

Stereotactic Body Radiation Therapy as a Salvage Treatment for Single Viable Hepatocellular Carcinoma at the Site of Incomplete Transarterial Chemoembolization

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Abstract

Background: To evaluate the clinical outcomes of patients who received stereotactic body radiation therapy (SBRT) for single viable hepatocellular carcinoma (HCC) at the site of incomplete transarterial chemoembolization (TACE).

Methods: Incomplete TACE was defined as (1) evidence of viable HCC at the site of TACE on follow-up images following one or more consecutive TACEs, (2) no definite tumor staining on celiac angiogram, or (3) no definite iodized oil uptake on post-embolization angiogram or computed tomography. A total of 302 patients were treated between 2012 and 2017 at Asan Medical Center (Seoul, South Korea). Doses of 10–15 Gy per fraction were given over 3–4 consecutive days. Treatment-related adverse events were evaluated according to the common terminology criteria for adverse events, version 4.03.

Results: The median follow-up duration was 32.9 months (interquartile range [IQR], 23.6–41.7) and the median tumor size was 2.0 cm (range, 0.7–6.9). The local control (LC) and overall survival rates at 3 years were 91.2% and 72.7%, respectively. 95.4% of the tumors reached complete response (CR) during the entire follow-up period (anyCR). The median interval from SBRT to anyCR was 3.4 months (IQR, 1.9–4.7), and 39.9% and 83.3% of the lesions reached CR at 3- and 6-months after SBRT, respectively. Radiation-induced liver disease was observed in 8 (2.6%) patients. No patients experienced gastroduodenal bleeding within the radiation field.

Conclusion: SBRT should be considered a feasible salvage treatment option for HCC after incomplete TACE.

Introduction

Liver cancer is the fourth most common cause of cancer-related death worldwide, with hepatocellular carcinoma (HCC) being the most prevalent type of primary liver cancer [1, 2]. According to the updated Barcelona Clinic Liver Cancer (BCLC) staging system [3], transarterial chemoembolization (TACE) is recommended for patients with BCLC stage B disease based on the survival benefit demonstrated in randomized trials [4, 5]. Although hepatic resection or percutaneous ablative therapy are the currently recommended curative treatment options for patients with BCLC stage A disease, an analysis on real-life clinical practice showed that TACE is also performed in patients with early-stage HCC because curative treatments are limited due to unresectable tumor location, portal hypertension, previous hepatectomy, ascites, and severe comorbidities [6].

Despite the clinical use of TACE in patients with early-stage HCC [7–9], there are still a number of incomplete necrosis within the treated tumor, i.e., incomplete TACE [10–12]. In this situation of a single viable tumor without multiple new lesions, salvage treatment options through effective subsequent local treatment modality are required. However, only few studies to date have evaluated the effective local treatment option for residual HCC at the site of incomplete TACE. Therefore, current treatment guidelines for the management of HCC lack a specific recommendation on this issue.

Recent improvements in modern radiotherapy and imaging have permitted the delivery of optimal radiation doses to HCC while minimizing the amount of radiation to normal organs. Studies on stereotactic body radiation therapy (SBRT) for HCC demonstrated its efficacy for local tumor control from small- to large-sized HCCs and treatment-naïve to heavily-treated HCCs [13–15]. However, the efficacy of SBRT as a local salvage treatment for residual viable HCC at the site of incomplete TACE is not well-known. Therefore, we evaluated the overall survival (OS), local control (LC), and response outcomes of patients who received salvage SBRT for single viable HCC at the site of incomplete TACE.

Methods

Patients

Although the consensus on TACE failure/refractoriness has not been well-established, this study focused on evaluating the efficacy of SBRT as a local salvage treatment for cases of ineffective response at the site of TACE without new recurrent lesions [16, 17]. In the present study, we defined incomplete TACE as follows: (1) evidence of a viable HCC at the site of TACE on follow-up images after one or more consecutive TACE procedures, (2) no definite tumor staining on hepatic angiogram, or (3) no definite iodized oil uptake on post-embolization angiogram or computed tomography (CT). Recurrent lesions at the margin of compact iodized oil uptake were also included in this study. Eligibility criteria included single residual HCC with a Child-Pugh class A or B hepatic function; an Eastern Cooperative Oncology Group performance status (ECOG PS) score of 0–2; longest diameter of the HCC smaller than or equal to 7 cm; and an adequate residual functional liver volume (> 700 mL). Patients were excluded if they had macroscopic vascular invasion, extrahepatic metastases, double primary cancer, or a history of radiotherapy. This study protocol was approved by our institutional review board (IRB no. 2018 – 1004) and all methods were performed in accordance with the relevant guidelines and regulations. As this study was a retrospective analysis, a waiver of the requirement for informed consent was granted by the Institutional Review Board of Asan Medical Center.

Radiotherapy

The simulation and target volume delineation procedures were the same as those described in our previous studies [18, 19]. Four-dimensional CT simulation using a 16-slice CT system (GE LightSpeed RT 16; GE Healthcare, Waukesha, WI, USA) was performed with free breathing. The CT images were sorted into 10 series according to the respiratory phase using 4D imaging software (Advantage 4D version 4.2; GE Healthcare). The gross tumor volume (GTV) included viable HCC and partial iodized oil uptake lesion representing initial HCC lesion with reference to diagnostic CT or magnetic resonance imaging (MRI). For respiratory-gated radiotherapy, the internal target volume (ITV) containing tumor movement from 30–70% of the respiratory phase was delineated and 5-mm margins from the ITV were added for the planning target volume. SBRT planning was performed using a 3-dimensional radiotherapy planning system (Eclipse; Varian Medical Systems, Palo Alto, CA, USA) that used either multiple static conformal beams with energies of 6- or 15-MV photons or two arcs of volumetric-modulated arc therapy technique with a 10-MV flattening filter-free beam from a linear accelerator (TrueBeam STx; Varian Medical Systems). A

total dose of 45–60 Gy in 3–4 fractions was planned and adjusted based on the dose recommended for preserving the liver function as follows: (1) the maximum dose allowed for 700 mL of normal liver was 15 Gy in three fractions, and (2) the mean dose administered to normal liver should not exceed 13 Gy in three fractions [20, 21]. Dose limitations for other critical organs were followed based on the Quantitative Analyses of Normal Tissue Effects in the Clinic [22]. The actual beam delivery was performed with image guidance and a respiratory-gated beam delivery technique using an On-Board Imager (Varian Medical Systems). Image guidance was performed using cone-beam CT and gated fluoroscopy based on fiducial markers, residual iodized oil, surgical clips, or hepatic dome as a surrogate marker.

Evaluation and statistical analysis

Assessments including physical examinations, laboratory tests, dynamic enhanced CT, and/or MRI were performed before and after SBRT at 2–3 month intervals. Tumor response was evaluated according to the modified Response Evaluation Criteria in Solid Tumors (mRECIST) and the time point of the best response during the entire follow-up period was recorded [23]. AnyCR was defined as complete response (CR) at the time point of the best response during the entire follow-up period. Treatment-related adverse events were graded according to the Common Terminology Criteria for Adverse Events (CTCAE; version 4.03). Radiation-induced liver disease (RILD) was defined as grade 2 or higher hepatic toxicity according to CTCAE or the worsening of Child-Pugh score ≥ 2 in the absence of progressive disease within 3 months after SBRT [20]. Local failure, out-of-field intrahepatic recurrence, and distant metastasis were defined as recurrence in the treated lesion, recurrence within the liver but outside the treated lesion, and recurrent disease outside the liver, respectively.

The follow-up period and survival times were estimated from the date of the start of SBRT to the date of death, last follow-up examination, or tumor recurrence. For patients who underwent liver transplantation after SBRT, the transplantation day was defined as the last follow-up date and the data acquired afterward were censored for local tumor progression. Survival rates and time to best tumor response were calculated using the Kaplan-Meier method. Univariate and multivariate Cox proportional hazards models were generated to describe the association between the variables and LC or OS. Backward elimination Cox regression was utilized for multivariate analysis. Variables with p values < 0.2 in the univariate analysis were included in the multivariate analysis. The level of statistical significance was set at p values < 0.05 . All statistical analyses were performed using the IBM SPSS Statistics for Windows, version 21 (IBM Corp., Armonk, NY, USA) and R software, version 3.6.3 (R Foundation for Statistical Computing, Vienna, Austria; <http://cran.r-project.org> and web-r.org).

Results

Patient characteristics

Between March 2012 and July 2017, 528 patients were treated with SBRT for single HCC at Asan Medical Center. Among them, 302 patients who underwent SBRT for single viable HCC at the site of incomplete TACE were included in the present study (Fig. 1). Table 1 summarizes the patient characteristics. The

median age of the patients was 63 years (range, 37–90) and the majority of them were male (76.5%). The majority of the patients had ECOG PS of 0 (93.0%) and Child-Pugh class A hepatic function (91.4%). Until the last TACE, 73.5% of patients had multiple tumors, but only a single viable tumor remained after the last TACE. Most (84.4%) of the TACE were performed using cisplatin, iodized oil and cisplatin mixture, and gelatin sponge cubes. The median tumor size was 2.0 cm (range, 0.7–6.9). The median radiation dose was 45 Gy (range, 36–60) with a median fraction size of 15 Gy (range, 12–20). The most common dose fractionation was 45 Gy in three fractions (88.4%), which corresponds to 113 Gy₁₀ of biologically effective dose (BED; $\alpha/\beta = 10$ Gy).

Table 1
Patient characteristics

Variables	No. of patients (%) (<i>n</i> = 302)
Age, years, median (range)	63 (37–90)
Sex	
Male	231 (76.5)
Female	71 (23.5)
ECOG performance status	
0	281 (93.0)
1–2	21 (7.0)
Child-Pugh classification	
A	276 (91.4)
B	26 (8.6)
Viral etiology	
Hepatitis B virus	230 (76.2)
Hepatitis C virus	36 (11.9)
Non B, Non C	36 (11.9)
BCLC stage	
0	137 (45.4)
A	165 (54.6)
Tumor multiplicity at the last TACE	
Single	80 (26.5)
Multiple	222 (73.5)
Tumor size, cm, median (range)	2.0 (0.7–6.9)
Alpha-fetoprotein*, median (IQR)	9.2 (4.1–32.6)
≤ 20 ng/mL	203 (67.4)
> 20 ng/mL	98 (32.5)

Abbreviations: ECOG, Eastern Cooperative Oncology Group; IQR, interquartile range; BED, biologically effective dose.

*Missing data in 1 patient

Variables	No. of patients (%) (<i>n</i> = 302)
Number of prior treatment sessions, median (IQR)	3 (1–5)
Prior treatments	
TACE only	159 (52.6)
TACE, RFA	60 (19.9)
TACE, PEI	2 (0.7)
TACE, RFA, PEI	8 (2.6)
Resection, TACE	46 (15.2)
Resection, TACE, RFA	25 (8.3)
Resection, TACE, PEI	2 (0.7)
Fractionation regimen, BED	
36 Gy/3fx (80 Gy ₁₀)	16 (5.3)
45 Gy/3fx (113 Gy ₁₀)	267 (88.4)
48 Gy/3fx (125 Gy ₁₀)	2 (0.7)
60 Gy/4fx (150 Gy ₁₀)	16 (5.3)
60 Gy/3fx (180 Gy ₁₀)	1 (0.3)
Abbreviations: ECOG, Eastern Cooperative Oncology Group; IQR, interquartile range; BED, biologically effective dose.	
*Missing data in 1 patient	

Radiologic response

During the entire follow-up period, 288 lesions (95.4%) achieved CR; partial response, stable disease, and progressive disease was noted in 2, 11, and 1 patients, respectively. Among the 288 CR lesions, 39.9% and 83.3% reached CR at 3 months and 6 months after completion of SBRT, respectively; 10% of CR was observed after 7.2 months of SBRT. The median interval from completion of SBRT to CR was 3.4 months (interquartile range [IQR], 1.9–4.7). The cumulative CR rate at each time point among patients who achieved anyCR is shown in Fig. 2. Only the tumor size significantly affected the anyCR in binary logistic regression ($p = 0.006$; hazard ratio, 1.83; 95% confidence interval, 1.19–2.83) (Supplementary Table 1). The average tumor size of the anyCR group and the non-anyCR group was 2.1 cm and 2.8 cm, respectively ($p = 0.003$). There was no statistically significant correlation between residual tumor burden after last TACE and anyCR after SBRT. However, the anyCR after SBRT in patients with progressive

disease after the last TACE was lower than that in patients with marginal tumor recurrence around compact iodized oil uptake lesion (complete response by mRECIST) after the last TACE.

Local control, recurrence-free and overall survival rates

The median follow-up duration was 32.9 months (IQR, 23.6–41.7). Among 302 patients, 25 patients experienced local recurrence, resulting in a 3-year LC rate of 91.2 % (Fig. 3A). In univariate analysis, BCLC stage, tumor size, BED, and anyCR were identified as significant prognostic factors for LC (Table 2). Multivariate analysis revealed that tumor size ($p = 0.004$) and anyCR ($p < 0.001$) were significant factors for LC.

Table 2
Univariate and multivariate analysis of prognostic factor for local control

Variables	Univariate		Multivariate	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Age	0.99 (0.95–1.03)	0.513		-
ECOG PS		0.455		-
0	Reference			
1–2	0.47 (0.06–3.45)			
Child-Pugh class		-*		-
A				
B				
Etiology		0.322		-
HBV	Reference			
Others	0.58 (0.20–1.70)			
BCLC stage		0.013		0.290
0	Reference		Reference	
A	3.23 (1.29–8.09)		1.87 (0.59–5.91)	
Tumor size	1.82 (1.32–2.53)	< 0.001	1.78 (1.21–2.62)	0.004
Alpha-fetoprotein		0.376		-
≤ 20 ng/mL	Reference			
> 20 ng/mL	1.44 (0.65–3.20)			
Number of prior treatment sessions	1.07 (0.98–1.16)	0.156	1.01 (0.94–1.10)	0.735
BED	0.95 (0.92–0.98)	0.004	0.97 (0.94–1.00)	0.073
CR at 3 months		0.777		-

Abbreviations: HR, hazard ratio; CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; BED, biologically effective dose; CR, complete response.

*No valid analysis was performed because there was no local failure in the Child-Pugh class B group.

†Achievement of complete response according to the mRECIST criteria at the time point of the best response during the entire follow-up period.

Variables	Univariate		Multivariate	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Yes	Reference			
No	1.13 (0.50–2.56)			
anyCR [†]			< 0.001	
Yes	Reference		Reference	
No	22.48 (8.06–62.68)		15.58 (5.06–47.93)	
Abbreviations: HR, hazard ratio; CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; BED, biologically effective dose; CR, complete response.				
*No valid analysis was performed because there was no local failure in the Child-Pugh class B group.				
†Achievement of complete response according to the mRECIST criteria at the time point of the best response during the entire follow-up period.				

The rates of OS, intrahepatic recurrence-free survival, and distant metastasis-free survival at 3 years were 72.7%, 36.0%, and 82.5%, respectively (Fig. 3B-D). Child-Pugh class A, BCLC stage 0, smaller tumor size, and higher BED were significant prognostic factors for OS in univariate analysis. Of these, Child-Pugh class A ($p < 0.001$) and higher BED ($p = 0.014$) were statistically significant prognostic factors for OS in multivariate analysis (Table 3).

Table 3
Univariate and multivariate analysis of prognostic factor for overall survival

Variables	Univariate		Multivariate	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Age	1.01 (0.99–1.03)	0.529	-	-
ECOG PS		0.375		-
0	Reference			
1–2	1.39 (0.67–2.89)			
Child-Pugh class		< 0.001		< 0.001
A	Reference		Reference	
B	3.98 (2.29–6.92)		3.13 (1.73–5.67)	
Etiology		0.819		-
HBV	Reference			
Others	1.06 (0.64–1.75)			
BCLC stage		0.021		0.860
0	Reference		Reference	
A	1.71 (1.08–2.69)		0.94 (0.49–1.80)	
Tumor size	1.29 (1.04–1.61)	0.021	1.26 (0.99–1.59)	0.059
Alpha-fetoprotein		0.089		0.341
≤ 20 ng/mL	Reference		Reference	
> 20 ng/mL	1.47 (0.94–2.30)		1.25 (0.79–1.98)	
Number of prior treatment sessions	1.04 (0.98–1.10)	0.209		-
BED	0.97 (0.95–0.98)	< 0.001	0.98 (0.96–1.00)	0.014
CR at 3 months		0.236		-
Yes	Reference			
No	1.33 (0.83–2.14)			

Abbreviations: HR, hazard ratio; CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; BED, biologically effective dose; CR, complete response.

*Achievement of complete response according to the mRECIST criteria at the time point of the best response during the entire follow-up period.

Variables	Univariate		Multivariate	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
anyCR*		0.062		0.094
Yes	Reference		Reference	
No	2.38 (0.96–5.93)		2.23 (0.87–5.68)	
Abbreviations: HR, hazard ratio; CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; BED, biologically effective dose; CR, complete response.				
*Achievement of complete response according to the mRECIST criteria at the time point of the best response during the entire follow-up period.				

Toxicity

All patients received the planned SBRT without interruption. Elevation of transaminase or bilirubin levels of CTCAE grade ≥ 2 , which may be associated with SBRT without progression of intrahepatic HCC, was observed in 8 (2.6%) patients. Five (1.7%) patients had a worsening of the Child-Pugh score ≥ 2 due to elevated bilirubin levels, but later showed improved or stable liver function during the follow-up period. One patient who received TACE and experienced asymptomatic biliary stenosis before SBRT developed hepatic failure and died 9.9 months after SBRT; however, the association between hepatic failure and SBRT was unclear. Asymptomatic biliary stricture in the central lesion after SBRT was found in 6 (2.0%) patients. In 11 (3.5%) patients, a rib fracture near the SBRT field was identified. There were no gastrointestinal complications such as bleeding or perforation associated with SBRT.

Discussion

TACE was the most frequently used treatment modality for real-life management of patients with early- to advanced-stage HCC [6]. The current BCLC strategy recommends curative treatment options for patients with early-stage HCC with an expected median survival of 5 years or more [24, 25]. However, for patients who are not suitable for first-line curative therapy, TACE could be considered as a treatment option even though it is usually recommended for patients in more advanced stages in an approach known as the “stage migration strategy” [25]. In the BRIDGE study, which showed the management patterns for HCC in 14 countries, TACE was the second most commonly used treatment for HCCs with BCLC stage A. However, up to 57–77% of lesions still have viable tumor portions after TACE and thus require additional TACE or other treatment modalities [10–12]. In order to establish effective treatment changes in such cases, the Japanese guidelines revised the definition of TACE failure/refractoriness as (1) two or more consecutive ineffective responses within the treated tumors, (2) two or more consecutive progressions in the liver, (3) continuous elevation of tumor markers, (4) appearance of vascular invasion, and/or (5) appearance of extrahepatic spread [17]. In Japan, molecular-targeted therapy is recommended after TACE

failure, especially for intermediate-stage HCCs [26, 27]. However, the consensus on TACE failure had not been well-established. Moreover, to date, only few studies had evaluated effective local treatment options for each situation of TACE failure, especially in early-stage HCCs. Therefore, current treatment guidelines for the management of HCC lack a recommendation on this issue.

Among the patterns of TACE failure and refractoriness, effective local salvage treatment should be considered in cases of ineffective response at the site of TACE without a new lesion, which was defined as incomplete TACE in the current study. We evaluated the clinical outcomes of patients who received salvage SBRT for single viable HCC at the site of incomplete TACE, and found that SBRT had a high CR rate (95.4%), high LC rate (91.2% at 3 years), promising OS rate (72.7% at 3 years), and minimal SBRT-related toxicity.

The favorable OS in the present study can be expected in a select group of patients. A prospective single-arm study by Takeda et al. reported the efficacy of SBRT for single HCC after TACE [28] by including patients with a single HCC less than 4 cm and TACE was omitted if embolization was difficult or if the patient refused. Of 90 patients, 32 patients were treatment-naïve, 58 received TACE, and 10 had a complete accumulation of iodized oil; in these patients, SBRT showed promising outcomes with a 3-year OS rate of 66.7% and a 3-year LC rate of 96.3%, which is in line with the results of the present study. SBRT was effective on liver-related cause-specific survival and OS regardless of whether TACE was performed. Su et al. also reported favorable prognosis after SBRT for small HCCs [29]; in this study, 132 patients with small HCC (≤ 5 cm) were treated with SBRT of 42–46 Gy in 3–5 fractions or 28–30 Gy in 1 fraction. Of the 132 patients, 95 with a single nodule had a promising 3- and 5-year OS rates of 76.3% and 66.2%, respectively. Therefore, if TACE for early-stage HCC is incomplete and other curative treatments are still not suitable, subsequent SBRT should be considered as a local salvage treatment.

In the present study, SBRT for small viable HCC at the site of incomplete TACE showed an excellent LC rate of 91.2% at 3 years. These results are in line with those of previous prospective and retrospective studies on SBRT for small HCC that reported high LC rates of 90–100% [13, 18, 19, 28, 30–32]. As tumor responses after SBRT could be used as a surrogate measure for LC, rapid treatment changes can be considered if the tumor response is not sufficient. However, the interval from SBRT to the time point of reliable response had not been evaluated until one recent phase II study [33]. Accordingly, physicians usually decided on upfront treatment changes without waiting long enough even if there is no obvious local progression at the SBRT site. The recent phase II study reported excellent oncologic results (5-year LC and OS rates of 97.1% and 77.6%, respectively) after SBRT for HCC, and also showed treatment response according to the mRECIST criteria at regular intervals (2-, 4-, 6-months after SBRT). Whereas the CR rate at 2 months was 30.2%, it was increased to 84.9% at 6 months after the completion of SBRT. The results of the present study are consistent with the phase II study; the median interval from completion of SBRT to the achievement of CR was 3.4 months (IQR, 1.9–4.7), and 39.9% and 83.3% of lesions reached CR at 3 months and 6 months after SBRT, respectively; 10% of the CR was observed 7.2 months after SBRT. The achievement of anyCR was significantly associated with LC, whereas CR at 3 months was not significantly associated with LC. There was no significant difference in the OS between those who had

early response (achievement of CR before 3 months) and those who had late response (after 3 months) (data not shown). Based on these findings, it may be recommended that treatment changes be withheld for at least 6 months after SBRT if there is no definite local progression at the site of SBRT.

The study has the following limitations. First, the study has inherent biases due to its retrospective single-center study design. To compensate for this weakness, we included a large number of homogeneous patients who experienced ineffective responses at the site of TACE without a new lesion. Moreover, all patients were treated with a modern SBRT technique using respiratory-gated volumetric-modulated arc therapy. Second, the consensus on TACE failure had not been well-established and the small single HCC was the status at the time of SBRT, not the initial presentation. Finally, in order to focus on the efficacy of SBRT as a local salvage treatment, we only included incomplete TACE cases and not all TACE failure situations. Lastly, the follow-up period was not long enough to determine the 5-year survival. Therefore, long-term follow-up results are needed in further studies. Notwithstanding, we were able to fully capture the overall response during the entire follow-up period. Prospective randomized studies are warranted to confirm the benefit of salvage SBRT and to better define the group of patients that will benefit from the therapy.

In conclusion, SBRT showed excellent clinical outcomes in terms of OS, LC, tumor response, and adverse events when used as an ablative treatment modality for single viable HCC at the site of incomplete TACE. SBRT should be considered for residual HCC after incomplete TACE. Treatment changes may be withheld for at least 6 months after SBRT to incomplete TACE site if there is no definite local progression at the site of SBRT.

Declarations

Ethics approval and consent to participate

This study protocol was approved by our institutional review board (IRB no. 2018 – 1004) and all methods were performed in accordance with the relevant guidelines and regulations. As this study was a retrospective analysis, a waiver of the requirement for informed consent was granted by the Institutional Review Board of Asan Medical Center.

Consent for publication

Not applicable

Competing interests

The authors declare that they have no competing interests.

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none

Author's contributions

All authors have full access to all data and take responsibility for the accuracy of the data analysis. All authors read and approved the final version for submission. S.L., J.J., and S.M.Y. participated in research design, conducted the analysis, and wrote the manuscript. J.H.P., H.H.P., and J.H.K. contributed conceiving the research and analyzing data. S.Y.K., J.C., D.L., J.H.S., K.M.K., Y.S.L., and H.C.L. provided additional guidance and supported this research.

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Tables

Table 1. Patient characteristics

Variables	No. of patients (%) (<i>n</i> = 302)
Age, years, median (range)	63 (37-90)
Sex	
Male	231 (76.5)
Female	71 (23.5)
ECOG performance status	
0	281 (93.0)
1-2	21 (7.0)
Child-Pugh classification	
A	276 (91.4)
B	26 (8.6)
Viral etiology	
Hepatitis B virus	230 (76.2)
Hepatitis C virus	36 (11.9)
Non B, Non C	36 (11.9)
BCLC stage	
0	137 (45.4)
A	165 (54.6)
Tumor multiplicity at the last TACE	
Single	80 (26.5)
Multiple	222 (73.5)
Tumor size, cm, median (range)	2.0 (0.7-6.9)
Alpha-fetoprotein*, median (IQR)	9.2 (4.1-32.6)
≤ 20 ng/mL	203 (67.4)
> 20 ng/mL	98 (32.5)
Number of prior treatment sessions, median (IQR)	3 (1-5)
Prior treatments	
TACE only	159 (52.6)
TACE, RFA	60 (19.9)

TACE, PEI	2 (0.7)
TACE, RFA, PEI	8 (2.6)
Resection, TACE	46 (15.2)
Resection, TACE, RFA	25 (8.3)
Resection, TACE, PEI	2 (0.7)
Fractionation regimen, BED	
36 Gy/3fx (80 Gy ₁₀)	16 (5.3)
45 Gy/3fx (113 Gy ₁₀)	267 (88.4)
48 Gy/3fx (125 Gy ₁₀)	2 (0.7)
60 Gy/4fx (150 Gy ₁₀)	16 (5.3)
60 Gy/3fx (180 Gy ₁₀)	1 (0.3)

Abbreviations: ECOG, Eastern Cooperative Oncology Group; IQR, interquartile range; BED, biologically effective dose.

*Missing data in 1 patient

Table 2. Univariate and multivariate analysis of prognostic factor for local control

Variables	Univariate		Multivariate	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Age	0.99 (0.95-1.03)	0.513		-
ECOG PS		0.455		-
0	Reference			
1-2	0.47 (0.06-3.45)			
Child-Pugh class		-*		-
A				
B				
Etiology		0.322		-
HBV	Reference			
Others	0.58 (0.20-1.70)			
BCLC stage		0.013		0.290
0	Reference		Reference	
A	3.23 (1.29-8.09)		1.87 (0.59-5.91)	
Tumor size	1.82 (1.32-2.53)	<0.001	1.78 (1.21-2.62)	0.004
Alpha-fetoprotein		0.376		-
≤ 20 ng/mL	Reference			
> 20 ng/mL	1.44 (0.65-3.20)			
Number of prior treatment sessions	1.07 (0.98-1.16)	0.156	1.01 (0.94-1.10)	0.735
BED	0.95 (0.92-0.98)	0.004	0.97 (0.94-1.00)	0.073
CR at 3 months		0.777		-
Yes	Reference			
No	1.13 (0.50-2.56)			
anyCR [†]		<0.001		<0.001

Yes	Reference		Reference	
No	22.48	(8.06-	15.58	(5.06-
	62.68)		47.93)	

Abbreviations: HR, hazard ratio; CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; BED, biologically effective dose; CR, complete response.

*No valid analysis was performed because there was no local failure in the Child-Pugh class B group.

†Achievement of complete response according to the mRECIST criteria at the time point of the best response during the entire follow-up period.

Table 3. Univariate and multivariate analysis of prognostic factor for overall survival

Variables	Univariate		Multivariate	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Age	1.01 (0.99-1.03)	0.529		-
ECOG PS		0.375		-
0	Reference			
1-2	1.39 (0.67-2.89)			
Child-Pugh class		<0.001		<0.001
A	Reference		Reference	
B	3.98 (2.29-6.92)		3.13 (1.73-5.67)	
Etiology		0.819		-
HBV	Reference			
Others	1.06 (0.64-1.75)			
BCLC stage		0.021		0.860
0	Reference		Reference	
A	1.71 (1.08-2.69)		0.94 (0.49-1.80)	
Tumor size	1.29 (1.04-1.61)	0.021	1.26 (0.99-1.59)	0.059
Alpha-fetoprotein		0.089		0.341
≤ 20 ng/mL	Reference		Reference	
> 20 ng/mL	1.47 (0.94-2.30)		1.25 (0.79-1.98)	
Number of prior treatment sessions	1.04 (0.98-1.10)	0.209		-
BED	0.97 (0.95-0.98)	<0.001	0.98 (0.96-1.00)	0.014
CR at 3 months		0.236		-
Yes	Reference			
No	1.33 (0.83-2.14)			
anyCR*		0.062		0.094
Yes	Reference		Reference	

Abbreviations: HR, hazard ratio; CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group performance status; BED, biologically effective dose; CR, complete response.

*Achievement of complete response according to the mRECIST criteria at the time point of the best response during the entire follow-up period.

Figures

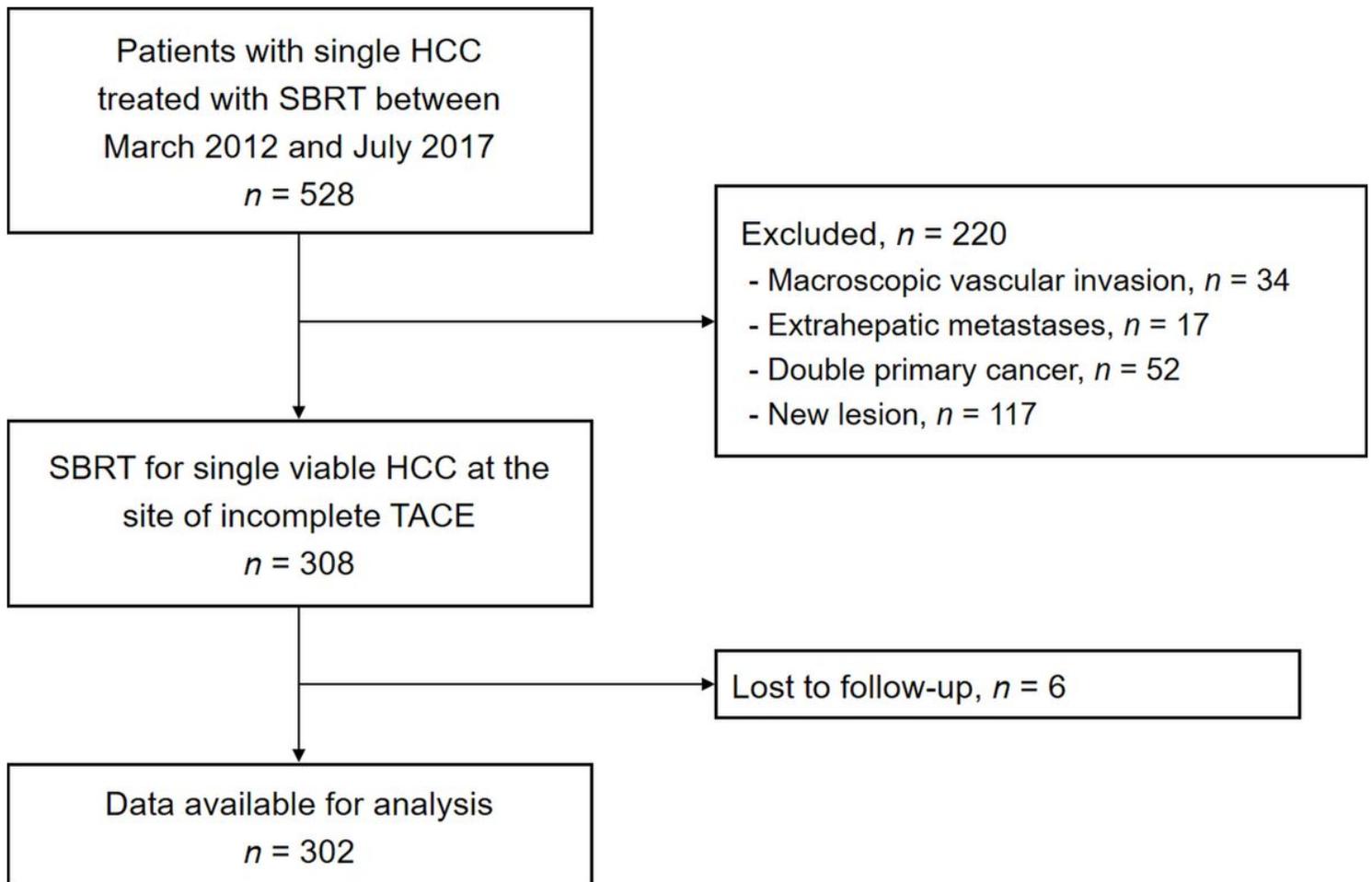
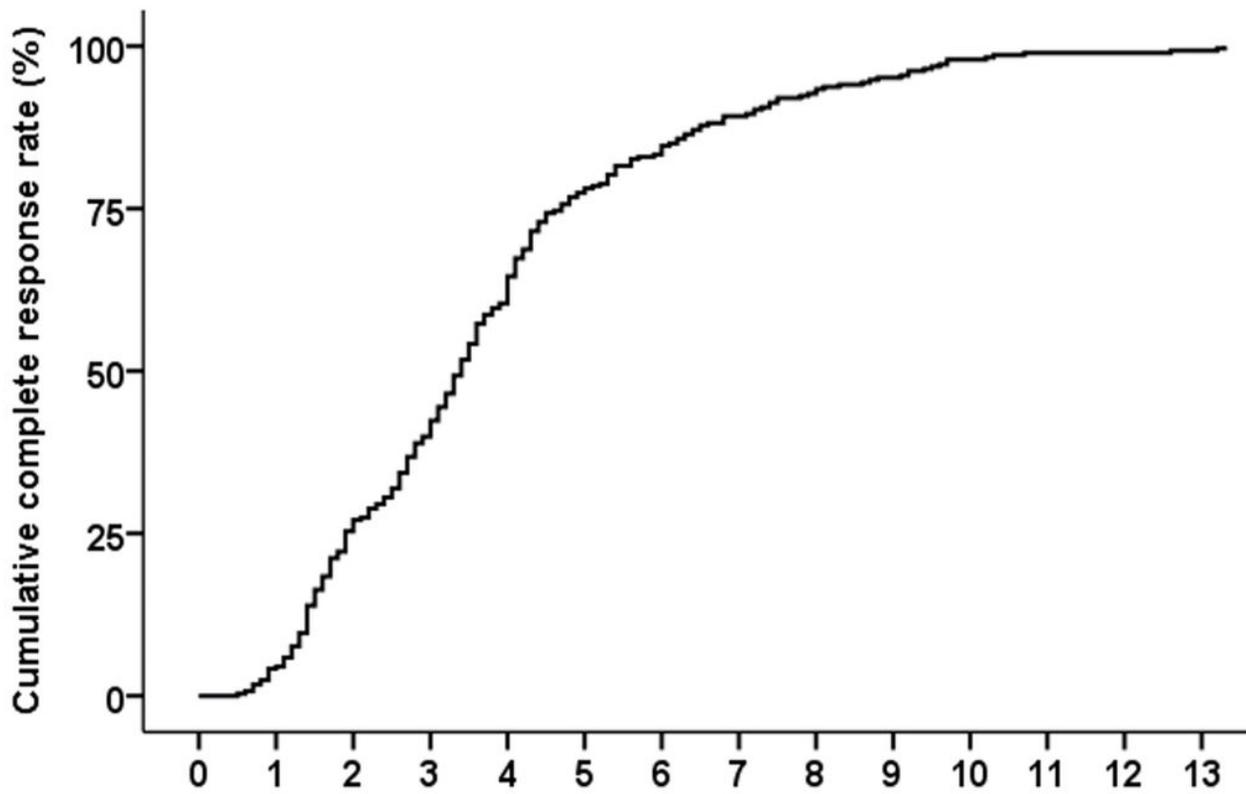


Figure 1

Flow diagram of the patients.



Cumulative rate at each time point (%)	Months
0	0
5	1
27	2
40	3
65	4
78	5
83	6
90	7
93	8
95	9
98	10
99	11
99	12
100	13

Figure 2

Cumulative complete response (CR) rate at each time point among patients who achieved anyCR.

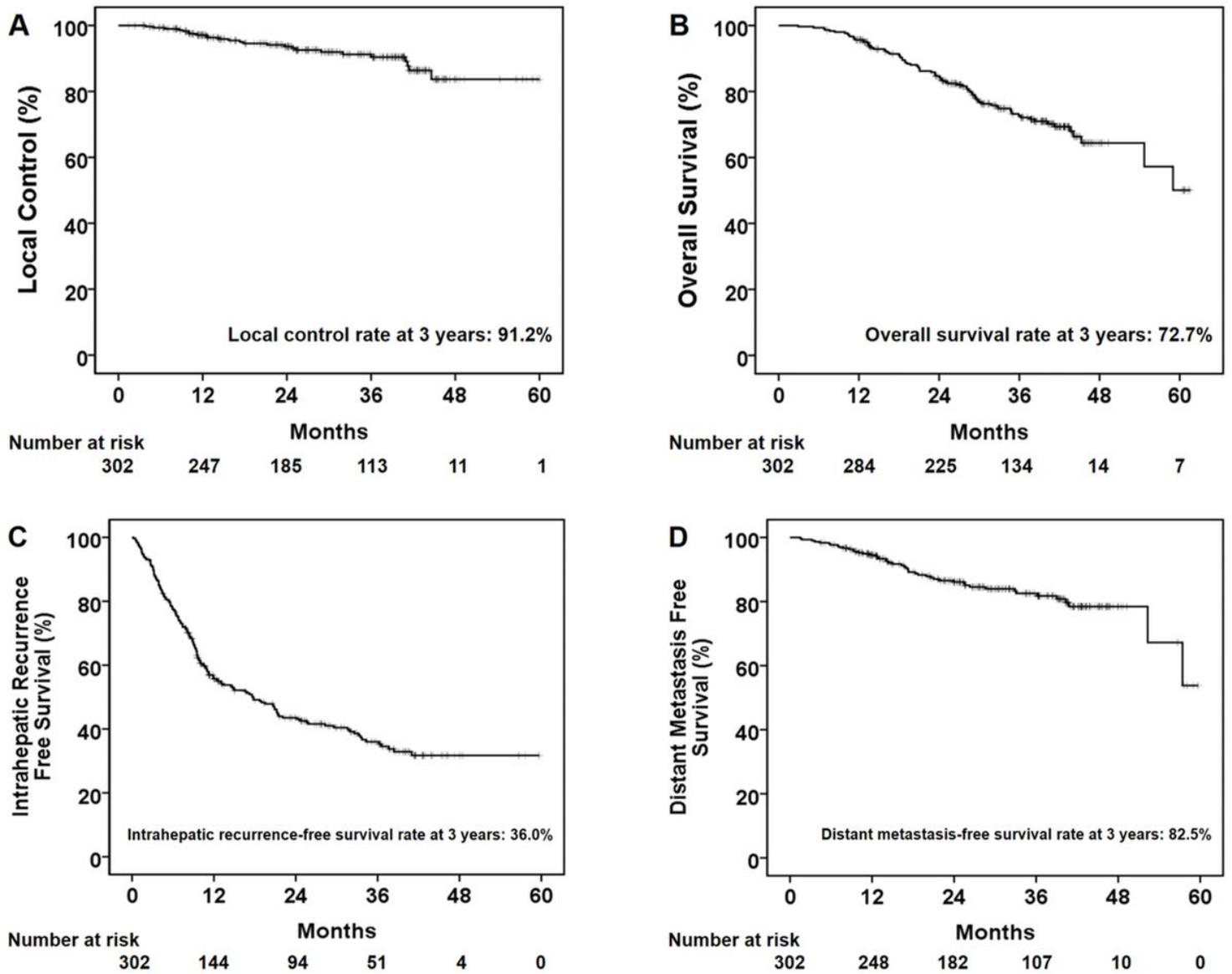


Figure 3

(A) Local control rates, (B) overall survival rates, (C) intrahepatic recurrence-free survival rates, and (D) distant metastasis-free survival rates of patients after stereotactic body radiation therapy.

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