

# Evaluation and optimization of a circular economy model integrating planting and breeding based on coupling of energy analysis and life cycle assessment

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## Research Article

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1 **Evaluation and optimization of a circular economy model integrating**  
2 **planting and breeding based on coupling of emergy analysis and life**  
3 **cycle assessment**

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10

11 **Abstract**

12 The sustainable development of agriculture is facing problems such as high resource  
13 consumption and serious environmental pollution. The development of circular economy model  
14 integrating planting and breeding (CEMIPB) has become an effective way to realize the sustainable  
15 development of agriculture. However, due to the large regional differences of circular models,  
16 references and comparability are generally lacking. Therefore, exploring economic benefit level and  
17 sustainability of CEMIPB through an effective evaluation model is necessary. Accordingly, this  
18 paper builds a methodological system for model evaluation and optimization based on the EM-LCA  
19 model and validates it with a typical CEMIPB in Fujian Province, China. By comparing the results  
20 of the EM-LCA and EMA models, the former effectively compensates for the deficiencies of the  
21 latter in terms of economic and environmental impact assessment, and the evaluation results can  
22 better reflect the actual situation of the system. Furthermore, sensitivity analysis is introduced to  
23 identify key processes and substances. Based on the reduce–reuse–recycle principle, several  
24 optimization suggestions, such as the corn and veterinary drugs, input reduction are put forward.  
25 The construction of the above methodology system can provide a new perspective for research in  
26 similar fields and provide a scientific basis for local government decision-making.

27 **Keywords:** Circular economy model; Emergy; EM-LCA model evaluation; Optimization

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## 28 **Introduction**

29 As the world's population boomed, agricultural production expanded rapidly. However, such  
30 rapid development has caused problems such as resource depletion and environmental degradation  
31 (Wesseh and Lin 2017; Iacovidou et al. 2020). As a major agricultural country, China is also  
32 facing increasingly prominent resource constraints and environmental problems (Qian et al. 2018;  
33 Wang et al. 2018). China is a large country that uses fertilizers and pesticides. The high use and  
34 low efficiency of chemical fertilizers and pesticides have resulted in increased input costs and  
35 resource consumption. In addition, the huge discharge of agricultural wastes such as straw and  
36 livestock manure has caused environmental pollution and ecological damage (Li et al. 2016).  
37 Many countries believe that the development of CEMIPB is an important measure to solve these  
38 problems (Chen et al. 2010). In accordance with the concept of the circular economy, the CEMIPB  
39 uses material recycling technology, transforming the traditional linear production model of  
40 "resources-products-discharge" into a circular production model of "resources-products-renewable  
41 resources-renewable products" (Wu et al. 2015). The models have obvious natural resource  
42 attributes. Owing to the lack of reference and comparability in different types of natural resource  
43 regions, combining the attributes of local resources, deeply analyzing which integration model of  
44 planting and breeding is suitable for regional development and has a greater level of sustainable  
45 development is necessary to provide a reference for local governments in decision-making.

46 Emergy analysis (EMA) and life cycle assessment (LCA) are two common evaluation methods  
47 of circular economy models. In the late 1980s, Odum, a well-known American ecologist,  
48 established the theory of EMA by integrating the principles of system ecology, energy ecology,  
49 and ecological economy and pointed out that solar energy is the only and most fundamental  
50 energy source of biosphere (Odum 1996). Since then, the theory of emergy has provided a  
51 scientific method combining ecology and economics and has become an important means to  
52 evaluate the value of natural resources and social economy (Pan et al. 2019). Wu et al. (2015)  
53 evaluated a CEMIPB in northwest China by using emergy, and the results showed that the circular  
54 economy model was more conducive to improving resource utilization efficiency and reducing  
55 local environmental pressure than the traditional model. Luo et al. (2017) used emergy to analyze  
56 the CEMIPB in Changting, Fujian Province, and proposed ways to improve the CEMIPB. LCA is  
57 an assessment method for resource and environmental impact analysis of products and their  
58 packaging, production processes, raw materials, energy, or other human activities in the entire  
59 process. LCA has become an important decision-making tool for environmental management and  
60 product design worldwide and has been gradually applied to ecological industry or circular  
61 economy development and clean production (ISO 2006). Zhang et al. (2015) assessed the

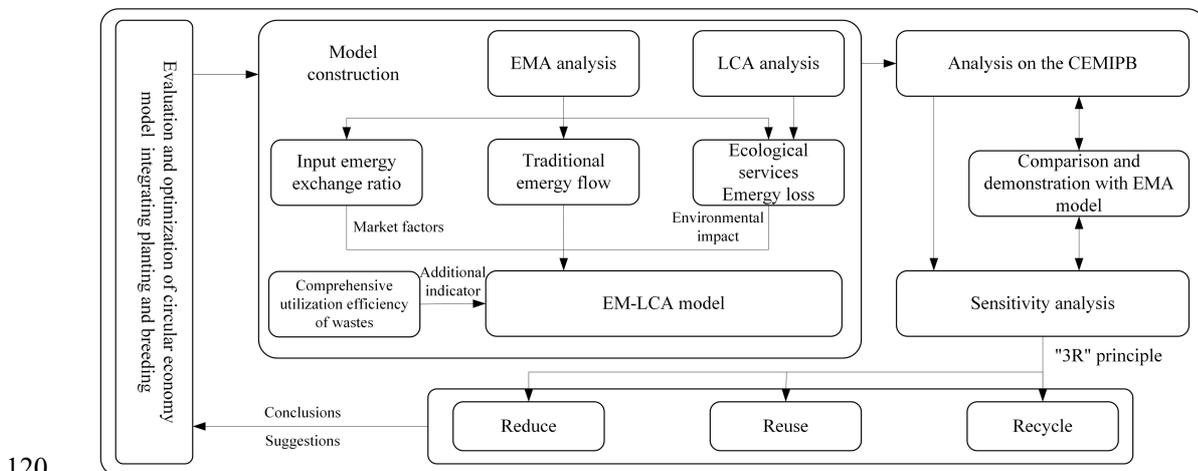
62 CEMIPB with LCA and proposed corresponding energy saving and emission reduction  
63 recommendations using scenario analysis. Fan et al. (2018) used LCA to analyze the CEMIPB  
64 with different industrial chain lengths in Fujian Province and pointed out that the best ecological  
65 and economic benefits can only be achieved by a reasonable plan for the length of the integration  
66 of planting and breeding industrial chain. In summary, EMA and LCA, as mature theoretical and  
67 methodological systems, have been highly recognized by scholars in the evaluation of CEMIPB.

68 However, the current assessment of CEMIPB mainly focuses on a single evaluation method  
69 using energy or LCA. EMA method pays minimal attention to systematic waste absorption and  
70 reduction of environmental pollution emission, whereas LCA ignores “free” resources (such as  
71 sunlight, wind energy) provided by the natural environment, resulting in some differences in the  
72 direction of the evaluation results between the above two methods (Wang et al. 2020). The  
73 advantages of the two evaluation methods are complementary (Cui et al. 2018; Duan et al. 2011).  
74 Many scholars have conducted in-depth studies on the coupling of EMA and LCA, and these  
75 results can be divided into the following three categories. The first category involves separate  
76 EMA and LCA evaluations of the target system, followed by a comprehensive or comparative  
77 analysis. Wilfart et al. (2013) evaluated three different aquaculture models in France by EMA and  
78 LCA and pointed out the economic benefits and environmental performance of each model. The  
79 advantage of this approach is comprehensive and detailed, whereas the disadvantage is that the  
80 evaluation is too discrete and does not result in holistic indicators. The second category is the  
81 refinement of the EMA framework using LCA structures, metrics, or data lists. Brown et al. (2012)  
82 referred to the widely used process input standard classification method in LCA and discussed  
83 spatial scale, boundary conditions, and input classification schemes that should be applied in EMA.  
84 The advantage of this method is that it enhances the consistency and comparability of the  
85 evaluation process, whereas the disadvantage is that environmental impacts are not considered.  
86 The third type is to form perspectives complementary to EMA and LCA and combine the two into  
87 a hybrid model. Wang et al. (2015) evaluated the sustainability of a large-scale pig breeding  
88 system in northern China by incorporating the energy of ecological services needed to dilute  
89 pollutants into the energy evaluation system. The advantage of this method is to convert the  
90 environmental impact into a unit unified with energy, but the disadvantage is that the damage  
91 impact of pollutants is not included in the evaluation system. In addition, some scholars proposed  
92 to integrate the damage effects of life cycle pollutant emissions into energy assessment (Jiang et  
93 al. 2019; Reza et al. 2014). Although this method can use LCA to quantify the environmental  
94 impact of pollutants from two aspects of ecological services and energy equivalent loss, which is  
95 a great improvement compared with the traditional EMA, it can not fully evaluate the  
96 characteristics due to the multi-level recycling of wastes in the CEMIPB.

97 Based on the above analysis, although coupling EMA and LCA can have the advantages of the  
 98 two evaluation methods at the same time, the coupling methods are diverse, and different coupling  
 99 methods have their own advantages and disadvantages, which should not be directly applied to  
 100 CEMIPB. Therefore, on the basis of literature research, this paper constructs a complete  
 101 methodology system suitable for the CEMIPB.

## 102 Methodology

103 Firstly, based on the literature review and the characteristics of the CEMIPB, the EM-LCA  
 104 model suitable for the evaluation of the CEMIPB is constructed. Based on the traditional EMA,  
 105 LCA method was used to integrate ecological services and energy equivalent loss into the energy  
 106 evaluation system (Reza et al. 2014). Meanwhile, according to the characteristics of multi-level  
 107 utilization of waste, the comprehensive utilization efficiency (CUE) indicator is proposed to  
 108 comprehensively evaluate the waste utilization efficiency. In addition, because the traditional  
 109 energy only considers the emery exchange ratio of the output ( $EER_Y$ ), the impact of input-output  
 110 on the economic efficiency of the system couldn't be measured. Therefore, emery exchange ratio  
 111 of input ( $EER_I$ ) (Lu et al. 2009) is introduced to improve the economic performance in the  
 112 traditional emery index of sustainable development (EISD) indicator. The above three impact  
 113 values are all converted into emery, and integrated into the comprehensive evaluation system.  
 114 Secondly, This paper compares the evaluation results of the improved Em-LCA model and the  
 115 EMA model to verify the robustness and reliability of the constructed model. Finally, this paper  
 116 identifies the key substances that restrict the sustainability of the system through sensitivity  
 117 analysis, and proposes optimization measures and guidelines to improve the sustainability of the  
 118 system, combined with the “reduce–reuse–recycle” (3R) principle of circular economy and the  
 119 position of key substances in the system The specific technical route is shown in Fig. 1.



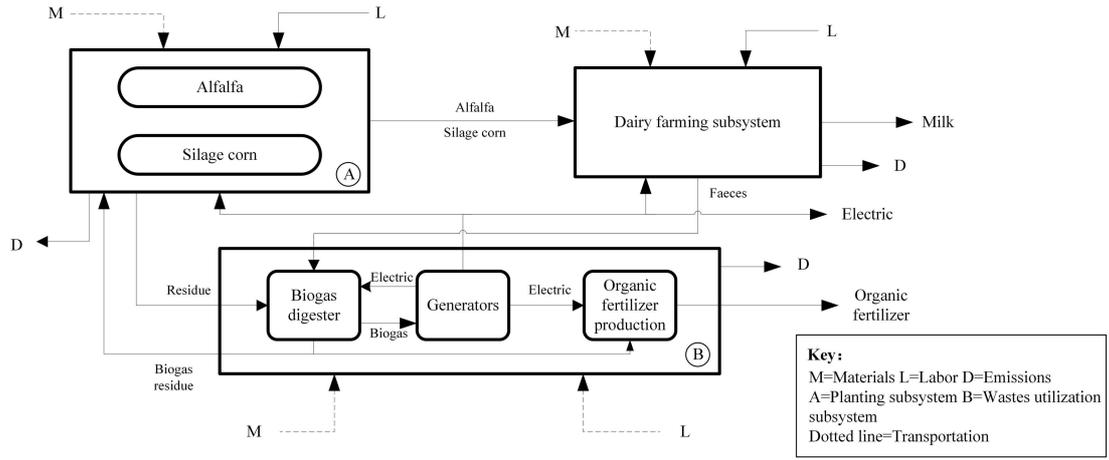
120  
 121 **Fig. 1** Roadmap of research methodology for CEMIPB

## 122 **Case study description and data collection**

123 Fujian Province, located in the southeast coast of China, has rich natural resources and an  
124 excellent ecological environment, providing a good foundation for the development of modern  
125 circular agriculture. The Fujian Provincial Government attaches considerable importance to the  
126 development of high-efficiency ecological agriculture, leading in proposing the concept of  
127 ecological province construction in China and becoming a pilot province for such construction.  
128 Modern integration models of planting and breeding have been practiced and explored for more  
129 than ten years in Fujian Province, and some achievements have been made.

130 The paper uses a typical CEMIPB in this area as the research object and conducts empirical  
131 analysis on it. The target system is divided into farmland planting, large-scale dairy farming, and  
132 agricultural wastes comprehensive utilization subsystems with a complete production year as the  
133 boundary, as shown in Fig. 2. The total cultivated area is approximately 40 hectares, mainly  
134 planted silage corn and alfalfa. All the crops, including straw, are used as roughage for dairy cattle  
135 breeding, but some silage corn and alfalfa still need to be purchased. A total of 221 adult cows and  
136 95 calves are at the plant, and the milk produced is sold directly to the public. In addition, a 1,100  
137 m<sup>3</sup> biogas digester and an organic fertilizer processing plant are built in the plant area to process  
138 agricultural wastes such as manure, food residues, and crop residues. The biogas residue and  
139 biogas slurry are transported to the planting system as fertilizer to replenish soil fertility. The  
140 biogas generated is used for power generation and supply to the planting system and breeding  
141 system. The surplus power is transmitted to nearby enterprises and communities through the  
142 power grid, forming a model with multi-level utilization of materials and resource utilization of  
143 wastes.

144 The data sources of this paper are diverse: The enterprise data are obtained by field  
145 investigation; The meteorological data, such as wind speed and precipitation, are from China  
146 Meteorological Data Network (CMDC 2020). The obtained data are analyzed and checked to  
147 ensure the reliability of the research results and reduce the uncertainty.



148

149 **Fig. 2** Flow chart of the CEMIPB

150

151 **Construction of the EM-LCA coupling indicators system**

152 Emergy is defined as the total amount of solar energy directly or indirectly required to produce  
 153 a product or service (Odum, 1996). Emergy can convert different types of resources, energy, and  
 154 social labor into a unified unit (sej) through unit energy value (UEV). The calculation method is  
 155 shown in Eq. (1).

156 
$$E_m = \sum_{i=1}^n E_{mi} = \sum_{i=1}^n f_i \times UEV_i \quad (1)$$

157 where *i* represents a specific type of material flow or energy flow in the target system.  $E_{mi}$  is the  
 158 emergy value corresponding to a specific material flow or energy flow.  $f_i$  is the amount of a  
 159 specific material flow or energy flow, and its unit is kilogram (kg) or currency (\$).  $UEV_i$  is the  
 160 unit energy value, which represents the emergy quantity corresponding to the unit material flow  
 161 or energy flow, and the unit is sej/unit (such as sej/kg; sej/\$).

162 The emergy input or output of the system is usually divided into local renewable emergy (R),  
 163 local non-renewable emergy (N), and purchased emergy (F) depending on different sources and  
 164 functions. For the purchased emergy, to accurately calculate the renewable emergy content  
 165 contained in each input, renewable factor (RNF) is introduced to divide F into renewable part (FR)  
 166 and non-renewable part (FN) (Wilfart et al., 2013; Zhang et al., 2012a). EYR, ELR, EER, ESI,  
 167 and EISD are explained in Table 1.

168 **Table 1** EMA and EM-LCA comprehensive indicators system construction and comparative  
 169 analysis

EM-LCA	EMA	Unit	Interpretation of indicators
R	R	sej	Local renewable emergy
N	N	sej	Local non-renewable emergy

F	F	sej	Purchased energy
FR	FR	sej	Renewable part of purchased energy
FN	FN	sej	Non-renewable part of purchased energy
Y	Y	sej	Output energy
K	-	sej	The part of the energy output that is fed back to the system
Y <sub>m</sub>	-	sej	The part of the energy output of the waste utilization system that flows into the market
ES <sub>air</sub>	-	sej	Energy of ecological services of air
ES <sub>water</sub>	-	sej	Energy of ecological services of water
ES	-	sej	Energy of ecological services
EL	-	sej	Energy equivalent loss
Em/\$	Em/\$	sej/\$	Energy currency ratio, that is the energy value of each currency
EcI	EcI	\$	Economic cost
EcY	EcY	\$	Economic income
$EYR=Y/(F+ES+EL)$	$EYR=Y/F$	-	Energy yield ratio
$ELR=(FN+N+ES_{water}+EL)/(FR+R+ES_{air})$	$ELR=(FN+N)/(FR+R)$	-	Energy loading ratio
$EER_Y=(EcY \times Em/\$)/Y$	$EERY=(EcY \times Em/\$)/Y$	-	Output energy exchange ratio
$EER_I=F/(EcI \times Em/\$)$	-	-	Input energy exchange ratio
$CUE=K/(F+K)+Y_m/Y$	-	-	Comprehensive utilization efficiency of wastes
$ESI=EYR/ELR$	$ESI=EYR/ELR$	-	Energy sustainability index
$EISD=EER_Y \times EER_I \times EYR/ELR$	$EISD=EER_Y \times EYR/ELR$	-	Energy index of sustainable development

170

## 171 **Impact assessment of pollutant emission**

172 Pollutants discharged from the system will cause irreversible damage to humans and the  
173 ecosystem through inhalable particles and eutrophication of water bodies before reaching a stable  
174 state (Zhang et al. 2014), and the damage amount can be quantified by energy equivalent loss (EL).  
175 Reliance on the ecological services provided by natural ecosystems, the concentrations of  
176 pollutants can be diluted to the acceptable concentrations specified in relevant standards, and the  
177 amount of required services can be quantified by ecosystem service energy (ES).

178 **Quantification of EL**

179 Pollutant emissions can cause damage to natural ecology, human health, and land occupation  
180 (Zhang et al. 2014). Ecological loss, human health damage, and land occupation can be converted  
181 into ecological equivalent energy loss ( $EL_{EQ}$ ), human health damage ( $EL_{HH}$ ), and land occupation  
182 loss ( $EL_{SW}$ ) by using the potential disappearance fraction (PDF), disability-adjusted life year  
183 (DALY), and land occupation coefficient (LOC) respectively, as shown in Eqs. (2)–(4).

184 
$$EL_{EQ} = \sum m_i \times PDF_i \times E_{bio} \quad (2)$$

185 In Eq. (2), PDF (%) is the percentage of species loss in a certain area at a certain time.  $m_i$  is the  
186 total emission of a pollutant.  $E_{bio}$  is the annual energy unit allocated to natural capital in the  
187 region, and the value of  $E_{bio}$  is  $5.54E+08$  sej/year (Reza et al. 2014).

188 
$$EL_{HH} = \sum m_i \times DALY_i \times E_p \quad (3)$$

189 In Eq. (3), DALY represents the disability-adjusted life year (year/g) per unit of pollutant  
190 emission.  $m_i$  represents the total emissions of a pollutant.  $E_p$  represents the annual energy of each  
191 population, and the value of  $E_p$  is  $1.73E+17$  sej/year/pop (Reza et al. 2014).

192 
$$EL_{SW} = \sum m_i \times LOC \times E_L \quad (4)$$

193 In Eq. (4),  $LOC$  is the land occupation coefficient (ha/t),  $m_i$  is the total amount of certain solid  
194 waste emissions (t), and  $E_L$  is the energy required per unit of land restoration (Zhang et al. 2010).

195  $EL$  is the comprehensive energy loss caused by pollutants to natural ecology, human health,  
196 and land occupation, as shown in Eq. (5).

197 
$$EL = W_{HH} \times EL_{HH} + W_{EQ} \times EL_{EQ} + W_{SW} \times EL_{SW} \quad (5)$$

198 In Eq. (5),  $W_{HH}$ ,  $W_{EQ}$ , and  $W_{SW}$  represent the weight values of the three types of damage, and  
199 their values are 0.57, 0.33, and 0.10 respectively, by analytic hierarchy process (AHP).

200 **Quantification of ES**

201 The energy required by the natural ecosystem to absorb or dilute air pollutants and water  
202 pollutants to reach an acceptable state or concentration level is ES. Eq. (6) represents the mass of  
203 air/water required to dilute a pollutant.

204 
$$M_{air/water} = d \times (W / c) \quad (6)$$

205  $M_{air/water}$  is the mass (kg) of air or water required to dilute a pollutant.  $d$  is the density of air or  
206 water.  $W$  is the mass (kg) of a pollutant discharged into the air or water body, and  $c$  is the  
207 specified acceptable concentration ( $kg/m^3$ ) of pollutants in the area (SAC 2012; SAC 2017).

208 Energy of ecological services of air or water ( $ES_{air/water}$ ) can be obtained by multiplying the  
209 kinetic energy/chemical energy of air or water body by the corresponding energy conversion rate,

210 as shown in Eqs. (7) and (8).

$$211 \quad ES_{\text{air}} = \frac{1}{2} \times M_{\text{air}} \times v^2 \times UEV_{\text{air}} \quad (7)$$

$$212 \quad ES_{\text{water}} = M_{\text{water}} \times \rho \times UEV_{\text{water}} \quad (8)$$

213  $v$  is the local average wind speed, and  $\rho$  is the internal energy conversion coefficient of the  
 214 water body.  $UEV_{\text{air}}$  is the emergy transformity of air ( $2.52 \times 10^3$  sej/J), and  $UEV_{\text{water}}$  is the emergy  
 215 transformity of water ( $3.05 \times 10^4$  sej/J) (Odum 1996).

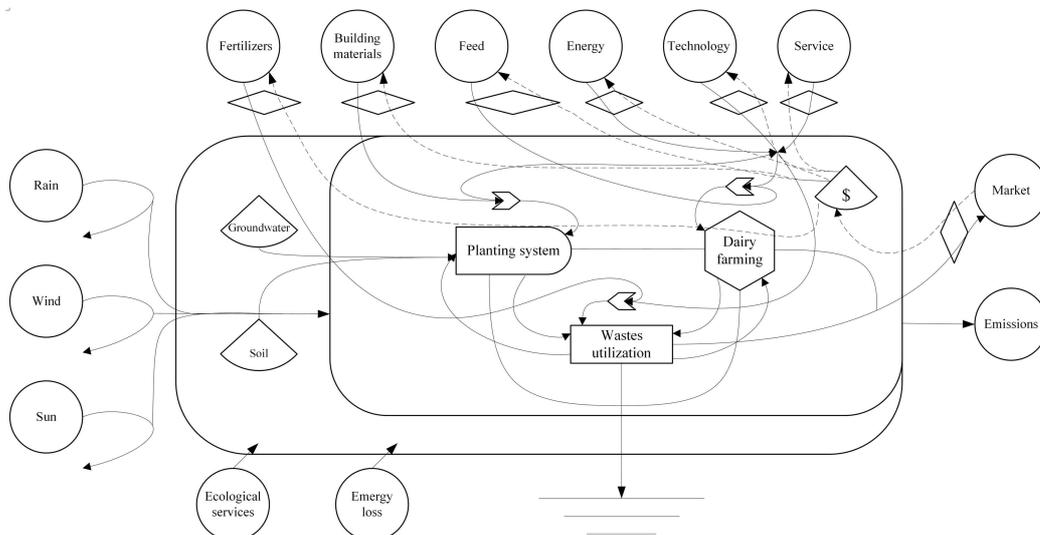
216 Eq. (9) represents the total ecological service energy (ES) required to dilute all pollutants.

$$217 \quad ES = \max(ES_{\text{air}}) + \max(ES_{\text{water}}) \quad (9)$$

218  $\max(ES_{\text{air}})$  and  $\max(ES_{\text{water}})$  represent the maximum values of  $ES_{\text{air}}$  for each air pollutant and  
 219 the maximum value of  $ES_{\text{water}}$  for each water pollutant, respectively.

## 220 Results

221 First, the emergy flow diagram of the CEMIPB is drawn based on Fig. 2, as shown in Fig. 3.  
 222 Referring to the research results of Odum and Brown (2000),  $15.83 \times 10^{24}$  sej/yr is used as the  
 223 emergy baseline to determine the UEV values of each substance under different baselines.  
 224 According to the input-output data of the system and relevant calculation methods in Section 2,  
 225 the system emergy analysis table is compiled (Table 2). Second, the LCA method is used to  
 226 evaluate the CEMIPB. Based on the EL and ES calculation method designed in Section 2.2, the  
 227 emergy analysis results of pollutants downstream of the system are obtained, as shown in Table 3.  
 228 According to the data in Tables 2 and 3, the indicators reflecting the economic benefits,  
 229 environmental load, and sustainability of the integrated system are calculated. The detailed results  
 230 are shown in Table 4.



231  
 232 **Fig. 3** Emergy flow chart of the CEMIPB

**Table 2** Upstream energy analysis of the CEMIPB

Category	Item	Unit	Data	RNF	UEV (sej/unit)	Reference for UEV	Emergy (sej)	Import/Sale price (\$)
Local renewable energy (R)								
	Sun	J	4.90E+14	1.00	1.00E+00		4.90E+14	
	Rain	J	1.14E+12	1.00	3.10E+04	Odum(1996)	3.54E+16	
	Wind	J	6.30E+11	1.00	2.45E+03	Odum(1996)	1.54E+15	
Local non-renewable energy (N)								
	Topsoil loss	J	1.98E+11	0.00	1.24E+05	Brandt-Williams (2002)	2.45E+16	
	Groundwater	J	1.18E+10	0.00	2.45E+05	Wang et al. (2015)	2.89E+15	
Purchased energy (F)								
Building materials								
	Steel	g	1.16E+06	0.05	1.13E+10	Brown and Ulgiati (2002)	1.31E+16	7.13E+02
	Brick	g	1.20E+07	0.05	2.87E+09	Odum(1996)	3.43E+16	2.94E+02
	Concrete	g	1.73E+07	0.05	1.68E+09	Brown and Ulgiati (2002)	2.91E+16	3.20E+02
	Glass	g	3.10E+05	0.05	3.83E+07	Ulgiati and Brown (2002)	1.19E+13	3.81E+01
Feed								
	Corn	g	2.03E+08	0.21	2.09E+09	Wu et al. (2013)	4.22E+17	4.98E+04
	Soybean meal	g	2.03E+07	0.21	3.32E+09	Wu et al. (2013)	6.72E+16	6.22E+03
	Wheat bran	g	5.06E+07	0.21	3.03E+09	Wu et al. (2013)	1.53E+17	6.22E+03

Rapeseed meal	\$	8.92E+03	0.21	9.86E+12	Yang et al. (2010)	8.80E+16	8.92E+03
Cottonseed meal	\$	6.69E+03	0.21	9.86E+12	Yang et al. (2010)	6.60E+16	6.69E+03
Purchased silage corn	J	3.93E+12	0.21	1.90E+04	this work	7.48E+16	2.68E+04
Purchased dry alfalfa	J	4.77E+11	0.21	8.92E+04	this work	4.25E+16	1.88E+04
Technology							
Mechanics	\$	1.33E+04	0.13	9.86E+12	Yang et al. (2010)	1.31E+17	1.33E+04
Pesticides	\$	1.60E+03	0.05	9.86E+12	Yang et al. (2010)	1.57E+16	1.60E+03
Seed	\$	1.87E+03	0.25	9.86E+12	Yang et al. (2010)	1.84E+16	1.87E+03
Labor	\$	4.30E+03	0.05	9.86E+12	Yang et al. (2010)	4.24E+16	4.30E+03
Veterinary Medicine	\$	3.93E+03	0.05	9.86E+12	Yang et al. (2010)	3.88E+16	3.93E+03
Equipment maintenance	\$	5.78E+02	0.05	9.86E+12	Yang et al. (2010)	5.70E+15	5.78E+02
Energy and fertilizers							
diesel	J	1.70E+11	0.05	1.11E+05	Odum (1996)	1.89E+16	2.40E+03
Nitrogen fertilizer (N)	J	1.87E+06	0.05	6.38E+09	Odum (1996)	1.19E+16	7.49E+02
Phosphate fertilizer (P <sub>2</sub> O <sub>5</sub> )	J	1.56E+06	0.05	6.55E+09	Odum (1996)	1.02E+16	1.53E+03
Potash fertilizer(K <sub>2</sub> O)	J	2.15E+06	0.05	1.85E+09	Odum (1996)	3.98E+15	1.10E+03
Output emergy (Y)							
Milk	J	3.66E+12		1.70E+06	Bastianoni and Marchettini (2000)	6.22E+18	6.27E+05
Electricity	J	1.05E+11		2.87E+05	Brown and Ulgiati (2002)	3.03E+16	2.69E+03
Organic fertilizer	J	3.32E+08		4.54E+06	Yang et al. (2012)	1.51E+15	2.27E+04

**Table 3** Downstream emergy analysis of the CEMIPB

Emissions	Discharge area	Data (g)	DALY/g	PDF%/g	Acceptable concentration(kg/m <sup>3</sup> )	Ecological services(sej)	Emergy equivalent loss(sej)
Carbon dioxide	Air	2.85E+08	2.10E-10	-	-	-	5.90E+15
Particulates, < 2.5 um	Air	2.06E+05	7.00E-07	-	3.50E-08	2.95E+13	1.42E+16
Ozone	Air	3.94E+02	-	-	1.60E-07	1.24E+10	-
Methane <sup>a</sup>	Air	2.21E+07	4.40E-09	-	-	-	9.58E+15
Methane <sup>b</sup>	Air	2.21E+07	1.28E-11	-	-	-	2.79E+13
Ammonia	Air	6.30E+05	8.50E-08	1.56E-02	-	-	7.08E+15
Particulates, > 2.5 um, and < 10um	Air	1.12E+05	3.75E-07	-	7.00E-08	8.03E+12	4.14E+15
Sulfur dioxide	Air	9.83E+05	5.46E-08	1.04E-03	6.00E-08	8.22E+13	5.48E+15
Nitrogen oxides	Air	8.96E+05	8.87E-08	5.71E-03	5.00E-08	9.00E+13	8.78E+15
Carbon monoxide	Air	6.28E+05	-	-	4.00E-09	7.88E+14	-
solids waste	Solid waste	2.38E+07	-	-	-	-	8.76E+11
Cadmium	Water	4.56E+02	7.12E-05	4.80E-01	5.00E-06	7.52E+16	3.25E+15
Chromium	Water	2.53E+03	-	-	5.00E-05	4.16E+16	-
Copper	Water	1.02E+04	-	-	1.00E-03	8.42E+15	-
Nickel	Water	6.79E+03	-	-	2.00E-05	2.80E+17	-

Zinc	Water	1.98E+04	-	-	1.00E-03	1.63E+16	-
Lead	Water	1.13E+03	-	7.39E-03	1.00E-05	4.61E+11	1.53E+12
COD	Water	9.59E+05	-	-	3.00E-03	2.63E+17	-
Cyanide	Water	6.99E+01	4.60E-08	-	5.00E-05	1.15E+15	3.17E+11
Mercury	Water	2.21E+01	-	-	1.00E-06	1.82E+16	-
Aluminium	Water	3.20E+05	-	-	2.00E-04	1.32E+18	-
Arsenic	Water	1.05E+03	6.57E-05	1.14E-02	1.00E-05	4.28E+11	6.80E+15

235 <sup>a</sup>The damage category is climate change. <sup>b</sup>The damage category is respiratory tract damage

236

237 **Table 4** Comparative analysis of EM-LCA and EMA indicators results

Indicators	Unit	EM-LCA	EMA
R	sej	3.54E+16	3.54E+16
N	sej	2.74E+16	2.74E+16
F	sej	1.29E+18	1.29E+18
FR	sej	2.25E+17	2.25E+17
FN	sej	1.07E+18	1.07E+18
Y	sej	6.25E+18	6.25E+18
Y <sub>m</sub>	sej	3.18E+16	-
K	sej	1.18E+17	-
ES <sub>air</sub>	sej	7.88E+14	-
ES <sub>water</sub>	sej	2.80E+17	-
EL	sej	6.52E+16	-
EYR	-	3.83	4.85
ELR	-	5.51	4.20
ESI	-	0.69	1.16
CUE	-	0.09	-
EER <sub>Y</sub>	-	1.03	1.03
EER <sub>I</sub>	-	0.84	-
EISD	-	0.60	1.19

238

239 **Comparative analysis of EYR**

240 EYR is an important indicator to reflect the economic output capacity of a system. The higher  
241 the EYR value, the more emergy the system outputs under a specific external emergy input.  
242 Therefore, EYR can be used to judge the industrial benefits and competitiveness of the CEMIPB.  
243 The EMA model only focuses on social and economic benefits, whereas the EM-LCA model  
244 incorporates ecological service benefits. Therefore, theoretically, the EYR value of the former  
245 should be higher than that of the latter. As analyzed in Table 4, the EYR indicator (4.85) of the  
246 EMA model is 26.84% higher than that of the EM-LCA model (3.83). The large difference in  
247 results indicates that despite agricultural wastes having been used in multiple stages, the  
248 environmental cost and emergy damage caused by pollutants remain large, and further work is  
249 needed to reduce the total pollutants emissions in the life cycle of the system. However, the values  
250 of the two models are higher than the EYR (1.18) of China's agricultural production system in  
251 2015 (Liu et al., 2019), indicating that the CEMIPB can fully develop local resources and has

252 good industrial benefits and competitiveness.

### 253 **Comparative analysis of ELR**

254 ELR is an indicator that reflects the degree of environmental impact of a system. The larger the  
255 value, the stronger the intensity of the use of non-renewable energy in the system and the higher  
256 the environmental load. According to Brown and Ulgiati (2004), as the ELR rises above 5 for a  
257 long time, the system will cause irreversible functional degradation of the environmental system  
258 due to excessive stress on the surrounding environment. As analyzed in Table 4, the ELR  
259 indicators of the EMA and the EM-LCA model are 4.20 and 5.51, respectively, indicating that the  
260 model is highly dependent on non-renewable resources and the system has caused greater  
261 environmental pressure on the surroundings. Given that the EMA model does not consider the  
262 effect of pollutants downstream of the system on the environment, its value is 23.75% lower than  
263 that of the EM-LCA model, often leading to some cognitive misunderstandings for  
264 decision-makers because the EMA model cannot actually reflect the impact of system load on the  
265 environment. The EM-LCA model only compensates for the defects of the EMA model in this  
266 respect. Consequently, from the perspective of reflecting the environmental load, the EM-LCA  
267 model has obvious advantages.

### 268 **Comparative analysis of EER**

269 In the EMA model, the output energy exchange ratio ( $EER_Y$ ) is used to evaluate the energy  
270 balance between the two parties in the transaction when the system product is sold (Odum, 1996).  
271 However, a comprehensive assessment of the impact of market exchange on the system requires  
272 consideration of both energy exchange ratios of system input and output. Therefore, in the  
273 EM-LCA model, the input energy exchange ratio ( $EER_I$ ) is introduced, which is the energy  
274 exchange rate for the purchase of production materials (Lu et al. 2009). A fair transaction should  
275 make  $EER_I$  and  $EER_Y$  equal to 1, that is, both sides reach trade equality. According to Table 4, the  
276  $EER_I$  indicator of the system is 0.80, which is less than 1. This finding shows that the energy  
277 equivalent to the currency paid is higher than the actual energy when purchasing external  
278 resources, that is, 16% of the equivalent energy of the payment currency is lost in the process of  
279 purchasing production materials. For the system output, the  $EER_Y$  indicator is 1.03, which is  
280 approximately equal to 1, indicating that the equivalent energy value of the currency obtained in  
281 the sale of the products is approximately equal to the actual energy value of the products; that is,  
282 both parties in the transaction have reached trade equality, and the market price of milk represents  
283 the true value of milk.

## 284 **Comprehensive utilization efficiency of wastes (CUE)**

285 CUE is a creative indicator to evaluate the system wastes recycling capacity, and it is included  
286 in the EM-LCA model. The indicator comprehensively evaluates the ability of waste utilization to  
287 support the operation of the system from the aspects of reducing input and increasing output. As  
288 shown in Table 4, the CUE indicator of the CEMIPB is 0.09, which shows that the sum of the  
289 proportion of reduced purchasing energy and increased output energy is approximately 9%. It  
290 realizes the multi-level utilization of energy and enhances the self-sufficiency of the system,  
291 which are conducive to the sustainable development of the system. From the perspective of wastes  
292 utilization, this indicator can provide additional valuable information for decision-makers by  
293 evaluating the self-organizing ability of the integrated circular models in different natural regions.

## 294 **Comparative analysis of ESI**

295 ESI is an indicator that characterizes the sustainability of the system. Generally, when the ESI is  
296 greater than 1, the production process of the system is sustainable (Odum 1996). The higher the  
297 ESI, the greater the social and economic benefits, and the higher the sustainability level of the  
298 system. According to the analysis in Table 4, the ESI indicator of the EM-LCA model is 0.69, and  
299 its value is less than 1, indicating that the sustainability level of the system is low. The ESI  
300 indicator of the EMA model is 1.16, and its value is greater than 1, indicating that the  
301 sustainability level of the system is high. The two models come to the opposite conclusion. Given  
302 that the EMA model ignores the energy damage and ecological service benefits caused by  
303 pollutants downstream of the system, the results of system sustainability are high and inconsistent  
304 with the actual development level of the circular economy model. These findings also show that  
305 the downstream ecological services and energy damage have a considerable impact on the  
306 sustainability of the system and cannot be ignored. In addition, the ESI indicator does not reflect  
307 the contribution of the currency energy compensation environment to the system, that is, it does  
308 not consider the role of EER. Therefore, introducing EISD indicator for further explanation is  
309 necessary.

## 310 **Comparative analysis of EISD**

311 EISD is a composite evaluation indicator of the sustainable development performance of the  
312 system, which considers the social and economic benefits and the pressure of the ecological  
313 environment. The indicator takes the product of energy output ratio and energy exchange ratio as  
314 a numerator, which can effectively reflect the social and economic benefits of the system.  
315 Therefore, using EISD to evaluate the sustainability of the system is a comprehensive approach  
316 (Yang et al., 2020). The higher the EISD value, the more sustainable the system. The EISD

317 indicator of the EM-LCA model is 0.60, which is 49.71% lower than that of the EMA model  
318 (1.19). On the one hand, the EMA model only considers the energy exchange ratio at the output  
319 of the system and ignores the energy exchange ratio at the input of the system, leading to a high  
320 result (as seen in Table 4, the  $EER_1$  is less than 1). On the other hand, the EMA model only  
321 considers the environmental pressure caused by resource input and ignores the ecological service  
322 benefit and equivalent loss of energy generated downstream of the system, leading to further high  
323 evaluation results. The EISD indicator of the EM-LCA model makes up for the shortcomings of  
324 the EMA model, and its evaluation results can better reflect the actual sustainable development  
325 level of the system. Therefore, the EM-LCA model has greater advantages compared with the  
326 traditional EMA model.

## 327 **Optimization discussion**

### 328 **Key energy flow identification**

329 According to the above results, although the CEMIPB has realized the multi-level utilization of  
330 agricultural wastes, the sustainability of the system is low, and potential for further optimization  
331 remains. The energy flow input to the upstream of the system can be divided into three types,  
332 namely, local renewable energy flow (R), local non-renewable energy flow (N), and purchased  
333 energy flow (F). By quantifying the share of the above three types of energy flows in the total  
334 energy input, the key energy flows, i.e., those with a large proportion of weight, are identified.  
335 As analyzed in Table 2, the proportion of purchasing energy flow in EMA and EM-LCA model is  
336 much higher than that of the remaining main energy flows, accounting for 95.3% and 75.9%,  
337 respectively. Thus, the purchasing energy flow is the key energy flow that affects the level of  
338 sustainability development level of the system. To further analyze the critical substances that are  
339 the key substances affecting the sustainable development of the system in the purchasing energy  
340 flow, sensitivity analysis is introduced for further analysis and discussion.

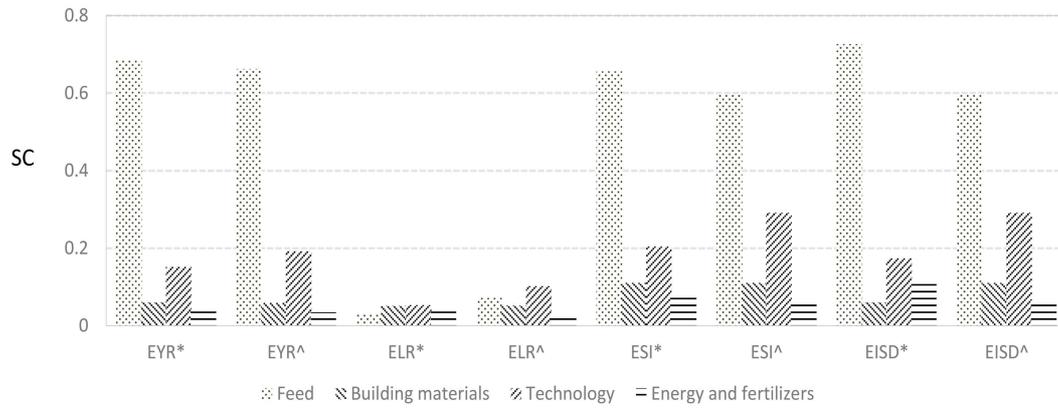
### 341 **Sensitivity analysis**

342 Sensitivity analysis is a method that reflects the change degree of relevant indicators through  
343 changes in input data. Sensitivity analysis is used to diagnose and identify critical substances in  
344 the system with reference to ISO14040 (2006) (Eq. (10)).

$$345 \quad SC = \left| \frac{(EE_2 - EE_1) / EE_1}{(C_2 - C_1) / C_1} \right| \quad (10)$$

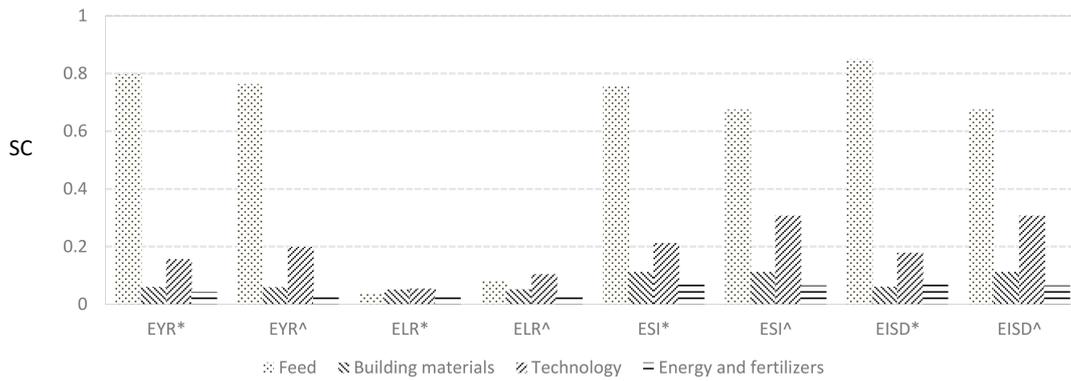
346 SC is the sensitivity coefficient,  $C_1$  and  $C_2$  are the values before and after the change of main  
347 parameters, and  $EE_1$  and  $EE_2$  are the corresponding indicator values before and after the change of

348 main parameters. The sensitivity of each key indicator is calculated according to Eq. (10), and the  
 349 analysis results are shown in Table 5 and Figs. 4 and 5.



350  
 351 **Fig. 4** Impact of 10% energy flow increase on key indicators (\* represents the EM-LCA model; ^  
 352 represents the EMA model)

353



354  
 355 **Fig. 5** Impact of 10% energy flow decrease on key indicators (\* represents the EM-LCA model; ^  
 356 represents the EMA model)

357

358 **Table 5** Impact of energy flow on key indicators after increasing and decreasing by 10%

Item	EM-LCA				EMA			
	EYR	ELR	ESI	EISD	EYR	ELR	ESI	EISD
10% increase								
Feed	6.87%↓	0.32%↓	6.57%↓	7.26%↓	6.63%↓	0.72%↓	5.95%↓	5.95%↓
Building materials	0.60%↓	0.51%↑	1.10%↓	0.60%↓	0.59%↓	0.52%↑	1.10%↓	1.10%↓
Technology	1.52%↓	0.54%↑	2.04%↓	1.74%↓	1.92%↓	1.02%↑	2.91%↓	2.91%↓
Energy and fertilizers	0.42%↓	0.37%↑	0.79%↓	1.08%↓	0.35%↓	0.30%↑	0.65%↓	0.65%↓
10% reduction								
Feed	7.96%↑	0.37%↑	7.56%↑	8.50%↑	7.64%↑	0.83%↑	6.75%↑	6.75%↑
Building materials	0.61%↑	0.51%↓	1.12%↑	0.61%↑	0.60%↑	0.52%↓	1.12%↑	1.12%↑
Technology	1.57%↑	0.55%↓	2.13%↑	1.79%↑	2.00%↑	1.04%↓	3.07%↑	3.07%↑
Energy and fertilizers	0.42%↑	0.37%↓	0.80%↑	0.82%↑	0.35%↑	0.30%↓	0.66%↑	0.66%↑

359

360 The analysis of Figs. 4 and 5 indicates that the main indicators of the CEMIPB have varying  
361 degrees of sensitivity to the four categories of purchasing energy. The classification of purchasing  
362 energy is shown in Table 3. According to the analysis in Table 5, when the energy flow of feed,  
363 technology, energy and fertilizers, and building materials increase by 10%, the EISD indicator of  
364 the EM-LCA model is decreased by 7.26%, 1.74%, 1.08%, and 0.60%, respectively, and the EISD  
365 indicator of the EMA model is decreased by 5.95%, 2.91%, 0.65%, and 1.10%, respectively. The  
366 overall sensitivity of the EM-LCA model is similar to the EMA model, but the sensitivity of the  
367 EM-LCA model to feed, energy, and fertilizers is slightly higher, and that of the EMA model for  
368 technology and building materials is slightly higher. The reason is that when a given material flow  
369 changes, the energy consumption (energy input) and the energy loss caused by indirect  
370 emissions (emissions from extraction, production, and transportation) change at the same time, but  
371 their change ranges are not the same. The EMA model only considers the change in energy  
372 consumption, whereas the EM-LCA model also considers the changes in both and considers the  
373 influence factors of the input market transaction. Therefore, the EM-LCA model can reflect the  
374 real sensitivity of the materials and is more conducive to identifying the key substances restricting  
375 the sustainable development of the system. The purpose of adopting agricultural circular economy  
376 system is to promote regional sustainable development. Therefore, EISD is used as the key  
377 indicator to analyze the sensitivity of the input changes of four types of purchasing energy flow to  
378 it, and the sensitivity results in turn are feed > technology > energy and fertilizers > building  
379 materials.

380 The above analysis indicates that feed energy flow and technology energy flow are two highly  
381 sensitive energy flows, with corn having the highest share. According to Eq. (10), when corn  
382 increases by 10%, EYR, ELR, ESI, and EISD in the EM-LCA model are decreased by 3.42%,  
383 0.01%, 3.41%, and 3.32%, respectively, whereas EYR, ELR, ESI, and EISD in the EMA model  
384 are decreased by 3.18%, 0.34%, 2.84%, and 2.84%, respectively. By analogy, the sensitivity of  
385 each material flow in feed and technology can be analyzed, and the order of material sensitivity is  
386 as follows: corn > labor force > veterinary drugs > others. Therefore, corn, labor force, and  
387 veterinary drugs are the key substances that restrict the sustainable development of the CEMIPB.

### 388 **Optimization suggestions**

389 Based on the above analysis, according to the position of key substances in the system and  
390 combined with the “3R” principle, the following optimization scenario suggestions are proposed.

391 Scenario I: how can the source reduction of corn feed input be realized? One effective way is to  
392 improve the total yield of corn by optimizing the way that corn is grown. Gou (2017) suggested  
393 that corn and wheat had the advantage of intercropping, and the yield of corn was 49% higher than  
394 maize alone if sown together. Zhang et al. (2012b) suggested that maize soybean intercropping

395 could significantly increase the content of protein, oil, and lysine in grain and improve the enzyme  
396 activity in soil. In addition, Zhao (2020) concluded that grinding the harvested corn cob into corn  
397 cob powder and adding it into corn feed could effectively reduce the amount of corn input, and the  
398 crude fiber in the corn cob was conducive to the normal activity of the digestive tract function of  
399 cows. According to the case analysis in the paper, mixing corn cob meal in corn feed can reduce  
400 corn demand by 12.5%. In the EM-LCA model, the indicators EYR and EISD are increased by  
401 4.86% and 37.32%, and ELR is reduced by 23.73%. Therefore, the above measures can effectively  
402 improve crop yield and feed quality, reducing the feed input of breeding system and improving the  
403 intensive utilization rate of local land.

404 Scenario II: how can the cost of labor and veterinary drugs be effectively reduced? The  
405 application of Internet-of-Things (IoT) technology to breeding subsystem can greatly reduce labor  
406 costs and improve work efficiency (Yuan 2019). The automatic management of dairy farming  
407 realizes the automatic feeding and milking of dairy cows, reducing the cost of labor and dairy  
408 farming, and tracing the source of each cow. In addition, the reduction of veterinary drugs can be  
409 achieved by optimizing the production mode. Qin (2019) believed that strengthening the feeding  
410 management in the perinatal period is an important measure to improve the immunity of dairy  
411 cows and reduce the use of veterinary drugs. Such feeding management includes the selection of  
412 dairy feed, the preparation of diet, feeding methods, and daily management. Therefore,  
413 introducing IoT technology and improving its feed structure can effectively reduce labor and  
414 veterinary drug costs.

415 Scenario III: Although the sensitivity of energy and fertilizers is not very high, the reason for  
416 this finding is that the feedback materials generated by the recycling of wastes replace a large  
417 proportion of energy and chemical fertilizers, resulting in low sensitivity of energy and fertilizers.  
418 Therefore, it is necessary to take corresponding measures to further enhance recycling efficiency.  
419 Based on the case study, the wastes utilization subsystem can be operated at full capacity by  
420 purchasing agricultural wastes such as straw and manure nearby, and the planting scale of alfalfa  
421 and silage corn should be expanded to fully use feedback materials. The results show that EYR  
422 and EISD in EM-LCA model are increased by 2.66% and 46.36%, respectively, while ELR is  
423 reduced by 10.48%, optimizing the effect of wastes recycling. Therefore, by combining the actual  
424 situation of the system and adopting the above approaches, the economy and sustainable  
425 development capability of the system will be further improved.

## 426 **Conclusions**

427 The main contribution of this paper is to construct a methodology system for the evaluation and

428 optimization of the CEMIPB based on the EM-LCA model and the “3R” principle. Compared with  
429 the traditional EMA model, the EM-LCA takes the ecological service energy and energy loss  
430 into the indicators system and considers the impact of the input-output market transactions on  
431 system sustainability. The construction of these indicators and methods enables EM-LCA model  
432 to evaluate and compare the same system with the EMA model in a quantitative way.

433 Through the comparative analysis of key indicators, the EM-LCA model not only considers the  
434 ecological service benefits of the downstream system but also considers the energy equivalent  
435 loss caused by pollutant emissions. Therefore, compared with the EMA, its value can reflect the  
436 actual impact of the system on the surrounding environment. In addition, the sensitivity analysis of  
437 the two models to the same system shows that the sensitivity of the EMA model and EM-LCA  
438 models to the main energy flows of the system are similar, but the sensitivity of specific given  
439 energy flow is different. The reason is that the EMA model only considers the impact of changes  
440 in energy flow on energy consumption, whereas the EM-LCA model also considers the impact of  
441 changes in energy flow on environmental emissions and import market trade. Therefore, the  
442 EM-LCA model has higher reliability, and its evaluation results are relatively more accurate with  
443 a lower degree of uncertainty.

444 The key material obtained by sensitivity analysis is an important object of system optimization.  
445 Based on the position of key substances in the system, this paper puts forward some optimization  
446 suggestions according to the “3R” principle, which provides the direction for the continuous  
447 improvement of the system. The construction of this methodological system can provide a new  
448 perspective for research in similar fields. An increasing number of experts and scholars are  
449 expected to pay more attention to this methodological system and continue to enrich and improve  
450 it.

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452 curation, Formal analysis, Writing - original draft, Visualization, Writing - review & editing. Yujie  
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454 curation, Formal analysis, Writing - review & editing. Xueliang Yuan: Data curation, Visualization.  
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457

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461

462 **Data availability** The datasets used and/or analysed during the current study are available from  
463 the corresponding author on reasonable request.

## 464 **Compliance with ethical standards**

465 **Competing interests** The authors declare that they have no known competing financial interests  
466 or personal relationships that could have appeared to influence the work reported in this paper.

467

468 **Ethical approval and consent to participate** Not applicable.

469

470 **Consent to publish** Not applicable.

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# Figures

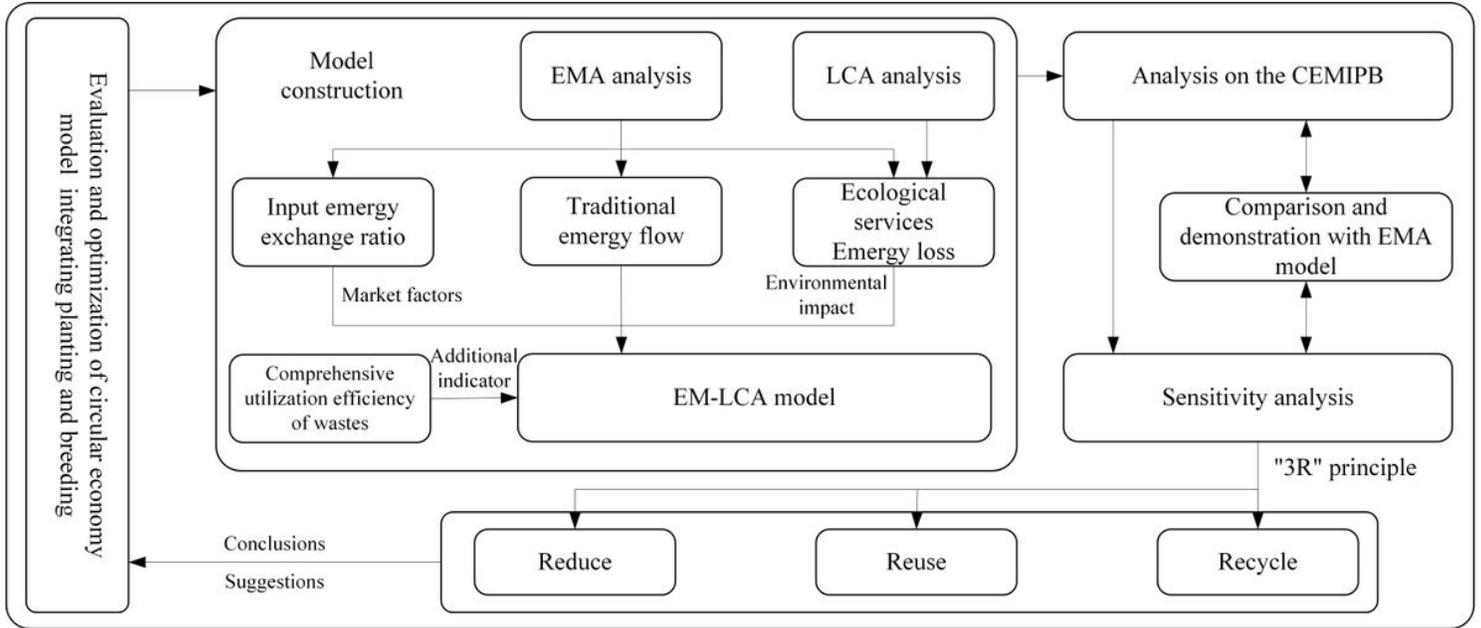


Figure 1

Roadmap of research methodology for CEMIPB

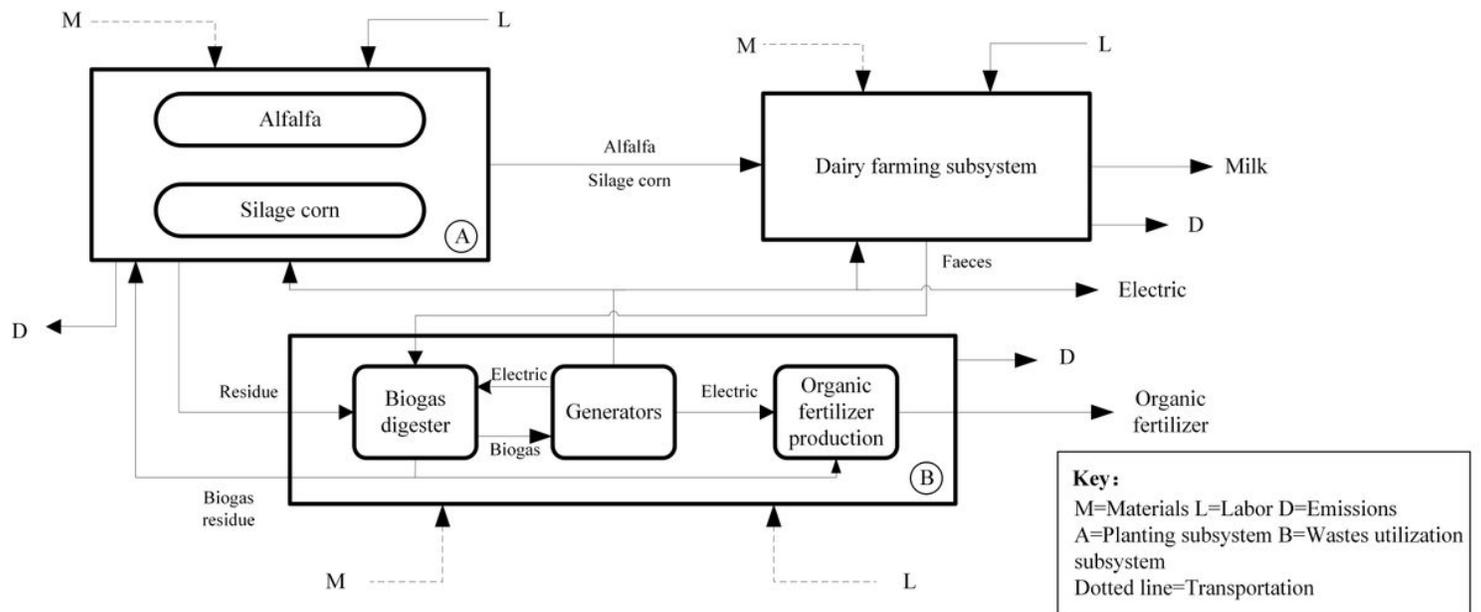
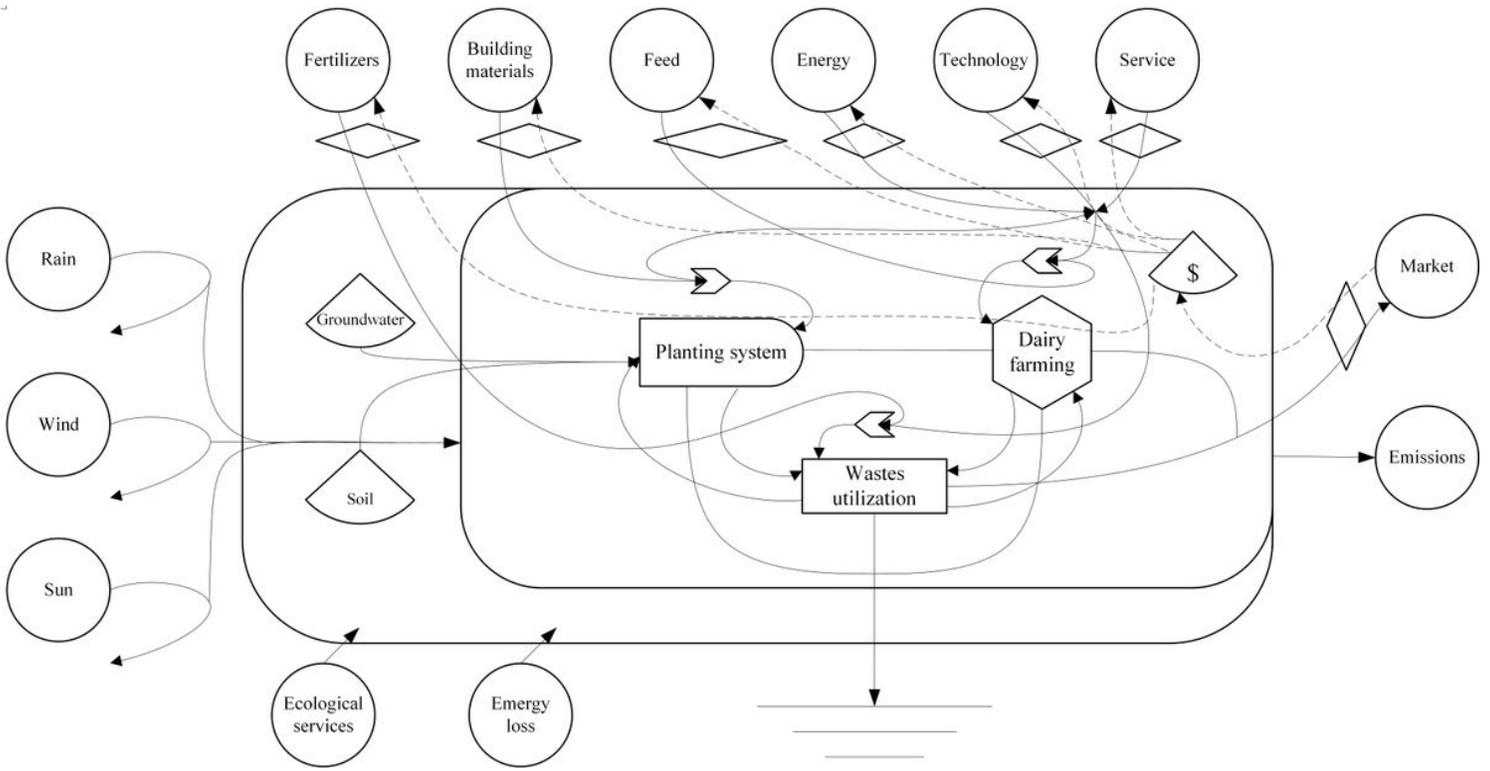


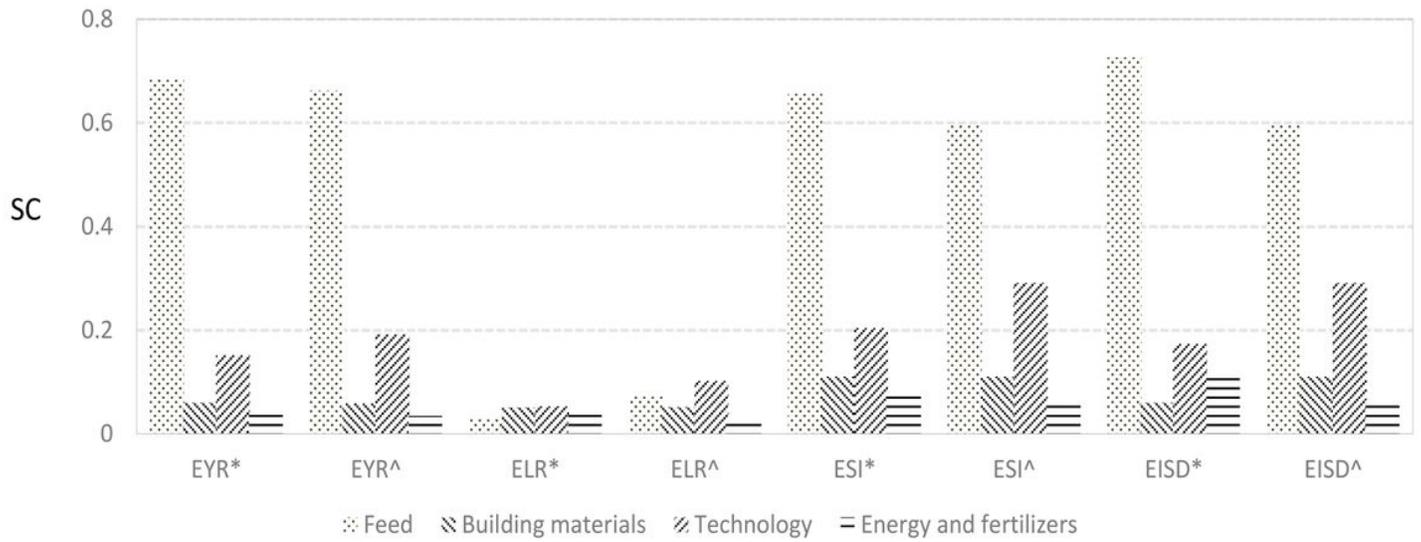
Figure 2

Flow chart of the CEMIPB



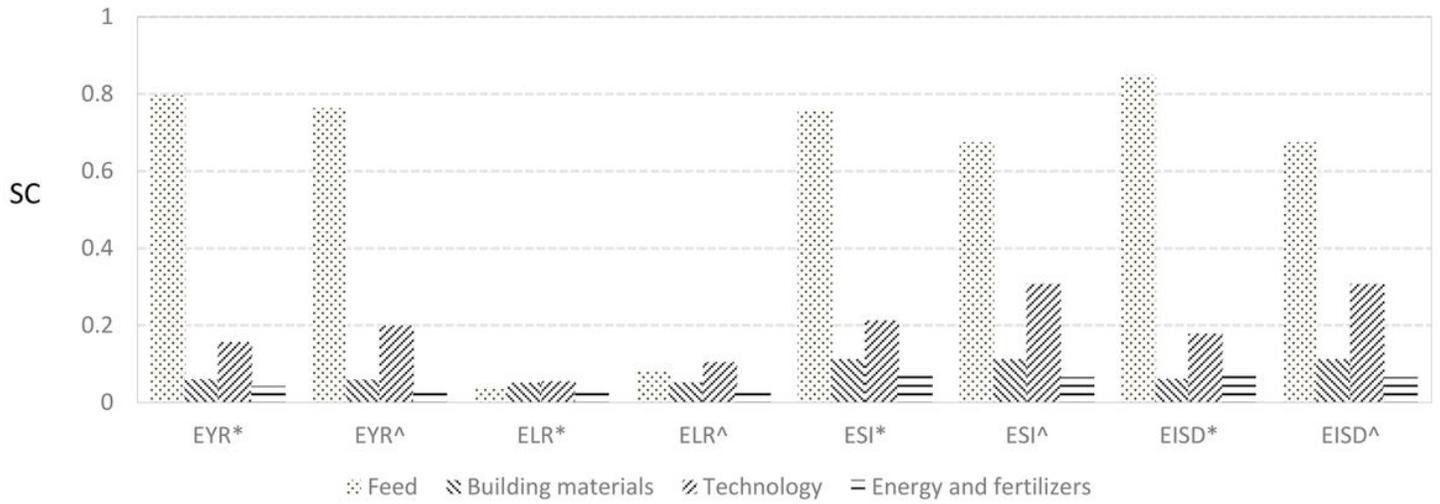
**Figure 3**

Energy flow chart of the CEMIPB



**Figure 4**

Impact of 10% energy flow increase on key indicators (\* represents the EM-LCA model; ^ represents the EMA model)



**Figure 5**

Impact of 10% energy flow decrease on key indicators (\* represents the EM-LCA model; ^ represents the EMA model)