

Experimental Study on the Piping Erosion Mechanism of Gap-Graded Soils Under a Supercritical Hydraulic Gradient

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19 **Abstract:**

20 Seepage-induced piping erosion is observed in many geotechnical
21 structures. This paper studies the piping mechanism of gap-graded soils

22 during the whole piping erosion failure process under a supercritical
23 hydraulic gradient. We define the supercritical ratio R_i and study the
24 change in the parameters such as the flow velocity, hydraulic
25 conductivity, and fine particle loss with R_i . Under steady flow, a
26 formula for determining the flow velocity state of the sample with R_i
27 according to the fine particle content and relative density of the
28 sample was proposed; during the piping failure process, the influence
29 of $R_{i\max}$ on the rate at which the flow velocity and hydraulic conductivity
30 of the sample increase as R_i decreases was greater than that of the
31 initial relative density and the initial fine particle content of the
32 sample. Under unsteady flow, a larger initial relative density
33 corresponds to a smaller amplitude of increase in the average value of
34 the peak flow velocity with increasing R_i . Compared with the test under
35 steady flow, the flow velocity under unsteady flow would experience
36 abrupt changes. The relative position of the trend line L of the flow
37 velocity varying with R_i under unsteady flow and the fixed peak water
38 head height point A under steady flow were related to the relative
39 density of the sample.

40 **Keywords:** Piping erosion • Supercritical hydraulic gradient • Flow
41 velocity • Fine content • Relative density

42 1. Introduction

43 Internal erosion is a substantial cause of the destruction of
44 geotechnical engineering structures such as filling dams and
45 embankments (Foster et al., 2000; Richards and Reddy, 2007). Piping
46 seepage is a typical form of instability in soil. S. Van. Baars (2009)
47 pointed out in his report that many dam failures were caused by piping.
48 In recent years, an increasing number of piping erosion accidents have
49 occurred worldwide (Brazil, 2019; Laos, 2018 and China, 2015). Therefore,
50 it can be concluded that piping is a problem worthy of sufficient
51 attention and intensive study, and many scholars have conducted
52 relevant research on this topic (Wang et al., 2015; Hu et al., 2020;
53 Zou et al., 2020; Zhang et al., 2020 and Razavi et al., 2020).

54 Research on the condition of piping occurrence is a popular topic
55 of piping research. There are various factors that affect the
56 occurrence of soil piping, mainly involving four aspects: seepage
57 conditions (such as the water head type, seepage direction, etc.),
58 geometric conditions (such as the soil particle gradation, particle
59 size ratio, etc.), physical conditions (such as the soil compactness,
60 cohesion, etc.), and stress conditions (such as the confining pressure,
61 stress path, etc. (Schuler, 1995; Kenney and Lau, 1985; Wan and Fell,
62 2008; Fannin and Moffat, 2006; Chang and Zhang, 2011). The critical

63 hydraulic gradient (CHG) is an important criterion for evaluating the
64 critical condition of piping erosion and depends on the properties of
65 the soil included in the above physical and geometric conditions
66 aspects (Kenney and Lau, 1985; Aberg, 1993; Burenkova, 1993; Skempton
67 and Brogan, 1994; McDougall et al., 2013). At present, it is the common
68 to study the CHG in terms of the seepage conditions combined with
69 physical and geometric conditions. For example, a formula for
70 calculating the CHG of internal erosion for various grain sizes in
71 sand gravels was established by Mao et al. (2009); Huang et al. (2017)
72 established a theoretical model under two-dimensional seepage flow and
73 showed that the seepage direction angle was positively related to the
74 CHG; Xie et al. (2018) found that CHG increases as the degree of
75 compaction and clay content increases when investigating the failure
76 mechanism of internal erosion at soil-structure interfaces by a
77 homemade device; Yang and Wang (2017) also designed a new apparatus
78 for investigating piping failures and CHG between uniform sands and
79 gap-graded soils and compared the values of CHG measured with uniform
80 sand and gap-graded soil with Terzaghi's theoretical values.
81 However, these research methods have a defect in that they ignore the
82 influence of the stress state of soil on the occurrence of piping.
83 Therefore, researchers have focused on stress condition factors. Luo

84 et al. (2013) designed a seepage-erosion-stress coupling piping test
85 apparatus; Chang and Zhang (2011) determined the effects of complex
86 stress states on the CHG of internal erosion; Liang et al. (2017,2019)
87 further developed a new device that can simulate piping in the upward
88 flow direction under a complex stress state. They studied the onset of
89 piping erosion under isotropic and anisotropic stress conditions and
90 found that the CHG under the isotropic stress state and the anisotropic
91 stress state were notably different.

92 Most of the studies focused on the critical conditions for piping
93 erosion occurrence (research on CHG). However, in practice, the water
94 level of rivers will rise rapidly due to heavy rainfall and cause
95 flooding. Piping erosion usually occurs at high flood levels during
96 the flood season, such as in May 2020, floods caused by heavy rain led
97 to dam bursts in America (2020), and in May, a dam in Uzbekistan (2020)
98 also broke due to flooding. A high flood level also means that the
99 hydraulic gradient will exceed the CHG or even increase far beyond the
100 CHG, which we define as the supercritical hydraulic gradient (SCHG).
101 Since piping erosion is a gradual development process with the loss of
102 fine particles, parameters such as the flow velocity, hydraulic
103 conductivity and hydraulic gradient change constantly with the
104 migration and loss of fine particles in the soil, and the parameters

105 have a certain change rule in time. Therefore, research on the entire
106 development process of piping erosion under the action of steady flow
107 with a fixed supercritical head height has not received much attention.
108 Meanwhile, due to the effects of tides and waves (Li et al., 2020),
109 high flood levels are not always stable, there may be a sudden peak
110 water level, and after a period of time, the water level will drop to
111 the valley water level. The sudden increase in the hydraulic gradient
112 will lead to a nonequilibrium erosion situation (Vandenboer et al.,
113 2019); therefore, research on the piping erosion mechanism under the
114 action of a cyclically unstable supercritical water head has not
115 attracted attention and is worth researching.

116 In this paper, we will use a homemade apparatus to test the entire
117 development process of piping erosion failure under stable and unstable
118 supercritical water heads and study the changing regularity of the
119 piping parameters, including flow velocity, hydraulic conductivity and
120 loss of fine particles under a SCHG. Simultaneously, we research the
121 effect of the initial relative density and initial fine particle
122 content of soils on these piping parameters.

123 2. Test materials, apparatus and procedures

124 2.1 Materials

125 The soils used in the test were Yangtze Sand. According to the
126 internal stability criteria for soils proposed by Chang and Zhang
127 (2011b), the gap-graded sand specimens were prepared where the fine
128 fraction had a particle size of 0.075–0.25 mm and the coarse
129 particles had a particle size of 2.0–8.0 mm. The sample grading curve
130 is shown in Fig. 1 (FC represents the initial fine particle content).

131 2.2 Experimental apparatus

132 The homemade cylindrical tank is shown in Fig. 2(a). The height is
133 50 cm, and the inner diameter is 140 mm. There are a total of 8 water
134 pressure sensors numbered from 1 to 8 installed on the sidewall from
135 bottom to top. Water flows through the sample from bottom to top. The
136 length of the sample can be adjusted from 250 mm to 400 mm by setting
137 a cushion seat under the water-permeable plate in the buffer area. On
138 the basis of the previous device in Chen Liang's paper (2015), to
139 improve the accuracy of the collected data and the real-time recording
140 of experimental data, we further improved the instrument and developed
141 a data collection system including a water pressure sensor, a flowmeter,

142 a switching power supply, a signal converter, and an intelligent
143 paperless recorder. The working relationship of each part is shown in
144 Fig. 2(b).

145 **2.3 Test scheme**

146 The development of piping is a process in which fine particles are
147 transported and taken out in the pores of coarse particles, so the
148 content of the fine particles (FC) has a great influence on the
149 development of piping. According to the geometric conditions, Ke and
150 Takahashi (2012) estimated that FC=37% was the ideal state where FC
151 was close to filling the pores between the coarse particles under the
152 condition that the coarse particle part was loose and the fine particle
153 part was dense. Therefore, in this study, we selected specimens with
154 FC=10%, FC=15%, FC=18%, and FC=25%, which represent the different
155 degrees of filling, to conduct experiments.

156 In addition, the initial relative density D_r , which is defined as:

157 (1)
$$D_r = \frac{e_{max} - e_0}{e_{max} - e_{min}}$$

158 is a condition that can influence the volumetric strain during piping
159 erosion and has a great effect on the piping mechanism (Zeng, 2016).

160 Therefore, we selected three groups of samples with FC values of
161 10, 18, and 25 and three groups of samples with D_r values of 0.3, 0.6,

162 and 0.8 to conduct the piping test which is shown in Table 1. In this
163 paper, we defined that the smaller hydraulic gradient when fine
164 particles are slightly washed out and the hydraulic gradient at the
165 inflection point of the v-i (v represents velocity; i represents
166 hydraulic gradient) relationship curve is selected as the CHG (Lu,
167 2005). The calculation formula of the hydraulic gradient in this study
168 is as follows:

169 (2)
$$\Delta h = \frac{(p_3 - p_8)}{\gamma_w} - L$$

170 (3)
$$i = \frac{\Delta h}{L}$$

171 Δh represents the water head loss of the total sample; P_3 and P_8 are
172 the No. 3 and No. 8 pore pressures, respectively; γ_w is the weight of
173 water; and L is the seepage diameter length.

174 **2.4 Procedures**

175 The test steps were performed as follows:

- 176 1. The soil samples were dried in an oven at a temperature of 105° C
177 for 24 hours.
- 178 2. These fine and coarse particles were completely blended, and the
179 sand was compacted every 2 ~ 3 cm.
- 180 3. The water head slowly increased to saturate the entire sample, which
181 lasted for 24 h, and then the test began.

182 4. The water head was raised from the height of the samples and slowly
183 saturated every 50 mm, which was fixed for 5 minutes, and lifting of
184 the water head was stopped when the rate of flow increased, which could
185 be observed from the flow meter fixed to the water head. During the
186 whole test, the real-time change curves of water pressure sensors No.
187 1 to No. 8 and the flow meter in the paperless recorder were observed,
188 simultaneously making a record of the test phenomenon. When no fine
189 particles flowed out from the top surface of the sample and the real-
190 time change curve of the water pressure and flow in the paperless
191 recorder became stable, it was determined that the sample was
192 completely destroyed by piping and then the test was terminated.

193 **3. Results and discussion**

194 **3.1 Experimental phenomena**

195 Since the experimental phenomena of the FC and Dr groups are
196 similar, Dr0.6 was selected as a typical experimental phenomenon for
197 illustrating the whole process of piping development.

198 Fig. 3 is the Dr0.6 saturation sample before lifting the upstream
199 water head, and it can be seen that the fine and coarse particles in
200 the sample are evenly distributed.

201 Fig. 4 shows the stage of lifting the Dr0.6 upstream water head,

202 where the seepage quantity tended to decrease and the pore pressure
203 tended to stabilize after every lifting water head stage (a). Typical
204 pictures corresponding to points A, B, C, and D were recorded from the
205 top and side of the sample from the cylindrical tank, which are shown
206 in Fig. 4. When the experiment was carried out for 26 minutes (b), the
207 pulsation of the fine particles occurred in two places, and a small
208 amount of fine particles accumulated in one of them. When the
209 experiment continued for 32 minutes (c), there were two pulsations of
210 the fine particles on the side of the sample. After 47 minutes (d) of
211 the test, it was found that there had been fine particle accumulation
212 in three places on the top of the sample. When the test continued for
213 57 minutes (e), it was found that there had been relatively obvious
214 fine particle accumulation in the direction from 1:00 to 4:00 on the
215 top of the sample.

216 Fig. 5(a) shows the changes in the pore pressure and the seepage
217 quantity during the whole process of Dr0.6 piping failure. Point E (b)
218 shows the phenomenon of the top surface of the sample when the test
219 was carried out for 193 minutes. It can be seen that there were many
220 fine particles that accumulated on the top surface of the sample, the
221 seepage quantity was continuously rising, and the pore pressure of No.
222 3[~]6 decreased obviously. When the test was carried out for 268 minutes

223 (c), continuous fine particles gushed out during the test, and the
224 coverage area of the fine particles that accumulated on the top surface
225 of the sample further increased. The increase rate of the seepage
226 quantity became slower, and the rate of the pore pressure drop of No.
227 3~6 also slowed down. When the test was conducted for 575 minutes (d),
228 corresponding to point G, the sample approached complete piping failure,
229 and the test continued until 695 minutes (e). It could be seen that no
230 fine particles were flushed out from the top surface of the sample,
231 and complete piping failure occurred. Meanwhile, compared with Fig. 4,
232 two obvious piping outlets appeared on the top surface (e), and two
233 obvious piping gushing channels appeared on the sidewall of the sample
234 (f).

235 **3.2 Analysis of the piping test results**

236 **3.2.1 Flow velocity**

237 The variation in the velocity with time of group FC and Dr during
238 the whole piping development is shown in Fig. 6. Table 2 shows the
239 SCHG i_{scr} when the water head was fixed after the last head lift,
240 hydraulic gradient i_f when complete piping failure occurred and CHG of
241 the sample. We define the supercritical ratio as $R_i = i_s / i_{cr}$ (i_s represents
242 the SCHG) and $R_{imax} = i_{scr} / i_{cr}$ because i_{scr} is the maximum SCHG of the whole

243 process of piping erosion. The whole process of the piping erosion
244 test consisted of the upstream water head lifting stage and the piping
245 failure stage after the upstream water head was fixed. In the piping
246 failure stage, according to Chen et al. (2020), the solid point M_n
247 ($n=1\sim 5$) was the critical point where the flow velocity started to
248 increase; similarly, the solid points N_n ($n=1\sim 6$) and P_n ($n=1\sim 6$)
249 represented the critical points at which the flow velocity started to
250 become stable and decrease, respectively. Consequently, $M_n \sim N_n$
251 demonstrated that the flow velocity showed an increasing state, $N_n \sim P_n$
252 demonstrated that the flow velocity showed a stable state, and the
253 flow velocity after point P_n showed a decreasing state.

254 **3.2.1.1 Upstream water head lifting stage**

255 Theoretically speaking, when the upstream water head height exceeds
256 the critical head height, the flow velocity should increase with the
257 elevation of the head height. In actual experiments, it was found that
258 with the increase in the head height, the flow velocity did not increase
259 completely over time and might also show a stable or decreasing state.
260 To further study the effect of different SCHGs on the change in the
261 state of the flow velocity over time before the piping erosion failure
262 stage, combined with $R_{i\max}$ shown in Table 3, we selected $R_{i\max} = 1.0, 1.3,$

263 1.6, 1.8, 2.1, 2.5, and 2.8 for each group of samples to conduct the
264 piping test and maintain 90 min at each $R_{i\max}$ (it can be seen from Fig.
265 6 that the upstream water head lifting stage of each group of samples
266 lasted no more than 90 min).

267 The test phenomena of each group of samples were similar. Therefore,
268 we selected the typical Dr0.6 group (Fig. 7) to analyze and determine
269 that the flow velocity decreased over time when $R_{i\max} = 1.0, 1.3, \text{ and } 1.6$.
270 When $R_{i\max} = 1.8, 2.1, \text{ and } 2.5$, the flow velocity remained stable over
271 time, and it increased over time when $R_{i\max} = 2.8$. Therefore, the state of
272 the change in the flow velocity over time during the process of piping
273 is represented as follows: decreasing state, stable state, and
274 increasing state. Consequently, to further study the influence of the
275 initial fine particle content and the initial relative density on the
276 change in the flow velocity over time under the action of various SCHGs,
277 we obtained the distribution of the change state of the flow velocity
278 of each group of samples under each level of the SCHG in the form of
279 points, as shown in Fig. 8.

280 In Fig. 8, "Area A" is the distribution area where the flow velocity
281 is in a decreasing state, and "Area B" is the distribution area where
282 the flow velocity is in a stable state and increasing state. On the
283 whole, the distribution of the three states of the flow velocity with

284 the increase in the hydraulic gradient is the decreasing state, stable
285 state and increasing state successively, regardless of how the initial
286 fine particle content or initial relative density of the samples
287 changed. The stable state is a transitional phase between the
288 decreasing state and the increasing state, and its distribution area
289 is small.

290 In Fig. 8(a), the area where the flow velocity decreased was more
291 to the upper left, that is, the larger the fine particle content of
292 the sample was, the larger the SCHG corresponding to the stable state
293 of the flow velocity. In addition, "Area A and Area B" shifted to the
294 direction of the increase in the hydraulic gradient; in Fig. 8 (b),
295 the flow velocity decreasing state was also more distributed in the
296 upper left, that is, with the increase in the relative density of the
297 sample, the SCHG corresponding to the stable state also increased.

298 The flow velocity gradually decreased over time because of the fine
299 particles inside the sample blocking the pores when they moved, which
300 resulted in a decrease in the permeability of the sample. Since there
301 was no loss of fine particles in the process of blocking the pores
302 with fine particles, the content of the fine particles and relative
303 density of the sample did not change, but the water head loss in the
304 length direction of the sample's seepage diameter increased due to pore

305 blockage, so the hydraulic gradient increased. Therefore, with the
306 gradual increase in the amount of fine particles inside the sample to
307 block the pores, the flow velocity gradually decreased. The movement
308 path of the flow velocity state in the test moved from "Area A" to the
309 right, similar to "Point P" and "Point M" shown in Fig. 8.

310 The flow velocity gradually increased over time because the fine
311 particles inside the sample were washed away from the pores, resulting
312 in greater permeability of the sample. Due to the loss of fine particles,
313 the fine particle content and relative density of the sample gradually
314 decreased, and the water head loss in the length direction of the
315 sample's seepage diameter also decreased, so the hydraulic gradient
316 decreased. Therefore, with the gradual increase in the loss of fine
317 particles inside the sample, the flow velocity increased accordingly.
318 The direction of movement of the flow velocity state in the test was
319 similar to "Point Q" and "Point N" shown in Fig. 8.

320 To further study the relationship between the state of the flow
321 velocity and R_i , the abscissa in Fig. 8 is replaced with R_i and the
322 distribution diagram of the flow velocity state is drawn, as shown in
323 Fig. 9.

324 In Fig. 9, the distribution of the three flow velocity states on
325 R_i and the movement path of the points were the same as those in Fig.

326 8. The distribution law of the stable state of the flow velocity in
327 Fig. 9 was more obvious than that in Fig. 8, as shown in the area
328 between the solid line and the dotted line. It can be seen that with
329 the increase in the fine particle content of the sample, the hydraulic
330 gradient range corresponding to the stable state of the flow velocity
331 gradually became larger; with the increase in the relative density of
332 the sample, the hydraulic gradient range corresponding to the stable
333 state of the flow velocity gradually became larger.

334 The dividing line of "Area A and Area B" in Fig. 9 was basically
335 a straight line. Under the condition that the ratio of the sample is
336 the same or close to that in this paper, the flow velocity state of
337 the sample under the action of a certain SCHG can be roughly obtained
338 in terms of the fine particle content and density of the sample,
339 respectively, as follows:

340 In terms of the fine particle content of the sample:

341 (4) when $R_i < \frac{FC+191}{88}$, the flow velocity was in a decreasing state;

342 (5) when $R_i \geq \frac{FC+191}{88}$, the flow velocity was in a stable state or
343 increasing state;

344 In terms of the relative density of the sample:

345 (6) when $R_i < \frac{D_r+11}{4.889}$, the flow velocity was in a decreasing state;

346 (7) when $R_i \geq \frac{D_r+11}{4.889}$, the flow velocity was in a stable state or

347 increasing state;

348 **3.2.1.2 Piping erosion failure stage**

349 Based on Fig. 9, we further plot the change path of the flow
350 velocity state of the whole process of piping erosion, as shown in Fig.
351 10. Take group Dr0.3 as an example (Fig. 10(a)). Section O~A
352 corresponded to the upstream water head lifting stage and then the
353 water head at point A was fixed, the hydraulic gradient of the sample
354 gradually decreased, and finally, complete piping failure occurred at
355 point D. Point D was located at the junction of the steady state and
356 the descending state of the flow velocity. Combined with Fig. 6, it
357 can be concluded that when $R_{i\max} = 2.38$ (FC18), the flow velocity then
358 went through two stages: the stable stage and then the decreasing stage;
359 when $R_{i\max} > 3$ (other 5 groups), the flow velocity then went through three
360 stages: the increasing stage, then the stabilize stage and finally the
361 decreasing stage. Fig. 6(a) shows that the FC25 group did not
362 experience a significant change in the flow velocity until 80 minutes
363 because the fine particle content was too high, which caused the fine
364 particles to block the pores during the early process of raising the
365 water head. When the water head was raised to a height of 3.1, the
366 larger water flow force suddenly flushed away the fine particles,

367 causing the flow velocity to rapidly increase. Fig. 6(b) shows that
368 point B is the sudden change point of the flow velocity in the Dr0.8
369 group because during the loss of fine particles, the migration of the
370 fine particles caused the pores to clog again until they were flushed
371 out, resulting in an instantaneous increase in the flow velocity.
372 Therefore, the migration of the particles under the SCHG may also
373 experience the movement-blocking-flushing process, similar to that
374 under the CHG.

375 Since the hydraulic gradient in the whole process of piping failure
376 after fixing the water head is SCHG, to further study the effect of
377 the SCHG on the flow velocity in the whole process of piping failure,
378 we plot the variation of the flow velocity with R_i , which is shown in
379 Fig. 11.

380 When fixing the upstream water head, the value of R_i at this time
381 was the maximum, and it can be applicable to both the Dr and FC groups
382 that the greater the value of $R_{i\max}$, the greater the corresponding flow
383 velocity would be when complete piping failure occurred.

384 The dashed line Ln in the increasing stage of the flow velocity is
385 an approximate slope fitting straight line. It can be seen from Fig.
386 11(a) that the smaller the initial relative density is, the larger the
387 value of K_{Ln} , which represents the rate of increase of the flow velocity

388 as R_i decreases. In Fig. 11(b), although the fine particle content of
389 FC10 is smaller than that of FC25, because $R_{i_{max}}$ of FC25 is much larger
390 than that of FC10, which represents the much larger seepage force
391 acting on the sample, the corresponding rate of the increase in the
392 flow velocity is $K_{L4} < K_{L5}$. Combined with Table 3, it can be concluded
393 that when $R_{i_{max}}$ of the different sample are close, the rate of increase
394 of the flow velocity as R_i decreases is related to the relative density
395 of the sample. The smaller the relative density, the greater the rate
396 of increase of the flow velocity. When $R_{i_{max}}$ of the different sample
397 vary greatly, the rate of increase of the flow velocity with the
398 decrease in the value of R_i is related to $R_{i_{max}}$. The greater $R_{i_{max}}$ is, the
399 greater the rate of increase in the flow velocity.

400 3.2.2 Hydraulic conductivity

401 The variation in the hydraulic conductivity with time during the
402 whole piping development is shown in Fig. 12. The hydraulic
403 conductivity went through three stages: the upstream water head lifting
404 stage, increasing stage, and stabilizing stage. During the stage of
405 upstream water head lifting, the change in the hydraulic conductivity
406 is more complicated. During the increasing stages, the increasing rate
407 of the hydraulic conductivity of the three groups of the Dr group (Fig.

408 12 (a)) were almost equal, and Point K in Fig. 12(a) demonstrates the
409 rapid increase in the hydraulic conductivity of Dr0.8 because the fine
410 particles that clogged the pores were instantly washed away. In Fig.
411 12(b), FC10 and FC25 had approximately the same rate of increase in
412 hydraulic conductivity and were both larger than that of FC18.

413 To further study the effect of the SCHG on the hydraulic
414 conductivity during the process of piping development after fixing the
415 upstream water head, the variation in the hydraulic conductivity with
416 R_i is plotted, which is shown in Fig. 13.

417 On[~]An (n=1[~]6) is the upstream water head lifting stage, and the
418 hydraulic conductivity was basically stable with increasing R_i .

419 An[~]Bn is the increasing stage. Fig. 13 (a) shows that $K_{L3} > K_{L1} > K_{L2}$.
420 In Fig. 14 (b), $K_{L6} > K_{L4} > K_{L5}$. Combined with Table 3, it can be concluded
421 that the rate of increase of the hydraulic conductivity with the
422 decrease in the value of R_i is related to $R_{i\max}$, which had a greater
423 impact on the hydraulic conductivity than the initial relative density
424 and initial fine particle content. The greater the value of $R_{i\max}$ is,
425 the greater the rate of increase of the hydraulic conductivity.

426 3.2.3 Loss of fine particles

427 The loss of fine particles is the direct cause of the development

428 and destruction of piping. Due to the continuous loss of fine particles
429 during the failure of piping, the pores inside the sample will increase,
430 which can cause the permeability and flow velocity of the sample to
431 increase. To intuitively reveal the change rule of the fine particle
432 loss amount, three sets of samples of the Dr group with the same initial
433 fine particle content were selected to plot the variation in the fine
434 particle loss amount corresponding to each stage of the whole piping
435 development (Fig. 14).

436 In the whole process of piping failure (Fig. 14), the fine particle
437 loss during the upstream water head lifting was very small, almost
438 zero; the increase stage of the flow velocity was the main stage of
439 fine particle loss, accounting for almost 50% of the total fine
440 particle loss; the amount of fine particle loss during the
441 stabilization stage of the flow velocity was greatly reduced; the
442 amount of fine particles lost during the period of decreasing flow
443 velocity increased again (because the duration of the velocity
444 stabilization stage was much less than that of the velocity increasing
445 stage and decreasing stage); after the sample was completely destroyed,
446 the amount of fine particles that were lost was very small.

447 It is known from the development process of the Dr group (Fig.
448 6(a)) that the duration of the flow velocity stabilization stage is

449 much less than half of the duration of the flow velocity decreasing
450 stage. Therefore, although the fine particle loss in the flow velocity
451 decreasing stage was greater than that in the flow velocity
452 stabilization stage, the loss rate of fine particles in the decreasing
453 stage of the flow velocity is less than that in the stabilization stage.
454 This is because there are many fine particles inside the sample that
455 block the pores during the decreasing stage of flow velocity, so the
456 rate of fine particle loss is reduced. The loss of fine particles in
457 the stage of upstream water head lifting is lower because of the shorter
458 duration of the sample under the SCHG; the loss of fine particles in
459 the stage of complete destruction of the sample is lower because the
460 internal piping channel of the sample is completely formed. At this
461 time, the height of the upstream water head is constant, and the
462 permeability of the sample tends to be stable, so the loss of the fine
463 particles is very small.

464 The order of the mass loss of the fine particles in the three sets
465 of the Dr group during the flow velocity increasing stage was A2> A3>
466 A1. The largest amount of loss of fine particles in Dr0.6 was due to
467 the largest value of $R_{i\max}$; although the value of $R_{i\max}$ of Dr0.3 was greater
468 than that of Dr0.8, the relative density of Dr0.3 was much lower than
469 that of Dr0.8. Therefore, the amount of the loss of fine particles was

470 the smallest. It can be seen that when the relative densities of the
471 samples were the same, the larger the value of $R_{i\max}$ was, the greater
472 the amount of loss of fine particles in the increasing stage of the
473 flow velocity; when the values of $R_{i\max}$ are the same, the larger the
474 relative density of the sample was, the greater the amount of fine
475 particles lost during the increasing stage of flow velocity. The amount
476 of loss of fine particles of the three sets of samples in the flow
477 velocity stabilization stage was exactly the opposite of the flow
478 velocity increasing phase, and the order was $B1 > B3 > B2$. It can be
479 seen that the larger the test value of $R_{i\max}$ was, the smaller the loss
480 of fine particles in the stage of the steady flow velocity. The amount
481 of loss of fine particles in the decreasing stage of the flow velocity
482 was $C3 > C2 > C1$, indicating that the greater the relative density of
483 the sample was, the greater the amount of loss of fine particles in
484 the decreasing stage of the flow velocity.

485 **3.3 Piping erosion test under the action of unsteady flow**

486 To further study the piping erosion mechanism under unsteady flow,
487 since the critical hydraulic gradient of each group of samples has
488 been determined in Table 3, we chose to set 6 groups of SCHGs with
489 different multiples from low to high ($R_i=1.5, 2.0, 2.5, 3.0, 3.5, 4.0$).

490 After the start of the test, the upstream water head was raised from
491 the height when the sample was saturated. We reciprocated lifting and
492 lowering the water head according to the simplified unsteady
493 circulating water head model determined in section 3.3.1 and repeated
494 it three times for the height of each R_i in order from low to high.

495 3.3.1 Establishment of the unsteady water head model

496 To simulate the unsteady water head situation in an actual water
497 conservancy project, the flood peak process line calculated based on
498 the 1994 maximum flood year of the Beijiang River levee (Mao et al.
499 2005;2005;2004) is selected as the prototype of the unsteady water head
500 model in this paper. The experimental unsteady head model is simplified
501 and established according to the following process.

502 The value of 12.33 m (Liang XQ, 1994) was taken as the indoor
503 test peak water level 9 m (Liang XQ, 1994) was taken as the warning
504 water level, and the approximate sine curve of the unsteady head above
505 the warning level was converted into an equivalent stable average
506 water head. As shown in Fig. 15(a), $SA+SB+SC+SD=SB+SE+SF$. The flood
507 peak water level after equivalent transformation lasted for 6 days,
508 and the entire flood peak fluctuation cycle period was $T=11$ days,
509 which is shown in the simplified flood peak process line in Figure

510 15(b).

511 According to the similarity principle (Zhang WJ,2013), it is
512 necessary to make the indoor model test and the real working condition
513 meet the mechanical similarity and use the results of the indoor model
514 test to predict the prototype working condition. We found that
515 Coriolis' law (Mao CX,2013), which is suitable for both the seepage
516 theorem and compressibility, is suitable for estimating the piping
517 test model. The model scales used in this test are as follows:

518 (8) Length scale: $\lambda_L = \frac{L_y}{L_m}$

519 (9) Time scale: $\lambda_t = \frac{t_y}{t_m}$

520 (10) Geometric scale: $\lambda_t = \lambda_L$

521 λ represents the model scales, the subscripts of λ represent the
522 physical quantity, y represents the physical quantity of the
523 prototype, m represents the physical quantity of the model, L
524 represents the length, h represents the height, and t represents the
525 time.

526 Taking a typical place where piping occurs for the Beijiang River
527 levee as an example, the test model is calculated as follows: the
528 distance between the piping place and Beijiang is 100 m, which can be
529 seen as the length of the seepage diameter of the piping, and the
530 length of the seepage diameter designed by the test model is 250 mm.

531 The simplified entire flood peak fluctuation cycle is $T_y = 11$ days.
532 According to (1), (2), and (3), the entire flood peak fluctuation cycle
533 of the test model can be calculated as shown in Table 3.

534 3.3.2 Analysis of the flow velocity

535 The curves according to the relationship between the velocity and
536 time are plotted as shown in Figure 16.

537 First, in terms of 6 different SCHGs (R_i), regardless of which
538 group of samples was selected (except group FC25), the flow velocity
539 would go through three stages of decreasing, stabilizing, and
540 increasing in the whole test process from $R_i=1.5$ to 4 successively. The
541 water head of the FC25 group only increased to the height of $R_i=3.5$
542 because of the excessive large amount of fine particles, so when it
543 was raised to the first five heights of R_i , the fine particles were
544 blocked in the pores. There was no obvious phenomenon, and then when
545 raised to the height of $R_i=4$, the excessive high peak water head height
546 caused the flow velocity to be too large and washed away the fine
547 particles, resulting in extremely poor overall experimental results.
548 Similar to steady flows (section 3.2), it can also be determined that
549 the sample experienced piping erosion failure when the flow velocity
550 was in the increasing state. Compared with the piping erosion test

551 under steady flows, we found that the value of R_i of the occurrence of
552 piping erosion failure of each group of samples under the action of
553 flood peak unsteady flow was smaller than that under the action of
554 steady flow, which was the same as Chen Liang' s article (Chen et al.
555 2013).

556 Second, in terms of the three cycles under each water head height
557 corresponding to R_i , when the flow velocity went through a decreasing
558 state, the initial peak flow velocity of the second head cycle was
559 larger than the final peak flow velocity of the first head cycle, and
560 the initial peak flow velocity of the third head cycle was larger than
561 the final peak flow velocity for the second cycle. Meanwhile, under
562 the same cycle level, the mutations between the flow velocity at the
563 end point of the previous cycle and the starting point of the next
564 cycle were related to the degree of decrease in the flow velocity
565 during the entire cycle. The larger the change in velocity was, the
566 greater the mutations in the velocity of the two adjacent cycles at
567 the end point and the starting point. Similarly, the change in the
568 velocity was smaller, and the continuity of the velocity of the two
569 adjacent cycles at the end and starting point was better. This is
570 because if the flow velocity was decreasing, it meant that there were
571 cases where fine particles migrated inside the sample, resulting in

572 blocked pores, and then water flow opened the pores; the fine particles
573 inside the sample were unstable. At this time, if the water head height
574 suddenly dropped or lifted, due to the impact of the seepage force on
575 the sample, some fine particles that were clogged in the pores were
576 flushed away, so the flow velocity was discontinuous. In addition, in
577 Figure 16(b), the flow velocity in the first cycle of the water head
578 height corresponding to $R_i=4$ of group Dr0.3 increased, but when the
579 water head was raised to the second cycle, the flow velocity was still
580 decreasing first and increasing again; the junction of cycle 2 and
581 cycle 3 of the water height corresponding to $R_i=4$ of figure (d) and (f)
582 experienced similar situations. Due to the cyclic rise and fall under
583 unsteady flow, the flow velocity was relatively unstable in the short
584 time after the water head was raised to the peak height.

585 From the analysis of Figure 16, we can find that the change rate
586 of the peak initial flow rate and the peak end flow rate at the same
587 cycle of the same level of SCHG is not very large, so we averaged the
588 flow velocity and the hydraulic gradient under each cycle of each R_i
589 to further analyze the relationship between the flow velocity and R_i .

590 It can be seen from Fig. 17(a) that $K_{L1} > K_{L2} > K_{L3}$ (L represents the
591 trend line that flow velocity varies with R_i) and that the greater the
592 initial content of fine particles is, the smaller the amplitude of

593 increase in flow velocity with increasing R_i . From Fig. 17(b), we can
594 see that $K_{L4} > K_{L5} > K_{L6}$ and it can be determined that the larger the initial
595 relative density is, the smaller the amplitude of increase in the flow
596 velocity with the increase in R_i .

597 **3.4 Comparison: under steady flow and unsteady flow**

598 Fig. 18 is plotted to compare the flow velocity varying with R_i
599 under the action of unsteady flow and steady flow (W in Fig. 18
600 represents the test under steady flow and F in Fig. 18 represents the
601 test under unsteady flow).

602 It can be seen from Figure 18 (d), (e) and (f) that the relative
603 position of the trend line L of the flow velocity varying with R_i under
604 the unsteady flow test and the fixed peak water head height point A
605 under the steady flow test were related to the relative density of the
606 sample. When the relative density of the sample gradually increased,
607 the flow rate change trend line L gradually moved from the upper left
608 side to the lower right side of point A.

609 However, in Figure 18 (b) (f), it can be seen that the flow velocity
610 under steady flow (point A) was higher than that under unsteady flow
611 (point B) when the water head heights of the corresponding R_i values
612 were nearly equal. This experimental phenomenon was due to the upstream

613 water head lifting and falling rate of the unsteady flow piping test
614 being greater than that of the steady flow piping test. If the fine
615 particle content of the sample was higher or the degree of relative
616 density was higher, when the upstream water head height was suddenly
617 raised, a large number of fine particles inside the sample began to
618 migrate, causing the phenomenon of fine particles blocking the pores,
619 so the flow velocity was less than that under steady flow.

620 **Conclusions**

621 Based on the homemade test device, a series of laboratory tests
622 were conducted to study the piping erosion mechanism of gap-graded
623 soils under a supercritical hydraulic gradient. We defined the
624 supercritical ratio R_i and studied the law of the flow velocity and
625 other parameters varying with R_i . The following main conclusions were
626 drawn as follows:

- 627 1. Through the 90-minute steady water head piping erosion test under
628 different supercritical water head heights, the change in the flow
629 velocity exhibited the following sequence: decreasing state, stable
630 state, and increasing state. According to the distribution of the
631 flow velocity state with the change in R_i , a formula for determining
632 the flow velocity state of the sample with R_i according to the fine

633 particle content and relative density of the sample was proposed.

634 2. During the piping erosion failure process after the upstream water
635 head was fixed, the change in the flow velocity with time
636 successively went through an increasing stage, stable stage and
637 decreasing stage, and the influence of $R_{i\max}$ on the rate at which the
638 flow velocity of the sample increased as R_i decreased was greater
639 than that of the initial relative density and the initial fine
640 particle content of the sample. The change in the hydraulic
641 conductivity with time successively went through an increasing stage
642 and stable stage. The rate of increase of the hydraulic conductivity
643 with the decrease in R_i was related to $R_{i\max}$, which had a greater
644 impact on hydraulic conductivity than the effect of the initial
645 relative density and initial fine particle content of the sample on
646 the hydraulic conductivity.

647 3. During the piping erosion failure process, the increasing flow
648 velocity stage was the main stage of fine particle loss, accounting
649 for 50% of the total fine particle loss; although the cumulative
650 loss of fine particles in the flow velocity decreasing stage was
651 greater than that in the flow velocity stable stage, the rate of
652 fine particle loss in the flow velocity decreasing stage was less
653 than that in the flow velocity stable stage. When the relative

654 densities of the samples were the same, the greater the value of R_i
655 was, the greater the loss of fine particles during the flow velocity
656 increasing stage; when the values of R_i were the same, the greater
657 the relative density of the sample was, the greater the loss of
658 fine particles during the flow velocity increasing stage.

659 4. During the piping erosion test under the action of unsteady flow,
660 under the supercritical water head height corresponding to the same
661 R_i values, the peak flow velocity between adjacent water head cycles
662 was discontinuous, and the sudden change in the peak flow velocity
663 depended on the amplitude of the increase or decrease in the flow
664 velocity at this water head height. Through the relationship between
665 the average value of the peak flow velocity and R_i , it could also
666 be determined that the larger the initial relative density was, the
667 smaller the amplitude of increase in flow velocity with increasing
668 R_i .

669 5. Compared with the piping erosion test under steady flow, at the
670 same supercritical water head height corresponding to R_i , the flow
671 velocity under unsteady flow was not always greater than the flow
672 velocity under steady flow. For samples with more fine particles or
673 a larger relative density, the sudden increase in the upstream water
674 head would cause the migration of a large number of fine particles

675 and block the pores, which would lead to a lower flow velocity than
676 that under steady flow.

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679 from the National Natural Science Foundation of China (Grant No.
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683 **Data Availability Statement**

684 Some or all data, models, or code that support the findings of
685 this study are available from the corresponding author upon reasonable
686 request.

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Fig. 1. Size distribution of the samples

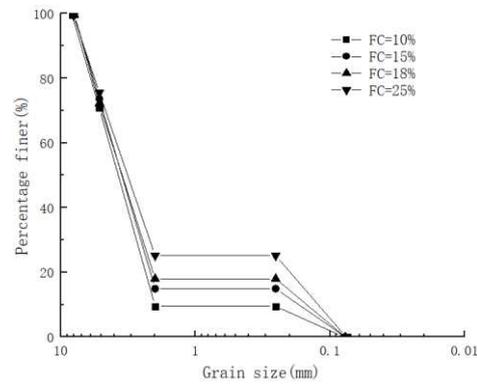
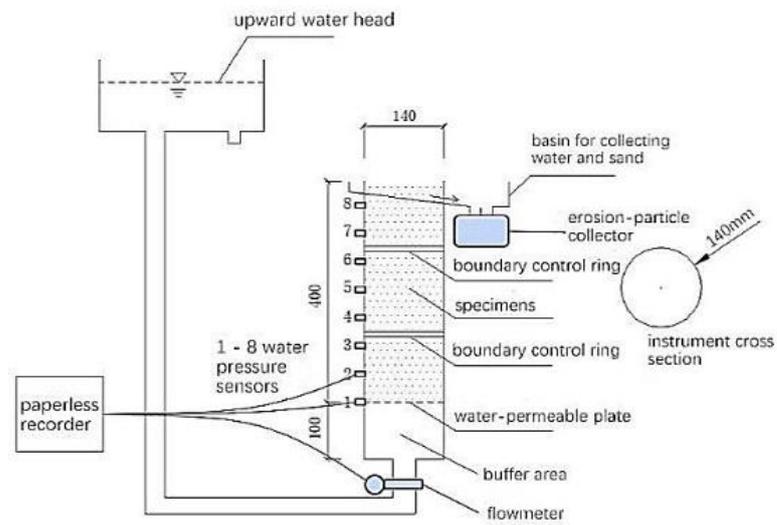


Fig. 2. Schematic diagram of the piping device: (a) Apparatus of the model tests and (b) Data acquisition system.

(a)



(b)

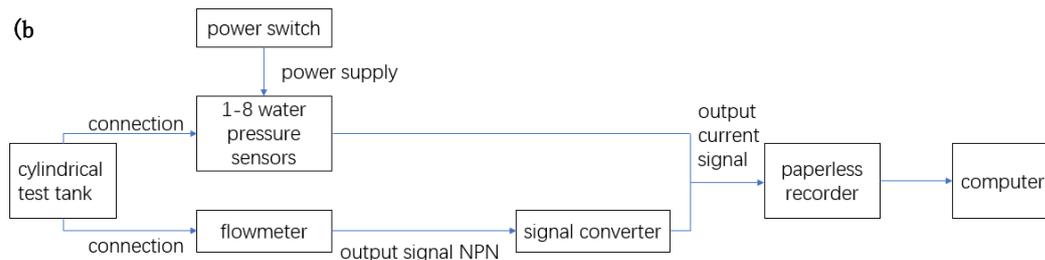


Fig. 3. Dr0.6 saturation sample: (a) Top surface of the sample and (b) Side of the sample.



Fig. 4. Dr0.6 upstream water head lifting stage: (a) Changes in the seepage quantity and pore pressure; (b) Point A (26 min); (c) Point B (32 min); (d) Point C (47 min); (e) Point D (57 min).

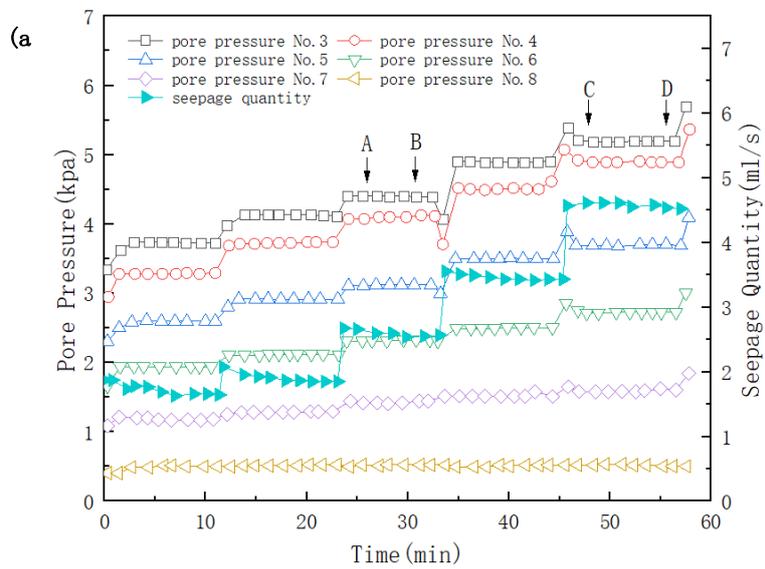
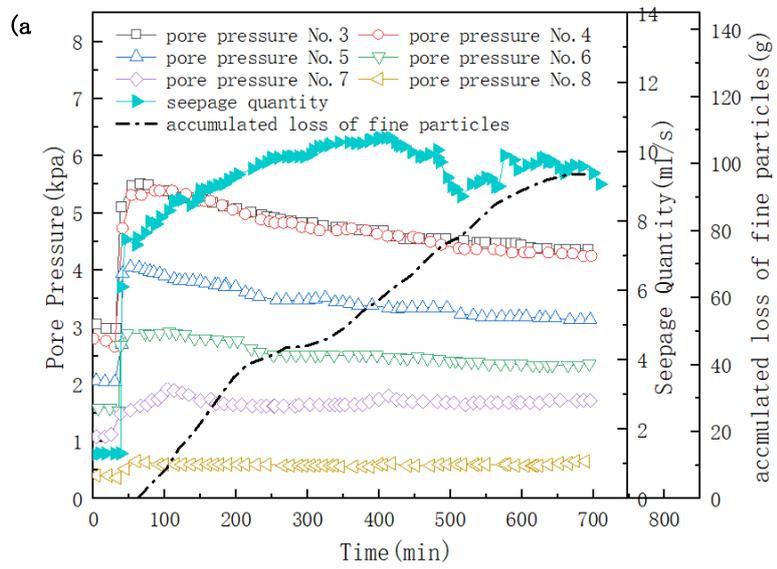




Fig. 5. Process of Dr0.6 piping failure: (a) Changes in the seepage quantity and pore pressure; (b) Point E (193 min); (c) Point F (268 min); (d) Point G (575 min); (e) Point H (695 min); (f) Side of the sample (695 min).



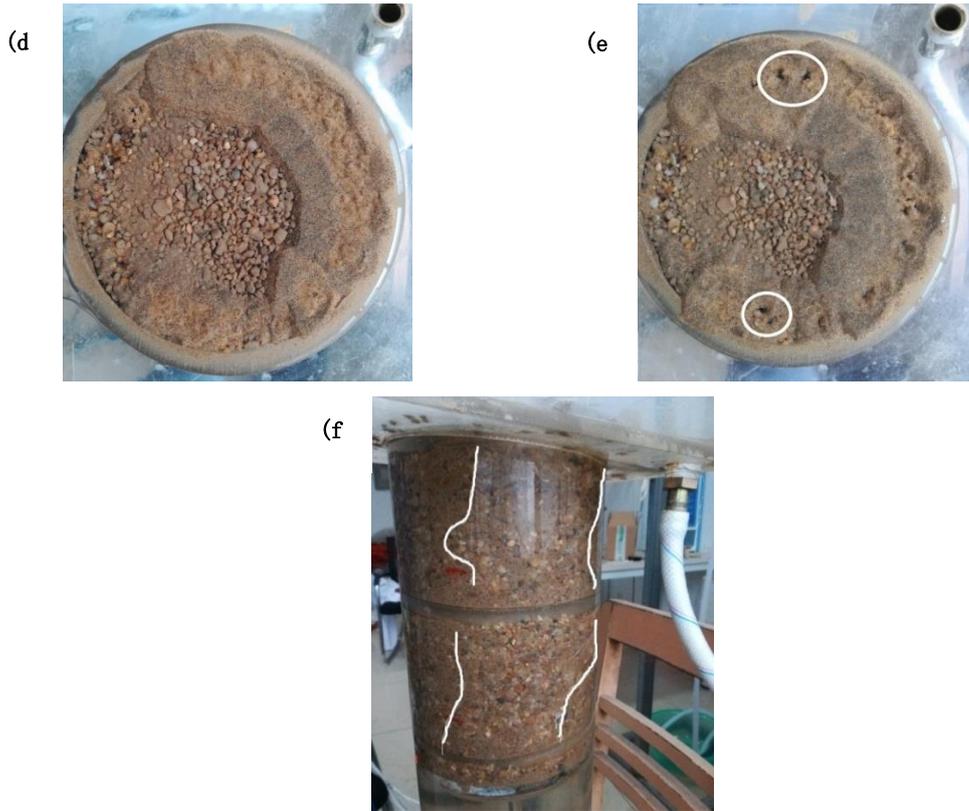
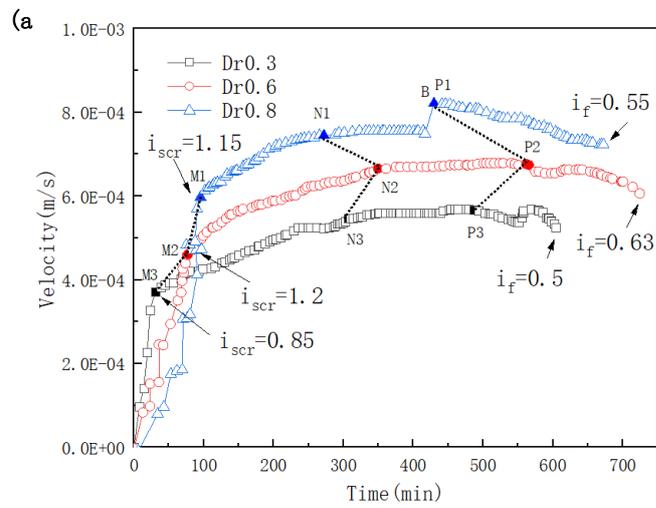


Fig. 6. Variation in the velocity with time: (a) Dr group and (b) FC group.



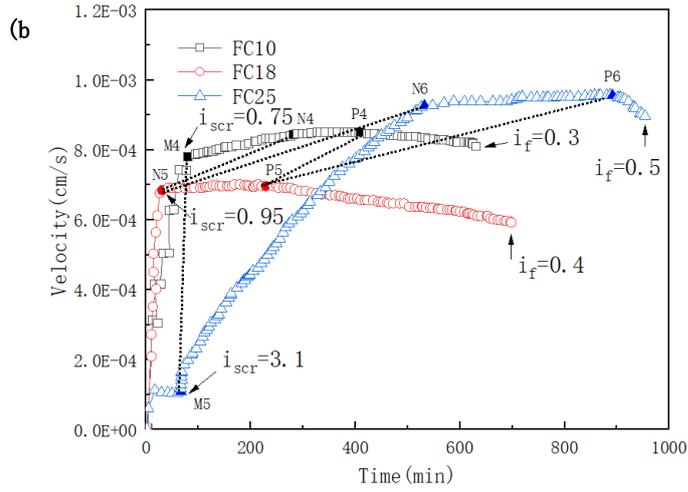


Fig. 7. Dr0.6 variation in the velocity with time

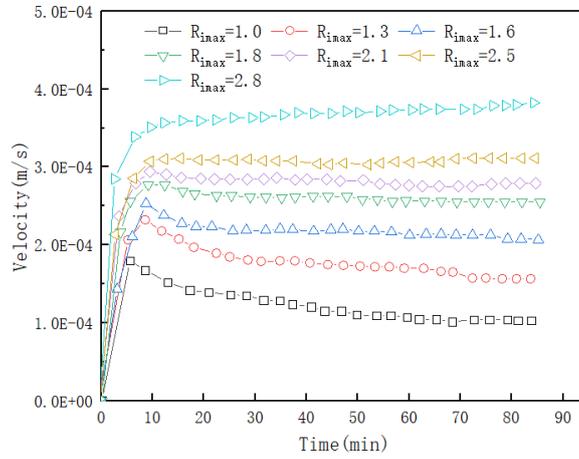
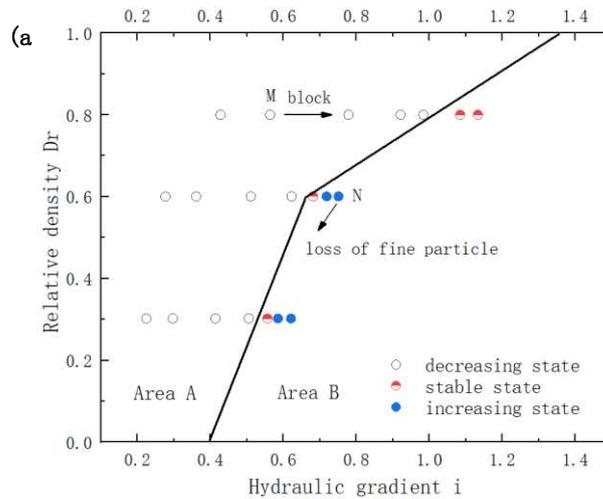


Fig. 8. Distribution of the flow velocity state with the change in various SCHGs: (a) Dr group and (b) FC group.



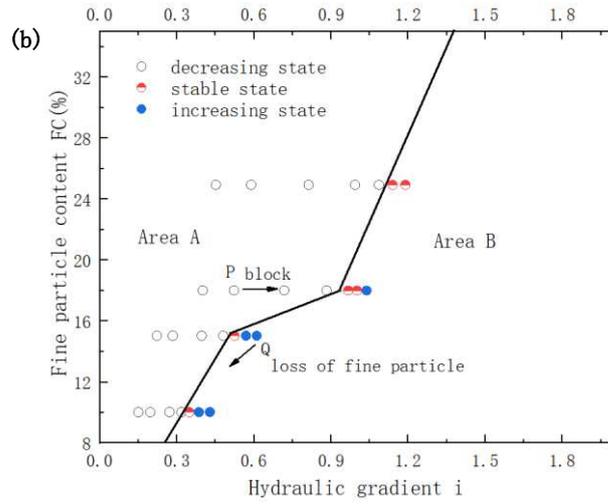


Fig. 9. Distribution of the flow velocity state with the change in R_i : (a) Dr group and (b)

FC group.

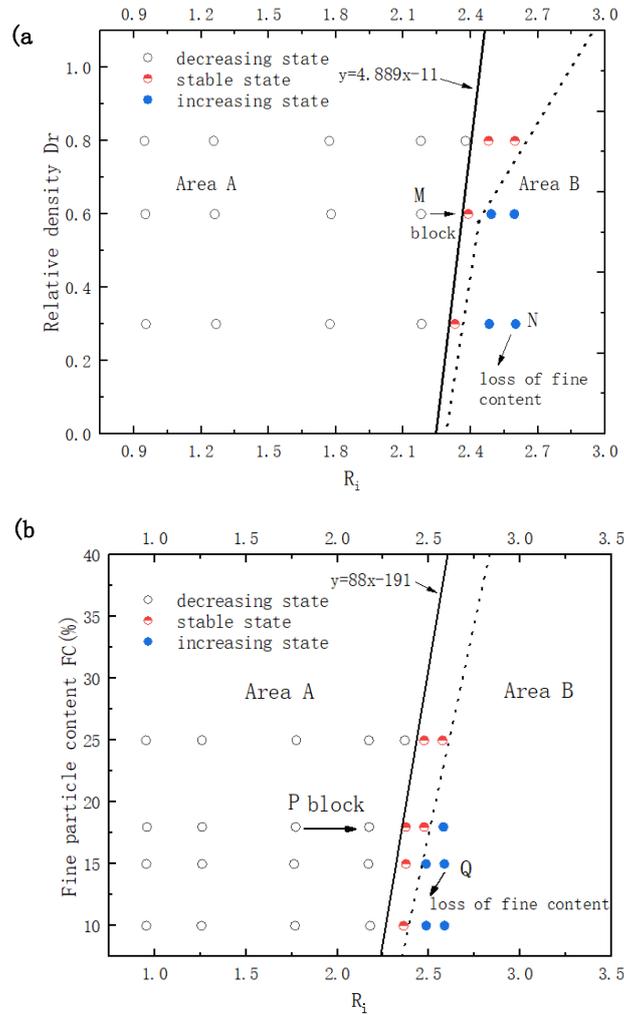


Fig. 10. Change path of the flow velocity state during the whole process of piping erosion:

(a) Dr group and (b) FC group.

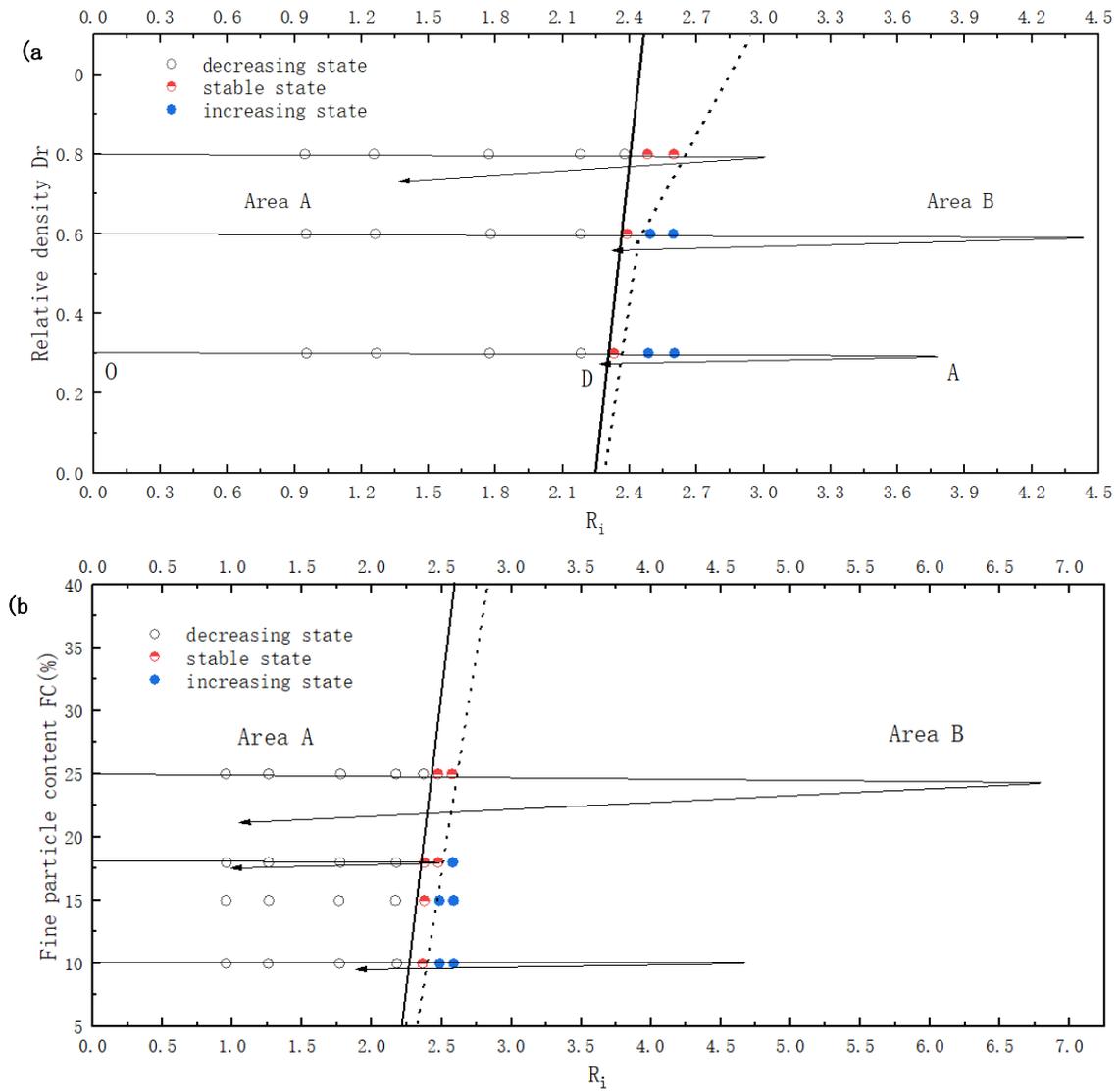
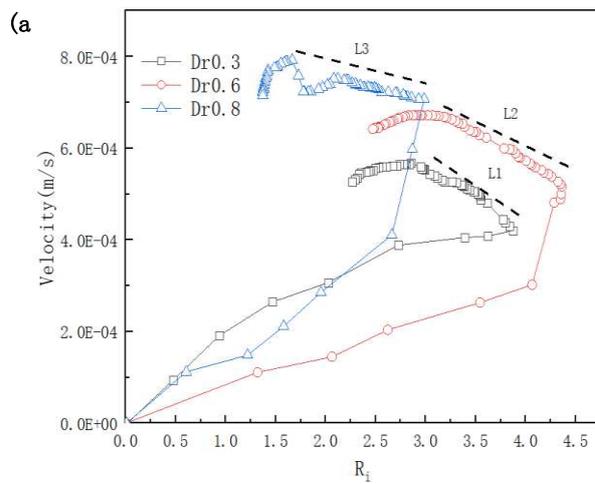


Fig. 11. Variation in the velocity with R_i : (a) Dr group and (b) FC group.



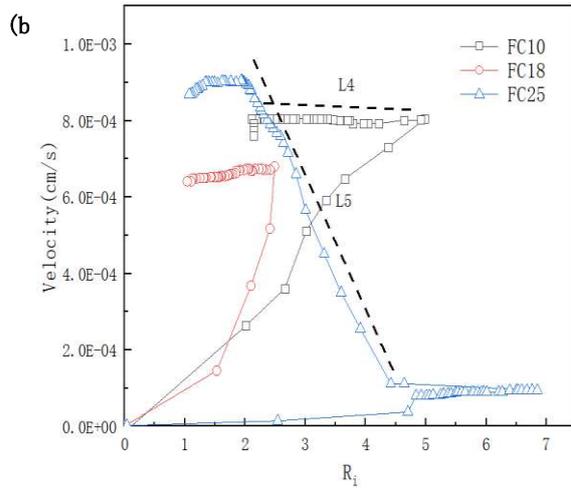


Fig. 12. Variation in the hydraulic conductivity with time: (a) Dr group and (b) FC group.

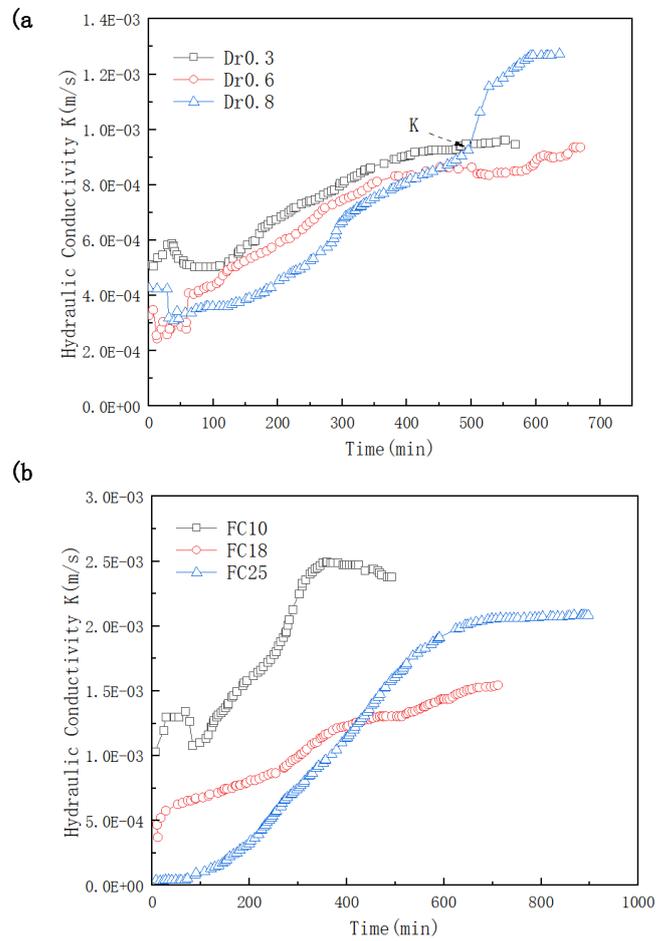


Fig. 13. Variation in the hydraulic conductivity with R_i : (a) Dr group and (b) FC group.

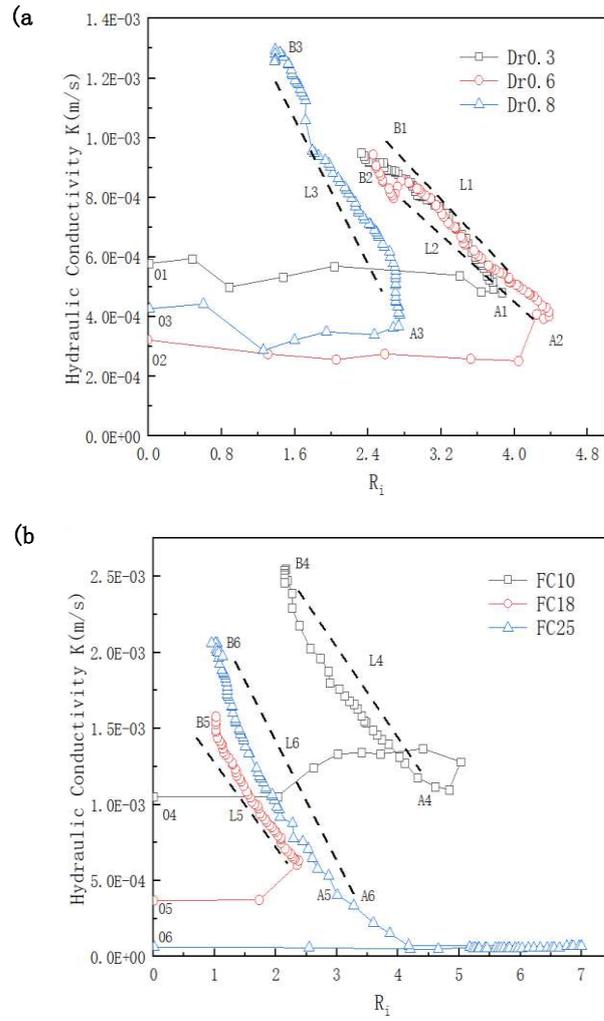


Fig. 14. Loss of fine particles in different stages of piping erosion

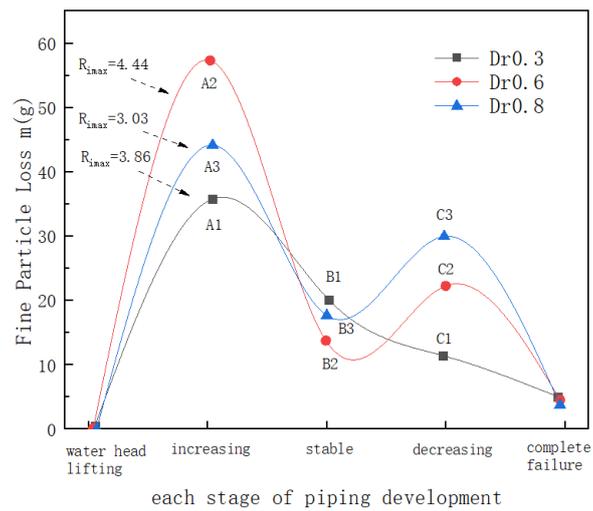


Fig. 15. Flood peak process line: (a) Real flood peak process line and (b) Simplified flood peak process line.

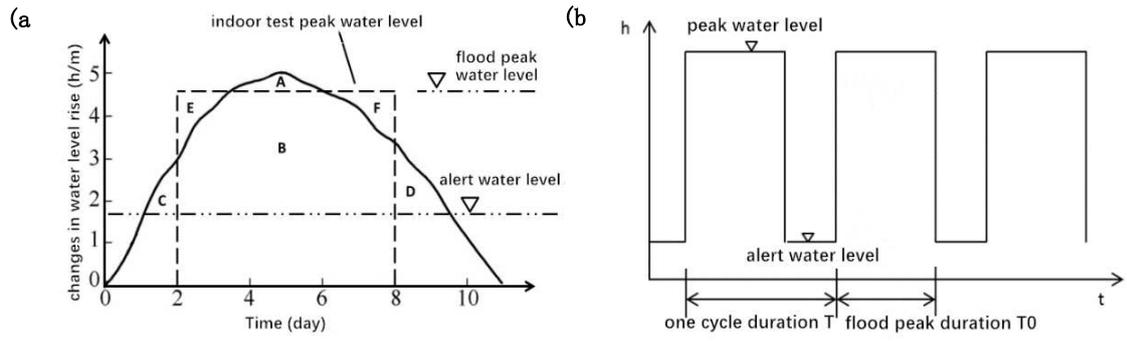
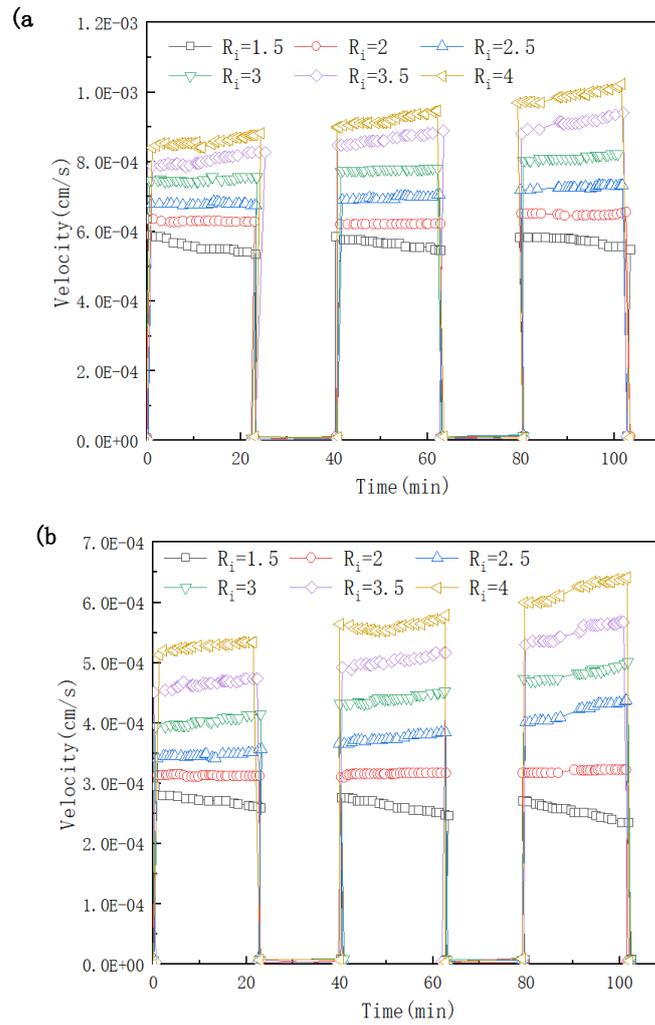
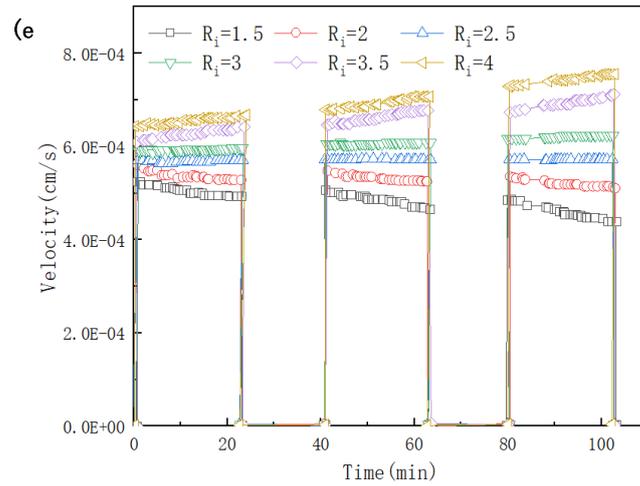
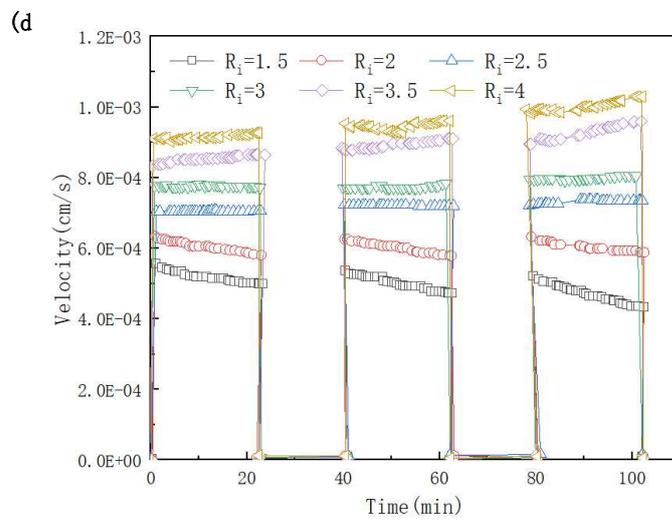
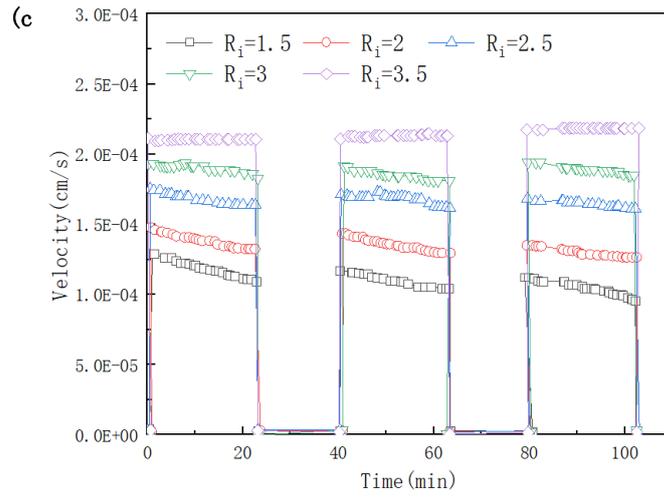


Fig. 16 Variation in the flow velocity with time: (a) FC10 group; (b) FC18 group; (c) FC25 group; (d) Dr0.3; (e) Dr0.6; (f) Dr0.8.





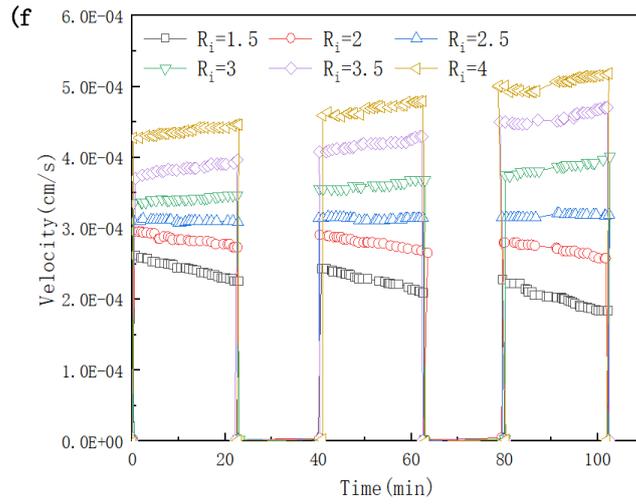


Fig. 17 Variation in the velocity with R_i : (a) FC group and (b) Dr group.

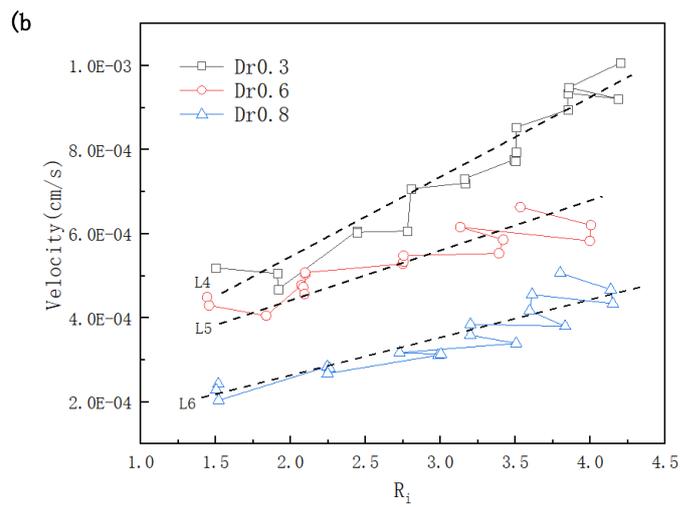
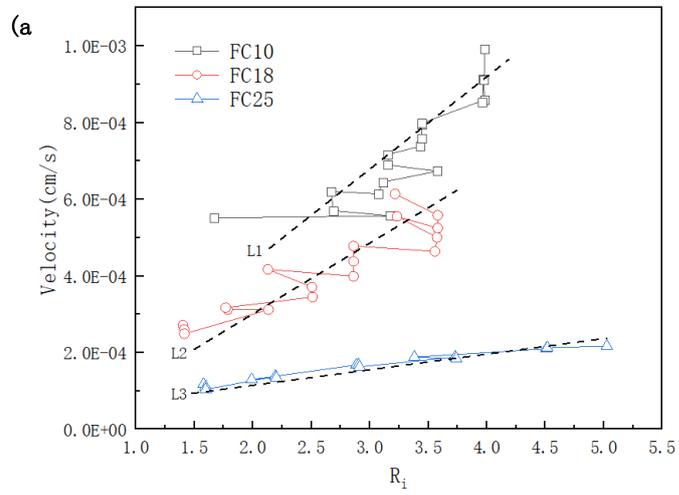
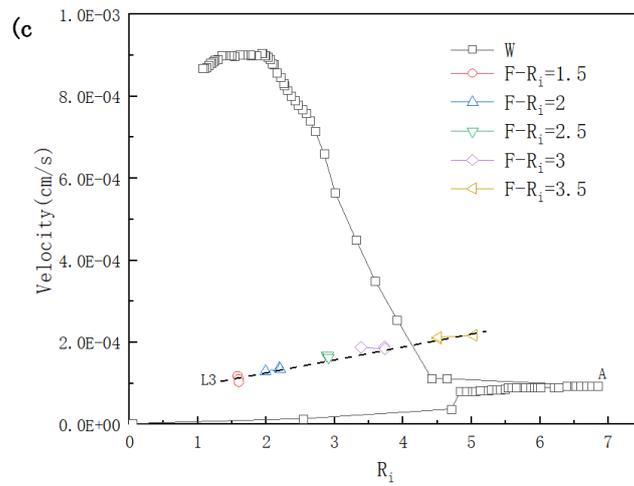
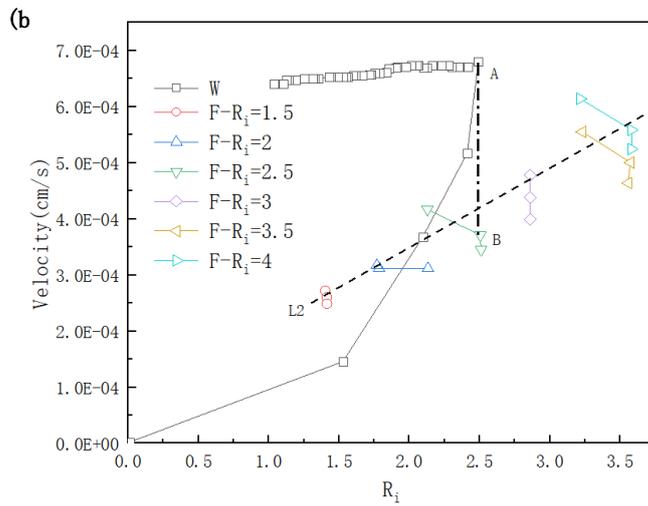
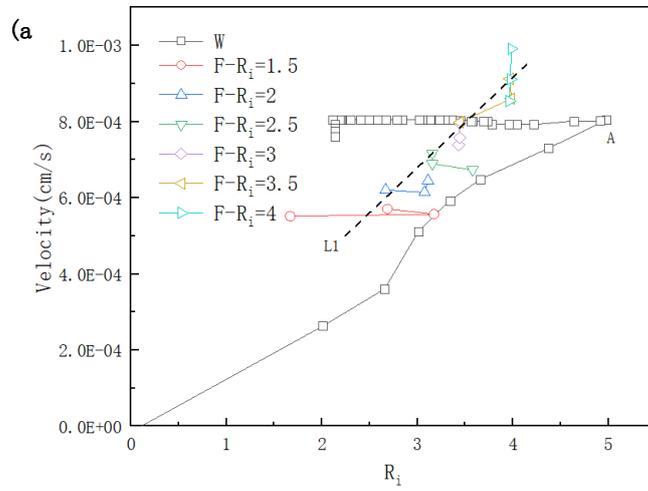


Fig. 18 Comparison of the variation in the flow velocity with R_i : (a) FC10 group; (b) FC18 group; (c) FC25 group; (d) Dr0.3 group; (e) Dr0.6 group; (f) Dr0.8 group.



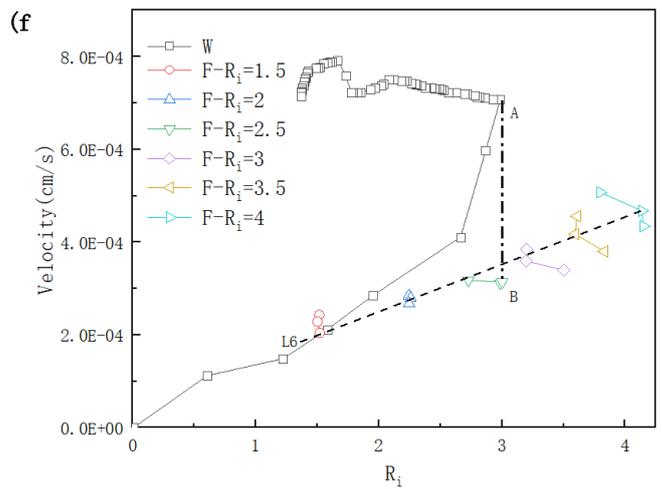
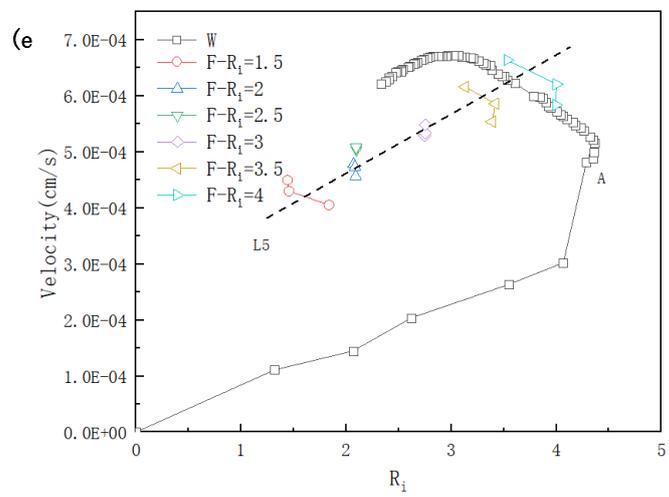
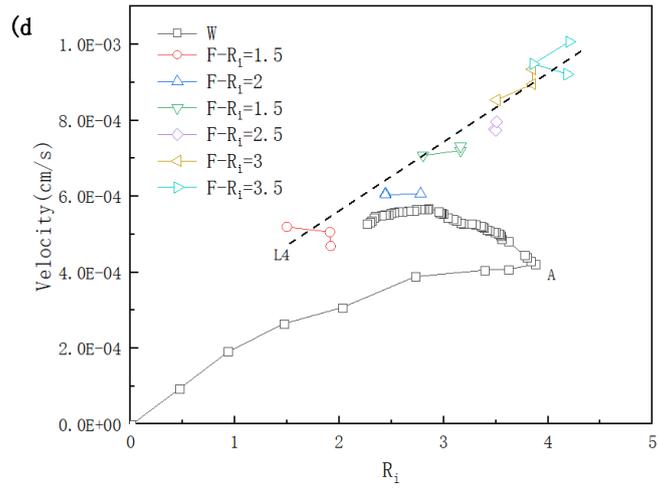


Table 1 Test scheme and materials physical properties

Sample	Number	Initial	Maximum	Minimum	Initial	Length L (cm)	Specific gravity Gs
		finest content FC (%)	void ratio e_{max}	void ratio e_{min}	relative density Dr		
FC	FC10	10%	0.59	0.39	0.3	25	2.70
	FC18	18%	0.54	0.28	0.3	25	2.70
	FC25	25%	0.50	0.26	0.3	25	2.70
Dr	Dr0.3	15%	0.56	0.29	0.3	25	2.70
	Dr0.6	15%	0.56	0.29	0.6	25	2.70
	Dr0.8	15%	0.56	0.29	0.8	25	2.70

Table 2 Fixed SCHG i_{scr} , failure hydraulic gradient i_f and CHG of the sample

Sample	i_{scr}	i_f	i_{cr}	$R_{imax}=i_{scr}/i_{cr}$
Dr0.3	0.85	0.5	0.22	3.86
Dr0.6	1.2	0.63	0.35	4.44
Dr0.8	1.15	0.55	0.4	3.03
FC10	0.75	0.3	0.16	4.69
FC18	0.95	0.4	0.4	2.38
FC25	3.1	0.5	0.45	6.89

Table 3 The circulating water head duration of the model

Seepage length Lm (mm)	Time scale λ_t	Flood peak water	Alerted water	Entire cycle duration Tm (min)
		level duration Tm0 (min)	level duration Tm1 (min)	
250	400	22	18	40

Figures

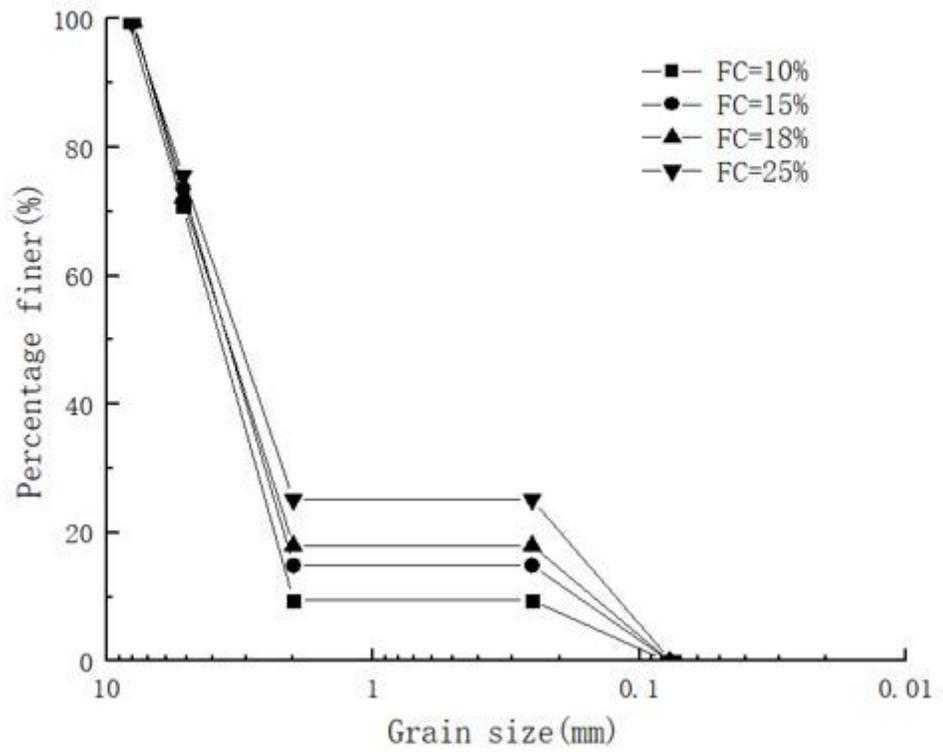


Figure 1

Size distribution of the samples

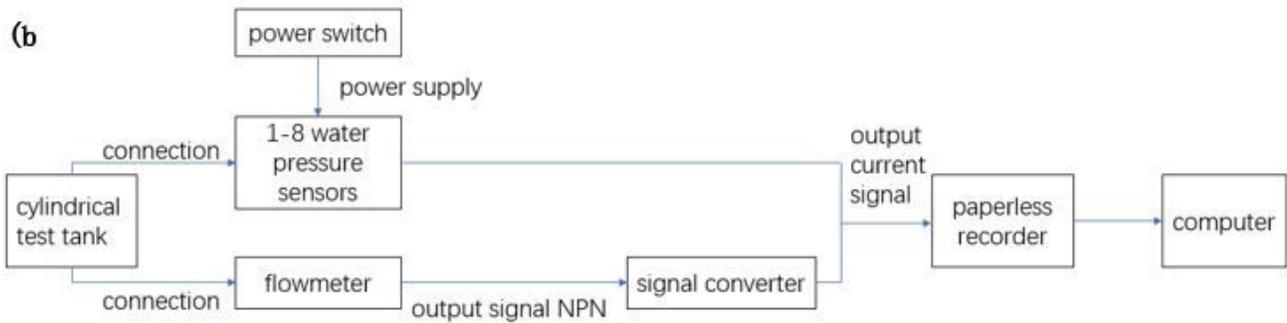
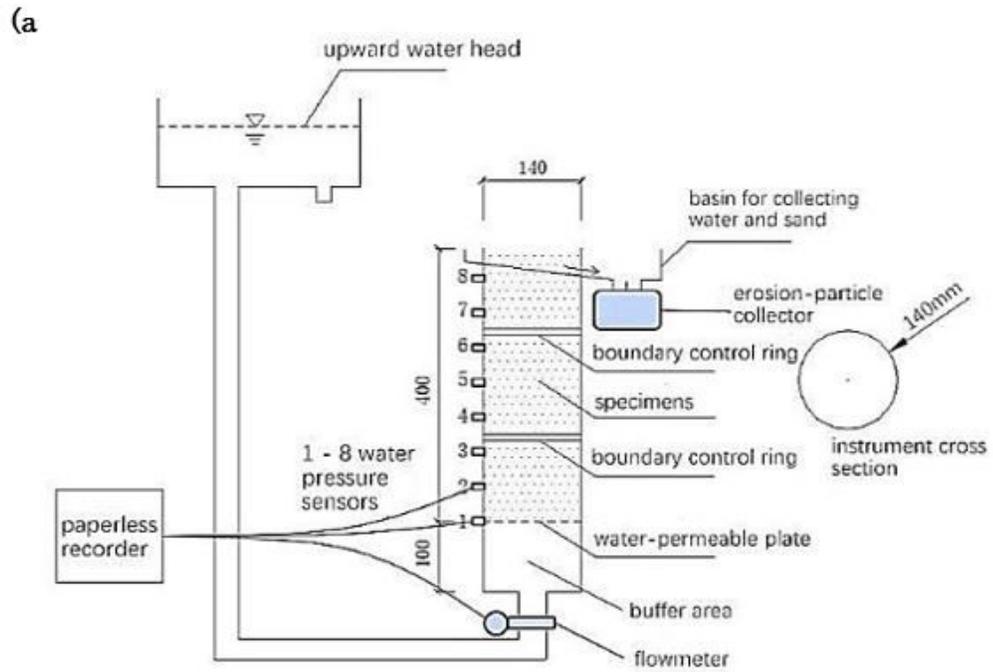


Figure 2

Schematic diagram of the piping device (a) Apparatus of the model tests and (b) Data acquisition system.

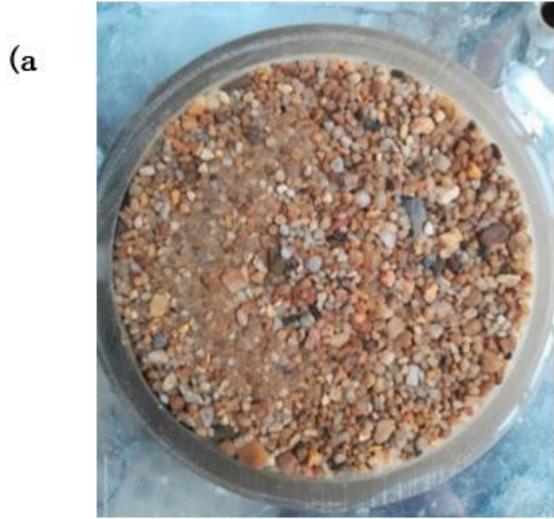


Figure 3

Dr0.6 saturation sample: (a) Top surface of the sample and (b) Side of the sample.

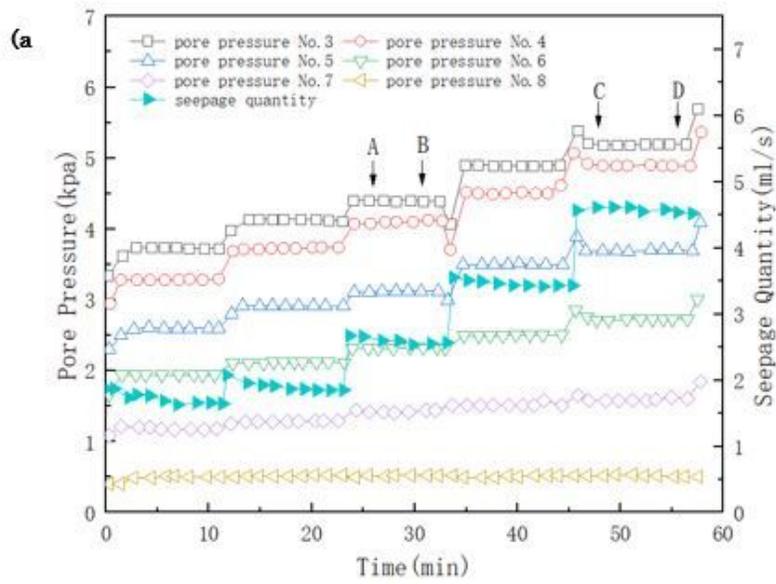


Figure 4

Dr0.6 upstream water head lifting stage: (a) Changes in the seepage quantity and pore pressure; (b) Point A (26 min); (c) Point B (32 min); (d) Point C (47 min); (e) Point D (57 min).

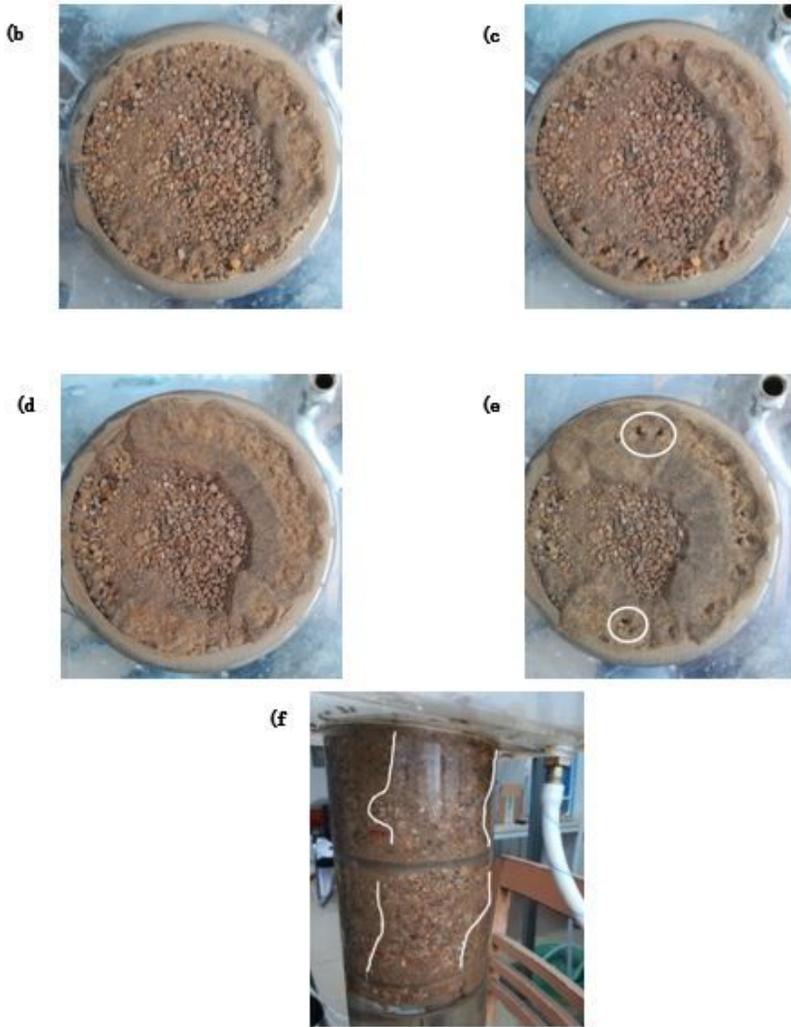
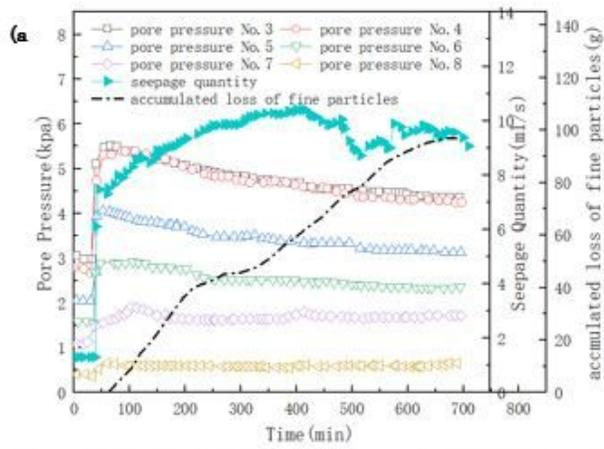
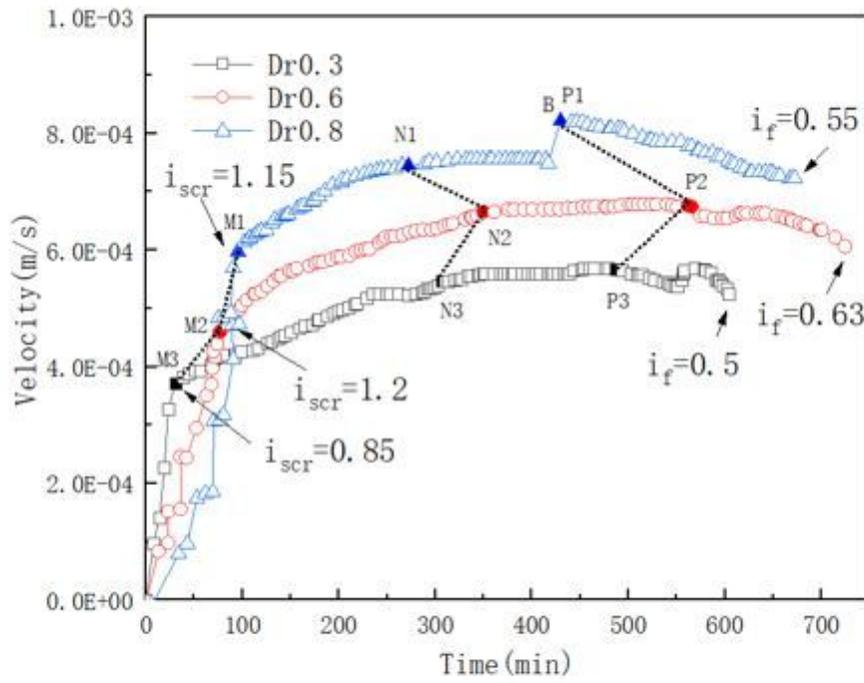


Figure 5

Process of Dr0.6 piping failure: (a) Changes in the seepage quantity and pore pressure; (b) Point E (193 min); (c) Point F (268 min); (d) Point G (575 min); (e) Point H (695 min); (f) Side of the sample (695 min).

(a)



(b)

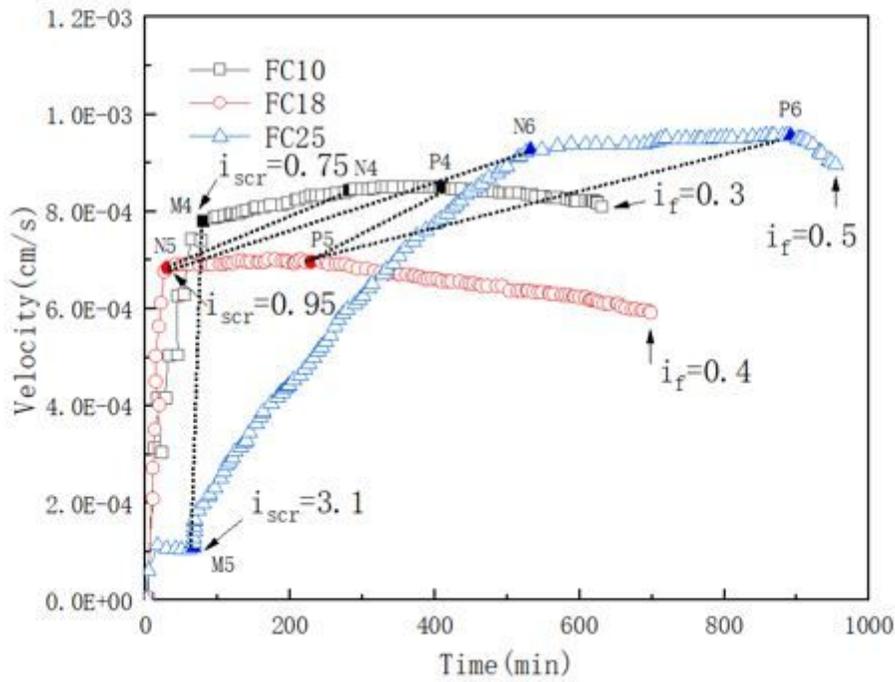


Figure 6

Variation in the velocity with time: (a) Dr group and (b) FC group.

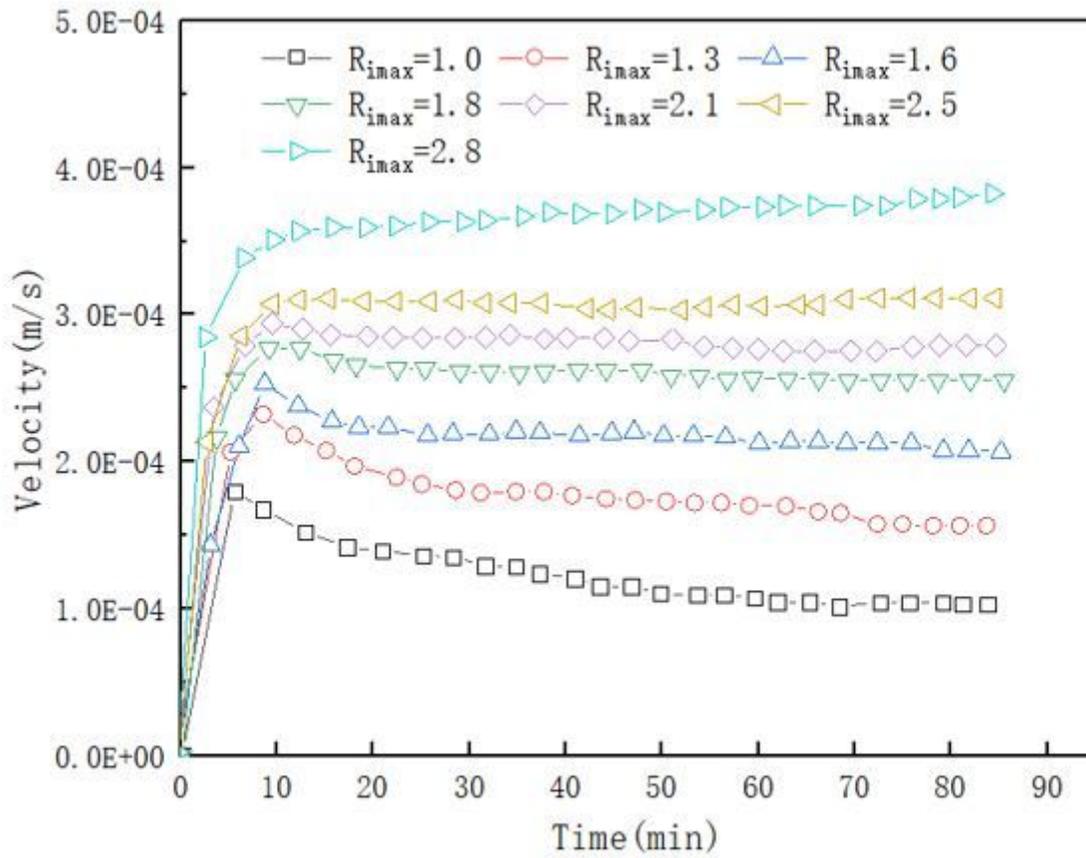


Figure 7

Dr0.6 variation in the velocity with time

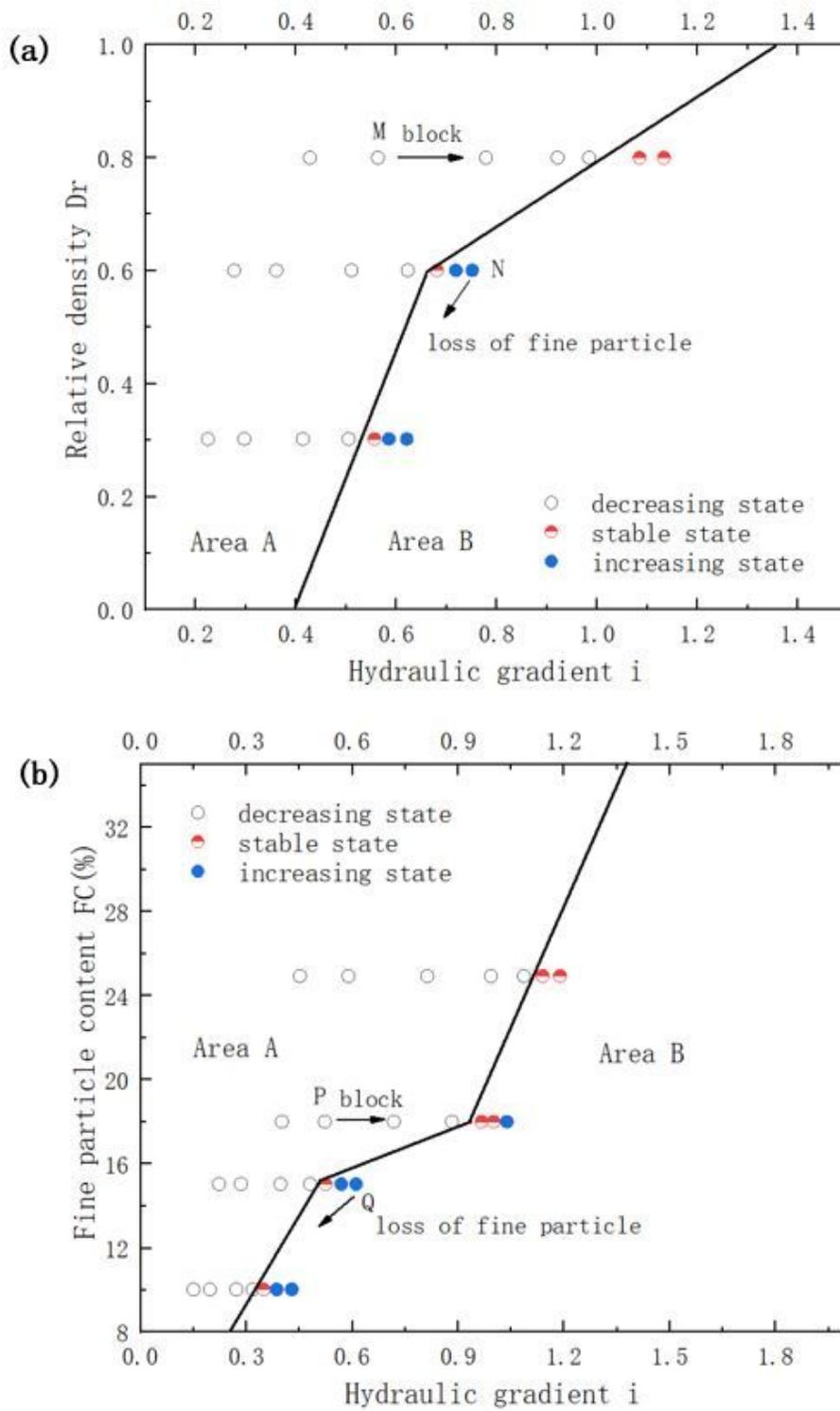


Figure 8

Distribution of the flow velocity state with the change in various SCHGs: (a) D_r group and (b) FC group.

FC group.

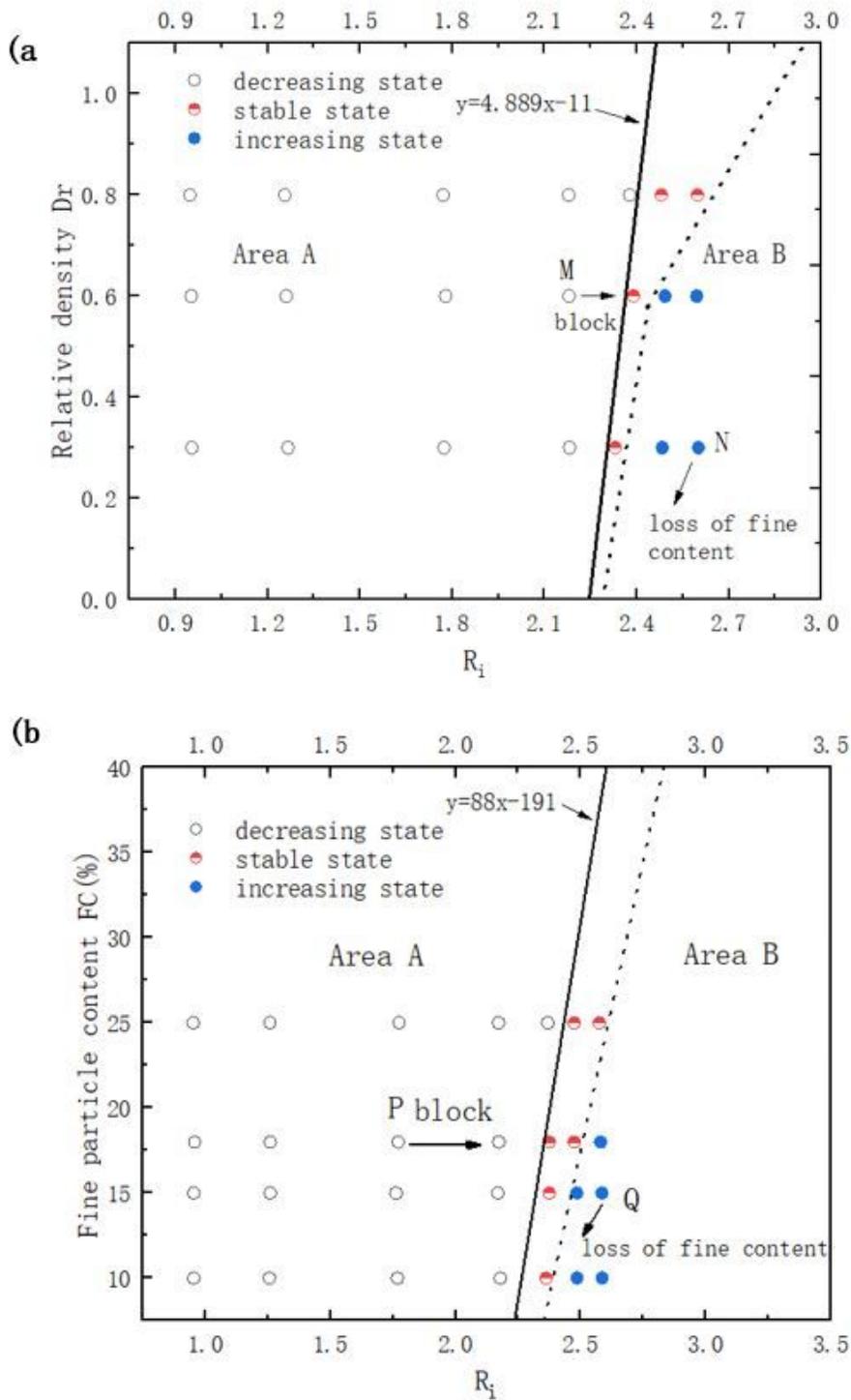


Figure 9

Distribution of the flow velocity state with the change in R_i : (a) Dr group and (b) FC group.

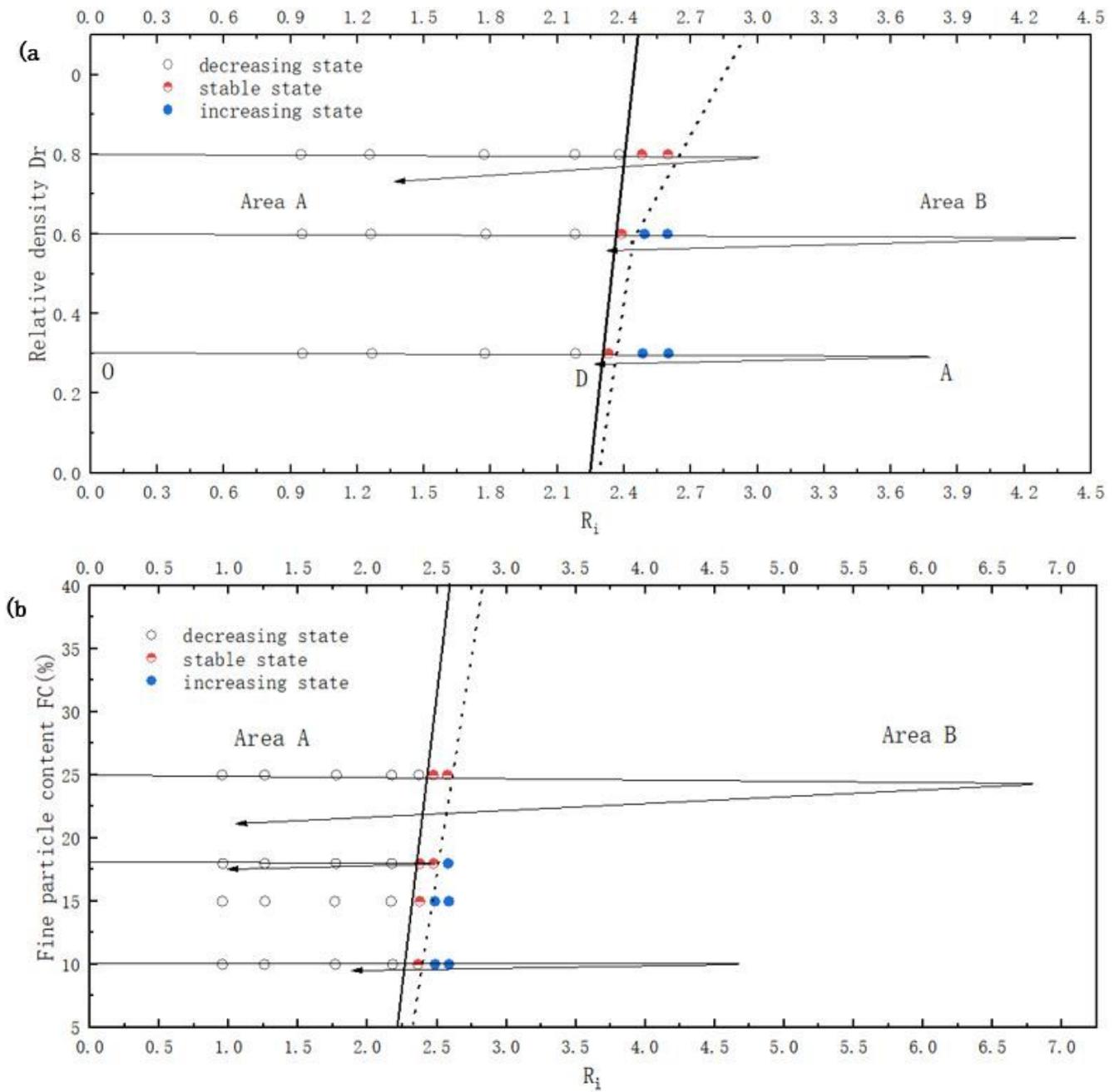


Figure 10

Change path of the flow velocity state during the whole process of piping erosion: (a) Dr group and (b) FC group.

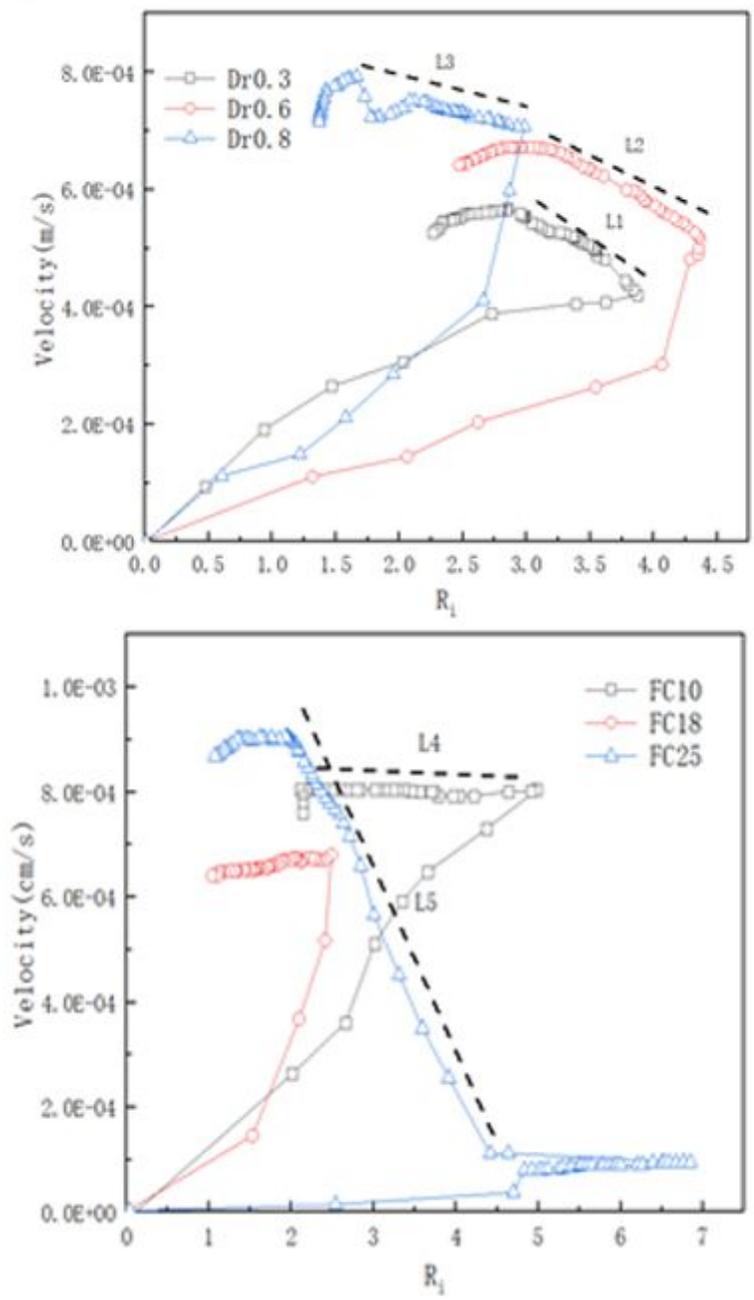


Figure 11

Variation in the velocity with R_i : (a) Dr group and (b) FC group.

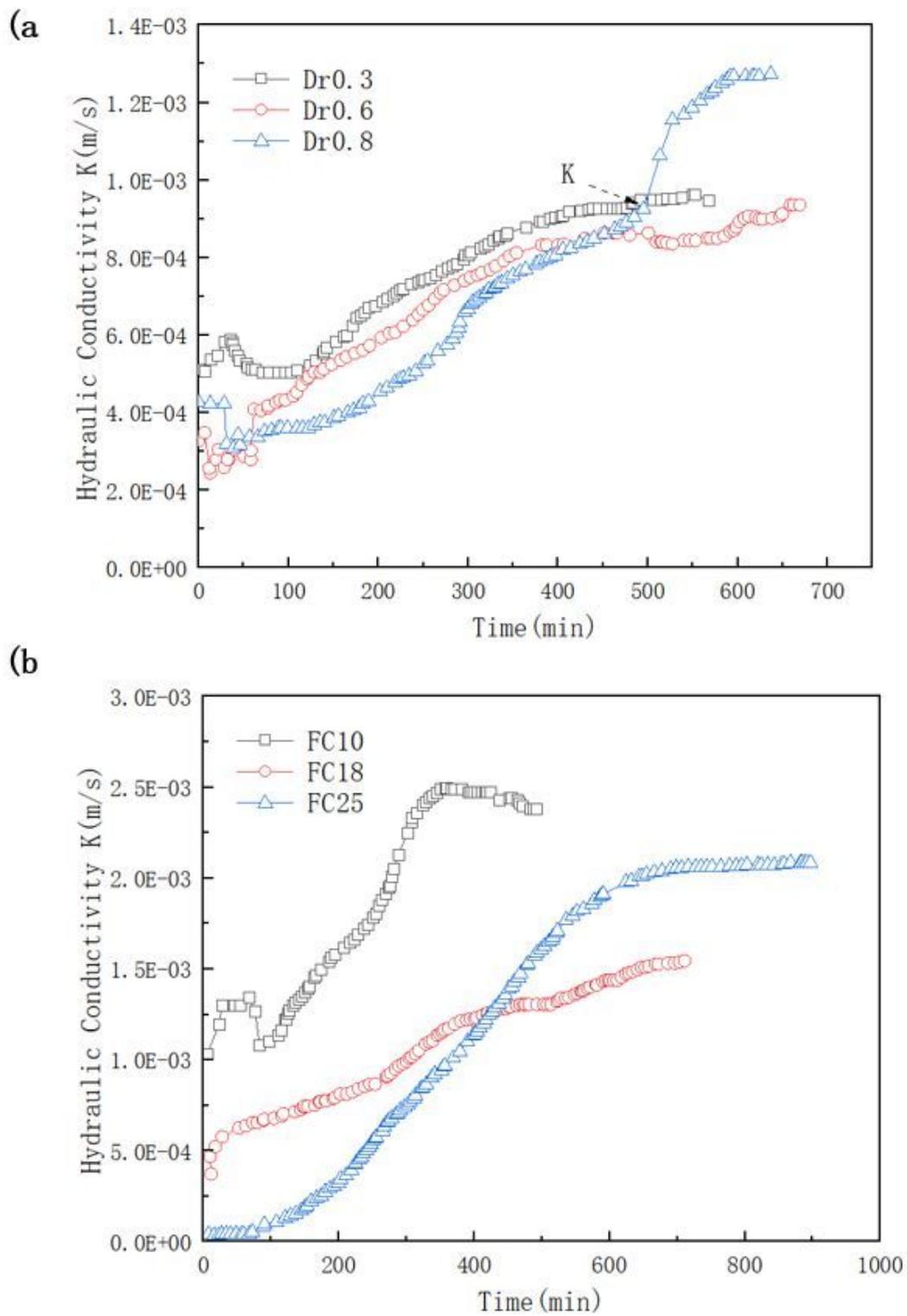


Figure 12

Variation in the hydraulic conductivity with time: (a) Dr group and (b) FC group.

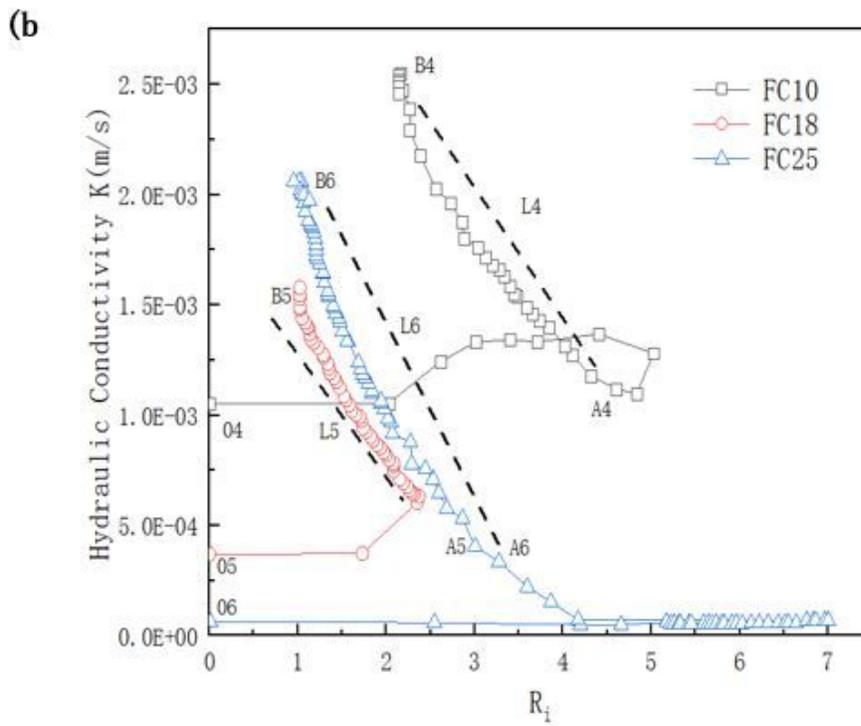
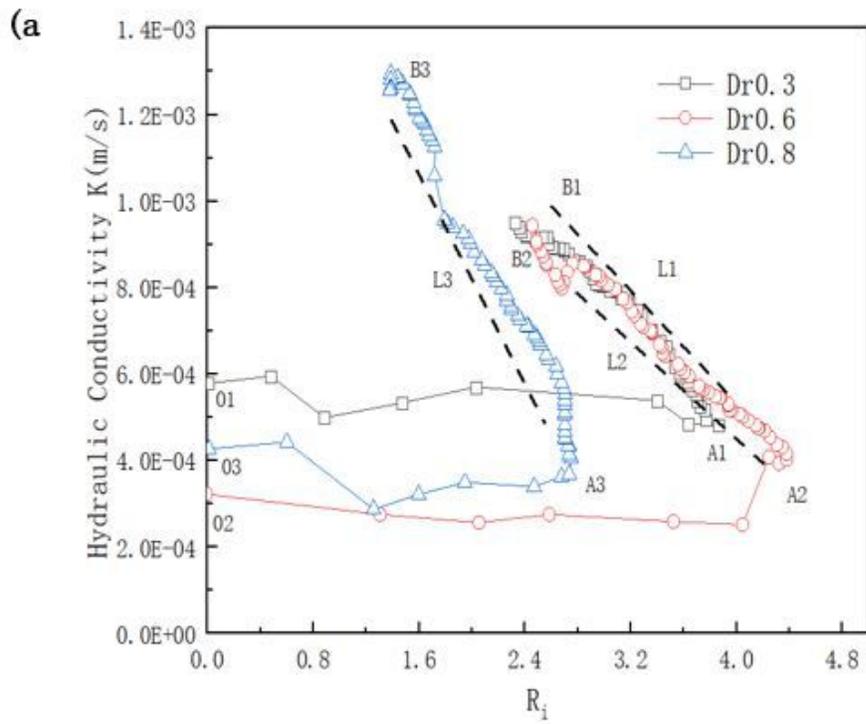


Figure 13

Variation in the hydraulic conductivity with R_i : (a) Dr group and (b) FC group.

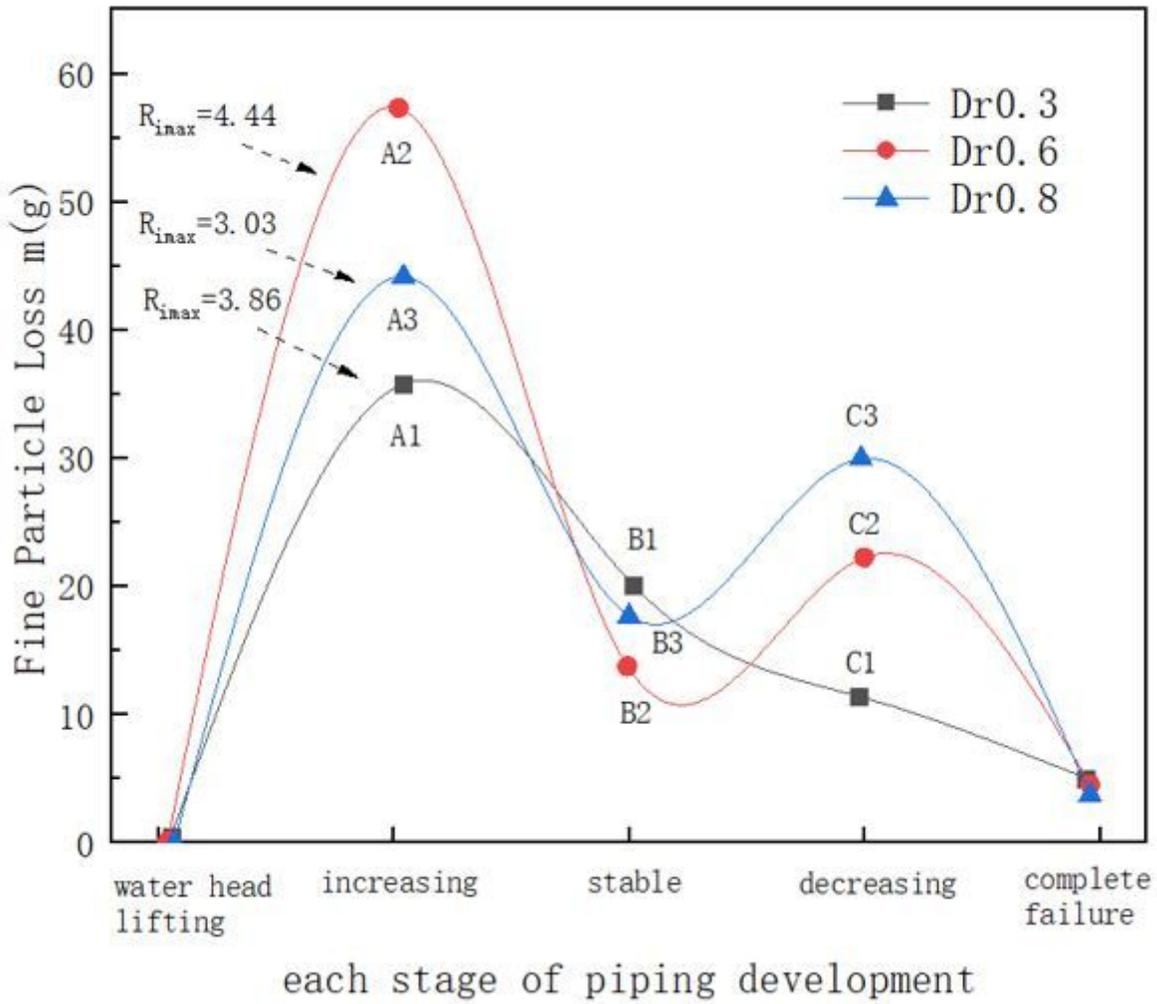


Figure 14

Loss of fine particles in different stages of piping erosion

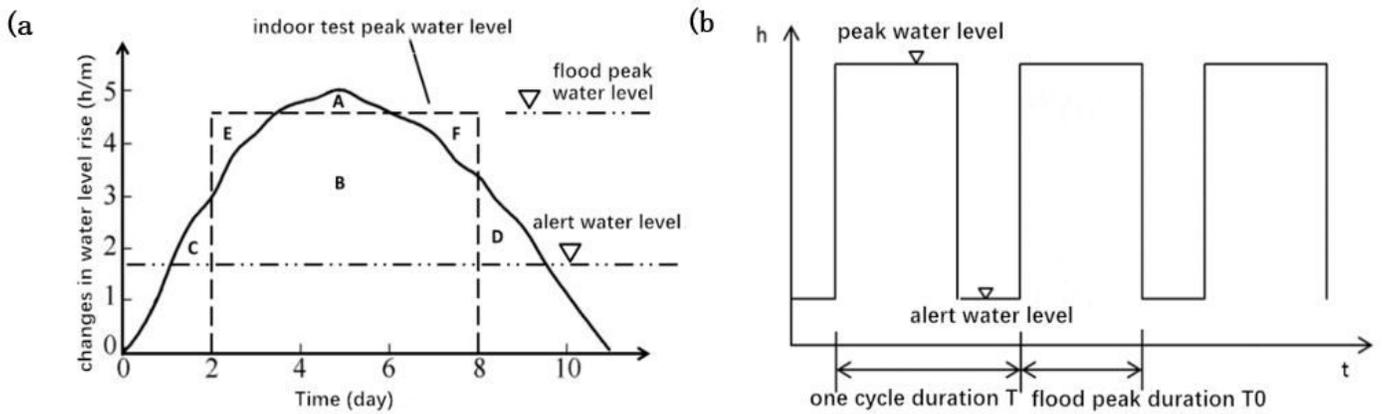


Figure 15

Flood peak process line: (a) Real flood peak process line and (b) Simplified flood peak process line.

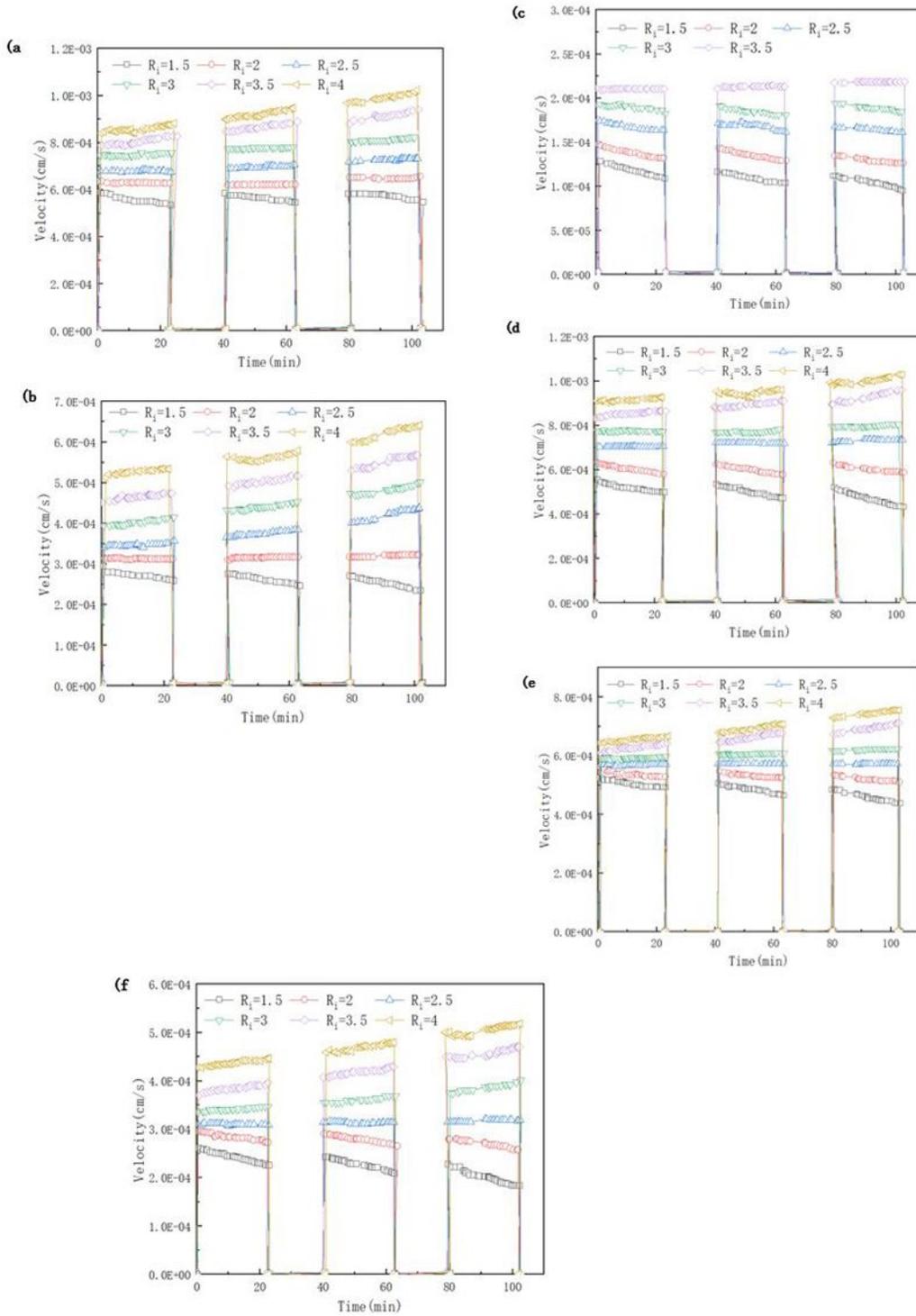


Figure 16

Variation in the flow velocity with time: (a) FC10 group; (b) FC18 group; (c) FC25 group; (d) Dr0.3; (e) Dr0.6; (f) Dr0.8.

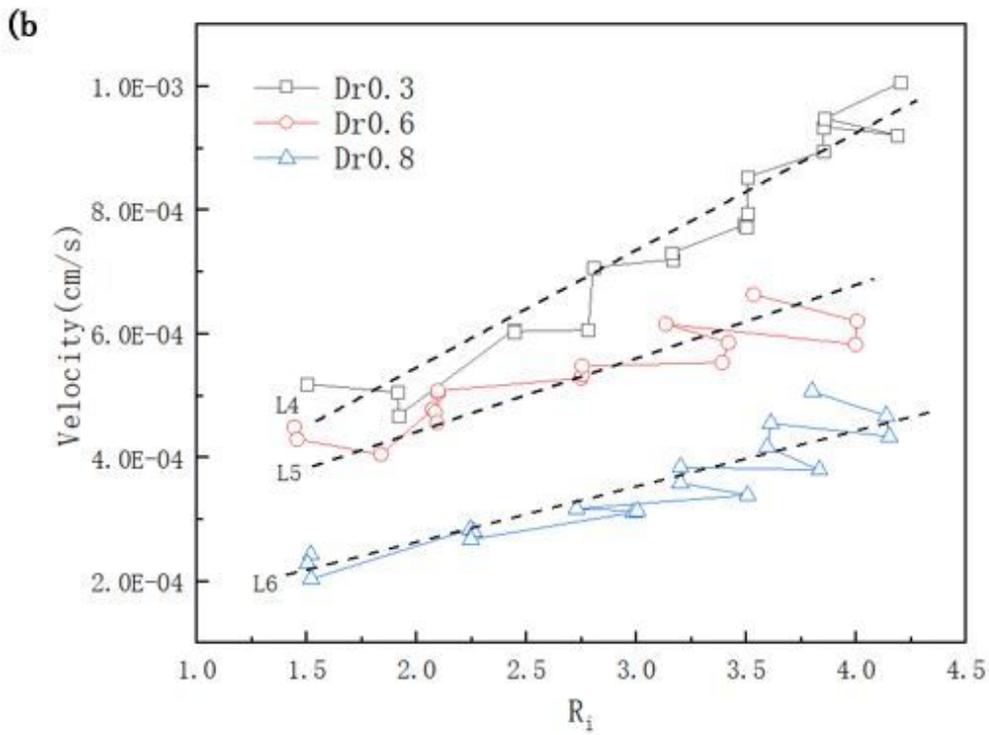
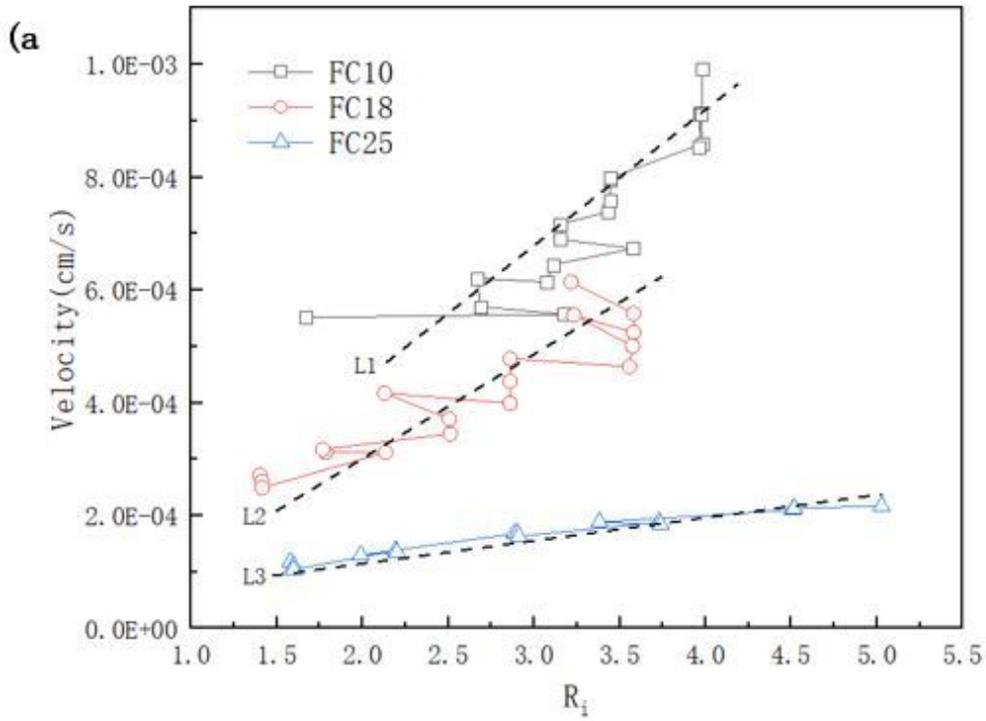


Figure 17

Variation in the velocity with R_i : (a) FC group and (b) Dr group.

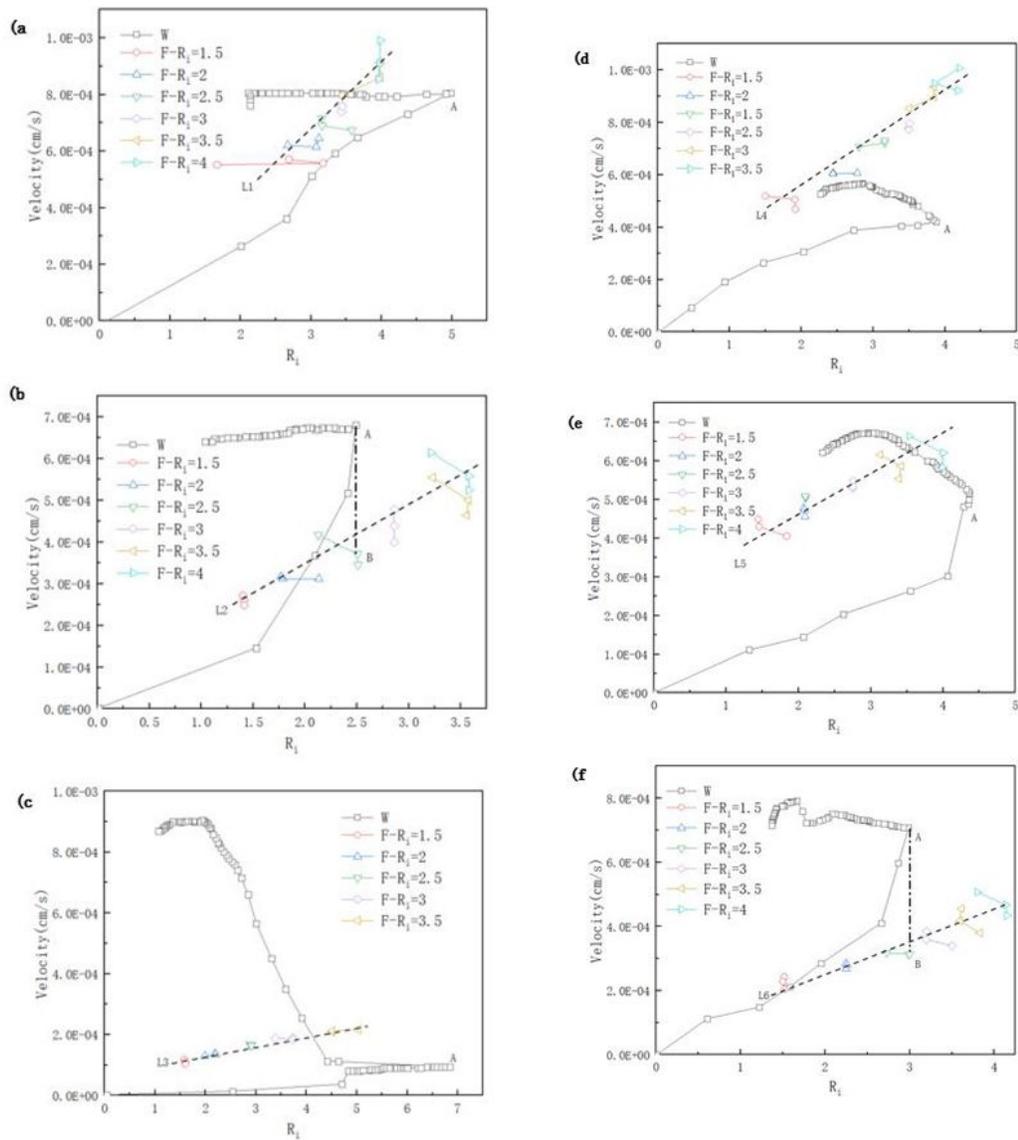


Figure 18

Comparison of the variation in the flow velocity with R_i : (a) FC10 group; (b) FC18 group; (c) FC25 group; (d) Dr0.3 group; (e) Dr0.6 group; (f) Dr0.8 group.