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#### Taiyuanostachya: An Abominable Angiosperm from the Early Permian of China

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### Abstract

Although angiosperms are clearly and strictly defined by their enclosed seeds and enclosed ovules, how old angiosperms are remains controversial. To solve this problem, the only reliable way is digging fossils. The currently widely accepted age for angiosperms is the Early Cretaceous, although this view is facing increasing challenges from pre-Cretaceous fossil evidence of angiosperms as well as molecular clock estimates. Here we report a Palaeozoic angiosperm, *Taiyuanostachya* gen. nov. This fossil plant has both enclosed ovules and enclosed seeds, features characteristic of angiosperms. Especially, enclosed ovules are idiosyncratic of angiosperms. The occurrences of both characters in *Taiyuanostachya* declare that angiosperms, the single most diversified plant group on the Earth, have occurred in the Palaeozoic, and the origination of angiosperm appears to be much earlier than assumed previously. Although appearing astonishing, this conclusion is in line with the outcomes of molecular clock estimates done decades ago. The discovery of *Taiyuanostachya* gen. nov is an abominable challenge for botanists who believe groundlessly that angiosperms cannot exist before the Cretaceous.

Key words: angiosperm, Permian, Palaeozoic, evolution, China.

### Introduction

Although Stebbins (Stebbins, 1976) has stated that "the evolutionary line leading to the angiosperms entered a dark tunnel of ignorance at the end of the Paleozoic until the early Cretaceous", this statement was never corroborated by any fossil evidence, since Scott et al. (Scott et al., 1960) annihilated all pre-Cretaceous records of angiosperms, and thenceforth the status of study on origin of angiosperms remains unchanged (Herendeen et al., 2017). The reason underlying such an academic stagnancy includes 1) a lack of well documented fossil evidence, which is the key to the problem (Beck, 1976), and 2), more importantly, a lack of consensus of criterion identifying angiosperms in the fossil world made the study of early angiosperm chaotic. A merit of a recent review on early angiosperms (Herendeen et al., 2017) is that the authors clearly listed several features unique of angiosperms, which could be used as criterion identifying a fossil angiosperm. Unfortunately, the

authors of the paper rejected themselves by listing five exemplar fossil angiosperms that did not meet their own criterion (Herendeen et al., 2017; Wang, 2021). The rejection was further repeated by Friis et al. (Friis et al., 2019), who published another fossil angiosperm that did not honor this criterion (Friis et al., 2019; Wang, 2020). "The unique character of angiosperms is that the ovules are completely enclosed in a carpel" (Sun et al., 1998). This stricter criterion for angiosperms applied by palaeobotanists was favored by a survey done by Tomlinson and Takaso (Tomlinson and Takaso, 2002), who distinguish angiosperms from gymnosperms by enclosed ovules before pollination. Here we adopt this stricter criterion (ovules enclosed before pollination) as a criterion identifying fossil angiosperms. Following this criterion, we pin down the angiosperm affinity of *Taiyuanostachya ovuilifera* gen. et sp. nov from the Lower Shihhotse Formation of Shanxi, China. Considering its Permian age, recognizing *Taiyuanostachya* as an angiosperm at least doubles the widely accepted age of angiosperms in the geological history. Such a discovery topples the existing stereotype of angiosperm evolution and history.

### Results

#### Taiyuanostachya gen. nov

**Diagnosis**: Fertile shoot consisting of a peduncle and terminal reproductive organs. Peduncle slender, straight. Leaves on peduncle ensiform, spirally arranged. Reproductive organ cylindrical, single or in twins, including an axis and multiple lateral appendages. Lateral appendages in whorls, vertically attached to the organ axis, with up-turning distals parallel to organ axis, with or without filamentous tips. Ovules/seeds spheroidal, attached to the organ axis, enclosed in lateral appendages. Central canal in lateral appendages connecting ovule/seed with exterior, filled with spongy materials, with an opening on the surface of lateral appendages. Ovule orthotropous, unitegmic, with a micropyle. Seed with layered seed coat.

**Remarks**: The specimens studied here were previously described as *Tingostachya tetralocularis* (Konno) Gao and Thomas (Gao and Thomas, 1987). As stated in the paper (Gao and Thomas, 1987), the specimens should be permanently deposited in the China Institute of Mining, Beijing, China. However, actually the specimens were left in UK after Gao finished his study in the University College, Cardiff, UK. Dr. Christopher Hill sent the specimens back to the Nanjing Institute of Geology and Palaeontology (NIGPAS) after he worked as an Einstein guest professor at NIGPAS in 2010. Now the specimens are deposited in NIGPAS, except one (B461) is deposited in .

Konno's diagnosis of *Tingostachya* was "Fertile shoot (in the geno-type, *T. tetralocularis*) consists of two parts: a long (?) slender axis and cylindrical terminal cones. Axis slender, forked dichotomously at apex, longitudinally ribbed with small uniform leafy sporophylls in four vertical series. Sporophylls uniform, more or less elongated, but only slightly modified from the foliage leaf in *Tingia*. A large tetralocular synangium, hemispherical, placed directly on the upper surface of each bract, apart from the cone axis, receiving one group of bundles from the axis which runs along the median zone of the bract." (Gao and Thomas, 1987) and Konno's spore was "often well preserved, usually of obovate contour, with the larger diameter from 150 µm to 130 µm" (Gao and Thomas, 1987). However, Gao and Thomas' rediagnosis of the same genus was "Fertile shoot consisting of a peduncle, with spirally arranged leaves and a terminal cone once dichotomized at its base. Leaves on peduncle ensiform, spirally arranged. Cone axis slender. Sporophylls spirally arranged, pedicels arising at right angles, with upwardly extending lateral margins (alations). Laminae lanceolate, parallel to cone

	Konno (1929)	Gao and Thomas (Gao and Thomas, 1987)	Present study
Spore	150 μm to 130 μm in	20 μm (no figure)	no
	diameter		
Synangium	On the upper surface	Proximal adaxial surface	no
	of bract, away from	of sporophyll pedicel, 1-	
	cone axis	1.5 mm	
Tetralocular synangium	yes	no	no
Sporophyll arrangement	in 4 vertical series	spiral	whorled
Bract	yes	no	no
Scale	absent	no	no
Ovule	no	no	yes
Seed	no	no	yes

axis. Sporangia spheroidal, attached to proximal part of the adaxial surface of pedicel. Sporangia with small spores" (Gao and Thomas, 1987).

Table 1. Differences among the present and previous interpretations.

As seen in Table 1, Konno's and Gao and Thomas' interpretations of the assumed same taxon are quite different. This implies that they were facing quite different taxa of fossil plants. As Gao and Thomas (Gao and Thomas, 1987) admitted, they had "a rather different morphological interpretation to that proposed by Konno (1929)." For example, their "sporophylls" were not in whorls of four, and their specimens had "certain undescribed features of sporophyll construction". The assumed "adaxial surface" position of the spheroidal sporangia on the sporophyll pedicels could not be ascertained by Gao and Thomas' figures, as they are actually enclosed in the lateral appendages and attached to the organ axis, as shown in our Figs. 1d, 2a-d, 3h, S2a-b, d, S3f, S4a-b, and S5a,c as well as in Gao and Thomas' Text-Fig. 3b and Plate 90, Fig. 6. These differences indicated that the specimens Gao and Thomas faced did not belong to Tingiostachya tetralocularis Konno (1929). In addition, although Gao and Thomas claimed "putative spores" in sporangia of the specimens, they failed to support their claim with any figure of spores (Gao and Thomas, 1987). The "tetralocular synangium" characteristic of Tingostachya tetralocularis Konno actually did not exist (Gao and Thomas, 1987). Gao and Thomas admitted that they did not know their fossils were a pteridophyte, a gymnosperm, or a progymnosperm. Considering the above unsupported claims by Gao and Thomas, we consider it decent to separate the specimens studied by Gao and Thomas (Gao and Thomas, 1987) (also the ones re-studied here) from Tingostachya Konno 1929 and recognize a new taxon, Taiyuanostachya, based on the following new information.

Although agreeing each other in calling the reproductive organs of *Tingostachya* as "cone", the interpretations of Konno and Gao and Thomas (Gao and Thomas, 1987) were not supported as there were no bracts and scales required for ovulate cones in conifers.

Ovule with micropyle and seed with layered seed coat annihilate the presence of sporangia in *Taiyuanostachya*. Although megaspores may be similar to *Taiyuanostachya* seeds/ovules in size, megaspores fall off from the mother plants when mature and develop independently (Herr, 1995) and do not have sclerotesta-like wall while our seeds remain within the fruits permanently and have sclerotesta with sculpture (Figs. 2a-f). If our so-called ovule/seed were actually sporangia (as

previously interpreted), the integument and micropyle (Figs. 3a-b) and layered seed coat (Figs. 3c-f) would defy interpretations. On the contrary, these structures would be completely expected for seeds and ovules. For example, the layers of tissue shown in Figs. 3d-e are hard to find counterparts in any sporangium wall, while they are readily compared with sarcotesta and sclerotesta commonly seen in seed coat. The presence of spongy materials in the central canal in lateral appendages is newly recognized in Taiyuanostachya gen. nov (Figs. 1e, 3i, S3f-g, S4a-b). However, this feature is not completely novel discovery, as in the documentation of Tingiostachya tetralocularis (Konno) Gao and Thomas, "a layer of light coloured sediment" was seen "between two layers of compression material (Pl. 90, fig. 5; text-fig. 3C-E) (Gao and Thomas, 1987). Our SEM revealed more details of such materials and have convinced us that it may be related to the pollination of *Taiyuanostachya*, as documented in basal angiosperms (Endress and Igersheim, 2000). Considering the above differences from original diagnosis of Tingostachya tetralocularis Konno, lack of spores in the specimens, occurrence of enclosed ovules/seeds, and central canal filled with spongy materials in the specimens, we prefer to separate these specimens from Tingostachya tetralocularis Konno and establish a taxon, although Gao and Thomas tried to designate one of their specimens (GP0094) as neotype of Tingostachya.

**Etymology:** *Taiyuan-* refers to the fossil locality, Taiyuan City, Shanxi, China; *-stachya* refers to the ear-like organ.

**Type species:** *Taiyuanostachya ovuilifera* gen. et sp. nov **Horizon**: the Lower Shihhotse Formation, Permian. **Age:** the Early Permian (>272 Ma ago).

> Taiyuanostachya ovuilifera gen. et sp. nov (Figs. 1-4, S1-S5)

#### Synonym:

*Tingostachya tetralocularis* (Konno) Gao and Thomas 1987, Gao and Thomas 1987, page 818, Plate 89, Fig. 10, Plate 90, Figs. 1-7

Species diagnosis: the same as that of the genus.

**Description**: The specimens are coalified compressions embedded in yellowish or greenish siltstones (Figs. 1a-d, S1a-c, S3a-d). The peduncle is up to 29 mm long and 2 mm in diameter (Figs. 1b-c). The organ is cone-like structures, paired or single on a peduncle, cylindrical in form, curved or straight in form, 33 to 43 mm long, 10 to 11 mm in diameter, with 25 to 40 cycles of lateral appendages (Figs. 1a-d, 2a, S1a-c, S3a-d). Numerous lateral appendages are whorled, attached to the organ axis vertically, with up-turning distal parts that may have filamentous tips (Figs. 1a-f, 2a, 3h, S1a-c, S2a-c, S3a-d,f, S4a-b, S5a,c). Lateral appendages are 4-5 mm long, 1.2-1.7 mm thick, approximately 8-10 per cycle (Figs. 1a-e, 2a, 3g-h, 4a, S1a-c, S2a-d). Lateral appendages in adjacent whorls alternate or opposite each other (Figs. 1d, 2a, 3h, 4a, S1a-c, S2c, S3a-d,f). Inside each lateral appendage, there are a central canal running into the distal (Figs. 1e-f, 3h-I, S4a-c, S3f-g, S4a-b, S5a, c) and an ovule directly attached to the axis (Fig. 1a-d, 2a-d, 3g-h, S5a,c). The central canal is filled with spongy materials (Figs. 1e-f, 3h-i, S3f-g, S4a-b, S5a,c). Filamentous tips on the up-turning parts range from 1 to 15 mm in length (Fig. 1d, f, 3h, S3f). Ovules are round-triangular in form, 1.5-1.6 mm long and 1.2-1.3 mm in diameter, with a distal micropyle and one layer of integument approximately 0.2 mm thick, attached to the axis (Figs. 3a-b, S2a-b, S3e-f,

S4a-b, S5a-c). A seed is invisible to naked eyes in an intact lateral appendage but visible to naked eyes when a lateral appendage is broken basally (Figs. 1d, 2a-d, 3a-h, S2a,b,d, S3f, S4a-b), discoid in form, 1.2-1.4 mm long, 0.9-1.4 mm wide, 0.4 mm thick, with a 0.1 mm–thick sarcotesta outside a 0.06- 0.14 mm–thick sclerotesta (Figs. 2a-f, 3c-h). Cells of the sclerotesta are rectangular-shaped, arranged in files, while those of the sarcotesta are more irregular and not arranged in regular files (Fig. 3e).

#### Holotype: GP0094.

Further specimens: GP0093, GP0094-A, GP0095, B461.

**Depository:** GP0093, GP0094, GP0094-A, GP0095 are deposited in the Nanjing Institute of Geology and Palaeontology, Nanjing, China; B461 is deposited in the Beijing Museum of Natural History.

**Remarks**: The seed is *in situ* in the lateral appendage (Figs. 2a-f), suggestive of a fruit nature of the lateral appendage. However, we prefer the term "lateral appendage" to "fruit" to remain neutral in our interpretation.

Etymology: ovuilifera refers to the ovules enclosed in the organ.

**Locality**: 5 km north-east of East Hill Mine, Taiyuan, Shanxi province, northern China. **Horizon**: the Lower Shihhotse Formation, Permian.

Age: the Early Permian (>272 Ma ago).

### Discussions

The core feature of angiosperms is "angiospermy", which literally means enclosed seeds. However, as ODC (Offspring Development Conditioning) is a universal trend in sexually reproduced organisms (Fu et al., 2021), it is not surprising that some conifers enclose and thus protect their seeds after pollination (Tomlinson and Takaso, 2002). So enclosed seeds are not a patent of angiosperms any more (Tomlinson and Takaso, 2002). Fortunately, enclosed ovules before pollination remains idiosyncratic of angiosperms (Tomlinson and Takaso, 2002). This criterion is the foundation for our following reasoning and conclusion.

The seed in Figs. 2a-f was previously interpreted as sporangium by Gao and Thomas (Gao and Thomas, 1987). Since sporangia and ovule/seed may be of similar sizes, it is necessary to eliminate sporangium alternative before further discussion. Gao and Thomas (Gao and Thomas, 1987) failed to support their interpretation with any figure of spore or pollen. Our new observation indicates that there is a layered seed coat (including sarcotesta and sclerotesta) around the seed (Figs. 3c-f), a structure never expected for any sporangium. This is consistent with the lack of spore/pollen in Gao and Thomas (Gao and Thomas, 1987). The internal position of "sporangia" in lateral appendages of Taiyuanostachya is disadvantageous for spore dispersal (important for the continuation of the phylogeny), therefore the internal position of *Taiyuanostachya* seed/ovule (Figs. 2a-f) makes it unlikely to be a sporangium. The occurrence of sclerotesta of dense materials surrounding its content (Figs. 2af) extinguishes it impossible to be a sporangium. This conclusion is further strengthened by the occurrence of micropyle and integument in the ovules of Taiyuanostachya (Figs. 3a-b), both of which have never been seen in any sporangium but are characteristic of ovules. Finally, the spongy materials filling the central canal (Figs. 1e-f, 3i, S3f-g, S4a-b) conjures to secretion secluding ovary in extant basal angiosperms (Endress and Igersheim, 2000). Such an observation suggests that the ovules in Taiyuanostachya, although not physically secluded (due to the presence of a central canal), are isolated from the exterior space by the spongy materials, which may guide pollen tubes to micropyles during

pollination. All these evidence converge to a conclusion that what seen in the lateral appendages of *Taiyuanostachya* is either an ovule or a seed. As it is shown in Figs. 2a-f and 3c-f, the seeds are clearly enclosed in the lateral appendages of *Taiyuanostachya*, indicating "angiospermy" in *Taiyuanostachya*. Since micropyle and integument are features characteristic of ovules, their occurrence in ovules enclosed in the lateral appendages of *Taiyuanostachya* (Figs. 3a-b) indicates the occurrence of "angio-ovuly" in *Taiyuanostachya*. The occurrence of both "angiospermy" and "angio-ovuly" in *Taiyuanostachya* unequivocally pins down its angiospermous affinity.

The Permian age, organ morphology, and organization of *Taiyuanostachya* all fall out of the expectations of existing angiosperm evolution theories. Such a disparity between theories and fossil facts prompts botanists to modify the existing botanical theories. *Taiyuanostachya* are coeval with *Primocycas chinensis* (B461 (Zhu and Du, 1981), GP0001, GP0027 (Gao and Thomas, 1987)), the earliest confirmed fossil record of Cycads. All these plants belong the well-known Cathaysian Flora of the Lower Permian, which usually is characterized by the presences assemblage of *Alethopteris-Emplectopteris-Tingia-Cathaysiopteris* (Li and Yao, 1985). *Tingiostachya* and *Tingia* are frequently seen elements in the Cathaysian flora widely spread in Asia in the Early Permian (Li and Yao, 1985). It appears that angiosperms co-evolved and co-developed with cycads throughout their histories since the late Palaeozoic, although, as Stebbins (Stebbins, 1976) stated, angiosperms later "entered a dark tunnel of ignorance".

We wish to remind that not all specimens of *Tingiostachya* are *Taiyuanostachya* gen. nov and thus belong to angiosperms, but these taxa deserve further scrutinizing before any final conclusion is given. As an angiosperm commonly seen in the Permian, *Taiyuanostachya* represents the currently earliest record of angiosperms. The impact of this conclusion on the current plant systematics cannot be overstated. The current lack of knowledge of the whole plant of *Taiyuanostachya* calls for more efforts from palaeobotanists.

### Conclusion

*Taiyuanostachya* gen. nov belongs to angiosperms as it has both enclosed seeds and enclosed ovules, which are characteristics restricted to angiosperm hitherto. This discovery is abominable for botanists who believe, although groundlessly, that angiosperms do not exist before the Cretaceous. The Permian age of *Taiyuanostachya* gen. nov undermines the validity of many currently dominating botanical theories. It is apparent that botany as a science of plants should be updated and modified accordingly.

### **Materials and Methods**

Most of the specimens (GP0093, GP0094, GP0094-A, GP0095) were collected by Gao from exposures of the Lower Shihhotse Formation, Lower Permian, in Simugedong approximately 5 km northeast of East Hill Mine, Taiyuan, Shanxi, China. The flora assemblage are composed of pteridophytes, cycadophytes, and Noeggerathiales (Gao and Thomas, 1987).

One additional specimen (B461) was collected in 1980 by Zhu and Du (Zhu and Du, 1981) from the Lower Shihhotse Formation, Lower Permian of East Hill, Taiyuan, Shanxi, China. The

primary result of Zhu and Du's outcome is the publication of *Primocycas chinensis* Zhu and Du. The specimen is in the specimen case containing *Primocycas chinensis*.

During both excursions, the collectors found that the *Taiyuanostachya* gen. nov specimens were associated with *Taniopteris* spp., *Tingia hamaguchii, Sphenopteris* spp., *Emplectopteris triangularis, Sphenophyllum* sp., *Cathaysiopteris whitei, Primocycas chinensis, Crosszamia minor, C. cucullata, C. spadicia, Tianbaolinia circinalis, Discinites dentilongus* (Zhu and Du, 1981; Gao and Thomas, 1987, 1989; Gao and Thomas, 1991; Gao and Thomas, 1994). This assemblage is typical of the Lower Shihhotse Formation, Lower Permian (Li and Yao, 1985).

The specimens are is preserved as coalified compressions embedded in siltstone. The specimens were photographed using a Nikon D300S digital camera. Details of the specimens were examined using a Nikon SMZ1500 stereomicroscope equipped with a Digital Sight DS-Fi1 camera. Afterward a replica of nitro cellulose(Zhu, 1983) was made on the specimen GP0094-A, and the replica was cleansed with HCl and HF, coated with gold, and observed using a MAIA 3 TESCAN SEM (scanning electron microscope) at the Nanjing Institute of Geology and Palaeontology (NIGPAS), Nanjing, China.

One *in situ* seed in a fruit (lateral appendage) was dislocated and prepared for Micro-CT observation. Micro-CT was performed using a Zeiss Xradia 520 versa X-ray microscope at the Nanjing Institute of Geology and Palaeontology, Nanjing, China. The 3D reconstruction and virtual sections were generated using a VG Studio MAX 3.0. All photographs were saved in TIFF format and organized together for publication using Photoshop 7.0.

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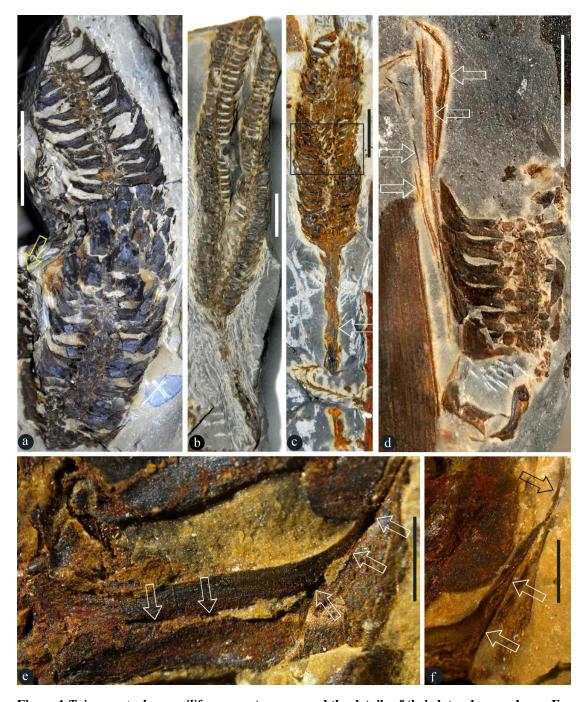


Figure 1 *Taiyuanostachya ovuilifera* gen. et sp. nov and the details of their lateral appendages. E-F, stereomicroscopy. A. Holotype of *Taiyuanostachya* gen. nov, on one of the two facing slabs. GP0094. Note another partially preserved organ (arrow). Scale bar = 1 cm. B. Twin organs on a single peduncle. GP0093. Scale bar = 1 cm. C. Whole organ with a long peduncle (arrow). GP0093. Scale bar = 1 cm. D. Partial organ. Note the filamentous tips (arrows) of lateral appendages. GP0095. Scale bar = 1 cm. E. Radial longitudinal section of a lateral appendage showing a central canal (arrows) running through the appendage. GP0094. Scale bar = 1 mm. F. Detailed view of the distal of a lateral appendage, showing the central canal (white arrows) and filamentous tip (black arrow). GP0094. Scale bar = 1 mm.

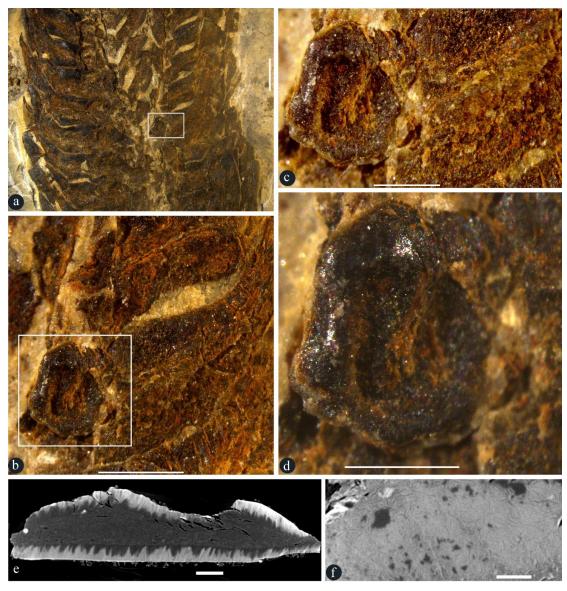
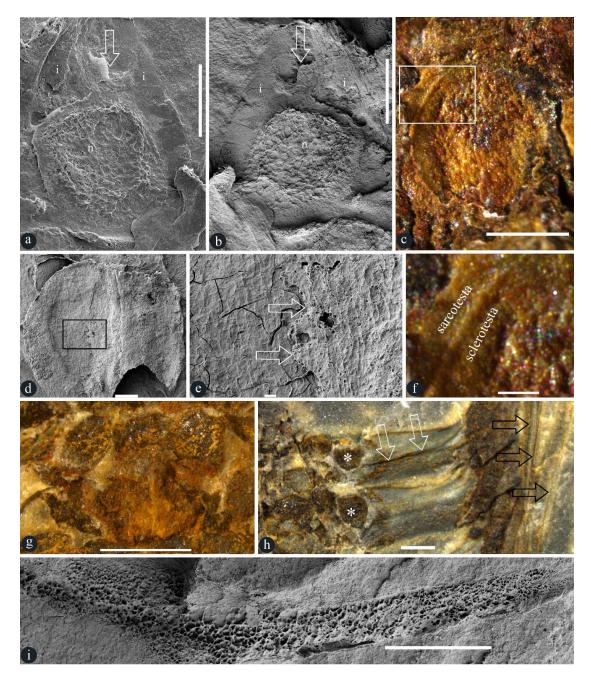
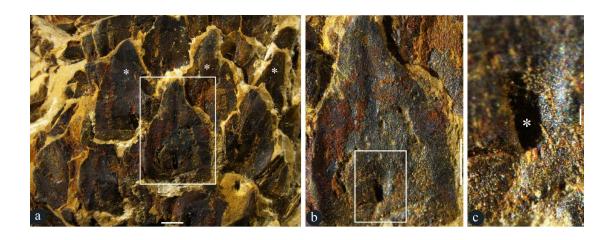


Figure 2 Details of an *in situ* seed in a lateral appendage. A-D, stereomicroscopy; E-F, micro-CT virtual sections. GP0093. A. Partial view of the rectangle in Fig. 1c. Scale bar = 2 mm. B. Detailed view of the rectangle in Fig. 2a, showing a seed in base of a broken lateral appendage. Scale bar = 1 mm. C. Detailed view of the rectangle in Fig. 2b, showing a basal *in situ* seed. Scale bar = 0.5 mm. D. Detailed view of the seed in Fig. 2c, showing the shiny seed coat. Scale bar = 0.5 mm. E. Virtual section of the seed in Fig. 2d, showing the seed coat (sclerotesta) surrounding the seed content. Scale bar = 0.1 mm. F. Surface view of the seed coat (sclerotesta) with sculpture. Scale bar = 0.1 mm.



**Figure 3 Details of** *in situ* **ovules, seeds, and others in** *Taiyuanostachya* **gen. nov.** A, B, D, E and I are SEM, C, F-H are stereomicroscopy. A-F, I are of GP0094-A, G is of GP0094, H is of GP0095. **A**. An ovule with an upper-pointing micropyle (arrow), nucellus (n), and integument (i). Scale bar = 0.5 mm. **B**. An ovule with an upper-pointing micropyle (arrow), nucellus (n), and integument (i). Scale bar = 0.5 mm. **C**. A seed *in situ* in a lateral appendage. Scale bar = 0.5 mm. **D**. An *in situ* seed. Scale bar = 0.2 mm. **E**. Detailed view of the rectangle in Fig. 3d, showing sarcotesta (arrow, right) over the sclerotesta (left). Scale bar = 20  $\mu$ m. **F**. Detailed view of the rectangle in Fig. 3c, showing sarcotesta (left) outside the sclerotesta (right). Scale bar = 0.1 mm. **G**. Whorled arrangement of lateral appendages and their *in situ* ovules (asterisks). Note the central canal (white arrows) and vertical filamentous tips (black arrows) of lateral appendages. Scale bar = 1 mm. **I**. Spongy filling in the central canal in a lateral appendage. Refer to Fig. S4b. Scale bar = 1 mm.



#### Figure 4 Surface views of lateral appendages of Taiyuanostachya gen. et sp. nov.

Stereomicroscopy, GP0094. A. Alternate, whorled arrangement of lateral appendages (asterisks). Enlarged from Fig. 1a. Scale bar = 1 mm. B. A lateral appendage in the rectangle in Fig. 4a, showing surface view of the lateral appendages. Scale bar = 1 mm. C. Detailed view of rectangle in Fig. 4b, showing the opening (asterisk) of the central canal. Scale bar = 0.1 mm.

## Supplementary Files

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