

# Effects of air pollutants on pneumonia hospital admissions in Lanzhou: Evidence of ecological time-series study using distributed lag non-linear model

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## Research Article

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## Abstract

### Introduction:

A study on effects of air pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub>8h, and CO) on pneumonia hospital admissions was performed in Lanzhou (an ex-heavily polluted city in Northwestern China).

### Methods

A time-series design with distributed lag non-linear model (DLNM) was used for statistical analysis. Daily pneumonia hospital admissions data in Lanzhou from January 1, 2014, to December 31, 2019, were collected, as well as air pollutant data and meteorological data. We used DLNM to estimate relative risk (RR) and 95% confidence interval (CI) of pneumonia hospital admissions associated with per 10µg/m<sup>3</sup> rise in exposure to air pollutants (per 1 mg/m<sup>3</sup> rise in CO). stratification analysis was performed by gender (male and female), age (0–14 years, 15–64 years and ≥ 65 years old), and season (warm season and cold season).

### Results

A total of 20,071 pneumonia hospital admissions were enrolled for analysis. Pneumonia hospital admissions risk were 1.044(1.029,1.060), 1.009(1.005,1.013), 1.086(1.053,1.121), 1.073(1.052,1.093), and 1.157(1.094, 1.223), respectively, corresponding to per 10µg/m<sup>3</sup> rise in exposure to PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and 1 mg/m<sup>3</sup> rise in exposure to CO. Every 10µg/m<sup>3</sup> increase in O<sub>3</sub>8h was associated with decreased of pneumonia hospital admissions, the RR(95%CI) was 0.949(0.935, 0.962). The adverse effects for gaseous pollutants (except O<sub>3</sub>8h) on pneumonia hospital admissions were stronger than particulate matter. Six exposure-response curves between air pollutants and pneumonia hospital admissions were approximate linear with no thresholds. Female and children (0–14 years age group) were more sensitive to the effects of air pollutants, particularly in cold season.

### Conclusion

Exposure to air pollutants(except O<sub>3</sub>8h) might be associated with increased risk of pneumonia hospital admissions. The association remained significant after adjustment for other environmental factors.

### Introduction

The Global Burden of Disease(GBD) study 2019 has shown that air pollution is the leading Level 2 risk factor for GBD among all environmental and occupational risks and the fourth leading cause of global attributable death for humans [1]. Air pollution pose great threat to respiratory health by directly promoting or aggravating respiratory diseases or through the increasing exposure to risk factors for respiratory diseases. For example, atmospheric particulates (PM<sub>2.5</sub>, PM<sub>10</sub>) may absorbs a large number of pathogenic microorganisms or a variety of harmful substances and elevate airway reactivity through promoting oxidative stress and causing inflammation, which thereby reduce host immune defense mechanisms and exacerbate pre-existing or ongoing respiratory diseases [2–4]; gaseous pollutant (SO<sub>2</sub>,NO<sub>2</sub>,CO,O<sub>3</sub>) can lead inflammation and oxidative damage by stimulating the respiratory tract, impairment of lung function and respiratory diseases [5–8].

Pneumonia refers to inflammation of the terminal airways, pulmonary alveoli, and pulmonary interstitial, which is a common respiratory disease, meanwhile, pneumonia is a huge burden on the healthcare system, and it is the world's leading cause of death among children under 5 years of age [9]. It is estimated that there were about 2.5 million cases of pneumonia occur annually in China, and 5% of them die from pneumonia-related diseases [10]. The reasons of pneumonia include: infection of pathogenic microorganisms, physical and chemical factors, immune-related pneumonia, drug-associated pneumonia, and so on. In recent years, epidemiological studies have found a positive relation between air pollutants and pneumonia. Such as, a case–crossover study in New York State, America indicated that interquartile range increases in PM<sub>2.5</sub> over the previous 7 days were associated with increased excess rates of pneumonia hospitalizations (2.5%; 95% CI, 1.7–3.2%) [11]. A population-based case-control study of old people aged 65 years or more in Hamilton, Canada showed that a 5th-95th percentile range increase in NO<sub>2</sub> and PM<sub>2.5</sub> corresponded to effect estimates (odds ratio, OR) = 2.30 (95%CI: 1.25, 4.21) and OR = 2.26 (95%CI: 1.20, 4.24) increase of pneumonia hospitalization, but SO<sub>2</sub> did not appear to have any association [12]. A study in São Paulo, Brazil [13] reported that the more PM<sub>10</sub> and SO<sub>2</sub> were present in the atmosphere, the more hospitalizations of patients with pneumonia will occur. A study in Nis, Serbia [14] found that a 10 µg/m<sup>3</sup> increase in daily concentrations of PM<sub>2.5</sub> and PM<sub>10</sub>, the risk of hospital admissions for pneumonia increased by 0.6% and 0.4%. Priyankara et al.[15] used the generalized additive model to estimate the short-term effect of ambient PM on pneumonia hospitalization in Kandy, Sri Lanka, and found that compared to the low air pollution reference period, statistically and significantly increased hospital admissions were observed for pneumonia diseases during the high air pollution period. Qiu et al.[5] applied an ecological time-series study to examine the city-specific association between O<sub>3</sub> and pneumonia hospitalizations in Hong Kong and Taipei, China, the result showed that an interquartile range (IQR) increment of O<sub>3</sub> in Hong Kong and Taipei was associated with a 7.04% (95%CI: 5.35–8.76%) and 3.41% (95% CI: 1.63–5.22%) increase in hospital admissions for pneumonia, respectively. And a national time-series study in 184 major Chinese cities also observed increased pneumonia hospital admissions associated with O<sub>3</sub> exposure, each 10µg/m<sup>3</sup> increment of ozone was associated with a 0.14% (95% CI: 0.03–0.25%) increase in pneumonia admissions [7]. Studies in other cities in China, such as Shenzhen [3], Qingdao [6], Jinan [16], also showed that PM or SO<sub>2</sub> or other gaseous pollutant had significant adverse effects on adult or children pneumonia hospitalizations.

However, the majority of these studies were conducted in some cities in South America or North America [11–14] and also in some cities in China, including Hong Kong and Taipei [5], Shenzhen [3], as well as Qingdao [6], but less so in an arid inland city of northwest China, where constituents of ambient air pollution, meteorological conditions, and demographic characteristics may vary according to geographical region. Thus, research on the association between air pollutants and pneumonia hospital admissions is necessary to understand the effects of local air pollution. Meanwhile, studies mentioned above mainly discussed only one or a few of the air pollutants, so it's not comprehensive enough. In addition, most studies only analyzed the health effects of air pollution among children or old residents. There are few in-depth subgroup analysis on whether there are differences in the health effects of factors such as gender, age and season on air pollution.

Lanzhou, which is located in the inland northwest China, so the unique basin topography and special meteorological conditions make air pollutants difficult to diffuse. Therefore, in this study, we explored the exposure-lag-response relationship between air pollution and pneumonia disease among different subpopulation in Lanzhou by conducting a distributed lag nonlinear model (DLNM).

## Materials And Methods

### Study area

Lanzhou, the capital city of Gansu Province, is situated in the interior of northwest China (35.57° ~ 37.12°N, 102.59 ~ 104.57°E). The city has a valley terrain, which is located at a narrow, long, NW-SE oriented valley basin, and the distance from east to west, and from north to south of Lanzhou are about 40 km and 3-8 km, respectively [4]. It is dominated with petrochemical, metallurgy and machinery industries.

### Data sources

Data on daily pneumonia hospital admissions from January 1, 2014, to December 31, 2019, were collected from three large general hospitals, including gender, age, residential address, date of hospital admission, principal diagnosis, and their corresponding codes of International Classification of Diseases, 10th Revision (ICD-10). The locations of these three hospitals are densely populated areas with convenient transportation (the locations are shown in Fig. 1). And More than 80% of local residents choose to seek treatment in these hospitals [4, 17]. Inclusion criteria: ICD-10 codes J12-J18 and patients with residential address inside of the urban districts in Lanzhou. Exclusion criteria: records with incomplete information.

Data on hourly air pollution from January 1, 2014, to December 31, 2019, were collected from three national environmental monitoring stations in the city of Lanzhou, including particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>), sulfur dioxide (SO<sub>2</sub>), nitrogen dioxide (NO<sub>2</sub>), carbon monoxide (CO), and ozone (O<sub>3</sub>). Fig. 1 shows the locations of the three monitoring stations for air pollutants. First, we calculated the daily 24h mean concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and the maximum daily 8h moving average of O<sub>3</sub> (from 10:00 to 18:00, O<sub>3</sub>8h) per monitoring station, then the average concentrations of six air pollutants were calculated among all three monitoring stations. Meteorological data near the ground of Lanzhou on daily average temperature (°C) and daily average relative humidity (%) were collected from China Meteorological Data Service Center (National Meteorological Information Center) (<http://data.cma.cn/>).

### Statistical analysis

The basic statistical descriptive analysis of mean, standard deviation (SD), minimum (Min), percentile 25 ( $P_{25}$ ), median ( $P_{50}$ ), percentile 75 ( $P_{75}$ ), maximum (Max) were used for environmental and health data. The data of daily pneumonia hospital admissions, daily concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub>8h and daily meteorological data were disobey normal distribution, so spearman correlation analysis was used to explore the relationship between them. And differences between two groups were compared with the Two-Sample Kolmogorov-Smirnov Test (Nonparametric test). For those statistical analysis were done using SPSS software, version 22.

The daily pneumonia hospitalizations are generally regarded as rare events, which roughly follow a quasi-Poisson distribution, and most previous studies have suggested that there is a potentially nonlinear and lagged association between air pollution and pneumonia outcomes [6, 10]. Therefore, a quasi-Poisson generalized additive model combined with the distributed lag nonlinear model (DLNM) was used to investigate the effect of six air pollutants on pneumonia admissions, which can describe simultaneously complex non-linear exposure-response relationships and lag effects [18]. Meanwhile, we used the function of natural cubic spline ( $ns$ ) to control for nonlinear confounders such as long-term and seasonal trends of the daily pneumonia hospital admissions ( $Time$ ), daily mean temperature ( $Tem$ ) and relative humidity ( $RH$ ). The public holiday effects ( $Hol$ ) and day of the week ( $Dow$ ) were also adjusted. The constructed model is shown below:

$$\text{Log}[E(Y_t)] = a + b X_{t,l} + ns(Time, df=7/year) + ns(Tem_t, df=3) + ns(RH_t, df=3) + Dow + Hol$$

Where  $t$  is the time observed (days);  $E(Y_t)$  is the expected mean of number of pneumonia hospital admissions at  $t$  day;  $a$  is the constant of regression model;  $X_{t,l}$  represents cross basis matrix obtained by series of transformations for daily mean concentration of a certain air pollutant,  $l$  is the maximum lag days;  $\beta$  is the coefficient of the matrix;  $ns$  is the function of natural cubic spline,  $Time$  is the time variable,  $df$  is the degree of freedom;  $Tem_t$  and  $RH_t$  represent the average temperature and average relative humidity on day  $t$ ;  $Dow$  and  $Hol$  represent the day of the week effect and holiday effect. All degrees of freedom in the model were selected by minimum quasi-Poisson Akaike Information Criterion (QAIC). For air pollutant, we adopted an  $ns$  function to estimate the lag space with 3 internal knots placed at equally-spaced values on the log scale and polynomial transformations with 3  $df$ .

We conducted the analysis by DLNM based on the total population and stratification analysis was performed by the gender (male and female), age (0-14 years, 15-64 years and <sup>3</sup> 65 years old), and season (warm season and cold season). The warm season is from May to October, and the cold season is from November to the next April. Furthermore, sensitivity analyses were performed to check the robustness of the results, we chose the lag day with the largest

estimated effect in the single-pollutant model to perform the sensitivity analysis. (1) The two-pollutant models were used to investigate the confounding effects among air pollutants; as the strong correlation between PM<sub>2.5</sub> and PM<sub>10</sub> ( $r = 0.857$ , Table2), they were not tested for mutual effects. (2) To evaluate whether more flexible splines will have a substantial impact on the results, we changed the *df* of the *ns* function of *Time* from 6 to 10.

We reported our risk estimates as the relative risk (RR) and its corresponding 95% confidence interval (CI) associated with each 10 µg/m<sup>3</sup> increase for each of air pollutants, except for CO (per 1mg/m<sup>3</sup>). The regression analysis of DLNM were done by using R software version 3.6.3, with its "dlnm" package.

## Results

### Statistical description

Table 1 shows the results of the descriptive statistics on pneumonia hospital admissions in Lanzhou City during 2014-2019. There were a total of 20,071 pneumonia hospital admissions during 2014 - 2019, including 11,539 cases of males, 8,532 cases of females, 12,713 cases in age group 0-14 years, 2,957 cases in age group 14-64 years, 4,401 cases in age group <sup>3</sup> 65 years. Moreover, there were 12,306 cases in the cold season, and 7,765 cases in the warm season. The daily pneumonia hospital admissions per day for all, male, female, age 0-14 years, age 15-64 years and age  $\geq$  65 years were 9, 5, 4, 6, 1 and 2, respectively. Moreover, pneumonia hospital admissions in cold season (11 cases/day) was much higher than in warm season (7 cases/day) (Kolmogorov-Smirnov  $Z=5.698$ ,  $P<0.0001$ ).

Fig.2 and TableS1 summarizes the results of the statistical description and the distributing characteristic of the air pollutants and meteorological factors by season. The concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO had similar seasonal characteristic with the number pneumonia hospital admissions, which were higher in cold season than in warm season (All  $P$  values $<0.0001$ ). However, the concentrations of O<sub>3</sub>8h showed an inverse seasonal characteristic, which was lower in cold season than in warm season ( $P<0.0001$ ). The mean temperatures in cold and warm season were 3.14 °C and 19.50 °C, respectively. There was no significant difference in relative humidity in cold season and warm season ( $P=0.317$ ), and the daily average relative humidity were 51.03% (full year) (Table S1).

Table 2 shows the spearman rank correlation between daily pneumonia hospital admissions, air pollutants and meteorological factors. In general, daily pneumonia hospital admissions, air pollutants and weather conditions were correlated. Daily pneumonia hospital admissions was significantly positively correlated with daily PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, and NO<sub>2</sub> concentrations, but, significantly negatively correlated with daily O<sub>3</sub>8h, and temperature. There were significant correlations among daily air pollutants concentrations, especially between PM<sub>2.5</sub> and PM<sub>10</sub> ( $r=0.857$ ,  $P<0.0001$ ), SO<sub>2</sub> and CO ( $r=0.799$ ,  $P<0.0001$ ), PM<sub>2.5</sub> and CO ( $r=0.715$ ,  $P<0.0001$ ), PM<sub>2.5</sub> and SO<sub>2</sub> ( $r=0.659$ ,  $P<0.0001$ ). All air pollutants were negatively and significantly correlated with temperature (except for O<sub>3</sub>8h,  $r=0.643$ ,  $P<0.0001$ ) and relative humidity (except for CO,  $P>0.05$ ).

### Association of air pollutants with pneumonia hospital admissions analyzed by DLNM

Fig. 3 shows the contour plots of relative risks (RRs) of pneumonia hospital admissions along single-pollutant models at lag 0~lag 7 days (exposure-lag-response). The contour plots showed that there were positive correlations between pneumonia hospital admissions and PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub> and CO, but negative correlated with O<sub>3</sub>8h. The plots also indicated that there were lag effects on the impact of all six air pollutants on pneumonia hospital admissions, and the lag-response curves were nonlinear. For PM<sub>2.5</sub>, at a concentration of 278µg/m<sup>3</sup>, the effect on pneumonia hospital admissions reached a maximum at lag7 day, with RR95%CI of 1.446 (1.194, 1.750); for PM<sub>10</sub>, at a concentration of 1485µg/m<sup>3</sup>, the harmful effect at lag7 day was biggest, with RR95%CI of 1.477 (1.134, 1.925); for SO<sub>2</sub> (at a concentration of 82µg/m<sup>3</sup>, lag7 day), the highest effect value was 1.291 (1.126, 1.482); for NO<sub>2</sub> (147µg/m<sup>3</sup>, lag2 day), the highest effect value was 1.319 (1.142, 1.523); for O<sub>3</sub>8h, at a concentration of 222µg/m<sup>3</sup>, the protect effect at lag1 day was strongest, with RR95%CI of 0.795 (0.653, 0.968); for CO (4.65mg/m<sup>3</sup>, lag7 day), the highest adverse effect value was 1.296 (1.126, 1.491).

Association of air pollutants with pneumonia hospital admissions in single-pollutant models were showed in Table 3. All six air pollutants were statistically significant associated with pneumonia hospital admissions. 10µg/m<sup>3</sup> increase in PM<sub>2.5</sub> at lag0, lag6, lag7, and all cumulative lags, PM<sub>10</sub> at lag 6, lag7, lag04 to lag07, SO<sub>2</sub> at lag6, lag7, and all cumulative lags, NO<sub>2</sub> at lag0, lag2, lag3, lag6, lag7, and all cumulative lags, and 1mg/m<sup>3</sup> increase in CO at lag2, lag6, lag7, and all cumulative lags were associated with increased of pneumonia hospital admissions. On the contrary, 10µg/m<sup>3</sup> increase in O<sub>3</sub>8h at all lag days except lag2 and lag 3 day were associated with decreased of pneumonia hospital admissions. And the strongest association were all observed at lag 07, the RR95%CI for PM<sub>2.5</sub> was 1.044(1.029,1.060), for PM<sub>10</sub> was 1.009(1.005,1.013), for SO<sub>2</sub> was 1.086(1.053,1.121), for NO<sub>2</sub> was 1.073(1.052,1.093), for O<sub>3</sub>8h was 0.949(0.935, 0.962), and for CO was 1.157(1.094, 1.223). This was obvious that O<sub>3</sub>8h was negatively and significantly correlated with pneumonia hospital admissions, and CO had the strongest adverse effect on pneumonia hospital admissions. We can also find out that the adverse effect of PM<sub>2.5</sub> were higher than PM<sub>10</sub>, and the adverse effects for all gaseous pollutants except O<sub>3</sub>8h were stronger than particulate matter.

The exposure-response curves of air pollutants with pneumonia hospital admissions were showed in Fig.4. The effects of air pollutants at lag07 day on pneumonia hospital admissions were highest at all lag days (Table 3), thus, we focused on pneumonia hospital admissions related to air pollutants at lag07 day (Fig. 4). In brief, all six exposure-response curves were approximate linear with no thresholds. The exposure-response curves for pneumonia hospital admissions and PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO showed positive relationship, with increasing concentration, the RR increased, but for O<sub>3</sub>8h, a negative relationship was observed.

Stratified analyses by gender, age and season were investigated to identify the effect modifiers of the association between air pollutants and pneumonia hospital admissions. First, stratified analyses by gender(Fig.5) showed that PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO were statistically

and positively significant associated with pneumonia hospital admissions in both male and female, however,  $O_3$ 8h was statistically associated with decreased of pneumonia hospital admissions in both gender. The highest RR estimate were all observed at lag 07 for all six air pollutants in male and female, and we also observed that the RR estimate of air pollutants on pneumonia hospital admissions in female was higher than male (Fig.5). Second, regarding age, in aged 0-14 years group,  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO were significantly and positively associated with pneumonia hospital admissions, and the most obvious effects were all observed on lag07. In aged 15-64 years, only  $NO_2$  at lag 03, lag 04 were associated with increased of pneumonia hospital admissions. In aged  $\geq$  65years, the positive association was just found between  $PM_{2.5}$  (lag 07) and pneumonia hospital admissions. Nevertheless,  $O_3$ 8h showed negative associations in children (aged 0-14 years) and the elderly (aged  $\geq$  65years) pneumonia hospital admissions. Simultaneously, the strongest associations were all observed in children for six air pollutants. Thirdly, with respect to season,  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ , and CO had statistical adverse effects on pneumonia hospital admissions during the cold season, while no statistical effects were observed in the warm season;  $NO_2$  had statistical adverse effects on pneumonia hospital admissions in both cold and warm season (lag3), and the adverse effects in warm season was weaker than in cold season; whereas,  $O_3$ 8h had protective effects on pneumonia hospital admissions during the cold season, no statistical effects were observed in the warm season. To sum up, female, children were more vulnerable to air pollutants exposure, and the effect of air pollutants were greater in the cold season.

Two-pollutant models (Fig.8 and Table S3) and changing the *df* for calendar time (6-10 *df* per year) (Table 4) were conducted to examine the sensitivity of findings between pneumonia hospital admissions and air pollutants. We analyzed the two-pollutant models for all pollutant pairs except  $PM_{2.5}$  and  $PM_{10}$ , because the correlations between  $PM_{2.5}$  and  $PM_{10}$  ( $r=0.857$ ) was the highest. To sum up, the significant results of air pollutants still maintained significance in two-pollutant models, although some effect estimates shifted. When changing the *df* for calendar time, the significant results of air pollutants still maintained significance too. The above results all indicated that the DLNM fitted well and findings between pneumonia hospital admissions and air pollutants were robust.

## Discussion

In general, there are few systematic studies on the relationship between air pollutants and pneumonia hospital admissions, this time-series study provides more systematic insight into the association between air pollutants and pneumonia morbidity by using DLNM model in Lanzhou from 2014 to 2019. The findings showed statistically significant associations between short-term exposure to all six air pollutants and pneumonia hospital admissions and the associations had apparent lag effects:  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO had adverse effects on pneumonia, but  $O_3$ 8h had a negative association. And the exposure-response curves for six pollutants were linear and without obvious thresholds. The effects of the six pollutants all reached the maximum value on the lag07 day. In the subgroup analysis, the association between six air pollutants and pneumonia were stronger in females, individuals aged 0–14 years and in cold season. Our results provides systematic evidence to build the relationships between air pollution and pneumonia and offers basal data for the prevention of pneumonia and the control of air pollution in Lanzhou.

Our findings showed that, particulate matter (PM) were responsible for increasing pneumonia hospital admissions: the largest effect estimates (relative risks, RRs) of pneumonia hospital admissions with a  $10\mu\text{g}/\text{m}^3$  increment of  $PM_{2.5}$  and  $PM_{10}$  were found at lag07 day with 1.044(1.029,1.060) and 1.009(1.005,1.013), respectively, the effects in single-day lags were lower than in multi-day lags, which were consistent with most previous studies. A case–crossover in New York State, America [11] indicated that increase rate of pneumonia was associated with increased  $PM_{2.5}$  concentration during the previous week, an interquartile range increase in  $PM_{2.5}$  was associated with pneumonia admissions, with RR of 1.025(95% CI: 1.017, 1.032). Luo et al [19] applied ecological time-series study using generalized additive model to explored the effect of air pollutants on pneumonia hospital admissions in Taiyuan, China, and they found that a  $10\mu\text{g}/\text{m}^3$  increase in  $PM_{2.5}$  and  $PM_{10}$  was associated with pneumonia admissions, with RR of 1.010(95% CI: 1.004, 1.016) and 1.008(95% CI: 1.005, 1.012), respectively. Another nationwide time series study in 184 Chinese cities [10] showed short-term elevations in  $PM_{2.5}$  and  $PM_{10}$  concentrations were associated with increased pneumonia admissions, with RR of 1.003(95% CI: 1.002, 1.005) and 1.002(95% CI: 1.001, 1.003). And study in Kandy, Sri Lanka [15] and Qingdao, China [6] also found similar results: PM had adverse effects on pneumonia, moreover the harmful effect of  $PM_{2.5}$  on pneumonia is slightly greater than that of  $PM_{10}$ . We also found differences in effect estimates (RR) for different cities. PM can absorb various harmful substances such as heavy metals and pathogenic bacteria and sneak into the lower respiratory tract and even the lungs, thus damaging human respiratory. Meanwhile, compared to  $PM_{10}$ ,  $PM_{2.5}$  has a smaller particle size and longer residence time in the air, therefore, it is more likely to causes harm to the human respiratory system than  $PM_{10}$  [15, 20, 21]. Estimates of the impact of PM on pneumonia vary across cities, which may be related to diversity of climatic and topographic conditions, as well as differences in research methods, study population, PM concentration, etc. [22].

In this study, pneumonia hospital admissions risk was 1.086(1.053,1.121), corresponding to per  $10\mu\text{g}/\text{m}^3$  rise in exposure to  $SO_2$  at lag07 days. This was consistent with most previous studies [6, 19, 23]. A study in Taiyuan [19] concluded that after controlling the effects of meteorological and other factors, the estimated effect of  $SO_2$  on pneumonia hospital admissions was statistically significant, the  $RR_{95\%CI} = 1.083(1.045,1.122)$ . Another study conducted by Tao et al. in Lanzhou city (2001–2005) [23] indicated that  $SO_2$  was significantly associated with male pneumonia hospital admissions, the  $RR_{95\%CI} = 1.096(1.004, 1.196)$ . However, other study conducted in Nis, Serbia [14] showed that there was no significant association between  $SO_2$  concentration and pneumonia hospital admissions. It may be related to different concentration of  $SO_2$  in different cities. the mean concentration of  $SO_2$  in Nis, Serbia [14] was  $8.38\mu\text{g}/\text{m}^3$ , which was lower than that in Taiyuan ( $69.34\mu\text{g}/\text{m}^3$ ) [19], and Lanzhou (2001–2005,  $79.09\mu\text{g}/\text{m}^3$ ) [23], the mean concentration of  $SO_2$  in this study (Lanzhou, 2014–2019) was  $21.13\mu\text{g}/\text{m}^3$ .  $SO_2$  mainly comes from the combustion of coal and other fossil fuels. In recent year, the concentration of  $SO_2$  showed a downward trend in Lanzhou by deploying flue gas desulfurization at power plants, controlling  $SO_2$  industrial emissions and using clean energy in Lanzhou [24], and the average concentration of  $SO_2$  meets the requirements of the secondary standard ( $60\mu\text{g}/\text{m}^3$ ) in Chinese Ambient Air Quality Standard.

But SO<sub>2</sub> still increased the risk of pneumonia hospital admissions in this study. This practically meant that the lower concentration of SO<sub>2</sub> is also significant. Therefore, stricter and more effective measures must be taken to control the pollution of SO<sub>2</sub> and prevent its adverse effects in Lanzhou city.

In line with previous studies, we found that short-term exposure to NO<sub>2</sub> (the mean concentration: 47.36µg/m<sup>3</sup>) is positively associated with the daily rates of pneumonia hospital admissions. Furthermore, the adverse effect of NO<sub>2</sub> on pneumonia hospital admissions was greater than PM, every 10 µg/m<sup>3</sup> increase in NO<sub>2</sub> corresponded to a RR (95%CI) = 1.073(1.052,1.093) increase in pneumonia hospital admissions. An ecological time series analysis in São Paulo [25] using generalized additive model (GAM) to estimate the association between air pollutants and hospital admissions for pneumonia in children, and found that NO<sub>2</sub> (the mean concentration: 56.5µg/m<sup>3</sup>) represented a risk factor for lag 1 and lag5, and 10 µg/m<sup>3</sup> increments of this pollutant lead to increase of 7% in relative risk. Another time-series study using GAM in Taiyuan [19] to evaluate the association between NO<sub>2</sub> (the mean concentration: 43.41µg/m<sup>3</sup>) and respiratory disease hospitalization, found that the highest pneumonia hospital admissions risk was 1.027(1.010,1.044), corresponding to per 10µg/m<sup>3</sup> rise in exposure to NO<sub>2</sub>. Yang et al. [6] used DLNM to estimate the effect of NO<sub>2</sub> (the mean concentration: 34.6µg/m<sup>3</sup>) on adult pneumonia hospitalization in the coastal city of Qingdao, found that every 10 µg/m<sup>3</sup> increase in NO<sub>2</sub> corresponded to a RR (95%CI) = 1.05(1.01, 1.10) increase in adult pneumonia hospital admissions. Another case-crossover study in Qingdao [26] also found the pneumonia admissions risk was 1.067(1.010, 1.127), corresponding to per 10µg/m<sup>3</sup> rise in NO<sub>2</sub> (the mean concentration: 35.7µg/m<sup>3</sup>). The results of above studies are all similar to the present study, that is, NO<sub>2</sub> is a risk factor for pneumonia, but the estimated effects (RR) of the different studies are different. The differences may be due to different study designs, different study areas, various climate, study periods, target population, NO<sub>2</sub> concentration, etc., and it may also be related to different NO<sub>2</sub> emission sources in different regions [22]. However a study conducted in Nis, Serbia [14] showed that NO<sub>2</sub> had no significant effect on pneumonia hospital admissions, the average concentration of NO<sub>2</sub> in Nis, Serbia was 29.24µg/m<sup>3</sup>, which is lower than all studies mentioned above and this study.

At present, studies findings for the effects of O<sub>3</sub> on pneumonia are inconsistent. This study found that 10µg/m<sup>3</sup> increase in O<sub>3</sub>8h was associated with decreased of pneumonia hospital admissions, the RR95%CI = 0.949(0.935, 0.962), and the association was statistically significant in the cold season, but not in the warm season. this is consistent with studies São Paulo, Brazil [25] and Shenyang [27], Qingdao [6], China. However, an ecological study on the city-specific association between short-term O<sub>3</sub> exposure and hospital admissions for pneumonia in Hong Kong and Taipei [5], a nationwide time-series study on the impact of O<sub>3</sub> on pneumonia hospitalizations in China [7] and a meta-analysis study on short-term association between air pollution and pneumonia hospitalization in children [28] all showed positive association between O<sub>3</sub> and pneumonia hospital admissions, meanwhile, the nationwide study in China [7] found the association of O<sub>3</sub> with pneumonia hospital admissions during warm season were stronger than in cold season. And there are also some studies [6, 19, 21, 29] showing no association between O<sub>3</sub> and pneumonia hospitalizations. In light of the conflicting findings, more work are needed to ascertain the relationship between O<sub>3</sub> and pneumonia hospitalizations.

The toxicity of CO deriving from its stronger ability to bind emoglobin than oxygen, and CO can cause damage to health at high-exposure levels or lower-exposure concentrations [8]. This time series study also found strongest effects of CO on pneumonia hospital admissions in six air pollutants, every 1 mg/m<sup>3</sup> increase in CO corresponded to a RR (95%CI) = 1.157(1.094, 1.223) increase in pneumonia hospital admissions. The findings are similar to previous studies in North Carolina, United States [30], Bangkok, Thailand [31], Ankara, Turkey [32], and other Chinese cities. For instance, a study in Qingdao [6] suggested a statistically significant positive association between CO and pneumonia hospital admissions, and RR(95% CI) of pneumonia hospitalizations for CO at the 95th percentile compared to the 25th percentile was 1.08(1.03,1.14). In Shenyang study conducted by Chang et al. [27], CO showed significant positive association with pneumonia hospital admissions (RR = 1.025%, 95% CI: 1.020, 1.029). A case-crossover study in Shijiazhuang [33] showed significant effects of increased CO on hospitalization for pneumonia, and pneumonia hospital admissions risk was 1.087 (95%CI:1.030–1.148), corresponding to per 1mg/m<sup>3</sup> rise in exposure to CO at lag3 days. The mean concentration of CO was 1.24 mg/m<sup>3</sup> in this study, 0.8 mg/m<sup>3</sup> in Qingdao [6], 1.02 mg/m<sup>3</sup> in Shenyang [27], and 2.04 mg/m<sup>3</sup> in Shijiazhuang [33], the CO concentrations in these cities are all meet the requirements of the primary standard (4 mg/m<sup>3</sup>) in Chinese Ambient Air Quality Standard. Like SO<sub>2</sub>, CO also had adverse effects on pneumonia at low concentrations.

Rarely studies from China have explored the exposure-response curves between air pollutants and pneumonia hospital admissions. In this time series study, the exposure-response curves showed pneumonia hospital admissions were positively correlated with PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO, but negatively correlated with O<sub>3</sub>8h. All six exposure-response curves were approximate linear with no thresholds. A study in Dongguan [8] examined the association between ambient CO and hospital outpatient visits for pneumonia diseases, and suggested that a general linear relationship between CO concentration and outpatient visits risk for pneumonia. A national time series analysis in 184 cities in China [10] found a slightly nonlinear exposure-response curves between PM and adult pneumonia admissions (aged ≥ 18 years), the curve of the association between PM<sub>2.5</sub> and adult pneumonia admissions appeared to be a plateau at higher concentrations, and the curve of PM<sub>10</sub> increased sharply at concentrations below 100 µg/m<sup>3</sup> and then climbed relatively moderately as concentration increased. Another study in Qingdao [6] showed exposure-response curves of six air pollutant and adult pneumonia hospital visits (aged ≥ 14 years) exhibit nonlinear characteristics, and the slopes are steep at low concentrations and level off at high concentrations. The differences in the exposure-response curves are likely associated with different population (inclusion-exclusion criteria), air pollutant characteristics, socioeconomic factors in different regions and periods [6], which worth further study in future.

Existent results on gender difference in air pollution epidemiology were not uniform, the subgroup analysis in this study suggested that female pneumonia patients were more sensitive to the effects of air pollutants. Some previous epidemiological studies also supported this result [21, 23, 27, 29] which could be related to genetic and biological differences, females have smaller respiratory tract diameters and female may have weaker lung immunity and be more vulnerable to air pollution. However, some inconsistent results have been reported, some studies confirmed that male for pneumonia were more vulnerable to air pollution than female [14, 15, 33], which could be related to male's occupation such as industry or taxi driving or more outdoor activities, poorer awareness

of occupational protection, and smoking, drinking, and other unhealthy habits, therefore there is higher susceptibility to pneumonia after exposure to air pollution. Even some other studies showed that air pollution effects on pneumonia hospital admissions were similar for males and females [3, 7, 10]. Gender differences in associations of air pollutants with pneumonia hospitalization remains to be further explored.

Age-stratified analyses in this study suggested that 0–14 years age group (children) was more sensitive to all six air pollutants than other two age groups, which is consistent with other similar studies conducted in China [3, 26, 34], as well as in European country [14]. This result may be related to children spending more time at outdoor activities which make them exposed to more atmospheric pollution, and their high breathing rate, narrow airways, and developing lungs and immune systems [34], which finally leads to their high sensitivity to the air pollutants. However, there are also different findings showing that the elderly ( $\geq 65$  years old) are more sensitive to air pollutants due to poor immune function and comorbidity [5, 27, 33]. Most studies had inconsistent results in terms of age-stratified analyses, the possible reason for this might be due to the different demographics in different regions, the inclusion-exclusion criteria, the different composition of air pollutants, diversity of climatic and topographic conditions, and the number of cases in various studies. And age differences in associations of air pollutants with pneumonia hospitalization remains to be further explored too.

The season-specific analysis in this study indicated that the relationship between pneumonia hospital admissions and  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO were statistically significant in the cold season, but not in the warm season, that is to say, the associations of air pollutants with pneumonia hospital admissions during the cold season were stronger than in the warm season. The findings are consistent with most studies on the relationship between air pollutants and pneumonia hospitalization, such as studies in Shenzhen ( $PM_{2.5}$ ) [3], Qingdao (five pollutants except  $O_3$ ) [6, 26], Shijiazhuang ( $PM_{2.5}$  and  $PM_{10}$ ) [33], Taiwan ( $PM_{2.5}$  and  $NO_2$ ) [21], China, and in Turkey [32]. However a study in Jinan [34] showed that children was at statistically significant risk of hospital admission for pneumonia due to PM, particularly on warm days. Lanzhou is located in northwest China with stable stratification especially inversion and coal using (from November to March of the following year) in cold season, which blocks the air streams and makes the pollutants difficult to disperse [17], those increase the level of air pollution in cold season. And this may explain why we found the greater harmful effects of  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO on pneumonia hospital admissions in cold season.

The present study has a few advantages compared with previous studies. For example, this study systematically analyzed whether the relationship between all six air pollutants and pneumonia hospital admissions were affected by gender, age or season, and the exposure-response curves of air pollutants with pneumonia hospital admissions were also analyzed in this study. Those may provide more convincing evidence in the association between air pollutants and pneumonia. In addition, compared with the traditional model, we used DLNM to explore the relationships among exposure, lag effect, and pneumonia hospital admissions comprehensively, and this was the first study to analyze the relationship between air pollutants and pneumonia hospital admissions in Lanzhou, China.

Some limitations should nevertheless be recognized. Firstly, this time-series study is an ecological study, so there may be ecological fallacy for the following reasons: the exposure levels to air pollutants were only averaged levels and not the true exposure levels of individual; the individual-level confounders such as smoking, drinking, occupational history, indoor stay time, and daily ventilation time, etc. cannot be controlled. So this study is helping us to generate the etiological hypothesis, but caution should be used in causal inference. Secondly, these data only come from Lanzhou city, and the potential spatial heterogeneity of the health effects of air pollutants in multiple cities is worthy for further study.

## Conclusions

In summary, this study found that daily pneumonia hospital admissions were positively associated with  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO, but negative associated with  $O_3$ 8h. The adverse effects for all gaseous pollutants except  $O_3$ 8h on pneumonia hospital admissions were stronger than particulate matter. All six exposure-response curves were approximate linear with no thresholds. Female and children (0–14 years age group) were more sensitive to the effects of air pollutants, particularly in cold season.

## Declarations

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### Authors' contributions

Limei Jin: Conceptualization; Data collection, data compilation, data analysis; Methodology; Writing original draft; Graphics. Shuya Fang and Xiaowen Zhou: Data collection, data analysis; Collating and checking data; Graphics. Bisen Han: Data collection; Checking data. Tian Zhou: Conceptualization; Data collection; the manuscript revises. Yana Bai: Conceptualization; Data collection. All gave final approval and agree to be accountable for all aspects of work ensuring integrity and accuracy.

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### Availability of data and materials

Data will be available from the corresponding author on reasonable request.

### Ethics approval and consent to participate

This article does not contain any studies with human participants or animals performed by any of the authors.

### Consent for publication

Not applicable.

### Compliance with ethical standards

### Competing interests

The authors declare that they have no competing interests.

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## Tables

Table 1. Descriptive statistics of hospital admissions for pneumonia in Lanzhou City, 2014–2019

	Daily hospital admissions						Total of hospital admissions
	Mean±SD	Min	P <sub>25</sub>	P <sub>50</sub>	P <sub>75</sub>	Max	
All	9±6	0	4	8	13	37	20071
Gender							
Male	5±4	0	2	4	7	24	11539
Female	4±3	0	2	3	6	20	8532
Age							
0-14 years	6±5	0	2	5	8	29	12713
15-64 years	1±1	0	0	1	2	9	2957
≥65 years	2±2	0	1	2	3	11	4401
Season							
Cold	11±7	0	6	10	16	37	12306
Warm	7±5	0	4	6	10	27	7765

Table 2. The coefficient of spearman rank correlation (*r*) between daily pneumonia hospital admissions, air pollutants and meteorological data in Lanzhou, 2014-2019

	pneumonia	PM <sub>2.5</sub>	PM <sub>10</sub>	SO <sub>2</sub>	NO <sub>2</sub>	O <sub>3</sub> 8h	CO	Temperature	Relative humidity (RH)
pneumonia	1.000	0.081*	0.073*	0.132*	0.310*	-0.081*	0.040	-0.335*	-0.015
PM <sub>2.5</sub>		1.000	0.857*	0.659*	0.454*	-0.414*	0.715*	-0.511*	-0.135*
PM <sub>10</sub>			1.000	0.580*	0.435*	-0.220*	0.552*	-0.373*	-0.381*
SO <sub>2</sub>				1.000	0.494*	-0.483*	0.799*	-0.639*	-0.250*
NO <sub>2</sub>					1.000	-0.044 <sup>D</sup>	0.567*	-0.281*	-0.167*
O <sub>3</sub> 8h						1.000	-0.478*	0.643*	-0.303*
CO							1.000	-0.540*	-0.038
Temperature								1.000	-0.012
RH									1.000

**Note.** \**P*<0.0001, <sup>D</sup>*P*=0.041<0.05

Table 3. RR (95% CIs) of pneumonia hospital admissions with an increase of 10µg/m<sup>3</sup> in air pollutants (and 1 mg/m<sup>3</sup> in CO) in Lanzhou, China, 2014-2019: single-pollutant models

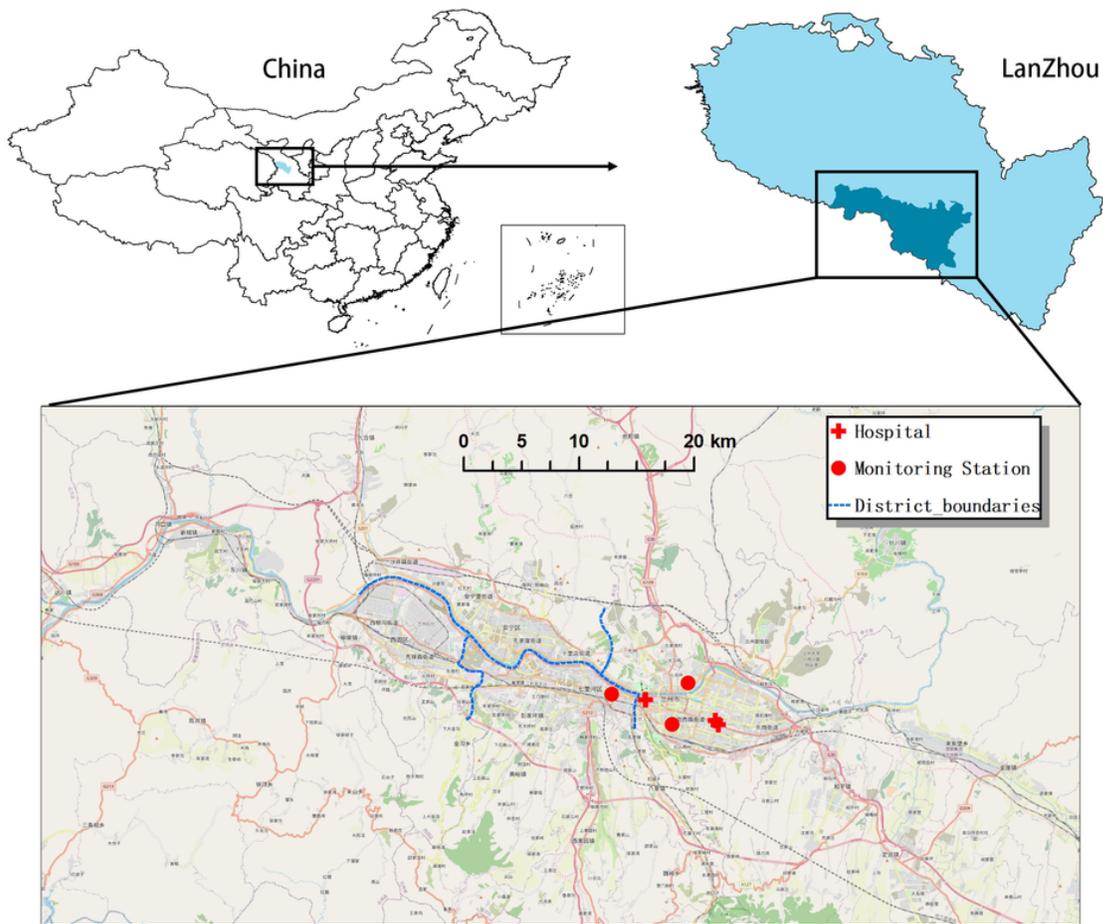
Lag days	PM <sub>2.5</sub>		PM <sub>10</sub>		SO <sub>2</sub>		NO <sub>2</sub>		O <sub>3</sub> 8h		C
	RR	95% CI	RR	95% CI	RR	95% CI	RR	95% CI	RR	95% CI	
lag0	1.012	(1.002, 1.022)	1.002	(1.000, 1.005)	1.023	(0.998, 1.048)	1.017	(1.003, 1.031)	0.991	(0.982, 0.999)	1
lag1	1.000	(0.990, 1.010)	0.999	(0.996, 1.002)	1.006	(0.980, 1.033)	0.999	(0.985, 1.014)	0.990	(0.981, 0.999)	1
lag2	1.006	(0.999, 1.013)	1.001	(0.999, 1.003)	1.018	(1.000, 1.036)	1.019	(1.009, 1.029)	0.997	(0.990, 1.004)	1
lag3	1.003	(0.998, 1.008)	1.001	(1.000, 1.002)	1.004	(0.992, 1.015)	1.011	(1.004, 1.017)	0.996	(0.992, 1.001)	1
lag4	1.001	(0.996, 1.005)	1.001	(0.999, 1.002)	0.993	(0.981, 1.005)	1.000	(0.994, 1.007)	0.994	(0.989, 0.998)	C
lag5	1.002	(0.998, 1.007)	1.001	(1.000, 1.002)	0.997	(0.986, 1.008)	1.000	(0.994, 1.006)	0.993	(0.988, 0.997)	C
lag6	1.007	(1.004, 1.010)	1.002	(1.001, 1.003)	1.012	(1.004, 1.020)	1.007	(1.003, 1.011)	0.993	(0.990, 0.997)	1
lag7	1.013	(1.006, 1.020)	1.003	(1.001, 1.004)	1.032	(1.015, 1.049)	1.017	(1.008, 1.027)	0.994	(0.987, 1.001)	1
lag01	1.012	(1.001, 1.022)	1.001	(0.999, 1.004)	1.029	(1.003, 1.056)	1.016	(1.002, 1.030)	0.980	(0.971, 0.990)	1
lag02	1.017	(1.007, 1.028)	1.002	(1.000, 1.005)	1.047	(1.021, 1.074)	1.036	(1.022, 1.050)	0.978	(0.967, 0.988)	1
lag03	1.021	(1.009, 1.032)	1.003	(1.000, 1.006)	1.051	(1.022, 1.081)	1.047	(1.031, 1.063)	0.974	(0.963, 0.985)	1
lag04	1.021	(1.009, 1.033)	1.004	(1.001, 1.007)	1.044	(1.015, 1.073)	1.047	(1.031, 1.064)	0.968	(0.956, 0.979)	1
lag05	1.023	(1.010, 1.037)	1.005	(1.002, 1.008)	1.041	(1.010, 1.073)	1.047	(1.029, 1.066)	0.961	(0.949, 0.973)	1
lag06	1.031	(1.017, 1.045)	1.007	(1.003, 1.010)	1.053	(1.021, 1.086)	1.054	(1.036, 1.074)	0.954	(0.942, 0.967)	1
lag07	1.044	(1.029, 1.060)	1.009	(1.005, 1.013)	1.086	(1.053, 1.121)	1.073	(1.052, 1.093)	0.949	(0.935, 0.962)	1

Table 4 Sensitivity analysis of association between pneumonia hospital admissions and air pollutants (per 10 µg/m<sup>3</sup> increase and 1 mg/m<sup>3</sup> increase in CO): controlling for different degrees of freedom (*df*) for time<sup>a</sup>

	PM <sub>2.5</sub>	PM <sub>10</sub>	SO <sub>2</sub>	NO <sub>2</sub>	O <sub>3</sub> 8h	CO
<i>df</i> =6	1.050(1.034,1.065)	1.011(1.007,1.015)	1.100(1.067,1.135)	1.081(1.061,1.102)	0.942(0.929,0.955)	1.189(1.126,1.256)
<i>df</i> =7	1.044(1.029,1.060)	1.009(1.005,1.013)	1.086(1.053,1.121)	1.073(1.052,1.093)	0.949(0.935,0.962)	1.157(1.094,1.223)
<i>df</i> =8	1.051(1.035,1.067)	1.010(1.006,1.014)	1.087(1.054,1.121)	1.071(1.051,1.092)	0.949(0.936,0.962)	1.162(1.099,1.228)
<i>df</i> =9	1.046(1.031,1.062)	1.010(1.006,1.014)	1.055(1.022,1.090)	1.060(1.039,1.081)	0.955(0.942,0.969)	1.112(1.050,1.177)
<i>df</i> =10	1.051(1.035,1.067)	1.010(1.006,1.014)	1.087(1.054,1.122)	1.071(1.050,1.091)	0.949(0.936,0.963)	1.164(1.100,1.232)

**Note.** <sup>a</sup> Results obtained from single-pollutant models for lag07 day

## Figures



**Figure 1**

The locations of Lanzhou in China, hospitals, and air pollutants monitoring stations.

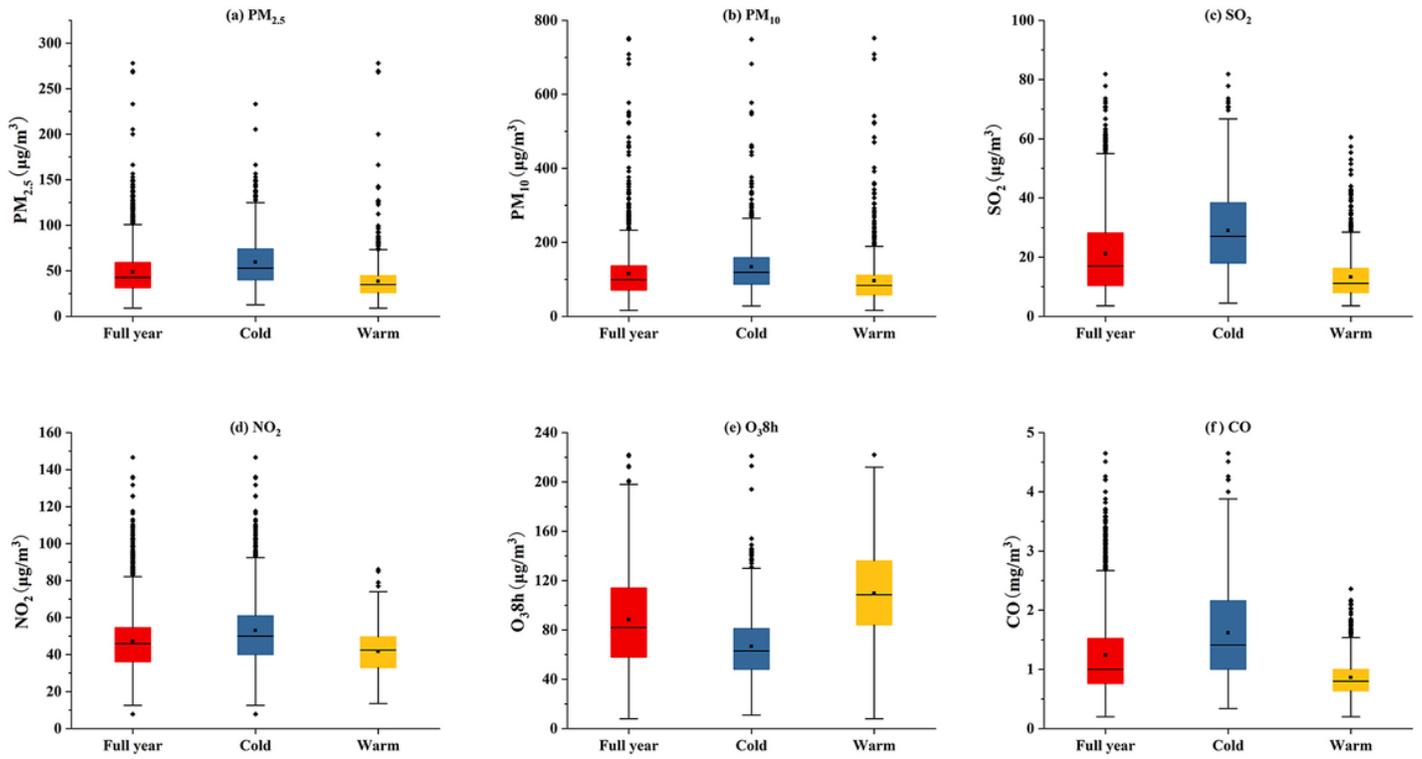


Figure 2

Box plots of the concentrations of air pollutants by season in Lanzhou, China, 2014–2019. The black squares represent the average concentrations, the middle line of the color boxes represent the median values, the top and bottom lines of the color boxes represent  $P_{25}$  and  $P_{75}$  respectively, with the color boxes representing the interquartile range ( $IQR=P_{75}-P_{25}$ ). The black lines above and below the color boxes indicate the upper ( $P_{75}+1.5IQR$ ) and lower ( $P_{25}-1.5IQR$ ) range, and with outliers marked by black diamonds.



**Figure 3**  
Contour plots of relative risks (RRs) in pneumonia hospital admissions associated with air pollutants concentrations along single-pollutant models at single lag days

**Figure 4**  
Exposure-response curves between air pollutants and pneumonia hospital admissions at lag07

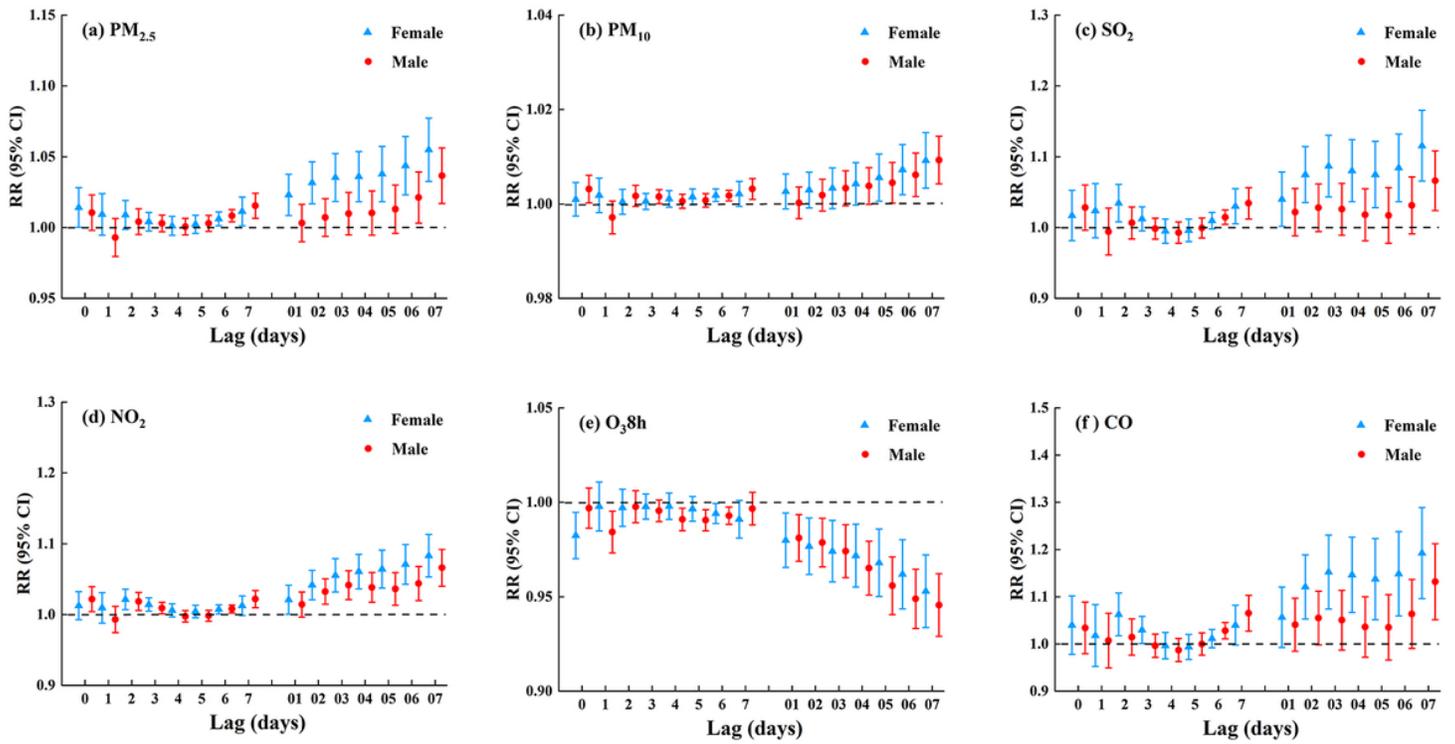


Figure 5

RRs (95% CI) of pneumonia hospital admissions associated with air pollutants (per 10  $\mu\text{g}/\text{m}^3$  increase and 1  $\text{mg}/\text{m}^3$  increase in CO) at various lags by gender in single pollutant model.

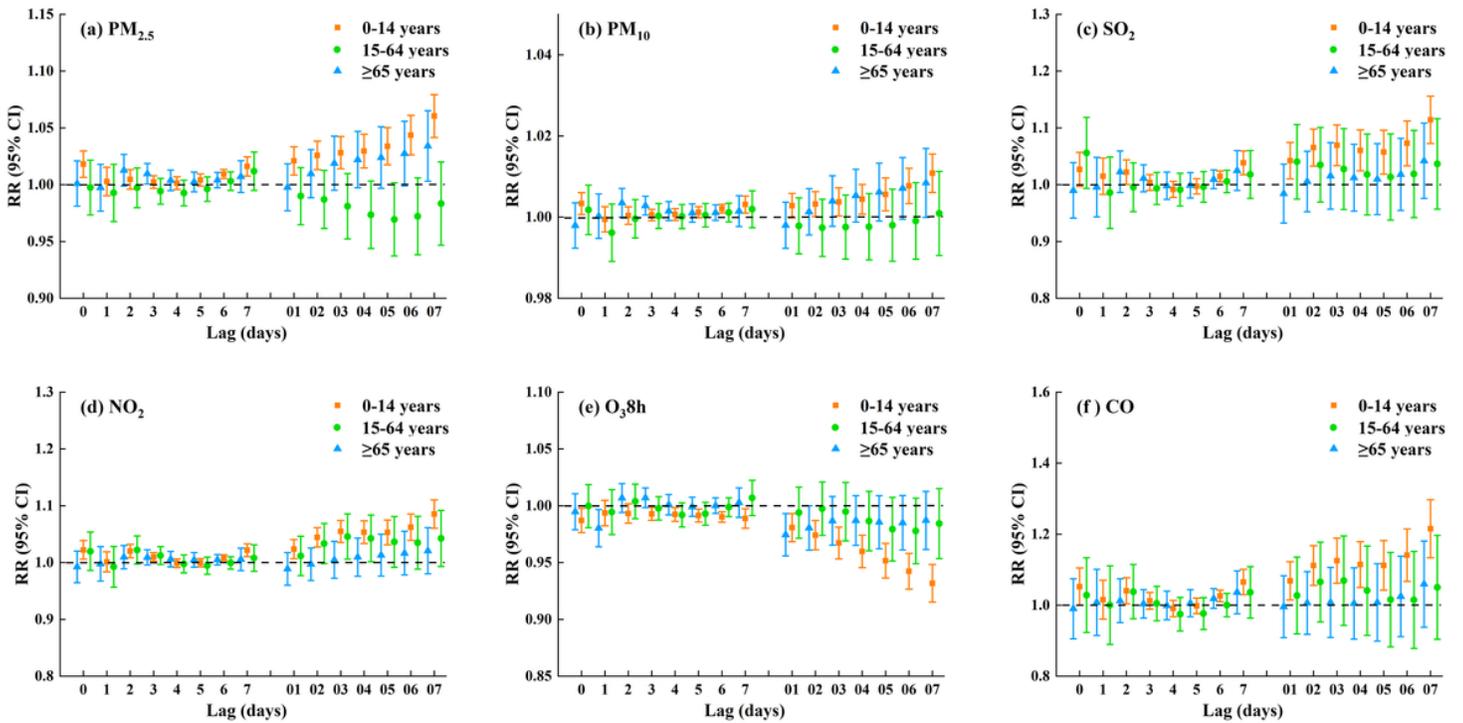


Figure 6

RRs (95% CI) of pneumonia hospital admissions associated stratified by age in single pollutant model.

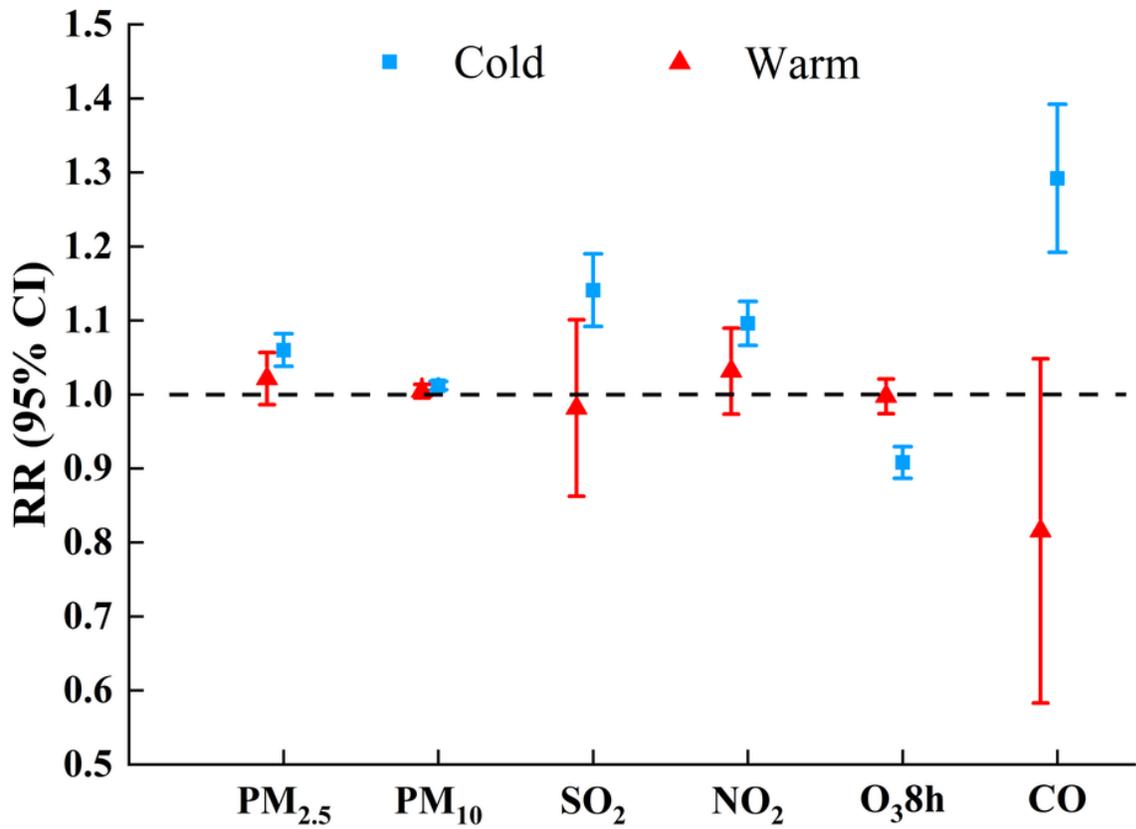
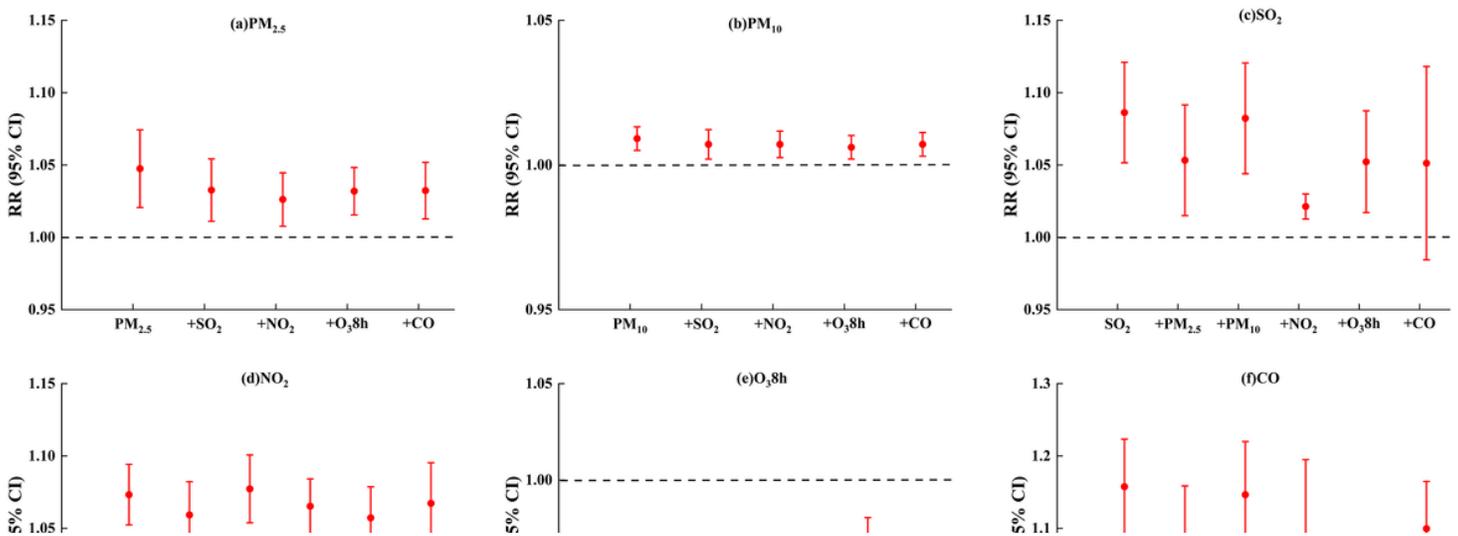


Figure 7  
RRs (95% CIs) of pneumonia hospital admissions with an increase of 10 $\mu\text{g}/\text{m}^3$  in air pollutants (1  $\text{mg}/\text{m}^3$  in CO) at lag07 by season in single pollutant model.



## Figure 8

RR (95% CIs) of associations between pneumonia hospital admissions and air pollutants (per 10  $\mu\text{g}/\text{m}^3$  increase and 1  $\text{mg}/\text{m}^3$  increase in CO) at lag07 from single-pollutant and two-pollutant models

## Supplementary Files

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- [SupplementalMaterials.docx](#)