

Preprints are preliminary reports that have not undergone peer review. They should not be considered conclusive, used to inform clinical practice, or referenced by the media as validated information.

Single-center retrospective clinical evaluation of venetoclax combined with HMAs and half-dose CAG in the treatment of unfit or refractory/relapsed AML

Xiaotong Chen

The First Affiliated Hospital of Harbin Medical University

Yanqiu Zhao

The First Affiliated Hospital of Harbin Medical University

Qi Li

The First Affiliated Hospital of Herbin Medical University

shengjin fan (**⊠** fansjhmu@163.com)

The First Affiliated Hospital of Harbin Medical University https://orcid.org/0000-0002-6772-5060

Research Article

Keywords: Acute myeloid leukemia, BCL2 inhibitor, Venetoclax, Combined chemotherapy

Posted Date: July 1st, 2022

DOI: https://doi.org/10.21203/rs.3.rs-1793347/v1

License: 💿 🛈 This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License

Abstract

Purpose The prognosis of unfit or relapsed/refractory (R/R) AML patients remains poor. Venetoclax has been proved to have anti-leukemia stem cell activity. However, there is little published information on the efficacy and safety of VEN combined with both demethylated drugs and half-dose chemotherapy in the treatment of unfit or R/R AML patients.

Methods In this study, we retrospectively analyzed the clinical characteristics, treatment details, safety profile and clinical outcomes of unfit or R/R AML patients who were treated with VEN+ HMAs+ half-dose CAG.

Results A total of 21 AML patients were involved in the study, 11 patients (52.4%) were in the unfit group, while 10 patients (47.6%) were in R/R group. FLT3 (6/21, 28.6%) was the most common gene aberration. Patients in the R/R group were found more likely to carry KIT (5/ 10, 50%) when compared to the unfit group (0/11, 0%) (P = 0.012). The median duration of CR+ CRi was 11.5 months (95% CI 8.9 to 14.1). Twelve patients achieved CR (57.1%), two CRi (9.5%), and three PR (14.3%), for an ORR 80.9% (17/21) and cCR 66.7% (14/21). CR was observed in 100% (5/5) AML patients with *TP53*, 80% (4/5) AML patients with *IDH*, 75% (3/4) AML patients with *NPM1*, 50% (3/6) AML patients with *FLT3*, 40% (2/5) AML patients with *KIT*, 40% (2/5) AML patients with *DNMT3A*, and 20% (1/5) AML patients with *RUNX1*. The most common AEs during VEN+ HMAs+ half-dose CAG therapy were persistent cytopenia, infection and gastrointestinal symptoms (decreased appetite, nausea, vomiting and diarrhea).

Conclusion The results of this investigation show that VEN+ HMAs+ half-dose CAG demonstrated promising efficacy (even high-risk cytogenetics) and a tolerable safety profile in unfit or R/R AML patients.

1. Introduction

Acute myeloid leukemia (AML) is predominantly a disease of the elderly, with a median age of 68 years at diagnosis ^[1, 2]. Because of advanced age, high frequency of unfavorable genomic features and less favorable clinical characteristics, such as declining biological function and more complex medical comorbidities, elderly patients usually cannot be unable to tolerate a high dose of chemotherapy ^[3, 4]. Simultaneously, refractory/relapsed (R/R) AML is still associated with dismal prognosis ^[5]. In the population of R/R AML, the treatment options are limited, treatment benefits are inadequate and the cure rates remain as low as 10% ^[6, 7].

B-cell leukemia/lymphoma-2 (BCL2) family play a central role in regulating cell survival and apoptosis ^[8]. Moreover, AML stem cells express aberrantly high levels of the anti-apoptotic gene BCL2 and rely on the expression of BCL2 to maintain their survival ^[9]. Over-expressed of BCL2 has been shown to have a significant impact on inferior chemotherapeutic response and adverse overall survival in AML patients ^[10].

Venetoclax (VEN) is an oral, potent BCL-2 selective inhibitor, proven to have anti-leukemic activity and able to induce cancer cell death ^[11]. Recently, several small retrospective studies evaluated the efficacy and chemotherapy toxicity of VEN in combination with hypomethylating agents [HMAs, such as decitabine (DAC) or azacitidine (AZA)] or low-dose cytarabine (LDAC) ^[4]. For elderly/unfit or R/R AML patients, VEN combination therapy has been shown to improve the response rates and survival ^[12-14].

HMAs combined with CAG regimen [LDAC, aclarubicin and granulocyte colony-stimulating factor (G-CSF)] tends to improve the prognosis of older patients with high-risk AML ^[15]. In patients with R/R AML, it was observed that increased dosage of the conventional CAG regimen was more effective as salvage therapy ^[16].

VEN-HMAs/LDAC usually lead to profound and prolonged neutropenia, which increases the risk of getting infected in AML patients. In order to overcome the toxicity of VEN-HMAs/LDAC, we considered whether the treatment duration of VEN could be shortened and, in the meantime, combined with low-dose chemotherapy to maintain the response rate. There is little published information on the efficacy and side effects of VEN combined with HMAs and half-dose CAG.

Here we report the clinical characteristics, treatment details, clinical outcomes and safety profile of 21 consecutive patients with unfit or R/R AML who were treated with VEN+ HMAs+ half-dose CAG.

2. Methods

2.1. Patients

In this retrospective study, we analyzed consecutive AML patients who were treated at the First Affiliated Hospital of Harbin Medical University during the period of January 1, 2020 to January 1, 2022. Diagnostic procedures and genetic risk stratification were carried out according to European Leukemia Net (ELN) 2017 recommendations ^[17]. The unfit group included newly diagnosed AML patients who were considered to be ineligible for high-dose chemotherapy if they were \geq 60 years old, the ECOG score \geq 3 or have complex medical comorbidities. This study included all the unfit or R/R AML patients who were treated with VEN+ HMAs+ half-dose CAG. This retrospective study was approved by the Medical Ethics Committee of the First Affiliated Hospital of Harbin Medical University and the informed consent was waived.

2.2. Treatment

VEN+ HMAs+ half-dose CAG regimens were administered as follows: all patients received VEN once a day, for 14 days. To prevent tumor lysis syndrome (TLS), the dose of VEN was given 100mg on day 1 and 200mg on day 2; on day 3, to elevate the concentration of VEN alongside routine antifungal prophylaxis, we combined CYP3A4 inhibitors fluconazole 400mg once a day ^[18]. After the application of VEN for five days, we measured the plasma concentration of VEN. HMAs therapy comprising DAC 20 mg/m²/d intravenously for five days or AZA 75 mg/m²/d subcutaneously for seven days. Half-dose CAG regimen consists of LDAC 10 mg/m²/12h subcutaneously for fourteen days, aclarubicin 8 mg/m²/d intravenously for four days, and G-CSF 200 μ g/m²/d subcutaneously for fourteen days. For patients with leukocytosis, whose white blood cell (WBC) count was greater than 10×10⁹/L, hydroxyurea (1.0–3.0 g/day) was given orally, until the WBC count dropped below 10×10⁹/L, and then the chemotherapy began. All patients did not receive other anti-leukemic agents (e.g. FLT3, IDH1, IDH2 inhibitors) at the same time. According to clinical manifestations and blood routine, appropriate anti-infective therapy and supportive treatment [red blood cell (RBC) and platelet (PLT) transfusions] was given.

2.3 Adverse events reporting

The severity of adverse events was estimated according to the Common Terminology Criteria for Adverse Events (CTCAE) version 5.0^[19]. Clinical and laboratory data about adverse events were collected from patients' files.

2.4 Treatment responses

Response to treatments was determined by bone marrow (BM) evaluation at the time of hematological recovery and adjudicated according to the recommendations of 2017 ELN ^[19]. Assessment of minimal residual disease (MRD) was conducted for all patients, including patients with incomplete hematological recovery. Complete response (CR) was defined as bone marrow blasts <5% with hematological recovery [absolute neutrophil count (ANC) \geq 1.0×10⁹/L and PLT \geq 100×10⁹/L] ^[3]. CR without minimal residual disease (CR_{MRD-}) was defined as CR with negativity for a genetic marker by RT-qPCR, or CR with negativity by MFC ^[3]. CR_i was defined as CR with incomplete hematological recovery, and partial response (PR) as bone marrow blast percentage to 5% to 25% or decrease of pretreatment bone marrow blast percentage by at least 50% ^[3]. Overall response rate (ORR) was the sum of the CR, CRi, and PR. Relapse was defined as bone marrow aspirate reappearance of leukemic blast (>5%) after a CR had been achieved ^[3].

2.5 Statistical analysis

Patient characteristics were summarized using descriptive statistics (medians or frequencies with ranges). For binary variable, Chi-squared or Fisher's exact test were used to analyze the differences between the two subgroups. Time-to-event endpoints

were measured using Kaplan-Meier method and the log-rank test was used to compare the statistically differences between subgroups. Data were statistically analyzed with SPSS version 22.0 (IBM SPSS Statistics, IBM Corporation, Armonk, NY).

3. Results

3.1. Patients

From January 2020 to January 2022, a total of 21 AML patients were treated at our institution with VEN+ HMAs+ half-dose CAG regimens. Eleven patients (52.4%) were enrolled in the unfit group and ten patients (47.6%) in R/R group (relapsed, n = 8; refractory, n = 2) (Table 1). Table 1 summarized the patients' details at the time of VEN+ HMAs+ half-dose CAG initiation. The median age was 55 years (range, 21-72 years), and 9/21 (42.9%) patients were \geq 60 years. Age of unfit group was significantly higher than that of R/R group (*P*=0.016). The differences of other clinical features between the two groups did not reach statistical significance (Table 1).

Table 1. Clinical characteristics of 21 AML patients.

Characteristic	All(n=21)	unfit⊠n=11⊠	R/RIn=10	P vaule
Age, years	55(21-72)	62(43-72)	47(21-68)	0.016
≥ 60	9(43%)	7(64%)	2(20%)	
⊠60	12(57%)	4(36%)	8(80%)	
Gender				
Male	10(48%)	5(45%)	5(50%)	0.590
Female	11(52%)	6(55%)	5(50%)	
ECOG performance status				
0-1	11(52%)	4(36%)	7(70%)	0.198
2-3	10(48%)	7(64%)	3(30%)	
WBC count, ×109/L				
Median (range)	34.8(1.01-151.21)	43.3(1.01-151.21)	25.3(1.22-101.57)	0.973
PLT count, ×109/L				
Median (range)	74.9(3-390)	117.4(8-390)	27.8(3-69)	0.072
HGB level (g/L)				
Median (range)	80.3(54-112)	84.8(60-112)	75.2(54-98)	0.605
Bone marrow blast count				
<30%	9(43%)	5(45%)	4(40%)	0.630
≥30 to <50%	4(19%)	1(9%)	3(30%)	
≥50%	8(38%)	5(45%)	3(30%)	
2017 ELN risk stratification by genetics				
Favorable	3(14%)	2(18%)	1(10%)	0.876
Intermediate	2(10%)	1(9%)	1(10%)	
Adverse	16(76%)	8(73%)	8(80%)	
Overall response	16(76%)	9(82%)	7(70%)	0.258
CR	12(57.1%)	8(72.7%)	4(40%)	
CRi	2(9.5%)	1(9.1%)	1(10%)	
PR	3(14.3%)	0(0%)	3(30%)	

One patient had previously undergone allogeneic HSCT, but early recurrence occurred after transplantation. Nine patients received prior therapy with HMAs. For R/R group, the median number of prior therapies was 2 (range, 1-5). Nine R/R patients (81.8%) received VEN+ HMAs+ half-dose CAG therapy as the first salvage treatment.

3.2. Biological Characteristics

Molecular aberrations were identified using next-generation sequencing. Cytogenetic and molecular aberration results are available in all AML patients, and they are summarized in Fig. 1. Nearly half of all patients (10/21) had complex karyotype (\geq 3 abnormalities). As for molecular aberrations, ranging from 1 to 8 gene mutations per patient were detected. The average

mutational burden was 4 (Figure 1). In our study, *FLT3* (6/21, 28.6%) was the most common gene aberration (Figure 1). The second frequently mutated gene was *KIT RUNX1 DNMT3A TP53* and *IDH* (5/21, 23.8%) (Figure 1).

As reported in previous studies, we obtained the same results: cytogenetics and molecular characteristics were balanced between the two AML groups. The incidence of high-risk cytogenetics was the same in the unfit group (8/11) and R/R group (8/10) (P = 0.876, Table 1). In addition, patients in the R/R group were found more likely to carry *KIT* (5/ 10, 50%) when compared to the unfit group (0/11, 0%) (P = 0.012, Figure 1). The distribution of other mutations didn't reach statistically significant difference.

3.3. Response

Median follow-up for 21 AML patients was 13.6 months (range, 0.1-22 months), and the median duration of CR+ CRi was 11.5 months [95% confidence interval (CI) 8.9 to 14.1]. Twelve patients achieved CR (57.1%), two CRi (9.5%), and three PR (14.3%). We observed an ORR of 80.9% (17/21), with 66.7% (14/21) of the patients achieving cCR (Figure 1 and Table 1). Ten patients (6 in the unfit group and 4 in R/R group) achieved MRD negative status evaluated by flow cytometry. In the unfit group, 9/11 (81.8%) patients achieved response: eight CR and one CRi; while 8/10 (80%) R/R patients achieved response: four CR, one CRi and three PR. The details of responders were provided in Table 2.

In our study, CR was observed in 100% (5/5) AML patients with *TP53*, 80% (4/5) AML patients with *IDH*, 75% (3/4) AML patients with *NPM1*, 50% (3/6) AML patients with *FLT3*, 40% (2/5) AML patients with *KIT*, 40% (2/5) AML patients with *DNMT3A*, and 20% (1/5) AML patients with *RUNX1* (Figure 1).

Table 2. Characteristics of responding patients (CR, CRi, PR)

Patient	Group	Cytogenetics	Molecular type (VAF)	Best response	VEN cycles
43y/F	Unfit	Normal	IDH20NPM1 0FLT3	CR	2
51y/F	Unfit	inv(16)	CBFb-MYH11		4
55y/F	Unfit	Normal	PBRM10CSMD10BRAF0ATRX0DNMT3A0ASXL10SF3B10BCOR	CR _{IMRD-I}	1
64y/F	Unfit	Complex	RUNX10IDH20NRAS0FLT30MPL		3
70y/M	Unfit	-Y	CEBPAIIDH1INPM1IARID1AIPTPN11		1
58y/F	Unfit	Normal	GATA20CALR0SF3B10ASXL1	CR _{IMRD-I}	2
65/F	Unfit	Complex	ASXL10NRAS0KMT2A0GATA20CALR0TP53	CRi	
63y/M	Unfit	Normal	IDH20TP53		2
71y/M	Unfit	Complex	BRAFITP53IPBRM1	CR	1
43y/F	Relapsed	Complex	RUNX10KMT2A0KIT	PR	2
63y/F	Relapsed	Complex	TET20TP530DNMT3A0FLT3	Cri	2
47y/M	Relapsed	Complex	KITUKRAS	PR	1
48y/F	Relapsed	Complex	TET20BCOR0CEBPA0KIT0WT10GATA2		1
68/M	Relapsed	Normal	SF3B10DNMT3A0RUNX10BCORL10FLT3	PR	1
27y/F	Refractory	Normal	WT10CEBPA0BCOR	CR ^{IMRD-II}	4
21y/M	Refractory	Complex	MLL-AF90TP530KIT	CR ^{IMRD-II}	2
56y/M	Relapsed	Normal	NPM10TET2	CR ^{IMRD-II}	1

On average, two cycles (range,1-4) of VEN+ HMAs+ half-dose CAG therapy were given, and the median response time (from the beginning of treatment to bone marrow blasts <5%) was 1.2 months (range, 0.6-2.2 months), or 1.4 cycle (range, 1-2). Using Kaplan–Meier survival analysis, we compared the survival rate of AML patients in the unfit or R/R groups. There was no statistical difference between the two groups (*P*=0.853) (Figure 2).

3.5. Adverse Events (AEs)

The most common AEs during VEN+ HMAs+ half-dose CAG therapy were persistent cytopenia and infection. Febrile neutropenia, transfusion-dependent anemia and thrombocytopenia occurred in 19 (90%) AML patients (Table 3). Prolonged pancytopenia (requiring treatment delay >14 days) was experienced by ten (48%) AML patients. Hematological count recovery was observed only in patients who responded to chemotherapy (n = 9). Among these patients, the median time to neutrophil recovery (ANC >1.0×10⁹/L) was 24 days (range, 15-36 days), and the median time to PLT recovery (PLT >100× 10^9 /L) was 32 days (range, 22-58 days). Nineteen AML patients (90%) occurred Grade 3 or higher neutropenia.

Grade 3 or higher infection was observed in twelve patients (57%) during the initial VEN cycle, including seven patients with pneumonia, two patients with perianal infection, two patients with urinary tract infection, two patients with sepsis and one patient with skin infection. During VEN+ HMAs+ half-dose CAG therapy, a total of eleven AML patients (52%) had probable invasive fungal infection. Three (14%) AML patients were treated with micafungin and eight (38%) received voriconazole for prophylaxis. No patients experiencing breakthrough fungal infection (BFI) and no patient had tumor lysis syndrome (TLS). Hemorrhagic complications occurred in three patients (14%), including gastrointestinal hemorrhage and hematuria. The most common non-haematological AEs was gastrointestinal symptoms (decreased appetite, nausea, vomiting and diarrhea) (11/21,

52%). Early mortality (death within 30 days of therapy initiation) occurred in two (10%) patients—one experienced a septic shock and acute left heart failure followed by multiple organ dysfunction syndrome and DIC; the other patient developed severe pneumonia complicated with ARDS. Three additional patients in the R/R group did not achieve remission. Overall, five (24%) deaths were recorded. The most notable adverse events are outlined in Table 3.

Table 3. The most notable adverse events (grade 3 or higher)

Adverse events	All(n=21)	Unfit [®] n=11 [®]	R/R⊠n=10⊠
Length of stay in hospital, days			
Median (range)	29\\[24-48\\]	2604-360	32026-480
Duration of neutropenia			
Median (range)	1782-278	1502-250	18012-270
All adverse events			
Hematologic adverse events	19(90%)	9(82%)	10(100%)
Thrombocytopenia	19(90%)	9(82%)	10(100%)
Anemia	19(90%)	9(82%)	10(100%)
Febrile Neutropenia	19(90%)	9(82%)	10(100%)
Nonhematologic adverse events			
Gastrointestinal (Decreased appetite /Nausea/ Vomiting/ Diarrhea/ Constipation)	11(52%)	5(45%)	6(60%)
Gastrointestinal Hemorrhage	3(14%)	1(9%)	2(20%)
Hypokalemia	9(43%)	4(36%)	5(50%)
Hypoproteinemia	7(33%)	5(45%)	2(20%)
Elevated transaminases	3(14%)	2(18%)	1(10%)
Infections	12(57%)	8(73%)	4(40%)
Pneumonia	7(33%)	5(45%)	2(20%)
Perianal infection	2(10%)	1(9%)	1(10%)
Skin and soft tissue infections	1(5%)	1(9%)	0(0%)
Urinary tract infection	2(10%)	1(9%)	1(10%)
Sepsis	2(10%)	2(18%)	0(0%)

4. Discussion

Both unfit and R/R AML are still associated with a dismal prognosis^[3, 20, 21]. Previous studies have reported that for unfit or R/R AML patients the remission rate of common chemotherapy regimens remains low, with a CR rate of 32% for HD-Ara-C ^[22], 46.5% for CAG regiment ^[23, 24], and 48% for FLAG ^[25]. Recently, BCL-2 inhibitor VEN has been approved for AML patients and is widely used in combination with demethylated drugs (azacitidine or decitabine) ^[26]. Meanwhile CAG regimen is widely used to treat patients with MDS and AML in China. However, whether VEN or CAG regimen alone apply to unfit or R/R AML patients, the remission rate is still unsatisfactory ^[27, 28]. VEN combined with cytotoxic chemotherapy can induce synergistic leukemic killing effects, so as to improve the clinical efficacy ^[29]. The molecular mechanism is increased DNA damage and down-regulation of Mcl-1, in turn increasing the concentration of intracellular apoptosis activator Bim and apoptosis ^[30, 31]. Here, by using VEN+

HMAs+ half-dose CAG as the backbone of treatment, we report a CR rate of 66.7%, which has a distinct advantage over conventional treatment procedures. To our knowledge, this is the first study to evaluate the therapeutic efficacy and side effects of VEN+ HMAs+ half-dose CAG treated unfit or R/R AML patients.

In our study, the clinical characteristics of the two groups were analyzed, and only the difference of age reached statistical significance (P=0.016, Table 1). As for genetic characteristics, only *KIT* mutation (P=0.012) reaches statistical difference between the two AML groups; patients in R/R group were much more likely to carry *KIT* mutation. The ELN risk stratification between the two groups was the same (P=0.876), and patients in the unfit or R/R group were more likely to be in the high-risk state of ELN risk stratification (16/21, 76%).

The biomarker analyses of our research demonstrate that VEN+ HMAs+ half-dose CAG was effective in most unfit or R/R AML patients, including those harboring high-risk molecular features. Previous studies have showed that mutations such as *TP53* ^[32] and *FLT3-ITD*^[33, 34] are associated with poor prognosis in AML patients. The CR rate of traditional chemotherapy in AML patients with *TP53* mutant was as low as 28%. Kim K et al. reported that the overall response rate of VEN combined with decitabine in the treatment of AML patients with *TP53* was 66% ^[4, 12, 35-37]. Patients with TP53 AML have lower response rates and shorter survival with VEN. The addition of VEN to standard treatment regimens did not improve the prognosis of TP53 AML patients^[38, 39]. Surprisingly patients with *TP53* performed particularly well in all outcome measures, which achieved a CR/CRi rate of 100%. A study of 125 elderly AML patients treated with D-CAG showed that D-CAG tends to improve the prognosis of *TP53* mutated patients^[40]. This result suggests that the VEN+ HMAs+ half-dose CAG regimen may be an effective rescue regimen for *TP53*^{mut} AML patients, but the sample size needs to be further expanded to verify this finding. Some studies have shown that patients with *IDH1/2* mutation have a favorable prognosis ^[11, 41]. However, in other studies, the prognosis was poor or depends on the type of *IDH*^[42]. In this study, we reported a CR rate of 80% in AML patients with *IDH1/2*, which may indicate that VEN is highly sensitive in AML patients with *IDH*^{mut}. The mechanism may be that the accumulation of tumor metabolite 2-HG inhibits cytochrome C oxidase and effectively primes AML blasts to Bcl2 inhibition^[43]. In addition, AML patients with *NPM1*^{mut} (CR rate of 75%) also seem to have a relatively good prognosis under the treatment of VEN+ HMAs+ half-dose CAG.

The ORR of VEN+ HMAs+ half-dose CAG was 80.9% (CR+ Cri, 66.7%, PR, 14.3%) and the median duration of CR+ CRi was 11.5 months (95% CI 8.9 to 14.1). The high CR rate of AML patients with high-risk mutations may represent a proof that VEN in combination with chemotherapy is capable of overcoming some mechanisms of conventional chemotherapy resistance.

Despite receiving a combination of chemotherapeutic drugs, VEN+ HMAs+ half-dose CAG showed tolerable and mostly reversible side effects. Common grade 3/4 AEs were mostly hematologic and similar to those reported with VEN in combination with azacitidine or decitabine ^[4, 44, 45]. Cytopenia mainly improved at response onset, although it is usually incomplete. In subsequent VEN cycles, recurrent grade 3/4 neutropenia was treated by suspending VEN, shortening the application time of VEN, prolonging the treatment interval and using growth factor. Only one patient discontinued chemotherapy because of excessive myelosuppression. All patients received blood transfusion support. The type and interval of blood transfusion were evaluated according to the blood cell count. Non-hematological toxicity was acceptable, and no significant end-organ damage events were noted. The low frequency of fungal infections can be attributed to the routine combination of CYP3A inhibitor azole antifungals to reducing VEN doses and treatment cost. Therefore, the toxicity associated with VEN+ HMAs+ half-dose CAG regimen was acceptable.

In summary, this study showed the VEN combined with HMAs and half-dose CAG regimen has promising efficacy and tolerable safety profile in unfit or R/R AML patients. Even in patients with high-risk molecular features and adverse prognostic factors, response was still observed. This chemotherapy regimen increases the opportunity for high-risk patients to proceed to allo-HSCT. Notably, VEN+ HMAs+ half-dose CAG was well tolerated, showing an acceptable safety profile. Despite the retrospective nature of this study that represents its major limitation, our results warrant further evaluation of VEN+ HMAs+ half-dose CAG in a prospective setting with larger AML population.

Abbreviations

- AML Acute myeloid leukemia
- BFI Breakthrough fungal infection
- TLS Tumor lysis syndrome
- VEN Venetoclax
- AEs Adverse Events
- R/R Relapsed/refractory
- HSCT Hematopoietic stem cell transplant
- BCL2 B-cell leukemia/lymphoma-2
- HMAs Hypomethylating agents
- LDAC Low-dose cytarabine
- HD High-dose
- DAC Decitabine
- AZA Azacitidine
- ELN European Leukemia Net
- WBC White blood cell
- PLT Platelet
- CTCAE Common Terminology Criteria for Adverse Events
- MRD Minimal residual disease
- CR Complete response
- ANC Absolute neutrophil cell
- ORR Overall response rate
- BM Bone marrow

Declarations

Author contributions CXT conceived, designed, analyzed the data, and wrote the manuscript. FSJ analyzed data and is the corresponding author. ZYQ and LQ participated in data collection. All authors reviewed the manuscript. All authors read and approved the final manuscript.

Funding No funding was received.

Compliance with ethical standards

Conflict of interest The authors declare that they have no competing interests.

Ethical approval This study protocol was discussed and approved by the Medical Ethics Committee of the First Affiliated Hospital of Harbin Medical University who waived the need for patient informed consent for this retrospective analysis.

References

- 1. Siegel RL MK, Jemal A. Cancer statistics. CA Cancer J Clin 2020; doi: 10.3322/caac.21590.
- 2. Howlader N NA, Krapcho M, et al. SEER cancer statistics review, 1975-2016. National Cancer Institute 2019.
- 3. Döhner H EE, Grimwade D, et al. Diagnosis and management of AML in adults: 2017 ELN recommendations from an international expert panel. *Blood* 2017; 129: 424-47.
- Dinardo CDP, K.; Pullarkat, V.; Jonas, B.A.; Arellano, M.; Becker, P.S.; Frankfurt, O.; Konopleva, M.; Wei, A.H.; Kantarjian, H.M.; et al. Venetoclax combined with decitabine or azacitidine in treatment-naive, elderly patients with acute myeloid leukemia.*Blood* 2019; 133, 7–17.
- 5. Thein MS EW, Jemal A, Yates JW, Baer MR. Outcome of older patients with acute myeloid leukemia: an analysis of SEER data over 3 decades. *Cancer* 2013; 119(15):2720–2727.
- 6. Walter RB O, Burnett AK, Löwenberg B, Kantarjian HM, Ossenkoppele GJ, Hills RK, et al. Resistance prediction in AML: analysis of 4601 patients from MRC/NCRI, HOVON/SAKK, SWOG and MD Anderson Cancer Center. Leukemia 2015; doi: 10.1038/leu.2014.242.
- 7. Shah A AT, Rachet B, Bjorkholm M, Lambert PC. Survival and cure of acute myeloid leukaemia in England, 1971–2006: a population-based study.*Br J Haematol* 2013; 162(4):509–516.
- 8. Adams CM C-GS, Porcu P, Eischen CM. Targeting the Bcl-2 family in B cell lymphoma. Front Oncol 2019, 8; 636.
- 9. Campos L RJ, Sabido O, et al. High expression of bcl-2 protein in acute myeloid leukemia cells is associated with poor response to chemotherapy. *Blood* 1993; 81: 3091-6.
- 10. Mehta SV SS, Vora HH. Overexpression of Bcl2 protein predicts chemoresistance in acute myeloid leukemia: its correlation with FLT3. *Neoplasma* 2013; 60: 666-75.
- 11. Konopleva M PD, Potluri J, et al. Efficacy and biological correlates of response in a Phase II study of venetoclax monotherapy in patients with acute myelogenous leukemia. *Cancer Disc* 2016; 6(10):1106–1117.
- 12. Derissen EJ BJ, Schellens JH. Concise drug review: azacitidine and decitabine. Oncologist 2013; 18(5):619-624.
- 13. Wei AHM, P. Venetoclax plus LDAC for newly diagnosed AML ineligible for intensive chemotherapy: A phase III randomised placebo-controlled trial.*Blood* 2020; 135, 2137–2145.
- 14. DiNardo CD MA, Rausch CR, et al. **10-day decitabine with venetoclax for newly diagnosed intensive chemotherapy ineligible, and relapsed or refractory acute myeloid leukaemia: a single-center, phase 2 trial**.*Lancet Haematol* 2020 Oct;7(10):e724-e736.; doi: 10.1016/S2352-3026(20)30210-6.
- 15. Hong M ZH, Sun Q, et al. Decitabine in combination with low-dose cytarabine, aclarubicin and G-CSF tends to improve prognosis in elderly patients with high-risk AML. *Aging (Albany NY)* 2020 Apr 1;12(7):5792-5811.; doi: 10.18632/aging.102973.
- 16. Qu Q LL, Zhang Y, et al. Increasing aclarubicin dosage of the conventional CAG (low-dose cytarabine and aclarubicin in combination with granulocyte colony-stimulating factor) regimen is more efficacious as a salvage therapy than CAG for relapsed/refractory acute myeloid leukemia. *Leuk Res* 2015 Dec;39(12):1353-9.; doi: 10.1016/j.leukres.2015.09.014.
- 17. Dohner HE, E. Diagnosis and management of AML in adults: 2017 ELN reccommendations from an international expert panel.*Blood* 2017.; 129, 424–447.
- Matthew Mei IA, Guido Marcucci, Vinod Pullarkat. Hypomethylating agents in combination with venetoclax for acute myeloid leukemia: Update on clinical trial data and practical considerations for use. *Am J Hematol* 2019 Mar;94(3):358-362.; doi: 10.1002/ajh.25369. Epub 2018 Dec 13.
- 19. Institute. NIoHaNC. Common Terminology Criteria for AdverseEvents (CTCAE), v5.0; National Institutes of Health and National Cancer Institute: Bethesda, MD, USA.*Available*

online:https://ctepcancergov/protocoldevelopment/electronic_applications/docs/ctcae_v5_quick_reference_5x7pdf (accessed on 1 March 2021) 2017.

- 20. Y. Ofran JM. Rowe Treatment for relapsed acute myeloid leukemia: what is new? Opinion Hematol 2012; pp. 89-94.
- 21. J.L. Shipley JN. Butera Acute myelogenous leukemia Exp. Hematol 2009; pp. 649-658.
- 22. C. Karanes KJK, D.R. Head, M.R. Grever, H.E. Hynes, E.H. Kraut, et al. Aphase III comparison of high dose ARA-C (HIDAC) versus HIDAC plusmitoxantrone in the treatment of first relapsed or refractory acute myeloidleukemia southwest oncology group study. *Leukemia Res* 1999; 787–794.
- 23. J.M. Li YS, D.P. Wu, H. Liang, J. Jin, F.Y. Chen, et al. Aclarubicin andlow-dose cytosine arabinoside in combination with granulocytecolony-stimulating factor in treating acute myeloid leukemia patients withrelapsed or refractory disease and myelodysplastic syndrome: a multicenterstudy of 112 Chinese patients. *Int J Hematol* 2005; 48–54.
- 24. L. Liu YZ, Z. Jin, X. Zhang, G. Zhao, Y. Si, et al. Increasing the dose of a clarubicin in low-dose cytarabine and a clarubicin in combination with granulocyte colony-stimulating factor (CAG regimen) can safely and effectively treat relapsed or refractory acute myeloid leukemia. *Int JHematol* 2014; 603–608.
- 25. S.R. Lee DHY, J.S. Ahn, Y.K. Kim, J.J. Lee, Y.J. Choi, et al. The clinicaloutcome of FLAG chemotherapy without idarubicin in patients with relapsedor refractory acute myeloid leukemia. *Korean Med* 2009; 498–503.
- 26. Ganzel C RR, Gural A, Wolach O, Gino-Moor S, Vainstein V, Nachmias B, Apel A, Koren-Michowitz M, Pasvolsky O, et al. Venetoclax is safe and efficacious in relapsed/refractory AML. *Leuk Lymphoma* 2020;61:2221–2225; doi: 10.1080/10428194.2020.1761964.
- 27. G. Wei WN, J.W. Chiao, Z. Cai, H. Huang, D. Liu. A meta-analysis of CAG (cytarabine, aclarubicin, G-CSF) regimen for the treatment of 1029 patients with acute myeloid leukemia and myelodysplastic syndrome. *Hematol Oncol* 2011; p. 46.
- 28. J.M. Li YS, D.P. Wu, H. Liang, J. Jin, F.Y. Chen, et al. Aclarubicin and low-dose cytosine arabinoside in combination with granulocyte colony-stimulating factor in treating acute myeloid leukemia patients with relapsed or refractory disease and myelodysplastic syndrome: a multicenter study of 112 Chinese patients Int. *Hematol* 2005; pp. 48-54.
- 29. Pei S PD, Gustafson A, et al. Monocytic subclones confer resistance to venetoclax-based therapy in patients with acute myeloid leukemia. *Cancer Discov* 2020; 10: 536–551.
- 30. Niu X ZJ, Ma J, et al. Binding of released Bim to Mcl-1 is a mechanism of intrinsic resistance to ABT-199 which can be overcome by combination with daunorubicin or cytarabine in AML cells. *Clin Cancer Res* 2016; 22: 4440–4451.
- 31. Bose P GV, Konopleva M. Pathways and mechanisms of venetoclax resistance. Leuk Lymphoma 2017; 58: 2026–2039.
- 32. Stirewalt DL KK, Meshinchi S, et al. FLT3, RAS, and TP53 mutations in elderly patients with acute myeloid leukemia. *Blood* 2001; 97(11):3589-3595.
- 33. Fathi AT CY. Treatment of FLT3-ITD acute myeloid leukemia. Am J Blood Res 2011; 1(2):175-189.
- 34. Lazenby M GA, Marrin C, Evans A, Hills RK, Burnett AK. The prognostic relevance of flt3 and npm1 mutations on older patients treated intensively or non-intensively: a study of 1312 patients in the UK NCRI AML16 trial. *Leukemia* 2014; 28(10): 1953-1959.
- 35. Rucker FG SR, Bullinger L, et al. **TP53 alterations in acute myeloid leukemia with complex karyotype correlate with specific copy number alterations, monosomal karyotype, and dismal outcome**. *Blood* 2012; 119(9): 2114-2121.
- 36. Metzeler KH HT, Rothenberg-ThurleyM, et al. **AMLCG Study Group. Spectrum and prognostic relevance of driver gene mutations in acute myeloid leukemia**. *Blood* 2016; 128(5): 686-698.
- 37. Kim K MA, Loghavi S, Pourebrahim R, Kadia TM, Rausch CR, Furudate K, Daver NG, Alvarado Y, Ohanian M, Sasaki K, Short NJ, Takahashi K, Yilmaz M, Tang G, Ravandi F, Kantarjian HM, DiNardo CD, Konopleva MY. Outcomes of TP53-mutant acute myeloid leukemia with decitabine and venetoclax. Cancer 2021 Oct 15; 127(20):3772-3781.
- 38. Kim K MA, Loghavi S, Pourebrahim R, Kadia TM, Rausch CR, Furudate K, Daver NG, Alvarado Y, Ohanian M, Sasaki K, Short NJ, Takahashi K, Yilmaz M, Tang G, Ravandi F, Kantarjian HM, DiNardo CD, Konopleva MY. Outcomes of TP53-mutant acute myeloid leukemia with decitabine and venetoclax. *Cancer* 2021 Oct 15;127(20):3772-3781; doi: 10.1002/cncr.33689. Epub 2021 Jul 13.

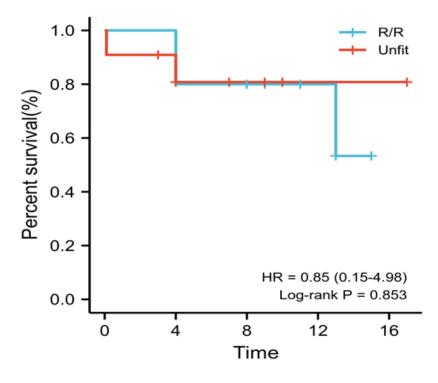
- 39. Venugopal S SM, Konopleva M, Dinardo CD, Ravandi F, Short NJ, Andreeff M, Borthakur G, Daver N, Pemmaraju N, Sasaki K, Montalban-Bravo G, Marx KR, Pierce S, Popat UR, Shpall EJ, Kanagal-Shamanna R, Garcia-Manero G, Kantarjian HM, Kadia TM. Outcomes in patients with newly diagnosed TP53-mutated acute myeloid leukemia with or without venetoclax-based therapy. *Cancer* 2021 Oct 1;127(19):3541-3551; doi: 10.1002/cncr.33675. Epub 2021 Jun 28.
- 40. Hong M ZH, Sun Q, Zhu Y, Miao Y, Yang H, Qiu HR, Li JY, Qian SX. Decitabine in combination with low-dose cytarabine, aclarubicin and G-CSF tends to improve prognosis in elderly patients with high-risk AML. *Aging (Albany NY)* 2020 Apr 1;12(7):5792-5811; doi: 10.18632/aging.102973.
- 41. Patel JP GnM, Figueroa ME, et al. **Prognostic relevance of integrated genetic profiling in acute myeloid leukemia**. *N Engl J Med* 2012; 366(12):1079-1089.
- 42. Green CL EC, Zhao L, et al. The prognostic significance of IDH2 mutations in AML depends on the location of the mutation. *Blood* 2011; 118(2):409-412.
- 43. Venugopal S TK, Daver N, Maiti A, Borthakur G, Loghavi S, Short NJ, Ohanian M, Masarova L, Issa G, Wang X, Carlos BR, Yilmaz M, Kadia T, Andreeff M, Ravandi F, Konopleva M, Kantarjian HM, DiNardo CD. Efficacy and safety of enasidenib and azacitidine combination in patients with IDH2 mutated acute myeloid leukemia and not eligible for intensive chemotherapy.*Blood Cancer J* 2022 Jan 25;12(1):10. ; doi: 10.1038/s41408-021-00604-2.
- 44. Dombret H SJ, Butrym A, et al. International phase 3 study of azacitidine vs conventional care regimens in older patients with newly diagnosed AML with .30% blasts. *Blood* 2015; 126(3):291-299.
- 45. Kantarjian HM TX, Dmoszynska A, et al. Multicenter, randomized, open-label, phase III trial of decitabine versus patient choice, with physician advice, of either supportive care or low-dose cytarabine for the treatment of older patients with newly diagnosed acute myeloid leukemia. *J Clin Oncol* 2012; 30(21):2670-2677.

Figures

Groups								Τ													
ELN genetic risk stratification			t				Ì	İ	İ				T	t	t	T		T	T	Г	
Response				t			T	Ī	T	t						T				T	
(A) Cell signaling	NRAS			Г				T	T					T	T	Γ			T	T	T
	KRAS			T				t	t	ľ				1		t	Γ		1	t	Τ
	PTPN11	!		t			1	t	t	ſ				1	T	T		Π	1	1	Τ
	FLT-3			T				T		T						T				T	
	JAK2							T	Γ											T	
	MPL							T								Γ				T	
	BRAF							T													
	KIT																				
	CALR																				
(B)Transcription factors	RUNXI	RUNXI																			
	GATA2																				
	WT1																				
	CALR	CALR						ļ										Ц			
	CBFb-M	ſ	(H11																		
	CEBPA	CEBPA																			
(C)Modification of chromatin	ASXL1	ASXL1													\downarrow						
	<u>ARID1A</u>								\downarrow						\downarrow						
	BCOR														\downarrow						
	KMT2A	KMT2A(MLL)						4	1						4						
	ATRX							4	+						\downarrow						_
	PBRM1							4	+						4					4	4
(D)DNA methylation	TET2								+	L										4	
	DNMT3.	A		Ļ				4	1		L					Ļ	L				1
	IDH1/2				L										4	ļ	L				+
(E)RNA splicing	SF3B1							4	Ļ						4						+
	SRSF2														+					4	
(F) Tumor suppressor	NPM1	_							+							+			Ц	4	+
		TP53						+	+							+				_	
	CSMD1	_																	Ц		
Groups ELN genetic risk stratification Respons		lesponse	Cell signaling																		
Unfit Favorable	CR			Transcription factors																	
R/R Intermediate	PR			Modification of chromatin																	
Adverse	TF				DNA methylation																
					RNA splicing																
	PR			Tumor suppressor																	

Figure 1

Distribution of mutated genes in unfit or R/R AML patients. Each column represents a patient. The first line was the grouping of each AML patient, the second line was the ELN genetic risk stratification of each AML patient, the third line was the treatment response of each patient. In the fourth to the thirty-second line each row represents a gene and each coloured box indicates one mutated gene.





Kaplan-Meier survival curves for the survival rate in the unfit or R/R AML patients. (P= 0.853)