

# Landscape genomics of *Escherichia coli* in livestock-keeping households across a rapidly developing urban city

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## Article

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1 **Landscape genomics of *Escherichia coli* in livestock-keeping households across**  
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32 **Abstract**

33 The keeping of livestock has been posited as a risk factor for the emergence of zoonoses and  
34 the spread of antimicrobial resistance. However, quantitative evidence regarding the major  
35 sources of pathogenic and drug-resistant bacteria and transmission routes between hosts  
36 remains lacking. In the largest epidemiological study of this nature to date, we sampled  
37 *Escherichia coli* from humans, livestock, food, wildlife and the environment of 99 households  
38 across Nairobi, Kenya to gain a deeper understanding of sharing of bacteria among hosts and  
39 potential reservoirs. By analysing whole genome sequencing data from 1,338 *E. coli* isolates,  
40 we reconstruct sharing patterns for the sampled *E. coli* and its antimicrobial resistance  
41 determinants. We find that the diversity and sharing patterns of *E. coli* is heavily structured by  
42 household, which is the primary epidemiological interface for bacterial strain sharing. Strain  
43 sharing within households was strongly shaped by host type. We also find evidence for inter-  
44 household and inter-host sharing, and importantly, between humans and animals, although  
45 this occurs much less frequently. We find similar strain sharing patterns for the *E. coli* accessory  
46 genome, suggesting that it is shaped by recent evolutionary history and is strongly associated  
47 with the core genome. Resistome similarity, however, were quite differently distributed across  
48 host and household, consistent with their being driven by shared exposure to antimicrobials.  
49 Our results indicate that there is potential for the exchange of bacteria between humans,  
50 livestock and wildlife in the same household in a tropical urban setting, with wider mixing  
51 occurring over a period of months or years, but this does not drive the distribution of  
52 antimicrobial resistance.

## 53 Introduction

54 The spread of bacterial pathogens and antimicrobial resistance (AMR) across human and animal  
55 populations present a significant and growing threat to global health and economic development.  
56 Identifying risk factors for emergence and spread is one of epidemiology's most important challenges.  
57 Many recent pandemics and newly emergent infectious diseases have animal origins (1, 2) and are  
58 associated with rapidly urbanising environments (3, 4). The dynamic interfaces between humans,  
59 domestic livestock and wild animals act as conduits by which humans can be exposed to zoonotic  
60 pathogens and AMR in an environment with inadequate sanitation infrastructure, limited access to  
61 appropriate and effective drugs, and unregulated antimicrobial usage (5–8).

62 The importance of livestock to the transmission of bacteria and AMR remains unclear(9). The practice  
63 of keeping livestock, particularly in urban settings, has been described as a risk factor for the  
64 emergence and spread of zoonoses (10, 11). Antimicrobial agents used in human medicine are also  
65 used for growth promotion, disease prevention and treatment in livestock, enhancing selection  
66 pressures on bacterial pathogens for AMR emergence and spread.

67 Wild birds and mammals have also been documented to carry and exchange drug-resistant bacteria  
68 with livestock and humans (6, 12, 13). The rapid expansion of urban environments into previously  
69 pristine or sparsely populated natural landscapes also increases the potential for greater contact  
70 between wildlife, humans and livestock which can provide conduits for microbiome sharing (14).

71 Fundamental to whole genome sequencing studies is the availability of systematically sampled  
72 bacterial isolates obtained from humans, livestock and wildlife across overlapping geographical  
73 regions and time-frames, yet data are lacking (15). In this study, we sampled the bacterium *Escherichia*  
74 *coli* from humans, livestock and peri-domestic wildlife of 99 households and their environs across 33  
75 sublocations in Nairobi, Kenya, in an epidemiologically structured study. The rapid development of  
76 Nairobi's urban landscape is comparable to that of many other cities in the developing world, making  
77 it an ideal system in which to explore how people's interactions and co-existence with animals

78 influences pathogen transmission across species. (16, 17). As a common commensal and pathogen of  
79 both human and animal populations, as well as ease of culture and wealth of available genetic  
80 information, *E.coli* is an ideal organism for this study. Here, we report a genomic investigation of 1,338  
81 *E. coli* isolates sourced from humans, livestock and wildlife across Nairobi to elucidate patterns of  
82 bacterial strain sharing as a proxy for transmission potential. We test the hypothesis that the  
83 distributions of bacterial strains and their genetic pools are limited to particular defined ecological  
84 niches (households and hosts) versus an alternative that they display a cosmopolitan distribution – in  
85 essence, recapitulating the famous tenet, “Everything is everywhere, but, the environment selects”  
86 (18). Our study aims to identify risk factors to help inform surveillance strategies that target potential  
87 hotspots for strain sharing and AMR transmission between populations in an urban setting, and more  
88 broadly, to understand risks associated with transmission of multi-host pathogens in urban settings.

## 89 Results

### 90 ***E. coli* from humans and animals in Nairobi originate from both global and local lineages.**

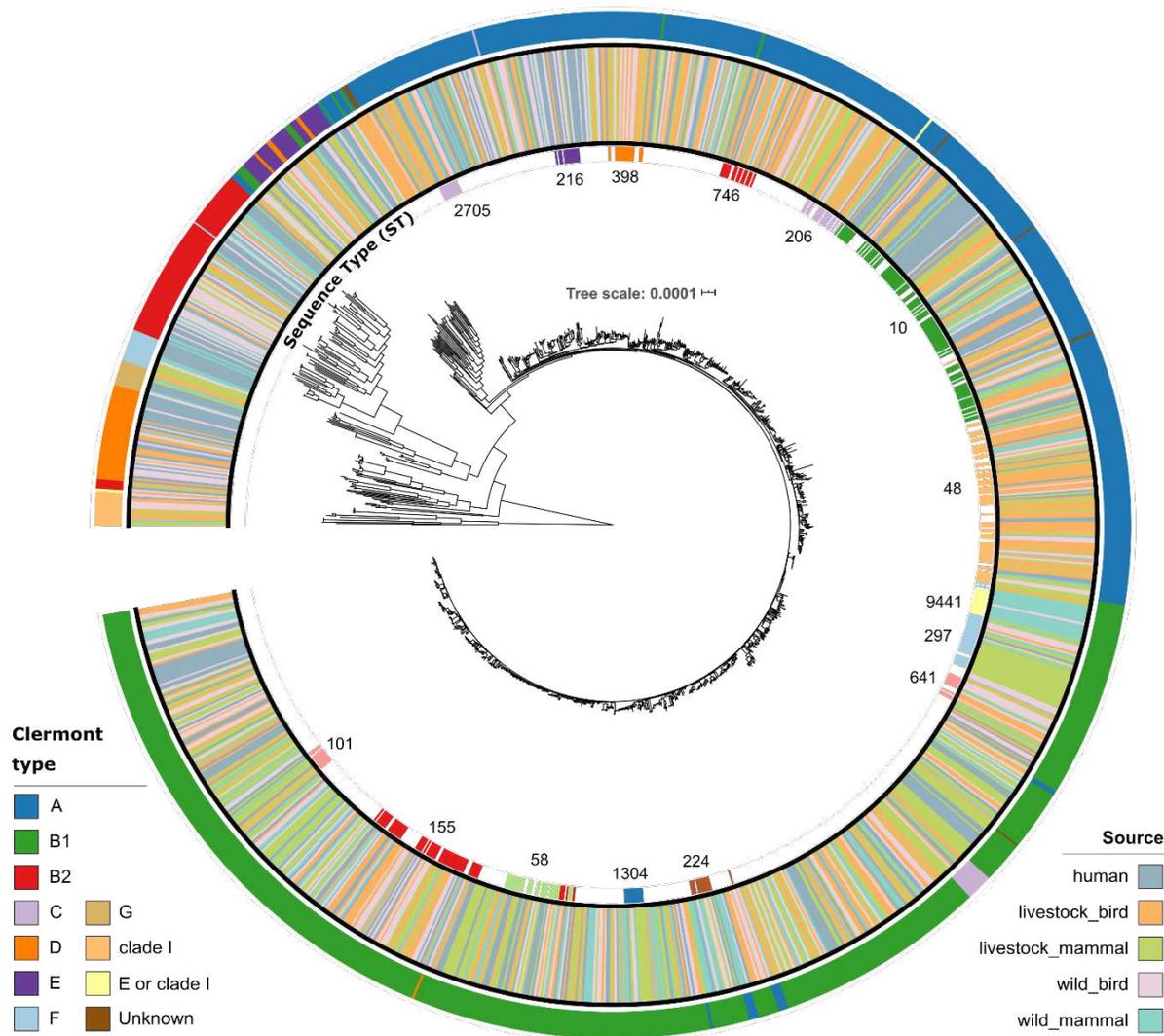
91 A total of 1,338 *Escherichia coli* isolates were sequenced as part of this study (Table S1). 311 genomes  
92 were obtained from human isolates. 421 genomes isolated from 63 wildlife species, primarily  
93 comprised of wild birds (n=245), rodents and bats (n=130). 606 genomes were attained from 13  
94 species of livestock that can be grouped into poultry (n=324), goat and sheep (n=109), cattle (n=61),  
95 pig (n=49) and rabbit (n=38) isolates. The isolates were distributed across 33 geographic sublocations  
96 spanning the entire urban area of Nairobi with a range of 20 to 63 isolates per sublocation (see  
97 supplementary methods). A large fraction of isolates in each sublocation were obtained from a  
98 household with livestock (minimum 75%). The sampling protocol also ensured that there was at least  
99 one and up to ten isolates from a household without livestock in each sublocation.

100 The genomes represent all major lineages of the *E. coli sensu stricto* phylogroup in addition to  
101 members of the cryptic clade I. The isolates belong to Clermont phylogroups B1 (45%), A (38%), B2  
102 (6%), D (4%), E (2%), and to a lesser extent clades C, F, G and clade I (>1%). Phylogroup A was strongly  
103 associated with humans (41% of human isolates) compared to the other host categories. In livestock  
104 mammal, wild bird and wild mammal categories, isolates from phylogroup B1 was the most frequently  
105 isolated.

106 A total of 537 unique sequence types (STs), based on the 7-gene Achtman scheme, were represented,  
107 with the three most common being ST10 (n= 93, 7%), ST48 (n=64, 5%) and ST155 (n=54, 4%) (Table  
108 S2). 139 STs, representing 14% (184/1338) of isolates, have only been found in African countries  
109 (Kenya, Madagascar, South Africa, Uganda), based on the genomes that were present in Enterobase  
110 at the time this study was carried out. 133 of the 139 Africa-specific STs in this collection, representing  
111 13% (173/1338) isolates, were unique to Kenya and reported for the first time in this study. Most of  
112 these novel and unique STs were isolated from livestock (52%, 96/184), and wildlife (34%, 63/184).

113 A core genome alignment comprising 80,722 nucleotide positions conserved across all 1,338 isolates  
114 was used to infer the overall phylogenetic relationship between isolates (Figure 1). Associations  
115 between the clustering of isolates at the tips of this phylogeny and host types or sublocations were  
116 investigated. We found two clusters that were associated with Kitisuru (n=5) and Karen (n=6)  
117 sublocations suggesting localised transmission clusters (Supplementary Figure S1). The cluster in  
118 Kitisuru involved two wild birds and three poultry isolates from the same household (KTS089), while  
119 the cluster in Karen was made up of cattle and primate isolates from one household (KAN007) and  
120 wild bird isolates sampled in other households in the same sublocation (KAN008 and KAN009). Both  
121 Kitisuru and Karen represent sublocations of the highest wealth category consisting of detached  
122 dwellings with relatively large surrounding compounds.

123 Three clusters of isolates were found to be significantly associated with goats (n=18), rats (n=14) and  
124 rabbits (n=5), respectively. The longer phylogenetic distances of well over 10 core SNPs separating  
125 isolates in these hosts suggest transmission over a longer timescale, which is supported by the  
126 distribution of these isolates across multiple households and sublocations (Figure 1 and  
127 Supplementary Figure S2). The largest cluster associated with a single host type is the ST297/ST9433  
128 caprine cluster that are found in multiple sublocations (Figure S2a). The second largest cluster,  
129 belonging to the ST9441 lineage, is so far unique to this dataset and Nairobi. This cluster is also found  
130 across at least nine sublocations and significantly associated with wild rodents.



131

132 *Figure 1. Core genome phylogeny of 1338 E. coli isolates showing (from inner to outer ring): i) Sequence Types (only STs with*  
 133 *a minimum 10 isolates are shown), ii) source type of isolate, and iii) Clermont phylotype classifications. The tree is rooted on*  
 134 *the Clade I group.*

135 We employed core genome multi locus sequence typing (cgMLST) – a high resolution typing method,  
 136 which is more reproducible and comparable across larger datasets (19). First, we used cgMLST to  
 137 compare the global diversity of sequenced *E. coli* with the isolates in Nairobi by performing an all-vs-  
 138 all pairwise comparison of cgMLST distances between the 1,338 Nairobi genomes and 28,382 publicly  
 139 available whole genome sequences that were annotated with source type, place and year of isolation  
 140 from Enterobase (downloaded June 2018). We found that the closest related isolates to those found  
 141 in Nairobi differed by at least 9 cgMLST loci (Supplementary Figure S3). Two ST69 isolates from humans  
 142 (INT005715 and INT005465) from different sublocations (Kahawa West and South C), differed by 9

143 and 10 cgMLST loci from two wastewater isolates from Arizona in the United States, respectively  
144 (Enterobase Uberstrain accessions: ESC\_CA6087AA, ESC\_CA6031AA). The number of pairwise  
145 connections to isolates in the public database increases considerably between the 25 to 60 cgMLST  
146 range due to isolates belonging to the globally dispersed multi-drug resistant ST131 lineage  
147 (Supplementary Figure S4a). The intensive sequencing effort of strain ST131, a major cause of  
148 multidrug resistant infections in humans, is concentrated in Europe and North America. This explains  
149 the disproportionately higher number of links between Nairobi and this part of the world, which is  
150 thus a feature of global sampling effort rather than underlying epidemiology (Supplementary Figure  
151 S4a). Within this range of 25-60 cgMLST differences between pairs, a large fraction (39%) of pairs also  
152 occur between isolates from Nairobi (Supplementary figure 4b) that originate from the two goat  
153 (ST297 and ST9433) and rodent-associated (ST9441) lineages, described previously.

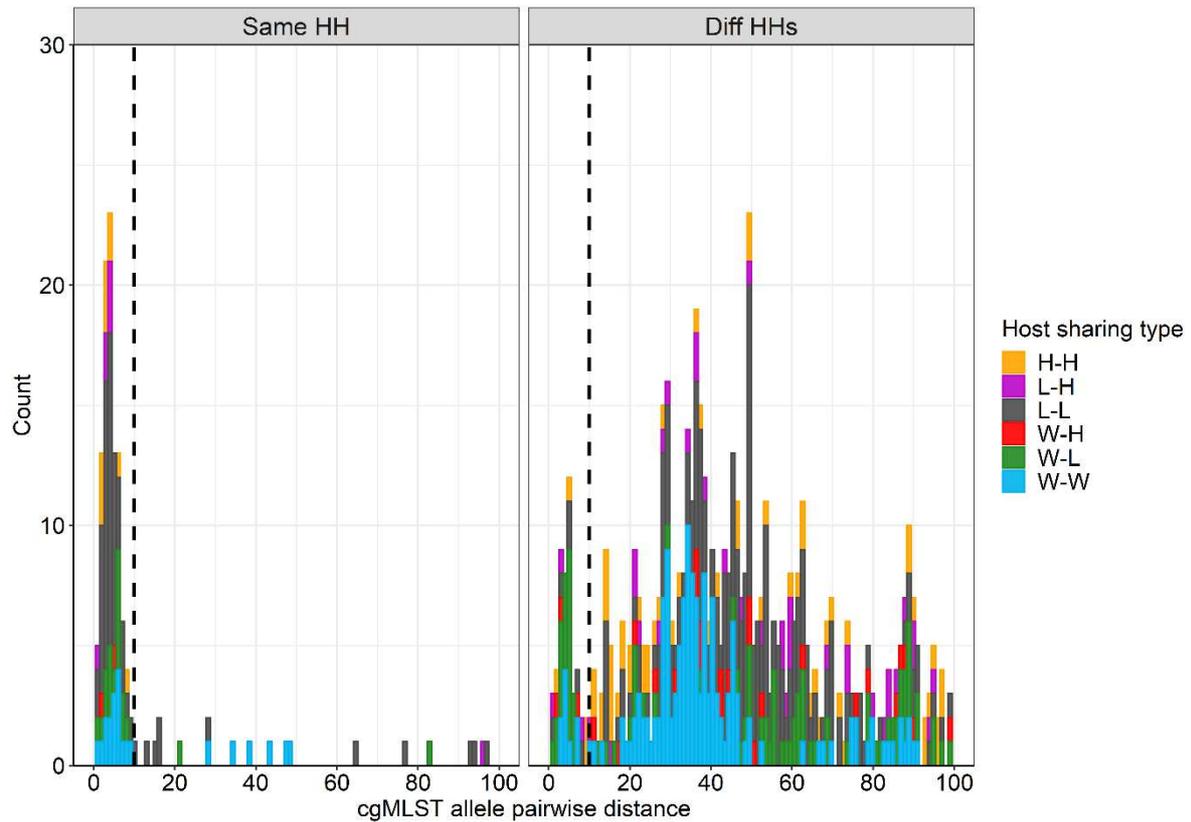
154 **Clonal strain sharing of isolates is primarily shaped by household structure.**

155 When the frequency distribution of pairs of isolates differing by less than 100 cgMLST loci is plotted,  
156 we find a total of 150 pairs of isolates that differ by 10 or fewer cgMLST alleles to other isolates in our  
157 collection (Figure 2). These pairs comprise 187 (14%) isolates, with some isolates involved in multiple  
158 pairs. Data on household and host type for these 150 pairs revealed that the majority occur between  
159 hosts from the same household (n=101, 67%) and 33% (n=49) involving hosts from different  
160 households. Given the low genetic distances and epidemiological context, we refer to these pairs of  
161  $\leq 10$  cgMLST as sharing pairs. These sharing pairs are inferred to be evidence of recent strain sharing  
162 either by direct transmission or acquisition from a common source.

163 WGS studies of *E. coli* outbreaks in humans showed that epidemiologically-linked isolates differed by  
164 up to four core genome SNPs when isolated within 30 days of each other and when separated by  
165 between five to ten core SNPs, this timeframe increases to an average of 8 months (20). Although the  
166 cgMLST genetic distance used in this analysis is not directly comparable to core single nucleotide  
167 polymorphisms (SNP) distances, 96% of the sharing pairs (n=144) were separated by four or fewer

168 core genome SNPs and almost all pairs (99%, n=149) by a maximum of 10 core SNPs. Therefore, the  
169 genetic diversity of isolates within the same household agrees with other examples of  
170 epidemiologically-linked *E. coli* in other settings and we estimate that length of evolutionary time  
171 separating two isolates from within the same household is within the range of several months to  
172 several years.

173 65% (n=97) of the pairs were between isolates from the same host category (57 [38%] within livestock,  
174 26 [17%] within wildlife, 14 [9%] within humans) and the remaining 36% (n=53) found between host  
175 category (38 [25%] between wildlife and livestock [W-L], 10 [6%] between human and livestock [H-L],  
176 5 [3%] between human and wildlife [H-L]). Further details on the breakdown of these sharing pairs are  
177 provided in Table S1. No correlation was evident between sharing pairs and particular *E. coli* lineages,  
178 as sharing pairs were distributed across the phylogeny for all six (H-H, L-H, L-L, W-H, W-L and W-W)  
179 categories of sharing (Supplementary Figure S5). However, in seven cases, wildlife isolates that were  
180 implicated in sharing pairs were found in the same cluster as isolates involved in sharing pairs with  
181 other host categories (Supplementary Figure S5). Similarly, there was no significant correlation  
182 between host-type sharing and the geographical distance between households ( $\chi^2 = 8.83$ ,  $p = 0.64$ ,  
183 Kruskal Wallis) (Supplementary Figure S6).



184  
 185 *Figure 2. Frequency distribution of pairwise distances between isolates from the same households (left) and different (right)*  
 186 *households. The sources of isolates in each pair is indicated by the colour. Only pairs that are closer than 100 cgMLST loci*  
 187 *apart are shown. H = human, L = livestock and W= wildlife*

188 To understand the contribution of intra-host diversity on the numbers of detected sharing pairs we  
 189 obtained multiple isolates per host for a subset of six households (KHW050, KIG019, KIG020, KOR058,  
 190 UTH029 and VIW002). Ten isolates per host were sequenced from two adult humans, a chicken and a  
 191 goat from the same household. Comparing these isolates in the context of the larger dataset increased  
 192 the number of human-livestock sharing pairs within households by only 7 pairs. These pairs were due  
 193 to 2 clusters of clonal isolates found in either poultry or ruminants in 2 households (UTH029 and  
 194 VIW002). Between households, we found only two more sharing pairs; involving a human and another  
 195 human, and between a goat and a chicken. This lack of magnitudinous change in the distribution of  
 196 sharing pairs provides confidence in our single sample approach. Our single isolate per host approach  
 197 to sampling, maximises the ability to detect sharing potential among humans and livestock, while  
 198 minimising the cost and effort of additional sampling and culturing.

199

200 **Husbandry is a risk factor for *E. coli* sharing between humans and animals**

201 We identified ten sharing pairs involving human and livestock isolates belonging to STs that are not  
 202 host-restricted and have been associated with a variety of sources and host species (Table 1).

203 All sharing pairs involved human males (p-value = 0.003, Fisher’s Exact test). Six of the ten sharing  
 204 pairs involved humans and livestock in the same household while four humans (not keeping livestock)  
 205 shared bacteria with livestock from other households. Six of seven persons (we lacked data for three  
 206 people) had direct contact with livestock through collecting eggs, slaughter, milking or handling but  
 207 one person had no history of livestock contact (Table 1).

208 *Table 1 Details of humans involved in bacterial sharing with livestock (<10 cgMLST)*

Sharing pair	Livestock host	cgMLST distance	ST	Household	Livestock keeping status	Human livestock handling status	Gender
1	Chicken	1	10	Different	Yes	Yes	Male
2	Goose	1	538	Same	Yes	Yes	Male
3	Chicken	3	23	Different	No	*	Male
4	Cattle	3	6178	Same	Yes	Yes	Male
5	Duck	3	58	Same	Yes	Yes	Male
6	Rabbit	4	9454	Same	Yes	Yes	Male
7	Turkey	4	9454	Same	Yes	Yes	Male
8	Chicken	4	206	Same	Yes	*	Male
9	Turkey	8	1237	Different	Yes	*	Male
10	Chicken	10	48	Different	No	None	Male

209 \* Information not collected

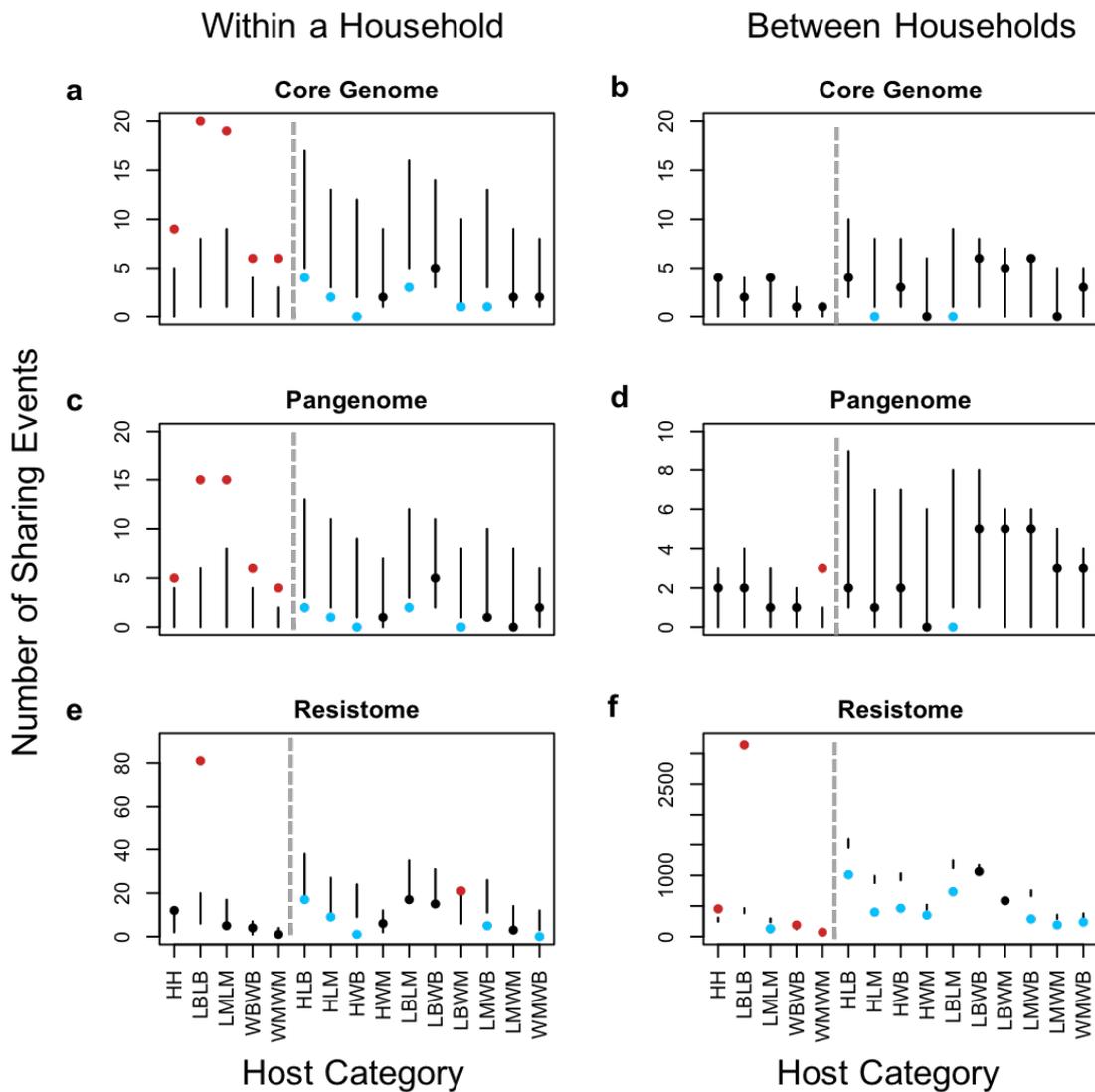
210 **Sharing of *E. coli* core genome, accessory genome and resistome is shaped by host and households**

211 While the sharing threshold for the core genome of  $\leq 10$  cgMLST distance, sharing for the pangenome  
 212 and resistome was based on a Jaccard similarity Index (JI), where a cut-off threshold for sharing was  
 213 defined in the same way as the core genome. For the pangenome/accessory genome this was  
 214 determined to be  $JI \leq 0.98$  (Fig 3c, d). Resistome sharing was defined as  $JI = 1$  (Fig 3e, f), meaning that  
 215 to be considered a sharing pair two isolates needed to have an identical antibiotic resistance gene  
 216 profile, with a minimum of two AMR genes in each isolate. Denominator values were based on the

217 number of pairs of isolates in each category, assuming an equal probability of sharing among isolates.  
218 We resampled the observed values to generate expected distributions of events based on the  
219 frequencies of these expected values (see Methods for details). From this we were able to assess  
220 whether our observed number of sharing pairs fell above, below, or within the range we may expect  
221 given the sampling effort.

222 Household and host category strongly influenced the distribution of sharing of *E. coli* isolates in both  
223 the core genome and the pangenome in Nairobi (Figure 3; a-d). Within households, sharing of *E. coli*  
224 isolates was consistently higher than expected within the same host category (Figure 3; a, c). No strong  
225 pattern was observed between households where the observed shared *E. coli* isolates fell largely  
226 within the expected range (Figure 3; b, d). Resistome sharing was predominantly low between  
227 different hosts, but high between poultry isolates, irrespective of household structure (Figure 3; e, f).  
228 Sharing among poultry in the same household was particularly high across all three definitions of  
229 sharing and similarity, i.e. the core, pangenome and resistome (LB-LB in Figure 3).

230 To further investigate resistome similarity between hosts we performed the same analysis with  
231 sharing classed as two isolates sharing resistance genes that confer drug resistance to a given class of  
232 antibiotics. We compared 8 classes of antibiotic whose resistance genes were found in the population  
233 (Supplementary Figure 7) and found that between households, poultry-poultry sharing continued to  
234 be much greater than the expected range (Supplementary Figure 7). Resistome sharing among poultry  
235 does not therefore appear to be driven by resistance to a single or few antibiotic classes. Human-  
236 human sharing between households was also higher than expected, suggesting similar antibiotic  
237 selection pressures on human isolates across the board.



238

239 *Figure 3. Number of sharing pairs across 15 host category types, within (a, c, e), and between (b, d, f) households for each of*  
 240 *the core genome, pangenome, and resistome. Panels show the 95% confidence intervals of the calculated expected*  
 241 *distribution using a resampling approach, points depict the observed number of sharing pairs in each category coloured*  
 242 *according to whether they fall above (red), below (blue) or within (black) the expected distribution. Hosts in the same category*  
 243 *(e.g. human-human) and different categories (e.g. Human-Livestock Bird) are separated by grey dashed lines. Source type of*  
 244 *isolate pairs are indicated on the x-axis with either Human (H), Livestock birds (LB), Livestock mammals (LM), Wildlife birds*  
 245 *(WB), Wildlife mammals (WM). In each plot, within-category connections are on the left of the grey dotted line and between-*  
 246 *category connections are on the right.*

## 247 **Discussion**

248 Our population genomic analysis, explicitly embedded within an epidemiologically structured  
249 sampling framework, provides a comprehensive overview of the genomic landscape of *E. coli* in  
250 humans, livestock and peri-domestic wildlife in a rapidly developing city. Our findings have  
251 implications for understanding the baseline level of bacterial diversity in settings where there is a  
252 potential for interaction between humans and animals. Our results reveal extensive strain sharing  
253 within households and a lower but detectable level of connectivity among human and animal  
254 populations across the urban environment beyond the household.

255 Isolates from Africa make up less than 3% (n=3626) of the publicly available *E. coli* genome sequences  
256 in Enterobase. Our study provides a substantial contribution to the record of *E. coli* diversity in this  
257 part of the world with 133 unique and novel STs, in addition to a significant detailed footprint at a city-  
258 wide scale. Previous work on the population structure of *E. coli* isolated from human, livestock and  
259 wildlife in other both rural and urban settings showed varying degrees of overlap in the genotypes  
260 between these populations, driven by frequent contact and close proximity (13, 14, 21). The wide  
261 range of genotyping methods used in these studies, each with varying levels of resolution, makes it  
262 difficult to make direct comparisons between studies. Earlier genotyping methods have lower  
263 resolution and are less robust (22). Other studies measure similarity in microbiome community  
264 composition but are less reliable at resolving strain differences between samples (23). Our approach  
265 combines high-resolution whole genome sequencing with a structured sampling design which  
266 captures more accurately the extent of strain sharing in this location.

267 In our study, we found that household stratification drives clonal strain sharing. Previous studies have  
268 shown an important role of the household as a driver for sharing similar microbiomes or bacteria in  
269 humans and companion animals (24–27). Our findings show that strain sharing can involve humans,  
270 livestock and wildlife found in the same household or area.

271 The use of contemporary isolates in our sampling increased our ability of finding clonal isolates that  
272 overlap between hosts, households and sublocations. Previous work using whole genomes either  
273 found no overlap or isolates that were separated by more than ten core SNPs, which does not provide  
274 strong evidence for a recent sharing event (28, 29). While challenging in practice, we have  
275 demonstrated the importance of large-scale structured sampling to understand strain sharing at the  
276 population level.

277 Our comparison of the isolates in our study to isolates from a global collection (Enterobase) revealed  
278 that globally dispersed lineages differed by at least 9 cgMLST loci. At this level of divergence or higher,  
279 isolates in Nairobi could not be differentiated from globally circulating clones found in other parts of  
280 the world. The genetic diversity in the two largest clonal lineages in the dataset (ST297 and ST9441)  
281 circulating in rodents and goats was similar to the diversity of ST131 isolates circulating globally. This  
282 shows that beyond the 10 cgMLST threshold, epidemiological links from strain sharing or transmission  
283 events becomes obscured by the bacterial diversity present in the environment.

284 Genotype similarity of the core and accessory genome within households is posited to be driven by  
285 direct and social contact among individual hosts (30, 31). Consistent with expectation, host type was  
286 also demonstrated to be a strong driver in *E. coli* isolate sharing within households (Fig 3). Members  
287 of the same host category, particularly in the same household, are more likely to have direct and/or  
288 indirect contact within shared environments, creating increased opportunity for bacterial sharing (14,  
289 23, 24, 30–32).

290 Eight of the ten observed human-livestock sharing pairs involved poultry. Inhalation and ingestion of  
291 faecal dust from poultry has previously been identified as a significant risk in the spread of bacteria  
292 from one host to another, both within the poultry populations and with humans working in close  
293 contact with them (33). Furthermore, it has been previously hypothesised that poultry is likely to be a  
294 reservoir of the global epidemic strain, ST131 (34, 35). Humans in direct contact with livestock were  
295 more prone to sharing *E. coli* isolates, likely through direct contact with meat and faecal matter.

296 Though the sample size is small, this result is consistent with previous work postulating direct contact  
297 as a risk for bacterial sharing events (26, 36). We note that the strong host type signal for *E. coli* sharing  
298 within a household (Figure 3a) does not hold true when examining pairs between households (Figure  
299 3b). This could be due to a higher diversity of *E. coli* in the wider population, leading to a lower  
300 probability of detecting closely related strains.

301 Our resistome sharing analysis also suggests disproportionately higher rates of resistome similarity  
302 among poultry irrespective of the household compared to the other host groups. As poultry isolates  
303 are phylogenetically diverse, the presence of a common selection pressure could explain this  
304 observation. Across Nairobi poultry are routinely exposed to a set regimen of antimicrobial agents (for  
305 therapeutic or prophylactic purposes) and such recipes vary minimally geographically from one  
306 location to another (37). Conversely, a wider range of combinations of antimicrobials are available for  
307 use in ruminants and monogastrics, including an array of injectable formulations, and these greatly  
308 vary from one farm to another. We also find resistome similarity to be high among human and wildlife  
309 isolates, both mammals and birds. The similar availability and usage patterns of antibiotics in the  
310 human population across the city could explain the similarity seen in humans, suggesting resistome  
311 similarity occurs from prevailing selective pressures rather than spread from a common source. The  
312 presence of manure, rubbish and human waste – all contaminated with potentially similar kinds of  
313 AMR pathogens and antimicrobials – across the urban landscape of Nairobi provide a conduit for  
314 acquisition and/or selection of similar resistome in wildlife, which act as a sink population for AMR  
315 (12).

316 We observed higher than expected level of accessory genome sharing between wild mammals (bats  
317 and rodents), between households, apparently involving divergent lineages as we did not see the same  
318 pattern at the core genome level. Other types of wildlife, for example, wild birds around the world  
319 have been shown to carry and transmit *E. coli* and should be considered a public health risk (38–40).  
320 Our findings suggest that the role of rodents and bats should also be considered.

321 Our study design focuses on the breadth of sampling over depth, and as a single isolate is sampled  
322 from each host our approach does not account for intra-host diversity. Previous studies on the intra-  
323 host diversity of *E. coli* strains were found to be variable across host populations and taking single  
324 isolates has the potential to underestimate the number of sharing pairs (41). However, we showed  
325 that for a subset of six households in our study, increased sampling by ten times had a minimal effect  
326 on the number of inter-household and inter-host sharing pairs that were detected. Higher intra-host  
327 diversity in different host populations for example, between wildlife and domesticated animals, may  
328 reduce the probability of finding sharing pairs in hosts with higher bacterial diversity. Future studies  
329 should therefore take into account both inter and intra-host diversity to expand on our findings.

### 330 **Conclusions**

331 Employing an epidemiologically structured sampling framework and using highly discriminatory whole  
332 genome sequencing, our study provides detailed insight into the strain diversity of *E. coli* across a fast-  
333 growing African city where livestock-keeping within households is commonplace. To our knowledge,  
334 this is one of the largest and most comprehensive survey of the bacterial genomic landscape in an  
335 urban environment to date, and serves as a model for epidemiologically structured, targeted sampling  
336 and whole genome sequencing of human and animal-borne bacteria. We found evidence of recent  
337 clonal sharing between humans and livestock and show that the *E. coli* population structure in  
338 humans, livestock and wildlife in this environment is primarily shaped by household and host type,  
339 but not by animal husbandry. We also found similarities in the resistome of the isolates that did not  
340 match the patterns of shared genomes and presumably reflects common antibiotic usage practices,  
341 particularly in poultry. These findings provide empirical support for the hypothesis 'everything is  
342 everywhere' (frequent sharing of bacteria and AMR genes between households) but 'environment  
343 selects' (different households and hosts have different bacterial and resistome persistence). Further  
344 work, guided by the finding of where clonal sharing is most likely to be found, will be required to  
345 quantify spillover risk associated with the main routes of inter-host transmission.

## 346 **Methods**

### 347 **Study Site**

348 A cross-sectional study targeting synanthropic wildlife and sympatric human and livestock populations  
349 in Nairobi, Kenya was carried out from August 2015 to October 2016 as part of the Urban Zoo Project.  
350 Faecal samples (n=2081) from 75 wildlife species (birds and mammals, n=794), 13 livestock species  
351 (n=677) and humans (n=333) were collected from households across Nairobi that were participating  
352 in the UrbanZoo 99-household project. Our study design is described in detail in the supplementary  
353 methods. Briefly, Nairobi was split into administrative units, and 33 were chosen based on a  
354 socioeconomic stratification which was weighted by population, such that the larger proportion of  
355 low-income households was oversampled which ensuing representation of all other socio-economic  
356 groups. Three households were randomly selected in each sublocation to obtain two livestock-keeping  
357 and one non-livestock-keeping household (a total of 99 households), with the aim of maximising the  
358 spatial distribution and diversity of livestock-keeping practices captured within the sampling frame  
359 (Supplementary Figure S8). Households in each sublocation had to meet strict inclusion criteria of  
360 keeping small mammals (rabbits) or poultry, large mammals (cattle, goats and sheep) or pigs, or no  
361 livestock within the household perimeter. Wildlife samples were obtained by a range of taxon-specific  
362 trapping methods, which are described in the supplementary methods and elsewhere.(12, 42)

363

### 364 **Sample collection and Microbiological testing**

365 Questionnaires detailing household composition and socioeconomic data, and livestock ownership  
366 and management, were administered at each household. Human, animal and wildlife faecal samples  
367 were collected and transported on ice to one of two laboratories (University of Nairobi or Kenya  
368 Medical Research Institute) within 5 h of collection. Samples were enriched in buffered peptone water  
369 for 24 h, and thereafter plated on to eosin methylene blue agar (EMBA) and incubated for 24 h at

370 37 °C. Subsequently, five colonies were selected and subcultured on EMBA, before being further  
371 subcultured on Müller-Hinton agar. A single colony was picked at random from the plate for each  
372 original sample (hereafter referred to as an 'isolate') and a 10-parameter biochemical test was used  
373 (triple sugar iron agar=4, Simmon's citrate agar=1, and motility-indole-lysine media=3, urease  
374 production from urea media =1, oxidase from tetra-methyl- p-phenylenediamine dihydrochloride = 1)  
375 were used for presumptive identification of *E coli*.

### 376 **Whole genome sequencing**

377 DNA was extracted from bacterial isolates using commercial kits (Purelink® Genomic DNA Mini Kit,  
378 Invitrogen, Life Technologies, Carlsbad, California) at the International Livestock Research Institute,  
379 Nairobi, Kenya and transported under licence to The Wellcome Trust Centre for Human Genetics,  
380 Oxford, UK. Whole genome sequencing was carried out at the Wellcome Trust Centre for Human  
381 Genetics on the Illumina HiSeq 2500 platform. Whole genome sequences used in this study are  
382 available under the accession number PRJEB32607 and PRJEB41827.

### 383 **Sequence analysis**

384 Sequenced reads were filtered for quality and trimmed for adaptors with BBduk (v38.46), k=19  
385 mink=11 hdist=1 ktrim=r minoverlap=12 qtrim=rl trimq=15. The following sequencing quality  
386 thresholds were used based on Quast: i) at least 3 Mb aligned to EC958, ii) A maximum assembly  
387 length of 6.5 Mb iii) GC content of between 50% and 51%, iv) Assembly N50 of >30kb or a maximum  
388 of 100 cgMLST missing loci. A total of 1642 genomes were sequenced that passed this quality  
389 threshold.

390 Genomes were assembled using Spades v3.13.0 with the `--careful` option. Clermont phylotype of the  
391 isolates was determined using the ClermonTyping tool (43) and the multi-locus sequence type was  
392 determined and assigned by Enterobase (44).

393 The pangenome was estimated using Roary v3.12.0 with the following options: -s -i 95 -g 100000.  
394 Acquired antibiotic resistance genes were identified from the assemblies using starAMR (v0.4.0)  
395 (<https://github.com/phac-nml/staramr>), with a cutoff of 95% sequence identity and a minimum of  
396 60% alignment to the query sequence, against the ResFinder database downloaded 25 September  
397 2019 (45). Antibiotic class of each resistant gene was assigned using the ResFinder classification.

### 398 **Phylogenetic analyses**

399 A core genome alignment was generated using Snippy v4.6.0 (with default settings) using EC958 as a  
400 reference genome (GCA\_000285655.3). A phylogenetic analysis of the core genome alignment was  
401 performed using IQTREE (v1.6.12) -m TVM+G4 -bb 1000 -safe. The tree and metadata were visualised  
402 in iTOLv4.3 (itol.embl.de). Due to the species-level diversity of the isolate collection, positions in the  
403 alignment in recombinant region of the genome were not removed.

404 *Ad hoc* core genome multi Locus sequence typing (cgMLST) was performed on genome assemblies  
405 using chewBBACA (v. 2.0.11) with the 2513 gene cgMLST profile from Enterobase (Downloaded  
406 October 2018).

407 The association between metadata (sublocation, host category) and phylogeny was tested using  
408 Phylotype (46). A minimum of 5 isolates were required to define a cluster, with a maximum of 200  
409 core SNP maximum internal cluster distance.

### 410 **Identification of putative bacterial sharing**

411 A genetic distance matrix was calculated from all pairwise allelic profile comparisons using the library  
412 “ape” in R (Paradis et al., 2004). The cgMLST cutoff of 11 alleles to define putative *E. coli* (defined here  
413 as a sharing pair) transmission clusters was based on the observed bimodal distributions of inter- and  
414 intrahousehold allele differences (Supplementary Figure S9). The R package “cutpointR” was used to  
415 validate this cutoff as the optimal value to differentiate pairs that occur within and between  
416 households (47).

417

418 **Epidemiological analysis of sharing**

419 We established epidemiological links between every possible pair of *E. coli* isolates through a  
420 systematic comparison. Household level sharing was categorised as: within household, if a sharing pair  
421 involved isolates/hosts from the same household; between household, if a sharing pair involved  
422 isolates in different household. Wildlife isolates that could not be attributed to a specific household  
423 were omitted from the sharing analysis (Table S2).

424 We condensed our host types into five broad categories (Tables S1, S2); (i) Humans, (ii) Livestock birds;  
425 poultry dominated by chickens, (iii) Livestock mammals consisting of ruminants and monogastric  
426 livestock, (iv) Wild birds; predominantly seed eating birds such as house sparrows, and (v) Wild  
427 mammals; predominantly rodents, along with bats. Primates were omitted from the sharing analysis  
428 as they were only associated with two households, along with some samples derived from populations  
429 of bats and wild birds which could be attributed to sublocation but not household.

430 While the sharing threshold for the core genome was of  $\leq 10$  MLST distance, sharing for the  
431 pangenome and resistome was based on a Jaccard similarity Index (JI; between 0 and 1, where 1 is  
432 identical), where a cut-off threshold was defined, similar to the core genome. For the pangenome/  
433 accessory genome this was determined to be  $JI \leq 0.98$  (Fig 3c, d). Resistome sharing was defined as  $JI$   
434  $= 1$  (Fig 3e, f), with each isolate having a minimum of two AMR genes. In practice, this means that two  
435 isolates must share an identical set of AMR genes of length equal to or more than two.

436 We used the sharing thresholds for each facet of the *E. coli* genome and applied a correction to the  
437 number of pairs counted when multiple connections involved the same isolate(s). For example, if 4  
438 isolates formed a cluster that were all below the 10 cgMLST threshold with each other, the maximum  
439 number of pairs/connections that can be drawn between these 4 isolates is 6 or  $n(n-1)/2$ , where  $n$  is  
440 the number of isolates that form a cluster fewer than 10 cgMLST loci apart. However, when the

441 correction is applied, we count only 3 connections, or  $n-1$ . This avoids the overestimation of sharing  
442 events between larger clusters of clonal isolates and provides a more realistic estimate of sharing.

443 Having defined the set of observed sharing events among each of our host categories within and  
444 between households, we then sought to detect whether these observed events fell above or below  
445 what might be expected given the sampling effort. These denominator values were based on the  
446 number of pairs of isolates in each category, assuming an equal probability of sharing among isolates.  
447 Within households this was calculated using the formula  $n(n-1)/2$ , where  $n$  = number of samples of a  
448 given host type. Between household sharing was calculated as  $(n_1) * (n_2)$ . Where  $n_1$  = number of  
449 samples of a given host in household 1, and  $n_2$  = number of samples of a given host in household 2.  
450 This approach generated a list of all possible paired (expected) sharing events for each category type.  
451 From this we calculated the expected frequencies of each type of category sharing within and between  
452 households. We then used a resampling approach of the observed values (1000 times) to generate  
453 expected distributions ( $\pm$  95% confidence intervals) of events based on the frequencies of these  
454 expected values. From this we were able to assess whether our observed sharing events fell above,  
455 below, or within the range we may expect given the sampling effort. The same approach was applied  
456 to all aspects of genome sharing (Figure 3 a- f).

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## 482 **Ethical approval**

483 The collection of data adhered to the legal requirements of the Government of Kenya. The  
484 International Livestock Research Institute Institutional Research Ethics Committee is registered and  
485 accredited by the National Commission for Science, Technology and Innovation in Kenya, and  
486 approved by the Federal wide Assurance for the Protection of Human Subjects in the USA. Ethical  
487 approval for human sampling and data collection was obtained from the ILRI Institutional Research  
488 Ethics Committee (ILRI-IACUC2015/09). Livestock samples were obtained under the approval of the  
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# Figures

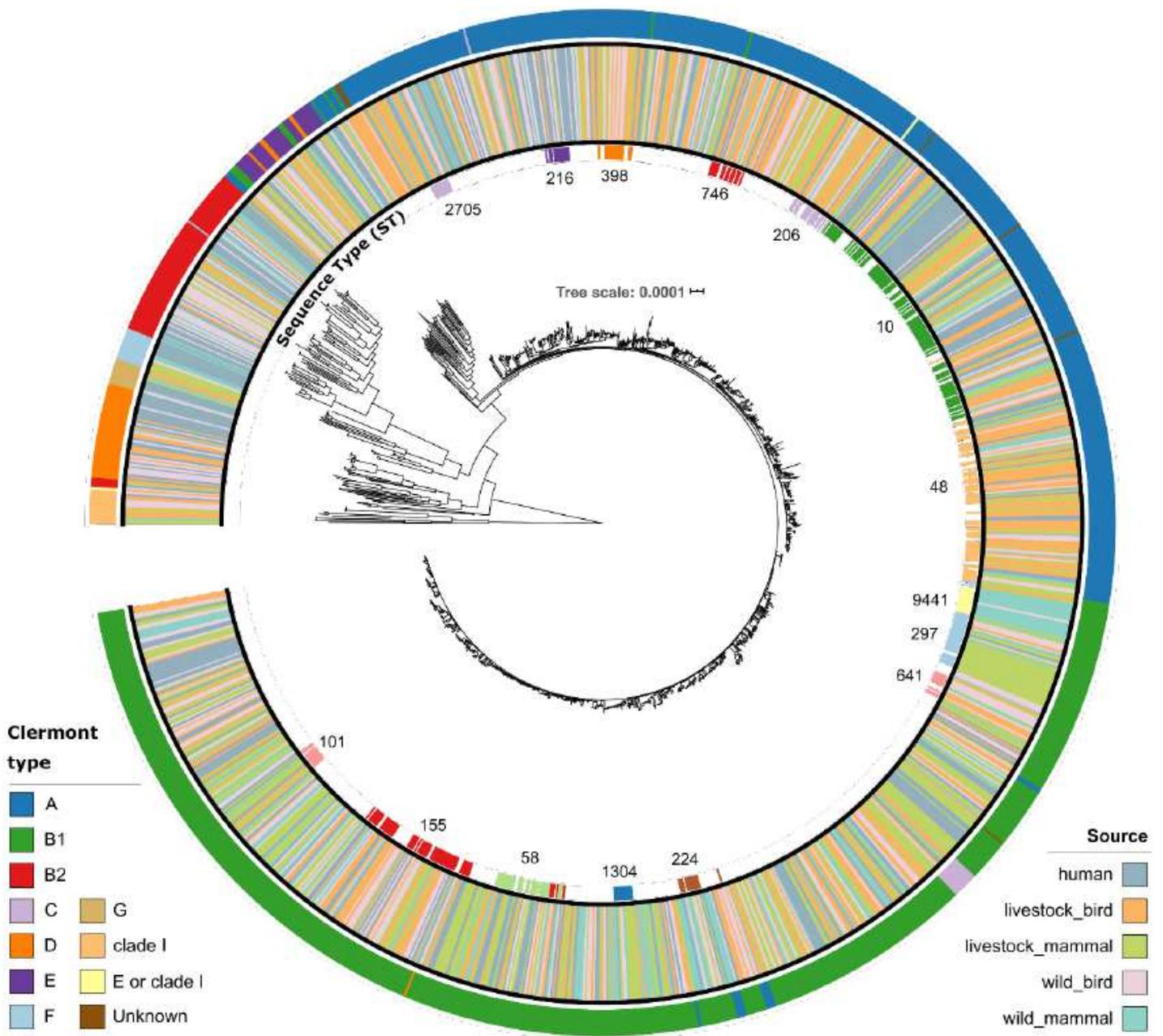
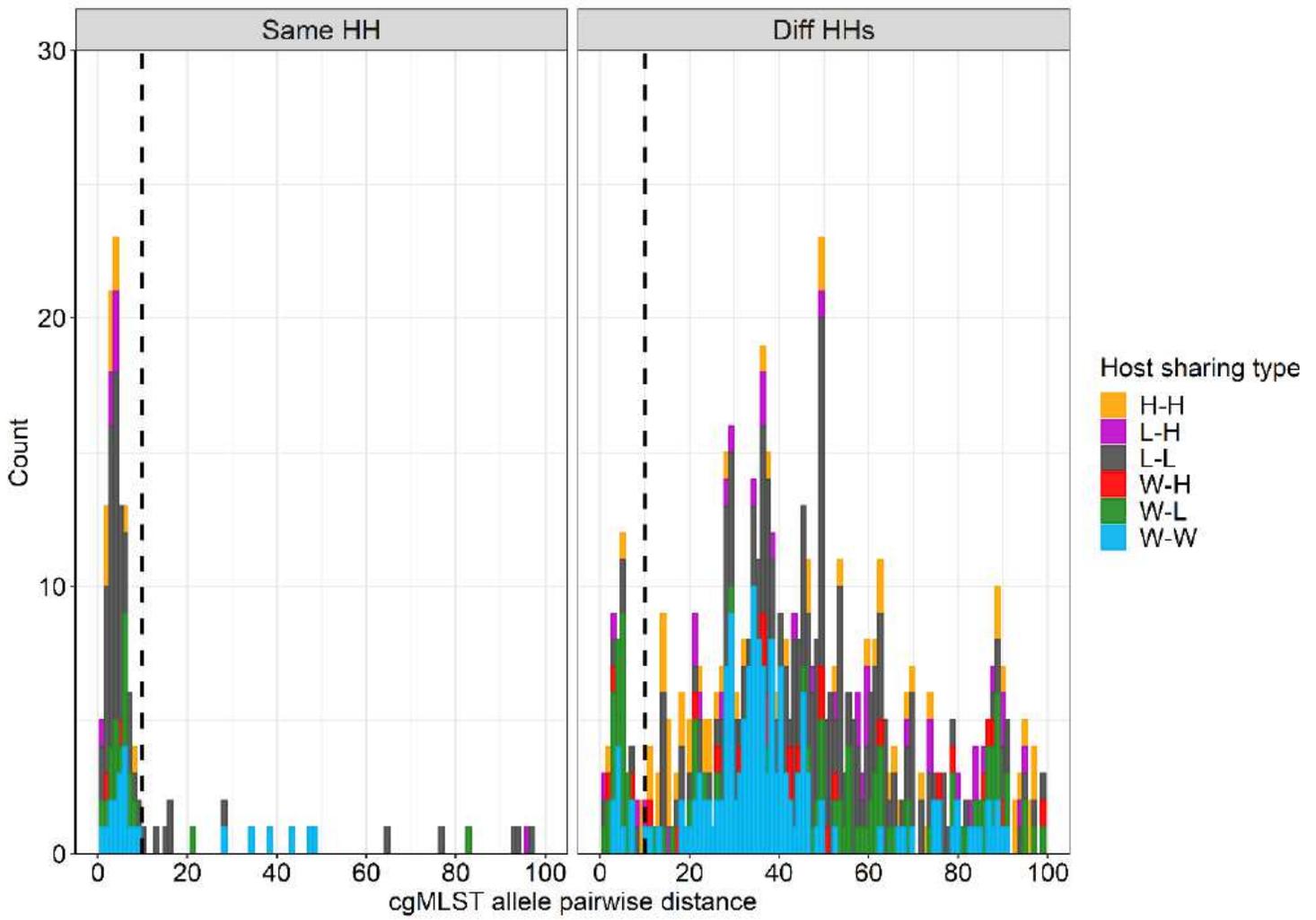


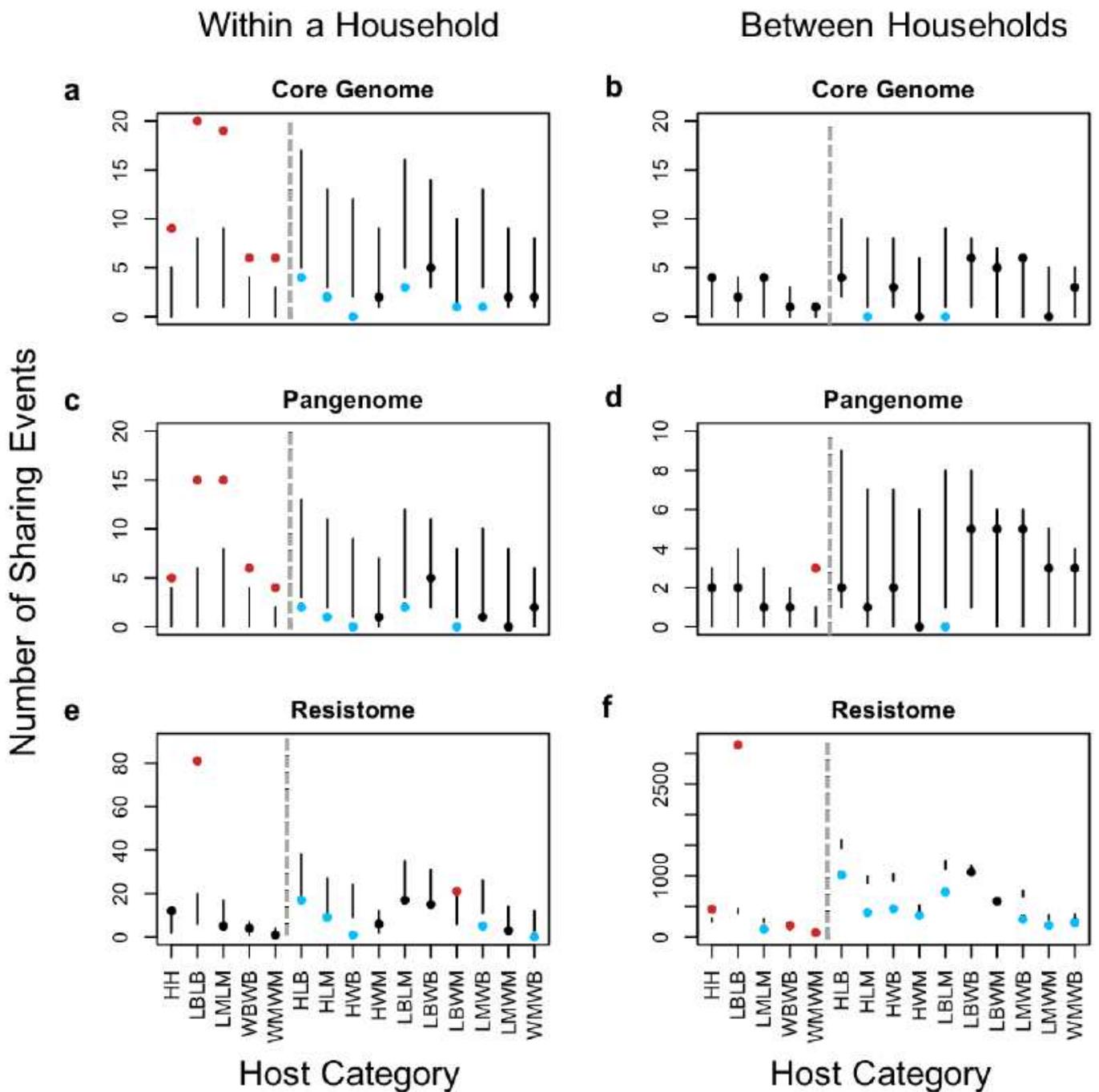
Figure 1

Core genome phylogeny of 1338 *E. coli* isolates showing (from inner to outer ring): i) Sequence Types (only STs with a minimum 10 isolates are shown), ii) source type of isolate, and iii) Clermont phylotype classifications. The tree is rooted on the Clade I group.



**Figure 2**

Frequency distribution of pairwise distances between isolates from the same households (left) and different (right) households. The sources of isolates in each pair is indicated by the colour. Only pairs that are closer than 100 cgMLST loci apart are shown. H = human, L = livestock and W= wildlife



**Figure 3**

Number of sharing pairs across 15 host category types, within (a, c, e), and between (b, d, f) households for each of the core genome, pangenome, and resistome. Panels show the 95% confidence intervals of the calculated expected distribution using a resampling approach, points depict the observed number of sharing pairs in each category coloured according to whether they fall above (red), below (blue) or within (black) the expected distribution. Hosts in the same category (e.g. human-human) and different categories (e.g. Human-Livestock Bird) are separated by grey dashed lines. Source type of isolate pairs

are indicated on the x-axis with either Human (H), Livestock birds (LB), Livestock mammals (LM), Wildlife birds (WB), Wildlife mammals (WM). In each plot, within-category connections are on the left of the grey dotted line and between category connections are on the right.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Supplementaryinformation.pdf](#)
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