

# CD8 T cells compensate for impaired humoral immunity in COVID-19 patients with hematologic cancer

**Erin Bange**

University of Pennsylvania

**Nicholas Han**

University of Pennsylvania <https://orcid.org/0000-0003-1410-9931>

**E. Paul Wileyto**

University of Pennsylvania

**Justin Kim**

University of Pennsylvania <https://orcid.org/0000-0003-0774-8137>

**Sigrid Gouma**

University of Pennsylvania <https://orcid.org/0000-0002-7853-8340>

**James Robinson**

University of Pennsylvania

**Allison Greenplate**

University of Pennsylvania

**Florence Porterfield**

University of Pennsylvania

**Olutosin Owoyemi**

University of Pennsylvania

**Karan Naik**

University of Pennsylvania

**Cathy Zheng**

University of Pennsylvania <https://orcid.org/0000-0002-0092-5463>

**Michael Galantino**

University of Pennsylvania

**Ariel Weisman**

University of Pennsylvania <https://orcid.org/0000-0002-7187-304X>

**Carolin Ittner**

University of Pennsylvania

**Emily Kugler**

University of Pennsylvania

**Amy Baxter**

UPenn <https://orcid.org/0000-0002-1555-0713>

**Madison Weirick**

University of Pennsylvania

**Christopher McAllister**

University of Pennsylvania

**Ngolela Esther Babady**

Memorial Sloan Kettering Cancer Center

**Anita Kumar**

Memorial Sloan Kettering

**Adam Widman**

Memorial Sloan Kettering Cancer Center

**Susan Dewolf**

Memorial Sloan Kettering

**Sawsan Boutemine**

Memorial Sloan Kettering

**Charlotte Roberts**

University of Pennsylvania

**Krista Budzik**

University of Pennsylvania

**Susan Tollett**

University of Pennsylvania

**Carla Wright**

University of Pennsylvania

**Tara Perloff**

University of Pennsylvania

**Lova Sun**

University of Pennsylvania

**Divij Mathew**

University of Pennsylvania <https://orcid.org/0000-0002-8323-7358>

**Josephine Giles**

University of Pennsylvania

**Derek Oldridge**

University of Pennsylvania <https://orcid.org/0000-0003-2177-5633>

**Jennifer Wu**

University of Pennsylvania

**Cecile Alanio**

University of Pennsylvania <https://orcid.org/0000-0003-2785-7445>

**Sharon Adamski**

University of Pennsylvania

**Laura Vella**

Children's Hospital of Philadelphia

**Samuel Kerr**

University of Pennsylvania

**Justine Cohen**

Massachusetts General Hospital

**Randall Oyer**

University of Pennsylvania <https://orcid.org/0000-0001-7554-4166>

**Ryan Massa**

University of Pennsylvania

**Ivan Maillard**

University of Pennsylvania

**Kara Maxwell**

University of Pennsylvania <https://orcid.org/0000-0001-8192-4202>

**Peter Maslak**

MSKCC

**Robert Vonderheide**

University of Pennsylvania

**Jedd D. Wolchok**

Memorial Sloan Kettering Cancer Center <https://orcid.org/0000-0001-6718-2222>

**Scott Hensley**

University of Pennsylvania <https://orcid.org/0000-0002-2928-7506>

**E. Wherry**

University of Pennsylvania <https://orcid.org/0000-0003-0477-1956>

**Nuala Meyer**

UPenn

**Angela DeMichele**

University of Pennsylvania Perelman School of Medicine <https://orcid.org/0000-0003-1297-4251>

**Oluwatosin Oniyide**

University of Pennsylvania

**Roseline Agyekum**

University of Pennsylvania

**Thomas Dunn**

University of Pennsylvania

**Tiffanie Jones**

University of Pennsylvania Perelman School of Medicine

**Heather Giannini**

University of Pennsylvania

**Alfred Garfall**

University of Pennsylvania

**John Reilly**

UPenn

**Santosha Vardhana**

Memorial Sloan Kettering

**Ronac Mamtani**

University of Pennsylvania

**Alexander Huang** (✉ [alexander.huang@penmedicine.upenn.edu](mailto:alexander.huang@penmedicine.upenn.edu))

University of Pennsylvania <https://orcid.org/0000-0002-0099-0492>

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## Article

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1 **CD8 T cells compensate for impaired humoral immunity in COVID-19 patients with**  
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4 Erin M. Bange\*<sup>1,2</sup>, Nicholas A. Han\*<sup>1,3</sup>, Paul Wileyto<sup>2,7</sup>, Justin Y. Kim<sup>1,3</sup>, Sigrid Gouma<sup>16</sup>, James  
5 Robinson<sup>2</sup>, Allison R. Greenplate<sup>3,13</sup>, Florence Porterfield<sup>1</sup>, Olutosin Owoyemi<sup>1</sup>, Karan Naik<sup>1</sup>, Cathy  
6 Zheng<sup>2</sup>, Michael Galantino<sup>2</sup>, Ariel R. Weisman<sup>9</sup>, Caroline A.G. Ittner<sup>9</sup>, Emily M. Kugler<sup>1</sup>, Amy E.  
7 Baxter<sup>3,13</sup>, Olutwatosin Oniyide<sup>9</sup>, Roseline S. Agyekum<sup>9</sup>, Thomas G. Dunn<sup>9</sup>, Tiffanie K. Jones<sup>9</sup>, Heather  
8 M. Giannini<sup>9</sup>, Madison E. Weirick<sup>16</sup>, Christopher M. McAllister<sup>16</sup>, N. Esther Babady<sup>5,6</sup>, Anita Kumar<sup>5</sup>, Adam  
9 J Widman<sup>5</sup>, Susan DeWolf<sup>9</sup>, Sawsan R Boutemine<sup>5</sup>, Charlotte Roberts<sup>2</sup>, Krista R Budzik<sup>2</sup>, Susan Tollett<sup>2</sup>,  
10 Carla Wright<sup>2</sup>, Tara Perloff<sup>2,11</sup>, Lova Sun<sup>1,2</sup>, Divij Mathew<sup>3,13</sup>, Josephine R. Giles<sup>3,13,15</sup>, Derek A.  
11 Oldridge<sup>3,14</sup>, Jennifer E. Wu<sup>3,13,15</sup>, Cécile Alanio<sup>3,13,15</sup>, Sharon Adamski<sup>3,13</sup>, Alfred L. Garfall<sup>1,2</sup>, Laura  
12 Vella<sup>17</sup>, Samuel J. Kerr<sup>2,12</sup>, Justine V. Cohen<sup>2,11</sup>, Randall A. Oyer<sup>2,12</sup>, Ryan Massa<sup>1,2,10</sup>, Ivan P. Maillard<sup>1,2</sup>,  
13 The UPenn COVID Processing Unit, Kara N. Maxwell<sup>1,2</sup>, John P. Reilly<sup>9</sup>, Peter G. Maslak<sup>5,6</sup>, Robert H.  
14 Vonderheide<sup>2,3,15</sup>, Jedd D. Wolchok<sup>4,5</sup>, Scott E. Hensley<sup>3,16</sup>, E. John Wherry<sup>3,13,15</sup>, Nuala Meyer<sup>3,9</sup>, Angela  
15 M. DeMichele<sup>1,2</sup>, Santosha A. Vardhana<sup>±\*4,5,15</sup>, Ronac Mamtani<sup>±\*1,2</sup>, Alexander C. Huang<sup>±\*1,2,3,15</sup>

16 <sup>1</sup> Division of Hematology/Oncology, Department of Medicine, Perelman School of Medicine, University of  
17 Pennsylvania

18 <sup>2</sup> Abramson Cancer Center, University of Pennsylvania

19 <sup>3</sup> Institute for Immunology, Perelman School of Medicine, University of Pennsylvania

20 <sup>4</sup> Human Oncology and Pathogenesis Program, Memorial Sloan Kettering Cancer Center

21 <sup>5</sup> Department of Medicine, Memorial Sloan Kettering Cancer Center

22 <sup>6</sup> Department of Laboratory Medicine, Memorial Sloan Kettering Cancer Center

23 <sup>7</sup> Department of Biostatistics, Epidemiology, and Informatics, Perelman School of Medicine, University of  
24 Pennsylvania

25 <sup>8</sup> Division of Hematology/Oncology, Department of Medicine, Perelman School of Medicine, Presbyterian  
26 Hospital

27 <sup>9</sup> Division of Pulmonary and Critical Care, Department of Medicine, Perelman School of Medicine,  
28 University of Pennsylvania

29 <sup>10</sup> Division of Hematology/Oncology, Department of Medicine, Perelman School of Medicine, Presbyterian  
30 Hospital

31 <sup>11</sup> Division of Hematology/Oncology, Department of Medicine, Perelman School of Medicine,  
32 Pennsylvania Hospital

33 <sup>12</sup> Division of Hematology/Oncology, Department of Medicine, Lancaster General Hospital

34 <sup>13</sup> Department of Systems Pharmacology and Translational Therapeutics, Perelman School of Medicine,  
35 University of Pennsylvania

36 <sup>14</sup> Department of Pathology and Laboratory Medicine, Perelman School of Medicine, University of  
37 Pennsylvania

38 <sup>15</sup> Parker Institute for Cancer Immunotherapy

39 <sup>16</sup> Department of Microbiology, Perelman School of Medicine, University of Pennsylvania

40 <sup>17</sup> Department of Pediatrics, Perelman School of Medicine, Children's Hospital of Philadelphia

41  
42 \* These authors contributed equally to this work

43  
44 ± Co-Corresponding author(s): Address correspondence to S.V. ([vardhans@mskcc.org](mailto:vardhans@mskcc.org)), R.M.  
45 ([ronac.mamtani@penmedicine.upenn.edu](mailto:ronac.mamtani@penmedicine.upenn.edu)), or A.C.H. ([alexander.huang@penmedicine.upenn.edu](mailto:alexander.huang@penmedicine.upenn.edu))

46

47 **Abstract**

48 Cancer patients have increased morbidity and mortality from Coronavirus Disease 2019  
49 (COVID-19), but the underlying immune mechanisms are unknown. In a cohort of 100 cancer  
50 patients hospitalized for COVID-19 at the University of Pennsylvania Health System, we found  
51 that patients with hematologic cancers had a significantly higher mortality relative to patients  
52 with solid cancers after accounting for confounders including ECOG performance status and  
53 active cancer status. We performed flow cytometric and serologic analyses of 106 cancer  
54 patients and 113 non-cancer controls from two additional cohorts at Penn and Memorial Sloan  
55 Kettering Cancer Center. Patients with solid cancers exhibited an immune phenotype similar to  
56 non-cancer patients during acute COVID-19 whereas patients with hematologic cancers had  
57 significant impairment of B cells and SARS-CoV-2-specific antibody responses. High  
58 dimensional analysis of flow cytometric data revealed 5 distinct immune phenotypes. An  
59 immune phenotype characterized by CD8 T cell depletion was associated with a high viral load  
60 and the highest mortality of 71%, among all cancer patients. In contrast, despite impaired B cell  
61 responses, patients with hematologic cancers and preserved CD8 T cells had a lower viral load  
62 and mortality. These data highlight the importance of CD8 T cells in acute COVID-19,  
63 particularly in the setting of impaired humoral immunity. Further, depletion of B cells with anti-  
64 CD20 therapy resulted in almost complete abrogation of SARS-CoV-2-specific IgG and IgM  
65 antibodies, but was not associated with increased mortality compared to other hematologic  
66 cancers, when adequate CD8 T cells were present. Finally, higher CD8 T cell counts were  
67 associated with improved overall survival in patients with hematologic cancers. Thus, CD8 T  
68 cells likely compensate for deficient humoral immunity and influence clinical recovery of COVID-  
69 19. These observations have important implications for cancer and COVID-19-directed  
70 treatments, immunosuppressive therapies, and for understanding the role of B and T cells in  
71 acute COVID-19.

72 **Main Text**

73 Severe illness affects up to 20% of those hospitalized with Coronavirus Disease 2019 (COVID-  
74 19)<sup>1</sup> and is manifested by acute respiratory distress syndrome (ARDS), multi-organ failure,  
75 and/or death<sup>2</sup>. Severe disease has been linked to immune dysregulation, including deficiency in  
76 the production of type I and type III interferons<sup>3-5</sup>, marked lymphopenia<sup>6-10</sup>, and a paradoxical  
77 increase in pro-inflammatory cytokines, such as TNF $\alpha$ , IL-1 $\beta$ , and IL-6<sup>3, 6, 11-15</sup>. In addition,  
78 alteration of the lymphocyte compartments has been reported during COVID-19 with increases  
79 in activated CD4 and CD8 T cells<sup>15-18</sup>, skewing of CD8 T cells towards effector<sup>16, 17</sup> and  
80 exhausted phenotypes<sup>18</sup>, and increased differentiation of CD4 T cells towards the Th17  
81 lineage<sup>17, 19</sup>. Despite these marked alterations in their T cell compartment, COVID-19 patients  
82 have robust plasmablast responses<sup>15, 20</sup>, and the majority of patients generate IgM and IgG  
83 antibodies to SARS-CoV-2 over the course of disease<sup>20-22</sup>. More recently, integrated and multi-  
84 omic analyses have highlighted the tremendous heterogeneity of the human immune response  
85 to SARS-CoV-2, with distinct immunophenotypes that are associated with COVID-19 disease  
86 severity and disease trajectory<sup>5, 11, 12, 15, 16</sup>. Understanding how clinical features, particularly  
87 patient comorbidity, impact host immune responses to SARS-CoV-2 will elucidate determinants  
88 of immunotype and disease severity.

89 Cancer patients have an increased risk of severe illness from COVID-19<sup>23-26</sup> with an  
90 estimated case fatality rate of 25%<sup>27</sup> compared to 2.7% in the general population<sup>28</sup>. Importantly,  
91 cancer is a heterogeneous disease with even higher mortality rates reported for patients with  
92 particular subtypes of cancer. For example, several cohort and registry studies have  
93 demonstrated particularly poor outcomes among patients with hematologic cancers, with  
94 mortality rates as high as 55%<sup>23, 26, 29-37</sup>. However, it remains unknown whether the increased  
95 mortality by cancer subtype is independent of the confounding effects of other prognostic factors  
96 such as Eastern Cooperative Oncology Group (ECOG) performance status, active cancer

97 status, and cancer therapy. Further, data is limited on the immune landscape of cancer patients;  
98 whether components of cellular and humoral immunity are compromised, the impact of immune-  
99 modulating therapies such as B cell depleting therapy, and how these factors influence mortality  
100 in the setting of COVID-19 is also not known. To address these questions, we studied three  
101 cohorts of cancer patients with acute COVID-19 across two hospital systems to understand the  
102 immunologic determinants of COVID-19 mortality in cancer.

### 103 **Hematologic cancer is an independent risk factor of COVID-19 mortality**

104 To understand the clinical impact of COVID-19 on cancer patients, we first conducted a  
105 prospective multi-center observational cohort study of cancer patients hospitalized with COVID-  
106 19 (COVID-19 Outcomes in Patients with Cancer, COPE). Between April 28 and September 15  
107 2020, 114 patients with history of hematologic or solid tumor malignancy, and laboratory-  
108 confirmed SARS-CoV-2 infection or presumed COVID-19 diagnosis, were enrolled across 4  
109 hospitals in the University of Pennsylvania Health System. 14 patients were excluded from the  
110 analyses due to either low suspicion for COVID-19 infection, or benign tumor diagnosis. The  
111 median age of this cohort was 68 years, 52% were male, 54% Black, and 57% were current or  
112 former smokers (**Table 1**), reflecting the demographics of severe COVID-19<sup>38, 39</sup>. In terms of  
113 cancer-specific factors, 78% of patients had solid cancers, with prostate and breast cancers  
114 most prevalent; 46% had active cancer, defined as diagnosis or treatment within 6 months; and  
115 49% had a recorded ECOG performance status of 2 or higher (**Table 1**). During follow up, 48%  
116 of subjects required ICU level care, and 38% of patients died within 30 days of admission  
117 (**Table 2**), consistent with previously reported rates for severe COVID-19 in this population<sup>30, 34,</sup>  
118 <sup>37</sup>.

119 To understand key determinants of COVID-19 disease severity, we performed univariate  
120 analysis to identify factors associated with all-cause mortality within 30 days of discharge. We  
121 included relevant covariates, including patient factors such as age, race, gender, and smoking



122 history (ever versus never)<sup>2, 38–40</sup>; cancer-specific factors including ECOG performance status<sup>35</sup>,  
123 status of cancer (e.g., active versus remission)<sup>36, 36</sup>; cancer type (e.g., heme versus solid  
124 cancer)<sup>29, 34, 36, 41, 42</sup>; and cancer treatment<sup>26, 37</sup>. Increased mortality was significantly associated  
125 with prior or current smoking ( $p = 0.028$ ), poor ECOG performance (ECOG 3-4,  $p=0.001$ ), and  
126 active cancer status ( $p=0.024$ ) (**Fig. 1**). In addition, patients with hematologic cancers (mostly  
127 lymphoma and leukemia), appeared to have an increased risk of mortality relative to solid  
128 cancers (54% versus 33% respectively,  $p=0.075$ ) (**Table 3**). This is consistent with recent data  
129 showing increased disease severity and mortality in patients with hematologic malignancies<sup>23, 29,</sup>  
130 <sup>34–36, 41</sup>. Notably, cancer treatment, including cytotoxic chemotherapy, was not significantly  
131 associated with COVID-19 mortality, also consistent with published literature in patients with  
132 cancer<sup>29, 30, 34, 36, 41</sup>.

133 To determine whether the increased mortality observed in patients with hematologic  
134 malignancy was independent of potential confounding effects from smoking history, poor ECOG  
135 performance, and active cancer, which were not corrected for in the prior studies, we performed  
136 multivariable logistic regression. Patients with hematologic cancers tended to be younger, male,  
137 less likely to have coexisting comorbidities, and more likely to have received recent cytotoxic  
138 chemotherapy (**Supplemental Table 1**). In this fully adjusted analysis, hematologic malignancy  
139 was strongly associated with mortality, in comparison to solid cancer (OR 3.3, 95% CI 1.01-  
140 10.8,  $p=0.048$ ) (**Table 3**). Similar results were observed in time-to-event analyses using Kaplan  
141 Meyer methods (**Fig. 2a**, median overall survival (mOS) not reached for patients with solid  
142 cancers vs 47 days for patients with heme cancers,  $p$ -value=0.030) and Cox regression models  
143 (**Table 3**, HR 2.56, 95% CI 1.19-5.54,  $p=0.017$ ). Moreover, patients with hematologic cancers  
144 had higher levels of many inflammatory markers on admission laboratory testing, including  
145 ferritin, IL-6, and LDH (**Fig. 2b**). There were no significant differences in CRP, fibrinogen, D-  
146 dimer, lymphocyte counts, and neutrophil counts, while ESR was higher in patients with solid

147 cancer (**Extended Data Fig. 1 a,b**). Thus, hematologic malignancy was an independent risk  
148 factor of death, with signs of a dysregulated inflammatory response.

### 149 **Hematologic cancer patients have an impaired SARS-CoV2-specific antibody response.**

150 To understand the immune landscape in cancer patients, as compared to patients without  
151 cancer, we leveraged an observational study of hospitalized COVID-19 patients at the  
152 University of Pennsylvania Health System where blood was collected (MESSI-COVID)<sup>15</sup>. This  
153 analysis included 130 subjects with flow cytometric and/or serologic analysis. In particular, we  
154 focused on 22 subjects with active cancer (**Supplemental Tables 2, 3**), including patients  
155 undergoing cancer-directed therapies such as chemotherapy, immunotherapy, or B cell directed  
156 therapies (**Supplemental Table 4**). Age, gender, and race were similarly distributed in COVID-  
157 19 patients with active cancer and those without, and both groups had a similar timeframe of  
158 symptom onset and disease severity (**Fig. 3a, Supplemental Table 2**). However, cancer  
159 patients had a higher all-cause mortality (36.4% versus 11.1%, **Fig. 3a**), consistent with our  
160 COPE clinical cohort, and what has been reported in other cohorts of COVID-19 patients<sup>23, 26, 29,</sup>  
161 <sup>30</sup>.

162 As humoral immunity is critical for protective immunity against SARS-CoV-2, we  
163 hypothesized that a defect in SARS-CoV-2-specific antibodies may be associated with the  
164 increase in mortality seen in patients with active cancer. We assessed the levels of IgM and IgG  
165 antibodies that recognized the SARS-CoV-2 receptor binding domain (RBD), using an enzyme-  
166 linked immunosorbent assay (ELISA) based approach<sup>43, 44</sup>. Cancer patients had significantly  
167 decreased SARS-CoV-2-specific IgG and IgM responses compared to non-cancer patients  
168 (**Extended Data Fig. 2a**). These differences were not due to the timing of SARS-CoV-2  
169 infection as time from symptom onset was similar (**Supplemental Table 2**). As hematologic  
170 malignancies directly involve the lymphoid and myeloid immune compartments, we suspected  
171 that hematologic cancers may have an impaired humoral immunity against SARS-CoV-2.

172 Indeed, the vast majority of hematologic cancer patients (6/7) had IgM and IgG levels below the  
173 cutoff of positivity of 0.48 arbitrary units (**Fig. 3b, Extended Data Fig. 2b**). In contrast, those  
174 with solid cancers had IgG and IgM antibody responses that were more comparable to patients  
175 without cancer (**Fig. 3b**).

### 176 **A T cell-depleted immune phenotype is associated with COVID-19 mortality.**

177 Protective antibody responses require effective T cell and B cell responses. We therefore  
178 examined whether cancer patients had an altered cellular response to SARS-CoV-2. We first  
179 performed exploratory high-dimensional analysis on the lymphocyte compartment of 45 patients  
180 with COVID-19 including 37 non-cancer, 6 solid cancer, and 2 hematologic cancer patients.  
181 UMAP (Uniform Manifold Approximation and Projection) representation of 27-parameter flow  
182 cytometry data highlighted discrete islands of CD4 and CD8 T cells, and CD19+ B cells  
183 (**Extended Data Fig. 3a and Fig. 3c**). To understand whether there were major global  
184 differences in lymphocytes between solid, hematologic, and non-cancer patients, we used the  
185 Earth Mover's Distance (EMD) metric<sup>45</sup> to calculate the distance between the UMAP projections  
186 for every pair of patients. Clustering on EMD values identified 5 clusters of patients with similar  
187 lymphocyte profiles (**Fig. 3c**). Differences between these clusters of patients were driven by  
188 both the distribution (**Fig. 3d**) and phenotype (**Extended Data Fig. 3b and Fig. 3e**) of CD4,  
189 CD8, and B cells. EMD cluster 1 was defined by depleted CD4 and B cells, increased CD8 T  
190 cells, and increased activation and effector markers, including PD-1, CX3CR1, Ki67, and HLA-  
191 DR (**Extended Data Fig. 3b and Fig. 3 d,e**). EMD cluster 3 had decreased T cell and B cells,  
192 with an inactivated immune profile, and EMD Cluster 5 was depleted of both CD4 and CD8 T  
193 cells, but had preserved B cells. In contrast, EMD cluster 4 was defined by robust  
194 CCR7+CD27+ memory CD4 T cell responses and heterogenous B cell responses; EMD cluster  
195 2 had the most balanced responses, with CD4, CD8, and B cells represented (**Fig. 3d,e and**  
196 **Extended Data Fig. 3b**). We then correlated these 5 patterns of immune responses with clinical

197 and serological variables. EMD cluster 5 patients with depleted T cells had the highest mortality  
198 and disease severity, despite generating SARS-CoV-2-specific IgM and IgG antibodies (**Fig. 3f**,  
199 **Extended Data Fig. 5d**). In contrast, EMD clusters 2 and 4, with robust CD4 and/or CD8 T cell  
200 responses, had the lowest mortality and a low disease severity (**Fig. 3f**, **Extended Data Fig.**  
201 **5d**). These findings suggest a key role for T-cell immunity in facilitating viral clearance, even in  
202 the presence of intact humoral immunity.

### 203 **Distinct immune landscape in hematologic cancer compared to solid cancer or no** 204 **cancer.**

205 To further understand the immune response of patients with cancer and COVID-19, we explored  
206 the role of cancer subtype (solid tumor versus hematologic) on immune phenotype. Four out of  
207 the 6 solid cancer patients were in EMD cluster 2, with a balanced immune phenotype (**Fig. 3e**).  
208 In contrast, both hematologic cancer patients were in EMD cluster 1, which had marked  
209 depletion of CD4 and B cells. Indeed, UMAP projections showed that while solid cancer patients  
210 had an immune landscape similar to non-cancer patients, the two hematologic cancer patients  
211 demonstrated loss of islands associated with CD4 and B cells (**Fig. 3g**). We then extended this  
212 analysis by measuring the frequency and phenotype of key lymphocyte populations in the entire  
213 MESSI-COVID cohort and healthy donor controls. COVID-19 patients with hematologic cancers  
214 had a significantly lower frequency of CD4 and B cells compared to solid cancer patients, non-  
215 cancer patients, and healthy donors without COVID-19 (**Fig. 3h**). As T follicular helper cells  
216 (Tfh) and plasmablasts are critical in the generation of effective antibody responses, we  
217 assessed circulating Tfh and plasmablast responses. Although limited by sample size, patients  
218 with hematologic cancers had low circulating Tfh (PD1<sup>+</sup> CXCR5<sup>+</sup>) and plasmablast responses  
219 (CD19<sup>+</sup>CD27<sup>hi</sup>CD38<sup>hi</sup>), and decreased CD138 expression (**Extended Data Fig. 4a**). Thus,  
220 patients with hematologic malignancy appear to have quantitative defects in CD4 and B cells  
221 that may be required for effective SARS-CoV-2-specific antibody responses.

222 Patients with hematologic cancers had a preserved frequency of CD8 T cells. Therefore,  
223 we wanted to determine whether there were qualitative changes within the CD8 T cell  
224 compartment. We performed FlowSOM clustering analysis on non-naïve CD8 T cells from 118  
225 COVID-19 patients and 30 healthy donors and visualized the clusters using UMAP. UMAP  
226 clearly separated CX3CR1 and Tbet expressing effector cells from memory CD8 T cells  
227 expressing CD27 and TCF-1 (**Extended Data Fig. 4b and Fig. 3i**). The effector island was  
228 composed of CD45RA<sup>lo</sup>CD27<sup>lo</sup> effector memory cells (clusters 2 and 3) and CD45RA<sup>+</sup> TEMRA  
229 cells (cluster 1). The memory island was composed of CCR7<sup>lo</sup> transitional memory (cluster 5),  
230 and effector memory cells (clusters 7 and 8), and CCR7<sup>hi</sup> central memory cells (cluster 9).  
231 Activated cells, characterized by high HLA-DR, CD38, and Ki67 expression, were identified in  
232 clusters 3, 4, and 5 (**Extended Data Fig. 4c**). Stem cell memory cells (cluster 10) and  
233 exhausted phenotype CD8 T cells (cluster 6) were present, but at low frequencies of below  
234 0.5% (**Data not shown**).

235 We then compared the landscape of CD8 T cells in patients with and without cancer.  
236 CD8 T cell subsets including central memory, effector memory, transitional memory and EMRA,  
237 were similar between patients with and without cancer (**Extended Data Fig. 4d**). However,  
238 UMAP representation of non-naïve CD8 data demonstrated preferential enrichment of cells  
239 expressing HLA-DR and CD38 in cancer patients compared to non-cancer patients (**Fig. 3j**).  
240 Indeed, cancer patients had higher frequencies of activated HLA-DR, CD38, and Ki67-  
241 expressing FlowSOM clusters (clusters 3, 4, and 5) compared to non-cancer patients and  
242 healthy donors (**Extended Data Fig. 4e and Fig. 3k**). When stratified by cancer type, the  
243 increased HLA-DR and CD38 expression was restricted to the patients with hematologic  
244 cancers; patients with solid cancers and those without cancer had comparable levels of  
245 activation (**Fig. 3l**). Altogether, solid cancer patients with COVID-19 had an immune landscape  
246 similar to non-cancer COVID-19 patients. In contrast, patients with hematologic malignancies  
247 had defects in CD4, B cells, and humoral immunity but preserved and highly activated CD8 T

248 cells, suggesting that CD8 T cells might at least partially compensate for blunted humoral  
249 immune responses in patients with hematologic malignancies.

250 **CD8 T cell adequacy increases survival in the setting of impaired B cell and humoral**  
251 **immunity in hematologic cancer.**

252 Patients with hematologic cancer had significantly impaired humoral immunity and a mortality  
253 rate of 55% (**Table 2**). We hypothesized that CD8 T cells partially compensated for defective  
254 humoral immunity and influenced survival in acute COVID-19. We tested this hypothesis in a  
255 cohort of cancer patients hospitalized with COVID-19 at the Memorial Sloan Kettering Cancer  
256 Center (MSKCC), which included a larger number of hematologic malignancies patients,  
257 including those treated with B cell depleting therapy. This cohort included 39 solid cancer  
258 patients and 45 hematologic cancer patients. The median age was 65 years, and in contrast to  
259 the MESSI cohort at Penn, 81% of the cohort was white (**Fig. 4a, Supplementary Table 5,6**). A  
260 significant portion of patients were treated with remdesivir and convalescent plasma – 21.4%,  
261 and 46.4%, respectively (**Supplementary Table 5**). Consistent with the Penn COPE and  
262 MESSI cohorts, patients with hematologic cancers did poorly, with a mortality rate of 44.4%  
263 (**Fig. 4a, Supplementary Table 5**). Clinical grade 12-parameter flow cytometry and serologic  
264 testing for SARS-CoV-2-specific antibodies were performed. In the MSKCC cohort, both CD4  
265 and CD8 T cells were significantly decreased in patients with active solid and hematologic  
266 cancers, compared with patients in clinical remission (**Extended Data Fig. 5a**). Moreover,  
267 despite the fact that a substantial number of patients with hematologic cancers from the MSKCC  
268 cohort received convalescent plasma, they had a significant defect in SARS-CoV-2-specific IgG  
269 and IgM responses as compared to solid cancers (**Extended Data Fig. 5b**). This was  
270 independent of disease severity and viral load, as assessed by RT-PCR cycle threshold.  
271 (**Extended Data Fig. 5c,d**).

272 We performed high dimensional analyses on flow cytometry data that included

273 information on CD4 T cells, CD8 T cells, and B cells. EMD and clustering of 20 solid cancer, 31  
274 hematologic cancer, and 6 remission patients identified 4 immune phenotypes (**Extended Data**  
275 **Fig. 6a,b and Fig. 4b,c**) that corresponded to the immune phenotypes 1,2,4, and 5 identified in  
276 the Penn-MESSI cohort (**Fig. 3c,d**). The Penn phenotype 3, the only cluster that did not have  
277 cancer patients, was not identified in the MSKCC cancer cohort. Consistent with the Penn data,  
278 MSKCC EMD cluster 5, with depleted of CD4 and CD8 T cells and preserved B cells, had the  
279 highest mortality of 71%, and was associated with a high disease severity and viral load (**Fig**  
280 **4d**).

281 Intriguingly, the clinical outcomes of patients with immune phenotype 4 was the greatest  
282 contributor to the overall mortality difference between patients with solid and liquid cancers;  
283 hematologic cancer patients with phenotype 4 had a mortality of 61% versus 9% in patients with  
284 solid cancers (**Extended Data Fig. 7a**), with a corresponding higher viral load as assessed by  
285 RT-PCR threshold cycle (**Extended Data Fig. 7b**). Immune phenotype 4 was characterized by  
286 robust CD4 responses and decreased, but still intact, CD8 responses (**Extended Data Fig 6b**).  
287 Within immune phenotype 4, patients with solid and hematologic cancers had similar CD4 and  
288 CD8 T cell counts (**Extended Data Figure 7c**). However, patients with hematologic cancers had  
289 near-complete abrogation of B cells (phenotype 4A), that corresponded with a mortality rate of  
290 61% (**Extended Fig 7a and d**). In contrast, patients with solid cancers had intact B cells counts  
291 (phenotype 4B, **Extended Data Fig 7a and d**), with a mortality of 9%. Thus, in a setting with  
292 similar CD4 and CD8 T cell numbers, B cell depletion was associated with higher mortality; B  
293 cells, therefore, likely play an important role in acute COVID-19.

294 Anti-CD20 therapy ( $\alpha$ CD20) with rituximab or obinutuzumab-containing regimens  
295 depleted B cells with near-complete abrogation of SARS-CoV-2-specific IgG and IgM responses  
296 (**Fig. 4e**). Notably, hematologic cancer patients on chemotherapy and solid cancer patients on  
297 immune checkpoint blockade also had significant depletion of B cells (**Extended Data Fig. 8a**).

298  $\alpha$ CD20 therapy was not associated with quantitative changes in CD4 and CD8 T cells. However,  
299 patients treated with anti-CD20 therapy displayed dramatic reduction in CD4 and CD8 naïve  
300 and memory T cells, instead skewing towards effector differentiation and an activated HLA-  
301 DR+CD38+ phenotype (**Extended Data Fig. 8b,c**). Importantly, despite the loss of B cells and  
302 humoral immunity,  $\alpha$ CD20 therapy was not associated with increased mortality, disease  
303 severity, or viral load when compared to chemotherapy or observation (**Fig. 4f**).

304 We sought to understand why  $\alpha$ CD20 therapy was not associated with greater mortality  
305 in these patients. Patients treated with  $\alpha$ CD20 therapy were restricted to immune phenotypes 1  
306 and 4, characterized by depleted B cells (**Fig 4g**). However, phenotype 1, characterized by  
307 preserved CD8 T cells, was associated with a lower mortality (**Fig 4h**). Indeed,  $\alpha$ CD20 treated  
308 patients who survived their COVID-19 hospitalization had higher CD8 T cell counts (**Fig 4i**), and  
309 lower viral load (**Extended Data Fig. 9a**). We extended these analyses to other patients with  
310 hematologic cancers, including those on chemotherapy who also had quantitative (**Extended**  
311 **Data Fig. 8a**), and possibly qualitative B cell defects. Hematologic cancer patients who survived  
312 had higher CD8 T cell count (**Fig. 4j**), which was not seen in solid cancer patients (**Extended**  
313 **Data Fig. 9b**). Conversely, CD4 T cell counts were not associated with mortality, and higher B  
314 cell counts were associated with increased mortality (**Extended Data Fig. 9b, Fig 4j**). Thus,  
315 patients with hematologic cancers, in the setting of defective humoral immunity, were more  
316 highly dependent on adequate CD8 T cell counts than patients with solid cancers. Finally,  
317 Classification and Regression Tree Analysis (CART) identified a CD8 T cell level that was  
318 predictive of survival after COVID-19 in patients with hematologic cancers (**Fig. 4k**). Taken  
319 together, these findings suggest that CD8 T cells are critical for anti-viral immunity in  
320 hematologic malignancy patients and may at least partially mitigate the negative impact of B-cell  
321 depletion on COVID outcomes.

322



## 323 Discussion

324 A notable feature of the COVID-19 pandemic has been the dramatic heterogeneity in clinical  
325 presentations and outcomes, yet mechanistic explanations for the wide variance in disease  
326 severity have remained elusive. Early on, acute phase reactants and systemic cytokines were  
327 implicated in patient outcomes<sup>46</sup> and hospital stay and mortality were decreased by  
328 dexamethasone<sup>47</sup>, suggesting that an excessive host immune response might contribute to  
329 COVID-19 mortality. However, there were also indications that inadequate host immunity might  
330 contribute to adverse COVID-19 outcomes, including the association of lymphopenia with  
331 mortality as well as the potentially inferior outcomes of patients on chronic immunosuppression,  
332 such as patients with autoimmune diseases or organ transplant recipients<sup>48-51</sup>. Recent studies  
333 defined immune signatures associated with severe COVID-19, including activated CD4, CD8 T  
334 cells, plasmablasts, and robust antibody responses<sup>15, 16, 20, 52</sup>. Nevertheless, the individual roles  
335 of these cell types in acute COVID-19 remained unclear. We speculated that investigating both  
336 the clinical outcomes and immunologic profile of cancer patients might shed valuable insight into  
337 how arms of the immune system contribute to viral control and mortality during COVID-19.  
338 Immune investigation in hematologic malignancies is especially relevant because the disease  
339 directly impacts the lymphoid and myeloid immune cells, and is commonly treated with  
340 myelosuppressive and B cell-depleting therapies including CD20 targeting antibodies.

341 Our investigation reveals several novel findings. First, we establish in a prospective  
342 clinical cohort that hematologic malignancy is an independent predictor of COVID-19 mortality  
343 after adjusting for ECOG performance and disease status. We observed a higher mortality rate  
344 in patients with hematologic (53%) versus solid cancers (34%), which were substantially higher  
345 than in the general population (2.7%)<sup>28</sup>. The high mortality rates for hematologic cancer in this  
346 study were consistent with a recent meta-analysis of 2,361 hospitalized patients with  
347 hematologic cancer (40%)<sup>53</sup>. This finding highlights the importance of transmission mitigation

348 efforts for this vulnerable population<sup>54</sup>. Furthermore, we demonstrate that excess mortality  
349 observed with hematologic cancers persisted (HR 2.5) after adjustment for independent  
350 predictors of cancer mortality, including age, smoking history, poor performance status, and  
351 active or advanced disease. Adjustment for these factors was necessary to determine that the  
352 increased mortality difference seen in hematologic cancer was in fact, driven by cancer subtype,  
353 rather than differences in patient characteristics. These data can better inform hospitalized  
354 patients with hematologic cancers of their expected outcomes, irrespective of performance  
355 status or active cancer status, thereby improving decision-making between best supportive care  
356 or aggressive interventions. The disease-specific increased risk of COVID-19 associated  
357 mortality in hematologic cancer patients may also influence the prioritization and distribution of  
358 vaccinations to this very high-risk population.

359         Second, using high dimensional analyses, we define immune phenotypes associated  
360 with mortality during COVID-19. In particular, we identify the immune phenotype that drives the  
361 mortality difference between solid and liquid malignancy. A balanced immunity that included  
362 CD4, CD8, and B cells responses (phenotypes 2 and 4b) was associated with low mortality. In  
363 contrast, an immune signature with robust B cell and humoral responses, but absent T cell  
364 responses (phenotype 5), was associated with the highest mortality (>60%). A high mortality for  
365 patients with immune phenotype 5 was consistent in both the Penn and MSKCC cohorts, and in  
366 patients with solid cancer, hematologic cancer, and infected patients without cancer. Thus,  
367 humoral immunity alone is often not sufficient in acute COVID-19. In fact, greater B cell  
368 responses was associated with higher mortality in both solid and liquid cancer. B cell responses  
369 may be a marker of disease severity, as seen with plasmablasts<sup>15, 20</sup> and neutrophils<sup>20, 55, 56</sup> in  
370 severe COVID-19. Alternatively, some components of the B cell and humoral responses may be  
371 aberrant and pathogenic, as may be the case with autoantibodies targeting type I interferons in  
372 severe COVID-19<sup>57</sup>.

373         Consistent with recent data<sup>58</sup>, patients with solid cancers had a similar cellular immune

374 landscape and SARS-CoV-2-specific IgG responses as compared to patients without cancer.  
375 Patients with hematologic cancers, however, had substantial defects in B cells and humoral  
376 immunity. These defects were associated with a high mortality of 45%, as compared to 25% in  
377 solid cancers. This difference in survival was driven by immune phenotype 4, which was  
378 characterized by robust CD4 T cell responses in conjunction with a diminished, but not absent  
379 CD8 T response. This phenotype (phenotype 4B), in the setting of preserved B cells seen in  
380 solid cancer patients, was associated with a low mortality of 9%. However, this phenotype in the  
381 setting of depleted B cells (phenotype 4A) seen in liquid cancer patients, was associated with a  
382 mortality of 61%. This highlights the fact that CD8 T cell responses that are normally sufficient  
383 may no longer be adequate in the setting of compromised humoral immunity. Thus, CD4 or B  
384 cells responses, in the absence of an intact CD8 T cell response, may not be sufficient to  
385 control an acute SARS-CoV-2 infection. This is reminiscent of published data demonstrating  
386 that uncoordinated immune responses in the elderly was associated with severe disease and  
387 poor outcomes<sup>59</sup>.

388 Finally, by leveraging a population of COVID-19 patients in the setting of B cell depletion  
389 (anti-CD20), we uncovered a critical protective role for CD8 T-cell responses. CD8 T cells are  
390 known to be critical for viral clearance, particularly in response to higher viral inocula<sup>60</sup>. Recent  
391 data from transgenic mouse models show that both CD4 and CD8 T cells are necessary for  
392 optimal viral clearance of SARS-CoV-2<sup>61</sup>. In patients treated with anti-CD20, absolute CD8 T  
393 cell count, but not CD4 counts, was associated with survival from COVID-19 and lower viral  
394 load. Although conclusions are limited by sample size, these data suggest that CD8 T cells play  
395 a key role in limiting SARS-CoV-2, even in the absence of humoral immunity. Indeed, SARS-  
396 CoV-2-specific CD8 T cell responses have been identified in acute and convalescent  
397 individuals<sup>59, 62-65</sup>. Further, in our cohort, absolute CD8 counts were predictive of outcomes in  
398 the broader cohort of patients with hematologic malignancy. The compensatory role of CD8 T  
399 cells was restricted to patients with hematologic, but not solid, malignancies. Thus, CD8 T cells

400 likely play an important role in the setting of quantitative and qualitative B cell dysfunction in  
401 patients with lymphoma, multiple myeloma, and leukemia, undergoing anti-CD20,  
402 chemotherapy, or Bruton tyrosine kinase (BTK) inhibition. CD8 T cell counts may inform on the  
403 need for closer monitoring and a lower threshold for hospitalization in COVID-19 patients with  
404 hematologic malignancies. Furthermore, the clinical benefit of dexamethasone, which  
405 demonstrated an overall mortality benefit in hospitalized COVID-19+ patients but is known to  
406 suppress CD8 T cell responses<sup>66</sup>, should be investigated further in patients who recently  
407 received anti-CD20 therapy.

408         Recent analysis demonstrated that patients treated with B-cell depleting agents had the  
409 highest mortality rate, although this analysis did not account for whether the risk was modulated  
410 by CD8 count. Our findings do not exclude the possibility that B-cell depleting therapies may be  
411 associated with adverse outcomes in this population but rather extend these findings to suggest  
412 that an adequate CD8-dependent T cell response is essential for patients in whom humoral  
413 immunity is compromised. We did, however, observe a profound depletion of both naive CD4  
414 and CD8 T cells in patients receiving B-cell depleting agents. Naive T-cells, and particularly  
415 naive CD4 T cells, are known to require tonic TCR signaling driven by APC-presented self-  
416 antigens for persistence<sup>67, 68</sup>. We speculate that depletion of functional B cells, particularly in the  
417 context of B cell depleting therapy, might lead to concomitant naive T cell depletion and a  
418 corresponding increase in the effector and activated CD8 T cells. Although the clinical relevance  
419 of naive T cell depletion in the setting of anti-CD20 is still unclear - it is notable that depletion of  
420 naive T cells in the elderly was associated with increased disease severity and poor  
421 outcomes<sup>59</sup>.

422         Importantly, both B-cell depleting therapies and cytotoxic chemotherapy agents which  
423 can compromise the T-cell compartment are mainstays of lymphoma therapy. Both are  
424 administered, often in combination, with curative intent for patients with aggressive lymphomas,

425 but also for debulking or palliation in patients with indolent lymphomas. Based on our data, we  
426 would suggest that oncologists and patients considering treatment regimens that combine B cell  
427 depletion with cytotoxic agents carefully weigh the associated increased risk of immune  
428 dysregulation against the benefit of disease control when making an educated decision on  
429 whether to initiate such treatments, particularly in non-curative settings.

430 Finally, our finding that CD8 T cell immunity is critical for survival in hematologic  
431 malignancy patients with COVID-19 has profound implications for the vaccination of these  
432 patients. Both the Pfizer and Moderna vaccines, as well as the Johnson and Johnson vaccine  
433 currently under investigation, induce robust CD8 T cell responses in addition to humoral  
434 responses<sup>69-71</sup>. Our findings suggest that vaccination of hematologic patients might enhance the  
435 protective capacity of CD8 T-cells despite the likely absence of a humoral response. We are  
436 conducting ongoing studies to monitor the immune profile of patients undergoing vaccination  
437 prospectively to determine if this is the case. Ultimately, understanding how the immune  
438 response relates to disease severity, cancer type, and cancer treatment will provide important  
439 insight into the pathogenesis of and protective immunity from SARS-CoV-2, which may have  
440 implications for the development and prioritization of therapeutics and vaccines in cancer  
441 subpopulations.

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450 **Methods:**

451 **COVID-19 Outcomes in Patients with Cancer, COPE**

452 General Design/Patient Selection

453 We conducted a prospective cohort study of patients with cancer hospitalized with  
454 COVID-19 (UPCC 06920). Informed consent was obtained from all patients. Adult patients with  
455 a current or prior diagnosis of cancer and hospitalized with a probable or confirmed diagnosis of  
456 COVID-19, as defined by the WHO criteria<sup>72</sup>, within the University of Pennsylvania Health  
457 System (UPHS) between April 28, 2020 and September 15, 2020 were approached for consent.  
458 Participating hospitals included the Hospital of the University of Pennsylvania, Presbyterian  
459 Hospital, Pennsylvania Hospital, and Lancaster General Hospital. The index date was defined  
460 as the first date of hospitalization within the health system for probable or confirmed COVID-19.  
461 Repeat hospitalizations within 7 days of discharge were considered within the index admission.  
462 Patients who died prior to being approached for consent were retrospectively enrolled. Patients  
463 were followed from the index date to 30-days following their discharge or until death by any  
464 cause. This study was approved by the institutional review boards of all participating sites.

465 Data Collection

466 Baseline characteristics including patient (age, gender, race/ethnicity, comorbidities,  
467 smoking history, body mass index) and cancer (tumor type, most recent treatment, ECOG  
468 performance status, active cancer status) factors as well as COVID-19 related clinical factors  
469 including change in levels of care, complications, treatments such as need for mechanical  
470 ventilation, laboratory values (complete blood counts with differentials and inflammatory  
471 markers including LDH, CRP, ferritin, and IL-6), and final disposition were extracted by trained  
472 research personnel using standardized abstraction protocols. Active cancer status was defined  
473 by diagnosis or treatment within 6 months of admission date. Cancer treatment status was  
474 determined by the most recent treatment within 3 months prior to admission date.

475 The primary study endpoint was all-cause mortality within 30-days of hospital discharge.  
476 Disease severity was categorized using the NIH ordinal scale including all post-hospitalization  
477 categories: 1, hospitalized, not requiring supplemental oxygen but requiring ongoing medical  
478 care; 2, hospitalized requiring any supplemental oxygen; 3, hospitalized requiring noninvasive  
479 mechanical ventilation or use of high-flow oxygen devices; 4, hospitalized receiving invasive  
480 mechanical ventilation or extracorporeal membrane oxygenation (ECMO); 5, death<sup>73</sup>, and was  
481 assessed every 7 days throughout a patient's admission.

#### 482 Statistical Analysis

483 Cohort characteristics were compared using standard descriptive statistics. One-time  
484 imputation of missing values for ECOG was done using the predicted mean value from an  
485 ordinal logistic model (proportional odds) of complete data. The ordinal model was fitted with  
486 forward stepwise selection, with entry at  $p=0.1$  and removal at 0.2, using clinical variables  
487 expected to be correlated with ECOG performance status. Those variables included several  
488 items in the Charlson and severity score, and other clinical variables.

489 Univariate analyses examined demographic and clinical variables and cancer subtype  
490 (hematologic versus solid cancer) as predictors of death within 30 days of discharge and of ICU  
491 admission. Odds ratios and 95% CIs were used to generate the forest plot illustration. Baseline  
492 laboratory tests were compared by cancer type using Mann-Whitney tests and available RT-  
493 PCR data was used to determine length of RT-PCR positivity by cancer type.

494 Rates of ICU admission and death were calculated for the overall cohort and  
495 stratified by cancer subtype. A multivariate logistic model was used to examine the  
496 adjusted effect of solid versus hematologic designation. Covariates included  
497 demographic variables of age and sex (race was omitted for missing data). Covariates  
498 also included clinical variables that attained a  $p$ -value of 0.1 in the univariate analyses.  
499 The final model included age, sex, smoking status, active disease status, and ECOG

500 performance status. A cox proportional hazards regression model was also performed  
501 to determine the association between cancer type and mortality and identically adjusted  
502 for age, sex, smoking status, active cancer status, and ECOG performance  
503 status. Overall survival (OS) was measured from date of hospitalization to last follow up  
504 or death and the median OS was estimated using Kaplan-Meier method and differences  
505 by cancer subtype compared using log-rank test.

506

### 507 **Immune profiling of patients hospitalized for COVID-19, MESSI**

508 Information on clinical cohort, sample processing, and flow cytometry is described in Mathew et  
509 al, Science 2020. Briefly, Patients admitted to the Hospital of the University of Pennsylvania with  
510 a positive SARS-CoV-2 PCR test were screened and approached for informed consent within 3  
511 days of hospitalization. Peripheral blood was collected from all subjects and clinical data were  
512 abstracted from the electronic medical record into standardized case report forms. All  
513 participants or their surrogates provided informed consent in accordance with protocols  
514 approved by the regional ethical research boards and the Declaration of Helsinki. Methods for  
515 PBMC processing, flow cytometry, and antibodies used were previously described<sup>15</sup>.

516

### 517 **Serologic enzyme-linked immunosorbent assay (ELISA)**

518 ELISAs were completed using plates coated with the receptor binding domain (RBD) of  
519 the SARS-CoV-2 spike protein as previously described<sup>44</sup>. Briefly, Prior to testing, plasma and  
520 serum samples were heat-inactivated at 56°C for 1 hour. Plates were read at an optical density  
521 (OD) of 450nm using the SpectraMax 190 microplate reader (Molecular Devices). Background  
522 OD values from the plates coated with PBS were subtracted from the OD values from plates  
523 coated with recombinant protein. Each plate included serial dilutions of the IgG monoclonal  
524 antibody CR3022, which is reactive to the SARS-CoV-2 spike protein, as a positive control to



525 adjust for inter assay variability. Plasma and serum antibody concentrations were reported as  
526 arbitrary units relative to the CR3022 monoclonal antibody. A cutoff of 0.48 arbitrary units was  
527 established from a 2019 cohort of pre-pandemic individuals and used for defining seropositivity.

528

### 529 **Flow Cytometry and statistical analysis**

530 Samples were acquired on a 5 laser BD FACS Symphony A5. Standardized SPHERO  
531 rainbow beads (Spherotech, Cat#RFP-30-5A) were used to track and adjust PMTs over time.  
532 UltraComp eBeads (ThermoFisher, Cat#01-2222-42) were used for compensation. Up to  $2 \times$   
533  $10^6$  live PBMC were acquired per each sample. During the early sample acquisition period,  
534 three antibodies in the flow panel were changed. Three cancer patients and twelve non-cancer  
535 patients were stained using this earlier flow panel. Flow features of these patients were visually  
536 assessed for batch variations against data from the later flow panel. The three cancer patients  
537 were included with the rest of the cohort when batch effects were determined to have little  
538 impact on confidence in gated populations. These three cancer patients were excluded in  
539 analysis of cell populations defined by proteins associated with the three changed antibodies.

540 Due to the heterogeneity of clinical and flow cytometric data, non-parametric tests of  
541 association were preferentially used throughout the study. Tests of association between mixed  
542 continuous variables versus non-ordered categorical variables ( $n=2$ ) were performed by Mann-  
543 Whitney test. Tests of association between binary variables versus non-ordered categorical  
544 variables ( $n=2$ ) were performed using Pearson Chi Square test. All tests were performed using  
545 a nominal significance threshold of  $P < 0.05$  with Prism version 9 (GraphPad Software) and Excel  
546 (Microsoft Office Suite). Classification and Regression Tree analysis (CART) was performed  
547 using R package 'rpart'.

548

549

### 550 **High dimensional data analysis of flow cytometry data**

551 UMAP analyses were conducted using R package *uwot*. FlowSOM analyses were  
552 performed on Cytobank (<https://cytobank.org>). Lymphocytes and non-naive CD8 T cells were  
553 analyzed separately. An artifact due to monocyte contamination was removed from the FCS as  
554 defined by high CD16 and side scatter area (SSC-A). UMAP analysis was performed using  
555 equal down sampling of 10000 cells from each FCS file in lymphocytes and 1500 cells in non-  
556 naive CD8 T cells, with a nearest neighbors of 15, minimum distance of 0.01, number of  
557 components of 2, and a euclidean metric. The FCS files were then fed into the FlowSOM  
558 clustering algorithm. A new self-organizing map (SOM) was generated for both lymphocytes and  
559 non-naive CD8 using hierarchical consensus clustering. For each SOM, 225 clusters and 10  
560 metaclusters were identified. For lymphocytes, the following markers were used in the UMAP  
561 and FlowSOM analysis: CD45RA, PD-1, IgD, CXCR5, CD8, CD19, CD3, CD16, CD138,  
562 Eomes, TCF-1, CD38, CD95, CCR7, CD21, Ki-67, CD27, CD4, CX3CR1, CD39, T-bet, HLA-  
563 DR, and CD20. For non-naive CD8 T cells, the following markers were used: CD45RA, PD-1,  
564 CXCR5, CD16, Eomes, TCF-1, CD38, CCR7, Ki-67, CD27, CX3CR1, CD39, T-bet, and HLA-  
565 DR. For FlowSOM analysis of non-naive CD8 T cells, two patients at day seven without data  
566 from day zero were included. Heatmaps were visualized using R function *heatmap*.

567 To group individuals based on lymphocyte landscape, pairwise Earth Mover's Distance  
568 (EMD) value was calculated on the lymphocyte UMAP axes using the *emd* package in R.  
569 Resulting scores were hierarchically clustered using the *hclust* package in R.

570

### 571 **Immune profiling of patients hospitalized for COVID-19, MSKCC**

572 Patients admitted to Memorial Sloan Kettering Cancer Center with a positive SARS-CoV-2 PCR  
573 test were eligible for inclusion. For inpatients, clinical data were abstracted from the electronic  
574 medical record into standardized case report forms. Clinical laboratory data were abstracted  
575 from the date closest to research blood collection. Peripheral blood was collected into BD  
576 Horizon Dri tubes (BD, Cat#625642). Immunophenotyping of peripheral blood mononuclear

577 cells via flow cytometry was performed in the MSKCC clinical laboratory. The lymphocyte panel  
578 included CD45 FITC (BD, 340664, clone 2D1), CD56+16 PE (BD 340705, clone B73.1; BD  
579 340724, clone NCAM 16.2), CD4 PerCP-Cy5.5 (BD 341653, clone SK3), CD45RA PC7 (BD  
580 649457, clone L48), CD19 APC (BD 340722, clone SJ25C1), CD8 APC-H7 (BD 641409, clone  
581 SK1), and CD3 BV 421 (BD 562426, clone UCHT1). The naive/effector T panel included CD45  
582 FITC (BD 340664, clone 2D1), CCR7 PE (BD 560765, clone 150503), CD4 PerCP-Cy5.5 (BD  
583 341653, clone SK3), CD38 APC (BioLegend, 303510, clone HIT2), HLA-DR V500 (BD 561224,  
584 clone G46-6), CD45RA PC7 (BD 649457, clone L48), CD8 APC-H7 (BD 641409, clone SK1),  
585 and CD3 BV 421 (BD 562426, clone UCHT1). The immune phenotypes were based on NIH  
586 vaccine consensus panels and the Human Immunology Project<sup>74</sup>. Samples were acquired on a  
587 BD FACS Canto using FACSDiva software.

588

589 **Data Availability Statement:** Flow Cytometry data collected in this study was deposited to the  
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591

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614

615 **Author contributions**

616 ACH, RM, SV, and EMB conceived the project; ACH, SV, NAH designed all experiments. ACH,  
617 RM, EMB, AMD, IPM conceived the PENN COPE cohort. EMB, JR, FP, OO, KN, CZ, MG,  
618 ARW, CAGI, EMK, CR, KRB, ST, and CW enrolled patients and collected data for COPE. EMB,  
619 RM, AMD, and ACH designed data and statistical analysis for COPE. PW performed statistical  
620 analysis for COPE. NJM conceived the PENN MESSI-COVID clinical cohort, ARW, CAGI, OO,  
621 RSA, TGD, TJ, HMG, JPR, and NJM enrolled patients and collected data for MESSI-  
622 COVID. NAH, AEB, and JYK performed downstream flow cytometry analysis for MESSI-  
623 COVID. SG, MEW, CMM, SEH analyzed COVID-19 patient plasma and provided antibody data.  
624 NAH, JRG, ARG, CA, DAO performed computational and statistical analyses. CA compiled and  
625 JRG, DO, and CA analyzed clinical metadata for MESSI-COVID.  
626 SV and JW conceived the MSKCC cohort. SV, AK, AW, SD, and SB provided clinical samples  
627 from MSKCC Cohort. PM performed downstream flow cytometry analysis. NEB performed  
628 quantitative PCR experiments for COVID viral load. NAH and ACH compiled figures. KNM, LS,  
629 RHV, JDW, EJW, provided intellectual input. EMB, SV, RM, and ACH wrote the manuscript; all  
630 authors review the manuscript.

631

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**Table 1 | COPE: Patient demographics and clinical characteristics.**

	<b>Total (N=100)</b>
<b>Age, median (IQR)</b>	68 (57.5-77.5)
<b>Gender, female</b>	48 (48%)
<b>Race</b>	
Black	54 (54%)
White	33 (33%)
Asian	4 (4%)
Hispanic	3 (3%)
Unknown	6 (6%)
<b>Smoking History, Ever<sup>+</sup></b>	57 (57%)
<b>Comorbidities</b>	
Cardiac	78 (78%)
Pulmonary	41 (41%)
<b>Use of immunosuppressive drugs<sup>**</sup></b>	30 (30%)
<b>BMI, median (IQR)</b>	26.84 (23.2-31.5)
<b>Cancer Type</b>	
Solid malignancy	78 (78%)
Genitourinary	19 (19%)
Breast	14 (14%)
Gastrointestinal	14 (14%)
Thoracic	9 (9%)
Other <sup>***</sup>	8 (8%)
Gynecologic	7 (7%)
Head and Neck	4 (4%)
Sarcoma	3 (3%)
Heme malignancy	22 (22%)
Lymphoma	10 (10%)
Leukemia	7 (7%)
Myeloma	3 (3%)
MDS/MPN	2 (2%)
<b>Cancer Status, Active<sup>#</sup></b>	46 (46%)
<b>Cancer treatment in last 3 months</b>	
Active surveillance/surgery	53 (53%)
Cytotoxic Chemotherapy	24 (24%)
Hormone therapy	15 (15%)
Other <sup>*</sup>	8 (8%)
<b>ECOG Performance Status</b>	N=73
0-1	37 (50.7%)
2	13 (17.8%)
3-4	23 (31.5%)
<sup>+</sup> Current or prior smoker <sup>**</sup> Exposure to immunosuppressive medications not including cancer treatment <sup>***</sup> Tumor types with less than 2 subjects: CNS-2, Thyroid-2, Thymus-1, Neuroendocrine-1 <sup>#</sup> Diagnosis or treatment within 6 months <sup>*</sup> Single agent immunotherapy, targeted therapy, monoclonal antibodies	

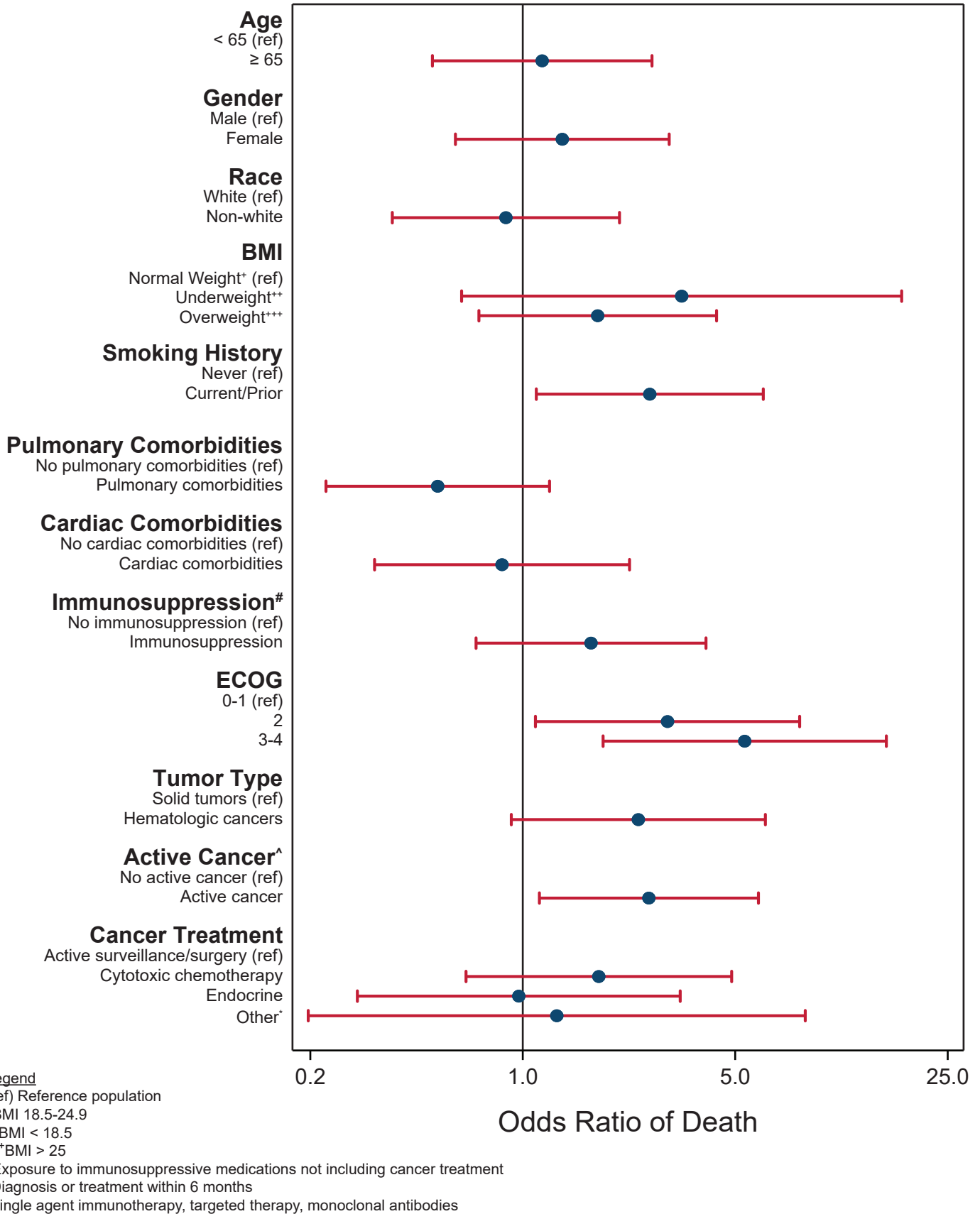
**Table 2 | COPE: COVID-19 related treatment and outcomes.**

	<b>Total (N=100)</b>	<b>Solid (N=78)</b>	<b>Heme (N=22)</b>
<b>COVID-19 Disease Severity</b>			
At Presentation			
No Supplemental Oxygen	35 (35.0%)	28 (35.9%)	7 (31.85)
Supplemental Oxygen	44 (44.0%)	32 (41.0%)	12 (54.6%)
Non-invasive ventilation	9 (9.00%)	7 (8.97%)	2 (9.09%)
Invasive ventilation	12 (12.0%)	11 (14.1%)	1 (4.55%)
Maximum throughout hospitalization			
No supplemental Oxygen	28 (28.0%)	24 (30.8%)	4 (18.2%)
Supplemental Oxygen	24 (24.0%)	19 (24.4%)	4 (18.2%)
Non-invasive ventilation	11 (11.0%)	8 (10.3%)	3 (13.6%)
Invasive ventilation	9 (9.00%)	9 (11.5%)	0 (0.00%)
Death	28 (28.0%)	18 (23.1%)	12 (54.5%)
<b>COVID-19 Directed Treatment</b>			
Steroids	51 (51.0%)	39 (50.0%)	12 (54.6%)
Remdesivir	18 (18.0%)	13 (16.7%)	5 (22.7%)
Convalescent Plasma	10 (10.0%)	6 (7.69%)	4 (18.2%)
<b>COVID-19 Outcomes</b>			
Thrombosis	11 (11.0%)	7 (9.09%)	4 (18.2%)
Intubation	28 (28.0%)	21 (26.9%)	7 (31.8%)
ICU admission	48 (48.0%)	37 (47.4%)	11 (50.0%)
Death	38 (38.0%)	26 (33.3%)	12 (54.6%)
Hospital Length of stay, median (IQR)	8 (4-19)	8 (4-20)	8 (4-18)

**Table 3 | COPE: Event rates and point estimates of outcomes by cancer type.**

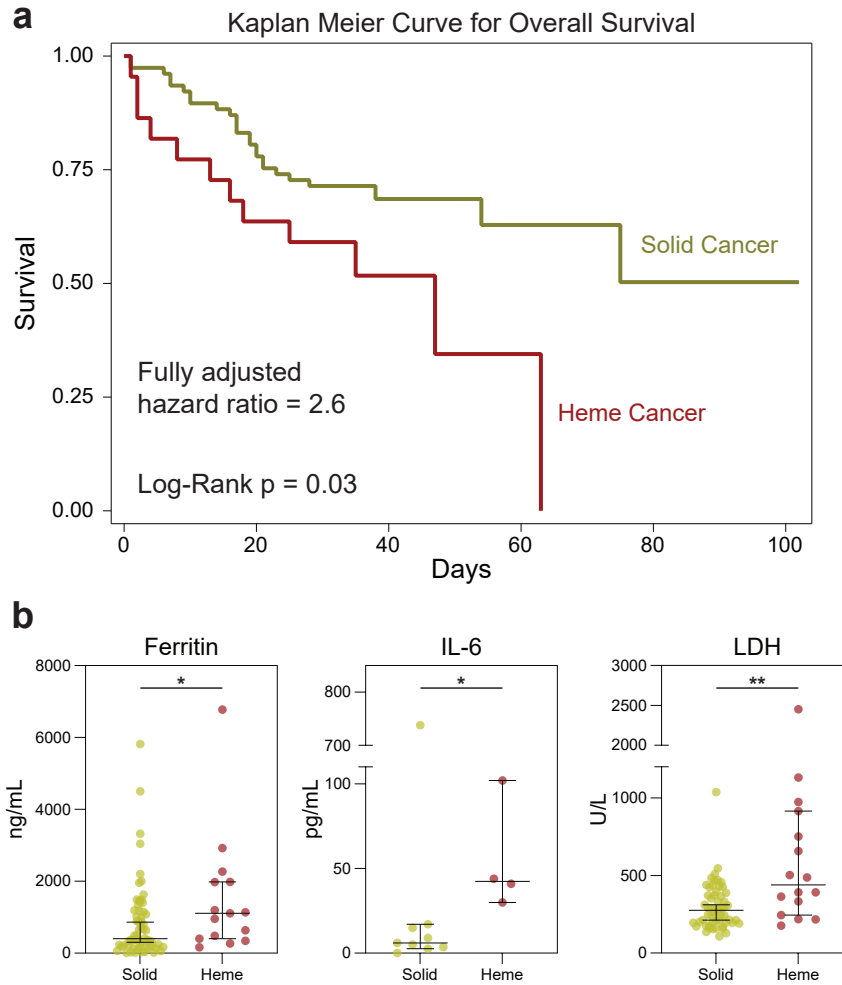
	<b>Heme</b>	<b>Solid</b>
<b>Death within 30 days of discharge</b>		
Event rate (%)	12 (54.6%)	26 (33.3%)
Unadjusted OR (95% CI)	2.4 (0.82-7.06)	ref
Adjusted OR (95% CI) <sup>+</sup>	3.3 (1.01-10.8)	ref
Adjusted HR (95% CI) <sup>+</sup>	2.6 (1.19-5.54)	ref
<sup>+</sup> Logistic regression computed odds ratio (OR) and Cox regression computed hazard ratio (HR), respectively. Adjusted for age, gender, smoking status, active cancer status, and ECOG performance status.		

**Fig. 1**



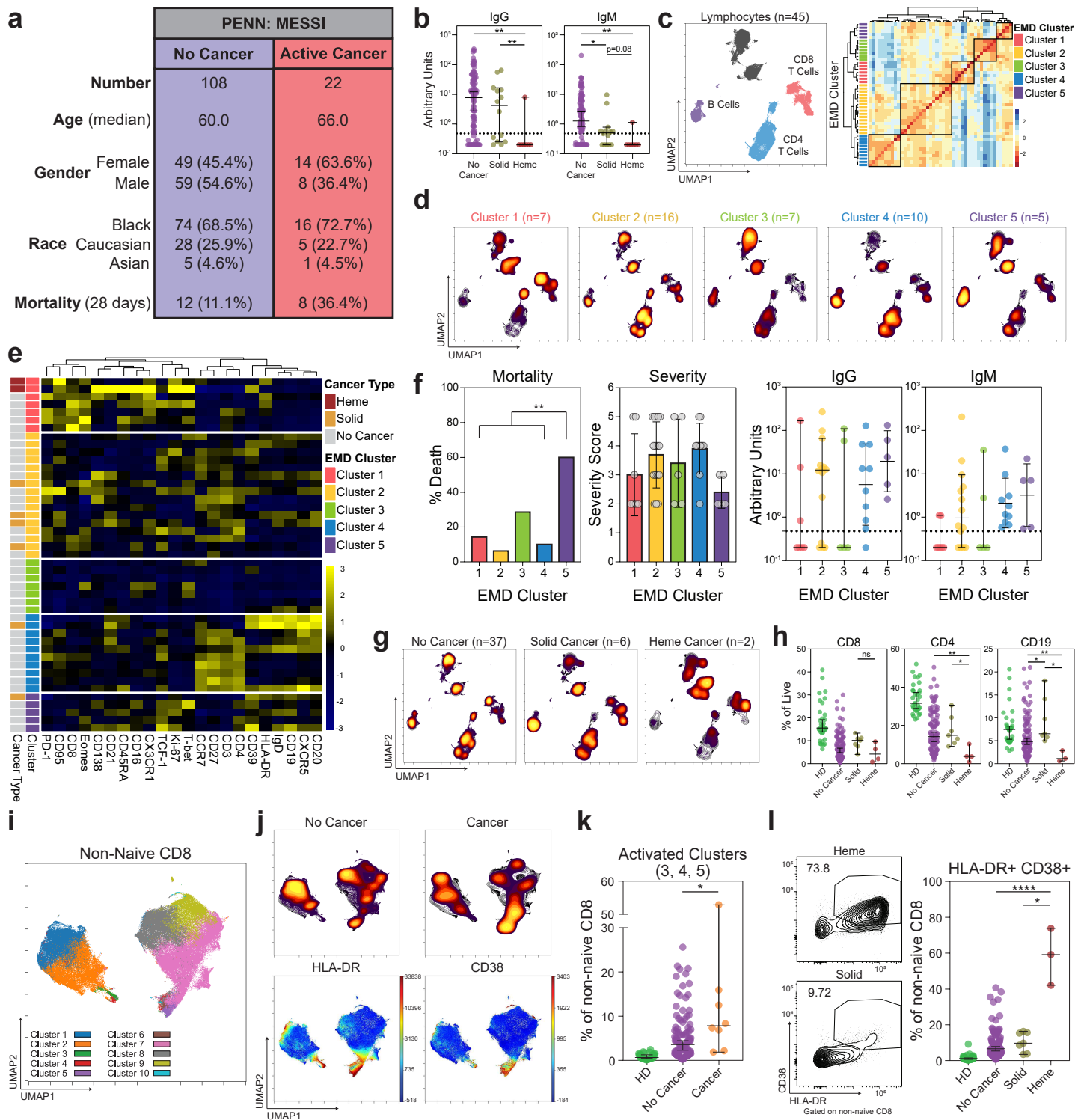
**Fig. 1 | Univariate analysis of potential risk factors in COVID-19 mortality.**

**Fig. 2**

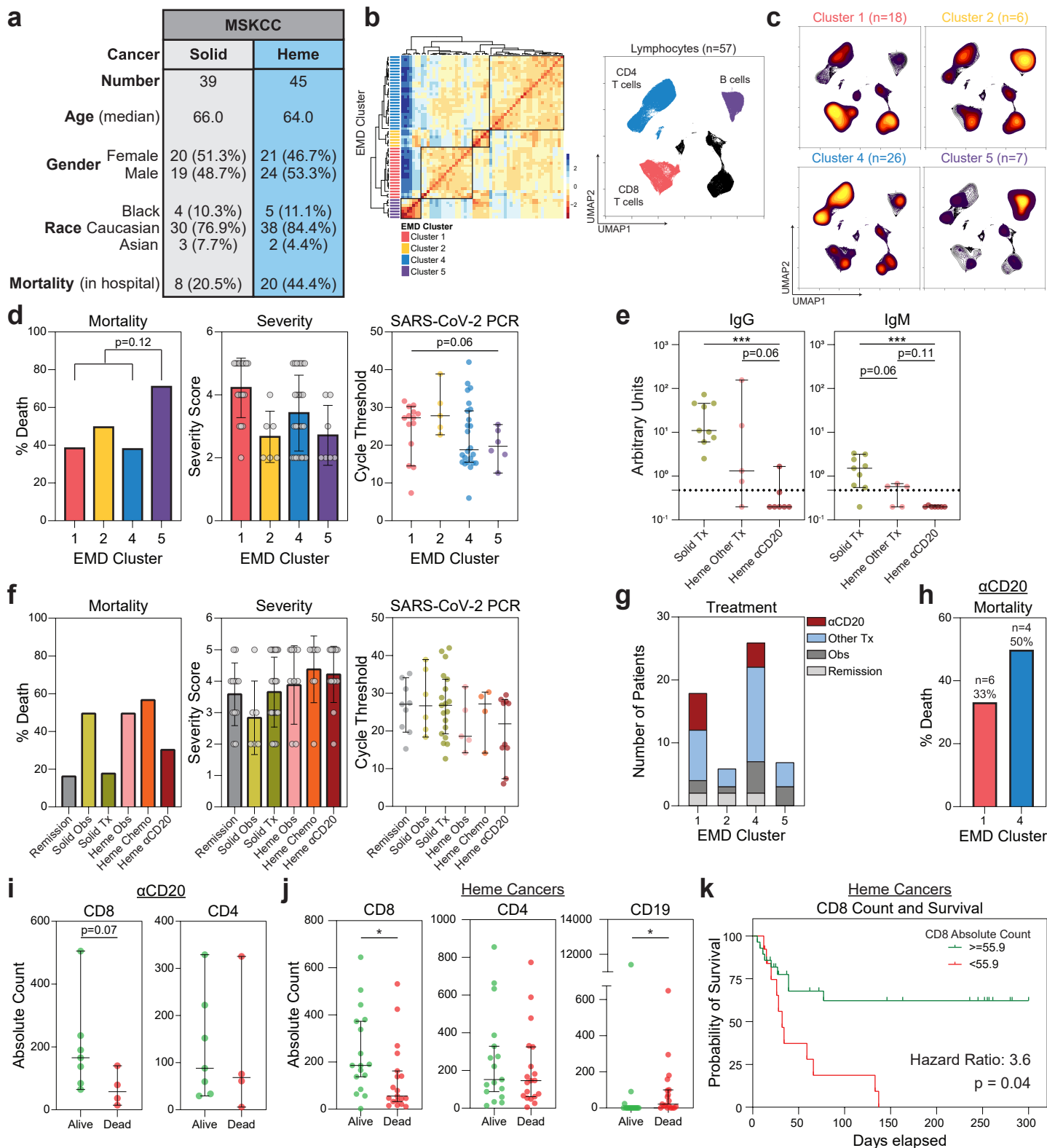


**Fig. 2 | Hematologic cancer is an independent risk factor for COVID-19 related mortality.** (a) Kaplan Meier curve for COVID-19 survival of patients with solid (n=77) and hematologic (n=22) cancer. Cox regression-computed hazard ratio for mortality in hematologic vs solid cancer, adjusted for age, gender, smoking status, active cancer status, and ECOG performance status. (b) Ferritin, IL-6, and LDH in solid (n=62) and hematologic (n=15) cancer hospitalized for COVID-19. (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.

**Fig. 3**



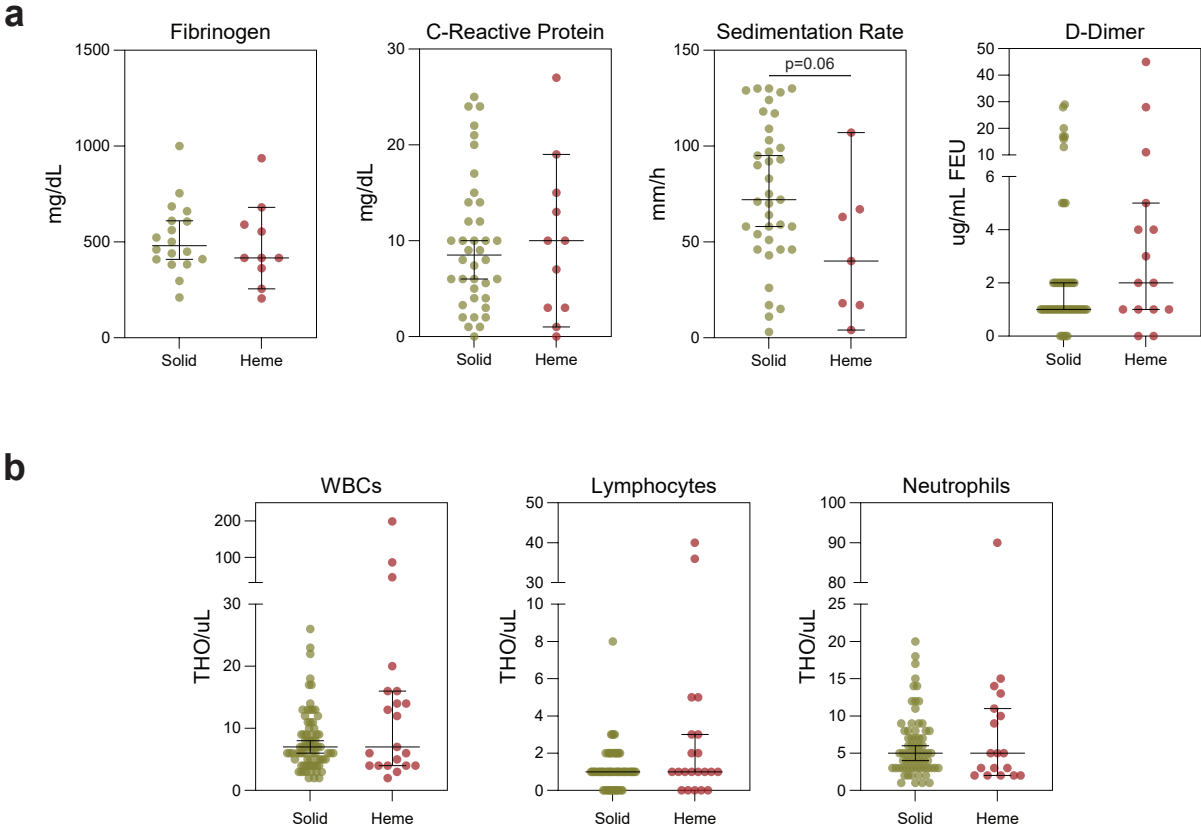
**Fig. 3 | High dimensional analyses reveal immune phenotypes associated with mortality and distinct phenotypes between solid and hematologic cancers.** (a) Demographic and mortality data for MESSI cohort at Penn. (b) Relative levels of SARS-CoV-2 IgG and IgM of solid (n=14) and hematologic (n=7) cancer patients and non-cancer patients (n=108). (c) (Left) Global UMAP projection of lymphocyte populations for all 45 patients pooled. (Right) Hierarchical clustering of Earth Mover's Distance (EMD) using Pearson correlation, calculated pairwise for lymphocyte populations. (d) UMAP projection of concatenated lymphocyte populations for each EMD cluster. (Yellow: High Density; Black; Low Density) (e) Heatmap showing expression patterns of various markers, stratified by EMD cluster. Heat scale calculated as column z-score of MFI. (f) Mortality, disease severity, and SARS-CoV-2 antibody data, stratified by EMD cluster (Cluster 5 n=5; Cluster 1,2,3,4 n=40). Mortality significance determined by Pearson Chi Square test. Severity assessed with NIH ordinal scale for COVID-19 clinical severity (1: Death; 8: Normal Activity)<sup>15</sup>. (g) UMAP projections of concatenated lymphocyte populations for solid cancer, hematologic cancer, and non-cancer patients. (h) CD8 and CD4 T cell and B cell frequencies in healthy donors (HD) (n=33), non-cancer (n=108), solid cancer (n=7), and heme cancer (n=4). (i) UMAP projection of non-naive CD8 T cell clusters identified by FlowSOM. (j) (Top) UMAP projections of non-naive CD8 T cells for non-cancer and cancer patients. (Bottom) UMAP projections indicating HLA-DR and CD38 protein expression on non-naive CD8 T cells for all patients pooled. (k) Frequency of activated FlowSOM clusters in HD (n=30), non-cancer (n=110), and cancer patients (n=8). (l) Representative flow plots and frequency of HLA-DR and CD38 co-expression in HD (n=30), non-cancer (n=110), solid cancer (n=7), and hematologic cancer (n=3) patients. (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.

**Fig. 4**

**Fig. 4 | CD8 T cell counts associated with survival in hematologic cancer patients with COVID-19.** (a) Demographic and mortality data of MSKCC cohort. (b) (Left) Hierarchical clustering of Earth Mover's Distance (EMD) using Pearson correlation, calculated pairwise for lymphocyte populations. (Right) Global UMAP projection of lymphocyte populations pooled. (c) UMAP projection of concatenated lymphocyte populations for each EMD cluster. (Yellow: High Density; Black: Low Density) (d) Mortality (Cluster 5 n=7; Cluster 1,2,4 n=50), severity, and RT-PCR cycle threshold (Cluster 1 n=14; Cluster 2 n=5; Cluster 4 n=24; Cluster 5 n=6) (Lower Ct: Higher viral load) stratified by EMD cluster. Mortality significance determined by Pearson Chi Square test. (e) Relative levels of SARS-CoV-2 IgG and IgM of patients with recent cancer treatments (solid tx n=9; heme αCD20 n=7; heme other tx n=5). (f) Mortality, severity, and RT-PCR cycle threshold stratified by cancer treatment (remission n=9; solid obs n=6; solid tx n=19; heme obs n=5; heme chemo n=4; heme αCD20 n=10). Severity assessed with NIH ordinal scale for COVID-19 clinical severity. (g) Recent cancer treatment of patients in each EMD cluster. (h) Mortality of patients treated with B cell depleting therapy in EMD cluster 1 (red) and EMD cluster 4 (blue). (i) Absolute CD8 and CD4 T cell counts in patients treated with B cell depleting therapy (alive n=7; dead n=4). (j) Absolute CD8 and CD4 T cell counts and B cell counts in hematologic cancer patients (alive n=17; dead n=18). (k) Kaplan-Meier curve for survival in hematologic cancer patients stratified by CD8 T cell counts (threshold = 55.9; log-rank hazard ratio) (>=55.9 n=28; <55.9 n=13). CD8 count threshold determined by Classification and Regression Tree (CART) analysis. (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.

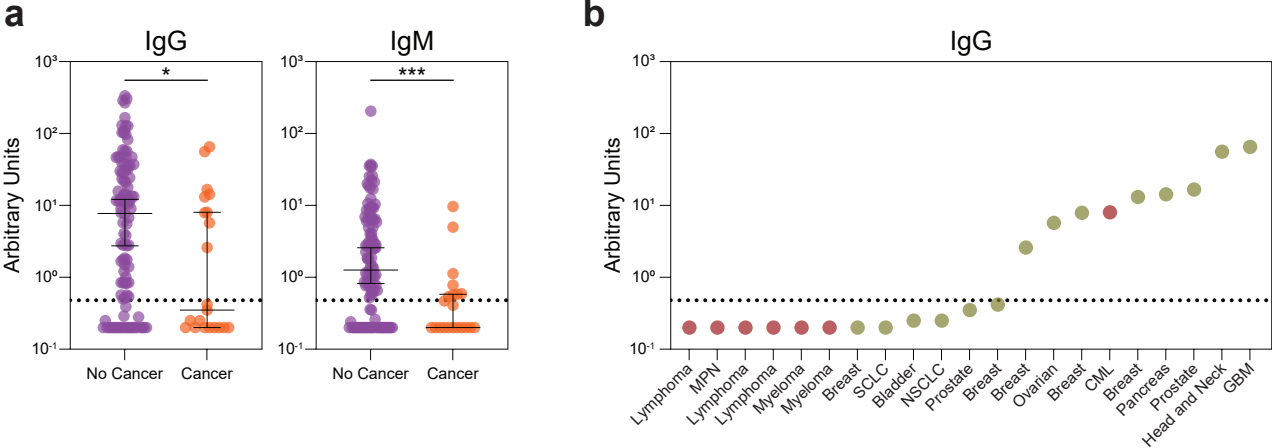


# Extended Data Fig. 1



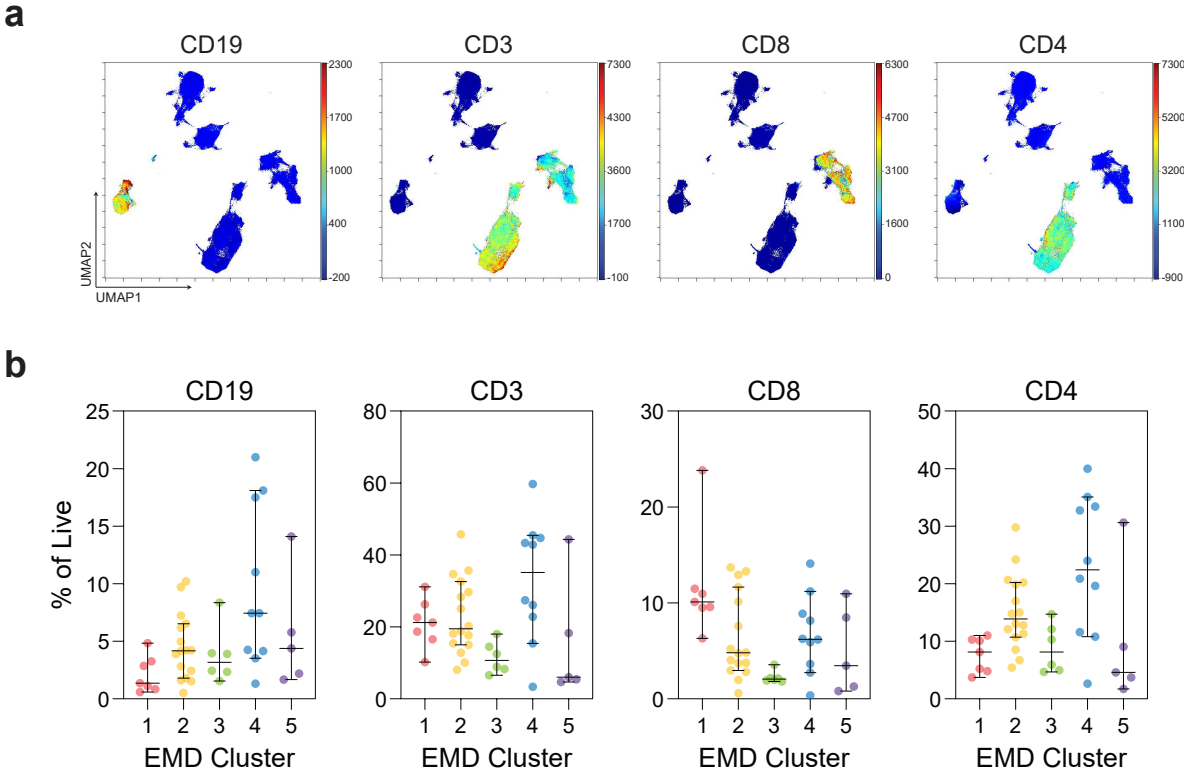
**Extended Data Fig. 1 | Inflammatory markers and blood cell counts in cancer patients with COVID-19.** Clinical laboratory values for (a) inflammatory markers and (b) cell counts in solid (n=62) and hematologic (n=21) cancer patients. (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.

# Extended Data Fig. 2



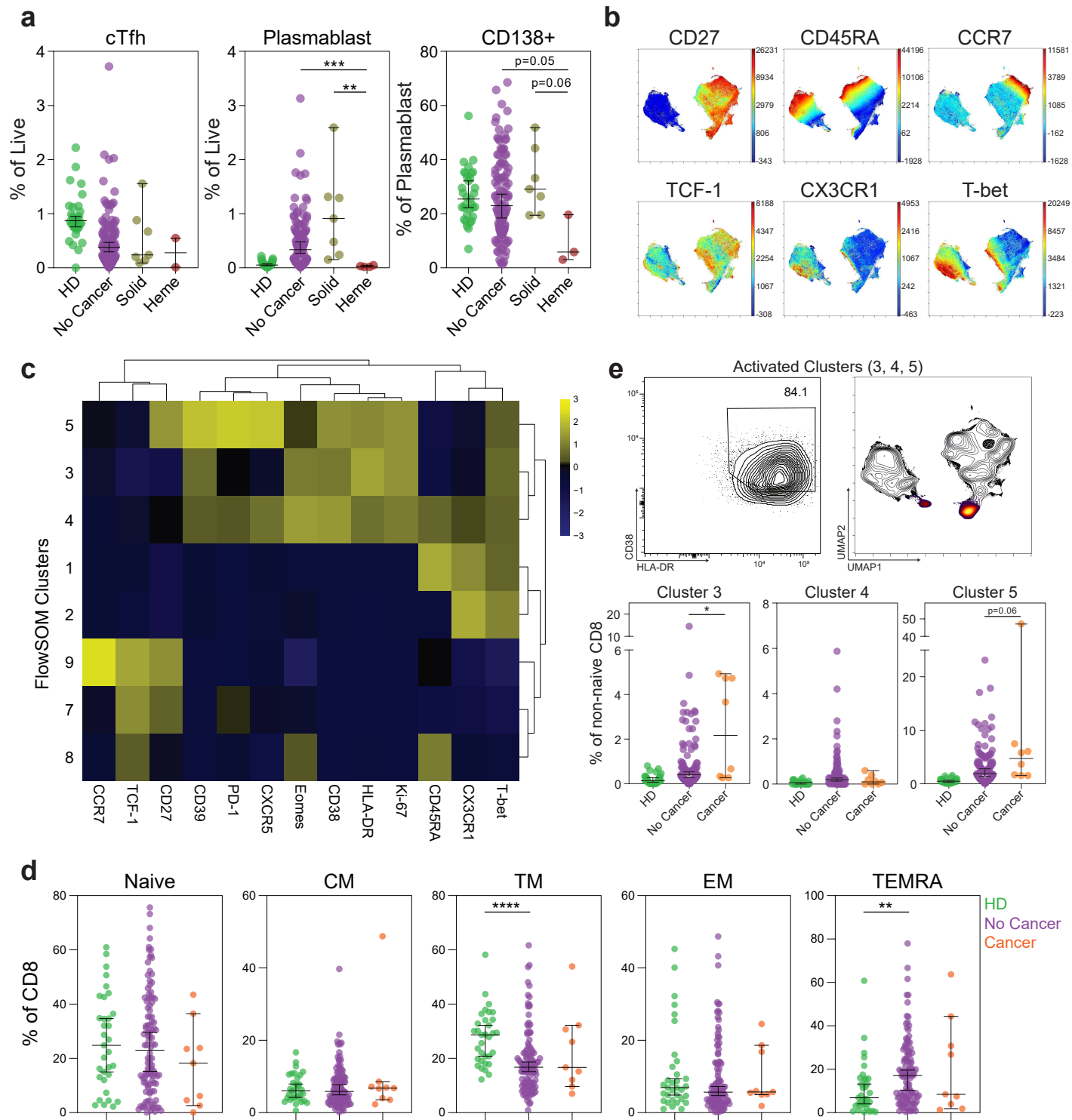
**Extended Data Fig. 2 | SARS-CoV-2 antibody levels. (a)** Relative levels of SARS-CoV-2 IgG and IgM in non-cancer (n=108) and cancer (n=21) patients. **(b)** Relative IgG levels in cancer patients. Each dot represents a cancer patient (Heme: Red; Solid: Yellow). (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.

# Extended Data Fig. 3



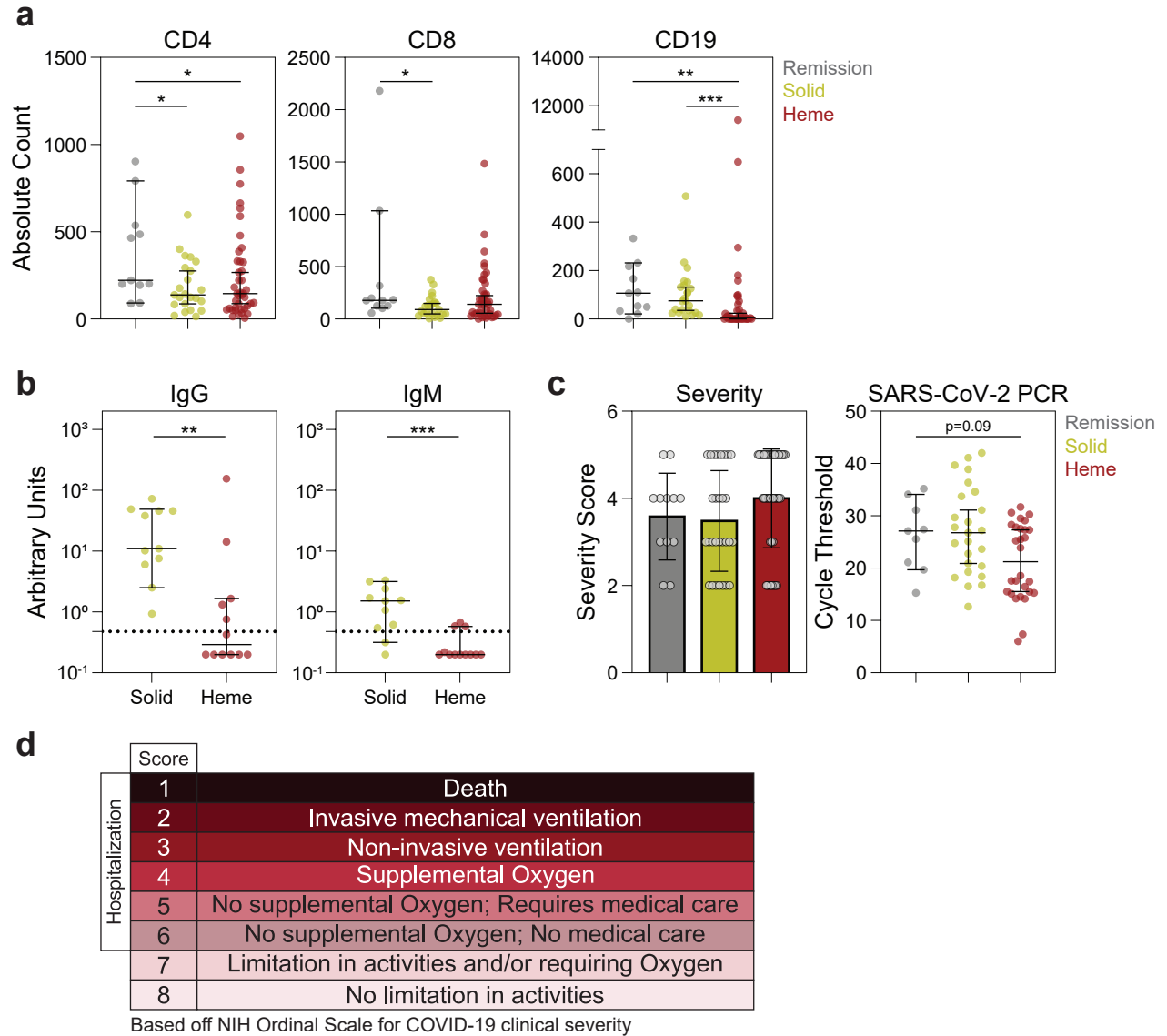
**Extended Data Fig. 3 | Dimensionality reduction and EMD clustering of MESSI cohort. (a)** UMAP projections of lymphocytes with indicated protein expression. **(b)** Frequencies of CD19+, CD3+, CD3+CD8+, and CD3+CD4+ cells of patients in each EMD cluster (Cluster 1 n=7; Cluster 2 n=16; Cluster 3 n=6; Cluster 4 n=10; Cluster 5 n=5). (All) Median and 95% CI shown.

## Extended Data Fig. 4



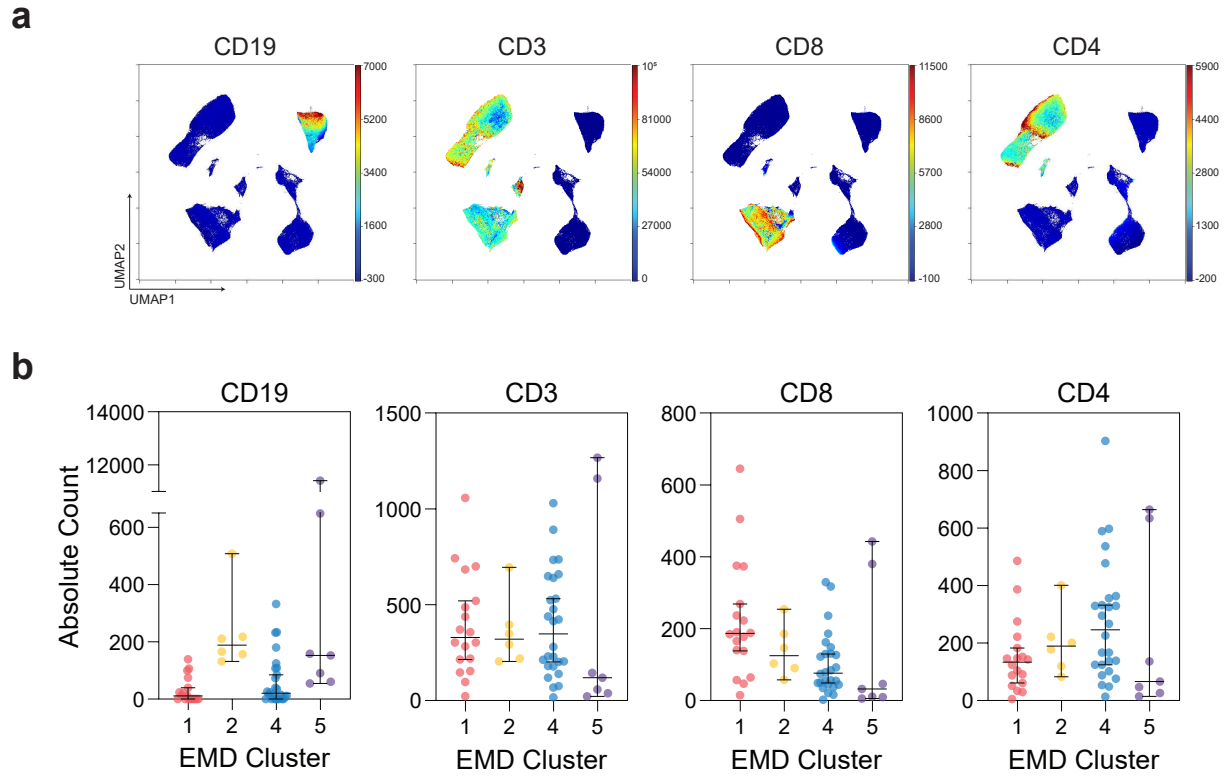
**Extended Data Fig. 4 | Cellular phenotyping of COVID-19 patients with cancer.** (a) Frequencies of circulating T follicular helper cells (cTfh), plasmablasts, and CD138 expression on plasmablasts (HD n=33; non-cancer n=108; solid cancer n=7; heme cancer n=3). (b) UMAP projection of non-naïve CD8 T cells with indicated protein expression. (c) Heatmap showing expression patterns of various markers, stratified by FlowSOM clusters. Heat scale calculated as column z-score of MFI. (d) Frequencies of CD8 subsets: naive (CD45RA+CD27+CCR7+), central memory (CD45RA-CD27+CCR7+), transition memory (CD45RA-CD27+CCR7-), effector memory (CD45RA-CD27-CCR7-), and TEMRA (CD45RA+CD27-CCR7-) (HD n=33; non-cancer n=108; cancer n=9). (e) (Top) HLA-DR and CD38 coexpression in concatenated activated clusters (3, 4, and 5) and associated UMAP localization. (Bottom) Frequency of activated clusters (3, 4, and 5) in each patient (HD n=30; non-cancer n=110; solid-cancer n=8). (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.

## Extended Data Fig. 5



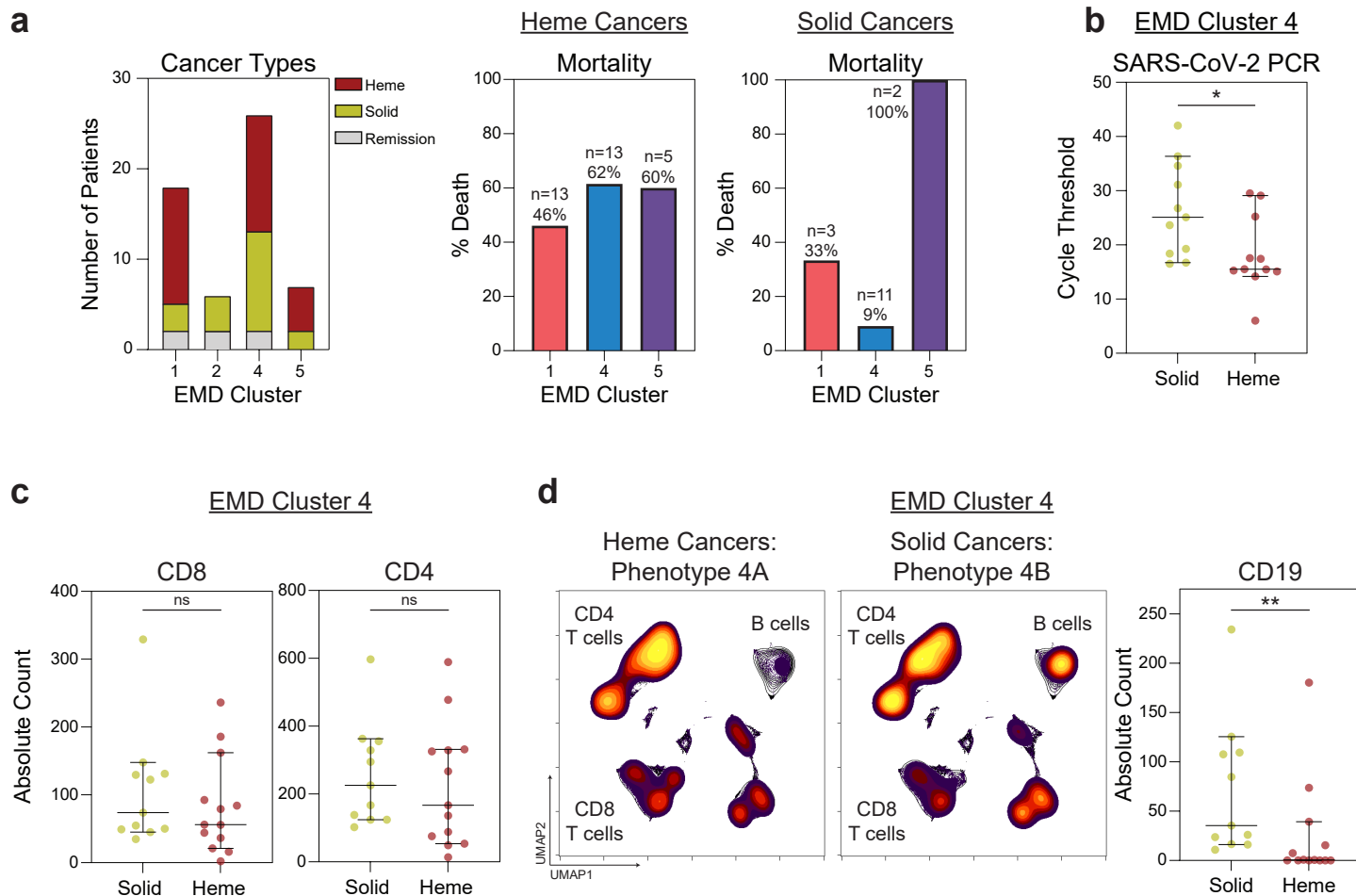
**Extended Data Fig. 5 | Cellular, serologic, and clinical features in solid and hematologic cancer patients with COVID-19.** (a) Absolute counts of CD4, CD8, and CD19 expression in remission (n=11), solid cancer (n=23), and hematologic cancer (n=41) patients. (b) Relative levels of SARS-CoV-2 IgG and IgM in solid (n=11) and hematologic cancer (n=14) patients. (c) Severity (NIH ordinal scale for COVID-19 clinical severity) and RT-PCR cycle threshold (remission n=9; solid n=25; heme n=28) (Lower Ct: Higher viral load). (d) NIH ordinal scale for COVID-19 clinical severity. (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.

## Extended Data Fig. 6



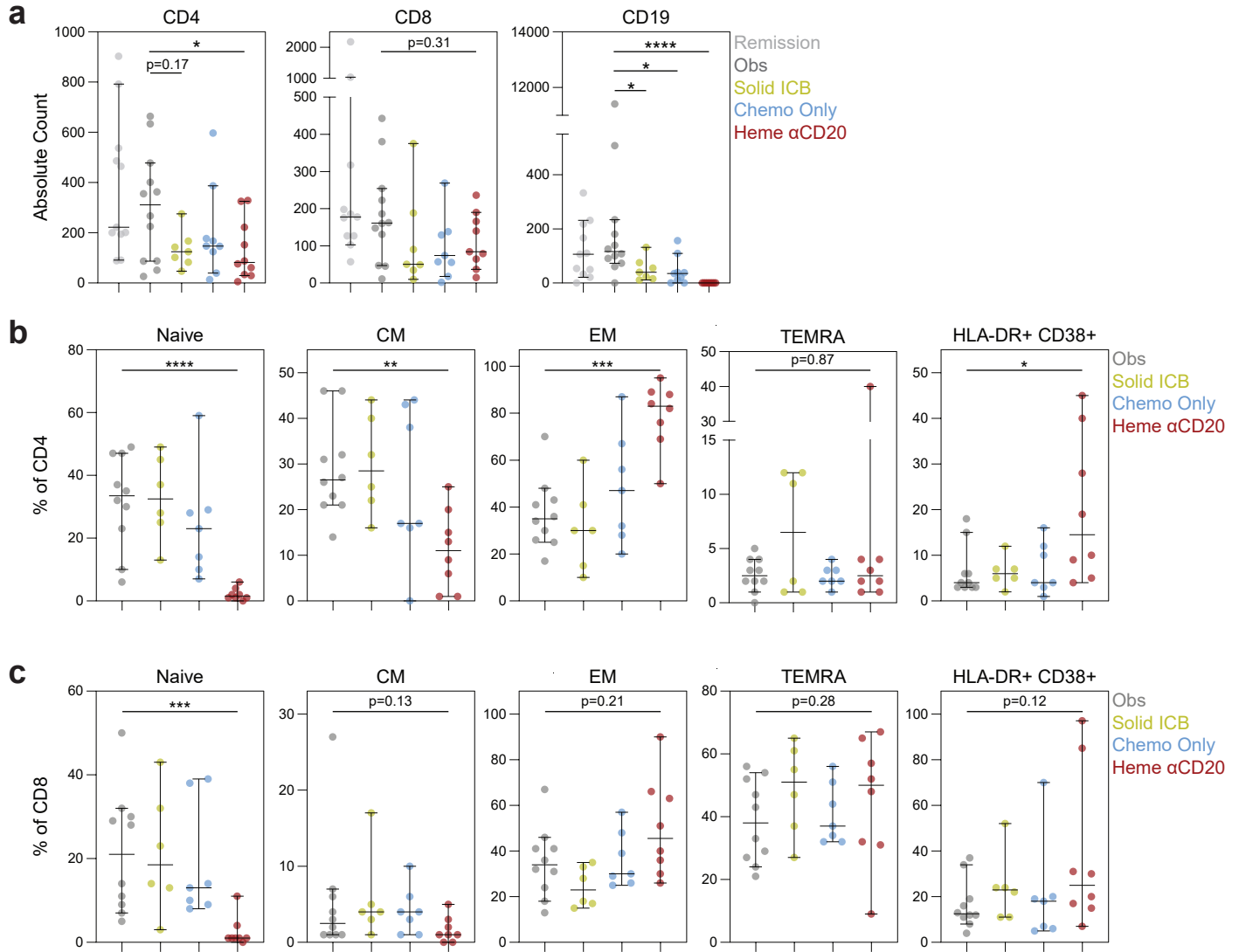
**Extended Data Fig. 6 | Dimensionality reduction and EMD clustering of MSKCC cohort. (a)** UMAP projections of lymphocytes with indicated protein expression. **(b)** Absolute counts of CD19+, CD3+, CD3+CD8+, and CD3+CD4+ cells of patients in each EMD cluster (Cluster 1 n=18; Cluster 2 n=6; Cluster 3 n=26; Cluster 4 n=7). (All) Median and 95% CI shown.

## Extended Data Fig. 7



**Extended Data Fig. 7 | EMD Cluster 4 drives differences in mortality between hematologic and solid cancer patients.** (a) (Left) Number of patients with hematologic, solid, and remission cancer statuses within each EMD cluster. (Right) Mortality of patients within each EMD cluster for hematologic and solid cancers. (b) RT-PCR cycle threshold of solid and heme cancer patients in EMD cluster 4 (solid  $n=11$ ; heme  $n=11$ ). (c) Absolute CD8 and CD4 T cell counts for subjects in EMD cluster 4 stratified by solid ( $n=11$ ) and heme ( $n=13$ ) cancer. (d) Global UMAP projections of lymphocytes for subjects in EMD cluster 4: (Left) Hematologic cancer; (Middle) Solid cancer. (Right) Absolute B cell counts for subjects in EMD cluster 4 stratified by solid ( $n=11$ ) and heme ( $n=13$ ) cancer. (All) Significance determined by Mann Whitney test: \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ , and \*\*\*\* $p<0.0001$ . Median and 95% CI shown.

## Extended Data Fig. 8

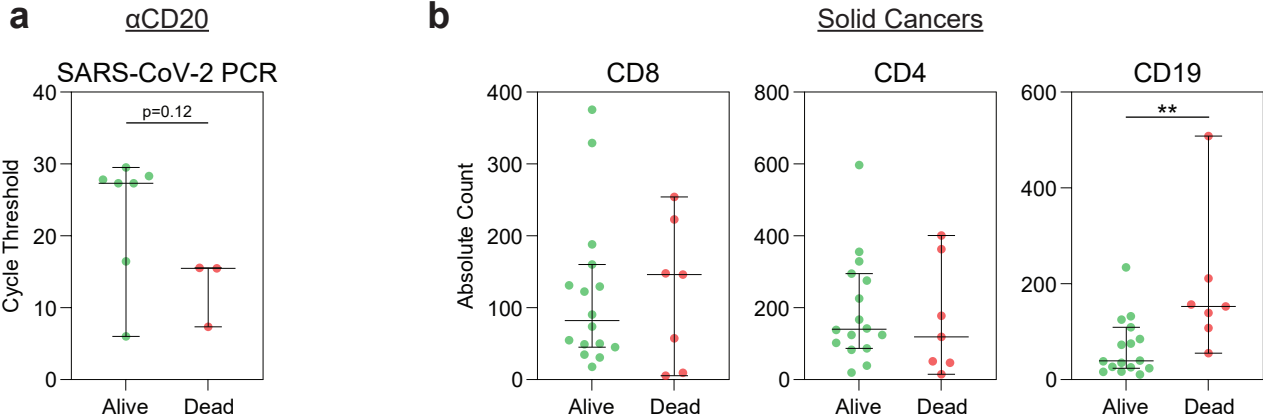


### Extended Data Fig. 8 | Effect of cancer treatment on T cell differentiation in cancer patients with COVID-19. (a) Absolute counts of CD4, CD8, and CD19 expressing cells.

Frequencies of (b) CD4 and (c) CD8 T cell subsets in cancer patients treated with immune checkpoint blockade therapies, chemotherapies, and B cell depleting therapies. Naive (CD45RA+CCR7+), CM (CD45RA-CCR7+), EM (CD45RA-CCR7-), TEMRA (CD45RA+CCR7-). (All) Remission n=11, obs n=12, chemo only n=9, solid ICB n=7, and heme  $\alpha$ CD20 n=10. Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.



# Extended Data Fig. 9



**Extended Data Fig. 9 | Association of mortality with cell counts and viral load. (a)** RT-PCR cycle threshold of patients treated with  $\alpha$ CD20 therapy (alive n=7; dead n=3). **(b)** Absolute counts of CD8+, CD4+, and CD19+ cells in solid cancer patients (alive n=16; dead n=7). (All) Significance determined by Mann Whitney test: \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$ , and \*\*\*\* $p<0.0001$ . Median and 95% CI shown.

**Supplementary Table 1 | COPE: Patient demographics and clinical characteristics by tumor type.**

	<b>Solid (N=78)</b>	<b>Heme (N=22)</b>
Age, median (IQR)	70.5 (57-78)	64.5 (60-77)
Gender, female	39 (50.0%)	9 (40.9%)
Race		
White	24 (30.8%)	9 (40.9%)
Non-white	49 (62.8%)	12 (54.6%)
Unknown	5 (6.41%)	1 (4.55%)
Smoking History, Current/Prior	44 (56.4%)	13 (59.1%)
Comorbidities		
Cardiac	63 (80.8%)	15 (68.2%)
Pulmonary	38 (48.7%)	3 (13.6%)
Use of immunosuppressive drugs <sup>+</sup>	23 (29.5%)	7 (31.8%)
BMI, median (IQR)	26.6 (23.2-30.9)	28.7 (24.0-33.4)
Cancer Status, Active <sup>**</sup>	32 (41.0%)	14 (63.6%)
Cancer Treatment		
Treatment in last 3 months		
Cytotoxic Chemotherapy	16 (20.5%)	8 (36.4%)
Hormone therapy	15 (19.2%)	0 (0.00%)
Active Surveillance/surgery	43 (55.1%)	10 (45.5%)
Other <sup>*</sup>	4 (5.13%)	4 (18.2%)
ECOG Performance Status	N=58	N=15
0-1	28 (48.3%)	9 (60.0%)
2	9 (15.5%)	4 (26.7%)
3-4	21 (36.2%)	2 (13.3%)
<sup>*</sup> Single agent immunotherapy, targeted therapy, monoclonal antibodies <sup>+</sup> Exposure to immunosuppressive medications not including cancer treatment <sup>**</sup> Diagnosis or treatment within 6 months		

**Supplementary Table 2 | MESSI: Patient demographics and clinical characteristics by cancer status.**

	<b>Non-Cancer (N=108)</b>	<b>Cancer (N=22)</b>	<b>Overall (N=130)</b>
Gender			
Female	49 (45.4%)	14 (63.6%)	63 (48.5%)
Male	59 (54.6%)	8 (36.4%)	67 (51.5%)
Age (median)	60	66	60.5
Race			
Asian	5 (4.6%)	1 (4.5%)	6 (4.6%)
Black	74 (68.5%)	16 (72.7%)	90 (69.2%)
White	28 (25.9%)	5 (22.7%)	33 (25.4%)
Pacific Islander	1 (0.9%)	0 (0%)	1 (0.8%)
Symptoms (Days before hospitalization) (median)	9	8	9
Severity (At hospitalization) (median)	3.5	3	3
Mortality (28 days)	12 (11.1%)	8 (36.4%)	20 (15.4%)

**Supplementary Table 3 | MESSI: Patient demographics and clinical characteristics by cancer type.**

	Heme (N=7)	Solid (N=15)	Overall (N=22)
Gender			
Female	4 (57.1%)	10 (66.7%)	14 (63.6%)
Male	3 (42.9%)	5 (33.3%)	8 (36.4%)
Age (median)	67	65	66
Race			
Asian	0 (0%)	1 (6.7%)	1 (4.5%)
Black	5 (71.4%)	11 (73.3%)	16 (72.7%)
White	2 (28.6%)	3 (20.0%)	5 (22.7%)
Symptoms (Days before hospitalization) (median)	9	7.5	8
Severity (At hospitalization) (median)	3	4	3
Mortality (28 days)	2 (28.6%)	6 (40.0%)	8 (36.4%)
COVID Treatments			
Remdesivir	1 (14.3%)	2 (13.3%)	3 (13.6%)
Convalescent Plasma	1 (14.3%)	3 (20.0%)	4 (18.2%)
Early Steroids	4 (57.1%)	5 (33.3%)	9 (40.9%)

**Supplementary Table 4 | MESSI: Cancer type and cancer treatment.**

	Heme (N=7)	Solid (N=15)	Overall (N=22)
<b>Cancer Type</b>			
CML	1 (14.3%)	0 (0%)	1 (4.5%)
CTCL	1 (14.3%)	0 (0%)	1 (4.5%)
Lymphoma	1 (14.3%)	0 (0%)	1 (4.5%)
Mantle Cell Lymphoma	1 (14.3%)	0 (0%)	1 (4.5%)
MM	1 (14.3%)	0 (0%)	1 (4.5%)
MPN	1 (14.3%)	0 (0%)	1 (4.5%)
Myeloma	1 (14.3%)	0 (0%)	1 (4.5%)
Bladder	0 (0%)	1 (6.7%)	1 (4.5%)
Breast	0 (0%)	6 (40.0%)	6 (27.3%)
GBM	0 (0%)	1 (6.7%)	1 (4.5%)
Head and Neck	0 (0%)	1 (6.7%)	1 (4.5%)
NSCLC	0 (0%)	1 (6.7%)	1 (4.5%)
Ovarian	0 (0%)	1 (6.7%)	1 (4.5%)
Pancreas	0 (0%)	1 (6.7%)	1 (4.5%)
Prostate	0 (0%)	2 (13.3%)	2 (9.1%)
SCLC	0 (0%)	1 (6.7%)	1 (4.5%)
<b>Cancer Treatment</b>			
αCD20 + Chemotherapy	1 (14.3%)	0 (0%)	1 (4.5%)
Chemo	5 (71.4%)	4 (26.7%)	9 (40.9%)
None	1 (14.3%)	1 (6.7%)	2 (9.1%)
Chemotherapy + Radiation	0 (0%)	1 (6.7%)	1 (4.5%)
Hormonal	0 (0%)	4 (26.7%)	4 (18.2%)
Hormonal + CDK Inhibitor	0 (0%)	1 (6.7%)	1 (4.5%)
Hormonal + Radiation	0 (0%)	1 (6.7%)	1 (4.5%)
ICB	0 (0%)	3 (20.0%)	3 (13.6%)

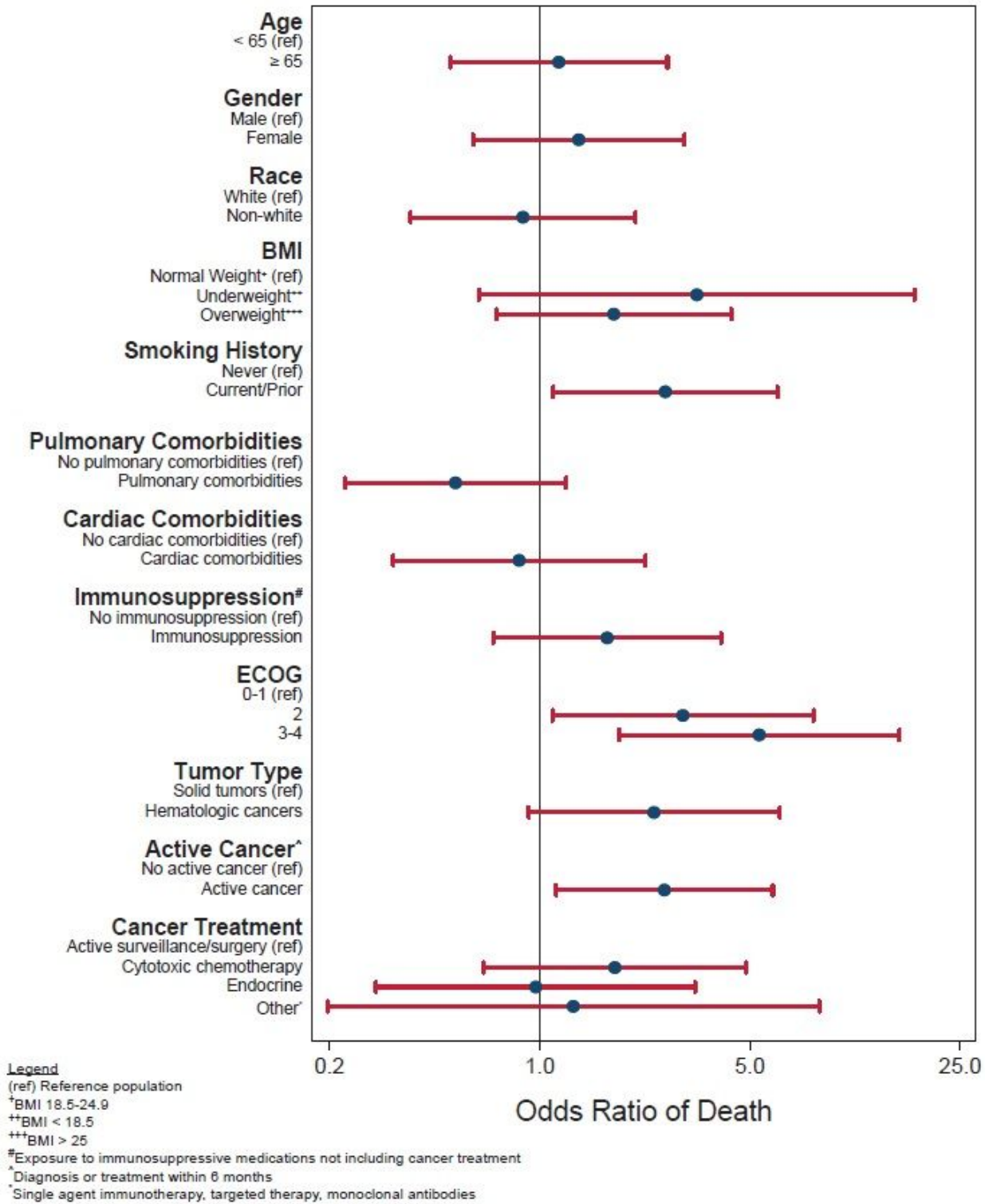
**Supplementary Table 5 | MSKCC: Patient demographics and clinical characteristics by cancer type.**

	<b>Heme (N=45)</b>	<b>Solid (N=39)</b>	<b>Overall (N=84)</b>
Gender			
Female	21 (46.7%)	20 (51.3%)	41 (48.8%)
Male	24 (53.3%)	19 (48.7%)	43 (51.2%)
Age (median)	64	66	65
Race			
Asian	2 (4.4%)	3 (7.7%)	5 (6.0%)
Black	5 (11.1%)	4 (10.3%)	9 (10.7%)
White	38 (84.4%)	30 (76.9%)	68 (81.0%)
Disease Severity (median)	4	3	4
Mortality (In hospital)	20 (44.4%)	8 (20.5%)	28 (33.3%)
COVID Treatments			
Remdesivir	12 (26.7%)	6 (15.4%)	18 (21.4%)
Convalescent Plasma	25 (55.6%)	14 (35.9%)	39 (46.4%)
Early Steroids	17 (37.8%)	21 (53.8%)	38 (45.2%)

**Supplementary Table 6 | MSKCC: Cancer type and cancer treatment.**

	Heme (N=45)	Solid (N=39)	Overall (N=84)
<b>Cancer Type</b>			
ALL	4 (8.9%)	0 (0%)	4 (4.8%)
AML	6 (13.3%)	0 (0%)	6 (7.1%)
CLL	4 (8.9%)	0 (0%)	4 (4.8%)
Lymphoma	23 (51.1%)	0 (0%)	23 (27.4%)
MDS/Myelofibrosis	3 (6.7%)	0 (0%)	3 (3.6%)
Myeloma	5 (11.1%)	0 (0%)	5 (6.0%)
Bladder	0 (0%)	2 (5.1%)	2 (2.4%)
Breast	0 (0%)	8 (20.5%)	8 (9.5%)
CNS	0 (0%)	3 (7.7%)	3 (3.6%)
Colorectal	0 (0%)	5 (12.8%)	5 (6.0%)
GYN	0 (0%)	3 (7.7%)	3 (3.6%)
Head and Neck	0 (0%)	1 (2.6%)	1 (1.2%)
Kidney	0 (0%)	1 (2.6%)	1 (1.2%)
Liver	0 (0%)	1 (2.6%)	1 (1.2%)
Lung	0 (0%)	5 (12.8%)	5 (6.0%)
Melanoma	0 (0%)	2 (5.1%)	2 (2.4%)
Prostate	0 (0%)	3 (7.7%)	3 (3.6%)
Renal	0 (0%)	1 (2.6%)	1 (1.2%)
Sarcoma	0 (0%)	2 (5.1%)	2 (2.4%)
Thymoma	0 (0%)	1 (2.6%)	1 (1.2%)
Thyroid	0 (0%)	1 (2.6%)	1 (1.2%)
<b>Cancer Treatment</b>			
αCD20	9 (20.0%)	0 (0%)	9 (10.7%)
αCD20 + chemo	9 (20.0%)	0 (0%)	9 (10.7%)
anti-CD30	1 (2.2%)	0 (0%)	1 (1.2%)
αHER2	0 (0%)	2 (5.1%)	2 (2.4%)
AXL inhibitor	1 (2.2%)	0 (0%)	1 (1.2%)
Bispecific	1 (2.2%)	0 (0%)	1 (1.2%)
BTK inhibitor	4 (8.9%)	0 (0%)	4 (4.8%)
CAR-T	1 (2.2%)	0 (0%)	1 (1.2%)
Chemotherapy	8 (17.8%)	15 (38.5%)	23 (27.4%)
EZH inhibitor	1 (2.2%)	0 (0%)	1 (1.2%)
PI3K Inhibitor	1 (2.2%)	0 (0%)	1 (1.2%)
Proteasome inhibitor	3 (6.7%)	0 (0%)	3 (3.6%)
Radiation	1 (2.2%)	0 (0%)	1 (1.2%)
Tyrosine kinase inhibitor	1 (2.2%)	0 (0%)	1 (1.2%)
Hormonal	0 (0%)	5 (12.8%)	5 (6.0%)
Immune checkpoint blockade	0 (0%)	7 (17.9%)	7 (8.3%)
VEGF inhibitor	0 (0%)	1 (2.6%)	1 (1.2%)
None	4 (8.9%)	9 (23.1%)	13 (15.5%)

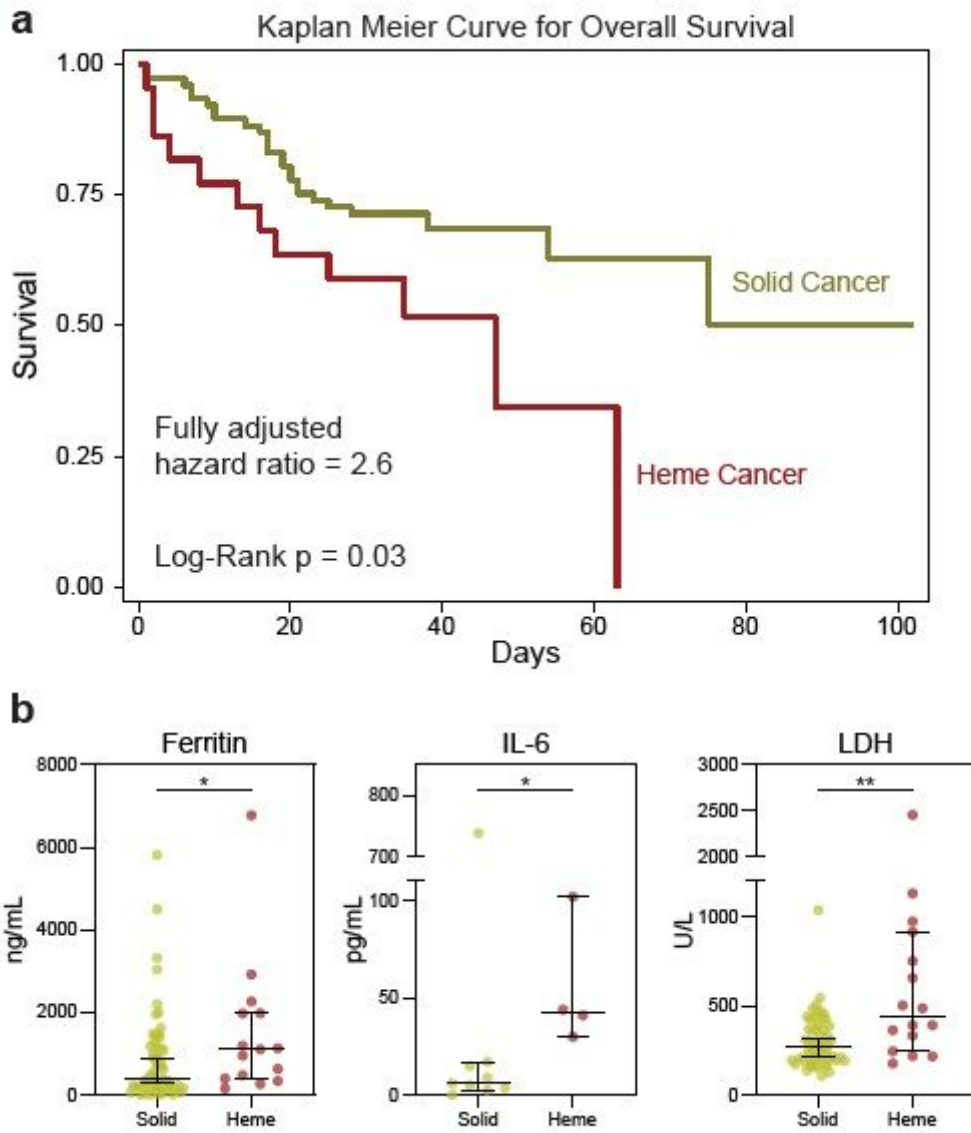
# Figures



**Figure 1**

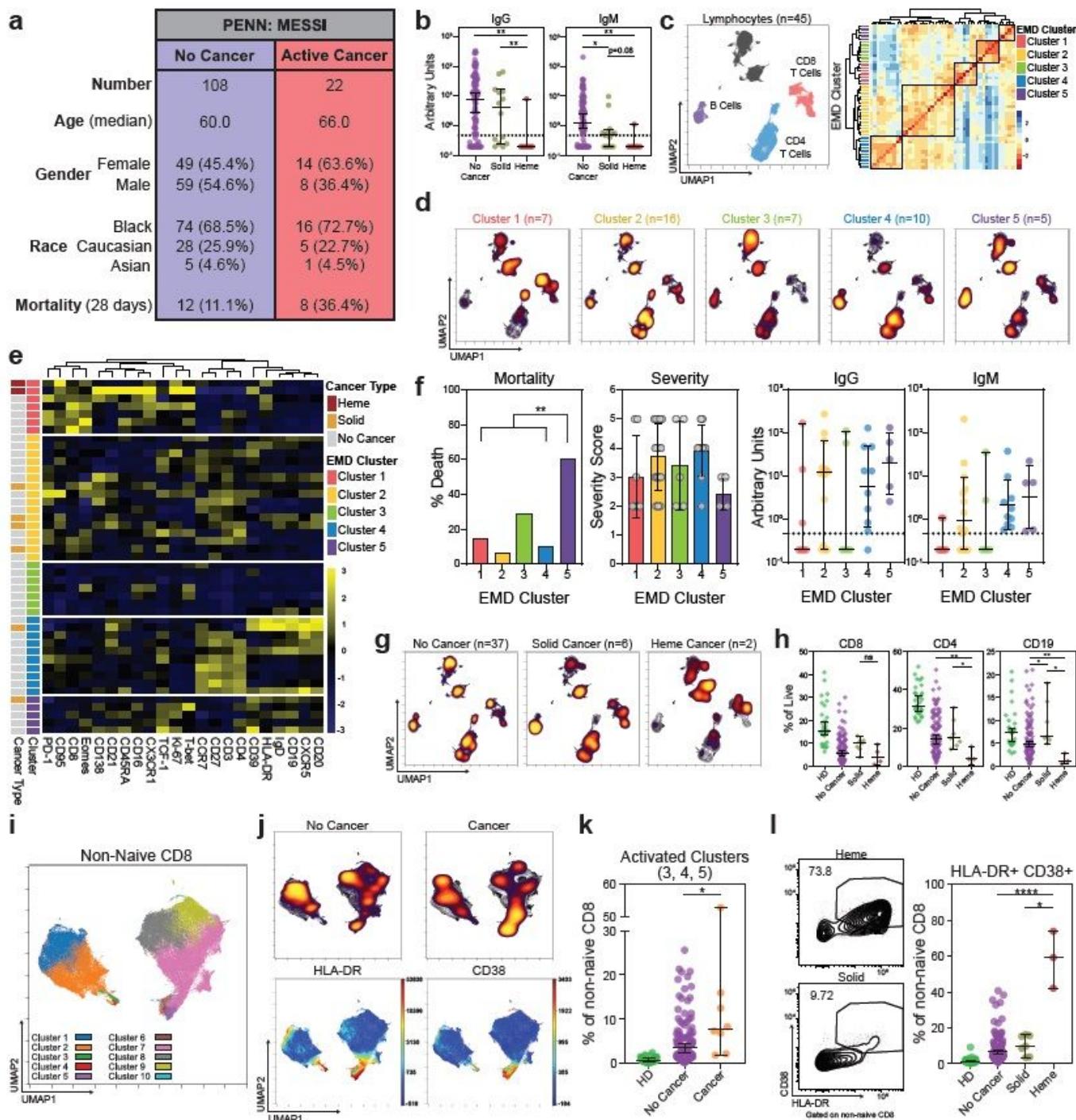
Univariate analysis of potential risk factors in COVID-19 mortality.





**Figure 2**

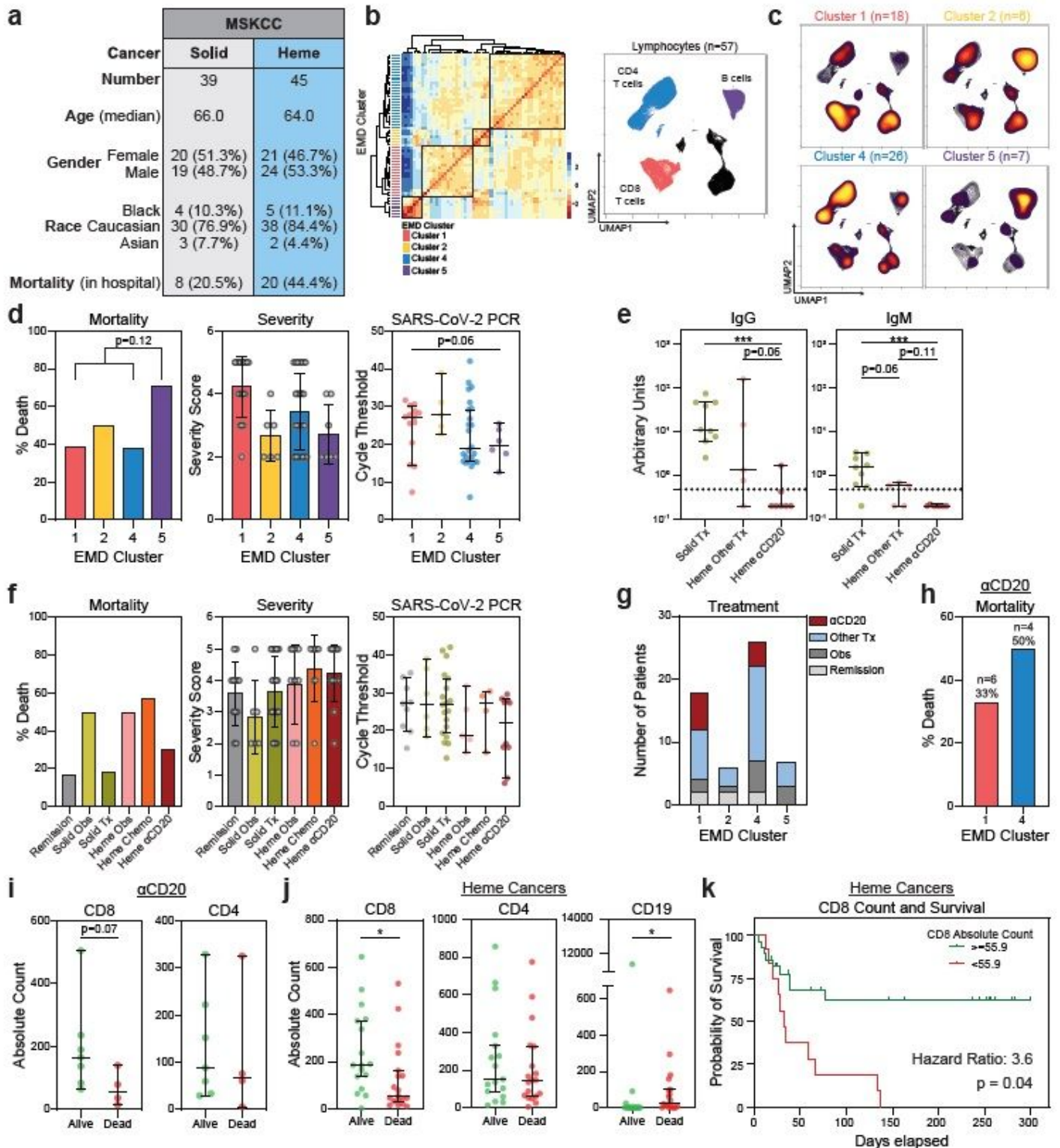
Hematologic cancer is an independent risk factor for COVID-19 related mortality. (a) Kaplan Meier curve for COVID-19 survival of patients with solid ( $n=77$ ) and hematologic ( $n=22$ ) cancer. Cox regression-computed hazard ratio for mortality in hematologic vs solid cancer, adjusted for age, gender, smoking status, active cancer status, and ECOG performance status. (b) Ferritin, IL-6, and LDH in solid ( $n=62$ ) and hematologic ( $n=15$ ) cancer hospitalized for COVID-19. (All) Significance determined by Mann Whitney test:  $*p<0.05$ ,  $**p<0.01$ ,  $***p<0.001$ , and  $****p<0.0001$ . Median and 95% CI shown.



**Figure 3**

High dimensional analyses reveal immune phenotypes associated with mortality and distinct phenotypes between solid and hematologic cancers. (a) Demographic and mortality data for MESSI cohort at Penn. (b) Relative levels of SARS-CoV-2 IgG and IgM of solid (n=14) and hematologic (n=7) cancer patients and non-cancer patients (n=108). (c) (Left) Global UMAP projection of lymphocyte populations for all 45 patients pooled. (Right) Hierarchical clustering of Earth Mover's Distance (EMD) using Pearson correlation, calculated pairwise for lymphocyte populations. (d) UMAP projection of concatenated lymphocyte populations for each EMD cluster. (Yellow: High Density; Black; Low Density)

(e) Heatmap showing expression patterns of various markers, stratified by EMD cluster. Heat scale calculated as column z-score of MFI. (f) Mortality, disease severity, and SARS-CoV-2 antibody data, stratified by EMD cluster (Cluster 5 n=5; Cluster 1,2,3,4 n=40). Mortality significance determined by Pearson Chi Square test. Severity assessed with NIH ordinal scale for COVID-19 clinical severity (1: Death; 8: Normal Activity)<sup>15</sup>. (g) UMAP projections of concatenated lymphocyte populations for solid cancer, hematologic cancer, and non-cancer patients. (h) CD8 and CD4 T cell and B cell frequencies in healthy donors (HD) (n=33), non-cancer (n=108), solid cancer (n=7), and heme cancer (n=4). (i) UMAP projection of non-naïve CD8 T cell clusters identified by FlowSOM. (j) (Top) UMAP projections of non-naïve CD8 T cells for non-cancer and cancer patients. (Bottom) UMAP projections indicating HLA-DR and CD38 protein expression on non-naïve CD8 T cells for all patients pooled. (k) Frequency of activated FlowSOM clusters in HD (n=30), non-cancer (n=110), and cancer patients (n=8). (l) Representative flow plots and frequency of HLA-DR and CD38 co-expression in HD (n=30), non-cancer (n=110), solid cancer (n=7), and hematologic cancer (n=3) patients. (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.



**Figure 4**

CD8 T cell counts associated with survival in hematologic cancer patients with COVID-19. (a) Demographic and mortality data of MSKCC cohort. (b) (Left) Hierarchical clustering of Earth Mover's Distance (EMD) using Pearson correlation, calculated pairwise for lymphocyte populations. (Right) Global UMAP projection of lymphocyte populations pooled. (c) UMAP projection of concatenated lymphocyte populations for each EMD cluster. (Yellow: High Density; Black: Low Density) (d) Mortality

(Cluster 5 n=7; Cluster 1,2,4 n=50), severity, and RT-PCR cycle threshold (Cluster 1 n=14; Cluster 2 n=5; Cluster 4 n=24; Cluster 5 n=6) (Lower Ct: Higher viral load) stratified by EMD cluster. Mortality significance determined by Pearson Chi Square test. (e) Relative levels of SARS-CoV-2 IgG and IgM of patients with recent cancer treatments (solid tx n=9; heme  $\alpha$ CD20 n=7; heme other tx n=5). (f) Mortality, severity, and RT-PCR cycle threshold stratified by cancer treatment (remission n=9; solid obs n=6; solid tx n=19; heme obs n=5; heme chemo n=4; heme  $\alpha$ CD20 n=10). Severity assessed with NIH ordinal scale for COVID-19 clinical severity. (g) Recent cancer treatment of patients in each EMD cluster. (h) Mortality of patients treated with B cell depleting therapy in EMD cluster 1 (red) and EMD cluster 4 (blue). (i) Absolute CD8 and CD4 T cell counts in patients treated with B cell depleting therapy (alive n=7; dead n=4). (j) Absolute CD8 and CD4 T cell counts and B cell counts in hematologic cancer patients (alive n=17; dead n=18). (k) Kaplan-Meier curve for survival in hematologic cancer patients stratified by CD8 T cell counts (threshold = 55.9; log-rank hazard ratio) ( $\geq 55.9$  n=28;  $< 55.9$  n=13). CD8 count threshold determined by Classification and Regression Tree (CART) analysis. (All) Significance determined by Mann Whitney test: \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, and \*\*\*\*p<0.0001. Median and 95% CI shown.