

Taiyuanostachya: An Abominable Angiosperm from the Early Permian of China

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3

4 **Abstract**

5 Although angiosperms are can be clearly and strictly defined by their enclosed ovules, how old
6 angiosperms are remains elusive. To solve this problem, the only reliable way is digging fossils. The
7 currently widely accepted age for angiosperms is the Early Cretaceous, although this conclusion is
8 facing increasing challenges pre-Cretaceous fossil evidence of angiosperms as well as molecular clocks.
9 Here we report the outcome of our investigation on a Palaeozoic fossil plant, *Taiyuanostachya* gen. nov.
10 Unlike previously thought, the fossil plant has both enclosed ovules and enclosed seeds. Since enclosed
11 seeds are a feature characteristic of angiosperms, and enclosed ovules are idiosyncratic of angiosperms,
12 the occurrence of both these features in *Taiyuanostachya* indicates that angiosperms, the single most
13 diversified plant group on the Earth, may well have occurred in the Palaeozoic, and the origination of
14 angiosperm appears to be much more complicated than assumed previously. Such a conclusion is
15 astonishing for many but favors some molecular dating done decades ago.

16 **Key words:** angiosperm, Permian, Palaeozoic, evolution, China.

17

18 The age of angiosperms has been heatedly debated among botanists for a long time¹⁻³⁷. Although
19 molecular clocks have suggested various earlier origins of angiosperms, ranging from the
20 Carboniferous to the Jurassic^{29,38,39}, a conclusion in line with independent studies^{6,10,15,21-24,40-44}, their
21 conclusions are largely ignored by palaeobotanists who prefer fossils to DNA sequences. Although
22 Stebbins⁴⁵ stated that “the evolutionary line leading to the angiosperms entered a dark tunnel of
23 ignorance at the end of the Paleozoic until the early Cretaceous”, this statement was never proven true
24 or corroborated by fossil evidence: Scott et al.²⁰ annihilated all pre-Cretaceous angiosperms, and
25 thenceforth the status of study on origin of angiosperms remains unchanged⁴⁶ in spite of all progresses
26 made in palaeobotany. The reason underlying such an academic stagnancy includes 1) a lack of well
27 documented fossil evidence, which is the key to the problem², and 2), more importantly, a lack of
28 consensus of criterion identifying angiosperms in the fossil world. Although there are recent reports of
29 angiosperm trace in the Triassic and Jurassic^{6,21-25,40,41}, all of these reports were suspected by some
30 palaeobotanists⁴⁶. A merit of the paper⁴⁶ is that they clearly listed several features unique of
31 angiosperms, which, if no controversy rose, could be used as criterion identifying a fossil angiosperm.
32 Unfortunately, this possibility disappeared soon: the authors listed five exemplar fossil angiosperms
33 that did not meet their own criterion in the same publication^{46,47}. This refutation was strengthened by
34 Friis et al.⁴⁸ who published another fossil angiosperm, *Hedyflora*, that did not honor this criterion^{48,49}.
35 To be conservative, here we adopted ovules enclosed before pollination as a criterion identifying fossil
36 angiosperms, which has been raised and repeatedly applied previously^{6,40,42,50-53} and is closely related
37 to the core feature of angiosperms, angiospermy. Applying this criterion, we identified a new
38 angiosperm, *Taiyuanostachya ovuilifera* gen. et sp. nov, from the Lower Shihhotse Formation of
39 Shanxi, China. Both occurrence of enclosed ovules and enclosed seeds in *Taiyuanostachya* are
40 suggestive of the occurrence of angiosperms in the Palaeozoic. Considering its Permian age,
41 recognizing *Taiyuanostachya* as an angiosperm at least doubles the widely accepted age of
42 angiosperms in the geological history, if *Archaeofructus* is taken as the earliest record of angiosperms.
43 Such a discovery will heavily influence the current understanding of angiosperm evolution and history.

44 *Taiyuanostachya* gen. nov

45 **Synonym:**

46 *Tingostachya tetralocularis* (Konno) Gao and Thomas 1987

47 **Diagnosis:** Fertile shoot consisting of a peduncle and a terminal reproductive organ. Leaves on
48 peduncle ensiform, spirally arranged. Organ cylindrical, single or in twins. Lateral appendages
49 vertically attached to the organ axis, whorled, with up-turning distals parallel to organ axis, with or
50 without filamentous tips. Ovules/seeds spheroidal, attached to the organ axis, enclosed in lateral
51 appendages. Central canal in lateral appendages connecting ovule/seed with exterior, filled with spongy
52 materials, with an opening at the distal of the lateral appendages. Ovule orthotropous, unitegmic, with a
53 micropyle. Seed with layered seed coat.

54 **Remarks:** The specimens studied here were previously described as *Tingostachya tetralocularis*
55 (Konno) Gao and Thomas⁵⁴. However, as Gao and Thomas admitted, they had “a rather different
56 morphological interpretation to that proposed by Konno (1929).”⁵⁴ For example, their “sporophylls”
57 were not in whorls of four, and their specimens have “certain undescribed features of sporophyll

58 construction". The assumed "adaxial surface" position of the spheroidal sporangia on the sporophyll
59 pedicels cannot be ascertained, as it is within the lateral appendages (Figs. 1d, 2a-d, 3h, S2a-b, d, S3f,
60 S4a-b, S5a,c). These suggest that the specimens Gao and Thomas studied have may not belong to
61 *Tingostachya tetralocularis* Konno (1929)

62 Although Gao and Thomas mentioned SEM observed "putative spores" in sporangia, they did not
63 show any figure of such spores⁵⁴. There is no trace of "tetralocular synangium" characteristic of
64 *Tingostachya tetralocularis* Konno⁵⁴. These made their claims of spores in *Tingostachya tetralocularis*
65 (Konno) Gao and Thomas spurious. Such a lack of deepened understanding of *Taiyuanostachya* forced
66 Gao and Thomas to admit that they even did not know the fossils they documented were a pteridophyte,
67 a gymnosperm, or a progymnosperm. Our recognition of ovule with micropyle and seed with layered
68 seed coat eliminated the existence of sporangia in *Taiyuanostachya*.

69 The presence of spongy materials in the central canal in lateral appendages of *Taiyuanostachya* is
70 first time recognized in *Taiyuanostachya* gen. nov (Figs. 1e, 3i, S3f-g, S4a-b). However, in their
71 documentation of *Tingostachya tetralocularis* (Konno) Gao and Thomas, "a layer of light coloured
72 sediment" has been seen "between two layers of compression material (Pl. 90, fig. 5; text-fig. 3C-E)⁵⁴.
73 Our SEM revealed more details of such materials and have convinced us that it may be related to the
74 pollination of *Taiyuanostachya*, just as in basal angiosperms⁵⁵.

75 Considering the above differences from original diagnosis of *Tingostachya tetralocularis* Konno
76 (Konno, 1929), our failure observing any trace of spores in the specimens, and our recognition of
77 enclosed ovules/seeds and central canal filled with spongy materials in the specimens, we prefer to
78 separate these specimens from *Tingostachya tetralocularis* (Konno) Gao and Thomas and establish a
79 new genus *Taiyuanostachya* for the specimens documented here.

80 **Etymology:** *Taiyuan-* refers to the fossil locality, Taiyuan City, Shanxi, China; *-stachya* refers to
81 the ear-like organ.

82 **Holotype:** *Taiyuanostachya ovuilifera* gen. et sp. nov

83 **Horizon:** the Lower Shihhotse Formation, Permian.

84 **Age:** the Early Permian (>272 Ma ago).

85 *Taiyuanostachya ovuilifera* gen. et sp. nov

86 (Figs. 1-4, S1-S5)

87 **Species diagnosis:** the same as that of the genus.

88 **Description:** The specimens are coalified compressions embedded in yellowish or greenish
89 siltstones (Figs. 1a-d, S1a-c, S3a-d). The organ is cone-like structures paired or single on a peduncle
90 (Figs. 1a-d, S1a-c). The peduncle is up to 29 mm long, and 2 mm wide (Figs. 1b-c). The organ is
91 cylindrical in form, curved or straight in form, 3.3 to 4.3 cm long, 10 to 11 mm in diameter (Figs. 1a-d,

92 2a, S1a-c, S3a-d). Numerous lateral appendages are whorled, attached to the organ axis vertically, with
93 up-turning distal parts that have filamentous tip (Figs. 1a-f, 2a, 3h, S1a-c, S2a-c, S3a-d,f, S4a-b, S5a,c).
94 Lateral appendages are 4-5 mm long, 1.2-1.7 mm thick, approximately 8-10 per cycle (Figs. 1a-e, 2a,
95 3g-h, 4a, S1a-c, S2a-d). Lateral appendages in adjacent whorls alternate or opposite each other (Figs.
96 1d, 2a, 3h, 4a, S1a-c, S2c, S3a-d,f). Inside each lateral appendage, there is a central canal running into
97 the distal (Figs. 1e-f, 3h-I, S4a-c, S3f-g, S4a-b, S5a, c) and an ovule directly attached to the axis (Fig.
98 1a-d, 2a-d, 3g-h, S5a,c). The central canal is filled with spongy materials (Figs. 1e-f, 3h-i, S3f-g, S4a-b,
99 S5a,c), has an operculum in the distal part (Figs. 4a-c, S4a-b, S5a,c). Filamentous tips on the up-turning
100 parts may range from 1 to 15 mm in length (Fig. 1d, f, 3h, S3f). Ovules are round-triangular in form,
101 1.5-1.6 mm long and 1.2-1.3 mm in diameter, with a distal micropyle and one layer of integument
102 approximately 0.2 mm thick, attached to the axis (Figs. 3a-b, S2a-b, S3e-f, S4a-b, S5a-c). A seed is
103 invisible to naked eyes in an intact lateral appendage but visible to naked eyes when the lateral
104 appendage is broken basally (Figs. 1d, 2a-d, 3a-h, S2a,b,d, S3f, S4a-b), discoid in form, 1.2-1.4 mm
105 long, 0.9-1.4 mm wide, 0.4 mm thick, with a sarcotesta 0.1 mm thick outside a sclerotesta 0.06- 0.14
106 mm thick (Figs. 2a-f, 3c-h). Cells of the sclerotesta are rectangular-shaped, arranged in files, while
107 those of sarcotesta are more irregular and not arranged in well-defined files (Fig. 3e).

108 **Holotype:** GP0094.

109 **Further materials:** GP0093, GP0094-A, GP0095, B461.

110 **Remarks:** The seed described is *in situ* in the lateral appendage, suggestive of a fruit nature of the
111 appendages. We prefer the word “lateral appendage” to “fruit” to be more neutral in our interpretation.

112 **Etymology:** *ovulifera* refers to the ovules enclosed in the fossil.

113 **Locality:** 5 km north-east of East Hill Mine, Taiyuan, Shanxi province, northern China.

114 **Horizon and age:** the Lower Shihhotse Formation, Permian; the Early Permian (>272 Ma ago).

115

116 The core feature of angiosperms is “angiospermy”, which literally means enclosed seeds.
117 However, as ODC (Offspring Development Conditioning) is almost a universal trend in sexually
118 reproduced organisms ⁵⁶, it is not surprising that some conifers enclose and thus protect their seeds
119 after pollination ⁵². So enclosed seeds are patent of angiosperms any more. Fortunately, enclosed
120 ovules before pollination remains idiosyncratic of angiosperms. This is the reason Wang ⁴² proposed it
121 as a criterion identifying fossil angiosperms. This is the criterion we adopt here and is the foundation
122 for the following discussion.

123 Our so-called seed in Figs. 2a-f was previously interpreted as sporangium ⁵⁷. So it is necessary to
124 clarify before further discussion. Although interpreted as sporangium, Gao and Thomas⁵⁴ failed to
125 show any figure of *in situ* spore or pollen. Such an outcome implicitly rejects the sporangium
126 interpretation. Our new observation indicate that there is a layered seed coat composed of sarcotesta
127 and sclerotesta in the seed (Figs. 3c-f), a structure never expected for a sporangium. This is in line with

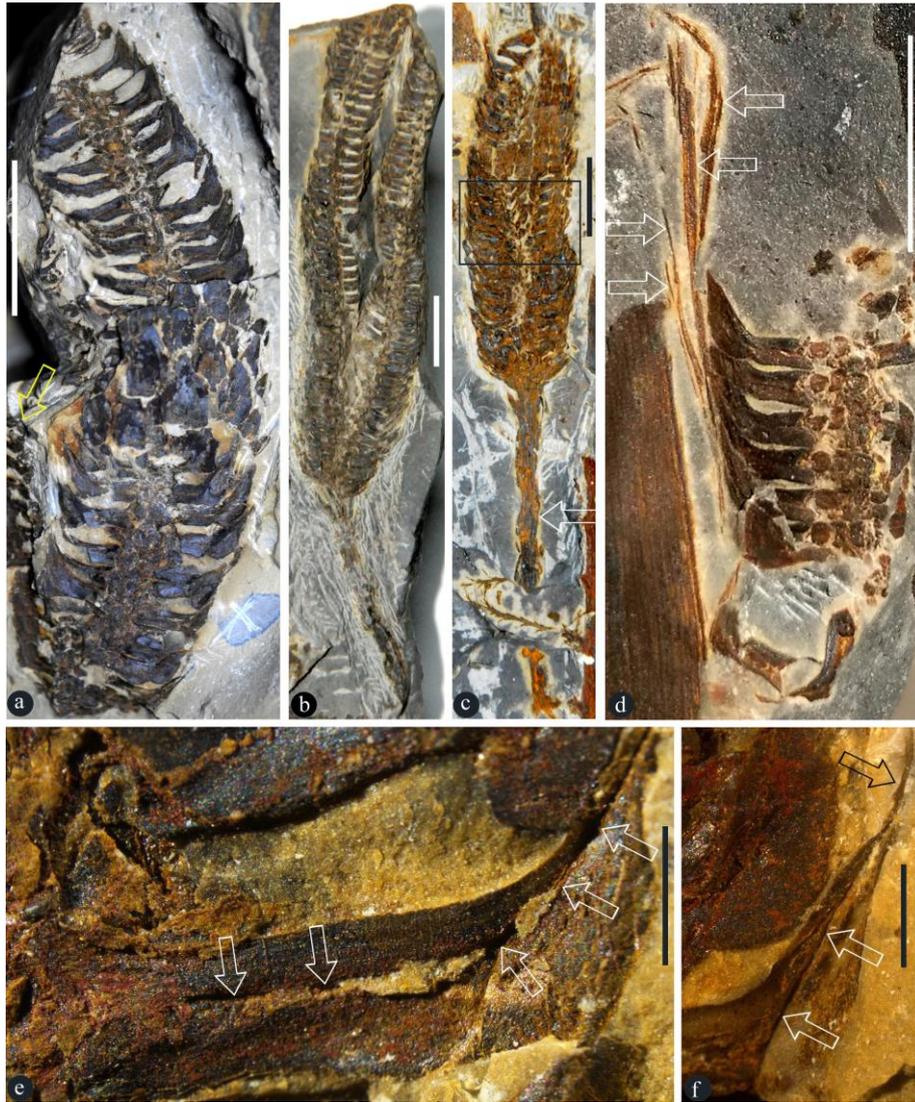
128 the lack of spore/pollen in documentation of Gao and Thomas⁵⁴. Although spore plants do have some
129 structures like indusium protecting their sporangia, having sporangia enclosed as in *Taiyuanostachya*
130 does do harm to dispersal of spores and thus is a disadvantage for the plant, this suggests that the seed
131 (in our term) of *Taiyuanostachya* is unlikely to be a sporangium. The occurrence of shiny seed coat
132 with sclerotesta of dense materials (Figs. 2a-f) eliminates the possibility of being sporangium. This
133 conclusion is further strengthened by the discovery of micropyle and integument in the ovules of
134 *Taiyuanostachya* (Figs. 3a-b), both structures unexpected for any sporangium. What is important is that
135 these ovules are fully enclosed in the lateral appendages in *Taiyuanostachya*. Finally, the spongy
136 materials filling the central canal (Figs. 1e-f, 3i, S3f-g, S4a-b) conjures to secretion secluding ovary in
137 extant basal angiosperms⁵⁵. Such observation suggests that the ovules in *Taiyuanostachya*, although
138 not physically secluded (due to the presence of the canal), are isolated from the exterior space, and that
139 the spongy materials may function like pollination drop or similar oozes in guiding the pollen tube to
140 the micropyle for a successful pollination. All these converge to one conclusion that what seen in the
141 lateral appendages of *Taiyuanostachya* are ovules and seeds.

142 As it is shown in Figs. 2a-f and 3c-f, seeds are clearly within the lateral appendages in
143 *Taiyuanostachya*. This observation suggests that, at least, *Taiyuanostachya* has enclosed seeds, namely,
144 “angiospermy”. Ovules with characteristic features (micropyle and integument) are found enclosed in
145 the lateral appendages in *Taiyuanostachya* (Figs. 3a-b), indicating the occurrence of “angio-ovuly”, a
146 feature strictly restricted to angiosperms, in *Taiyuanostachya*. The occurrence of “angiospermy” and
147 “angio-ovuly” unequivocally pins down the angiospermous affinity of *Taiyuanostachya*.

148 It is noteworthy that the early age, organ morphology, organ organization, and associated fossils
149 of *Taiyuanostachya* all fall out of the previous expectation of angiosperm evolutionary theories. Such a
150 huge discrepancy between theories and fossil facts demand botanists to work hard to fill.

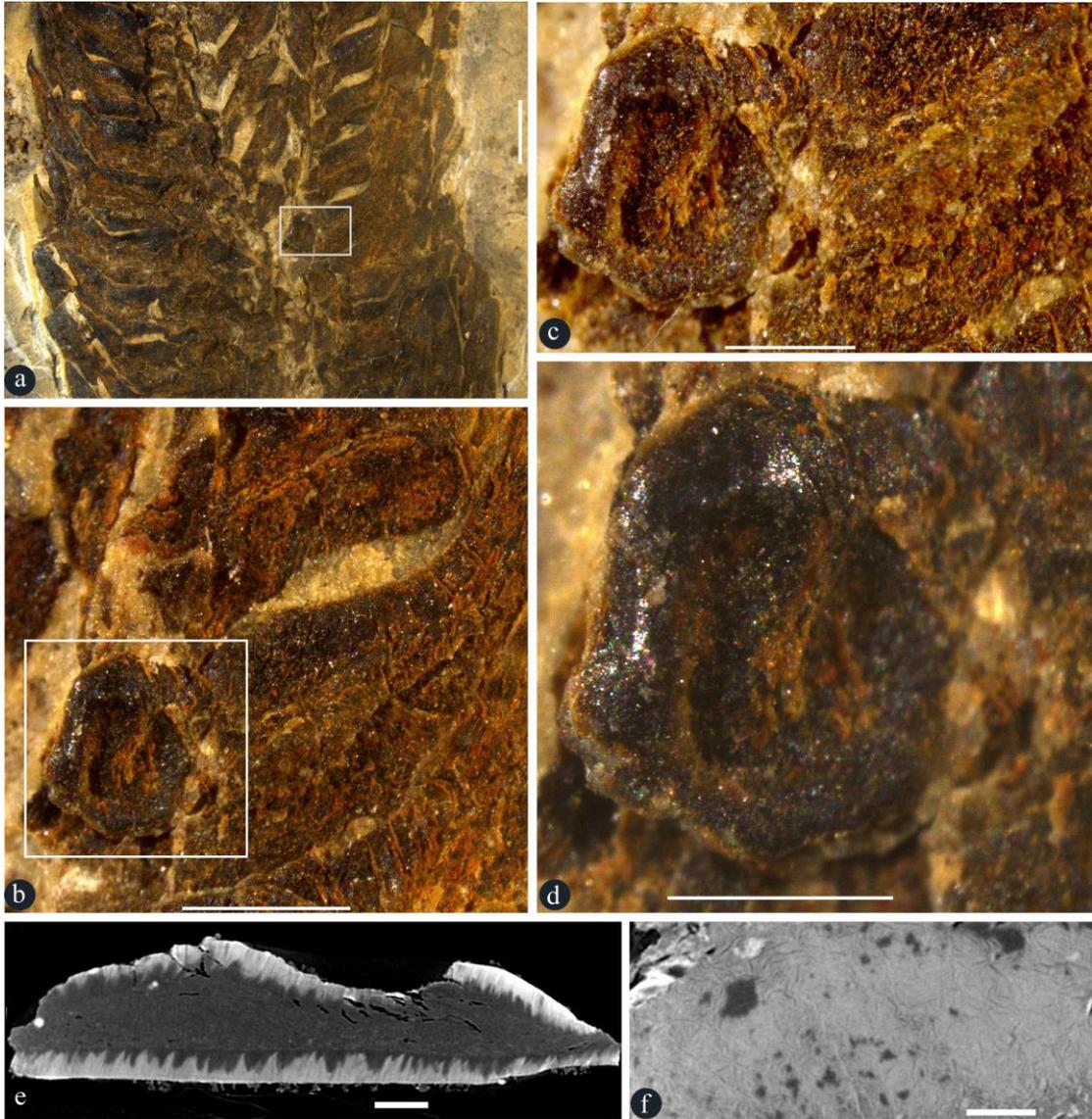
151 It is noteworthy that specimens of *Taiyuanostachya* (B461⁵⁸; GP0093, GP0094, GP0095⁵⁴)
152 contemporary with *Primocycas chinensis* (B461⁵⁸; GP0001, GP0027⁵⁴), the earliest confirmed fossil
153 record of Cycadales. All these plants belong the well-known Cathaysian Flora of the Lower Permian,
154 which usually is characterized by the presences of *Alethopteris-Emplectopteris-Tingia-Cathaysiopteris*
155 (Li and Yao, 1985). *Tingiostachya* and *Tingia* are frequently seen elements in the Cathaysian flora in
156 the Early Permian⁵⁹. Furthermore *Tingiostachya* and *Tingia* are widely spread in Asia. It appears that
157 angiosperms co-evolved and flourished with the most ancient seed plants, Cycadales, throughout their
158 histories, although, as Stebbins stated, angiosperms later “entered a dark tunnel of ignorance”. Now is
159 the time to bring this dark tunnel under light.

160 Although our study does not imply that all taxa related to *Tingiostachya* share exactly the same
161 morphology with *Taiyuanostachya* gen. nov and thus are angiosperms, these taxa apparently deserve
162 scrutinizing. As a commonly seen plant and an angiosperm in the Permian, *Taiyuanostachya*
163 underscores this currently earliest record of angiosperms, its role in the Permian ecosystem, its
164 far-reaching influence on plant systematics. The current lack of knowledge of the whole plant of
165 *Taiyuanostachya* requires palaeobotanists to work hard to unveil the mystery surrounding itself.



166

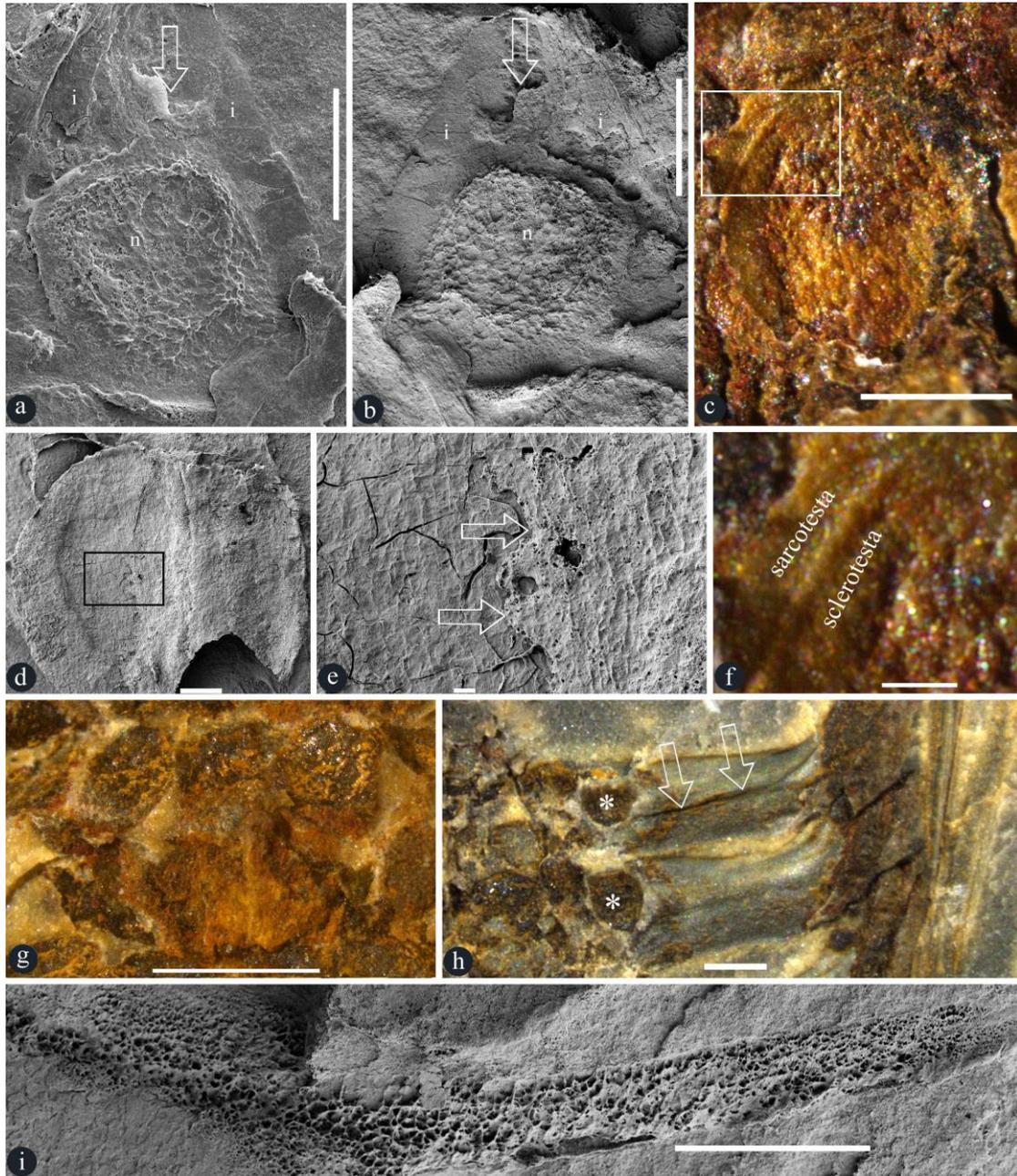
167 **Figure 1** *Taiyuanostachya ovuilifera* gen. et sp. nov and the details of their lateral appendages.
 168 **E-F, stereomicroscopy.** **A.** Holotype of *Taiyuanostachya* gen. nov, on one of the two facing slabs.
 169 GP0094. Note another partially preserved organ (arrow). Scale bar = 1 cm. **B.** Twin organ on a
 170 peduncle. GP0093. Scale bar = 1 cm. **C.** Whole organ with a long peduncle (arrow). GP0093.
 171 Scale bar = 1 cm. **D.** Partial organ. Note the filamentous tips (arrows) of lateral appendages.
 172 GP0095. Scale bar = 1 cm. **E.** Radial section of a lateral appendage showing canal (arrows) going
 173 through the appendage. GP0094. Scale bar = 1 mm. **F.** Detailed view of the distal of a lateral
 174 appendage, showing the canal (white arrows) and filamentous tip (black arrow). GP0094. Scale bar
 175 = 1 mm.



176

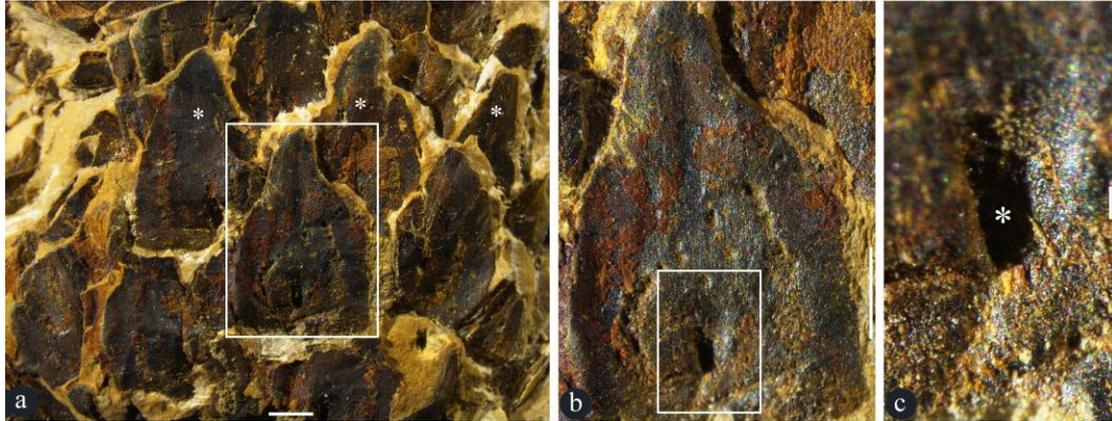
177 **Figure 2 Details of an *in situ* seed inside a lateral appendage. A-D, stereomicroscopy; E-F,**
 178 **micro-CT virtual sections. GP0093. A.** Partial view of the rectangle in Fig. 1c. Scale bar = 2 mm.
 179 **B.** Detailed view of the rectangle in Fig. 2a. Scale bar = 1 mm. **C.** Detailed view of the rectangle
 180 in Fig. 2b. Scale bar = 0.5 mm. **D.** Detailed view of the seed in Fig. 2c, showing the shiny seed
 181 coat. Scale bar = 0.5 mm. **E.** Virtual section of the seed in Fig. 2d, showing the seed coat
 182 surrounding the seed coat. Scale bar = 0.1 mm. **F.** Surface view of the seed coat, showing the
 183 sculpture. Scale bar = 0.1 mm.

184



185

186 **Figure 3 Details of *in situ* ovules, seeds, and other in *Taiyuanostachya* gen. nov.** A-D, I are
 187 SEM, C, F-H are stereomicroscopy. A-F, I are of GP0094-A, G is of GP0094, H is of GP0095. A.
 188 An ovule with micropyle (arrow), nucellus (n), and integument (i). Scale bar = 0.5 mm. B. An ovule
 189 with micropyle (arrow), nucellus (n), and integument (i). Scale bar = 0.5 mm. C. A seed *in situ* in a
 190 lateral appendage. Scale bar = 0.5 mm. D. An *in situ* seed. Scale bar = 0.2 mm. E. Detailed view of
 191 the rectangle in Fig. 3d, showing sarcotesta (arrow, right) over the sclerotesta (left). Scale bar = 20
 192 μ m. F. Detailed view of the rectangle in Fig. 3c, showing sarcotesta (left) over the sclerotesta (right).
 193 Scale bar = 0.1 mm. G. Whorled arrangement of lateral appendage scars, suggestive of 8-10 lateral
 194 appendages per cycle. Scale bar = 1 mm. H. Two lateral appendages and their *in situ* ovules
 195 (asterisks). Note the central canal (arrows). Scale bar = 1 mm. I. Spongy filling in the canal in a
 196 lateral appendage. Refer to Fig. S4b. Scale bar = 1 mm.



197

198 **Figure 4 Surface view of lateral appendages and Micro-CT of *Taiyuanostachya* gen. et sp.**
 199 **nov.** A-C are stereomicroscopy of GP0094. **A.** Alternate, whorled arrangement of lateral
 200 appendages (asterisks). Enlarged from Fig. 1a. Scale bar = 1 mm. **B.** A lateral appendage in
 201 the rectangle in Fig. 4a. Scale bar = 1 mm. **C.** Detailed view of rectangle in Fig. 4b,
 202 showing the operculum (asterisk) of the canal. Scale bar = 0.1 mm.

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