

Establishment of an indicator framework for Global One Health Intrinsic Drivers index based on the Grounded Theory

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1 Establishment of an indicator framework for Global One Health

2 Intrinsic Drivers index based on the Grounded Theory

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17 Abstract

18 **Background:** One Health has become a global consensus to deal with
19 complex health problems. However, the development process of One Health
20 practice in various countries is still relatively slow, and there is a lack of
21 systematic evaluation index. The purpose of this study was to establish an
22 indicator framework for global One Health Intrinsic Drivers index (GOH-IDI) to
23 evaluate human, animal and environmental health development process
24 globally.

25 **Method:** First, 82 studies were deeply analyzed by a grounded theory (GT)
26 method, including open coding, axial coding, and selective coding, to establish
27 a three-level indicator framework, which was composed of three first-level
28 indicators, 19 second-level indicators, and 79 third-level indicators. Then,
29 through semi-structured interviews with 28 health-related experts, the
30 indicators were further integrated and simplified according to the inclusion
31 criteria of the indicators. Finally, a fuzzy analytical hierarchy process (FAHP)
32 was used to assign weights to the indicators, thus, forming the evaluation

33 indicator framework of human, animal and environmental health development
34 process.

35 **Results:** An indicator framework for GOH-IDI was formed consisting of three
36 first-level indicators, 15 second-level indicators and 61 third-level indicators.
37 There were six second-level indicators for “Human Health”, of which
38 “Reproductive, Maternal, New-born, and Child Health” had the highest weight
39 (20.63%) and “Health Risk” had the lowest weight (13.01%). There were four
40 second-level indicators for “Animal Health”, of which “Animal Epidemic Disease”
41 had the highest weight (31.87%) and “Animal Nutritional Status” had the lowest
42 weight (17.36%). Five second-level indicators were set under “Environmental
43 Health”, among which, “Air Quality and Climate Change” had the highest weight
44 (23.82%) and “Hazardous Chemicals” had the lowest weight (17.52%).

45 **Conclusions:** An indicator framework for GOH-IDI was established in this study.
46 The indicators were universal, balanced, and scientific, and established a tool
47 for scientifically measuring the development level of human, animal and
48 environmental health in different regions globally.

49

50 **Keywords:** Global One Health; Intrinsic Drivers index; Indicators; Grounded
51 theory; Fuzzy analytical hierarchy process

52

53 **1. Background**

54 With the deepening of global integration, under the influence of factors such as
55 increased population mobility and intensified environmental change, global public
56 health emergencies are occurring more frequently^[1-3]. Human health is closely linked
57 to animals and the ecological environment, sixty percent of known human infectious
58 diseases are zoonotic^[4-7], and about 70% of new zoonotic diseases originate in wild
59 animals^[8]. Thus, some scholars put forward the concept of One Health, which integrates
60 human, animal, and environmental health to carry out health promotion work^[9, 10], the
61 American Veterinary Medical Association first established the One Health Action group
62 in 2007. Based on One Health concept, in 2010, the World Health Organization (WHO),

63 the World Organization for Animal Health (OIE), and The Food and Agriculture
64 Organization of the United Nations (FAO) co-signed an agreement to “sharing
65 responsibilities and coordinating global activities to address health risks at the animal-
66 human-ecosystems interface”^[11]. In 2021, the FAO, OIE, WHO, and the United Nations
67 Environment Programme (UNEP)’s One Health High Level Expert Panel (OHHLEP)
68 officially defined One Health as “an integrated, unifying approach that aims to
69 sustainably balance and optimize the health of people, animals and ecosystems”^[12].

70 Studies have shown that GOHI consists of external drivers index, intrinsic drivers
71 index and core drivers index, to regard the coordinated development of human, animal
72 and environmental health as the target interface, the coordinated development of One
73 Health practice process need external drivers factors such as society, economy, culture,
74 also need core drivers factors such as the zoonotic disease prevention and control
75 process, the food chain and food safety, prevention and control of microbial resistance
76 and adaptation to climate change^[13, 14]. However, there is no unified evaluation standard
77 for One Health Intrinsic Drivers factors in all countries around the world, especially,
78 One Health governance, including human, animal and environmental health
79 development process, is not enough in developing countries^[15, 16]. At present, there is
80 little studies on health-related evaluation systems around the world, the sustainable
81 development report (SDR) released by the United Nations, the Institute for Health
82 Metrics and Evaluation the global burden of diseases database (IHME-GBD)
83 established by Washington University, and the environmental performance index (EPI)
84 proposed by Yale University study health-related evaluation systems from the aspects
85 of economic development, disease burden, and ecological environment. However, those
86 studies are limited to specific scientific fields of One Health. Recently, the global One
87 Health index (GOHI), a potential assessment tool for One Health performance, was
88 released by the expert group form Shanghai Jiaotong Univercity firstly in the world^{[13,}
89 ^{17]}. As one of important component, the establishment of indicator framework for global
90 One Health Intrinsic Drivers index (GOH-IDI) has not been reported. Therefore, the
91 establishment of indicator framework for GOH-IDI deserves a more detailed
92 explanation.

93 Grounded Theory (GT) is a qualitative research method proposed by the American
94 scholars, Anselm Strauss and Barney Glaser in 1967^[18]. Its core idea is that researchers
95 do not have theoretical assumptions before the beginning research, but organize,
96 summarize, and analyze the original data through standardized and systematic
97 operations, finally establishing a theoretical model from the bottom up. The method has
98 a clear process, strong operability, scientific and normative characteristics. A fuzzy
99 analytical hierarchy process (FAHP) introduces the idea of fuzzy mathematics based on
100 the analytical hierarchy process (AHP), which can effectively reduce interference from
101 the subjectivity of decision makers' judgments and preferences. For example, Rajabi *et*
102 *al.*^[19] applied FAHP to reasonably assign weight to occupational stress factors of nurses
103 and pre-hospital emergency staff. Wang *et al.*^[20] ranked the importance of fire risk
104 factors in high-rise buildings by FAHP. Therefore, in this study, GT and a FAHP was
105 used to construct the evaluation indicator framework for GOH-IDI, including human,
106 animal and environmental health coordinated development process, so as to further
107 improve One Health governance process.

108

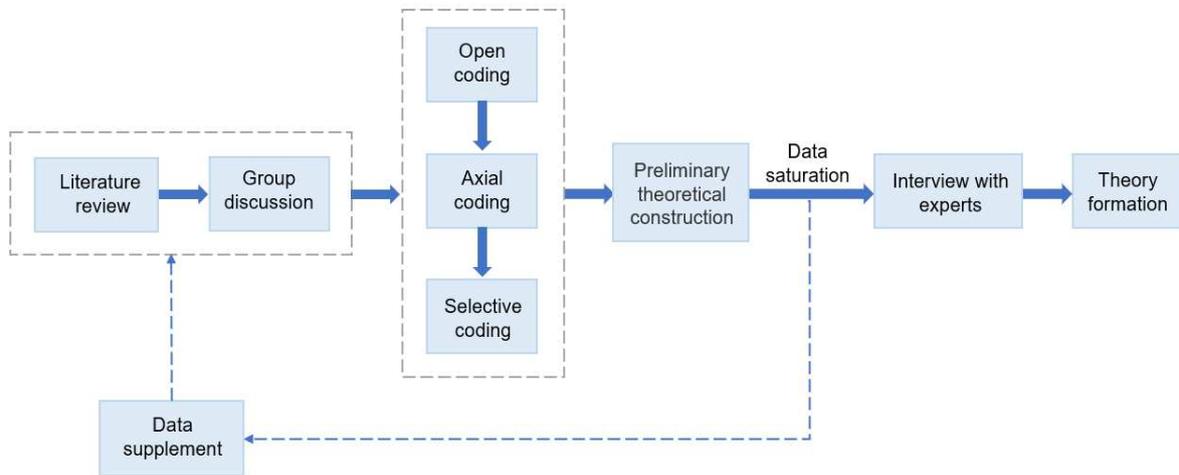
109 **2. Methods**

110 **2.1 The selection of indicators**

111 The evaluation indicator framework of GOH-IDI was constructed using GT and expert
112 interviews. In the GT method, data were collected and analyzed simultaneously. The
113 research data were mainly collected from the literature. Twenty-eight experts in human
114 medicine, animal medicine, environmental science, policy making and public
115 administration, sociology, and psychology were interviewed. These interviewed experts
116 come from all continents of the world, all have a master's degree or higher level of
117 education, and most of them have a vice-senior or senior level of profession. Half of
118 the interviewed experts work at colleges and universities, one-third of the interviewed
119 experts work in medical institutions, the rest work in governments, and all have more
120 than 5 years of work experience in their respective fields.

121 The accuracy and reliability of the qualitative research phase depend on the research
122 process. Therefore, two researchers coded and interviewed experts to assess the coding

123 results and ensure the accuracy of the data. When no new concepts appeared after
124 reading new literature, the research was deemed to have reached a state of theoretical
125 saturation, which ensured the reliability of the data. **Figure 1** shows the process of the
126 qualitative research phase of this study.



127 **Figure 1.** Process of the qualitative research stage.

128 **2.1.1 The basis of GT**

129 At the first stage of this study, the authors searched the PubMed and ISI Web of science
130 databases using “One Health and indicator”, “One Health and index”, “One Health and
131 metrics”, and “One Health and evaluation” as the keywords. The authors initially
132 selected 103 articles related to the One Health assessment indicators by reviewing
133 article abstracts and references to extract additional relevant articles. Subsequently, the
134 authors consulted 12 global authoritative databases, such as the Sustainable
135 Development Goal (SDG) database, the IHME-GBD, and the EPI. Then, the authors
136 developed five indicator inclusion criteria for the GOH-IDI: First, indicators can
137 accurately and truthfully measure or reflect the health status of a particular field. Second,
138 the data used for indicators can be obtained from authoritative institutions. Third, the
139 data used for indicators can cover most countries in the world. Fourth, the data used for
140 indicators can be updated on time. Fifth, the data used for indicators should be measured
141 in a uniform way to facilitate comparisons between countries. Based on the above
142 inclusion criteria for the indicators, a total of 82 studies were included.

143 **2.1.2 GT**

144 At the second stage of the study, GT was used to construct the evaluation indicator
 145 framework of GOH-IDI based on the 82 studies identified in the first stage. For the GT,
 146 data collection and analysis were carried out at the same time. Thus, data analysis began
 147 from reading the first document, and reading the second document was based on the
 148 analysis of the previous document. Subsequently, reading following documents was
 149 based on the analysis of all prior documents. No new concepts or categories were found
 150 in the data analysis after the 69th article. However, to ensure theoretical saturation, the
 151 researchers read the remaining 13 articles and analyzed the relevant data. The coding
 152 in the GT included three steps: open coding, axial coding, and selective coding^[21]. Each
 153 step is described in more detail below.

154 2.1.2.1 Open Coding

155 The first coding step in the GT was open coding. The authors identified and coded
 156 indicators related to human, animal and environmental health from the literature. Each
 157 document was analyzed and coded before moving to the next document. New literature
 158 was constantly compared to existing literature to identify new indicators (**Table 1**).
 159 Throughout the entire open coding process, we not only identified indicators in the data,
 160 but also tried to generate categories of extracted indicators, and indicators with similar
 161 meanings were divided into the same category. Such classification helped identify
 162 associations hidden among the indicators and guided us in coding the remaining studies.

163 **Table 1** Examples of indicators extracted from the literature

Source	Indicators										
	Emerging rival diseases	Neonatal health	Cardiovas- cular disease	Tubercul- osis	Neoplasm s	Controlled Solid Waste	Overexploit- ed or Collapsed Fish Stocks	Air quality and climate change	Tree Cover Loss	Wastewater Treatment	Trawling or Dredging Fish
SDG ^[22]		✓	✓	✓	✓			✓		✓	
IHME- GBD ^[23]		✓	✓	✓	✓						
EPI ^[24]						✓	✓	✓	✓	✓	✓
Marie C.E. Hanin et al. ^[25]	✓			✓							
Nichola R. Naylor et al. ^[26]							✓				
Tadeusz Dutkiewicz et al. ^[27]		✓	✓		✓					✓	

164 **2.1.2.2 Axial Coding**

165 The second coding step was axial coding. In open coding, data were shredded to better
166 identify the indicators. In axial coding, the indicators were reorganized in a new format
167 by associating the categories and indicators with each other. Therefore, we associated
168 categories with indicators and treated all interrelated categories as more abstract
169 second-level indicators. Therefore, following the axial coding, second-level indicators
170 were identified to evaluate the main ideas of human, animal and environmental health.

171 **2.1.2.3 Selective Coding**

172 The third coding step was selective coding. Selective coding is the process of linking
173 core categories with second-level and third-level indicators, and forming the overall
174 framework. In this step, all second-level and third-level indicators were integrated and
175 organized around the core category (first-level indicator) to form a complete evaluation
176 indicator framework of GOH-IDI. The indicators generated was reviewed, revised, and
177 improved successively. If blank areas were found, theoretical sampling, i.e., literature
178 review, was used to fill them.

179 **2.1.3 Expert interviews**

180 In the third stage, semi-structured interviews were conducted with 28 experts in human
181 medicine, animal medicine, environmental science, policy making and public
182 administration, sociology, and psychology, among other fields, based on the evaluation
183 indicator framework of GOH-IDI initially formed by the GT in the second stage.
184 According to the inclusion criteria of the indicators in the first stage, the indicator was
185 further integrated and streamlined to determine the final evaluation indicator
186 framework of GOH-IDI.

187 **2.2 Setting of indicator weights**

188 In this section, we used a FAHP for quantitative assessments. The FAHP is a decision-
189 making method based on the traditional AHP, which takes into account the fuzziness of
190 people's judgment of complex things and introduces the fuzzy consistent matrix^[28, 29].
191 We used a FAHP to determine the weight of the second-level indicators, and set the
192 first-level and third-level indicators with equal weights. Based on the established
193 evaluation indicator framework of GOH-IDI, a questionnaire was developed to assess

194 the importance of indicators according to the comparisons of the relative importance of
 195 second-level indicators to first-level indicators. The questions involved in the
 196 questionnaire compared every two indicators of all second-level indicators (For
 197 example, there is such a question in the questionnaire: “ Which do you think is more
 198 important in human health assessment, ‘Reproductive, Maternal, New-born, and Child
 199 Health’ or ‘Infectious Diseases’?”). The questionnaire for judging the importance of
 200 indicators was distributed to 42 experts in the fields of health-related, and we analyzed
 201 their opinions on the relative importance of indicators.

202 First, R 3.6.1 software was used to generate a judgment matrix $R_{a \times a}^b$ according to the
 203 opinions of different experts, as shown in Formula (1). a indicates that there are a
 204 indicators, and b indicates that there are b experts. r_{ie} represents the importance of
 205 indicator i , relative to indicator e . Then, using Formula (2) to calculate weight vector.
 206 Finally, normalizing the weight vector to calculate weights of the second-level
 207 indicators, as shown in Formula (3).

208

$$209 \quad R_{a \times a}^b = \begin{bmatrix} r_{11} & r_{12} & \cdots & r_{1a} \\ r_{21} & r_{22} & \cdots & r_{2a} \\ \vdots & \vdots & \dots & \vdots \\ r_{a1} & r_{a2} & \cdots & r_{aa} \end{bmatrix} = (r_{ie}) \quad (1)$$

210

$$211 \quad \omega_i = \frac{(\prod_{j=1}^a r_{ie})^{\frac{1}{a}}}{\sum_{k=1}^a (\prod_{j=1}^a r_{ke})^{\frac{1}{a}}} \quad (2)$$

212

$$213 \quad W_i = \frac{\omega_i}{\sum_{e=1}^a \omega_e} \quad (3)$$

214

215 **3. Results**

216 **3.1 Evaluation indicator framework of GOH-IDI**

217 Based on the GT, we used a literature review and group discussions to preliminarily
 218 develop a three-level evaluation indicator framework of GOH-IDI, which consisted of
 219 three first-level indicators, 19 second-level indicators, and 79 third-level indicators.
 220 Subsequently, through expert interviews, the indicators were further integrated and
 221 streamlined according to the inclusion criteria. The final evaluation indicator

222 framework of GOH-IDI was constructed using three first-level indicators, 15 second-
 223 level indicators, and 61 third-level indicators. The 61 concepts, including “Maternal
 224 Health”, “Neonatal Health”, “Child Health”, and “Adolescent Fertility” were based on
 225 open codes (third-level indicators) extracted from the literature and group discussions.
 226 The 15 categories, including “Reproductive, Maternal, New-born and Child Health”,
 227 “Infectious Diseases”, “Non-communicable Diseases”, and “Mental Health” were
 228 based on axial codes (second-level indicators) extracted from the open codes. “Human
 229 Health”, “Animal Health”, and “Environmental Health” were the core categories (first-
 230 level indicators) of the evaluation indicator framework of GOH-IDI, based on axial
 231 code induction (**Table 2**).

232

233

Table 2 Evaluation indicator framework of GOH-IDI based on GT and FAHP

First-level indicators	Weight	Second-level indicators	Weight	Third-level indicators	Weight		
1.Human Health	33.33%	1.1 Reproductive, Maternal, New-born, and Child Health	20.63%	1.1.1 Maternal Health	25%		
				1.1.2 Neonatal Health	25%		
				1.1.3 Child Health	25%		
				1.1.4 Adolescent Fertility	25%		
		1.2 Infectious Diseases	19.53%			1.2.1 Tuberculosis	20%
						1.2.2 HIV	20%
						1.2.3 Malaria	20%
						1.2.4 Neglected Tropical Diseases	20%
						1.2.5 COVID-19	20%
		1.3 Non-communicable Diseases and Mental Health	15.88%			1.3.1 Cardiovascular Disease	20%
						1.3.2 Neoplasms	20%
						1.3.3 Diabetes Mellitus	20%
						1.3.4 Chronic Respiratory Disease	20%
						1.3.5 Suicide	20%
		1.4 Injuries and Violence	13.49%			1.4.1 Road Traffic	33.33%
						1.4.2 Unintentional Poisoning	33.33%
1.4.3 Homicide	33.33%						
1.5 Universal Health Coverage and Health Systems	17.47%			1.5.1 Health Coverage	25%		
				1.5.2 Research and Development Expenditures on Health Issues	25%		
				1.5.3 Domestic Health Expenditures	25%		
				1.5.4 Infant Vaccination	25%		
1.6 Health Risk	13.01%			1.6.1 Unsafe or Unimproved Water, Sanitation and Hygiene	33.33%		
				1.6.2 Household Air Pollution	33.33%		
				1.6.3 Occupational Risks	33.33%		

First-level indicators	Weight	Second-level indicators	Weight	Third-level indicators	Weight						
2. Animal Health	33.33%	2.1 Animal Epidemic Disease	31.87%	2.1.1 Diseases of Domestic Animals	50%						
				2.1.2 Diseases of Wild Animals	50%						
		2.2 Animal Welfare, Relevant Regulations, and Policy Support	24.66%	2.2.1 Overexploited or Collapsed Stocks Fish	50%	2.2.2 Trawling or Dredging Fish	50%				
								2.3 Animal Nutritional Status	17.36%	2.3.1 Chicken Meat Production Efficiency	25%
		2.3.2 Pig Meat Production Efficiency	25%								
		2.3.3 Cattle Production Efficiency	25%								
		2.4 Animal Biodiversity	26.11%	2.3.4 Cattle Milk Production Efficiency	25%	2.4.1 Endemic Mammal Species	16.67%				
						2.4.2 Endemic Bird Species	16.67%				
						2.4.3 Endemic Amphibian Species	16.67%				
						2.4.4 Endemic Reef-forming Coral Species	16.67%				
						2.4.5 Endemic Freshwater Crab Species	16.67%				
						2.4.6 Endemic Shark and Ray Species	16.67%				
						3. Environmental Health	33.33%	3.1 Air Quality and Climate Change	23.82%	3.1.1 Ambient Particulate Matter Pollution	20%
		3.1.2 Household Solid Fuels	20%								
		3.1.3 Ambient Ozone Pollution	20%								
		3.1.4 Climate Risk	20%								
3.1.5 Greenhouse Gas	20%										
3.2 Land Resources	19.55%	3.2.1 Area at Risk Elevation	20%	3.2.2 Tree Cover Loss	20%						
				3.2.3 Grassland Loss	20%						
				3.2.4 Wetland Loss	20%						
				3.2.5 Mineral Depletion	20%						
				3.3 Sanitation and Water Resources	20.68%			3.3.1 Freshwater	33.33%	3.3.2 Clean Drinking Water	33.33%
3.3.3 Renewable Internal Freshwater Resources	33.33%	3.4.1 Fertilizer Consumption	14.28%								
		3.4.2 Controlled Solid Waste	14.28%								
3.4 Hazardous Chemicals	17.52%	3.4.3 SO ₂ Growth	14.28%	3.4.4 NO _x Growth	14.28%						
				3.4.5 Wastewater Treatment	14.28%						
				3.4.6 Electronic Waste	14.28%						
				3.4.7 Non-recycled Municipal Solid Waste	14.28%						
				3.5 Environmental Biodiversity	18.42%	3.5.1 Protected Representativeness	33.33%	3.5.2 Species Habitat	33.33%		
								3.5.3 Biodiversity Habitat	33.33%	3.5.2 Species Habitat	33.33%
										3.5.3 Biodiversity Habitat	33.33%

235 There were six second-level indicators for “Human Health”, which were
236 “Reproductive, Maternal, New-born, and Child Health”, “Infectious Diseases”, “Non-
237 communicable Diseases and Mental Health”, “Injuries and Violence”, “Universal
238 Health Coverage and Health Systems”, and “Health Risk”. Human Health focused on
239 health throughout the complete life cycle of the entire population, the health risks
240 brought by animals and the external environment, and the role of the health system in
241 ensuring Human Health.

242 There were four second-level indicators for “Animal Health”, which were “Animal
243 Epidemic Disease”, “Animal Welfare, Relevant Regulations, and Policy Support”,
244 “Animal Nutritional Status”, and “Animal Biodiversity”. Animal Epidemic Disease
245 affected Animal Nutritional status, and Animal Nutritional Status reacted to Animal
246 Epidemic Disease. Animal Biodiversity was affected by Animal Welfare, but was more
247 related to macro ecological environments.

248 There were five second-level indicators for “Environmental Health”, which were
249 “Air Quality and Climate Change”, “Land Resources”, “Sanitation and Water
250 Resources”, “Hazardous Chemicals”, and “Environmental Biodiversity”. The discharge
251 of hazardous chemicals had an impact on all aspects of Environmental Health. Climate
252 change, loss of land resources, ecological construction and water resources are concrete
253 manifestations of Environmental Health.

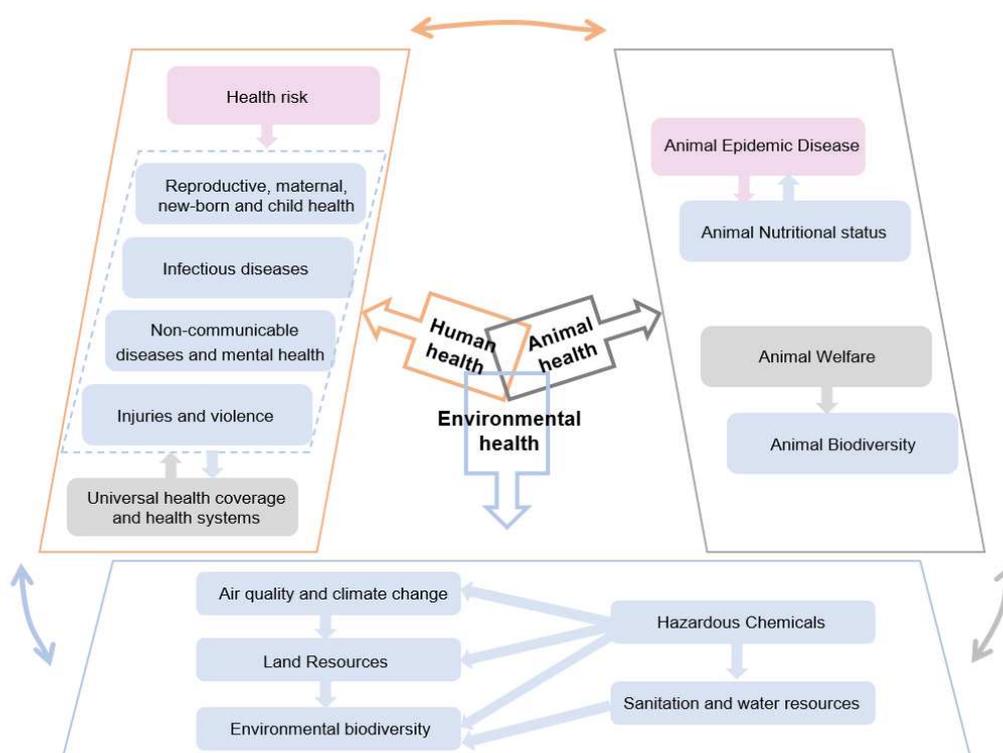
254 **3.2 Indicator weights**

255 In this study, the first-level and third-level indicators were set with equal weights, and
256 the weight of second-level indicators were determined by a FAHP. Thus, the weights of
257 the first-level indicators of the evaluation indicator framework of GOH-IDI, “human
258 health”, “animal health”, and “environmental health” were 33.33%. Among the second-
259 level indicators of “Human Health”, “Reproductive, Maternal, Newborn, and Child
260 Health” had the highest weight (20.63%), while the weights of the other indicators from
261 highest to lowest were “Infectious Diseases” (19.53%), “Universal Health Coverage
262 and Health Systems” (17.47%), “Non-communicable Diseases and Mental Health”
263 (15.88%), “Injuries and Violence” (13.49%), and “Health Risk” (13.01%). Among the
264 second-level indicators of “Animal Health”, “Animal Epidemic Disease” (31.87%) and

265 “Animal Biodiversity” (26.11%) had higher weights, while “Animal Welfare, Relevant
 266 Regulations, and Policy Support” (24.66%) and “Animal Nutritional Status” (17.36%)
 267 had lower weights. Among the second-level indicators of “Environmental Health”, “Air
 268 Quality and Climate Change” had the highest weight (23.82%), while the weights of
 269 the other indicators from highest to lowest were “Sanitation and Water Resources”
 270 (20.68%), “Land Resources” (19.55%), “Environmental Biodiversity” (18.42%), and
 271 “Hazardous Chemicals” (17.52%).

272 3.3 Indicator pathways

273 According to the concept of One Health, human health, animal health and
 274 environmental health restricted and promoted each other to form an organic and unified
 275 One Health system (**Figure 2**). According to the “structure-process-result” model, the
 276 constructed One Health indicators were divided into structural indicators, process
 277 indicators, and outcome indicators. “Structure” refers to infrastructure, “process” refers
 278 to intervention measures, and “outcome” refers to post-intervention performance.



279 **Figure 2.** Relationships for the evaluation indicators of GOH-IDI

280 **Figure Legend:** According to the “structure-process-result” model, the second-level indicators of the
 281 indicator framework of GOH-IDI were divided into different categories: gray represents structural

282 indicators, pink represents process indicators, and blue represents outcome indicators.

283 In Human Health, the four second-level indicators, “Reproductive, Maternal, New-
284 born, and Child Health”, “Infectious Diseases”, “Non-communicable Diseases and
285 Mental Health”, and “Injuries and Violence”, were outcome indicators, which reflected
286 the whole population life cycle health, and were the core indicators of Human Health.
287 “Universal Health Coverage and Health Systems” was a structural indicator, which
288 reflected the guarantee of health system on human health. “Health Risk” was a process
289 indicator that was composed of indicators of the impact of the external environment on
290 human health. “Health Risk” can affect the core indicators, and “Universal Health
291 Coverage and Health Systems” was affected by the core indicators, and can react to the
292 core indicators.

293 In Animal Health, “Animal Epidemic Disease” was a process indicator, and the most
294 important part of animal health. “Animal Welfare, Relevant Regulations, and Policy
295 Support” were structural indicators reflecting policies and regulations related to animal
296 welfare. “Animal Nutrition Status” and “Animal Biodiversity” were outcome indicators
297 that directly reflected animal health. Animal nutrition status and animal epidemic
298 disease affected each other. A decline in animal nutrition status can lead to a decline in
299 animal immunity and an increase in the number of reported animal epidemic diseases.
300 Animal epidemic disease could also affect the intake of nutrients in food. Although
301 “Animal Biodiversity” was affected by animal welfare, it is more related to the macro
302 ecological environment.

303 In Environmental Health, “Air Quality and Climate Change”, “Land Resources”,
304 “Sanitation and Water Resources”, “Hazardous Chemicals”, and “Environmental
305 Biodiversity” were all outcome indicators that directly reflected the health of the
306 environment. The discharge of hazardous chemicals can affect all steps and should be
307 should be controlled emphatically. Air quality and climate change, loss of land
308 resources, ecological environment construction and water resources, and environmental
309 biodiversity were all concrete manifestations of environmental health. Damage to air
310 quality could lead to extreme weather, which in turn affected land resources. The
311 destruction of land resources will lead to habitat loss for animals and plants, and affect

312 environmental biodiversity. Ultimately, all environmental pressures will be transferred
313 to animal and human health.

314

315 **4. Discussion**

316 Previous studies have proposed GOHI, which provides an evaluation method for One
317 Health governance process in various countries around the world, and illustrates the
318 determinants and contributing factors of the achievements of One Health governance.
319 The intrinsic drivers index, which emphasize the synergistic development of human,
320 animal and environmental health, are an important part of GOHI. In-depth analysis of
321 intrinsic drivers index can highlight the governance elements and key issues of human,
322 animal and environmental health, and help to optimize the allocation of health resources
323 and promote the process of sustainable development.

324 GT is a bottom-up inductive research method that aims to establish theories based on
325 empirical data. GT is performed by iterating data collection and analysis by performing
326 continuous comparisons, extracting concepts reflecting research results in the process
327 of continuous comparison, developing categories and the correlations between
328 categories, and combining all such data into theories^[30]. Theoretical sampling continues
329 until the data are saturated and the theory is complete. The results from the method were
330 not only based on practical experience, but also better than practice. When the results
331 are applied in practice, its advantages will be more prominent. A FAHP can avoid the
332 influence of human subjectivity and objectively determine the weights of indicators. It
333 introduces the idea of fuzzy mathematics based on the AHP, which can divide the
334 complicated factors of the evaluated object into an orderly hierarchical structure
335 according to the interaction, influence, and subordinate relationship to obtain the
336 weights of the indicators. It can also effectively reduce interference from the
337 subjectivity of decision makers' judgments, preferences, and understanding of the
338 problem being solved^[31]. Therefore, to make the evaluation indicator framework of
339 GOH-IDI not only reflect the connotation of One Health and minimize the one-
340 sidedness of indicator weights due to human subjectivity, the GT and FAHP was used
341 to establish the evaluation indicator framework and render it more scientific and

342 objective.

343 Based on the GT and FAHP, this study constructed a three-level evaluation indicator
344 framework of GOH-IDI, which was composed of three first-level indicators, 15 second-
345 level indicators, and 61 third-level indicators using literature searches, group
346 discussions, and expert interviews. There were six second-level indicators and 24 third-
347 level indicators in Human Health, among which, “Reproductive, Maternal, Newborn,
348 and Child Health” had the highest weight (20.63%). There were four second-level
349 indicators and 14 third-level indicators in Animal Health, among which, “Animal
350 Epidemic Disease” had the highest weight (31.87%). There were five second-level
351 indicators and 23 third-level indicators in Environmental Health, among which, “Air
352 Quality and Climate Change” had the highest weight (23.82%). Existing health-related
353 evaluation systems around the world, such as the Sustainable Development Report
354 released by the United Nations, summarize the current development trends of 17
355 Sustainable Development Goals in each country from three aspects: economic
356 development, social progress, and environmental protection^[32]. The Global Health
357 Security Index (GHS Index), jointly developed by the Nuclear Threat Initiative (NTI)
358 and the Johns Hopkins Center for Health Security, focuses on the ability of countries to
359 prevent and control pandemics from six aspects: prevention, detection and reporting,
360 rapid response, health systems, compliance with international norms, and risk
361 environment^[33]. It can be seen that these existing health-related evaluation systems only
362 focus on specific scientific fields of One Health, and thus, the research on One Health
363 is fragmented and limited. This study explored the evaluation indicator framework of
364 GOH-IDI from the aspects of human health, animal health and environmental health,
365 most of the selected indicators are outcome indicators, which can directly reflect the
366 practice process of human, animal and environmental health, and the indicators are
367 universal, balanced and scientific. This study reported the establishment process for
368 indicator framework of GOH-IDI, and established a tool for scientifically measuring
369 the development level of human, animal and environmental health in different regions
370 to evaluate the progress and development of One Health capacity building throughout
371 the world.

372 Through literature review and expert interviews, Hanin *et al.*^[34] showed that
373 collaborative development between multiple disciplines is crucial in One Health
374 governance, and its weight accounts for 70% of One Health governance. Hanin *et al.*
375 proposed that currently, collaboration between human health and animal health teams
376 is gradually increasing, but collaboration with other disciplines is not enough. Therefore,
377 different countries, disciplines and institutions should be combined to enhance the
378 capacity of One Health building in an integrated manner. Bordier *et al.*^[35] constructed
379 an evaluation matrix to measure the cross-sector collaboration of One Health in order
380 to evaluate the quality of One Health cross-sector collaboration. It was found in this
381 study that the literature related to human health indicators was the most abundant
382 followed by that related to environmental health and the literature related to animal
383 health indicators were least abundant, which was consistent with the research results of
384 Vreeland *et al.*^[36] Moreover, Vreeland *et al.* also proposed that in health-related journals,
385 only 6.8% of journals included articles related to human, animal and environmental
386 health at the same time. Therefore, we gave equal weights to Human Health, Animal
387 Health, and Environmental Health in the GOH-IDI (i.e., 33% each), reflecting the
388 importance of multidisciplinary collaborative development in the concept of One
389 Health.

390 There were some deficiencies in this study. The evaluation indicator framework of
391 GOH-IDI proposed in this study was based on preliminary research and exploration,
392 which needs to be further improved by combining evaluation indicator framework of
393 GOH-IDI with the progress of One Health governance in each country. In addition, due
394 to the poor compatibility of the research team with multiple languages, the studies
395 retrieved for this indicator framework were only in English, which did not allow for a
396 comprehensive assessment of studies in other languages, such as French, German, and
397 Russian, among others.

398

399 **5. Conclusions**

400 One Health governance has become a global complex health problem and a universal
401 consensus. This study constructed an evaluation indicator framework of GOH-IDI,

402 which is scientific and feasible. The evaluation indicator framework of GOH-IDI
403 provides an overall framework for “what to implement” and “how to improve” in the
404 One Health governance, and can also be used as a guide for planning the governance
405 of human, animal and environmental health throughout the world. The results of this
406 study suggested that the information from the global official database (for example,
407 SDG and IHME-GBD) could be used to quantitatively evaluate the human, animal and
408 environmental health of all countries throughout the world, which can scientifically
409 grasp the effectiveness and shortcomings of the capacity building for One Health, in
410 order to formulate the goals and paths of One Health practice in line with local reality.

411

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413

414 **Abbreviation**

415 GOHI: global One Health index; GOH-IDI: global One Health Intrinsic Drivers index; WHO:
416 World Health Organization; OIE: World Organization for Animal Health; FAO: Food and
417 Agriculture Organization of the United Nations; UNEP: United Nations Environment
418 Programme; OHHLEP: One Health High Level Expert Panel; SDR: sustainable
419 development report; GBD: global burden of diseases; EPI: environmental performance
420 index; GT: Grounded Theory; SDG: Sustainable Development Goal; WHO-GHO: World
421 Health Organization-Global Health Observatory; IHME-GBD: Institute for Health Metrics
422 and Evaluation the global burden of diseases; FAHP: fuzzy analytical hierarchy process;
423 AHP: analytical hierarchy process; GHS Index: Global Health Security Index; NTI: Nuclear
424 Threat Initiative.

425

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428

429 **Authors' contributions**

430 JF, ZG, JC, and SL conceived and designed the manuscript. JF, ZG, and LA collected the
431 references, did the interviews, and wrote the manuscript. JL, XZ, CC, JX, SX, and XZ
432 revised the paper. JF, ZG, JC and SL finalized the manuscript. All authors read and
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434

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439

440 **Availability of data and materials**

441 The data used and/or analyzed during the current study are available from the
442 corresponding author on reasonable request.

443

444 **Declaration**

445 **Ethics approval and consent to participate**

446 Not applicable.

447 **Consent for publication**

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449 **Competing interests**

450 Xiaonong Zhou is an Editor-in-Chief of infectious Diseases of Poverty. Jin Chen is an editor
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492 [and-unep-support-ohhlep-s-definition-of-one-health](https://www.who.int/news/item/01-12-2021-tripartite-and-unep-support-ohhlep-s-definition-of-one-health).
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