

Priming conditions shape breadth of neutralizing antibody responses to sarbecoviruses

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Abstract

Vaccines that are broadly cross-protective against current and future SARS-CoV-2 variants of concern (VOC) or across the sarbecoviruses subgenus remain a priority for public health. Virus neutralization is the best available correlate of protection. We used sera from cohorts of individuals vaccinated with two or three doses of RNA (BNT162b2) or inactivated SARS-CoV-2 (Coronavac or Sinopharm) vaccines with or without a history of previous SARS-CoV-2 or SARS-CoV-1 (in 2003) infection, to define the magnitude and breath of cross-neutralization in a multiplex surrogate neutralization assay based on virus spike receptor binding domain of multiple SARS-CoV-2 variants of concern (VOC), SARS-CoV-2 related bat and pangolin viruses, SARS-CoV-1 and related bat sarbecoviruses. SARS-CoV-2 or SARS-CoV-1 infection followed by BNT162b2 vaccine, Omicron BA.2 breakthrough infection following BNT162b2 vaccine or a third dose of BNT162b2 following two doses of BNT162b2 or CoronaVac elicited the highest and broadest neutralization across VOCs. Considering breadth and magnitude of neutralization across all sarbecoviruses, those infected with SARS-CoV-1 immunized with BNT162b2 outperformed all other combinations of infection and/or vaccination. These data may inform vaccine design strategies for generating broadly neutralizing antibodies to SARS-CoV-2 variants or across the sarbecovirus subgenus.

Introduction

Vaccine mediated protection against COVID-19 is primarily determined by neutralizing antibody titer ¹. Neutralizing antibodies directly interfere with the interaction of SARS-CoV-2 virion Spike (S) receptor binding domain (RBD) with the Angiotensin Converting Enzyme 2 (ACE2) receptor, which is predominantly expressed in the lung, gut and heart. However, VoC have emerged that have accumulated mutations in the S, especially the RBD, which results in escape from neutralizing antibodies generated by the ancestral SARS-CoV-2 virus from Wuhan in 2019. The greatest threat so far has been VOC Omicron (B.1.1.529) and its subvariants. It was first reported in November 2021. It is about 50 non-silent mutations and over 2/3 of these mutations are in the spike domain ². In 2002, SARS-CoV (herein called SARS-CoV-1) emerged and caused 8,000 infections with public health measures abating the outbreak ³, unlike SARS-CoV-2, which since 2019 has infected over 500 million people within 24 months, countered by over 12 billion doses of highly effective COVID-19 vaccines have been given to mitigate the impact of SARS-CoV-2. The most predominantly used SARS-CoV-2 vaccines, are inactivated whole virion adjuvanted vaccines (e.g. Coronavac), which have been more widely administered due to ease, scalability and lower cost of production and relative thermostability in contrast to the second most used vaccine, Spike encoding mRNA vaccines ⁴. However, there is at least 10-fold difference in neutralizing antibody titers between these vaccines ^{5,6}, which results in lower protective efficacy of inactivated vaccines ¹. This lower protection may be due to mismatch between vaccine induced antibodies and native conformation of the virus spike as β-propiolactone is used to split the SARS-CoV-2 virion for inactivation which may affect S conformation. In contrast, mRNA vaccines are expressed by host cells in an S-2P stabilized conformation as a pre-fusion form directly representing the S as it appears on virions.

S-specific antibodies wane, faster in the first few months and slower later, after infection ⁷. This is expected as plasmablast responses contract and a stable memory B cell pool forms with reduced antibody output during convalescence. However, antibody waning post-vaccination, across different vaccine formats is also substantial, leading to reduced protective efficacy against infection at 6 months post 2-dose vaccination. Reassuringly protection against severe disease is not as compromised and other immune correlates such as T cells and non-neutralising antibody functions may contribute to this longer duration of protection ^{8–10}. To maintain protection against mild disease, booster third-dose vaccinations were recommended in mid-2021 in Israel, which led 11x increase in protection within 2 weeks post vaccination ¹¹, and have since become required for full vaccination in many developed countries. However, waning antibody responses have again occurred, and fourth dose vaccination is now being considered in mid-2022 for at risk individuals in some countries. It is evident we cannot "boost our way" out of the high public health burden and high circulation of SARS-CoV-2 VoCs. We therefore must define the immune priming-boosting strategies that elicit broadly reactive antibodies to SARS-CoV-2 to counter future variants and inform next generation vaccines that may protect us from other sarbecoviruses.

The phenomenon of Original Antigenic Sin (OAS)¹² occurs where the antigen we are first primed against limits our capacity to respond to novel antigenic epitopes presented by closely related variants, due to clonal competition of B cells at subsequent encounters. OAS can play a significant role in capping influenza vaccine efficacy¹³, whereby antigenic focusing can occur with repeated vaccination¹⁴. The impact of OAS is impacted by antigenic distance ¹³, the serological 'space' a virus may occupy, and revaccination with a distinct serotype may then justified. This forms the basis of strain updates to seasonal influenza vaccines. Heterologous vaccine regimes of alternating formats and adjuvants can improve vaccine responses by recruiting existing memory and generating new responses leading to synergistic results ^{15,16}. Coronavac includes an Alum adjuvant which acts as a TLR7 agonist to improve antigen presentation ¹⁷. The majority of adults are seropositive to related beta-"common cold" coronaviruses (CCoV), OC43 or HKU-1, and S-specific CCoV antibodies are boosted by SARS-CoV-2 infection and are therefore cross-reactive to some extent ¹⁸, but they do not mediate a protective response to reduce the duration of illness nor viral shedding ^{19,20}. The observation that mRNA vaccination with COVID-19 vaccines of SARS-1 convalescent individuals led to generation of broadly neutralizing antibodies ²¹, has provided hope for pan-sarbecovirus vaccines as the "holy grail" for next generation vaccines. In this study, we sought to identify immune priming conditions that generate broadly neutralizing antibody responses.

Results

Inhibition of ACE2 binding to ancestral, VoC Beta and Delta RBD by antibody in the multiplex sVNT assay correlates with the plate sVNT assay

To assess the relative affinity of different RBD proteins for the human ACE2 (Figure 1a), a 16-plex panel of RBD proteins representing SARS-CoV-2, related variants of concern (VoC), clade 2 bat and pangolin derived viruses, in addition to the SARS-CoV-1 virus and related clade 1 bat viruses (Bat CoV WIV-1,

RsSHC014, LYRa11, Rs2018B), were tested for neutralizing activity by immune plasma from different priming conditions (Table 1). Plasma samples from individuals convalescent from mild ancestral SARS-CoV-2 infections elicited neutralising antibody responses to SARS-CoV-2 and related VoC Alpha, Delta and Lambda, but minimal responses to other SARS-CoV-2 VoCs, bat sarbecoviruses or SARS-CoV-1 (Figure 1ab). The neutralization response was relatively short-lived, from 30-60 days post infection, with most antibody responses below 20% inhibition by day 80-270.

Live virus neutralisation strongly correlated with the plate format ²² (r=0.89) and bead format (r=0.9) sVNT assays (Figure 1cd). The plate and bead sVNT assays were also well correlated for the ancestral virus (r=0.85, Figure 1e), VoC Beta (r=0.83) and Delta (r=0.76) (Supplementary figure 1ab). However, these correlations did not extend to the Omicron BA.1 bead sVNT assay which had weak correlations with Omicron BA.1 plate assay (r=0.18) or PRNT (r=0.51) assays (Supplementary figure 1cd, whilst the plate format of the sVNT Omicron assay correlated with PRNT results (r=0.71, Supplementary figure 1e). Therefore, hereafter we used the RBD of BA1 Omicron in a fixed plate based commercial assay, whilst other RBDs were assessed in parallel in the multiplex bead format for further analysis.

mRNA vaccination increases antibody breadth dependent on priming conditions

The breadth of antibody responses from alum adjuvanted inactivated whole virion vaccine, Coronavac (from SinoVac) was compared to the mRNA Spike lipoprotein vaccine BNT162b2 in previously infection naïve individuals (Figure 2a). BNT162b2 vaccination (Figure 2a) significantly boosted neutralizing antibodies against 10 of 16 RBD proteins (significance by ^^) including all VoCs except Omicron, as well as bat RaTG13 and pangolin Gx-P5L viruses but not to SARS-CoV-1 and related sarbecoviruses. Coronavac only boosted responses to 8 of 16 RBD proteins (significance by ##), but to lower magnitude. The BNT162b2 post-vaccination sVNT responses were substantially higher than Coronavac in 10 of 16 RBDs (significance by **). Therefore, the overall magnitude of neutralizing antibody responses by Coronavac vaccination was substantially lower than BNT162b2 vaccination and not above the 20% inhibition cut-off for any RBD protein (Figure 2a).

BNT162b2 vaccination 1 year after recovery from mild ancestral SARS-CoV-2 infection (Figure 2b) led to very high (mean >50% inhibition) responses against all VoCs including Omicron as well as bat RaTG13 and pangolin Gx-P5L viruses and lower (mean inhibition between 20-50%) against SARS-CoV-1 and other sarbecoviruses. Coronavac also elicited responses above the 20% cut-off against 15 of 16 RBD proteins, but 9 of these (SARS-CoV-2, VoC's Alpha, Delta, Lambda, Bat CoV RaTG13 and Pangolin CoV Gx-P5L) were still significantly lower in comparison with BNT162b2 vaccination. Thus, prior immune priming with SARS-CoV-2 infection substantially improved the antibody breadth and magnitude of responses to inactivated whole virus vaccines, but not to the same extent as S-specific mRNA vaccination.

Priming by prior exposure to SARS-CoV-1 infection, 18 years prior to BNT162b2 vaccination (n=7) elicited pan-sarbecovirus antibodies to all RBDs tested, covering both the SARS-CoV-2 and SARS-CoV-1 clades.

Coronavac vaccination after SARS-CoV-1 infection (n=2), showed post vaccination responses across the RBD panel (Figure 2c), but our small samples size precludes statistical comparisons. Vaccination of SARS-CoV-1 recovered individuals in Guangzhou with Sinopharm (Figure 2d), another inactivated SARS-CoV-2 vaccine used in mainland China, led to responses to SARS-CoV-2 variants (except Omicron), SARS-CoV-1 and related sarbecoviruses but post vaccination rises were only significant in 3 of 16 RBDs (ancestral, VoCs Alpha and Gamma).

The breadth and magnitude of the RBD-specific neutralizing antibody response across these prior infection conditions by heatmap (Figure 2e), shows limited clade 1 antibodies without prior infection, i.e. in Coronavac and BNTb162b2 vaccination of naïve individuals. Whilst prior COVID-19 with vaccination increases the breadth and magnitude of the RBD antibody response. Furthermore, BNT162b2 vaccination shows higher magnitude responses than inactivated vaccines, especially with historic SARS-CoV-1 infection.

Third dose mRNA vaccination boosts SARS-CoV-1 clade neutralizing antibody responses

We conducted an observational study of third dose vaccination of Coronavac or BNT126b2, following homologous 2-dose priming with either Coronavac or BNT126b2, resulting in 4 vaccine comparison groups (CC+C, CC+B, BB+C, BB+B) (Figure 3ab). The third dose vaccination was given approximately 6 months after the second vaccination. The post third dose BNT162b2 vaccination following either BNT162b2 or Coronavac priming led to substantial boosting of antibody responses across the panel (Figure 3b) to 14 and 13 of 16 RBDs, respectively. Whilst Coronavac priming followed by a third dose of Coronavac led to significant increases in antibody in 5 of 16 RBDs, the magnitude of these responses was substantially lower than third dose BNT162b2 groups. There was no boosting of neutralizing antibody in those given a third dose of Coronavac following two-dose priming with BNT162b2.

Antibody responses following Omicron BA.2 infection in vaccinated or naïve individuals

We compared acute (day 0-5 of infection) and convalescent (1-2 months post infection) sera from Omicron infection in those previously naïve or vaccinated with BNT162b2 or Coronavac vaccination (Figure 4ab). For BA.2 infection in BNT162b2 vaccinated subjects there were significant increases for 6 RBDs of clade 2 SARS-CoV-2 viruses at recovery, with high magnitude (>50% inhibition) across all clade 2 RBDs, and detectable responses (above the 20% cutoff) for clade 1 viruses but not increased from acute timepoints. In addition, Coronavac primed individuals infected with Omicron BA.2, had significant increases in antibody responses to 4 RBDs of clade 2 SARS-CoV-2 viruses at recovery, whilst clade 1 responses were unchanged. In marked contrast, recovery from Omicron BA.2. in those without prior vaccine or infection priming did not lead to increases in antibody responses to any RBDs (excluding VoC Gamma), demonstrating its poor immunogenicity.

Overview of antigenic diversity from different priming conditions

To provide an overview of our results above, we generated a 2-D representation of all tested samples (Table 1) to determine which priming conditions led to broader and higher magnitude antibody responses to a range of SARS-CoV-2 VoCs (Figure 5a) and across all sarbecoviruses (Figure 5b). RBD cross-reactivity is a measure of the antibody response diversity, i.e. the frequencies of responses to different RBD proteins above a 20% inhibition cut-off (see Methods). The priming conditions that yielded higher magnitude (>75% inhibition) and breadth (>75% cross-reactivity) of SARS-CoV-2 VoC antibody responses (Figure 5a) included the groups SARS-2 followed by BNT162b2, third doses of BNT162b2 (CC+B and BB+B), SARS-1 with BNT162b2, Omicron BA.2 breakthrough following two doses of BNT162b2 or Coronavac vaccines. Whilst inactivated vaccines, Coronavac and Sinopharm in SARS-1 convalescents led to high antigenic diversity, the magnitude of these responses was not maximized (40-50% inhibition). Thus, third dose vaccination and BNT162b2 vaccination after COVID-19 recovery results in maximal antibody diversity and response magnitude and should be continued to be recommended to increase protection against future VoC.

When both magnitude and breadth of responses to the broader RBD panel including SARS-CoV-1 clade viruses are considered, i.e. true pan-sarbecovirus antibody responses (Figure 5B), SARS-CoV-1 followed by BNT162b2 vaccination is significantly better than any other condition. Several conditions including SARS-2 followed by BNT162b2 or Coronavac immunization, third dose BNT162b2 following BNT162b2 or Coronavac priming, SARS-CoV-1 followed by Sinopharm or Coronavac immunization, Omicron breakthrough infections in BNT162b2 vaccinated provide high breadth of protection (>75%) across the sarbecovirus group with moderate magnitude of antibody inhibition. Two doses of BNT162b2 or Coronavac or two doses of BNT162b2 followed by Coronavac do not yield antibody with either higher breadth or magnitude.

Discussion

Optimal strategies for developing and using vaccines that protect against current, and hopefully future, SARS-CoV-2 VoCs ("variant-proof vaccines" COVID-19 vaccines) are a current priority for global public health. Since other sarbecoviruses, not just SARS-CoV-2, continue to pose future pandemic threats, strategies that elicit broad sarbecovirus immune responses also need to be developed. The diversity of priming, boosting and hybrid antibody responses generated by available COVID-19 vaccines in the context of circulating virus variants provides an opportunity to provide understanding to address these challenges. Omicron is the most divergent VoC of SARS-CoV-2 to emerge to date. This diversity is further enhanced by those who survived SARS-CoV-1 in 2003 who are now being immunized with SARS-CoV-2 vaccines. A recently described multiplex bead surrogate neutralization assay provides the opportunity to investigate neutralizing antibody responses to a range of SARS-CoV-2 variants and sarbecoviruses ^{21,23}. We assembled a panel of plasma samples from individuals with a variety of conditions of priming,

boosting and infection histories to investigate how these impact on the breadth and magnitude of neutralizing antibody responses to this broad panel of SARS-CoV-2 VoCs and sarbecoviruses.

We found that the results of the bead-based multiplex sVNT assay correlated well with the plate-based sVNT and with the "gold standard" PRNT assay for the ancestral virus and multiple VoCs, with the exception of Omicron variant BA.1. We therefore used the plate-based sVNT for Omicron VoC for more reliable results that may be due to conformational differences in the stability of Omicron RBD. Many of these sera have been previously tested in PRNT assays using the ancestral virus and Omicron BA.1 and BA.2 VoCs ^{24,25}. Our findings with the sVNT assays were concordant with previously reported data from PRNT assays; (1) that two dose BNT162b2 vaccination was more immunogenic than two doses of Coronavac but both were poor at eliciting neutralizing antibody to VoC Omicron BA.1 ^{24,25}; (2) a third dose of BNT162b2 following two doses of either BNT162b2 or Coronavac elicited neutralizing antibody to Omicron BA.1 while three doses of Coronavac failed to do so ²⁴; (3) that hybrid immunity elicited by a single dose of BNT162b2 in those previously convalescent from ancestral SARS-CoV-2 infection elicited broader neutralizing antibody responses across the SARS-CoV-2 VoCS including Omicron, to levels at least comparable to three doses of BNT162b2^{24,25}; and (4) that Omicron BA.2 breakthrough infections in BNT162b2 or Coronavac vaccinated individuals elicited broad neutralization of all VoCS tested in either PRNT or sVNT assays ²⁵. The multiplex sVNT assay further demonstrated that cross reactivity generated by these vaccines and hybrid immunity against the SARS-CoV-2 VoC, extended to the related bat RaTG13 and pangolin Gx-P5L viruses, even better than to Omicron VoC, but not necessarily to the more distantly related SARS-CoV-1 and sarbecoviruses.

We devised a 2D depiction where both the breadth and magnitude of sVNT neutralizing antibody responses could be visualized. The greatest breadth and magnitude neutralization activity across the SARS-CoV-2 and SARS-CoV-1 related sarbecoviruses was elicited by those recovered from SARS-CoV-1 infection in 2003 vaccinated with two doses of BNT162b2, the weakest, though moderate neutralizing activity among all 16 virus RBDs being to Omicron BA.1. This suggests that prime and boost with antigenically diverse sarbecoviruses provided the optimal breadth and magnitude of neutralizing activity. Since Omicron appears to be the most antigenically divergent RBD ²³, one may speculate that individuals primed with an ancestral SARS-CoV-2 antigen (e.g. BNT162b2) boosted with an effective Omicron-spike vaccine or a bivalent Omicron and SARS-CoV-1 vaccine may lead to comparable or superior breadth of immunity. However, the number of RBD mutations does not define neutralizing antibody escape, and Omicron represents a challenge in being more genetically similar but antigenically distant to ancestral SARS-CoV-2, than other clade 2 viruses Bat CoV RaTG13 and pangolin CoV GX-P5L ²³. Furthermore, recovery from Omicron infection in Coronavac and BNT162b2 vaccinated individuals, generated antibody breadth but did not maximize response magnitude to the same extent as SARS-CoV-1 infection. Similarly, recent SARS-CoV-2 infection then subsequent BNT162b2 vaccination generated greater SARS-CoV-2 clade antibody responses than prior SARS-CoV-1 infection, however these responses were not maximized in terms of magnitude either, which could also be attributable to one dose versus two dose vaccination respectively. Therefore, either SARS-CoV-1 represents an antigenic 'sweet spot' for generating broad

antibody responses, or recall of a long term memory B cell response, from infection 18 years prior, adds to response magnitude capacity. This could be exploited by mosaic vaccines or heterologous prime boost approaches.

High level of cross-reactivity was elicited by hybrid immunity involving ancestral or Omicron SARS-CoV-2 infections and BNT162b2 vaccination, although the magnitude of neutralizing activity was less than that following SARS-CoV-1 infection. A third dose of BNT162b2 also elicited notable breadth of cross-neutralizing activity across the sarbecovirus group, even in Coronavac primed subjects. It remains to be seen whether the durability of this magnitude and breadth following three doses of BNT162b2 vaccination alone is similar to that elicited by hybrid immunity. Furthermore, it remains to be determined if the high cross-reactivity of BNT162b2 responses generated 1 month after vaccination are an artefact of high magnitude of the antibody response, and what response is actually functionally recalled *in vivo* during infection months or years later. This applies to both durability of circulating antibody as well as memory B cell responses because rapid recall of memory B cell responses may well compensate for a fall in circulating antibody levels. It is notable that in those convalescent from ancestral SARS-CoV-2 infection, there was a gradual decline of both breadth and magnitude of neutralizing responses over time.

Limitations:

a) Our neutralization assay only assesses neutralizing activity directed to the RBD and does not assess neutralizing activity directed to other known regions of the S protein, including the N terminal domain (NTD), the S2 domain, or S-2P-ecto domains ^{26,27}. It is however worth to note that the majority of SARS-CoV-2 neutralizing antibodies target the RBD region, whilst deletion of NTD antibodies have minimal impact on neutralization ²⁸.

b) We have focused on neutralizing activity, which is the only known correlate of protection so far ^{1,29}. But it is likely that T cell responses ⁸ and non-neutralizing antibody and functions ^{9,10} also contribute to protection against severe disease.

c) We have only compared RNA vaccines and inactivated vaccines but not assessed other vaccine strategies such as the adenovirus vectored vaccines. However, these 2 vaccines represent distinct platforms known to induce neutralizing antibodies at the high and low ends of the antibody response range, respectively, and are most widely used vaccines globally. Recently, a related study has investigated booster vaccines, including viral vectored AZD1222²³, with a similar reporting of mRNA vaccine advantage for antibody breadth.

Methods

Study participants for serum panels

Plasma panels from cohorts with different combinations of vaccination and natural infection were used in the study (Table 1, *see Supplementary file for subject demographics, age 49 +/- 13 years, range 21–77 years*). Pre-pandemic plasma samples (n = 30) were used as negative controls for antibody for inhibition of ACE2 binding of the 16-plex RBD panel and used to calculate % inhibition for each RBD. To assess antibody waning and breadth, SARS-CoV-2 convalescent samples obtained from individuals with infection occurring in the period January to March 2020 when the ancestral SARS-CoV-2 was circulating were used, with samples collected at day 30-60 (n = 20), day 80-270 (n = 20), day 365 (n = 22) post infection, with no further vaccination or infection during sampling. This corresponds to the SARS-CoV-2 used in the vaccine, RBD panel and PRNT assay.

To assess vaccine immunogenicity, plasma was collected from previously uninfected subjects, prior to receiving the first vaccine dose and at 1 month post 2-dose vaccination with BNTb162b2 or Coronavac (n = 30). To assess the impact of prior infection (hybrid immunity), subjects (n = 20) who recovered from SARS-CoV-2 infection (346+/-105 days between SARS-CoV-2 infection and vaccination), were assessed 1 month after 1 dose vaccination with BNT162b2 or Coronavac. Participants with prior exposure to SARS-CoV-1 in 2003 were recruited in Hong Kong (HK) and sampled pre- and 1 month post 2-dose vaccination with BNT162b2 (n = 7) or Coronavac (n = 2). In addition, serum from SARS-CoV-1 infected patients in Guangzhou (GZ), with 'baseline' serum from 2018 (n = 10) and post 2-dose vaccination with Sinopharm were sampled at 1 month (n = 6), 3 month (n = 5) and 6 months (n = 2) post vaccination. To assess the impact of heterologous third dose vaccination for either BNT162b2 or Coronavac, individuals were randomized 3 months after 2-doses of vaccination with either Coronavac or BNT162b2 to receive a third dose of Coronavac (CCC) or BNT162b2 (BBB), and samples collected at pre-third dose and 1 month post third dose vaccination (group and timepoint n = 20 each). Omicron infection (BA.2 predominant strain at time of serum collection in Hong Kong January-February 2022) of participants (50+/-17 years of age) with prior vaccination of BNT162b2 (n = 10), Coronavac (n = 7) (some donors are 1, 2 or 3 dose vaccinated), unvaccinated (n = 5) with paired acute (day 0-5) and recovered (1-2 months after illness) samples were tested. Plasma was separated from venous blood and stored at -80° C and heat inactivated at 56°C for 30 mins prior to use.

The study was approved by the Joint Chinese University of Hong Kong-New Territories East Cluster Clinical Research Ethics Committee (Ref no: 2020.229), the First Affiliated Hospital of Guangzhou Medical University Ethics Committee (Ref no: 2018.044) and all participants provided written consent. The third dose vaccine study protocol was approved by the Institutional Review Board of the University of Hong Kong (Ref: UW 21–492), and the Clinicaltrials.gov registration number is NCT05057169. **Sarbecovirus RBDs for the 16-plex sVNT assay system**

A 16-plex RBD panel of biotinylated proteins was prepared as previously described²³. Briefly, The RBDs included in this study are as follows: A) Clade-2 sarbecoviruses: SARS-CoV-2 Ancestral, SARSCoV-2 VoCs

(Alpha, Beta, Gamma, Delta, Omicron), SARS-CoV-2 variants of interest (Delta plus, Lambda, Mu), Bat CoV RaTG13, Pangolin CoV GX-P5L); B) Clade-1 sarbecoviruses: SARS-CoV-1 and bat CoVs WIV-1, Rs2018B, LYRa11 and RsSHC014. Proteins were custom made (SARS-CoV-2, SARS-CoV-2 Alpha, Delta, Beta, Gamma, Bat CoV RaTG13, Pangolin CoV GX-P5L and SARS-CoV-1 were custom made by Genscript), purchased (Omicron RBD, Acrobiosystems), or produced in house (SARS-CoV-2 Delta plus, Mu and Lambda, Bat CoVs WIV1, Rs2018B, LYRa11 and RsSHC014 (in HEK293T cells). RBD proteins were enzymatically biotinylated and coated on MagPlex-Avidin microspheres (Luminex) at 5 µg RBD protein per 1 million beads for use in the sVNT assay.

RBD-coated beads (25 µl, 600 per antigen) were pre-incubated with 25 µl heat inactivated serum at 1:20, for 15 min at 37°C with agitation (200 rpm), followed by addition of 50 µl of PE conjugated human ACE2 (2 mg/ml; Genscript) and incubated for an additional 15 minutes at 37°C with agitation. After two washes with 1% BSA in 1M NaCl PBS, the final readings were acquired using the MAGPIX system (Luminex, array reader v2.6.1, microplate platform v2.1.15, Bio-Plex manager software v6.2.0.175) following manufacturer's instruction.

To assess surrogate virus neutralisation the MFI of each RBD bead region was used to calculate : % inhibition = 100*(Mean FI of 30 negative pre pandemic samples - individual FI)/Mean FI of 30 negative pre pandemic samples. Percentage inhibition > = 20% is typically considered as positive for SARS-CoV-2 neutralizing antibody, while percentage inhibition < 20 was considered as negative²¹, as indicated by dotted lines at 20% on most figures, however sVNT results are shown for all samples including those that are lower than pre-pandemic controls, resulting in some negative values.

Plate based sVNT commercial assay

For the ancestral and Omicron BA.1 RBD proteins for SARS-CoV-2 surrogate virus neutralization test ²² (sVNT) kits (Cat. No.: L00847-A and Z03728) were ordered from GenScript, Inc., NJ, USA. The tests were performed according to the manufacturer's standard protocol. Samples, positive and negative controls were 10 times diluted and then mixed with equal volume of horseradish peroxidase (HRP) conjugated SARS-CoV-2 spike receptor binding domain (RBD)(6 ng). The mixture was then incubated at 37 °C for 30 min. After incubation, 100ul of the mixture was added to corresponding wells of the capture plate coated with ACE-2 receptor. The plate was sealed and incubated at 37 °C for 30 min. The plated was then emptied and washed with 1X wash solution for 4 times. Residual liquid was removed by tapping dry. 100ul of TMB solution was added to each well and the plate was wrapped with aluminium foil and incubated in the dark at room temperature for 15 min. The reaction was quenched by adding 50ul of stop solution. The absorbance was read at 450nm (OD₄₅₀) in an ELISA microplate reader. To assess surrogate virus neutralisation the OD₄₅₀ was used to calculate : % inhibition = 100*(1- OD₄₅₀ value of sample/OD₄₅₀ value of negative control)



The PRNT was performed in duplicate using culture plates (Techno Plastic Products AG, Trasadingen, Switzerland) in a Biosafety level 3 facility. Serial serum dilutions from 1:10 to at least 1:320 were incubated with ~ 30 plaque-forming units of SARS-CoV-2 BetaCoV/Hong Kong/VM20001061/2020 virus for 1 hour at 37°C. The virus-serum mixtures were added on to Vero-E6 cell monolayers and incubated for 1 hour at 37°C in a 5% CO_2 incubator. The plates were overlaid with 1% agarose in cell culture medium and incubated for 3 days when the plates were fixed and stained. Antibody titres were defined as the reciprocal of the highest serum dilution that resulted in > 90% (PRNT90, a more stringent cut-off) or > 50% (PRNT50) reduction in the number of plaques. Values below the lowest dilution tested (10) were imputed as 5 and those above 320 were imputed as 640.

Statistical analysis

Statistical analysis was performed using GraphPad Prism 9 software. Statistically significant differences in paired pre- versus post- vaccine responses within vaccine type were determined by Friedmans tests with Dunns multiple comparisons (coloured *). For comparisons between vaccine groups, a Kruskall Wallis test with Dunns multiple comparisons (black *) was used. *=p < 0.05, **=p < 0.01, ***=p < 0.001, ***=p < 0.0001, ns = not significant. Correlations between sVNT and PRNT were analysed using Regression analysis toolpack (Excel).

RBD cross-reactivity

We measure the breadth of antibody responses against different RBDs by calculating the RBD crossreactivity (π) in this study. The concept of RBD cross-reactivity (π) is borrowed from nucleotide diversity (π) which provides an unbiased estimate of diversity among groups ³⁰. Specifically, the frequencies of positive RBD responses (the number of RBD responses above a 20% inhibition cut-off) were summarized for each RBD/group, and all the negative responses were characterized in a negative group. Then for every group, where n_i samples of RBD/negative responses *i* are observed, RBD cross-reactivity (π) can be calculated based on pairwise difference between antigens (RBD/negative groups) as

$$\pi = rac{\sum_{i
eq j} n_i n_j}{rac{1}{2}N(N-1)}$$

where N is the total number of all responses. We also calculated the classic Shannon entropy for comparison and the results are comparable, detailed implementation of the diversity measurement can be found via https://github.com/Leo-Poon-Lab/SARS-CoV-2-sVNT-diversity .

Correlation between bead sVNT, plate sVNT and viral PRNT responses

We calculated the spearman correlation between bead sVNT, plate sVNT and viral PRNT responses when paired data were available. The regression line shown in figure was approximated by local polynomial regression fitting with span of 10, the corresponding 95% confidence intervals were shown in grey area.

Confidence intervals

For estimating the uncertainty of estimates of RBD cross-reactivity, average %inhibition of responses, and spearman correlation coefficients, the 95% confidence intervals (bootstrap percentile intervals) were estimated using bootstrap resampling of 10,000 times. Source codes are accessible via https://github.com/Leo-Poon-Lab/SARS-CoV-2-sVNT-diversity.

Declarations

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STATEMENT OF CONFLICTS OF INTEREST

CWT and L-FW are co-inventors of the surrogate virus neutralization test commercialized by GenScript under the trade name cPass. Other authors declare no conflicts of interest.

STATEMENT OF CONTRIBUTION

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Tables

 Table 1: Samples used in sVNT assay

Group	Time point	Legend	Sample #
SARS-CoV-2 convalescent	30-60d	D30-60 Rec	20
SARS-CoV-2 convalescent	180-270d	D80-270 Rec	20
SARS-CoV-2 convalescent	365d	D365 Rec	22
BNT162b2	Pre/1M post	Pre-BB / BB	30
CoronaVac	Pre/1M post	Pre-CC / CC	30
SARS-CoV-2 convalescent + BNT162b2	1M post	SARS2 + B	20
SARS-CoV-2 convalescent + Coronavac	1M post	SARS2 + C	20
SARS-CoV-1 patient from HK + BNT162b2	Pre/1M post	SARS1 + pre B	7
		SARS1 + BB	7
SARS-CoV-1 patient from HK + Coronavac	Pre/1M post	SARS1 + pre C	2
		SARS1 + CC	2
SARS-CoV-1 patient from GZ Sinopharm	2018, 1M, 3M, 6M pos	tSARS1 + pre S	10
		SARS1 + SS 1M	6
		SARS1 + SS 3M	5
		SARS1 + SS 6M	2
Coronavac (2 doses) + Coronavac booster	Pre/1M post	D0 CC+C / D30 CC+C	20
CoronaVac (2 doses) + BNT162b2 booster	Pre/1M post	D0 CC+B / D30 CC+B	20
BNT162b2 (2 doses) + Coronavac booster	Pre/1M post	D0 BB+C / D30 BB+C	20
BNT162b2 (2 doses) + BNT162b2 booster	Pre/1M post	D0 BB+B / D30 BB+B	20
Omicron infected (unvaccinated)	Acute/Recovered	No vaxx + Omicron Acute/Rec	:10
Omicron infected vaccinated BNT162b2	Acute/Recovered	B + Omicron Acute/Rec	20
Omicron infected vaccinated Coronavac	Acute/Recovered	C + Omicron Acute/Rec	14
High neut positive control			1
Medium neut positive control			1
WHO standard 20/136			1
Naïve pre pandemic negative control			30









Figure 2. Prior priming by infection accentuates mRNA vaccine antibody profile to sarbecovirus RBD. The % antibody inhibition of ACE2 binding to RBD was determined for (a) uninfected subjects pre and 1 month post 2 dose vaccination with BNTb162b2 (B) or Coronavac (C) (n=30). (b) COVID-19 infected 1 month post 1 dose vaccination with BNTb162b2 (SARS2 + B) or Coronavac (SARS2 + C) (n=20, prior infection 346+/-105 days before vaccination). (c) Hong Kong (HK) SARS-CoV-1 infected patients (SARS1) 1 month post 2 dose vaccination (C2) SARS-CoV-1 infected patients (SARS1 + C) n=2). (d) Guangzhou (G2) SARS-CoV-1 infected patients (SARS1 + C) n=2). (e) Heat map representation of % inhibition of 1 month post vaccination (TM n=6, 3M n=5, 6M n=2). (e) Heat map representation of % inhibition of 1 month post vaccination (TM n=4, 3M n=5, 6M n=2). (e) Heat map represented as boxes for 25th-75th percentile and whiskers minimum to maximum range of all individual samples shown. (a, c) Significant differences in paired pre versus post vaccine types (a, b) or versus 2018 (d) (black, statistical differences). "=p<0.01, ""=p<0.01, ""=p<0.001.

Figure 2



Figure 3. mRNA third dose vaccination regardless of priming increases antibody breadth. (a) The % antibody inhibition of ACE2 binding to RBD was determined for third dose vaccination after CC or BB prime for either B or C boost (each group n=20) at pre-third dose and 1 month post third dose vaccination. (b) Heat map representation of % inhibition of 1 month post vaccination (from a). (a) Data represented as boxes for 25th-75th percentile and whiskers minimum to maximum range of all individual samples shown. (b) Significant differences in paired pre versus post vaccine responses within vaccine type by Friedmans tests with Dunns multiple comparisons. *=p<0.05, **=p<0.001, ***=p<0.0001, ns = not significant.







Figure 5. Overview of antigen diversity versus magnitude of neutralizing antibody responses for SARS-CoV2 viruses and sarbecoviruses for different priming conditions. A 2-D representation of all samples tested (from Table 1) for antigenic diversity versus the average % inhibition of all RBDs for SARS-COV-2 and its VoC (a), and all sarbecoviruses tested (b) for different priming conditions (from Figure 2-4). Data represents the group average (95% CI), non-overlapping confidence intervals indicate significantly different responses. Open circles represent baseline samples at pre vaccination, pre third dose vaccination or acute infection samples. Closed circles represent post vaccination or post infection samples at recovered (Rec) timepoints.

See figure for legend.

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