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**Pang Zhiqiang**

Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences

**Mao Xinyu**

Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences

**Yu Sheng**

Agricultural Genomics Institute at Shenzhen, Chinese Academy of Agricultural Sciences

**Liu Guizhou**

Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences

**Chengkai Lu**

**Wan Jinpeng**

Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences

**Lingfei Hu**

Zhejiang University <https://orcid.org/0000-0002-7791-9440>

**Shaoqun Zhou**

Agricultural Genomics Institute at Shenzhen

**Xu Peng** (✉ [xupeng@xtbg.ac.cn](mailto:xupeng@xtbg.ac.cn))

Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences

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## Article

### Keywords:

**Posted Date:** June 24th, 2022

**DOI:** <https://doi.org/10.21203/rs.3.rs-1761850/v1>

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# Microbiota-mediated nitrogen fixation and homeostasis in aerial root-mucilage

Zhiqiang Pang<sup>1,2#</sup>, Xinyu Mao<sup>1,2#</sup>, Sheng Yu<sup>5</sup>, Guizhou Liu<sup>1</sup>, Chengkai Lu<sup>1</sup>, Jinpeng Wan<sup>1</sup>,  
Lingfei Hu<sup>4\*</sup>, Shaoqun Zhou<sup>5\*</sup>, Peng Xu<sup>1,2,3\*</sup>

<sup>1</sup> CAS Key Laboratory of Tropical Plant Resources and Sustainable Use, Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences, Mengla, China.

<sup>2</sup> College of Life Sciences, University of Chinese Academy of Sciences, Beijing, China.

<sup>3</sup> The Innovative Academy of Seed Design, Chinese Academy of Sciences, Mengla, China.

<sup>4</sup> Institute of Soil and Water Resources and Environmental Science, College of Environmental and Resource Sciences, Zhejiang Provincial Key Laboratory of Agricultural Resources and Environment, Zhejiang University, Hangzhou, China.

<sup>5</sup> Shenzhen Branch, Guangdong Laboratory of Lingnan Modern Agriculture, Genome Analysis Laboratory of the Ministry of Agriculture and Rural Affairs, Agricultural Genomics Institute at Shenzhen, Chinese Academy of Agricultural Sciences, Shenzhen, China.

#These authors contributed equally: Zhiqiang Pang (pangzhiqiang@xtbg.ac.cn), Xinyu Mao (maoxinyu@xtbg.ac.cn)

\*Corresponding author E-mail addresses: lingfeihu@zju.edu.cn (Lingfei Hu); zhoushaoqun@caas.cn (Shaoqun Zhou); xupeng@xtbg.ac.cn

## Abstract

Plants sustain intimate relationships with diverse microbes. While it is well recognized that these plant-associated microbiota shape individual performance and fitness of host plants, how they exert their function and maintain their homeostasis are largely unexplored. Here, using pink lady plant (*Heterotis rotundifolia*) as a model, we investigated the phenomenon of microbiota-mediated nitrogen fixation as well as the proximal homeostasis mechanisms of this process in the aerial root mucilage. The aerial root mucilage is enriched in primary metabolites such as carbohydrates, and abundant diazotrophic bacteria. Nitrogen-labeled experiments and gene expression analysis indicate that the aerial root-mucilage microhabitat fixes atmospheric nitrogen to support plant growth. The presence of a key "police" fungus in the mucilage helps the host plant to defend against environmental microbes rather than diazotrophs. The discovery of new biological function and "police" fungi in the aerial root-mucilage microhabitat provides insights into microbial homeostasis maintenance of microenvironmental function and rhizosphere ecology.

## Introduction

Plant aerial roots have distinct functions from underground roots such as anchorage, oxygen absorption

31 (Shekhar et al., 2019), water uptake, photosynthesis (Zotz and Winkler 2013), and support (Eskov et al.,  
32 2016, Liz Filartiga et al., 2020). Most aerial roots require to enter the soil or cling to other support to perform  
33 above function. During those process, the tip of aerial root exudes an amount of mucilage to protect root  
34 tip cells, help them enter the soil or climb up vertical surfaces of host plants (Galloway et al., 2020).

35 Like underground roots, the exuded mucilage on aerial root tips contains a wide array of primary and  
36 secondary metabolites, including polysaccharides, proteins, lipids, flavonoids and etc. (Galloway et al.,  
37 2020, Nazari 2021). To date, the role in nitrogen fixation mediated by mucilage of aerial roots attracts most  
38 of the attention. Recently, a promising study showed that aerial roots of Mexican maize at high nodes  
39 exude a large amount of carbohydrate-rich mucilage, which contributes 29%–82% of the plant nitrogen  
40 from atmospheric nitrogen. Analysis of mucilage microbiota indicated that it was enriched in nitrogen  
41 diazotrophic bacterial *Burkholderia*, *Herbaspirillum* and *Azospirillum*, suggesting the mucilage-microbiota  
42 system plays an essential role in the nitrogen demand of the plant (Van Deynze et al., 2018). The  
43 carbohydrate-rich mucilage were proposed to play a key role in mediating maize-diazotrophic microbiota  
44 interactions in the aerial root, whereas the underlying mechanisms of secreting mucilage and fixing  
45 nitrogen remain unclear, the carbohydrate-rich mucilage were recognized as a hotspot zone in mediating  
46 maize-diazotrophic microbiota interactions (Bennett et al., 2020, Pang et al., 2021). In addition, the  
47 microbes inhabiting aerial root mucilage seem to always be potential nitrogen-fixing bacteria rather than  
48 pathogens or environmental microbes, such as *Burkholderia*, *Herbaspirillum*, *Pseudomonas*, *Klebsiella*,  
49 *Pantoea*, etc. (Higdon et al., 2020, Higdon et al., 2020). Those special diazotrophs have genes needed for  
50 metabolizing mucilage and carbohydrate components to fuel the energy-expensive nitrogen-fixing process,  
51 and inhabit in lowering of oxygen microenvironments (Bennett et al., 2020). Besides host plant-derived  
52 regulatory forces, the interactions among microbiota members contribute to the establishment, stability,  
53 and resilience of host-associated microbial communities (Getzke et al., 2019). For instance, the root  
54 bacterial *Variovorax* and *Pseudomonas* are able to maintain root fungal homeostasis, and promote host  
55 survive in *Arabidopsis thaliana* (Durán et al., 2018). In the second line of defense (within the root), enriched  
56 endophytic bacterial microbiota, Chitinophagaceae and Flavobacteriaceae can still become the protective  
57 layer of the host plant, functioning as a second line of defense within the root (Carrion et al., 2019). In  
58 addition, some "partner" or "police" microbes in the interaction microenvironment are also of concern, and  
59 they are friendly with the other microbes or play an important role in maintaining microbial homeostasis  
60 and defending against pathogens, such as biocontrol microbes in agriculture. A recent study showed that

61 soil bacteria *Paraburkholderia edwinii* as a toxin sponge to protect fungi by sequestering antibiotic  
62 phenazines (Dahlstrom and Newman 2022). Interestingly, host-microbe and microbe-microbe interactions  
63 can also simultaneously produce synergistic effects to maintain microbial homeostasis in plant roots, such  
64 as *Arabidopsis* root bacterial microbial communities and plant metabolite tryptophan jointly control soil  
65 fungal pathogens *Plectosphaerella cucumerina* to promote plant growth and health (Wolinska et al., 2021).  
66 In summary, current assumptions about plant-associated microbial homeostasis are the result of host  
67 plant-microbiota and microbiota-microbiota interactions. To find out this key "police" or "partner" mucilage  
68 compound and microbe and its protective association of diazotrophic microbiota is of great value for  
69 understanding the microbial homeostasis of mucilage-microbiota system. However, the proximal  
70 mechanisms underlying mucilage-mediated microbiota interactions as well as diazotrophic microbiota  
71 homeostasis are still largely unexplored.

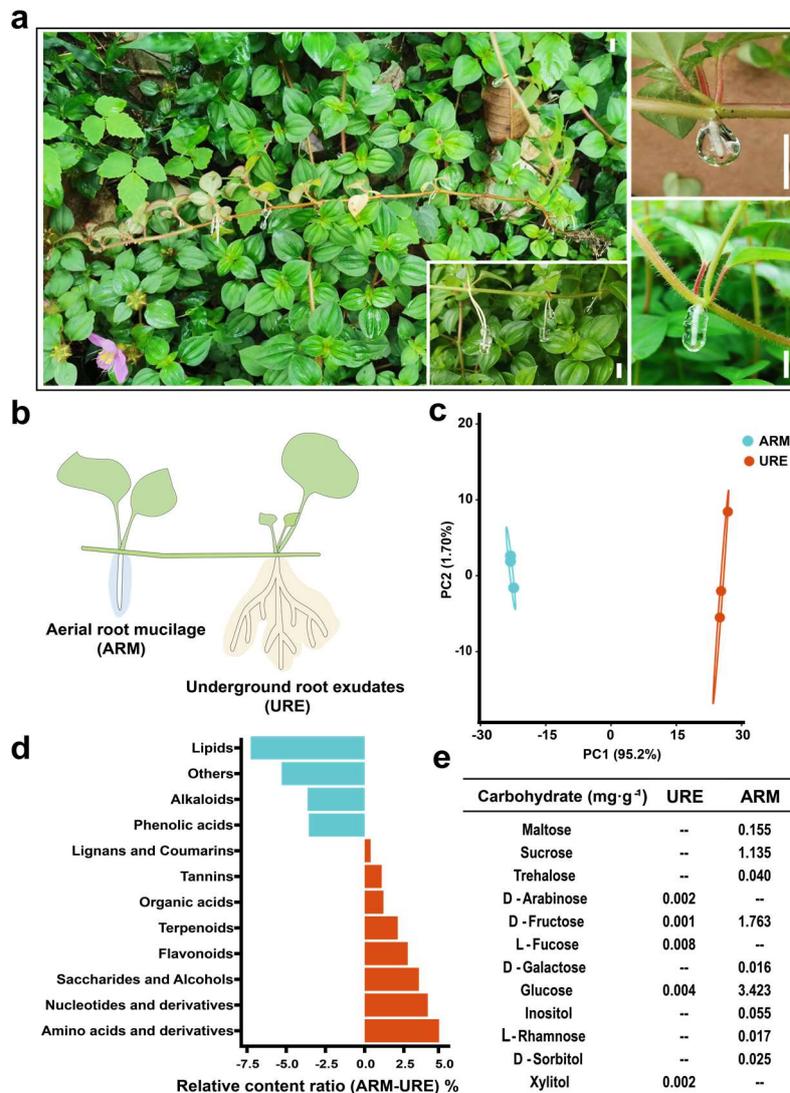
72 Pink lady (*Heterotis rotundifolia*) is a fast-growing, perennial shrub. It is a high-risk invasive plant listed  
73 in the Global Compendium of Weeds (PIER 2013, Randall 2012). Their aerial roots vary from 0.1 cm to 16  
74 cm and exude a large amount of mucilage before reaching the ground (Fig. 1a). Efficient uptake and  
75 utilization of nitrogen by plants seems to contribute to their successful invasion, a process that is  
76 accompanied by early reproduction and many offspring and high growth rates (Feng et al., 2009, Funk and  
77 Vitousek 2007). Given that the roles of aerial roots in nitrogen uptake stated above, the aerial root-  
78 mucilage-microbiota system may contribute to its rapid nitrogen-fixing to achieve their rapid growth and  
79 spread. In this study, we use this easy-to-cultivate small plant as a model to answer the following two  
80 scientific questions: what is the biological function of the aerial root-mucilage microhabitat and underlying  
81 mechanisms? As a "natural medium", how does mucilage maintain its function and internal microbial  
82 homeostasis?

## 83 **Results**

### 84 **Aerial root mucilage and underground root exudate of *H. rotundifolia* have distinct** 85 **biochemical composition**

86 The creeping *H. rotundifolia* plants can grow over 2 meters long and form a single aerial root at each  
87 stem node (Fig. 1a). These mucilage-producing aerial roots vary from barely visible to naked-eyes to over  
88 14 cm in length. We compared the biochemical composition of the Aerial Root Mucilage (ARM) and  
89 Underground Root Exudates (URE) by collecting widely-targeted metabolomics data of these samples (Fig.

90 1b) (Chen et al., 2013). Principal-component analysis (PCA) result demonstrated a clear differentiation of  
 91 metabolic profile of ARM and URE samples (Fig. 1c). Indeed, 531 of the 1,033 putatively annotated  
 92 metabolites were significantly different between these two samples ( $P < 0.01$ , Table. S1 and Fig. S1).  
 93 Whereas URE tended to contain higher levels of lipids and alkaloids (Secondary metabolites are major  
 94 components), ARM was found richer in amino acid derivatives, nucleotide derivatives, flavonoids and  
 95 carbohydrates (primary metabolites are the main components, Fig. 1d). This is consistent with the more  
 96 viscous nature of ARM. Further carbohydrate measurement with a targeted approach confirmed that the  
 97 ARM was rich in glucose, fructose and sucrose, which were barely detectable in URE ( $< 0.01\text{mg}\cdot\text{g}^{-1}$ ; Fig.  
 98 1e).



99  
 100 **Fig. 1 Aerial root mucilage (ARM) and underground root exudate (URE) compound of *H. rotundifolia*.** **a**,  
 101 Creeping *H. rotundifolia* with aerial root and mucilage of varying lengths. White scale bars =1 cm. **b**, Sampling  
 102 diagram of aerial root mucilage and underground root exudates. **c**, Principal component analysis result of  
 103 widely-targeted metabolome of ARM and URE. **d**, Relative content of different compounds of ARM and URE.

104 Blue indicates that those content of URE is higher than that of ARM, and red indicates that the content of ARM  
105 is higher than that of URE. e, Carbohydrate content of aerial root mucilage and underground root exudate, on  
106 average, n = 6.

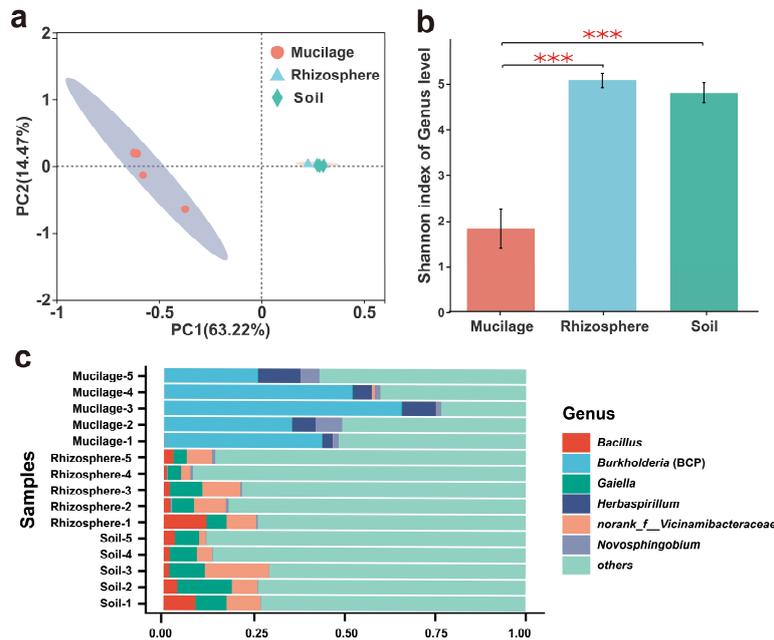
### 107 **Aerial root mucilage microbiota of *H. rotundifolia* is enriched in diazotrophic bacteria**

108 As underscored by their biochemical differences, ARM and URE likely represent distinct ecological  
109 niches that are suitable to host different microbiota. To test this hypothesis, we collected aerial root  
110 mucilage, rhizospheric samples and environmental soil at five separated sites in Xishuangbanna Tropical  
111 Botanical Garden (Xishuangbanna, China), and examined their prokaryotic and eukaryotic microbiota  
112 through 16S rRNA and ITS gene sequencing (Table. S2 and Fig. S2). In support of our hypothesis,  
113 unconstrained principal coordinate analysis (PCoA) analysis based on the Bray–Curtis metric revealed  
114 that both the bacterial and fungal composition of ARM were distinct from those of rhizospheric and bulk  
115 soil, which were similar to each other (Fig. 2a bacteria and S2a fungi). Notably, the prokaryotic taxonomic  
116 diversity of ARM was lower than rhizospheric and bulk soil, indicative of a specialized bacterial community  
117 in ARM (Fig. 2b). For fungal communities, there was no significant difference in alpha diversity among the  
118 three ecological niches (Fig. S2b). A total of 233 differentially enriched bacterial genera and 65 fungal  
119 genera were identified between ARM and rhizosphere soil (Wilcoxon rank-sum test,  $P < 0.05$ , Table. S3).  
120 Specifically, ARM contained higher load of *Burkholderia*, *Herbaspirillum* and *Novosphingobium*, whereas  
121 the relative abundance of *Bacillus*, *Gaiella* and *Nocardioides* were higher in underground samples (Fig. 2c  
122 and S3a-d).

123 The top two ARM-enriched bacterial genera, *Burkholderia* and *Herbaspirillum* include numerous  
124 diazotrophic species that have been widely used as model systems of plant-associated nitrogen-fixing  
125 microbes (Bloch et al., 2020). Unfortunately, we were not able to produce any single-strain culture in these  
126 genera under all accessible culturing conditions including anaerobic incubation (Table. S4 and Fig. S4a).  
127 Some of the single-strain culture we obtained that were identified as *Klebsiella*, *Sphingobacterium*,  
128 *Cupriavidus*, *Acinetobacter* and *Pantoea* species were able to grow on nitrogen-free medium. From these  
129 species, we were able to clone different alleles of the key nitrogen fixation gene, *nifH* (Table. S4). In  
130 addition, bacterial function annotation showed that aerial mucilage has a higher nitrogen fixation capacity  
131 than rhizosphere soil (Wilcoxon rank-sum test,  $P < 0.05$ , Fig. S3e, f). A number of these species further  
132 demonstrated nitrogen fixation activity in  $^{15}\text{N}_2$ -labeled experiments (Fig. S4b). These data lead us to  
133 hypothesize that nitrogen fixation is a key function of the bacterial community associated with *H.*

134 *rotundifolia* ARM.

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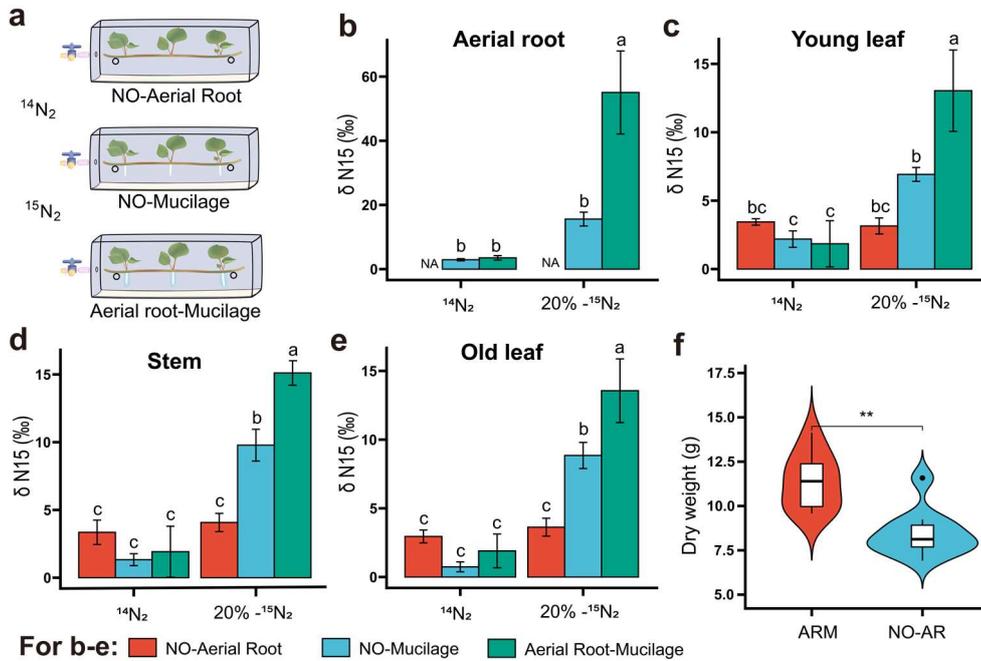
136

137 **Fig. 2 Bacteria diversity and community of aerial root mucilage (mucilage) and underground rhizosphere**  
138 **soil (rhizosphere).** **a**, Unconstrained PCoA with Bray–Curtis distance showing that the bacteria of mucilage  
139 separate from those of rhizosphere and soil in the first axis ( $P < 0.001$ , permutational multivariate analysis of  
140 variance (PERMANOVA) by Adonis). **b**, Shannon index of the bacteria of aerial root mucilage, underground  
141 rhizosphere soil and the corresponding bulk soils. **c**, Genus-level distribution of bacterial communities in  
142 mucilage and rhizosphere soil. *Burkholderia*, *Herbaspirillum*, *Novosphingobium* are higher relative abundance  
143 in mucilage (59.09%, 10.43% and 5.36%, respectively) than in underground rhizosphere soil (0.14%, 0.22%  
144 and 0.64%, respectively). The numbers of replicated samples in this figure are as follows: mucilage ( $n = 5$ ),  
145 rhizosphere soil ( $n = 5$ ), soil ( $n = 5$ ).

## 146 **Aerial root mucilage of *H. rotundifolia* fixes atmospheric nitrogen to support plant** 147 **growth**

148 To test if nitrogen fixation could occur in intact ARM microbiota, we performed  $^{15}\text{N}$  labeled nitrogen gas  
149 ( $^{15}\text{N}_2$ ) feeding experiments with segments of *H. rotundifolia* stems with mucilage-bearing aerial roots, aerial  
150 roots with mucilage artificially-removed, or aerial roots removed as a whole (Fig. 3a). When fed with 20%  
151  $^{15}\text{N}_2$ , plants with mucilage-bearing aerial roots contained significantly higher relative abundance of  $^{15}\text{N}$  in  
152 all tissues measured compared to those with aerial roots only or no aerial roots at all (Fig. 3b-e and Table.  
153 S5). This supports our hypothesis that intact ARM microbiota can facilitate incorporation of atmospheric  
154 nitrogen. To further test whether this, ARM-dependent nitrogen fixation is ecologically relevant for the  
155 fitness of *H. rotundifolia* in greenhouse, we compared the growth of plants with aerial roots artificially

156 removed versus sympatric no operation controls in a field experiment. Four months after our operations,  
 157 plants with aerial roots weighed 10% heavier in dry mass compared to those without, suggesting that the  
 158 aerial roots, along with the microbiota hosted in their associated mucilage, can play non-trivial roles in  
 159 supporting the growth of *H. rotundifolia in situ* (Fig. 3f and Table. S5).



160  
 161 **Fig. 3 Aerial root-mucilage is the main site for nitrogen fixation in *H. rotundifolia* aerial root.** a, Illustration  
 162 of the incubation set-up enabling the study of the transfer of aerial mucilage fixed (<sup>15</sup>N-enriched) N<sub>2</sub> from the air  
 163 to the plant. The plant types include no aerial root plant (NO-Aerial Root), aerial root plant without mucilage  
 164 +(NO-Mucilage), and aerial root plant with mucilage (Aerial Root-Mucilage). b-e, Analysis of different *H.*  
 165 *rotundifolia* samples for <sup>15</sup>N<sub>2</sub> enrichment in aerial root (e), young (d) and old leaf (f), and stem (e). Box plots  
 166 show combined data from six independent experiments with natural nitrogen or replace 20% (V) of nitrogen with  
 167 <sup>15</sup>N<sub>2</sub> for 72 h. <sup>14</sup>N<sub>2</sub> and no aerial root plant (NO-Aerial Root) samples were used as negative control groups.  
 168 Different letters indicate significantly different groups (*P* < 0.05, Two-way ANOVA, *n* = 3). f, The dry weight of  
 169 plant aboveground was significantly reduced after removal of aerial root (NO-AR, *P* < 0.01, T-Test, *n* = 10). The  
 170 fresh weight of aerial root tissues removed were less than 10 milligrams per plant.

171 **Tissue-specific gene expression in mucilage-secreting aerial roots could facilitate ef-**  
 172 **ficent carbon-nitrogen exchange with mucilage microbiota**

173 Nitrogen fixation is a complex symbiotic relationship that requires extensive collaboration between the  
 174 host plants and the diazotrophes at molecular level. To investigate the molecular interaction between *H.*  
 175 *rotundifolia* and its ARM microbiota, we sequenced the *H. rotundifolia* genome with a combination of 61.86  
 176 G PacBio CLR reads and 20.1 G Hi-C reads to obtain a chromosome-scale reference genome assembly,  
 177 which was subsequently used to map short-read RNAseq data for transcriptome-wide gene expression

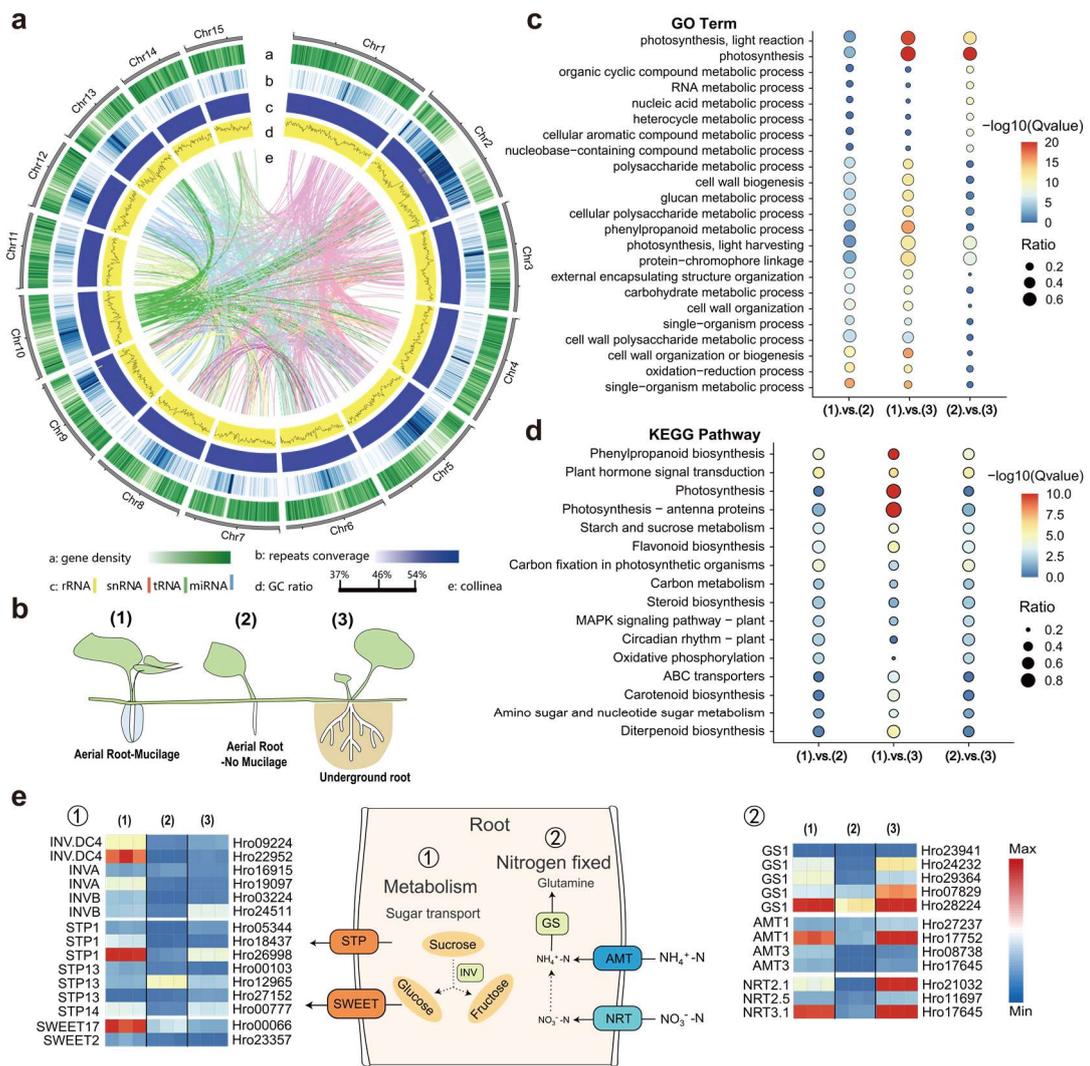
178 profiling. The reference assembly we obtained was estimated to be 171.44 Mb, similar to a previous  
 179 estimation (180 M, Fig. S4). The contig N50 length was 8.39 Mb and the scaffold N50 length was 11.23  
 180 Mb (Fig. 4a; Table 1). In this assembly, we annotated 29,574 putative gene models and 2,434 noncoding  
 181 RNAs, and the assembly was estimated to be 98% complete through Benchmarking Universal Single-  
 182 Copy Ortholog analysis (Table 1 and S5). Over 96% of the predicted genes were functionally annotated  
 183 through gene ontology (GO), Kyoto Encyclopedia of Genes and Genomes (KEGG), Swissprot, TrEMBL,  
 184 and Pfam databases (Table. S6).

185 **Table 1. Assembly and annotation of the genome of the *H. rotundifolia***

<b>Survey</b>	
Sequencing platform	PacBio Sequel II
K-mer	17.00
K-mer Depth	85.00
Heterozygous ratio (%)	0.57
Estimated genome size (Mb)	192.57
<b>Assembly</b>	
Assembly size (Mbp)	171.44
Contigs N50 (Mb)	8.39
Scaffold N50(Mb)	11.23
GC content (%)	43.88
Contig number	39.00
Contig length proportion	99.28
<b>Annotation</b>	
Total gene	29,572
Annotated gene	28,583
Total TE (%)	22.31
LTR (%)	15.78
Non-protein-coding genes	2434
miRNA	97
tRNA	492
rRNA	1731
snRNA	114
BUSCO completeness (%)	98.00

186 We then obtained RNA-seq data from aerial roots with or without mucilage and underground roots to  
 187 identify transcriptome-wide gene expression differences in these tissues (Fig. 4b). Functional enrichment  
 188 analyses results based on GO and KEGG annotations revealed that genes related to photosynthesis and  
 189 phenylpropanoid metabolism were preferentially expressed in aerial roots, and these differences in gene  
 190 expression were more pronounced when comparing mucilage-bearing aerial roots and underground roots  
 191 (Table. S7; Fig. 4c-d). Stable plant-diazotrophe symbiosis is built upon extensive carbon flow from the host  
 192 plant to the microbes, accompanied by nitrogen flow in reverse (Bennett et al., 2020, Yang et al., 2022).

193 Hence, we specifically examined the expression of carbohydrate and accessible nitrogen transporter  
 194 genes in the three tissues. In result, expression of both putative sugar transporters (e.g. *STP*, *SWEET*,  
 195 *INV*) and nitrate/nitrite transporters and assimilation genes (e.g. *AMT*, *NRT* and *GS*) were significantly  
 196 higher in mucilage-bearing aerial roots than those without mucilage, indicative of more active carbon-  
 197 nitrogen flow when the mucilage is present (Fig. 4e). Interestingly, the putative nitrate and nitrite  
 198 transporters highly expressed in mucilage-bearing aerial roots were also highly expressed in underground  
 199 roots (with the notable exception of *NRT2.1*), whereas the putative carbohydrate transporter were  
 200 expressed at higher levels in mucilage-bearing aerial roots than underground root.



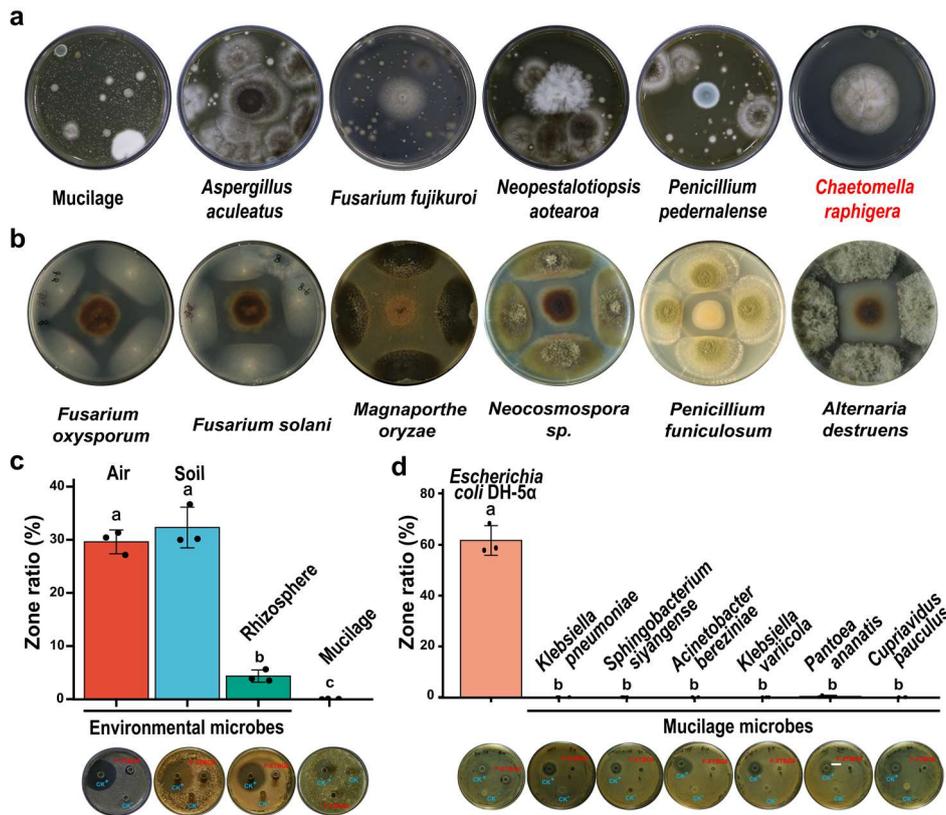
201  
 202 **Fig. 4 Genomic characterization and transcriptome of *H. rotundifolia* reveal biological function of aerial**  
 203 **root-mucilage.** **a**, Genomic landscape of the 15 assembled *H. rotundifolia* chromosomes. **b**, Overview of  
 204 samples aerial root-mucilage and no mucilage, and underground root of *H. rotundifolia*. (1): Aerial roots with  
 205 mucilage; (2): Aerial roots without mucilage; (3): Underground root sample without mucilage. **c-d**, Go (c) and  
 206 KEGG (d) enrichment pathway of mucilage and no mucilage aerial root. The selected each sample top 10  
 207 categories are shown. **e**. Expression patterns of nitrogen fixed and plant metabolism genes in the different root

208 and types. ① and ② are overview of pathways of nitrogen utilization and sugar transport. Genes with  
209 expression FPKM < 10 were not visualized in the heatmaps.

## 210 **Mucilage-dwelling *Chaetomella raphigera* selectively inhibit environmental but not** 211 **sympatric microbes**

212 Though the carbohydrate-rich ARM of *H. rotundifolia* creates an ideal niche for diazotrophic bacteria, it  
213 is also potentially prone to disturbance by environmental microbes that are commensal or even pathogenic  
214 to host plants. Though there is a rich literature on the microbiota structuring function of exuded plant  
215 specialized metabolites, we were not able to identify any specific compound that displayed obvious  
216 antibiotic function when screening the twenty most abundant metabolites in the ARM at physiologically  
217 relevant concentration (Fig. S6 and Table S10-11).

218 The alternative explanation for the homeostatic diazotrophic microbiota is that certain members of the  
219 community could selectively allow the growth of the diazotrophes, but inhibiting unwelcomed  
220 environmental microbes. To identify potential “police” microbes in the ARM microbiota, we collected 16  
221 single-spore/single-colony isolates from bulk ARM samples, and screened their broad-spectrum antibiotic  
222 activity by culturing on rich media exposed to open air. Through this screening, one fungal culture F-XTBG8  
223 remained uncontaminated after 5 days of exposure to airborne microbes, and was identified as  
224 *Chaetomella raphigera* by ITS sequencing (Fig. 5a, S7 and Table. S8). Subsequent *in vitro* antibiotic tests  
225 demonstrated that this isolate of *C. raphigera* could inhibit the growth of over 100 common phytopathogens  
226 and environmental fungi including *Fusarium oxysporum*, *F. solani*, and *Magnaporthe oryzae* (Fig. 5a-b and  
227 S7). Interestingly, liquid culture of this *C. raphigera* strain demonstrated clear inhibitory effect against aerial  
228 and soil bacterial communities *in vitro*, but this effect significantly diminished when tested against  
229 rhizospheric bacteria of *H. rotundifolia*, and was completely abolished when tested against ARM bacteria  
230 (Fig. 5c). Consistently, liquid culture of *C. raphigera* suppressed the growth of a generic bacteria  
231 *Escherichia coli* DH-5a *in vitro*, but showed no inhibitory effect against any of the six bacterial strains  
232 isolated from *H. rotundifolia* ARM (Fig. 5d).



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**Fig. 5 A “police” fungi of mucilage and nitrogen fixing bacteria. a-b,** Interactions between different microbes and environmental fungi. Only *C. raphigera* (F-XTBG8) shows the resistance to the plant pathogenic fungi and various fungi from environment (**b**). **c-d,** F-XTBG8 fungal metabolites are resistant to air and soil environmental bacteria (and DH-5 $\alpha$ ), but not to nitrogen-fixing bacteria of mucilage. The zone ratio is equal to the zone of inhibition of the culture of F-XTBG8 divided by the positive control (antibiotic streptomycin and tetracycline hydrochloride).

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## Discussion

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Aerial roots are known to facilitate gas exchange in submergence-tolerant plants, provide additional mechanic support for climbing vines, or function as the primary site of nutrient uptake in marginal habitats (Shekhar et al., 2019). Mucilage has been proposed to maintain a moisturized interface and provide lubrication and protection for aerial roots (Nazari 2021). Microbiome studies in the last decade have revealed significant functions for the diverse microbial communities associated with plant rhizosphere, and have inspired similar investigation into the biochemical and microbial diversity in ARM (Amicucci et al., 2019, Bennett et al., 2020, Higdon et al., 2020, Higdon et al., 2020, Pozzo et al., 2018). In a mucilage-secreting tropical maize landrace, microbes isolated from the carbohydrate-rich mucilage have been demonstrated to fix atmospheric nitrogen to promote plant growth (Van Deynze et al., 2018).

250 In this study on *H. rotundifolia*, we confirmed that ARM and URE had distinct biochemical composition  
251 from each other, both in terms of primary and specialized metabolites (Fig. 1). This biochemical  
252 differentiation correlated with the distinctive prokaryotic communities associated with aerial and  
253 underground roots (Fig. 2). Since the environmental microbial composition has a strong impact on the  
254 structure of plant-associated microbiota, the inherently different airborne and soilborne microbial  
255 communities is likely a predominant factor in deciding the microbial diversity in ARM and URE. Yet, the  
256 biochemical differences between ARM and URE likely play a role in structuring their associated microbiota  
257 as well. From the nutritional perspective, ARM was rich in amino acids, nucleotides, and carbohydrates,  
258 which provided a different niche from the lipid-rich URE (Fig. 1d) (Koprivova and Kopriva 2022, Macabuhay  
259 et al., 2021, Pang et al., 2021, Sasse et al., 2018). Furthermore, the terpenoid- and flavonoid-rich ARM  
260 could impose a distinct selective pressure on microbes compared to URE, which contained higher levels  
261 of alkaloids and phenolic acids (Fig. 1d).

262 Comparison of microbial community composition between URE and ARM revealed that a number of  
263 known diazotrophic bacterial genera were enriched in the ARM (Fig. 2c). In our study, based on bacterial  
264 function prediction and nitrogen labeled experiment, we can conclude that the aerial root-mucilage  
265 microhabitat harbors diazotrophic microbiota such as diazotrophs *Klebsiella*, *Pantoea*, *Sphingobacterium*,  
266 *Herbaspirillum* and *Burkholderia*. These bacteria are widely used model systems to study the associative  
267 nitrogen-fixing, and already well utilized for agricultural production (Bloch et al., 2020, Eke et al., 2019,  
268 Higdon et al., 2020, Pankiewicz et al., 2015, Pankiewicz et al., 2019). Consistently, subsequent stable  
269 isotope tracking experiment showed that atmospheric nitrogen could be incorporated into plant biomass  
270 in an aerial root and mucilage dependent manner (Fig. 3a-e). We further demonstrated that removal of  
271 aerial roots could significantly impact plant biomass in a four-month-long field experiment (Fig. 3f). This  
272 result could partially support of ecological relevance of ARM-mediated nitrogen fixation for plant fitness *in*  
273 *situ*, though we also recognize that the operation of aerial root removal could have complex influence on  
274 plant growth that may not be dependent on the mucilage microbiota. Although the aerial root-mucilage-  
275 diazotrophic microbiota system was able to fix nitrogen, we expect to inoculate single bacteria or microbial  
276 communities for further discovery of core nitrogen-fixing bacteria and genes. In addition, aerial roots  
277 without mucilage also showed a nitrogen fixation ability to some extent, which may be caused by the  
278 incompletely removed mucilage or endogenous nitrogen-fixing bacteria in aerial roots.

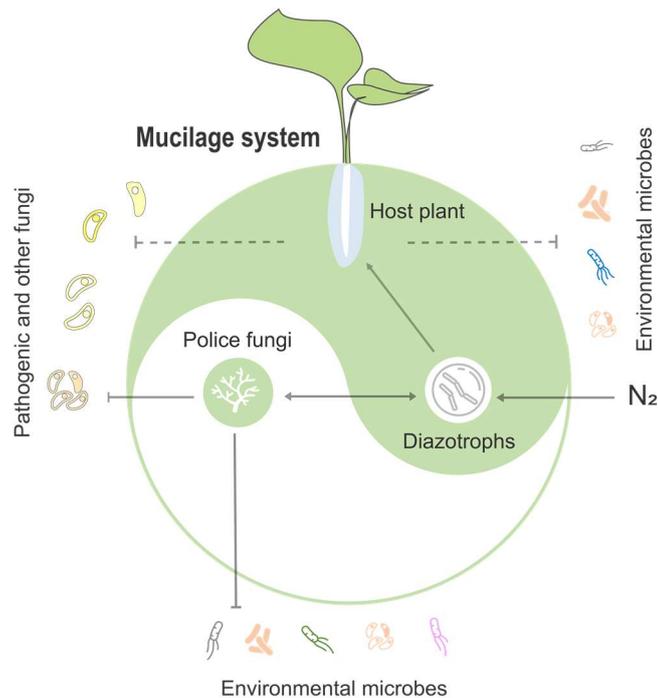
279 Since nitrogen fixation involves dynamic nitrogen-carbon exchange between the symbiotic partners, we

280 hypothesized that the aerial roots of *H. rotundifolia* to be highly active in nitrogen and carbon transportation.  
281 To test this hypothesis, we generated a chromosome-scale genome assembly of *H. rotundifolia* with  
282 PacBio CLR and Hi-C technologies and RNA sequencing data, which was then used for comparative  
283 transcriptomics analyses (Fig. 4). In support of our hypothesis, a number of sugar transporters were  
284 exclusively expressed in mucilage-bearing aerial roots when compared to aerial roots with no mucilage  
285 and underground roots (Fig. 4e). Interestingly, three of the five nitrogenous compound transporters highly  
286 expressed in underground roots were also expressed in aerial roots in a mucilage-dependent fashion (Fig.  
287 4e) (Liu et al., 2022). This expression pattern strongly suggests that the present of ARM could induce the  
288 expression of nitrogenous compound transporters. This is consistent with previous observations in  
289 seagrass. The nitrogen-fixing symbionts live within the seagrass root tissue, where it supplies amino acids  
290 and ammonia to the host in exchange for sugars (Mohr et al., 2021).

291 The plant innate immune systems and special metabolites play important roles in shaping the host-  
292 associated microbiota (Castrillo et al., 2017, Chen et al., 2020, Lebeis et al., 2015). Recently research  
293 found that the host plant factors shape the plant-association microbiota community, those secrete signals  
294 to recruit specific microbiota while generating molecules that are toxic to others (Macabuhay et al., 2021,  
295 Thoms et al., 2021). Such as, plant special metabolites triterpenes, coumarin, flavonoid and benzoxazinoid  
296 are key compounds modulating plant microbiota composition (Cadot et al., 2021, Cotton et al., 2019,  
297 Harbort et al., 2020, Hu et al., 2018, Kudjordjie et al., 2019, Pang et al., 2021, Schütz et al., 2019), and  
298 root secretes coumarin to against pathogens and impact the assembly of rhizosphere microbiota (Stringlis  
299 et al., 2018). Moreover, the important of plant metabolites or root exudates in defense pathogens and  
300 maintain microbial homeostasis in the rhizosphere is increasingly recognized, such as volatile organic  
301 compounds, tryptophan, phytohormones and camalexin (Hammerbacher et al., 2019, Koprivova et al.,  
302 2019, Macabuhay et al., 2021, Pang et al., 2021, Sharifi et al., 2018, Stassen et al., 2020, Wolinska et al.,  
303 2021). It is reasonable to speculate that the specialized compounds of aerial root mucilage to recruit  
304 special microbiota as nutrients, but also some proteins and compounds that could serve as antibiotics to  
305 defense pathogenic and environmental microbe or maintain the homeostasis of the mucilage-microbiota  
306 system. A hint at answer the question may be found in our potential mucilage and other compounds (Table  
307 S10): gallic acid, epigallocatechin gallate, phthalic anhydride and others have a certain inhibitory effect on  
308 pathogens, but these compounds are low concentrations in mucilage and the relationship between  
309 metabolites and microbial homeostasis needs to be further explored. Whether plant special metabolites

310 play an important regulatory role in the aerial root-mucilage microenvironment should further consider and  
 311 expand list of candidate metabolites. In addition, preeminent research has shown that maize root secretes  
 312 flavones that attract the enrichment of the rhizosphere bacterial taxa Oxalobacteriaceae, thereby nitrogen  
 313 acquisition and promoting growth in nitrogen-poor soils (Yu et al., 2021). This has some inspiration for our  
 314 research, we also found that aerial root mucilage has a higher content of flavonoids. It is still unknown how  
 315 and which metabolites are recruitment for shaping functional diazotrophic microbiota in the aerial root  
 316 mucilage of *Heterotis rotundifolia* and worth further study with plant transcriptome (and  
 317 metatranscriptomics), metabonomic and metagenomics. In addition, we found that mucilage production  
 318 always follows the high humidity in the environment (Table. S9), and future studies should reveal the  
 319 causality between the dynamic basis of mucilage exudates and environment factors.

320



321

322 **Fig. 6 The model of aerial root-mucilage-microbiota system.** The friendly relationship between "police" fungi  
 323 and diazotrophic microbiota in the aerial root-mucilage microhabitat. The "police" fungi have a broad spectrum  
 324 of resistance to environmental and pathogenic microbes rather than functional bacteria. The aerial root-mucilage  
 325 may screen and resist microbes of environment.

326 The current hypothesis is that microbial homeostasis in plant roots is main maintain by both microbiota-  
 327 microbiota and host plant-microbiota and interactions, whereas little is known of those distinct outputs in  
 328 maintaining microbial homeostatic between the plant and its root microbiota (Getzke et al., 2019, Wolinska  
 329 et al., 2021). Our discovery of *Chaetomella raphigera* (F-XTBG8)-the "police" fungi that helps mucilage

330 and diazotrophic bacteria withstand pathogenic and environmental microbes-establishes that the  
331 existence of such beneficial partnerships in aerial root-mucilage microhabitat. Previous study showed that  
332 the root microbiota homeostasis has an important regulatory role in nitrogen-fixing symbionts and the  
333 adaptation of plants to different environment. For instance, the rhizosphere microbiota *Bacillaceae* group  
334 promotes the nodulation of rhizobia *Sinorhizobium* and soybean growth under saline-alkali conditions  
335 under saline–alkali conditions (Han et al., 2020). Likewise, a recent study found that the facultative  
336 biotrophic fungus *Phomopsis liquidambaris* facilitates the migration of rhizobia from the soil to the peanut  
337 rhizosphere, thus triggering peanut-rhizobia nodulation (Zhang et al., 2020). Those relationships may be  
338 common in plant-microbiota interactions, and interesting findings may have fundamental practical  
339 implications (Dahlstrom and Newman 2022). Moreover, F-XTBG8 was not only nutritionally competitive  
340 with other environmental and pathogenic microbes, but its metabolites had inhibitory effects on those  
341 microbes and not inhibitory on diazotrophic bacteria in the mucilage. Further study could related to  
342 microbial cross-feeding of “police” and functional microbes, which refers to interactions between microbes  
343 in which molecules metabolized by one microbe are further utilized by another microbe (Smith et al., 2019).  
344 Extensive cultivation of these nitrogen-fixing microbes and further exploration of the molecular basis for  
345 the friendly coexistence of these microbes with “police” fungi from the genes, transporters and metabolic  
346 gene clusters are necessary. Our study emphasis on the key role of the “police microbe” controlled aerial  
347 root-mucilage-diazotrophic microbiota microenvironment and the biochemical conversation that dominate  
348 it. Further investigation of the fungal metabolites and broad-spectrum antimicrobe mechanisms of “police”  
349 fungi will help us to decipher the specific interaction of mucilage-microbiota and the underlying mechanism  
350 of microbial homeostasis. The predicted results of the metabolic genes of terpenoids and polyketides in its  
351 genome will help us to further target potential antibacterial compounds (unpublished data). Moreover, the  
352 origin of this fungus and diazotrophic bacteria needs to be further determined which may be from plant-  
353 borne (“vertical transmission”) or environment are unresolved. Collectively, our concepts provide a study  
354 paradigm for understanding how plant may engage with functional microbiota while restricting pathogens  
355 and environmental microbes.

356 Our study found a novel role for aerial root-mucilage microhabitat in nitrogen uptake, and extended the  
357 concept of rhizosphere, that aerial roots can still perform the same biological functions as underground  
358 roots. More importantly, the discovery of “police” fungi in mucilage further advances our understanding of  
359 the homeostasis mechanisms of specific functional microbiota in microenvironment (Fig. 6). This further

360 confirms that plants actively mediate plant-microbiota interactions and maintain microbial homeostasis and  
361 could have an important impact on nitrogen use efficiency, rapid growth, and invasion of *H. rotundifolia*.  
362 The "police" microbe insight has important understanding for diverse problems concerning plant microbiota  
363 assembly and environmental microbes. We hope that the aerial root-mucilage-functional microbiota  
364 system established in this study will enable basic biological insights to be gained for biological interactions.

## 365 **Methods**

### 366 **Plant samples**

367 *H. rotundifolia* maintained by Xishuangbanna Tropical Botanical Garden (Xishuangbanna, China) was  
368 sampled between June 2019 and December 2021. Collectively, these concepts provide a paradigm for  
369 understanding how plants may engage with beneficial microbes while restricting pathogens.

### 370 **Metabolite profiling.**

#### 371 **Aerial root mucilage and underground root exudate collection**

372 In May 2020, we choose three creeping *H. rotundifolia* plant that different growth stage and sampled  
373 aerial root mucilage and underground root exudate. Use sterile forceps to load the aerial root mucilage  
374 into a 50 mL centrifuge tube. For underground root exudate collection, roots were repeatedly washed and  
375 shook in 200 mL deionized water and collected promptly after root washing for 2 h by 60 rpm. All mucilage  
376 and exudate samples were immediately frozen to  $-80^{\circ}\text{C}$  and then freeze-dried (MM400, Retsch) for 1.5  
377 min at 30 Hz. Take 40 mL of the sample after mixing, place 50 mL of the centrifuge tube, and then immerse  
378 the sample in liquid nitrogen as a whole. Powder (100 mg) was weighed and extracted overnight at  $4^{\circ}\text{C}$   
379 with 1 mL 70% methanol, followed by centrifugation for 10 min at 12 000 g. The supernatants were  
380 collected separately and combined, followed by filtration 0.22 mm pore size. For metabolome analysis,  
381 mucilage and exudate samples were analyzed using an ultrahigh-performance liquid chromatography  
382 (SHIMADZU Nexera X2)-tandem mass spectroscopy (Applied Biosystems 4500 QTRAP) (UPLC-MS/MS)  
383 based widely targeted metabolome method by Wuhan Metware Biotechnology Co., Ltd. (Wuhan, China)  
384 (<http://www.metware.cn/>). Carbohydrate contents were detected based on an Agilent 7890B gas  
385 chromatograph coupled to a 7000D mass spectrometer (GC-MS) platform by Metware. Concentration  
386 determination of candidate compounds (gallic acid, epigallocatechin gallate, phthalic anhydride and others)  
387 in mucilage using high-performance liquid chromatography and ultra-performance liquid chromatography-

388 mass spectrometry (Supplementary Methods 1 for details regarding the protocol).

### 389 **Mucilage and soil DNA extraction, 16S rRNA and ITS gene sequencing**

390 In our study, the rhizosphere soil was defined and collected as previous reported (Van Deynze et al.,  
391 2018). Aerial root mucilage and underground rhizosphere soil and bulk soil sample DNA were extracted  
392 using the FastDNA SPIN Kit for Soil (MP Biomedicals, USA) according to the manufacturer's protocol. The  
393 fungal ITS1 region (ITS1F/ITS2R) (Adams et al., 2013) and the bacterial 16S rRNA gene (V3-V4,  
394 338F/806R) (Huse et al., 2008) were amplified. Amplicon libraries were sequenced on the Illumina MiSeq  
395 PE300 platform by the Majorbio Bio-Pharm Technology Co., Ltd. (Shanghai, China).

396 The 16S rRNA and ITS gene sequencing reads were demultiplexed by Fastp (version 0.20.0) (Chen et  
397 al., 2018) and merged with FLASH (version 1.2.7) (Magoč and Salzberg 2011) following: Operational  
398 taxonomic units (OTUs) with 97% similarity were clustered using UPARSE (version 7.1) (Edgar 2013).  
399 Taxonomic assignment was performed using the bacterial SILVA reference database (v12\_8,) and fungal  
400 UNITE database (v7.0). Functional prediction (Tax4Fun, PICRUSt1/2, BugBase phenotype prediction) was  
401 analyzed on the free online platform of the Majorbio Cloud Platform ([www.majorbio.com](http://www.majorbio.com)).

### 402 **Bacteria and fungi isolation in mucilage and rhizosphere**

403 Fungi isolated from the mucilage and rhizosphere were placed onto potato dextrose agar (PDA, Hopebio,  
404 Qingdao) and incubated for 7 days (in the dark at 28°C). Five grams of rhizosphere was weighed into a 50  
405 ml centrifuge tube, and 100 µl of 10<sup>-3</sup> and 10<sup>-4</sup> diluents was soaked up with diluted spreading onto tryptic  
406 soy agar (TSA, Hopebio, Qingdao), LB agar, and nitrogen-free agar (Ashby, Rhizobium, Associations and  
407 Nitrogen-free Culture-medium, Hopebio, Qingdao) to isolate diazotrophic bacteria. For bacterial culture in  
408 mucilage, 100 uL was spread directly on the above culture medium. Pure culture isolates were obtained  
409 by the streak plate or single-spore technique. ITS and 16S rRNA genes of the isolated strains were  
410 amplified with fungal primers ITS1F (5'-CTTGGTCATTTAGAGGAAGTAA-3') and ITS4R (5'-  
411 TCCTCCGCTTATTGATATGC-3') and bacterial universal 27F (5'-GAGAGTTTGATCCTGGCTCAG-3') and  
412 1492R (5'-ACGGATACCTTGTTACGACT-3'). ITS and 16S sequences were aligned with the NCBI ITS  
413 (Fungi) and 16S rRNA sequences (Bacteria) databases by Nucleotide BLAST (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>) to determine the approximate phylogenetic affiliation. The core nitrogen fixation genes  
414 (*nif*) from known diazotrophs and maize mucilage microbiota as previously published (Dos Santos et al.,  
415 2012, Van Deynze et al., 2018) as a reference.

### 417 **<sup>15</sup>N<sub>2</sub> gas-enrichment experiments and analysis**

418 The three types of no-aerial root, no-mucilage, and have aerial root-mucilage plants (None of them have  
419 underground roots, about 18 cm length) were collected from healthy *H. rotundifolia* plant. For Isotope ratio  
420 mass spectrometry (IRMS) study, we construct a experimental device for  $^{15}\text{N}_2$ -labelling experiments, a  
421 clear 565 mL plastic bottle, equipped with an intake valve and a device for fixing plants (Fig. 3a). After  
422 simply washing the sampled plants material with sterile water, place sterile filter paper on the bottom layer  
423 of bottle and add 2 mL sterile water for moisturizing. Then, the 0% (negative controls), 5%, 10% and 20%  
424 (v/v) of  $^{15}\text{N}_2$  gas (99.9% purity, Wuhan Isotope Technology, Co., Ltd, Wuhan, China) was pumped into the  
425 bottle, and the samples were moved to phytotron at 25°C for 72 h (and some of 48 h).

426 For the nitrogen-fixation bacteria strain, the bacteria liquid of logarithmic growth stage (2 weeks of age)  
427 was added into 125 mL conical flask, sealed and replaced with 99.9%  $^{15}\text{N}_2$  by 10% of the volume of gas  
428 in the flask with a syringe. Culture was continued at 28°C for 24 h, and strain cells were collected through  
429 Whatman glass microfiber filter (GF/F, GE Healthcare). After drying, grinding and weighing, the  $^{15}\text{N}$  of  
430 individual aerial root, young and old leaf, stem from each plant and bacterial strains were measured using  
431 EA-HT elemental analyzer (Thermo Fisher Scientific, Inc., Bremen, Germany) coupled to an isotope ratio  
432 mass spectrometer (DELTA V Advantage, Thermo Finnigan). The isotopic composition analysis was  
433 performed at Tsinghua university Stable Isotope Facility (Shenzhen, China) and Central laboratory of  
434 Xishuangbanna Tropical Botanical Garden (Xishuangbanna, China).

### 435 **Microbial antagonism experiment**

436 To identify mucilage compounds and microbes with broad-spectrum resistance, the compound and  
437 isolates were purified and transferred to new medium plate for 24 h in advance (bacteria 12 h), and then  
438 placed outdoors (exposed to airborne microbial infection) 5 days with the cover of the petri plate open.  
439 Medium plate that does not grow other microbes were considered to have broad spectrum resistance. The  
440 efficacy of fungi strain 'F-XTBG8' was tested against environment and pathogenic fungi on potato dextrose  
441 agar (PDA) medium plates. Fungal culture plate agar discs (6 mm) of environment and pathogenic fungi  
442 were disposed in all around at the center of F-XTBG8 strain in a square at 1.8 cm distance, and incubated  
443 at 30°C until mycelial growth had fill medium plates. The Oxford cup method was used for the fungal  
444 metabolite and bacteria antagonism experiment. (the cup height of 10 mm, inner diameter of 6 mm, outer  
445 diameter of 8 mm). Then, 100  $\mu\text{L}$  of each bacterial suspension ( $\text{OD}_{600}=1$ ) were spread evenly on TSA  
446 plates, while three sterilized Oxford cups were placed in each TSA plate, and three cup were inoculated  
447 separately with 200  $\mu\text{L}$  of antibacterial streptomycin and tetracycline hydrochloride (mix, positive controls,

448 CK<sup>+</sup>), PDB of fungal metabolite (F-XTBG8), and bulk PDB (negative control, CK<sup>+</sup>). The TSA plates of  
449 inoculated bacterial suspension were cultured at 30°C for 12 h. The antagonistic zones (the bacterial  
450 growth inhibition zone minus cup outer diameter) were measured to evaluate the antibacterial effects of F-  
451 XTBG8 on different bacteria.

#### 452 ***H. rotundifolia* genome sequencing, assembly, and annotation**

453 Genomic DNA was extracted using the QIAGEN DNAasy Plant Mini Kit and according to the  
454 manufacturer's protocols. The extracted DNA molecules were sequenced by PacBio Sequel (Pacific  
455 Biosciences of California, Menlo Park, CA, USA) platforms. The CLR reads were assembled using mecat2  
456 (20190226) with default parameters. Draft genome was corrected by arrow and pilon. Polished contigs  
457 were anchored to chromosome by Hi-C reads. First, Hi-C reads were mapped to the polished *H.*  
458 *rotundifolia* genome using BWA (bwa-0.7.17) and Lachesis with default parameters (Burton et al., 2013).  
459 Paired reads with mate mapped to a different contig were used to do the Hi-C associated scaffolding.  
460 Lachesis was further applied to cluster, order and orient the clustered contigs. Two methods were  
461 combined to identify the repeat contents in *H. rotundifolia* genome, homology-based and de novo  
462 prediction. The repeat contents found by these two methods were merged by repeat masker. Protein-  
463 coding genes of the *H. rotundifolia* genome were predicted by three methods, including ab initio gene  
464 prediction, homology-based gene prediction and RNA-Seq-aided gene prediction (Supplementary  
465 Methods 2 for genome sequencing, assembly, and annotation details).

#### 466 ***H. rotundifolia* RNA isolation and transcriptome analysis**

467 Samples RNA were extracted using the Trizol reagent following manufacturer's recommendations  
468 (Invitrogen, CA, USA) and then sequenced using the MGI-SEQ 2000 platform (Supplementary Methods 2  
469 for library construction and sequencing details). Low quality reads were filtered out by SOAPnuke software  
470 (Chen et al., 2017) and clean reads were mapped to *H. rotundifolia* genome using bowtie2 software  
471 (Langmead 2010). Gene expression levels were estimated using FPKM values (fragments per kilobase  
472 per million fragments mapped) by the RSEM software (Li and Dewey 2011). DESeq2 (Love et al., 2014)  
473 was used to evaluate differential expression genes between different root samples. Genes with fold  
474 change >1 or <-1, and FDR<0.05 were differential expressed genes.

#### 475 **Statistical analysis and data normalization**

476 All data were conducted in R and visualized using the ggplot2 and igraph packages. The corrected P  
477 values were used as the threshold for significantly differentially expressed genes. The microbial alpha

478 diversity, including the Shannon, Chao1, and Simpson indices, was determined using Mothur v. 1.34.4.  
479 The PCoA was performed to examine the similarities and dissimilarities within the different groups. Some  
480 analysis and function prediction such as Spearman's correlations, PICRUST, FUNGuild and others, were  
481 analyzed on the free online platform of the Majorbio Cloud Platform ([www.majorbio.com](http://www.majorbio.com)). The base R  
482 package "stats" (v. 3.4.1) was used to perform the two-tailed Wilcoxon rank-sum test (`wilcox.test` function).  
483 ANOVA and mean separation using Least Significant Difference ( $P = 0.05$ ) for each location. T-Test and  
484 Two-way ANOVA test were significant at  $P = 0.05$ .

#### 485 **Data availability**

486 The genome, transcriptome, microbiome and other related data reported in this paper have been de-  
487 posited in the National Genomics Data Center (NGDC), under accession code BioProject: PRJCA009607  
488 (GSAs: CRA005765, <https://ngdc.cncb.ac.cn/>).

#### 489 **Acknowledgements**

490 We would like to thank Cui Zhang (Yunnan Agricultural University) for her assistance in environmental  
491 microbes isolation experiments, and Prof. Zubin Xie (Institute of Soil Science, Chinese Academy of Sci-  
492 ences, CAS) and Wenjun Zhou (XTBG, CAS) for their help in nitrogen enrichment experiment. Thanks to  
493 Dr. Yu Cao (Beijing Normal University) for suggestions on data processing and article writing. We thank  
494 to Juan Guo and Shuiqing Liang (Public Technology Service Center of XTBG, CAS) for metabolites and  
495 isotopic composition analysis. We also thank the Wuhan Metware Biotechnology Co., Ltd. (Wuhan, China)  
496 and Wuhan Generead Biotechnologies Co. Ltd (Wuhan, China) for support during metabolome and ge-  
497 nome data analysis.

#### 498 **Author contributions**

499 P.X. and L.H. conceived the research and S.Z. and L.H. revised the manuscript. Z.P. completed all the  
500 sampling and measurement work. X.M. participated in the bacterial and fungal separation, nitrogen en-  
501 richment experiment, S.Z. and S.Y. contributed to the data analysis and visualization section. Z.P. and S.Z.  
502 drafted the initial version of the manuscript. All authors contributed to reviewing and finalizing the manu-  
503 script.

#### 504 **Funding**

505 This study was supported by the Science and technology projects of Yunnan Province, China (No.  
506 202003AD150007), The CAS "Light of West China" Program (xbzg-zdsys-202111) and Hainan Yazhou

507 Bay Seed Lab (Grant No. B21HJ0106).

## 508 **Competing interests**

509 The authors declare no competing interests.

## 510 **Additional files**

511 **Additional files 1** (Supplementary Figure 1-6)

512 **Figure S1.** Aerial root mucilage (ARM) and underground root exudate (URE) compound of *H. rotundifolia*.

513 **Figure S2.** Fungal diversity and community of aerial root mucilage (mucilage) and underground rhizo-

514 sphere soil (rhizosphere). **Figure S3.** Differentiate analysis and function and phenotypic prediction of mu-

515 cilage and rhizosphere bacteria. **Figure S4.** Cultured bacteria and their nitrogen-fixing capacity. **Figure**

516 **S5.** Estimated genome size of *H. rotundifolia* by flow cytometry. **Figure S6.** Resistance of mucilage com-

517 pound to environmental microbes. **Figure S7.** Resistance of F-XTBG8 to pathogenic and environmental

518 fungi.

519 **Additional files 2** (Supplementary Table 1-9)

520 **Table S1.** Relative content of different compounds and carbohydrate of aerial root mucilage (ARM) and

521 underground root exudate (URE) **Table S2.** Fungal and bacterial OTU of different samples. **Table S3.**

522 Differentially bacterial and fungal genera of rhizosphere and mucilage. **Table S4.** Cultured bacteria and

523 their nitrogen-fixing capacity. **Table S5.** Plant nitrogen enrichment, length, and dry weight determination.

524 **Table S6.** Results of plant genome sequencing, assembly, and annotation. **Table S7.** GO and KEGG en-

525 richment of differential sample. **Table S8.** Antagonism of F-XTBG8 against different fungi and bacteria.

526 **Table S9.** Record of mucilage production and meteorological factors. **Table S10.** Candidates for follow-up

527 experiments in mucilage compounds. **Table S11.** The mucilage compounds for anti-microbe activity test.

528 **Additional files 3** (Supplementary Method 1-2)

529 **Method S1.** Carbohydrate and widely targeted metabolites profiling. **Method S2.** Plant Genome and tran-

530 scriptome analysis.

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