

# Theoretical analysis on stress distribution characteristics around a shallow buried spherical Karst cave containing fill materials in limestone strata

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## Research Article

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1 **Theoretical analysis on stress distribution characteristics around a**  
2 **shallow buried spherical Karst cave containing fill materials in**  
3 **limestone strata**

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17  
18 **Abstract:** The **prime** objective of the article is to **present** the spatial characteristics of stress distribution around  
19 a **shallowly** buried spherical Karst cave containing fill materials in limestone strata. Firstly, **considering** the  
20 external load effects, stress field in the Earth's crust, internal filling, and the Karst landform characteristics in  
21 China, a spatial axial-symmetrical model was established. Concurrently, combining available work and the  
22 concept of elasticity, the boundary conditions were determined. Subsequently, Love displacement method was  
23 introduced, in addition to the expressions of stress components were gained. The diagram characteristics of  
24 each stress component were summarized, which are affected by various influencing factors. Finally, in order to  
25 prove the rationality of the general solution, **a comparison between numerical simulation result and theoretical**  
26 **calculation result was carried out**, as well as the maximum error between theoretical calculation value and  
27 numerical simulation value is less than 5%. Thus, the analytical solution could represent the spatial  
28 characteristics of stress distribution around a **shallowly** buried spherical Karst cave containing fill materials in  
29 limestone strata.

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32 **Keywords:** Shallow buried; Spherical Karst cave; Spatial stress distribution characteristics in limestone strata;  
33 Love displacement function; Theoretical analysis

34  
35 **1. Introduction**

36 Karst landform exists widely in the world, and Karst cave is one of the typical representatives.

37 Up to now, various patterns of Karst cave **have** been found, including columnar, alter shaped,  
38 spherical, funnel shaped and so on [1,2], and filled by fill materials (such as clay, water, air and so  
39 on). Different from abroad [3], most of this area **is shallowly** buried. In recent years, owing to the  
40 rapid urban development as well as **the** growing occupation of terrestrial, the dimensions as well  
41 as the speed of engineering construction have been greatly accelerated [4]. **The ground collapse**  
42 **has become a common engineering problem in mantled Karst region**, and brings great loss to  
43 people's life and property. The losses **encompass** agricultural engineering, highway engineering,  
44 railway engineering, mining engineering, industrial and civil construction engineering, etc [5-8].  
45 **Therefore, the prevention and control of ground collapse is an important requirement for national**  
46 **security and economic development.**

47 Generally, carbonate rocks are dissolved by faintly acidic waters [9-11], Karst caves may  
48 provide transport channel or storage space for overlying **rock/soil** mass, and cause **changes in**  
49 spatial stress distribution. **To** investigate the effect on spatial stress distribution ,caused by buried  
50 Karst caves, a lot of research was performed. Goodier [12] presented that there is a concentration  
51 around void or defect. In order to achieve quantitative expression of stress distribution, Howland  
52 et al. [13] simplified the problem into a thin plate containing circular holes, and inverse method  
53 was used to solved the problem. Taking into account the variety of Karst caves and the complexity  
54 of loading conditions, Rao et al. [14] analysed the stresses of surrounding rock containing a  
55 tubular filled elliptical karst cave, and the analytic general formula for the component of stresse  
56 were solved. Li et al. [15] established the plane mechanical models with different stress boundary  
57 conditions in each direction, and the exact general solution was obtained. Shi et al. [16]  
58 summarized the stress distribution around rectangular cavity. Considering the spatial geometric  
59 characteristics of Karst caves, Liao et al. [17] provided the extreme value of critical point on the  
60 wall of an elliptical spherical cavity under triaxial stress.

61 **To sum up, a large number of studies have been conducted. However, spatial geometry of strata**  
62 **and internal filling are limited, and the general solution of stress distribution around Karst cave**  
63 **was not provided in the research process. In addition, complex function theory was mainly used,**  
64 **the method is relatively single and the function is relatively complex. Therefore, Love**  
65 **displacement was induced to study the spatial stress distribution characteristics around a shallowly**  
66 **buried spherical Karst cave containing fill materials in limestone strata.** Firstly, **considering**  
67 the geometry of limestone formations, a spatial axial-symmetrical model was established.  
68 Concurrently, on the basis of the theory of elasticity, the expressions of stress components were  
69 obtained. Finally, a numerical simulation was carried out to prove the rationality of the general  
70 solution.

## 71 **2. Mechanical model and boundary conditions**

### 72 **2.1 Mechanical model**

73 According to the characteristic of Karst landform in China, Karst caves are usually affected by  
74 internal filling, external load and stress field in the Earths crust (Figure 1). In order to facilitate  
75 analytical analysis, the basic assumptions are as follows: ① the limestone strata can be simplified  
76 into a spatial axial-symmetrical model. ② the spherical Karst cave containing fill materials are  
77 shallowly buried in limestone strata ( $h < 2.5D$ , the hidden depth of the Karst cave is  $h$ , the diameter  
78 of the karst cave is  $D$ ). ③ The limestone strata is homogeneous, continuous and isotropic. In  
79 addition, the parameters are  $\gamma$  (unit weight),  $\mu$  (poisson's ratio) and  $E$  (elastic modulus)  
80 respectively.

81 Based on above assumptions, the spherical coordinates  $(r, \theta, \varphi)$  is chosen as the coordinate  
 82 system (Figure 2). The effect generated by external loads and the gravity of overlying limestone  
 83 are simplified into vertical uniform distributed loads. In addition, stress field in the Earths crust  
 84 will cause vertical stress on the bottom and horizontal stress surrounding the Karst cave (the side  
 85 force coefficient  $k_0=\mu/(1-\mu)$ ).

86 The parameters in Figure 1 and Figure 2 are

87  $p_z$ —external vertical load;

88  $p_0$ —horizontal stress caused by external vertical load and field in the Earths crust,  $p_0=$   
 89  $k_0[p_z+\gamma(h+z)]$ ;

90  $p_i$ —radial stress caused by fill materials;

91  $h$ —The vertical distance from the top of the limestone strata to the center of the spherical Karst  
 92 cave;

93  $R$ —the radius of the buried spherical karst cave;

94  $k_0$ —the side force coefficient ,  $k_0=\mu/(1-\mu)$ .

## 95 2.2 Boundary conditions

96 Combining available work and the concept of elasticity, the boundary conditions are

97 (1)  $z = -h$  ,  $\sigma_z = p_z$  is the vertical stress generated by external vertical load ;

98 (2)  $r \rightarrow \infty$  ,  $\sigma_r = [p_z + \gamma(h+z)]\mu/(1-\mu)$  is the radial stress considering external vertical load  
 99 and the gravity of the medium of the strata;

100 (3)  $r \rightarrow \infty$  ,  $\sigma_\theta = [p_z + \gamma(h+z)]\mu/(1-\mu)$  is the tangential stress considering external vertical  
 101 load and and the gravity of the medium of the strata;

102 (4)  $R = R_1$  ,  $\sigma_R = p_i$  is the internal pressure considering the gravity of fill materials of the  
 103 buried spherical karst cave .

## 104 3. Theoretical analysis

### 105 3.1 The basic theory [18-19]

106 Love displacement method was induced in this paper, which is an effective way to solve  
 107 spatial axial-symmetrical problem.

108 Taking into account the influence of gravity, the equilibrium differential equations become as

$$109 \left. \begin{aligned} \frac{\partial \sigma_r}{\partial r} + \frac{\partial \tau_{rz}}{\partial z} + \frac{\sigma_r - \sigma_\theta}{r} &= 0 \\ \frac{\partial \sigma_z}{\partial z} + \frac{\partial \tau_{rz}}{\partial r} + \frac{\tau_{rz}}{r} + \gamma &= 0 \end{aligned} \right\} \quad (1)$$

110 In addition, the stress components are as follows, using Love displacement function.

$$\left. \begin{aligned} \sigma_r &= \frac{\partial}{\partial z} \left( \mu \nabla^2 - \frac{\partial^2}{\partial r^2} \right) \varphi(r, z) \\ \sigma_\theta &= \frac{\partial}{\partial z} \left( \mu \nabla^2 - \frac{1}{r} \frac{\partial}{\partial r} \right) \varphi(r, z) \\ \sigma_z &= \frac{\partial}{\partial z} \left[ (2 - \mu) \nabla^2 - \frac{\partial^2}{\partial z^2} \right] \varphi(r, z) \\ \tau_{rz} &= \frac{\partial}{\partial r} \left[ (1 - \mu) \nabla^2 - \frac{\partial^2}{\partial z^2} \right] \varphi(r, z) \end{aligned} \right\} \quad (2)$$

111 Where  $\nabla^2$  is Laplace operator

112 The spherical coordinates  $(r, \theta, \varphi)$  is chosen as the coordinate system, the relationship of the stress  
 113 components between spherical coordinates and cylindrical coordinates are as follows

$$\left. \begin{aligned} \sigma_R &= \sigma_r \sin^2 \varphi + \sigma_z \cos^2 \varphi + 2\tau_{rz} \sin \varphi \cos \varphi \\ \sigma_\theta &= \sigma_\theta \\ \sigma_\varphi &= \sigma_r \cos^2 \varphi + \sigma_z \sin^2 \varphi - 2\tau_{rz} \sin \varphi \cos \varphi \\ \tau_{R\varphi} &= (\sigma_r - \sigma_z) \sin \varphi \cos \varphi - \tau_{rz} (\sin^2 \varphi - \cos^2 \varphi) \end{aligned} \right\} \quad (3)$$

### 114 3.2 The general solution

115 To obtain the general solution of stress components using Love displacement method mainly  
 116 includes three parts: Established the Love displacement function, the undetermined coefficients of  
 117 each stress components expressions were solved, and the result was processed (Figure 3).

118 In this study, spherical coordinate system was selected as the coordinate system. Love  
 119 displacement function (Equation (2)) was established, which meets Equation (1) [18-22].

$$120 \quad \varphi = A_2 r^4 + A_3 z^3 + A_4 z^2 r^2 + A_5 z r^2 + A_9 z R^{-1} \quad (4)$$

121 where,  $A_i (i=2,3,4,5,9)$  are the undetermined coefficients

122 Substituting Equation (4) into Equation (2) yields

$$\sigma_r = 4[(2\mu-1)A_4]z + 2[3\mu A_3 + (2\mu-1)A_5] + A_9[15r^2 z^2 R^{-7} + 3(2\mu-1)z^2 R^{-5} - 3r^2 R^{-5} + (1-2\mu)R^{-3}] \quad (5)$$

$$\sigma_\theta = 4[(2\mu-1)A_4]z + 2[3\mu A_3 + (2\mu-1)A_5] + A_9[3(2\mu-1)z^2 R^{-5} + (1-2\mu)R^{-3}] \quad (6)$$

$$\sigma_z = 8[(2-\mu)A_4]z + 2[3(1-\mu)A_3 + 2(2-\mu)A_5] + A_9[15z^4 R^{-7} - 6(1+\mu)z^2 R^{-5} + (2\mu-1)R^{-3}] \quad (7)$$

$$\tau_{rz} = 4[8(1-\mu)A_2 - \mu A_4]r + A_9[15rz^3 R^{-7} - 3(1+2\mu)rz R^{-5}] \quad (8)$$

123 Substituting the expressions of the stress components into Equation (3) yields

$$\begin{aligned} 124 \quad \sigma_R &= \{4[16(1-\mu)A_2 - A_4] \sin^2 \varphi \cos \varphi + 8[(2-\mu)A_4] \cos^3 \varphi\} R + \{2[3\mu A_3 + (2\mu-1)A_5] \sin^2 \varphi + 2[3(1 \\ 125 \quad &- \mu)A_3 + 2(2-\mu)A_5] \cos^2 \varphi\} + A_9[15 \cos^6 \varphi + 15 \sin^4 \varphi \cos^2 \varphi + 30 \sin^2 \varphi \cos^4 \varphi - 3 \sin^4 \varphi - 6(1 \\ 126 \quad &+ \mu) \cos^4 \varphi - 3(3+2\mu) \sin^2 \varphi \cos^2 \varphi + (1-2\mu) \sin^2 \varphi + (2\mu-1) \cos^2 \varphi] \frac{1}{R^3} \end{aligned} \quad (9)$$

$$127 \quad \sigma_\theta = \{4[(2\mu-1)A_4] \cos \varphi\} R + 2[3\mu A_3 + (2\mu-1)A_5] A_9[3(2\mu-1) \cos^2 \varphi + (1-2\mu)] \frac{1}{R^3} \quad (10)$$

$$128 \quad \sigma_\varphi = \{4[(2\mu-1)A_4] \cos^3 \varphi + 8[-8(1-\mu)A_2 + 2A_4] \sin^2 \varphi \cos \varphi\} R + \{2[3\mu A_3 + (2\mu-1)A_5] \cos^2 \varphi + 2[3(1$$

$$129 \quad -\mu)A_3 + 2(2-\mu)A_5] \sin^2 \varphi \} + A_9[3(2\mu-1)\cos^2 \varphi + (1-2\mu)(\cos^2 \varphi - \sin^2 \varphi)] \frac{1}{R^3} \quad (11)$$

$$130 \quad \tau_{R\varphi} = \{4[8(1-\mu)A_2 + (3\mu-5)A_4] \sin^2 \varphi \cos^2 \varphi - 4[8(1-\mu)A_2 - \mu A_4] \sin^3 \varphi \} R + [6(2\mu-1)A_3 + 2(4\mu-5$$

$$131 \quad A_5] \sin \varphi \cos \varphi + 2A_9(1+\mu) \frac{1}{R^3} \sin \varphi \cos \varphi \quad (12)$$

132 Equation (9)-(12) are proved to satisfy Equation (1), so

$$133 \quad 8A_2 + 2A_4 = \frac{\gamma}{8(\mu-1)} \quad (13)$$

134 Substituting boundary condition (1) into Equation (7)

$$135 \quad -8[(2-\mu)A_4]h + 2[3(1-\mu)A_3 + 2(2-\mu)A_5] - A_9 \frac{1}{h^3} [15\cos^7 \varphi - 6(1+\mu)\cos^5 \varphi + (2\mu-1)\cos^3 \varphi] = p_z$$

$$136 \quad (14)$$

137 Substituting boundary condition (2) , (3) into Equation(5) , (6) respectively, and matching the  
138 equivalent coefficients of both sides leads to

$$139 \quad 4(2\mu-1)A_4 = \frac{\mu}{1-\mu} \gamma \quad (15)$$

$$140 \quad 6\mu A_3 + 2(2\mu-1)A_5 = \frac{\mu}{1-\mu} (p_z + \gamma h) \quad (16)$$

141 Substituting boundary condition (4) into Equation (9)

$$142 \quad \{4[16(1-\mu)A_2 - A_4] \sin^2 \varphi \cos \varphi + 8[(2-\mu)A_4] \cos^3 \varphi \} R_1 + \{2[3\mu A_3 + (2\mu-1)A_5] \sin^2 \varphi + 2[3(1-\mu)A_3$$

$$143 \quad + (2-\mu)A_5] \cos^2 \varphi \} + A_9[15\cos^6 \varphi + 15\sin^4 \varphi \cos^2 \varphi + 30\sin^2 \varphi \cos^4 \varphi - 3\sin^4 \varphi - 6(1+\mu)\cos^4 \varphi - 3($$

$$144 \quad 3 + 2\mu) \sin^2 \varphi \cos^2 \varphi + (1-2\mu) \sin^2 \varphi + (2\mu-1) \cos^2 \varphi] \frac{1}{R_1^3} = p_i \quad (17)$$

145 Combining Equation (13)-(17) , the equations of the stress components are as follows

$$146 \quad \sigma_R = \{4[16(1-\mu)A_2 - A_4] \sin^2 \varphi \cos \varphi + 8[(2-\mu)A_4] \cos^3 \varphi \} R + \{2[3\mu A_3 + (2\mu-1)A_5] \sin^2 \varphi + 2[3(1-$$

$$147 \quad \mu)A_3 + 2(2-\mu)A_5] \cos^2 \varphi \} + A_9[15\cos^6 \varphi + 15\sin^4 \varphi \cos^2 \varphi + 30\sin^2 \varphi \cos^4 \varphi - 3\sin^4 \varphi - 6(1+$$

$$148 \quad \mu) \cos^4 \varphi - 3(3+2\mu) \sin^2 \varphi \cos^2 \varphi + (1-2\mu) \sin^2 \varphi + (2\mu-1) \cos^2 \varphi] \frac{1}{R^3} \quad (18)$$

$$149 \quad \sigma_\theta = \{4[(2\mu-1)A_4] \cos \varphi \} R + 2[3\mu A_3 + (2\mu-1)A_5] + A_9[3(2\mu-1) \cos^2 \varphi + (1-2\mu)] \frac{1}{R^3} \quad (19)$$

$$150 \quad \sigma_\varphi = \{4[(2\mu-1)A_4 \cos^3 \varphi + 8[-8(1-\mu)A_2 + 2A_4] \sin^2 \varphi \cos \varphi \} R + \{2[3\mu A_3 + (2\mu-1)A_5] \cos^2 \varphi + 2[3(1$$

$$151 \quad -\mu)A_3 + 2(2-\mu)A_5] \sin^2 \varphi \} + A_9[3(2\mu-1) \cos^2 \varphi + (1-2\mu)(\cos^2 \varphi - \sin^2 \varphi)] \frac{1}{R^3}$$

152 (20)

153  $\tau_{R\phi} = \{4[8(1-\mu)A_2 + (3\mu-5)A_4]\sin^2\phi\cos^2\phi - 4[8(1-\mu)A_2 - \mu A_4]\sin^3\phi\}R + [6(2\mu-1)A_3 + 2(4\mu-5$   
 154  $)A_5]\sin\phi\cos\phi + 2A_9(1+\mu)\frac{1}{R^3}\sin\phi\cos\phi$  (21)

155 Where

156  $A_2 = \frac{(6\mu-1)}{64(1-\mu)(1-2\mu)}\gamma, A_3 = -\frac{B_1}{6B_2}, A_4 = \frac{\mu\gamma}{4(1-\mu)(2\mu-1)}, A_5 = -\frac{B_3}{2B_2}, A_9 = -\frac{B_4}{B_2}$

157  $B_1 = 2(2\mu-1)h^3[(1-\mu)(2\mu-1)p_z + 2\mu(2-\mu)\cos^2\phi] - \mu(2\mu-1)R_1^3(p_z + \gamma h)[2(2-\mu)\cos^2$

158  $\phi + (2\mu-1)\sin^2\phi][15\cos^7\phi - 6(1+\mu)\cos^5\phi + (2\mu-1)\cos^3\phi] - 4\mu h^3(2-\mu)(2\mu-1)(p_z +$

159  $\gamma h)[2(2-\mu)\cos^2\phi - (1+\mu)\sin^2\phi] + (2\mu-1)R_1^3[15\cos^7\phi - 6(1+\mu)\cos^5\phi + (2\mu-1)\cos^3\phi]$

160  $[(1-\mu)(2\mu-1)p_i + (6\mu-1)(1-\mu)\gamma R_1\sin^2\phi\cos\phi - 2(2-\mu)R_1\gamma\cos^3\phi + \mu R_1\gamma\sin^2\phi\cos\phi]$

161  $B_2 = (1-\mu)(2\mu-1)\{R_1^3(1-2\mu)[\mu\sin^2\phi + (1-\mu)\cos^2\phi][15\cos^7\phi - 6(1+\mu)\cos^5\phi + (2\mu-1)\cos$

162  $^3\phi] + R_1^3\mu[2(2-\mu)\cos^2\phi + (2\mu-1)\sin^2\phi][15\cos^7\phi - 6(1+\mu)\cos^5\phi + (2\mu-1)\cos^3\phi] + 4$

163  $\mu h^3(2-\mu)[2(2-\mu)\cos^2\phi - (1+\mu)\sin^2\phi] + 2h^3(1-\mu)(1-2\mu)[2(2-\mu)\cos^2\phi - (1+\mu)\sin$

164  $^2\phi]\}$

165  $B_3 = -2\mu h^3[(1-\mu)(2\mu-1)p_z + 2\mu(2-\mu)\gamma h][2(2-\mu)\cos^2\phi - (1+\mu)\sin^2\phi] + \mu(2\mu-1)R_1^3(p_z +$

166  $\gamma h)[\mu\sin^2\phi + (1-\mu)\cos^2\phi][15\cos^7\phi - 6(1+\mu)\cos^5\phi + (2\mu-1)\cos^3\phi] + 2\mu h^3(1-\mu)(2\mu-1$

167  $(p_z + \gamma h)[2(2-\mu)\cos^2\phi - (1+\mu)\sin^2\phi] - \mu[15\cos^7\phi - 6(1+\mu)\cos^5\phi + (2\mu-1)\cos^3\phi][(1+$

168  $\mu)(2\mu-1)R_1^3p_i + (6\mu-1)(1-\mu)R_1^4\gamma\cos^3\phi + \mu R_1^4\gamma\sin^2\phi\cos\phi]$

169  $B_4 = h^3\{(1-\mu)R_1^3[(1-\mu)(2\mu-1)p_z + 2\mu(2-\mu)\gamma h][\mu\sin^2\phi + (1-\mu)\cos^2\phi] + \gamma R_1^3[(1-\mu)(2\mu\phi_z$

170  $+ 2\mu(2-\mu)\gamma h][2(2-\mu)\cos^2\phi + (2\mu-1)\sin^2\phi] + 2\mu(2-\mu)(2\mu-1)R_1^3(p_z + \gamma h)[\mu\sin^2\phi + (1$

171  $-\mu)\cos^2\phi] - \mu(1-\mu)(2\mu-1)R_1^3(p_z + \gamma h)[2(2-\mu)\cos^2\phi + (2\mu-1)\sin^2\phi] - 2\mu(2-R_1^3$

172  $[(1-\mu)(2\mu-1)p_i + (6\mu-1)(1-\mu)\gamma R_1\sin^2\phi\cos\phi - 2(2-\mu)R_1\gamma\cos^3\phi + \mu R_1\gamma\sin^2\phi\cos\phi$

173  $] + (1-\mu)(2\mu-1)R_1^3[(1-\mu)(2\mu-1)p_i + (6\mu-1)(1-\mu)\gamma R_1\sin^2\phi\cos\phi - 2(2-\mu)R_1\gamma\cos^3$

174  $\varphi + \mu R_1 \gamma \sin^2 \varphi \cos \varphi \}$

175 Then, the general solution of the stress components are obtained, which can consider the  
176 common effect of the external load effects, stress field in the Earth's crust, internal filling, and the  
177 Karst landform characteristics in China. In general, the value of is definite for a specific site.  
178 Therefore, the radius ( $R$ ) and the angle ( $\varphi$ ) are two influencing factors for the characteristics of the  
179 stress distribution in the strata..

#### 180 4. Distribution characteristics of stress component

##### 181 4.1 Distribution characteristics of stress component

182 For stress component, there are two influencing factors, one is the radius ( $r$ ), another is the  
183 angle ( $\varphi$ ). In order to display the distribution characteristics of each stress component, the data are  
184 substituted into the expressions of each stress component, as well as the diagram of each stress  
185 component are drawn using MATLAB software.

186 When angle is constant ( $\varphi=\pi/2$ ), the curves of circumferential stress and radial stress are  
187 non-linear. Nevertheless, the tendency of value alteration is the opposed with the increase of  
188 radius value (Figure 4(a)). Figure 4(b) indicates that tangential stress value increases with the  
189 increase of radius value, as well as tend a constant value finally. In addition, the relationship  
190 between shear stress and radius is linear (Figure 4(c)).

191 Furthermore, to discuss the effect of angle ( $\varphi$ ), the curves of each stress component were  
192 drawn in Figure 5 ( $R=2m$ ). Figure 4 shows the curves of each stress component are symmetrical,  
193 and  $\varphi=\pi/2$  is the axis of symmetry except shear stress (angle ( $\varphi$ ) varies from 0 to  $2\pi$ ). In addition  
194 the value of shear stress is positive, as well as the other stress component contains positive and  
195 negative value.

196 In summary, the result is agree with previous research[12]. In the meanwhile, The  
197 characteristics of spatial stress distribution was presented through above discussion, which will  
198 provide scientific evidence for bearing capacity determination of foundation containing shallowly  
199 buried Karst cave in the further research.

##### 200 4.2 Validation test of general solution

201 To verify the validation of the proposed analytical solution, the numerical simulation was  
202 conducted.

203 To understand the features of the stratum structure and karst cave, a GPR survey is conducted  
 204 along these survey lines. Owing to the different attributes of the media it penetrates, a part of the  
 205 signals emitted is reflected by the interface between the various materials. The reflected signals are  
 206 received, magnified, and digitized by a receiver and then relayed to the mainframe for storage. The  
 207 signals in the GPR profiles are enhanced via data processing, and the higher accuracy and better  
 208 visual geophysical signatures are represented. Detailed information is provided by images  
 209 interpretation, including information of the embedded depth, boundary of the karst caves, and the  
 210 thickness of the soil and interlayer. A spatial database in coordinate system relative to the  
 211 geological map could be easily positioned. Finally, based on this spatial database, a series of  
 212 two-dimensional geological cross sections are generated, and a spatial model was  
 213 generated. Considering the model symmetry, a 1/2 geometry model was selected, and the  
 214 dimension and mesh are shown in Figure 6, constraints were applied to the bottom of the model in  
 215 the upright and horizontal direction, and the excavation of void is used to simulate the formation  
 216 of natural karst cave. Horizontal stress surrounding the karst cave is  $p_2=8.833.5z$  ( $z$  is vertical  
 217 coordinate value), which is caused by stress field in the Earth's crust (Figure 7). The red facts were  
 218 recycled to monitor the horizontal as well as vertical stress (Figure 8)

219 Geological survey records are required as the sources of the geological information. Timely data  
 220 are collected during geological mapping or other field works, consisting of borehole, elevation, and  
 221 outcrop descriptions along with the lithological information. Laboratory tests are used to obtain  
 222 the parameters of the rock and soil materials. The parameters of buried karst cave and thick  
 223 limestone are shown in Table 1.

224 Owing to theoretical analysis and numerical simulation were carried in different coordinate  
 225 system, the monitoring statistics was converted into the standards in spatial coordinates using  
 226 Equations (22)-(24). Figure 9 presents the monitoring data and calculation value of stress  
 227 component together. The theoretical calculation value is consistent with monitoring facts, the  
 228 extreme fault is not more than 5.0%, which meets the proposal standard necessity in practice.

$$229 \quad \sigma_r = \sigma_x \cos^2 \theta + \sigma_y \sin^2 \theta + 2\tau_{xy} \sin \theta \cos \theta \quad (22)$$

$$230 \quad \sigma_\theta = \sigma_x \sin^2 \theta + \sigma_y \cos^2 \theta - 2\tau_{xy} \sin \theta \cos \theta \quad (23)$$

$$231 \quad \sigma_z = \sigma_z \quad (24)$$

232 **5. Discussion**

233 In summary, the expressions of stress components are composed of power function, which are  
234 beneficial to the application in practice. Nevertheless, there is a fault (the maximum fault is not  
235 more than 5.0%) for stress component, it's necessary to optimize the analytic solution in the future.  
236 On the one hand, increase the type of Love displacement function component may be an effective  
237 way. On the other hand, various method could be introduced.

238 The characteristics of spatial stress distribution could be presented through using the general  
239 solution relatively well. In the further research, the formula of foundation bearing capacity  
240 calculation in the hidden Karst region could be obtained, combining failure mechanism of ground  
241 collapse and the expressions of stress components.

242 **6. Conclusion**

243 Taking into account the effect of external load, stress field in the Earths crust, internal  
244 filling and the characteristics of Karst landform in China, a spatial axial-symmetrical model was  
245 generated. A general solution of stress components was gained using Love displacement method.

246 For stress component, there are two influencing factors, one is the radius ( $R$ ), another is the  
247 angle ( $\varphi$ ). When angle is constant, the curves of circumferential stress and radial stress are  
248 non-linear. Nevertheless, the tendency of value alteration is the opposed with the increase of  
249 radius value. Tangential stress value increases with the increase of radius value, as well as tend a  
250 constant value finally. In addition, the curve of shear stress is linear. Furthermore, when radius is  
251 constant, the curves of each stress component are symmetrical except shear stress, and  $\varphi=\pi/2$  is  
252 the axis of symmetry. In addition the value of shear stress is positive, as well as the other stress  
253 component contains positive and negative value. the analytical solution could represent the spatial  
254 characteristics of stress distribution around a shallow buried spherical Karst cave in limestone  
255 strata.

256 Based on ground penetrating radar survey and laboratory analysis of rock in Chongqing, a  
257 mathematical model was conducted, and the judgement in the middle of monitoring data and  
258 calculation value of stress component was carried out. The theoretical calculation value is  
259 consistent with monitoring facts, the extreme fault is not more than 5.0%, which meets the  
260 proposal standard necessity in practice. As a result, the analytical solution could provide scientific  
261 evidence for bearing capacity determination of foundation containing shallowly buried Karst cave

262 in the further research.

### 263 **Declaration of competing interest**

264 The authors declare that they have no known competing financial interests or personal  
265 relationships that could have appeared to influence the work reported in this paper.

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275

### 276 **Authors' Contributions:**

277 Peng xie carried out theoretical analysis; Shaokun Ma and Haijia Wen carried out numerical  
278 simulation; Runbin Li and Runzu Yue carried out on-site investigation; Junfeng Liu, Bingyang Liu  
279 and Liangyong Li carried out Data statistics. All authors have give finial approval for publication.

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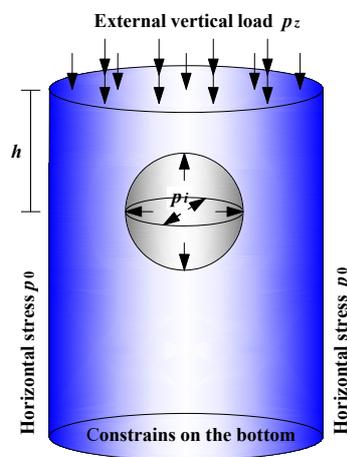
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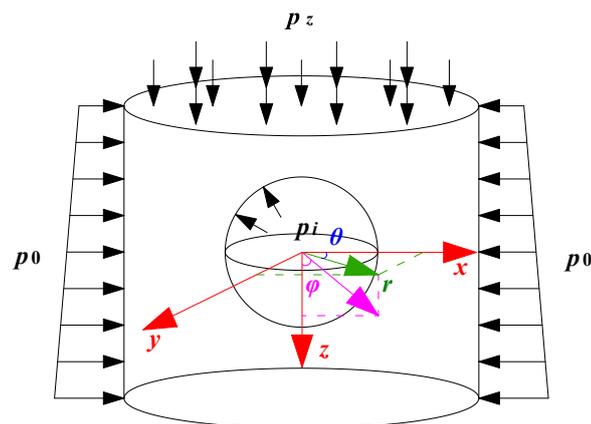
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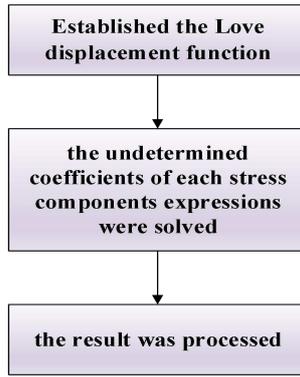
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330  
 331 Figure 1 Sketch of limestone strata in a mantled Karst region, containing shallow buried spherical  
 332 Karst cave with fill material



333  
 334 Figure 2 Mechanical model

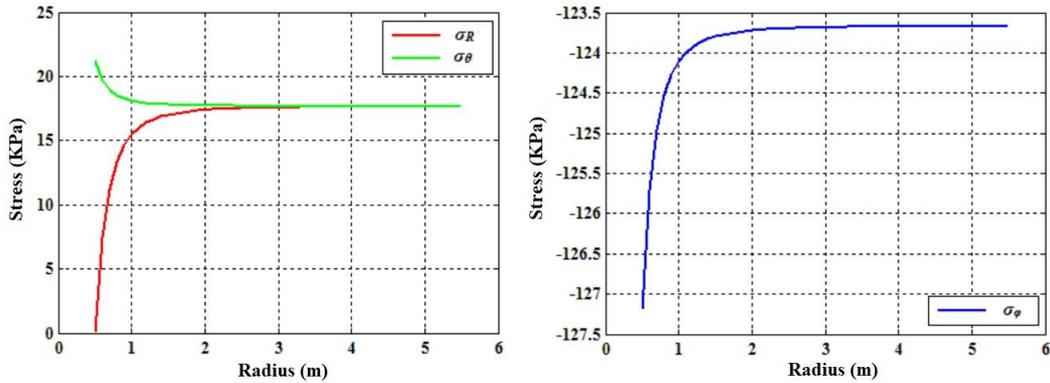


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Figure 3 The flow chart of the general solution was solved

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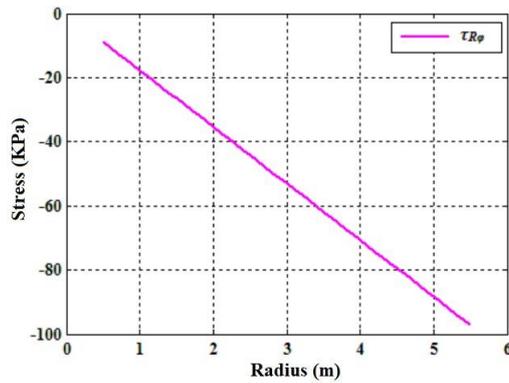


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(a)

(b)



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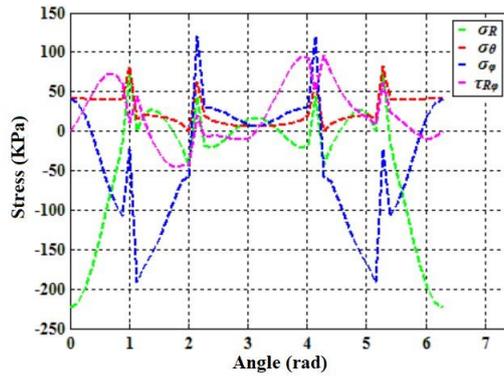
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(c)

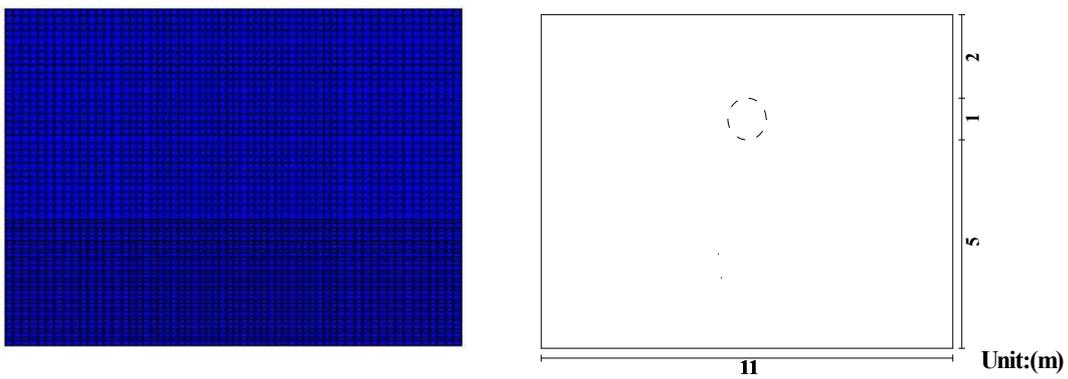
342 Figure 4 The distribution of stress component effected by single influencing factor ( $\phi=\pi/2$ , and

343 radius ( $R$ ) varies from 0.5m to 5.5m ) (a) the distribution of circumferential stress and radial stress,

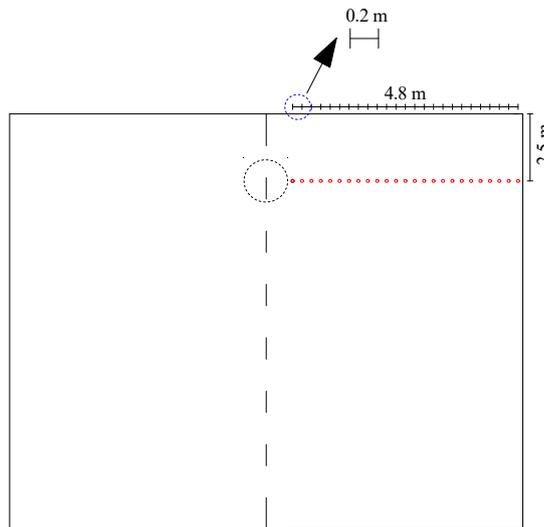
344 (b) the distribution of tangential stress, (c) the distribution of shear stress.



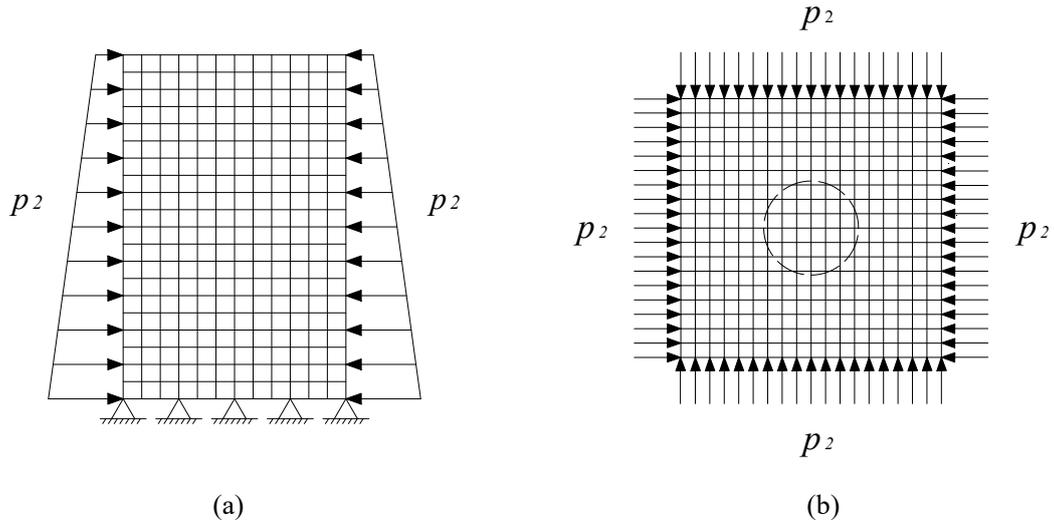
345  
 346 Figure 5 The distribution of stress component effected by single influencing factor ((radius ( $R$ )=  
 347 2m, and angle ( $\varphi$ ) varies from 0 to  $2\pi$  ).



348  
 349 Figure 6 Sketch of model for numerical simulation



350  
 351 Figure 7 Layout of monitored points in limestone strata containing spherical Karst cave



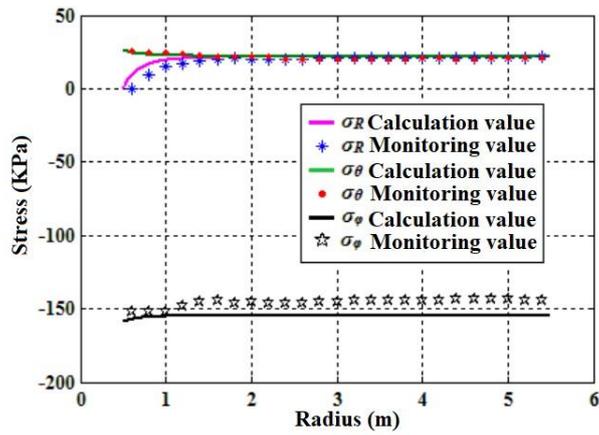
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353

354 Figure 8 Sketch of constrained condition and boundary condition for numerical simulation model

355

(a) vertical cross-section (b) horizontal cross-section



356

357 Figure 9 Comparison between theoretical analysis results and numerical simulation results

358 Table 1 Parameters of limestone strata

Parameters	Natural unit	Elastic modulus	Poisson's ratio	Radius of cylinder karst cave (m)	External load (KPa)	Internal stress (KPa)	Buried depth of spherical karst cave (m)
Limestone strata	26500	35 (GPa)	0.25	0.5	0	0	2

359