR and complete donor chimerism. IT CAR-NK infusion limited the outgrowth of leukemic cells in the periphery. We hypothesized that the direct infusion of CAR-NK cells into CSF can provoke an appropriate graft-versus-leukemia effect both on marrow and blood. CNS prophylactic therapy may thus be needed with emerging NK cell-based therapies against malignancies. Previous studies demonstrated that NK cells activated by IL-15 or other

mechanisms inhibit systemic peripheral leukemia but fail to enter the brain and control the CNS leukemia. [13–15] The development, survival and activation of NK cells are predominantly regulated by IL-15. [16]

In conclusion, we suggest that IT CAR-NK cells infusion is a potentially feasible and effective option for patients with CNS relapse, with limited neurological toxicity. However, it is necessary to increase the efficacy by modifying current method, such as the initial CAR-NK cells dose adjustment, increasing the sessions of IT infusion and improving the CAR-NK cells preparation process.

## **Declarations**

### **Disclosure statement**

The authors declare that they have no competing interests.

### **Ethics & Ethical approval**

The patient has approved the content and agreed to submit for publication.

### **Conflict of interest statement**

The authors declare that they have no competing interests.

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### **Authors' contributions**

Jing YUAN and Zhen-zhen WANG collected the clinical data. Jing YUAN wrote the main manuscript text. Han-yun REN and Fu-xu WANG reviewed the manuscript.

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