## Estimation of Surface Roughness in Selective Laser Sintering Using Computational Models

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## Research Article

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#### Abstract

In this study, a novel classification model is proposed to estimate surface roughness for the parts produced in an industrial additive manufacturing technology. The proposed model focused on selective laser sintering (SLS) technology based on polyamide 12 powder applications. A comprehensive dataset is designed to simulate the production parts and manufactured at an industrial SLS machine based on a proposed positioning strategy and random positions to test the robustness of the dataset and the model. The proposed classification model is based on Deep Neural Networks (DNN) with hyperparameters designed for the problem. Benchmark results show that the model outperforms other machine learning methods on classifying the surface roughness successfully on the test dataset. The dataset and the model provide a new user interface to estimate the surface roughness depending on the coordinates of a given product surface in a SLS production chamber and the production parameters employed in the production planning phase.


## 1. Introduction

In recent years, advanced manufacturing technologies have increasingly adopted artificial intelligence for more efficient and robust manufacturing. Ensuring the high-quality output in the production planning phase in additive manufacturing is a labour-intensive task. Especially in selective laser sintering (SLS) and direct metal laser sintering (DMLS) technologies, the position of the part has a significant effect on surface roughness, and it needs a high level of human expertise to estimate the surface roughness, which directly affects the quality of the final product.

Additive Manufacturing (AM) technologies are methods that enable the rapid production of threedimensional complex-shaped parts. They can be worked with wax, ceramics, polymers, metals, and biomaterials. Different types of raw materials in powder, sheet, filament and liquid are available. In all AM methods, parts using computer-aided design (CAD) are produced by depositing the material layer by layer through selective fusion, sintering, or polymerisation [1, 2].

SLS technologies are generally used for rapid prototyping in their early stages. However, in recent years, the technology is also implemented for mass production when materials are available and cost-effective for the given application. The SLS deploys a powder bed technology approach as part of additive manufacturing. Since the manufacturing is focused on layer-based laser sintering, the technology may employ several different layer thicknesses ( $60 \mu \mathrm{~m}, 100 \mu \mathrm{~m}, 120 \mu \mathrm{~m}$ ) depending on applications, surface quality and material properties $[3,4,5]$.

Production procedures can be explained as follows: First, the powder is spread to the production area by the recoater or blade as much higher as the production layer thickness. The design section of the first layer is scanned and melted by an optical laser. In the second stage, the production platform lowered to a pre-planned layer thickness, opening the powder feeder required for the next layer. This powder laying and laser sintering continue in loops until the end of the process $[6,7,8]$.

The polyamide powder materials cover more than $90 \%$ of the thermoplastics at the AM market due to the increasing volumes of PBF machines that use these powders. The polyamide family (Polyamide 12 (PA 12), Polyamide 11 (PA 11, followed by Polyamide 6) is the most widely used thermoplastic polymeric material family. Also, Polypropylene (PP), Polyethylene (PE), Thermoplastic Polyurethane (TPU), Polyetheretherketone (PEEK), Polystyrene (PS) are non-polyamide laser sintering marketing materials [9, $10,11]$.

The surface quality of end products can be improved by optimising the process parameters. Bodaghia et al. [12] compared the surface quality of printed porous materials produced by SLA, MJF, and FDM 3DP. The benchmark results show that SLA obtained the better surface finish with the lowest standard deviations of roughness. The average roughness $\left(\mathrm{S}_{\mathrm{a}}\right)$ and root mean square (RSM) roughness ( $\mathrm{S}_{\mathrm{q}}$ ) values of better surface finish of MJF samples are lower than those of FDM. Taufik et al. [13] presented a laser-assisted finishing process to improve FDM parts' surface quality further. The benchmark results showed that when the laser-based finishing process was performed, low arithmetic surface roughness $\left(R_{a}\right)$, negative skewness $\left(R_{s k}\right)$ and kurtosis $\left(R_{k u}\right)>3$ were found as the most appropriate conditions for surface finishing.

In recent years, data-driven solution methods have played a critical role in many engineering problems. They provide better and time-efficient solutions that can extract information from data that might handle the complex nature of the problem, which cannot be solved within a polynomially defined time. Machine learning algorithms and especially deep learning algorithms have been used for many industrial applications, including intelligent damage identification [14], remaining useful life prediction [15], and prediction of energy consumption and surface roughness of the natural materials [16].

Although there are several studies available for enhancing the surface quality in the pre-production (production planning) and post-production phases by optimising the process parameters, there is no research carried out on estimation of surface roughness using a machine learning method and a production dataset that contains positioning and angle values have a substantial effect on the finish surface of final products in SLS systems. Yang et al. have proposed a customised method of postproduction heating by hybridising material preparation combining properties of the powder and parts. Various combination of the vital process parameters has been investigated using a design of experiments (DoE) method [17]. Caliskan et al. have investigated the manufacturability, inner surface properties and efficiency of various geometries conformal cooling channel (CCC) geometries by using direct metal laser sintering (DMLS) system [18].

In this paper, an experimental study is carried out to estimate the surface roughness of any given design during the production planning phase by creating a comprehensive dataset that represents a real production environment with coordinates, angles and observed surface roughness. In section 2, a methodology to prepare an experimental setup and data collection is presented. Experimental setup, effects of standard parameters and a new experimental design are also given. A sample design with four different angles is proposed and manufactured to represent the actual production environment. In section

3, a classification and estimation model is proposed based on deep learning algorithms. In section 4, results of the trained system are presented along with additional benchmarks with other available classification techniques to show the system's robustness.

## 2. Experimental Setup And Data Collection 2.1. Test Specimen Design

A test specimen is designed in octagonal form with the dimensions of $16 \mathrm{~mm} \times 16 \mathrm{~mm} \times 18 \mathrm{~mm}$ (XYZ, respectively), as shown in Fig. 1. The specimen is specially designed to represent actual product conditions with the part design module of Catia V5®. The arrow form in the middle of the test specimen is added to ensure that all samples are produced in the same Z direction relative to X and Y . The size determination of the specimen is limited to the technical specifications of the surface roughness measurement device.

In the SLS production process, certain constraints are considered in the placement of parts throughout the volume of the production chamber. The parts must be separated apart 1 mm minimum in the production chamber to achieve standard surface quality, as indicated in the manufacturer's guide. Any contact should result in surface deformation and failure. A minimum of 3 mm must be left before the first layer of any product.

The positioning and relative angles of the parts have a substantial effect on the surface quality in the process. In this research, the effects of various parameters on the surface quality are investigated with production samples developed in an octagonal form. It is proposed to create several different angles at the same spot of the production chamber and simulate an actual product with several surfaces simultaneously (see Fig. 1).

A multi-surface octagonal form with various angles on the same sample represents the actual manufacturing conditions. The angles $0^{0}, 45^{0}, 90^{\circ}$ and $135^{\circ}$ were set relative to the $Z$ dimension, as shown in Figs. 2a, 2b, 2c and 2d. The surface roughness measurements to create a dataset are made on these surfaces, as discussed in the following sections. The test samples are positioned in a production volume to gather surface roughness data, as shown in Fig. 3a. A three-dimensional positions data for each sample is recorded, as shown in Fig. 3b.

### 2.2. Experimental Setup and Process Parameters

Experimental studies are carried out using EOS Formiga P100 machine setup under standard production conditions of temperature, humidity and powder management procedures. The production system is kept in a special environment where EASA Part21 Subpart G civil aviation production organisation approval standards and TS EN ISO 9001:2015 quality management standards are applied. The productions volume of the machine is $192 \mathrm{~mm}, 242 \mathrm{~mm}, 320 \mathrm{~mm}$ X, $Y$, $Z$, respectively, as can be seen in Fig. 3. Surface roughness is measured using the Mitutoyo $\mathrm{SJ}-500$ device.

### 2.2.1. Preliminary Parameter Determination

In this study, the process parameters that significantly affect surface roughness are studied as preliminary work. It was determined that the standard contour parameters had a more significant effect than on-part and downskin parameters. Standard process chamber temperatures of $168^{\circ} \mathrm{C}$ and $150^{\circ} \mathrm{C}$ for the SLS production process was deployed as the building chamber and removal chamber temperatures, respectively. Table 1 presents the constant core parameters of the SLS process and their respected values used throughout the experimental studies.

Table 1
Constant core parameters for EOS P110 Formiga SLS system.

| Hatching (Core Parameters) |  |  |  |
| :--- | :--- | :--- | :--- |
| Laser Power (W) | Scan Speed (mm/s) | Hatch (mm) | Beam Offset |
| 21 | 2500 | 0.25 | 0.15 |
| Upskin (Core Parameters) |  |  |  |
| Laser Power (W) | Scan Speed (mm/s) | Hatch (mm) | Thickness (mm) |
| 21 | 2500 | 0.25 | 0.30 |
| Downskin (Core Parameters) |  |  |  |
| Laser Power $(\mathrm{W})$ | Scan Speed (mm/s) | Hatch $(\mathrm{mm})$ | Thickness (mm) |
| 21 | 2800 | 0.25 | 0.30 |

The preliminary experiments were carried out for only $0^{0}$ and $180^{\circ}$ surfaces of specimens (see Fig. 1) in the first phase to identify the best set of parameters for dataset creation. Figure 4 presents the mean values of both experimental setups to identify the best possible set compared to the original EOS contour parameters. Table 2 presents the details of the scan and power parameters and their corresponding surface roughness values.

The methodology of the parameter setup is presented in Table 2. In the first stage of parameter trials, the contour parameters given by EOS have been changed. The first stage was completed by increasing and decreasing the scan and power values of the Standard $\left(S_{i}\right)$ parameters in contour. In the first study, six (from $S_{1}$ to $S_{6}$ ) contour parameters were determined. The power parameters are adjusted by changing the $2500 \mathrm{~mm} / \mathrm{s}$ and $800 \mathrm{~mm} / \mathrm{s} \mathrm{max} / \mathrm{min}$ scan parameters of the EOS while fixing the 16 W power parameter, and the power parameters are changed from 11 W to 21 W , as max/min values while fixing the 1500 $\mathrm{mm} / \mathrm{sec}$ scan parameter of the EOS. The min and max values of scan and power parameters used in both cases are also grouped in Table $2\left(\mathrm{~S}_{1}\right.$ to $\left.\mathrm{S}_{6}\right)$.

Twenty more parameters are created in the second stage of the preliminary study. While the scan value, where the best roughness value is obtained, was kept constant as $800 \mathrm{~mm} / \mathrm{sec}$, nine different parameters are tried to observe the effect of the power parameter. Then, while the power value was kept constant as 11 W , the effect of the scan parameter was examined with eleven different parameters. As a result of these experiments, it is determined that the best value is obtained with the $\mathrm{S}_{5}$ parameter.

As the roughness values of S5, S16 and EOS© parameters are examined in Fig. 5, the best surface roughness values are obtained using the S5 parameter set. Thus, the S5 parameters set are decided as the experimental contour setup and the constant core parameters given in Table 1.

Table 2
Standard contour processing parameters and preliminary experimental mean test results are annotated as $S_{n}$ containing

Scan, Power and corresponding surface roughness values.

|  | Scan (mm/s) | Power (W) | Surface Roughness ( Ra ) |
| :---: | :---: | :---: | :---: |
| EOS® | 1500 | 16 | 11,212 |
| $\mathrm{S}_{1}$ | 2500 | 16 | 15,657 |
| $\mathrm{S}_{2}$ | 800 | 16 | 11,106 |
| $\mathrm{S}_{3}$ | 1500 | 11 | 13,679 |
| $\mathrm{S}_{4}$ | 1500 | 21 | 12,203 |
| $S_{5}$ | 800 | 11 | 10,560 |
| $\mathrm{S}_{6}$ | 2500 | 21 | 13,967 |
| $\mathrm{S}_{7}$ | 1400 | 11 | 13,529 |
| $\mathrm{S}_{8}$ | 1300 | 11 | 13,199 |
| $\mathrm{S}_{9}$ | 1200 | 11 | 16,004 |
| $\mathrm{S}_{10}$ | 1100 | 11 | 14,633 |
| $\mathrm{S}_{11}$ | 1000 | 11 | 14,449 |
| $\mathrm{S}_{12}$ | 900 | 11 | 15,474 |
| $\mathrm{S}_{13}$ | 700 | 11 | 16,118 |
| $\mathrm{S}_{14}$ | 600 | 11 | 15,886 |
| $\mathrm{S}_{15}$ | 500 | 11 | 14,997 |
| $\mathrm{S}_{16}$ | 400 | 11 | 16,411 |
| $\mathrm{S}_{17}$ | 300 | 11 | 15,820 |
| $\mathrm{S}_{18}$ | 800 | 14 | 15,196 |
| $\mathrm{S}_{19}$ | 800 | 13 | 14,853 |
| $\mathrm{S}_{20}$ | 800 | 12 | 15,240 |
| $\mathrm{S}_{21}$ | 800 | 10 | 13,786 |


|  | Scan (mm/s) | Power (W) | Surface Roughness (Ra) |
| :--- | :--- | :--- | :--- |
| $\mathrm{S}_{22}$ | 800 | 9 | 14,449 |
| $\mathrm{~S}_{23}$ | 800 | 8 | 13,058 |
| $\mathrm{~S}_{\mathbf{2 4}}$ | 800 | 7 | 13,229 |
| $\mathrm{~S}_{\mathbf{2 5}}$ | 800 | 6 | 13,718 |
| $\mathrm{~S}_{\mathbf{2 6}}$ | 800 | 5 | 12,998 |

### 2.3. Experimental Dataset

An experimental dataset is designed to collect data regarding the effect of positioning on surface roughness in the SLS process. Details of the experimental setup and the process parameters used in the experiments are introduced in section 2.2. During dataset creation same setup is used to measure the effect of positioning in a production chamber.

The octagonal test specimen is deployed for the data collection process (see Fig. 1). Three levels of the production chamber (bottom, middle, top) are dedicated to conducting five specimens on each level. In total, fifteen specimens are placed in a production chamber to represent the whole volume (see Fig. 7). The placements are started from 2 mm to 285 mm on the $Z$ coordinates. Table 3 presents the coordinates of each octagonal specimen surface in the process chamber in mm. As shown in Fig. 1, each specimen has four surfaces at $0,45,90$ and 135 degrees. Table 3 presents the coordinates of central points in each surface of the specimen.

Three more specimens are also designed to test the robustness of the representation of the first set (see Fig. 8). Table 4 presents the coordinates of randomly placed $A, B$, and $C$ specimens. The test set is also identical to the first batch given in Table 1.

Table 3
XYZ coordinates the octagonal test specimen surfaces in the process chamber (mm).

| Sample \#1 |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 8 | 13.66 | 16 | 13.66 |
| y | 9 | 9 | 9 | 9 |
| z | 2 | 4.34 | 10 | 15.66 |
| Sample \#2 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 185 | 190.66 | 193 | 190.66 |
| y | 9 | 9 | 9 | 9 |
| z | 2 | 4.34 | 10 | 15.66 |
| Sample \#3 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 95,56 | 101.22 | 103.56 | 101.22 |
| y | 117,12 | 117.12 | 117.12 | 117.12 |
| z | 2 | 4.34 | 10 | 15.66 |
| Sample \#4 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 8 | 13.66 | 16 | 13.66 |
| y | 233 | 233 | 233 | 233 |
| z | 2 | 4.34 | 10 | 15.66 |
| Sample \#5 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 185 | 190.66 | 193 | 190.66 |
| y | 233 | 233 | 233 | 233 |
| z | 2 | 4.34 | 10 | 15.66 |
| Sample \#6 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |


| Sample \#1 |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| x | 8 | 13.66 | 16 | 13.66 |
| y | 9 | 9 | 9 | 9 |
| z | 142 | 144.34 | 150 | 155.66 |
| Sample \#7 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 185 | 190.66 | 193 | 190.66 |
| y | 9 | 9 | 9 | 9 |
| z | 142 | 144.34 | 150 | 155.66 |
| Sample \#8 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 95.44 | 101.1 | 103.44 | 101.1 |
| y | 117.08 | 117.08 | 117.08 | 117.08 |
| z | 142 | 144.34 | 150 | 155,66 |
| Sample \#9 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 8 | 13.66 | 16 | 13.66 |
| y | 233 | 233 | 233 | 233 |
| z | 142 | 144.34 | 150 | 155.66 |
| Sample \#10 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
|  | 185 | 190.66 | 193 | 190.66 |
| y | 232.95 | 232.95 | 232.95 | 232.95 |
| z | 142 | 144.34 | 150 | 155.66 |
| Sample \#11 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 8 | 13.66 | 16 | 13.66 |
| y | 9 | 9 | 9 | 9 |
| z | 285 | 287.34 | 293 | 298.66 |


| Sample \#1 |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Sample \#12 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 185 | 190.66 | 193 | 190.66 |
| y | 9 | 9 | 9 | 9 |
| z | 285 | 287.34 | 293 | 298.66 |
| Sample \#13 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 95,37 | 101.03 | 103.37 | 101.03 |
| y | 117 | 117 | 117 | 117 |
| z | 285 | 287.34 | 293 | 298.66 |
| Sample \#14 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 8 | 13.66 | 16 | 13.66 |
| y | 233 | 233 | 233 | 233 |
| z | 285 | 287.34 | 293 | 298.66 |
| Sample \#15 |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| x | 185 | 190.66 | 193 | 190.66 |
| y | 233 | 233 | 233 | 233 |
| z | 285 | 287.34 | 293 | 298.66 |

Table 4
Random part positioning in the processing chamber

| Sample A |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| $x$ | 8 | 13.66 | 16 | 13.66 |
| y | 9 | 9 | 9 | 9 |
| z | 227 | 229.34 | 235 | 240.66 |
| Sample B |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| $x$ | 40.42 | 46.08 | 48.42 | 46.08 |
| y | 11.39 | 11.39 | 11.39 | 11.39 |
| z | 233.56 | 235.9 | 241.56 | 247.22 |
| Sample C |  |  |  |  |
|  | $0^{\circ}$ | $45^{\circ}$ | $90^{\circ}$ | $135^{\circ}$ |
| $x$ | 86.46 | 92.12 | 94.46 | 92.12 |
| y | 81.36 | 81.36 | 81.36 | 81.36 |
| z | 233.84 | 236.18 | 241.84 | 247.5 |

### 2.4. Characterisation of the Specimens

The surface roughnesses of specimens are measured to complete the dataset. The values were measured using the Mitutoyo SJ-500 surface roughness device. Table 5 presents the surface roughness values of specimens. Table 6 presents the surface roughness values of random positioned samples.

Table 5
Surface roughness values of specimens.

|  | Surface Roughness $(\mu \mathrm{m})$ |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| Sample Number | $0^{\bullet}$ | $\mathbf{4 5}^{\circ}$ | $9^{\circ}$ | $1^{\circ} 135^{\circ}$ |
| $\mathbf{1}$ | 10.724 | 13.972 | 15.867 | 22.743 |
| $\mathbf{2}$ | 10.214 | 11.812 | 14.842 | 21.559 |
| $\mathbf{3}$ | 10.803 | 12.808 | 16.229 | 23.584 |
| $\mathbf{4}$ | 11.734 | 12.688 | 17.029 | 21.221 |
| $\mathbf{5}$ | 9.569 | 12.282 | 15.668 | 21.936 |
| $\mathbf{6}$ | 10.726 | 13.970 | 16.178 | 24.257 |
| $\mathbf{7}$ | 11.649 | 13.491 | 15.882 | 23.929 |
| $\mathbf{8}$ | 11.410 | 14.078 | 16.114 | 24.303 |
| $\mathbf{9}$ | 12.445 | 13.203 | 18.677 | 22.195 |
| $\mathbf{1 0}$ | 12.077 | 13.729 | 17.623 | 23.120 |
| $\mathbf{1 1}$ | 9.616 | 13.087 | 15.941 | 22.600 |
| $\mathbf{1 2}$ | 11.907 | 13.871 | 16.160 | 19.460 |
| $\mathbf{1 3}$ | 11.199 | 13.429 | 19.386 | 21.255 |
| $\mathbf{1 4}$ | 11.580 | 13.410 | 16.096 | 23.794 |
| $\mathbf{1 5}$ |  |  |  |  |

Table 6
Surface roughness values of randomly placed specimens.

| Surface Roughness $(\mu \mathrm{m})$ |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| Sample Code | $0^{\circ}$ | $45^{\circ}$ | $9^{\circ}$ | $135^{\circ}$ |
| A | 11.197 | 12.785 | 19.346 | 21.876 |
| B | 11.242 | 13.686 | 16.974 | 23.227 |
| C | 13.693 | 14.856 | 17.533 | 23.326 |

## 3. Estimation Model

### 3.1. The Proposed Model

In this study, seven different features, including position $x$, position y, position $z$, angle, speed, power, and mixture, have been considered for the classification process of Polyamide 12. The study aims to propose an estimation model to decide whether the surface roughness of the samples is over the threshold in actual production conditions. The average surface roughness, which varies relative to position X , position Y , position Z , and angle value, is used as a decision parameter.

A classification model using a deep neural network (DNN) is proposed in the context of this study. DNN is a well-known artificial neural network model that deploys more than two hidden layers to solve estimation and/or classification problems in many engineering applications that can be solved using a supervised learning algorithm (19). Figure 6 represents an example of a deep learning model with an input layer, three hidden layers, and an output layer. The proposed model has two hidden layers, one drop-out layer and one output layer. The dense layer is modelled with an average value of the surface roughness. The drop-out layer has been used to prevent the overfitting problem.

The transformation between the layers is carried through an activation function. The transformation between layers is defined as follows:

$$
z^{(l)}=W^{(l)} \cdot a^{(l-1)}
$$

1
Here, $\mathrm{z}^{(1)}$ represents the output value for layer I . It is calculated using weight matrix $\mathrm{W}^{(1)}$, which is defined by combining an associative weight value $\mathrm{w}_{\mathrm{ij}}{ }^{(1)}$ from $\mathrm{i}^{\text {th }}$ neuron of layer $\mathrm{l}-1$ to $\mathrm{j}^{\text {th }}$ neuron of layer l. Learning happens by updating weights using optimisation (training) algorithms. Here a ${ }^{(1)}$ is the activated value of corresponding input value, which is defined using activation function $\sigma$ as follows:

$$
a^{(l)}=\sigma\left(z^{(l)}\right)
$$

## 2

Figure 7 presents the proposed pipeline of the estimation/classification model. Details of data cleaning, labelling and pre-processing steps are given in the next section.

### 3.2. Experimental Setup

The dataset is split into training, validation, and test sets (Tables $3,4,5$ and 6 ). Ten different deep neural network models have been defined combining different hyper-parameters, given in Table 7. Rectified Linear Unit (ReLU) activation function is used as an activation function combined with Adam optimiser.

Keras Sequential model including dense feature layer is modelled based on the average surface roughness value.

Figure 8 represents the distribution of the roughness measurement of fifteen different samples; each has different positions and angles. In addition to the fifteen different samples, six different samples, which have random positions for each dimension, have also been investigated in terms of surface roughness. Figure 9 represents the distribution of the roughness measurement of the samples, which have random position parameters for each angle, including $0,45,90$, and 135 degrees.

As it can be seen in Table 7, the reference (threshold) value for the measured surface roughness is defined in two pathways: The first is considering the average value surface roughness measurements of the related angles. The measurement for the EOS sample for each angle, including 0, 45, 90, and 135 degrees, is defined as a reference value to detect the roughness of the related samples. Hence the threshold value of the samples is defined as 10 for angle 0,12 for angle 45,15 for angle 90 , and 22 for angle 135. The second pathway uses 11 as a standard threshold value for each angle as it is claimed as the threshold value for the default EOS sample with angle 0.

We have proposed two approaches for labelling the data according to these definitions. The first one is labelling the data samples by considering the EOS samples combined with different angles, including 0 , 45,90 , and 135 degrees. The dataset samples have been labelled as 'ROUGH' if the surface roughness value is greater than the EOS reference value and as 'SMOOTH' if not. The second approach is labelling the dataset by considering the average threshold value. Since the production temperature of $168^{\circ} \mathrm{C}$ at 100 $\mu \mathrm{m}$ layer thickness using PA12 powder (PA2200) with EOS P110 Formiga system and standard "Default_EOS" parameter was measured as $11 \mu \mathrm{~m}$; we claimed the threshold value as 11.

Table 7
Hyper-parameters of the Deep Neural Networks (DNN) model for surface roughness classification using reference value of EOS for different angles.

| DNN <br> Model <br> Number | Batch Size | Samples Number of Training /Val. / Test Dataset | Reference <br> Value of EOS <br> for Different Angles $\begin{aligned} & \left(0^{\circ}-45^{\circ}-90^{\circ}-\right. \\ & \left.135^{\circ}\right) \end{aligned}$ | Reference Value of EOS | Drop out value | Number of Neurons in Hidden Layers | Number of Epochs |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 2 | $50 / 6 / 8$ | $\begin{aligned} & 10-12-15- \\ & 22 \end{aligned}$ | - | 0.1 | 128 | 100 |
| 2 | 4 | 40/8/16 | $\begin{aligned} & 10-12-15- \\ & 22 \end{aligned}$ | - | 0.1 | 128 | 100 |
| 3 | 2 | 44/12 / 8 | - | 11 | 0.1 | 32 | 100 |
| 4 | 4 | 40/8/16 | - | 11 | 0.1 | 64 | 100 |
| 5 | 2 | 40/8/16 | - | 11 | 0.1 | 64 | 100 |
| 6 | - | $48 / 16$ | - | 11 | 0.1 | 32 | 100 |
| 7 | - | 48/16 | $\begin{aligned} & 10-12-15- \\ & 22 \end{aligned}$ | - | 0.1 | 32 | 100 |
| 8 | - | $48 / 16$ | - | 11 | 0.1 | 64 | 100 |
| 9 | - | $48 / 16$ | $\begin{aligned} & 10-12-15- \\ & 22 \end{aligned}$ | - | 0.1 | 64 | 100 |
| 10 | - | $48 / 16$ | - | 11 | 0.1 | 128 | 100 |
| 11 | - | $48 / 24$ | - |  | 0.1 | 32 | 100 |
| 12 | - | $48 / 24$ | $\begin{aligned} & 10-12-15- \\ & 22 \end{aligned}$ | 11 | 0.1 | 32 | 100 |

## 4. Results And Discussion

We compared the performance of the proposed architecture with two different machine learning models; the Support Vector Machine (SVM) and Naïve Bayes (NB) models. Table 9 and Table 8 report the experimental accuracy and loss function results. Table 8 summarises the accuracy and loss values of ten different deep learning models defined by different hyper-parameters, as shown in Table 7. Figure 10 presents an example for training and validation accuracy results over 100 training epochs.

Model 6 and Model 7 are chosen as optimum DNN models. The proposed models have used only 32 neurons for each hidden layer. The training dataset contains 48 samples, and the test dataset contains

16 samples. According to experimental results, the DNN performed better in test accuracy than the SVM and NB.

Table 9 represents the performance comparisons of DNN, SVM, and NB models in terms of train and test accuracy. Even though DNN has been modelled with only two hidden layers and without the need for feature engineering, it performed better than SVM and NB architectures, which are required to combine all features.

The proposed model was also tested using a random samples dataset to demonstrate the effect of position features on the surface roughness. As shown in Fig. 8, the distribution of the surface roughness value of each sample with random positions for each degree is over the threshold value and should be classified as 'ROUGH'. Random samples have been classified using the proposed DNN model, which is trained by using the same hyperparameters, except for the training and test sets. The training set includes 64 samples, and the test set includes 24 randomly positioned samples. According to the experimental results, our proposed model predicted 24 different randomly positioned samples as 'ROUGH' (see Table 10) as expected.

Table 8
Experimental results for DNN model with different hyper-parameters.

| DNN Model | Training | Validation | Test | Training Loss | Validation | Test |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Number | Accuracy | Accuracy | Accuracy |  | Loss | Loss |
| 1 | 0.959 | 1.000 | 1.000 | 0.132 | 0.036 | 0.008 |
| 2 | 0.948 | 1.000 | 1.000 | 0.152 | 0.029 | 0.042 |
| 3 | 0.886 | 1.000 | 0.625 | 0.266 | 0.087 | 0.570 |
| 4 | 0.885 | 1.000 | 0.625 | 0.268 | 0.087 | 0.541 |
| 5 | 0.885 | 1.000 | 0.625 | 0.270 | 0.086 | 0.609 |
| 6 | 0.958 | - | 1.000 | 0.244 | - | 0.000 |
| 7 | 0.958 | - | 1.000 | 0.041 | - | 0.000 |
| 8 | 0.854 | - | 0.937 | 0.145 | - | 0.062 |
| 9 | 0.958 | - | 1.000 | 0.041 | - | 0.000 |
| 10 | 0.854 | - | 0.937 | 0.145 | - | 0.062 |

Table 9
Performance comparisons of the DNN, SVM and NB models in terms of training and test accuracy.

| Model Name / Labelling Approach | Features | Mean Training <br> Accuracy | Mean Test <br> Accuracy |
| :--- | :--- | :--- | :--- |
| DNN without EOS© Labelling | - | 0.958 | 1.000 |
| DNN with EOS© Labelling | - | 0.958 | 1.000 |
| SVM with EOS© Labelling | All <br> features | 0.960 | 0.890 |
| SVM without EOS© Labelling | All <br> features | 0.830 | 0.780 |
| Naïve Bayes with EOS© Labelling | All <br> features | 0.920 | 0.850 |
| Naïve Bayes without EOS© <br> Labelling | All <br> features | 0.940 | 0.850 |

Table 10
Performancee results of the DNN model are tested using random positioned samples.

| Model Name / <br> Labelling Approach | Training <br> Dataset | Test Dataset (Random <br> Positions) | Mean Training <br> Accuracy | Mean Test <br> Accuracy. |
| :--- | :--- | :--- | :--- | :--- |
| DNN with EOS© <br> Labelling | 64 | 12 | 0.968 | $\mathbf{1 . 0 0 0}$ |
| DNN without EOS© <br> Labelling | 64 | 12 | 0.875 | 1.000 |
| DNN with EOS© <br> Labelling | 64 | 24 | 0.968 | 1.000 |
| DNN without EOS© <br> Labelling | 64 | 24 | 0.875 | 1.000 |

## 5. Conclusion

This study proposes a new dataset and a model to estimate surface roughness, and results are presented. The powderbed technology yields many promising results with deficiencies. Production planning is one of the challenging subjects in the field. Finding a proper orientation is still a mounting and labour intensive task in the process. The surface roughness problem is addressed concerning part positions and orientations. A comprehensive dataset is created to represent the production process of the EOS Formiga P110 system. Then, the dataset is employed by a new estimation model based on DNN. The dataset and the model provide a new user interface to estimate the surface roughness of the product depending on coordinates in production space and other production parameters in the production planning phase. The results show that the new interface performs well for the production planning phase while only considering the surface roughness.

## Declarations

Authors contributions: Ebubekir Koç: Research main theme, data preparation, algorithm design and implementation and experimental studies; Sultan Zeybek: Data preprocessing and Deep Learning Networks implementation; Burçin Özbay Kısasöz: SLS production parameters determination, characterization studies; Cemal Irfan Çalışkan: The sample design process and CAD modeling; M.Enes Bulduk: Production planning, SLS production and postprocess.

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## Figures



## + + + + + + + + 16 mm

Figure 1

An octagonal test specimen is presented with $16 \mathrm{~mm} \times 16 \mathrm{~mm} \times 18 \mathrm{~mm}, \mathrm{XYZ}$ respectively.


Figure 2

The measurements of the surface quality are carried out at surfaces $a, b, c$ and $d$ with the angels $0^{\circ}, 45^{\circ}$, $90^{\circ}$ and $135^{\circ}$ respectively.


Figure 3
a. The production platform and dimensions of SLS technology are carried out in the research; $\mathrm{x}: 192 \mathrm{~mm}$, $y: 242 \mathrm{~mm}, \mathrm{z}: 320 \mathrm{~mm}, \mathrm{~b}$. measurement method of $\mathrm{x}, \mathrm{y}, \mathrm{z}$ position information of test samples given in Table 3.

Figure 4

Preliminary results of mean surface roughness for $0^{\circ}$ and $180^{\circ}$ surfaces compare to original (EOS) parameters.


Figure 5
Surface roughness values of standard contour processing parameters parts.


Figure 6
A DNN architecture combines an input layer, three hidden layers, and an output layer.


Figure 7
The pipeline of the proposed DNN model.


## Figure 8

The distributions of the surface roughness measurement for three independent experiments of 15 samples each have different positions (left).


## Figure 9

The distributions of the surface roughness measurement for three independent experiments of 6 randomly positioned samples for each angle, including $0,45,90$, and 135 degrees (left).


Figure 10

An example accuracy and loss results for train and validation dataset of DNN model.

