

# Are Blood Lead Levels in the United States Still Declining? The US National Health Nutrition and Examination Survey (NHANES) 1999-2016

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## Research

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## Abstract

**Background:** Lead is toxic without a safe limit. The current upper reference blood lead level (BLL), 5 µg/dL, came from the 97.5th percentile in children aged 1-5 years in NHANES 2007-2010.

**Objectives:** We studied the latest trend in BLL in US NHANES and estimated the proportion of children with BLL ≥5 µg/dL, which would inform the setting of an upper reference level.

**Methods:** We analyzed 68877 participants (aged 1 to 85 years) with BLL measurements in NHANES 1999-2016 using SPSS complex sample module v25.0.

**Results:** In NHANES 2011-2012, 2013-2014, and 2015-2016, the mean and 95% confidence intervals (CIs) of BLLs (µg/dL) were 0.97 (0.96, 0.99), 0.86 (0.85, 0.87), and 0.82 (0.81, 0.83), respectively ( $P < 0.0001$ ). The estimated proportion (95% CI) of children aged 1-5 years with elevated BLL (EBLL) in 2011-2012, 2013-2014, and 2015-2016 were 2.0% (1.3, 3.0), 0.5% (0.4, 0.7), and 1.3% (0.8, 2.3), respectively ( $P = 0.267$ ). In 2015-2016, the proportion of children with EBLL was similar in high- and low-income groups ( $P = 0.9979$ ). The estimated 97.5th percentile of BLL in children was 3.71 µg/dL in NHANES 2015-2016.

**Conclusions:** BLL continued to decline in the overall US population. The disparity in BLL in children from higher and lower income families has decreased. Our findings support a reduction in the reference BLL, continual monitoring of population BLL and continual efforts to reduce environmental exposure to lead.

## Introduction

Lead is a well-documented environmental toxin. Environmental lead exposure is mainly from water pipe, soil, paint, and gasoline. Several mechanisms of lead poisoning have been proposed, including enzyme inhibition, oxidative stress, inflammation and epigenetic modifications [1, 2]. In adults, lead can affect multiple organ systems. A recent systemic review and meta-analysis has shown that lead exposure is associated with increased risk of cardiovascular disease, coronary heart disease, and stroke [3]. Even at low blood lead levels (BLLs), blood pressure and other cardiovascular outcomes are linked to lead exposure [4, 5]. Lead in blood is also related to renal dysfunction [6–8] and has an additive effect with hypertension [9]. Lead toxicity in children is particularly worrying as they suffer from more serious consequences. In children, high BLLs is a risk factor for cognitive deficits [10–13] and attention-deficit hyperactivity disorder [14, 15]; and chelation therapy seems to be unable to correct the damage [16]. For this reason, efforts have been focusing on reducing environmental exposure to lead through monitoring and regulation.

In 2012, the US Centers for Disease Control and Prevention (CDC) set, as the upper reference BLL, the 97.5th percentile of BLLs in children aged 1 to 5 years in the National Health Nutrition and Examination Survey (NHANES) 2007-2010 [17]. This reference limit of 5 µg/dL has been adopted by the US and many other places to identify children with elevated BLLs. However, a recent US population-based cohort study suggests that even among individuals with BLLs lower than 5 µg/dL, an increase of BLL from 1.0 µg/dL to 5.0 µg/dL was associated with 38% increase in all-cause mortality, almost two-fold increase in cardiovascular disease mortality, and more than 150% increase in mortality related to ischemic heart disease [18]. This finding has provided another important piece of evidence to support the notion that there is no safe limit of BLL and there is no room for complacency [19].

However, 132 (79%) schools and 95 (13%) childcare providers in Vermont had elevated tap water lead level<sup>20</sup>. The testing of this water lead level is conducted in accordance to Act 66 which requires all Vermont schools, supervisory unions, independent schools and childcare providers to measure water lead level<sup>21</sup>. The government provided the resources to replace the affected water pipes and taps. All affected water taps are not allowed to use<sup>20</sup>. In the current study, we aim to reveal the latest trend in BLLs in the United States by analyzing data from NHANES 1999-2016 on BLL in the US population and estimate the proportion of children with elevated BLL, defined as ≥5 µg/dL, which would inform the setting of a new upper reference level.

## Methods

NHANES is conducted by the National Center for Health Statistics (NCHS) of the CDC. The survey uses a complex multistage probability design. The main feature of this survey is that it involves both household questionnaires and medical examination for a representative sample of the US civilian non-institutionalized population. Approximately 5,000 participants were examined annually and each represents about 50,000 Americans. Oversampling of Mexican Americans, Hispanics and non-Hispanic blacks, Asians, elderly and low-income white was done in order to increase the reliability of subgroup estimates [20].

Out of 77225 participants in NHANES 1999-2016, 8348 (10.8%) participants with missing BLL were excluded. The final dataset included 68877 participants.

Collection of venous whole blood samples and measurements of BLLs were carried out under standard procedures. Specimens were stored at  $-30^{\circ}\text{C}$  before being shipped to the National Center for Environmental Health of CDC, where they were analyzed using Inductively Coupled Plasma Mass Spectrometer (ICP-MS) with Dynamic Reaction Cell Technology (ELAN® DRC II) (PerkinElmer Norwalk, CT) [21]. The limits of detection (LOD) of blood lead were  $0.6\ \mu\text{g}/\text{dL}$ ,  $0.25\ \mu\text{g}/\text{dL}$  and  $0.07\ \mu\text{g}/\text{dL}$  in 1999-2002, 2003-2012, and 2013-2016 respectively. For BLLs below the LOD, an imputed value of  $\text{LOD}/\sqrt{2}$  was assigned prior to analysis.

### Statistical Analysis

The model we used accounted for the stratification and clustering of the complex sampling design of NHANES. Sample weights were used to adjust for biases arising from oversampling, differential probability of selection, non-response, and post-stratification. BLLs were natural logarithm-transformed to correct for the skewness in the data. Estimates were adjusted for age, gender, and ethnicity.

The estimated proportions of pregnant women and children 1-5 years old with elevated BLL (EBLL) (defined as  $\geq 5\ \mu\text{g}/\text{dL}$ ), and other categorical variables were presented as percentages with 95% confidence intervals (CIs). BLLs were expressed as geometric means and 95% CIs. Results were analyzed by socio-demographic subgroups, e.g. age, gender, ethnicity, poverty income ratio (PIR). The trend of mean BLLs and proportions of EBLLs in different subgroups across the survey years were assessed by multiple regression. Comparisons of mean BLLs between subgroups in the same survey year were conducted with analysis of variance (ANOVA) or 2-tailed Student's *t*-test. A 2-sided *P*-value of  $<0.05$  was considered as statistically significant. The estimated 97.5th percentile of BLL in children 1-5 years old in NHANES 2015-2016 was also computed. All statistical analyses were conducted using SPSS 25.0 complex sample module (IBM Corp., Armonk, NY).

## Results

Socio-demographic characteristics of participants in NHANES 1999-2016 included in the current study are summarized in Table 1. Overall, there was a continual decline in BLLs across the years, from mean (95% CI)  $1.66$  ( $1.60, 1.72$ )  $\mu\text{g}/\text{dL}$  in 1999-2000 to  $0.82$  ( $0.78, 0.87$ )  $\mu\text{g}/\text{dL}$  in 2015-2016 ( $P < 0.0001$ ). The decline was substantial and statistically significant in all age groups ( $P < 0.0001$ ) (Figure 1) and in both males and females of all age groups ( $P < 0.001$ ) (Online Supplementary Table 1). This was also observed in all ethnic/racial groups reported ( $P < 0.0001$ ) (Online Supplementary Table 2) apart from the 'Other Non-Hispanic' ethnic groups (Non-Hispanic Asian and Other race – including multi-racial,  $P = 0.0550$  and  $P = 0.1573$ , respectively), which were subdivided from 'Other Race' since NHANES 2011-2012. Likewise, there was a gradual decrease in the proportion of the US population with EBLL regardless of age, gender, ethnicity, and poverty income ratio (Online Supplementary Table 3 and 4). There was a significant increase in the proportion of females and Mexican Americans with EBLLs between 2013-2014 and 2015-2016 ( $0.3\%$  vs  $0.6\%$  ( $P < 0.01$ ) and  $0.9\%$  vs  $1.7\%$  ( $P < 0.001$ ), respectively).

Figure 2 shows the trend in the proportion of children 1-5 years old with EBLL in NHANES 1999-2016. The proportion of children 1-5 years old with EBLLs decreased steadily across the years, from  $9.7\%$  (95%CI:  $7.2, 12.8$ ) in 1999-2000 to  $1.3\%$  (95% CI:  $0.8, 2.3$ ) in 2015-2016 ( $P < 0.0001$ ). There was no significant increase in the percentage of children with EBLLs in 2015-2016 compared with 2013-2014 ( $1.3\%$  vs  $0.5\%$ ,  $P = 0.267$ ). This was also observed in other age groups (Online Supplementary Table 3). The proportion of children aged 1-5 with EBLL declined significantly across the years in both lower-income (PIR  $< 1.3$ ) and higher-income (PIR  $\geq 1.3$ ) groups ( $P < 0.0001$ ). A significantly higher proportion of children in the lower-income group had EBLLs than the higher income group each year ( $P < 0.001$ ), but the difference was no longer significant in 2015-2016 ( $P = 0.9979$ ). The estimated 97.5th percentile of BLL in children 1-5 years old, was  $3.71\ \mu\text{g}/\text{dL}$  in NHANES 2015-2016.

## Discussion

Our results show a persistent decline in BLLs in the US from 1999-2000 to 2015-2016 irrespective of age, gender, ethnicity, and poverty-income ratio. The decline is consistent with a number of previous population-based studies [22–28], including our previous study [29]. This has once again demonstrated the effectiveness of public health efforts to abate environmental exposure to lead in the US. Nevertheless, the rate of decline has been diminishing in recent years, which may indicate that there are other environmental lead sources yet to be identified and controlled.

Despite the substantial decline in BLLs, disparities between different income and ethnic groups remain. From our analysis, we found in NHANES 2015-2016, for the first time, that there was no longer a disparity between high- and low-income families in the proportion of children aged 1 to 5 years with EBLLs. This is a very encouraging finding. Lead affects neurocognitive development in children and BLL may partly lead to a poverty trap in which children from poor families will remain poor when they grow up. The elimination of income disparity in EBLLs is likely to be a result of targeted screening in high-risk children. Until 2014, black children (below age of 18) were twice as likely to live in poverty as white children [30]. Black children in poverty are also more likely to live in substandard housing and hence, at a higher risk of exposure to lead paint at home and lead pollutants from nearby factories [31, 32]. The racial and income disparity has been historically important in blood lead monitoring. In 1982, Mahaffey and colleagues first reported higher BLLs in young children who were black and from low-income families in a national estimate (NHANES II, 1976-1980) [33]. Since then, the observation was confirmed in numerous studies and the disparities persisted over the past four

decades [22–4, 27, 33–36]. In 2013-2014, the mean BLLs in black children was still 36% higher than in white children [29]. Children at the age of 1-5 years that were non-Hispanic black, from a low-income family and living in housing built before 1950 were in the highest risk groups for lead poisoning [24, 34, 35]. The black-white disparity in higher BLLs has been found to be independent of income level, housing quality and environmental conditions [38]. Targeted prevention strategies have been implemented such as increased screening on these high-risk groups and identification of high-risk environment [17]. the percentage of non-Hispanic black children with EBLL is still higher compared to other ethnicities, and so more efforts to reduce the environmental exposure to lead, particularly by removing lead paint and lead plumbing in houses, are necessary.

The proportion of EBLL among children aged 1-5 years in NHANES 2015-2016 increased numerically, albeit insignificantly. This should be interpreted with caution. 30.9% of these participants had missing blood lead measurements. The sample size was 790 and only 12 children had EBLL. Caldwell et al. [39] reported an estimated 35% of lot screening failures due to lead contamination, which could falsely elevate BLL in the NHANES 2015-2016 cycle. However, a study based on a large national clinical laboratory database [23] reported a slight increase in the rate of children <6 years old with EBLLs in 2014-2015 after consecutive years of decline. Our analysis of NHANES 2015-2016 data does not dispel this disturbing finding. These may just be random fluctuations along an overall decreasing trend, so the next two-year NHANES cycle would clarify the underlying trend better. Continual monitoring of BLL is thus as critical as ever. However, as BLL in the general population and in healthy people becomes lower and lower, advances in analytical methods are needed. This is a reason why the CDC is cautious about lowering the reference level of BLL further to a level where measurements become inaccurate.

Since the well-publicized crisis of lead in drinking water in Flint, Michigan, there have been increased awareness and testing of lead level in drinking water. Recently in Vermont, elevated tap water lead level was found. This is potentially harmful to children. Children absorb 40-50% of water-soluble lead<sup>40</sup>. Every increase in 1 ppb in water lead level increases 35% in BLL<sup>41</sup>. The effect of water lead level on BLL is well demonstrated in Flint, Michigan. The switching of water source caused a significant increase in EBLL in children<sup>42,43</sup>. The switching back for the source of tap water reduced the BLL<sup>44,45</sup>.

Although we analyzed the same dataset from which US reference levels of BLL are derived, there are limitations to our analysis. There were high rates of missing blood lead measurements particularly among children aged 1-5 years in NHANES 1999-2016 (ranging from 24-38%), which may affect the representativeness of the survey to estimate the BLL of children in the population. While the sampling in US NHANES was random, not all Americans could be included in the sampling frame. Institutionalized, people without fixed addresses and people who refused were not included. As with any analysis of subgroups, power is reduced and confidence intervals are wider, and multiple comparisons are possible. Our new findings in NHANES 2015-2016 generate a new hypothesis that requires confirmation in future cycles of NHANES and other national estimates of BLL.

In conclusion, our latest analysis of BLL in US NHANES showed that BLL continued to decline overall in the US population. The disparity in BLL in children in high and low- income households has diminished. Black children still have higher BLLs than white children. In young children aged 1 to 5 years in the 2015-2016 survey, BLL did not decline and appeared to increase. Our data suggest that monitoring the trend in BLL in the population is as necessary as ever and that efforts to reduce environmental exposure to lead must not be relaxed.

## Declarations

### Ethical Approval and Consent to participate

All participants gave informed consent before participation and ethics approval of the study was granted by the Research Ethics Review Board at the National Center for Health Statistics of the Centers for Disease Control and Prevention, USA.

### Consent for publication

Not applicable

### Availability of supporting data

Data used in this study can be downloaded from: <https://wwwn.cdc.gov/nchs/nhanes/Default.aspx>

### Competing interests

BMYC reports personal fees from Amgen, Pfizer and Roche, outside the submitted work.

The remaining authors declare no potential conflicts of interest.

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No specific funding was received for this work.

## Authors' contributions

BMJ Cheung, KKWL and MFT designed the study. KKWL and MFT performed the data analysis. KKWL wrote the first draft of the manuscript. All authors contributed to the interpretation of results. The corresponding author had full access to the data and had final responsibility for the decision to submit for publication. All authors read and approved the final manuscript.

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## Tables

**Table 1 Characteristics of Participants Included in Analysis**

Year	1999-2000	2001-2002	2003-2004	2005-2006	2007-2008	2009-2010	2011-2012	2013-2014	2015-2016	<i>P</i>
N	7970	8945	8373	8407	8266	8793	7920	5215	4988	
Age, yr	36.2 ± 0.39	36.7 ± 0.63	37.5 ± 0.39	37.9 ± 0.77	38.5 ± 0.44	38.7 ± 0.48	39.1 ± 0.78	39.1 ± 0.49	39.8 ± 0.73	0.7463
Female (%)	4057 (50.9%)	4606 (51.5%)	4241 (50.7%)	4315 (51.3%)	4119 (49.8%)	4427 (50.3%)	3952 (49.9%)	2628 (50.4%)	2500 (50.1%)	0.9972
No. of children aged <20 yr	3763 (47.2%)	4173 (46.7%)	3848 (46.0%)	3898 (46.4%)	2902 (35.1%)	3028 (34.4%)	2890 (36.5%)	2520 (48.3%)	2378 (47.7%)	0.0561
Ethnicity										
Mexican Americans (%)	2742 (34.4%)	2268 (25.4%)	2085 (24.9%)	2236 (26.6%)	1712 (20.7%)	1966 (22.4%)	1077 (13.6%)	969 (18.6%)	994 (19.9%)	
Other Hispanics (%)	471 (5.9%)	403 (4.5%)	274 (3.3%)	277 (3.3%)	980 (11.9%)	949 (10.8%)	854 (10.8%)	512 (9.8%)	670 (13.4%)	
Non-Hispanic White (%)	2670 (33.5%)	3768 (42.1%)	3436 (41.0%)	3310 (39.4%)	3461 (41.9%)	3760 (42.8%)	2493 (31.5%)	1848 (35.4%)	1511 (30.3%)	
Non-Hispanic Black (%)	1807 (22.7%)	2174 (24.3%)	2225 (26.6%)	2193 (26.1%)	1746 (21.1%)	1593 (18.1%)	2195 (27.7%)	1119 (21.5%)	1070 (21.5%)	0.9999
Others (%)	280 (3.5%)	332 (3.7%)	353 (4.2%)	391 (4.7%)	367 (4.4%)	525 (6.0%)	1301 (16.4%)	767 (14.7%)	743 (14.9%)	
Non-Hispanic Asian (%)							1005 (12.7%)	510 (9.8%)	479 (9.6%)	
Other race, including multiracial (%)							296 (3.7%)	257 (4.9%)	264 (5.3%)	
Pregnancy (%)	267 (3.4%)	322 (3.6%)	256 (3.1%)	353 (4.2%)	50 (0.6%)	65 (0.7%)	51 (0.6%)	28 (0.5%)	24 (0.5%)	<0.0001
Mean blood lead level (µg/dL)	1.66 (1.60, 1.72)	1.46 (1.41, 1.51)	1.43 (1.37, 1.50)	1.29 (1.23, 1.36)	1.27 (1.22, 1.33)	1.12 (1.08, 1.16)	0.97 (0.92, 1.03)	0.86 (0.82, 0.90)	0.82 (0.78, 0.87)	<0.0001

**Table 2 Estimated Proportion of Pregnant Women and Children Aged 1 to 5 Years of Different Ethnicities and Poverty Income Ratios with Elevated Blood Lead Level in 1999-2016**

Year	1999-2000	2001-2002	2003-2004	2005-2006	2007-2008	2009-2010	2011-2012	2013-2014	2015-2016	P
Pregnant women	0.5% (0.5, 0.6)	0.2% (0.0, 1.2)	0.9% (0.1, 6.0)	0.0%	0.0%	0.0%	0.0%	0.0%	13.1% (2.0, 52.1)	0.0002
Children aged 1-5 yr										
Stratified by genders										
Male	8.8% (5.2, 14.5)	9.2% (6.8, 12.2)	4.4% (3.1, 6.2)	3.5% (2.3, 5.1)	3.2% (2.4, 4.3)	1.8% (1.2, 2.9)	2.8% (1.7, 4.6)	0.7% (0.5, 1.0)	1.3% (0.5, 3.1)	<0.0001
Female	10.7% (7.7, 14.6)	5.7% (4.0, 8.0)	6.4% (4.5, 8.9)	2.3% (1.5, 3.5)	3.1% (2.3, 4.1)	2.4% (1.6, 3.6)	1.1% (0.4, 2.7)	0.4% (0.3, 0.4)	1.4% (0.7, 3.0)***	<0.0001
Stratified by Ethnicities										
Mexican Americans	10.2% (7.2, 14.3)	4.3% (2.3, 7.9)	3.7% (2.0, 6.8)	1.4% (0.5, 3.8)	1.2% (0.5, 3.2)	2.6% (1.2, 5.6)	0.0%	0.5% (0.1, 3.1)	0.5% (0.1, 3.1)	<0.0001
Other Hispanics	3.2% (1.1, 9.1)	6.6% (2.7, 15.5)	3.8% (0.5, 22.4)	6.9% (2.8, 15.8)	1.0% (0.2, 6.2)	1.7% (0.4, 6.7)	1.1% (0.2, 7.0)	0.7% (0.1, 4.8)	0.0%	0.0686
Non-Hispanic White	9.3% (5.3, 15.7)	5.6% (3.4, 8.9)	2.9% (1.5, 5.4)	1.6% (0.8, 3.3)	3.2% (1.5, 6.3)	1.6% (0.8, 3.0)	2.8% (1.1, 6.8)	0.0%	1.8% (0.7, 4.3)	<0.0001
Non-Hispanic Black	18.6% (13.7, 24.8)	17.9% (13.7, 22.9)	16.2% (11.7, 22.1)	7.8% (5.1, 11.9)	7.1% (4.4, 11.3)	3.8% (2.0, 7.3)	3.2% (1.6, 6.4)	1.6% (0.6, 4.4)	2.2% (0.8, 6.0)	<0.0001
Others	1.5% (0.3, 6.5)	8.8% (2.7, 24.7)	5.5% (1.9, 15.0)	4.0% (1.3, 11.8)	1.2% (0.2, 8.2)	1.7% (0.4, 6.7)	0.0%	1.4% (0.3, 5.8)	0.9% (0.1, 5.9)	0.0373
Non-Hispanic Asian							0.0%	1.5% (0.2, 9.7)	2.7% (0.4, 17.2)	0.5828
Other race, including multiracial							0.0%	1.4% (0.2, 9.2)	0.0%	0.5111
Stratified by poverty income ratio										
< 1.3	11.9% (9.1, 15.3)	13.2% (10.8, 16.2)	8.9% (7.3, 10.9)	7.2% (6.1, 8.6)	4.8% (3.6, 6.2)	4.1% (3.1, 5.5)	3.2% (1.9, 5.4)	0.9% (0.8, 0.9)	1.2% (0.8, 1.7)	<0.0001
≥ 1.3	6.2% (3.9, 9.6)	2.7% (1.5, 4.8)	2.5% (1.5, 4.1)	0.9% (0.4, 1.8)	1.9% (1.7, 2.2)	0.6% (0.3, 1.1)	0.8% (0.8, 0.9)	0.0%	1.2% (0.5, 2.6)	<0.0001
Stratified by age										
1-2 yr	11.8% (8.1, 16.8)	12.1% (8.9, 16.3)	7.7% (5.4, 10.8)	3.6% (2.4, 5.4)	3.4% (2.1, 5.4)	2.9% (1.8, 4.6)	3.3% (1.1, 9.7)	0.9% (0.3, 2.2)	2.6% (1.0, 6.5)	<0.0001
3-5 yr	8.4% (4.7, 14.6)	4.5% (2.5, 7.7)	3.6% (2.0, 6.4)	2.5% (1.6, 3.7)	3.0% (1.3, 6.6)	1.6% (1.0, 2.6)	1.3% (0.4, 4.4)	0.3% (0.1, 1.0)	0.6% (0.2, 1.6)	<0.0001

\*\*\* : significant difference (P<0.0001) from 2013-2014

## Figures

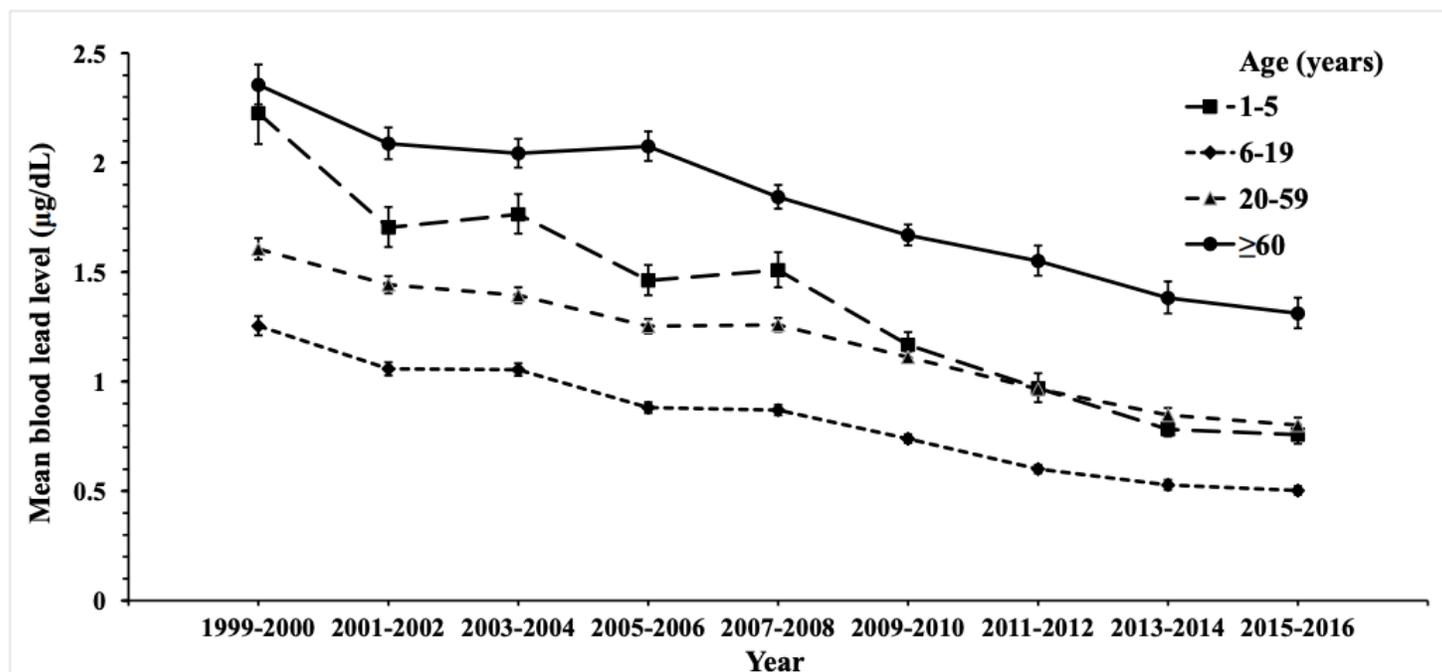


Figure 1

Estimated mean blood lead level in participants of different age groups in 1999-2016

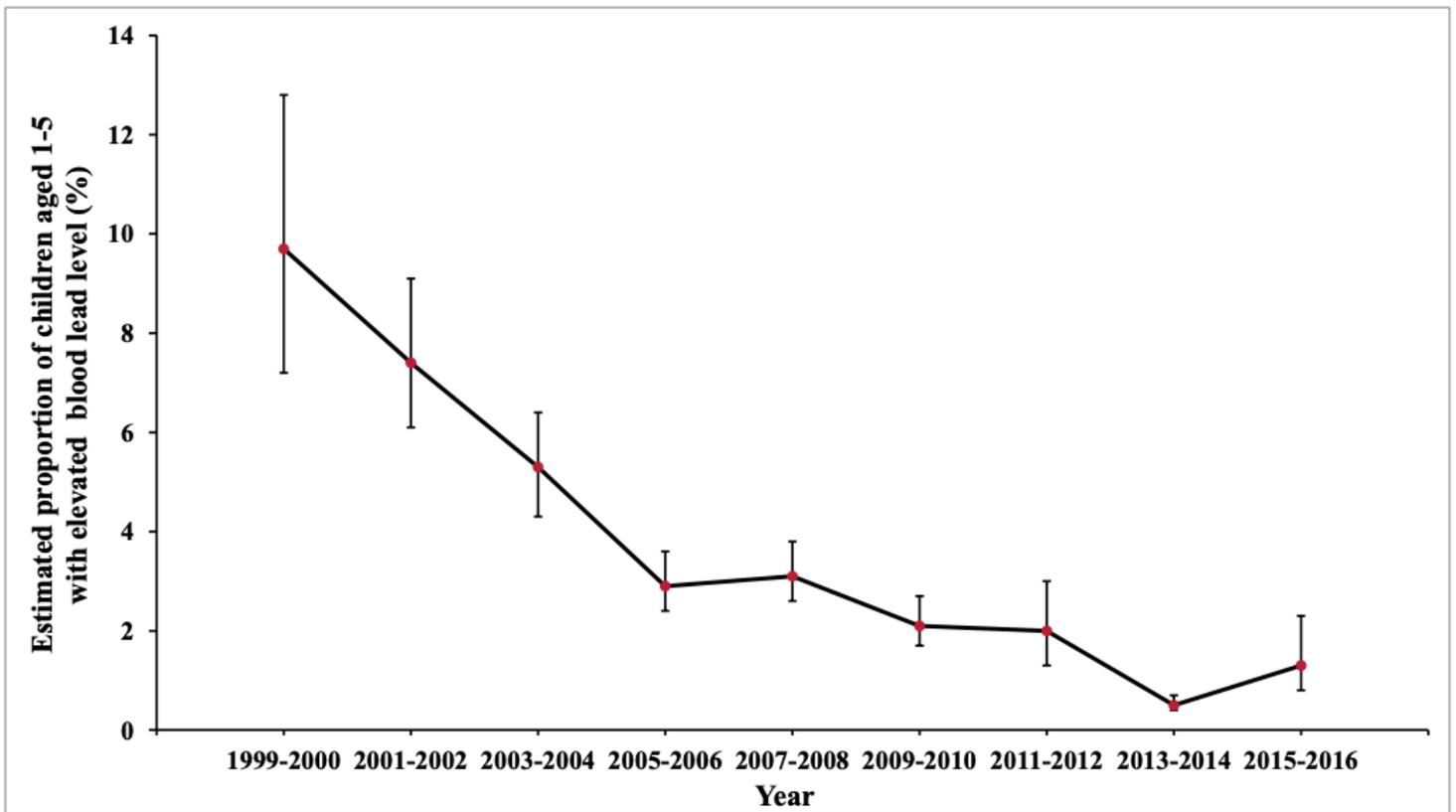


Figure 3

Estimated Proportion of children 1-5 years old with Elevated Blood Lead Level in 1999-2016