

# Mapping internal temperatures during high-rate battery applications

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# Mapping internal temperatures during high-rate battery applications

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Electric vehicles (EV) demand high charge/discharge rates creating potentially dangerous temperature rises. Lithium-ion cells are sealed during their manufacture, making internal temperatures challenging to probe<sup>1</sup>. Tracking current collector expansion using X-ray diffraction (XRD) permits non-destructive internal temperature measurements<sup>2</sup>; however, cylindrical cells are known to experience complex internal strain<sup>3,4</sup>. Here, we characterise the state-of-charge (SoC), mechanical strain, and temperature within Lithium-ion 18650 cells operated at high rates (>3C) via two advanced synchrotron XRD methods: firstly, as entire cross-sectional temperature maps during open-circuit cooling and secondly, single-point temperatures during charge/discharge cycling. We observed that a 20-minute discharge on an energy-optimised cell (3.5 Ah) resulted in internal temperatures >70 °C, whereas, a faster 12-minute discharge on a power-optimised cell (1.5 Ah) resulted in substantially lower temperatures (< 50 °C). However, when comparing the two cells under the same electrical current, the peak temperatures were similar, e.g., a 6A discharge resulted in 40°C peak temperatures for both cell types. We observe that the *operando* temperature rise is due to heat accumulation, strongly influenced by the charging protocol e.g., constant-current (CC) and/or constant-voltage (CV). Design mitigations for temperature-related battery issues should now be explored using this novel methodology to provide opportunities for improved thermal management during high-rate EV applications.

## Introduction

The electrification of transport will depend heavily on the improvement of lithium-ion (Li-ion) battery technologies. For example, aviation demands very high discharge rates during flight take-off<sup>5</sup>, and similarly, extreme fast charging of automotive vehicles will be required to mitigate charging-point congestion and downtime for goods transport<sup>6</sup>. To meet these demands many high-rate solutions are emerging<sup>7-9</sup>, however, thermally induced degradation remains problematic<sup>10,11</sup>. To advance cell designs such that these degradation issues can be mitigated, we must be able to accurately quantify internal temperatures during operation. This is challenging because cells are sealed during their manufacture for protection from contamination<sup>12</sup> and short-circuit<sup>13</sup>. To non-destructively measure temperature, the thermal expansion of metals<sup>14</sup> such as the current collector<sup>2</sup> have been measured via X-ray diffraction (XRD), but to resolve internal temperatures directly has required modification of the cell to incorporate thermocouples<sup>1</sup>.

Computed tomography (CT) methods allow non-destructive measurements to be resolved spatially<sup>15</sup> and has uncovered many complex distributions of strain<sup>3</sup> and SoC<sup>4</sup>. Recently XRD-CT has revealed unprecedented insights into the internal SoC distributions within Li-ion cells<sup>16</sup>. Alas, lattice changes due to thermal expansion are orders of magnitude lower than those associated with lithiation. For instance, a 10 °C temperature change in copper would result in 10<sup>-4</sup> Å lattice expansion<sup>2</sup>, whereas the lattice changes during lithium intercalation (or de-intercalation) of the cathode active material are three orders of magnitude greater<sup>2</sup> e.g., 10<sup>-1</sup> Å. Therefore, SoC can be extracted without characterising the thermal and mechanical strain due to their negligible contributions, but resolving temperature requires mechanical and thermal strain deconvolution, and the greater the number of spatial dimensions that are resolved (e.g., 1, 2, 3D) the more complex this becomes.

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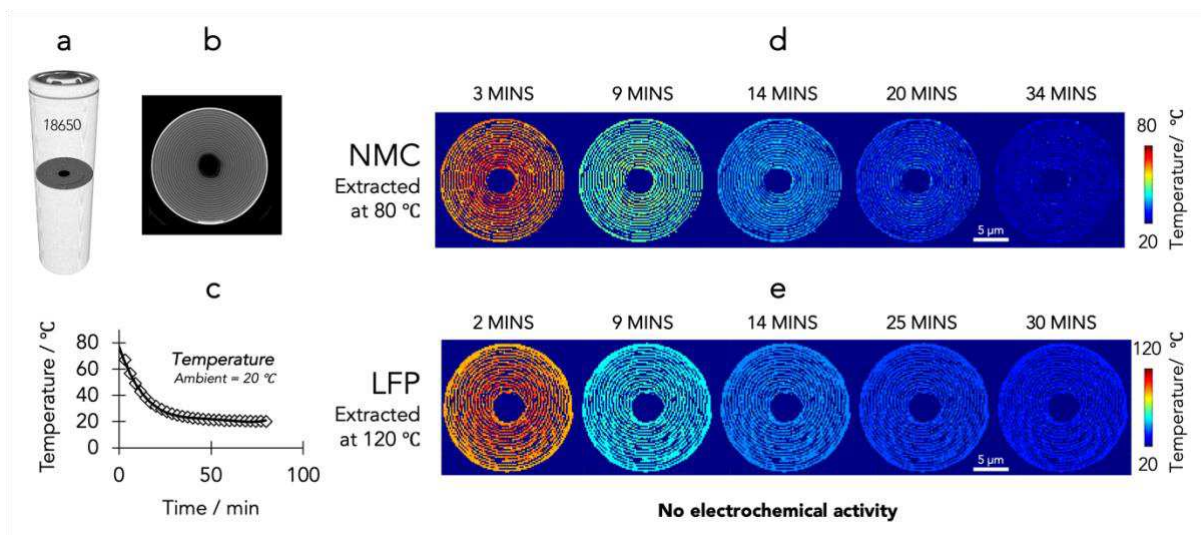
48 Materials demand is set to expand rapidly with the electrification of transport<sup>17</sup> and two  
49 contrasting cathode chemistries are lithium iron phosphate (i.e., LFP or  $\text{Li}_{1-x}\text{FePO}_4$ ) and the layered  
50 nickel-rich transition metal oxides (e.g., NMC811 or  $\text{Li}_{1-x-y}\text{Ni}_{0.8}\text{Mn}_{0.1}\text{Co}_{0.1}\text{O}_2$ ). LFP boasts longer  
51 lifetimes and enhanced safety, ideal for power-optimised cells; whereas NMC offers higher volumetric  
52 and gravimetric energy densities, more suitable for energy-optimised cell designs<sup>18</sup>. Similarly, many  
53 commercial anodes are fabricated from either graphite (e.g., Gr or  $\text{Li}_x\text{C}_6$ ,  $\text{Li}_x\text{C}_{12}$ ,  $\text{Li}_x\text{C}_{18}$ ), silicon (e.g.,  
54 Si or  $\text{Li}_x\text{Si}_y$ ), silicon-oxide (e.g., SiO or  $\text{Li}_x\text{Si}_y\text{O}_z$ ), or a composite of the two (e.g., Gr-Si, Gr-SiO<sub>z</sub>). Note  
55 that the oxygen content in Si electrodes is often not known, hence it is commonplace to denote the  
56 material SiO<sub>z</sub>, where z may be zero or negligible<sup>19</sup>. Si offers very high theoretical specific capacities  
57 however Gr undergoes significantly less expansion during cycling, accompanied by reduced stress<sup>20</sup>.  
58 Therefore, a plethora of materials combinations will compose the global Li-ion battery market, but a  
59 comparison of these key materials, which represent examples of power- and energy-optimised cells,  
60 can produce a suitable overview.

61 Here, we explain two methods of non-destructive temperature measurements for commercial  
62 cylindrical cells (e.g., 18650 format) via monitoring of the expansion/contraction of the Cu current  
63 collector crystal structures from XRD. Firstly, full cross-sectional temperature maps can be generated  
64 from conducting XRD-CT, ideal for exploring spatial distributions at the end of charge or discharge  
65 (e.g., 2D maps). And secondly, a multi-channel-collimator (MCC) can be used to resolve the  
66 temperature within arbitrarily selected internal locations (e.g., 1D lines) for real-time quantifications  
67 during operation. This article focuses on two contrasting commercially available 18650 cells, one with  
68 a Ni-rich NMC811 cathode vs. Gr-SiO<sub>i</sub> anode, and a second with an LFP cathode vs. a Gr anode. The  
69 subsequent sections will discuss validation of temperature quantifications without electrochemical  
70 operation (*ex situ*), then acquisition via XRD-CT (*in situ*), and MCC-XRD (*operando*).  
71

## 72 Ex situ temperatures

73  
74 Cylindrical 18650 cells are assembled as jellyrolls as seen in the laboratory X-ray attenuation CT cross-  
75 sectional image in **Fig. 1a,b**. A similar cross section can be produced (at a lower spatial resolution) by  
76 monitoring the metallic current collector lattice spacing<sup>2</sup> to calculate the temperature (**Fig. 1c**) and  
77 reconstructing a spatially resolved image using XRD-CT (**Fig. 1d,e**). XRD-CT can accurately  
78 reconstruct only quantities which are invariant for rotation around the tomographic rotation axis,  
79 temperature maps can be obtained since the thermal induced strain is in most materials a scalar quantity.  
80 The result is a spatiotemporal map of the internal cell temperature. As a simple proof-of-concept  
81 experiment the authors first mapped the internal temperatures within two 18650 cells after heating  
82 within a furnace (without electrochemical operation). The internal temperatures are displayed within  
83 **Fig. 1** as cross-sectional slices taken from the same region-of-interest (RoI) within the cell as the cells  
84 cooled over the course of ca. 30 minutes.

85 The temperature quantifications calculated by fitting the data of the Cu d-spacing closely  
86 followed fittings based on Newton's law of cooling (**Fig. 1c**, and see methods), indicating a high level  
87 of confidence in the quantifications. To the authors' knowledge, this is the first report of a spatially  
88 resolved temperature map within a commercial 18650 Li-ion cell without disassembly or modification.  
89 We will now consider the far more complex determination of temperatures driven by electrochemical  
90 operation. Note that all error bars throughout this article indicate statistical errors (standard deviation),  
91 the precision error is ca.  $\pm 3$  °C throughout.

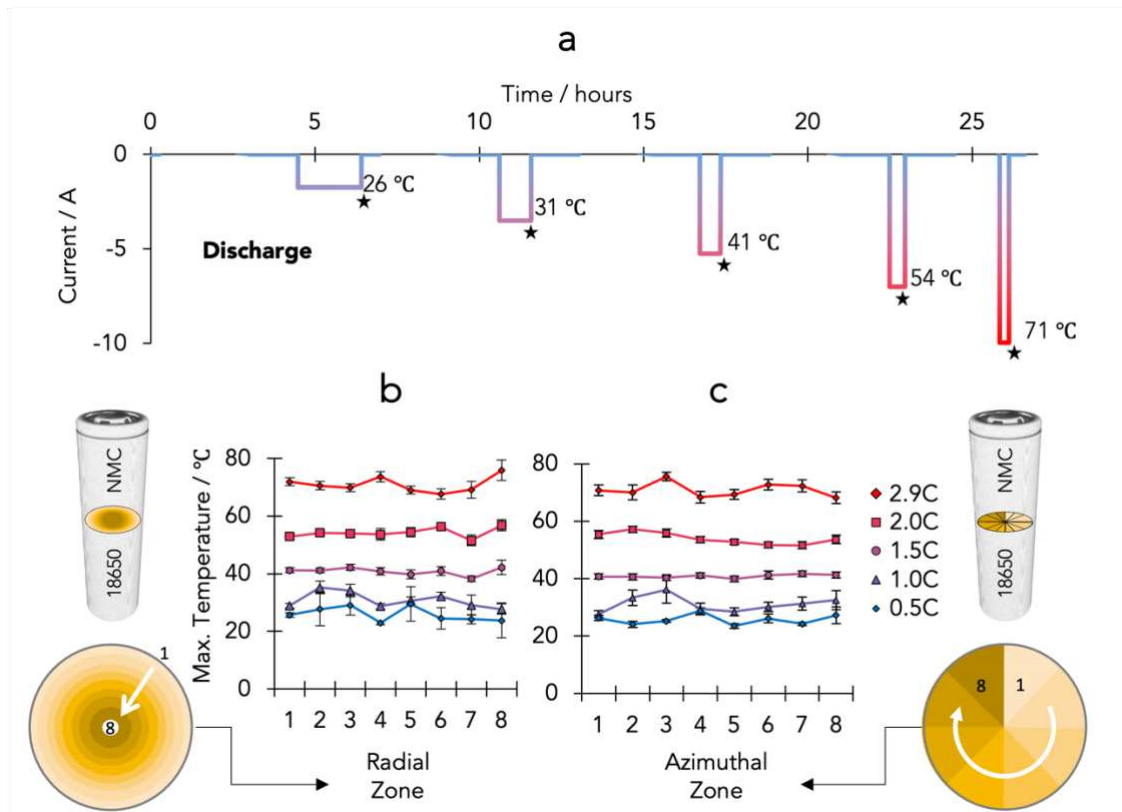


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93  
94 **Fig 1. Spatiotemporal temperature mapping within 18650s without electrochemical operation.**  
95 **a,b,** Laboratory X-ray CT (a) volume render and (b) ortho-slice of the NMC cell displaying the steel casing and  
96 internal jellyroll assembly. **c,** Internal temperatures within the NMC cell during cooling immediately after removal  
97 from the oven at 80 °C. **d,e,** A similar ortho-slice as shown in the laboratory CT (a) but instead representing the  
98 internal cell temperature obtained from synchrotron XRD-CT for (d) the NMC cell removed at 80 °C and (e) the  
99 LFP cell removed at 120 °C. Note that ambient temperature was assumed to be a consistent 20 °C.

100  
101 ***In situ* temperatures**

102  
103 To conduct electrochemical operation while also obtaining temperature measurements via XRD  
104 methods, a bespoke 18650 cell holder was designed and optimised to deliver sufficient XRD signal-to-  
105 noise (SNR) while also permitting high electrical currents with low circuit losses (**Fig. S1, S2**).  
106 Examples of the integrated XRD patterns and refinement can be found within the supplementary  
107 material (**Fig. S3**). Employing this set-up allowed both Coulomb counting of the electrochemical data  
108 and XRD analysis of the active electrode materials<sup>2</sup> to give indications of the SoC, and further,  
109 reconstruction via XRD-CT generated spatial maps of the SoC for each material<sup>16</sup>. In addition to SoC,  
110 mechanical strain information has proven highly important within 18650 cells<sup>3,4</sup>, and similar to SoC,  
111 XRD methods can also be used to extract this mechanical strain. Temperature measurements are the  
112 focus of this article but *operando* SoC and mechanical strain calculations can be found within the  
113 supplementary material (**Fig. S4-S9**).

114 As demonstrated for the oven-heating experiment (**Fig. 1**), internal temperatures could be  
115 mapped as 2D cross-sections as the cell cooled. **Fig. 2** displays the temperature values recorded during  
116 the transition to open circuit voltage (OCV) after discharge at various rates (approx. temperature peak  
117 values shown in **Fig. 2a**). To inspect peak internal thermal gradients the cell was divided into 8 radial  
118 zones (rings in **Fig. 2b**) but also compared to 8 azimuthal zones (segments in **Fig. 2c**). Increasing the  
119 C-rate from 1C to 3C increased the cell temperature by an additional ~ 40 °C, which overshadowed the  
120 influence of any thermal gradients across the 8 radial (and azimuthal) zones, which were on the order  
121 of ±5 °C; this was also true for the LFP cell (**Fig. S10**).



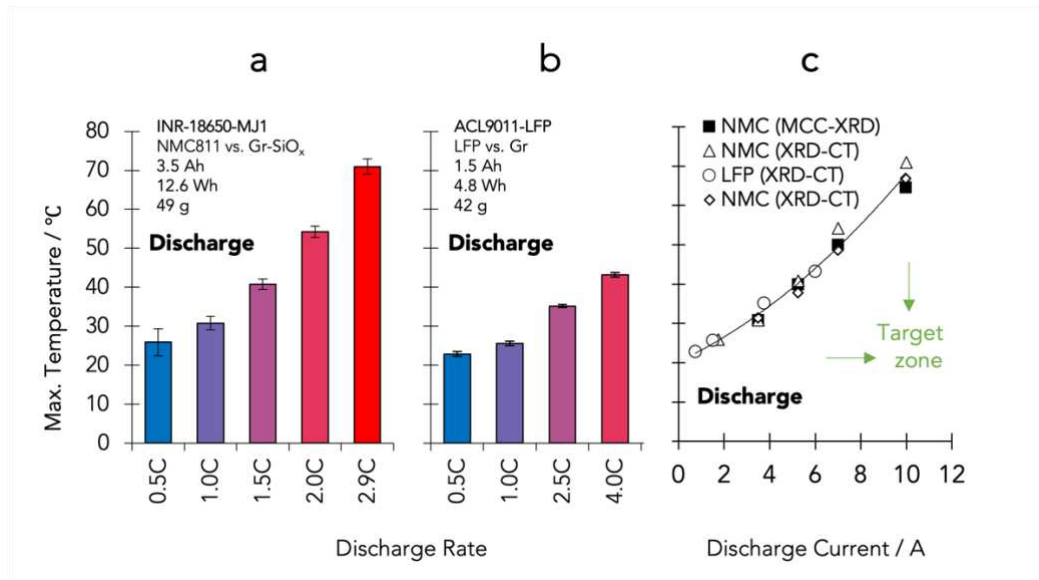
**Fig. 2** *In situ* internal temperature maps via XRD-CT. **a**, The high-rate discharge currents for a commercial 18650 with measurement of the peak temperature during the open circuit transition (stars). **b,c**, Internal temperatures for 8 zones divided as (b) radial and (c) azimuthal zones. All error bars are statistical, precision error is ca.  $\pm 3$  °C throughout. Note that ambient temperature was assumed to be a consistent 20 °C.

Since the cell capacity dictates the electrical current for a particular C-rate, the contrasting power-optimised 18650 cell (LFP vs. Gr) was also assessed and compared to the energy-optimised counterpart (**Fig. 3a,b**), with the maximum temperature plotted with respect to the discharge current within **Fig. 3c** (circles) alongside two NMC cells (diamonds and triangles). The maximum temperature recorded grew similarly with discharge current irrespective of the cell type, e.g., at a discharge current of 6 A the maximum temperature was approximately 40 °C for all cells regardless of chemistry and this correlation held well throughout all discharge currents explored (i.e., up to approx. 10 A).

The thermal conductivities of the current collectors (assumed to be 398 and 235 W/m/K for copper and aluminium, respectively) are three orders of magnitude higher than the electrodes, and four orders higher than the separator. Therefore, it is unlikely that large amounts of heat are transferred rather through the electrodes or directly through the separator material. Instead, it is more probably that heat is preferentially and efficiently transferred around the jelly-roll winding through the metallic current collectors. Additionally, heat transfer from the interior to the exterior of the cell is very inefficient due to the much lower thermal conductivity of the steel case ( $\sim 45$  W/m/K). These factors explain the degree of homogeneity observed throughout the cell in **Fig. 2**, the current collector promotes temperature uniformity via high thermal conduction. Similarly, the strong dependence of the electrical current upon the peak temperature (**Fig. 3**) can be explained by the inefficient thermal properties of the casing design, which promotes heat accumulation, and the subsequent temperature rises. See further discussions within the Supplementary Material. This also indicates that Joule heating (at least for high currents) dominates heat generation, rather than reaction heating. Power-optimised LFP chemistries, with lower capacities, therefore present a favourable heat (and temperature) management option for high-rate applications since a lower relative electrical current can achieve a higher C-rate, thus faster charge/discharge and SoC change, although at the cost of lower energy densities. Therefore, if onboard energy density can be compromised, i.e., if shorter driving or flight ranges are acceptable in certain applications e.g.,

152 agricultural drones or warehouse robots, power-optimised cells such as these can offer improved  
153 thermal management.

154 The similarities of peak temperatures within the two cells at various discharge currents suggests  
155 that fundamental cell design alterations would be required to mitigate high temperatures (via improved  
156 heat dissipation) during high electrical currents, i.e., to enter the green ‘target zone’ visualised in **Fig**  
157 **3c**. Consequently, the authors concluded that a method for understanding *operando* temperature  
158 changes (i.e., measurements during charge transfer) would be essential to explore how the heat is  
159 accumulated during operation.  
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162  
163 **Fig. 3** *In situ* internal temperature maxima via XRD-CT. **a,b**, The internal temperature maxima  
164 within the commercial (a) energy- and (b) power-optimised cells immediately after discharge at various C-rates.  
165 **c**, The maximum internal temperature correlated with discharge current for two energy cells and one power cell,  
166 obtained at the end of discharge/start of OCV, via XRD-CT or MMC-XRD. Note that ambient temperature was  
167 assumed to be a consistent 20 °C.  
168

## 169 Operando temperatures

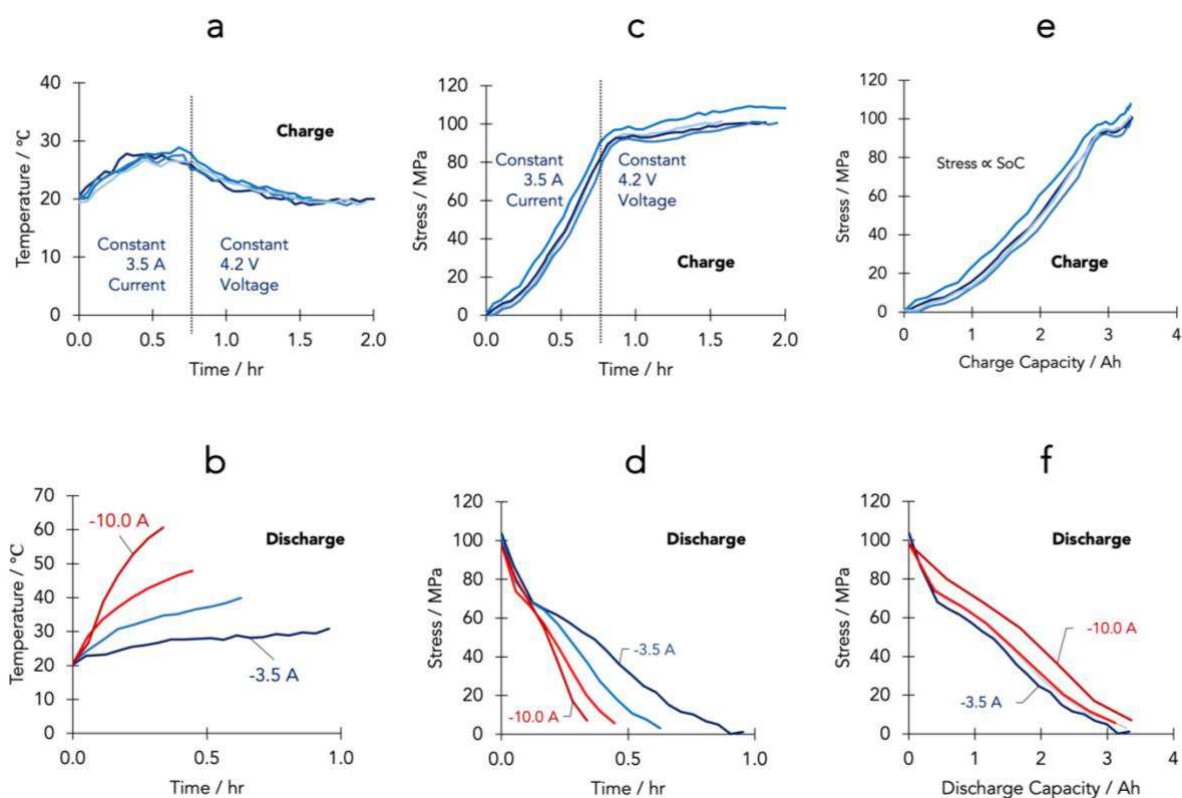
170  
171 During cell charge and discharge, in addition to thermal strain due to the Joule heating effect, the Cu  
172 current collector develops mechanical elastic strain caused by stress build up inside the cell, originated  
173 by different volumetric expansion/contraction of anode and cathode. To separate the mechanical from  
174 thermal strain and explore the temperature during stages that precede the peak temperatures reported in  
175 **Fig 3**, the methodology for a second XRD measurement was developed by employing a Multi-Channel  
176 Collimator (MCC) (**Fig. S11, S12**) which allows high speed (sub-second), high angular resolution and  
177 high SNR XRD measurements from a given spatial location (gauge volume). MCC-XRD measurements  
178 were performed along the same radial line, at two orthogonal orientations of the cell.

179 The *operando* MCC current collector tangential and radial strain measurements, combined with  
180 *operando* XRD-CT axial strain measurements permitted the analysis of temperature dynamics during  
181 the whole charge/discharge process, not only during open circuit. **Fig. 4** displays the temperature values  
182 recorded for zone 4 within an NMC cell during four charge-discharge cycles, whereby all four charges  
183 were conducted at 1C but each discharge was increased until the manufacturer’s specified maximum  
184 electrical current of 10 A was reached. For completeness, **Fig. 3c** also reports the MCC data, showing  
185 close agreement with the XRD-CT values. The temperature and radial stress during the four charge  
186 profiles (at 1C, 3.5 A) were highly repeatable with minimal variation (**Fig. 4a,b**). Furthermore, the  
187 transition (dashed vertical line, ca. 45 mins) between the constant current (CC) and constant voltage  
188 (CV) stages was clearly distinguishable.

189 During CC the temperature rises due to the heat accumulation but once the current is allowed  
 190 to decay under CV (or OCV) the temperature reduces, as heat loss to the surroundings exceeds that  
 191 generated due to Joule heating. Consequently, there is a clear correlation between the electrical current  
 192 employed and the temperature rise observed (as shown in **Fig. 4a**) but subsequently, the internal  
 193 temperature at the end of charge will be dictated by the profile of the electrical current over the entire  
 194 duration of the charge profile. For instance, other charging protocols such as current pulse or constant  
 195 power may produce different temperature profiles to that seen here (under CC-CV) because the trade-  
 196 off between heat generation and loss (to the surroundings) is different.

197 Discharge was conducted without a CV hold, i.e., only under CC. The temperature rise during  
 198 the CC discharge followed a similar (but inverted) profile to the cooling after removal from the oven in  
 199 the first experiment (compare heating in **Fig. 4a** to cooling in **Fig. 1d**); whereby initially the temperature  
 200 changes rapidly but then slowing with time.

201 Naturally, unlike the thermal dynamics, the mechanical stress does not reduce during the CV  
 202 stage due to the intercalation (and de-intercalation) mechanisms occurring during charge (**Fig. 4c**). This  
 203 stress is only relieved once the discharge process is completed (**Fig. 4d**). Marginal stress hysteresis,  
 204 observed as non-zero stress values at the end of discharge, may be attributed to the inaccessible  
 205 discharge capacities at higher rates (**Fig. S9**). It should also be noted that stress was most dependent  
 206 upon the SoC (see stress-capacity in **Fig. 4e,f**) and showed no correlation with C-rate (**Fig. 4f**).  
 207



208  
 209  
 210 **Fig. 4** *Operando* internal temperatures via MCC-XRD. **a,b**, The temperature during (a) charge and  
 211 (b) discharge for the four cycles. **c,d**, The stress during (c) charge and (d) discharge for the four cycles. **e,f**,  
 212 Assessing the relationship between the SoC (capacity) and the stress for the four (e) charge cycles all at 1C and  
 213 (f) discharge cycles at various C-rates. Note that ambient temperature was assumed to be a consistent 20 °C.  
 214

## 215 Future thermal management

216  
 217 In conclusion, we present here two methodologies to accurately ( $\pm 3$  °C) quantify internal temperatures  
 218 during high-rate (up to 10.0 A) operation of commercial Li-ion 18650s without cell modification or  
 219 disassembly. These methods should be applicable to the vast majority of, if not all, commercial 18650s,  
 220 and may be conducted by any specialist XRD synchrotron beamline with the cell holder (described



221 within the methodology and supplementary) and using the correct imaging setup. Moreover, minor  
222 modifications would permit the study of other cell geometries, e.g., 2170 and 4680, and these options  
223 are currently being explored by the authors.

224 Temperature mapping using XRD-CT revealed that the peak temperatures reached within the  
225 cell are relatively homogeneous (spatially) at the end of discharge and the magnitude of this temperature  
226 peak is largely dictated by the electrical current employed. The MCC-XRD temperature mapping  
227 method then revealed that this temperature peak is reached due to an accumulation of heat and is  
228 strongly influenced by the charging protocol (e.g., the use of CC or CC-CV, etc.).

229 As imaging instruments advance, the spatial and temporal resolutions employed here may  
230 improve. Consequently, studies including other chemistries and cell microstructures may provide  
231 additional understanding behind the complex transport of heat during charge transfer. This presents new  
232 opportunities to study novel thermal management techniques with real-time quantifications that can  
233 inform computational modelling<sup>21</sup> and accelerate the design iteration process. The prediction and  
234 control of temperatures is a large industrial challenge that is expected to receive increased attention in  
235 coming years as the performance of batteries is advanced to meet application requirements<sup>22</sup>. Intelligent  
236 thermal management of Li-ion cells in combination with materials innovations<sup>8,9</sup> will reduce range-  
237 anxiety for automotive vehicles and aid aircraft take-off, ultimately enabling the transition to electrified  
238 transport.

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240 **References**

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337 **Figure legends**

338

339 **Fig 1. Spatiotemporal temperature mapping within 18650s without electrochemical operation.**

340 **a,b**, Laboratory X-ray CT (a) volume render and (b) ortho-slice of the NMC cell displaying the steel casing and

341 internal jellyroll assembly. **c**, Internal temperatures within the NMC cell during cooling immediately after removal

342 from the oven at 80 °C. **d,e**, A similar ortho-slice as shown in the laboratory CT (a) but instead representing the

343 internal cell temperature obtained from synchrotron XRD-CT for (d) the NMC cell removed at 80 °C and (e) the

344 LFP cell removed at 120 °C. Note that ambient temperature was assumed to be a consistent 20 °C.

345

346 **Fig. 2 *In situ* internal temperature maps via XRD-CT. a**, The high rate discharge currents for a

347 commercial 18650 with measurement of the peak temperature at the point of open circuit (stars). **b,c**, Internal

348 temperatures for 8 zones divided as (b) radial and (c) azimuthal zones. All error bars are statistical, precision error

349 is ca.  $\pm 3$  °C throughout. Note that ambient temperature was assumed to be a consistent 20 °C.

350

351 **Fig. 3 *In situ* internal temperature maxima via XRD-CT. a,b** The internal temperature maxima

352 within the commercial (a) NMC and (b) LFP cells immediately after discharge at various C-rates. **c**, The max

353 internal temperature correlated with discharge current for two NMC cells and the LFP cell, obtained at the start

354 of open-circuit/end of discharge, obtained either via XRD-CT or MMC-XRD. Note that ambient temperature was

355 assumed to be a consistent 20 °C.

356

357 **Fig. 4 *Operando* internal temperatures via MCC-XRD. a,b**, The temperature during (a) charge and

358 (b) discharge for the four cycles. **c,d**, The stress during (c) charge and (d) discharge for the four cycles. **e,f**,

359 Assessing the relationship between the SoC (capacity) and the stress for the four (e) charge cycles all at 1C and

360 (f) discharge cycles at various C-rates. Note that ambient temperature was assumed to be a consistent 20 °C.

361

362

## 363 Methods

364

365 **18650 Li-ion cells.** The commercial cell testing was performed on 3.5 Ah nominal capacity LG Chem  
366 INR-18650-MJ1 cells (Nkon, Netherlands). These cells are assembled using nickel-rich  
367 ( $\text{LiNi}_{0.8}\text{Mn}_{0.1}\text{Co}_{0.1}\text{O}_2$ ) cathodes and composite graphite-silicon anodes. Where stated, a comparison cell  
368 was also analysed: the 1.5 Ah nominal capacity ACL9011, composed of a lithium iron phosphate (LFP)  
369 cathode and graphite anode.

370

371 **Laboratory X-ray CT.** The lab X-ray CT data was collected using a Nikon XT H225 (Nikon  
372 Metrology, Inc. U.S.A.) by rotating the sample through 2,278 angular projections and exposing the  
373 sample at each increment to a polychromatic X-ray beam of characteristic peak energy of 58 keV (W-  
374  $K\alpha$ ), each with an exposure time of 1 s; with an isotropic 36  $\mu\text{m}$  reconstructed voxel length. This data  
375 has been published openly elsewhere and is free to download<sup>23</sup>. All data was reconstructed using  
376 commercial software employing cone-beam filtered-back-projection (FBP) algorithms ("CT Pro 3D,"  
377 Nikon Metrology, Inc. U.S.A.).

378

379 **Laboratory data visualisation.** All lab data was visualised using Avizo Fire software (Avizo, Thermo  
380 Fisher Scientific, Waltham, Massachusetts, U.S.A.). Volume renders and ortho-slice cross-sections  
381 were generated based upon the raw, unprocessed greyscale data within the tomogram.

382

383 **Synchrotron.** All synchrotron experiments were performed at the ID15A beamline<sup>24</sup> at the ESRF – The  
384 European Synchrotron, Grenoble, France. During the XRD-CT measurements the sample was  
385 continuously rotated while being translated horizontally across the X-ray beam path in steps defined by  
386 the horizontal beam size (**Fig. S1**). The MCC-XRD data was collected during translation of the sample  
387 along either the direction parallel to the beam (x) or perpendicular (y). In both setups the scattered signal  
388 was collected at rates of 200-250 Hz using a Pilatus 3X CdTe 2M detector (Dectris, Baden-Dättwil,  
389 Switzerland).

390

391 **Multi-Channel Collimator (MCC).** The MCC used in this work consists of two concentric sets of slits  
392 radially aligned around the centre of rotation of the diffractometer. The two sets of slits consist of  
393 tungsten carbide blades supported by a stainless-steel frame. They contain 75 slits, separated by 0.8°,  
394 with a distance of 50 and 200 mm respectively from the sample.<sup>28</sup> Thanks to this geometry it is possible  
395 to select the scattering signal from an internal volume in the sample (**Fig S11**). The gauge volume from  
396 which the diffraction signal is measured is given by the intersection of the primary X-ray beam with the  
397 volume seen by the detector through the MCC slits (**Fig S11**). The dimension of the gauge volume full-  
398 width-half-maximum (FWHM) along x axis ( $\delta x$ ) is approximated by the formula as:

399

400 Equation 1

$$\delta x = \frac{a}{\left(1 - \frac{r_1}{r_2}\right) \sin 2\theta} + \frac{\delta y}{\tan 2\theta},$$

401

402 Where,  $a = 50 \mu\text{m}$  is the width of the inner slits;  $r_1$  and  $r_2$  are the inner and outer slit radii, respectively;  
403 and  $\delta y$  is the horizontal beam size. As shown by equation 1, the gauge volume varies with the diffraction  
404 angle,  $2\theta$ . The gauge volume dimensions along y and z directions correspond to the X-ray beam cross  
405 section. Sample diffraction patterns without and with multichannel collimator are compared in  
406 **Fig.S12.**<sup>28,29</sup>

407

408

409 **Commercial 18650 cell holder.** All *in situ* and *operando* measurements were conducted using the same  
410 cell set-up (**Fig. S1, S2a**) during operational charge-discharge cycling (**Fig. S2b**), allowing  
411 crystallographic information to be resolved internally through various stages of operation. This holder  
412 was capable of handling up to 20 A of continuous electrical current, which should encompass the  
413 majority of commercial 18650 cell C-rate capabilities. Note that these experiments were limited to 10  
414 A due to the potentiostat limit.

415

416 **Electrochemistry.** The cells were cycled using a Biologic SP300 cycler with 10 A booster (Biologic,  
417 France). The first XRD-CT experiments were conducted on an NMC cell discharged at: 0.5C (1.75 A),  
418 1.0C (3.50 A), 1.5C (5.25 A), 2.0C (7.00 A), and 2.9C (9.96 A). The first charge was conducted at 0.5C  
419 (1.75 A) in order to begin the discharge experiments, all subsequent charges were conducted at 1.0C  
420 (3.5 A). After each charge or discharge step, the cell was held at OCV for 30 mins. This was repeated  
421 with a second NMC cell. The second XRD-CT experiment was conducted on an LFP cell discharged  
422 at: 0.5C (0.75 A), 1.0C (1.50 A), 2.5C (3.75 A), 4.0C (6.00 A), and 5.0C (7.50 A). The first charge was  
423 conducted at 0.5C (0.75 A) in order to begin the discharge experiences, all subsequent charges were  
424 conducted at 1.0C (1.5 A). After each charge or discharge step, the cell was held at OCV for 30 mins.  
425 The MCC-XRD experiment was conducted on an NMC cell discharged at: 1.0C (3.50 A), 1.5C (5.25  
426 A), 2.0C (7.00 A), and 2.9C (9.96 A). The first charge was conducted at 0.5C (1.75 A) in order to begin  
427 the discharge experiences, all subsequent charges were conducted at 1.0C (3.5 A). After each charge or  
428 discharge step, the cell was held at OCV for 30 mins.

429  
430 **Ex situ temperatures.** The *ex situ* oven-heating experiments were performed using ultra-fast XRD-CT  
431 with an incident beam energy of 95 keV and a 200 x 200  $\mu\text{m}^2$  beam size. The detector-sample distance  
432 was set to 1000 mm. XRD-CT scans were performed every ~170 seconds. After keeping the LFP cell  
433 at 120 °C for three hours, XRD-CT data was collected over the course of 1.25 hours while the cell was  
434 cooling to room temperature with no electrochemistry applied. The same measurements were performed  
435 every ~255 seconds on an NMC811 cell, during cooling in air, after holding at 80 °C for three hours.

436  
437 **In situ temperature measurements.** The *in situ* OCV cooling experiments were performed using ultra-  
438 fast XRD-CT while charging and discharging the cell at different rates. The experiments were  
439 conducted using an X-ray beam of 100 keV and a 200 x 200  $\mu\text{m}^2$  size, XRDCT scans were performed  
440 every 3 minutes. The detector-sample distance was set to 1275 mm for NMC cells, and 1770 mm for  
441 LFP cells. XRD-CT datasets were collected continuously during charge/discharge for the entire  
442 electrochemical cycle on each cell. The cell mounted in the battery holder was connected to the Biologic  
443 SP300 potentiostat.

444  
445 **Operando temperature measurement.** The *operando* experiments were performed using MCC-XRD.  
446 These experiments employed an incident beam energy of 95 keV and a 200 x 25 (VxH)  $\mu\text{m}^2$  beam size  
447 to attain a narrow gauge-volume. The cell, mounted in the battery holder, was connected to the Biologic  
448 SP300 potentiostat. The detector-sample distance was set to 1000 mm. The sample was translated  
449 alternately perpendicular and parallel to the incident beam, collecting multiple diffraction points to  
450 select different Cu current collector spirals in each scan.

451  
452 **XRD-CT reconstruction.** For each measured XRD-CT slice, the 2D diffraction patterns  $I(2\theta, \eta)$  from  
453 all rotation and translation positions were integrated over the azimuthal angle ( $\eta$ ) using pyFAI  
454 software<sup>25</sup>. The azimuthal range was  $\pm 15^\circ$  around the vertical scattering plane, corresponding to a  
455 condition where the momentum transfer vector ( $q$ ) is virtually parallel to the sample rotation axis (z,  
456 see **Fig. S1b**). The resulting azimuthally integrated data was corrected for incident flux and sample  
457 absorption effects and finally reconstructed through a FBP algorithm implemented in Matlab  
458 (Mathworks, Cambridge, U.K.).

459  
460 **Temperature calculations.** To calculate internal cell temperatures, position of Cu Bragg peaks were  
461 calculated for each voxel in the tomograms and for each of the MCC scans. Peak centre of mass  
462 calculations gave accurate  $d$ -spacings for the available Cu reflections in the different sample/setup  
463 combinations used. To inspect internal thermal gradients, spatially resolved data were binned into eight  
464 circular regions inside the battery based on their radial position between the internal and the external  
465 border of the active area (**Fig. 2b**). Eight azimuthal regions were also defined as shown in **Fig.2c**. To  
466 reduce the statistical error, the mean values were calculated for each region as function of time. The  
467 temperature generated from the C-rate discharge applied to the cell in the 8 zones (radial and azimuthal)  
468 was calculated by fitting the data of Cu  $d$ -spacing from the end of the discharge until the end of the  
469 following rest based on Newton's law of cooling. Since the XRD-CT data were taken during OCV in

470 the discharge state, it was assumed that no strains were contributing to the contraction of the Cu crystal  
 471 lattice during cooling. The function used for the fitting was an exponential decay:  
 472

473 Equation 2: 
$$d(t) = d_0 - \Delta d \cdot e^{-\frac{t-t_0}{\tau}}$$

474  
 475 Where  $t$  is the time,  $t_0$  the time at which the discharge finished,  $\tau$  the decay time,  $\Delta d$  the  $d$ -spacing  
 476 variation of a given Bragg reflection of the Cu current collector,  $d_0$  is the  $d$ -spacing at time  $t_0$ .  
 477 Temperature variation  $\Delta T$  inside the battery at the end of each discharge for the eight zones was  
 478 calculated from the relative change in  $d$ -spacing using Equation 3. The thermal expansion coefficient  
 479 of Cu was experimentally determined through temperature-dependent XRD on a fragment of the current  
 480 collector extracted from a 18650 cell.  
 481

482 Equation 3: 
$$\Delta T = \frac{1}{\alpha} \frac{\Delta d}{d_0}$$

483  
 484 **Strain calculations.** The positions of Cu Bragg peaks used for internal temperature calculations were  
 485 also used to calculate strain values. Likewise, the battery section was split into eight radial zones and  
 486 values from the voxels in each zone averaged for better statistics. Strain on Cu current collector caused  
 487 by the volume change due to lithiation of graphite was calculated by measuring the Cu  $d$ -spacing before  
 488 the charge ( $d_0$ ) and the Cu  $d$ -spacing after the charge ( $d$ ). The final strain after charge was calculated  
 489 for the eight circular zones inside the battery with the following equation:  
 490

491 Equation 4: 
$$\varepsilon = \frac{d-d_0}{d_0}$$

492  
 493  
 494 **Rietveld refinements.** Rietveld analysis was performed on the  $I(2\theta)$  patterns in the reconstructed  
 495 tomograms of the cells to calculate the SoC from the varying distribution of lithiated anode phases.  
 496 Batch fit routines were set up in Topas v7<sup>26</sup> to handle the analysis of the several thousands of  
 497 diffractograms in each dataset. While the refinement strategy was unconstrained (i.e., letting structural  
 498 parameters vary within a suitable range), care must be applied when setting parameter limits and initial  
 499 values in order to ensure that the fit converges and avoids local minima. The fitted model comprised a  
 500 2-parameter Chebyshev polynomial background and four rhombohedral graphite-like phases  
 501 (delithiated graphite and lithiation stages I, II, III). Each phase had refinable lattice parameters, scale  
 502 factor and one peak-width parameter, whose  $\tan(2\theta)$ -dependent broadening was convoluted with a  
 503 fixed Pseudo-Voigt contribution previously refined on the calibrant powder (NIST SRM 674b Cr<sub>2</sub>O<sub>3</sub>)  
 504 to yield the final peak shape.  
 505

506 **SoC calculations.** The number of moles of Li per mole of C<sub>6</sub> was calculated from the mass fractions of  
 507 the lithiation stages determined by Rietveld analysis.<sup>27</sup> The diffraction patterns were consistent with a  
 508 choice of four stages: graphite, Stage III, Stage II, and Stage I. Stage III was considered as a solid  
 509 solution whose Li content varied linearly with the lattice parameter  $c$  between LiC<sub>19</sub> and LiC<sub>30</sub>. The  
 510 stoichiometries of Stage II and Stage I were LiC<sub>12</sub> and LiC<sub>6</sub>, respectively. The lithiation state  $x$  in Li <sub>$x$</sub> C<sub>6</sub>  
 511 was calculated as:  
 512

513 Equation 5: 
$$x = 6 \times \frac{\frac{\sum_{s=1}^3 m_s f_s}{w_{Li}}}{\frac{m_g + \sum_{s=1}^3 m_s (1-f_s)}{w_C}}$$

514  
 515 Here  $w_{Li}$  and  $w_C$  are the atomic weights of Li and C;  $m_g$  the mass fraction of graphite;  $m_s$  the mass  
 516 fraction of phase  $s$  (lithiation stages I-III);  $f_s$  the mass fraction of Li in the same phases.  
 517

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548

## 549 **Data and code availability**

550

551 Correspondence and requests for materials should be addressed to the corresponding author: Professor  
552 Paul R Shearing.

553

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555

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564

## 565 **Author contributions**

566

567 TH led the investigation. TH, MM, PS conceived the experiments. TH, IM, AL, CT, SC, MM, M.J.,  
568 A.J, GG performed the experiments, TH, IM, AL, CT, SC, MM, M.J., A.J, XX, YY, ZZ performed the  
569 data analysis. MM leads work at ESRF as the Scientist in Charge of ID15A. RJ leads work as the  
570 Degradation Project Manager for the Faraday Institution. PS and DB lead all work from the  
571 Electrochemical Innovation Lab (UCL) and sourced all funding to support this work.

572

## 573 **Competing interest declaration**

574

575 There are no competing interests known to the authors to declare.

576

## 577 **Additional information**

578

579 Supplementary information is available for this paper.

580

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582

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586 **Supplementary information**

587

588 **Mapping internal temperatures during high-rate**  
589 **battery applications**

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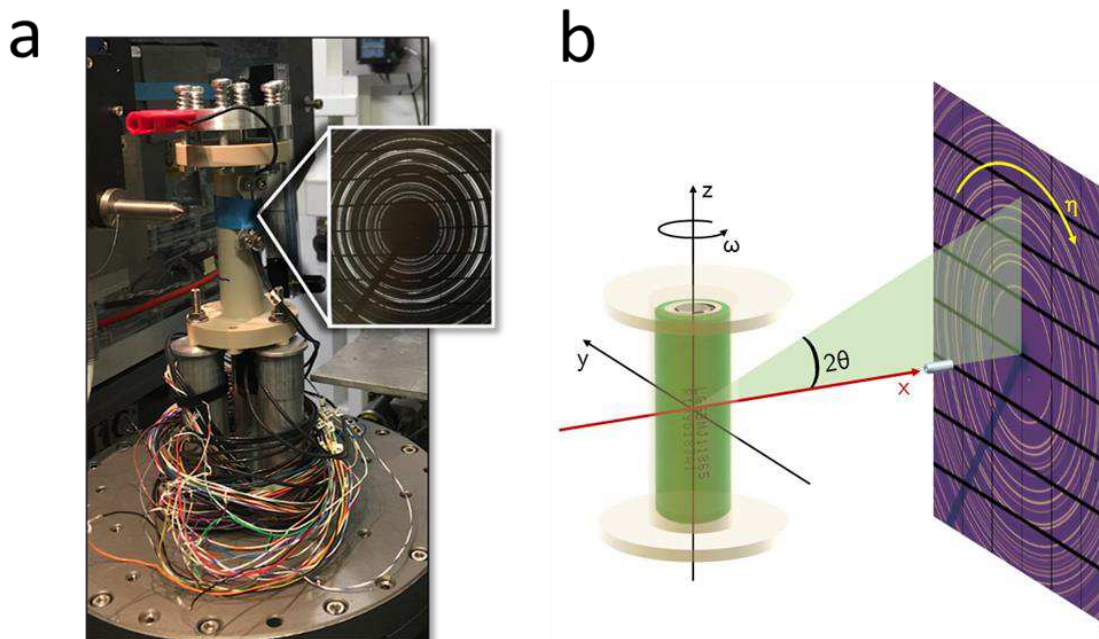
591 **T. M. M. Heenan<sup>1,2</sup>, I. Mombriani<sup>1,3</sup>, A. Llewellyn<sup>1</sup>, C. Tan<sup>1,2</sup>, S. Checchia<sup>3</sup>, M. Johnson<sup>1</sup>, A.**  
592 **Jnawali<sup>1</sup>, Gaston Garbarino<sup>3</sup>, R. Jervis<sup>1,2</sup>, D. J.L. Brett<sup>1,2</sup>, M. Di Michiel<sup>3</sup>, P. R. Shearing<sup>1,2,Ψ</sup>**

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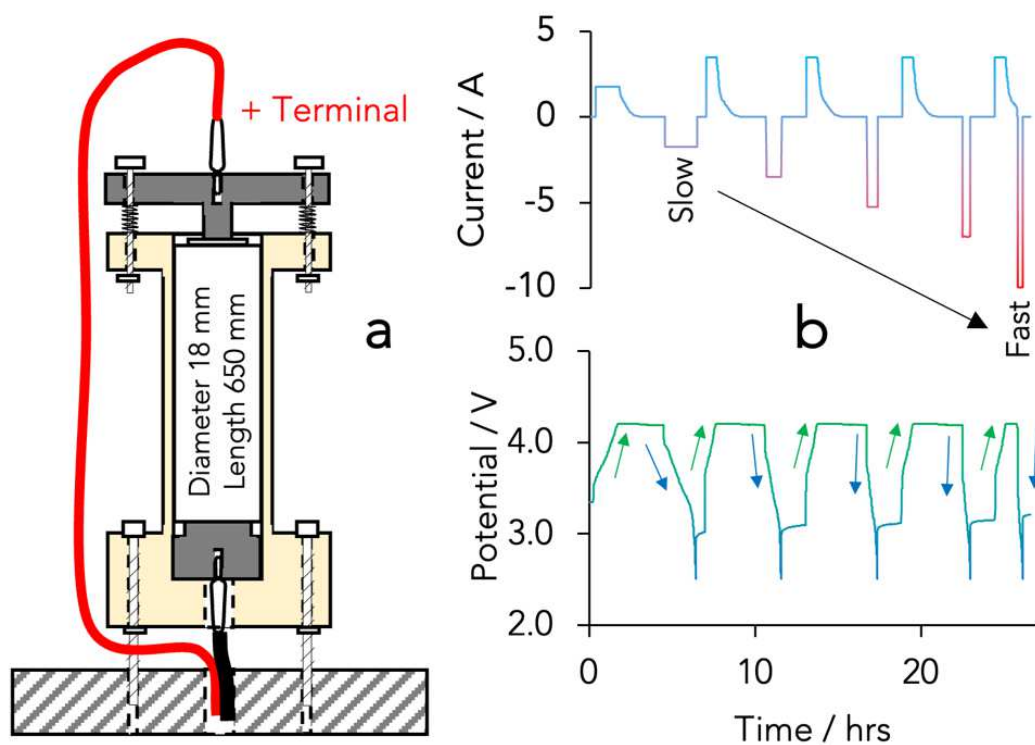
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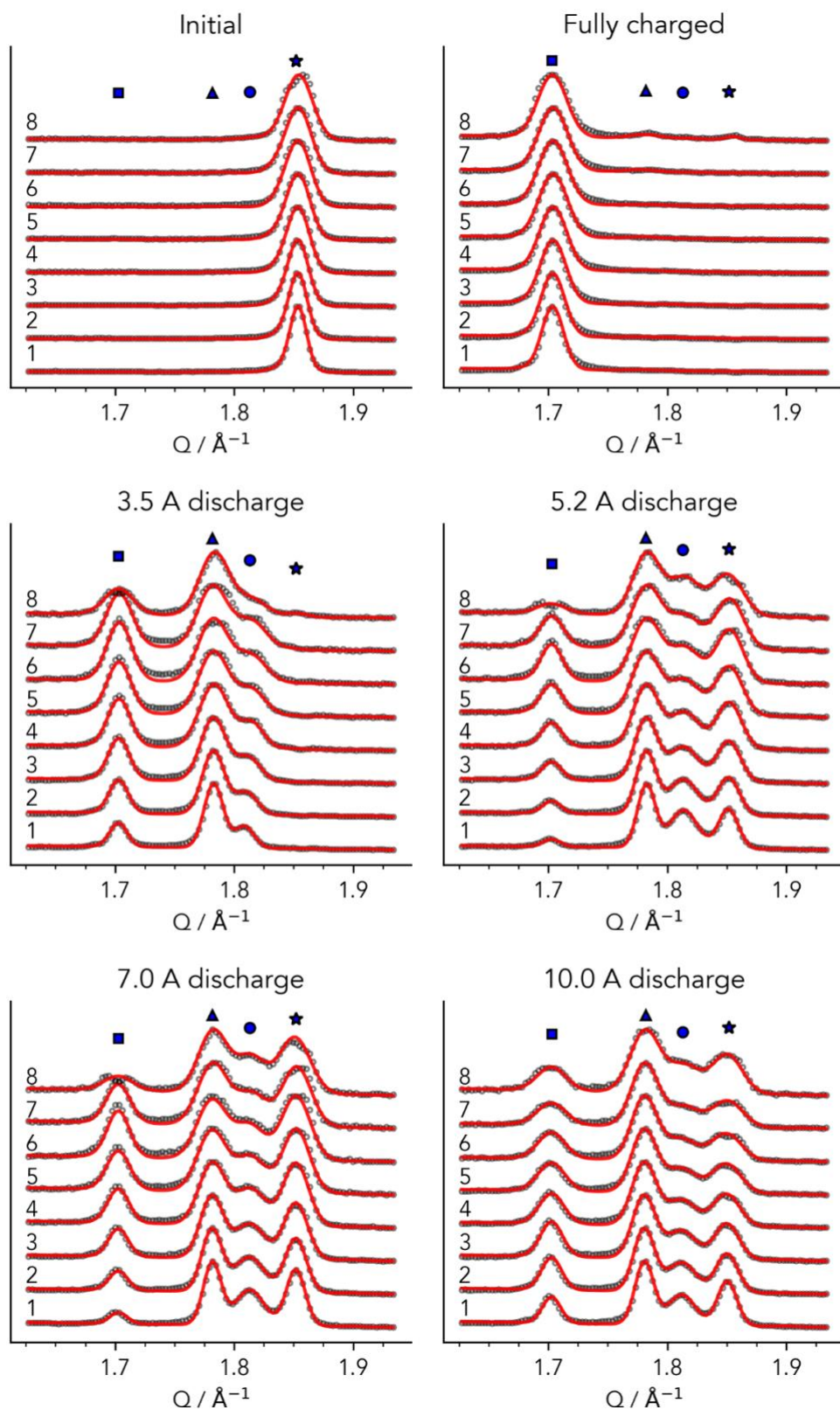
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**Fig S1.** Experimental set-up. **a**, A photograph of the cell holder with electrical connections as mounted on the diffractometer at ID15A and an example of the X-ray diffraction rings collected from the cells. **b**, Example diagram of the sample-detector geometry used for all experiments: the x-ray beam is directed along x and normal to the detector plane; sample translation perpendicular to the beam goes along y;  $\omega$  is the angle about the rotation axis.



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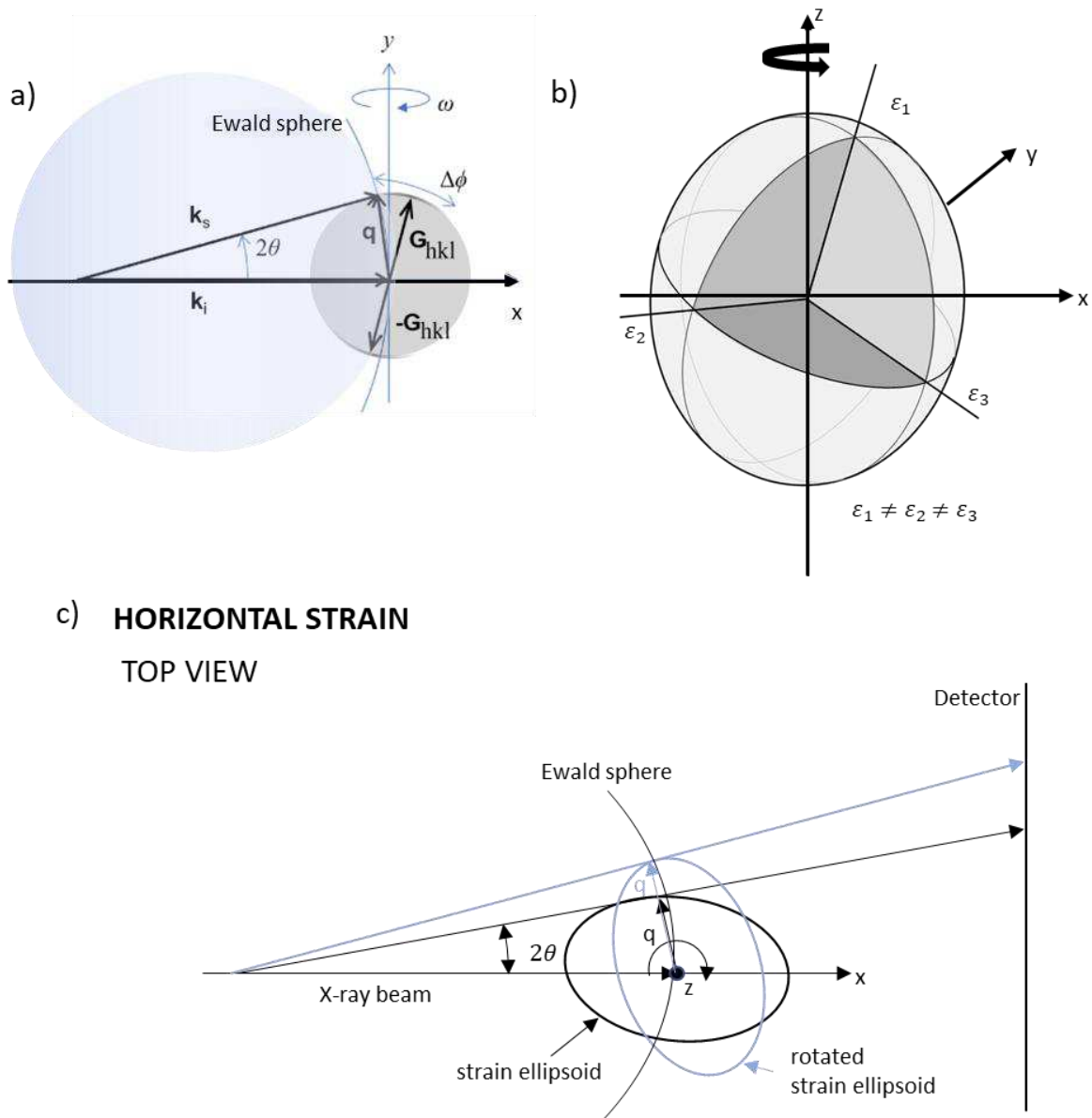
**Fig S2.** Electrochemical cycling data collected using the operando cell holder. **a**, A schematic image of the cell holder with electrical terminals (red/black) and 18650 cell. **b**, Electrochemical data obtained using the cell holder during characterisation at various C-rates.



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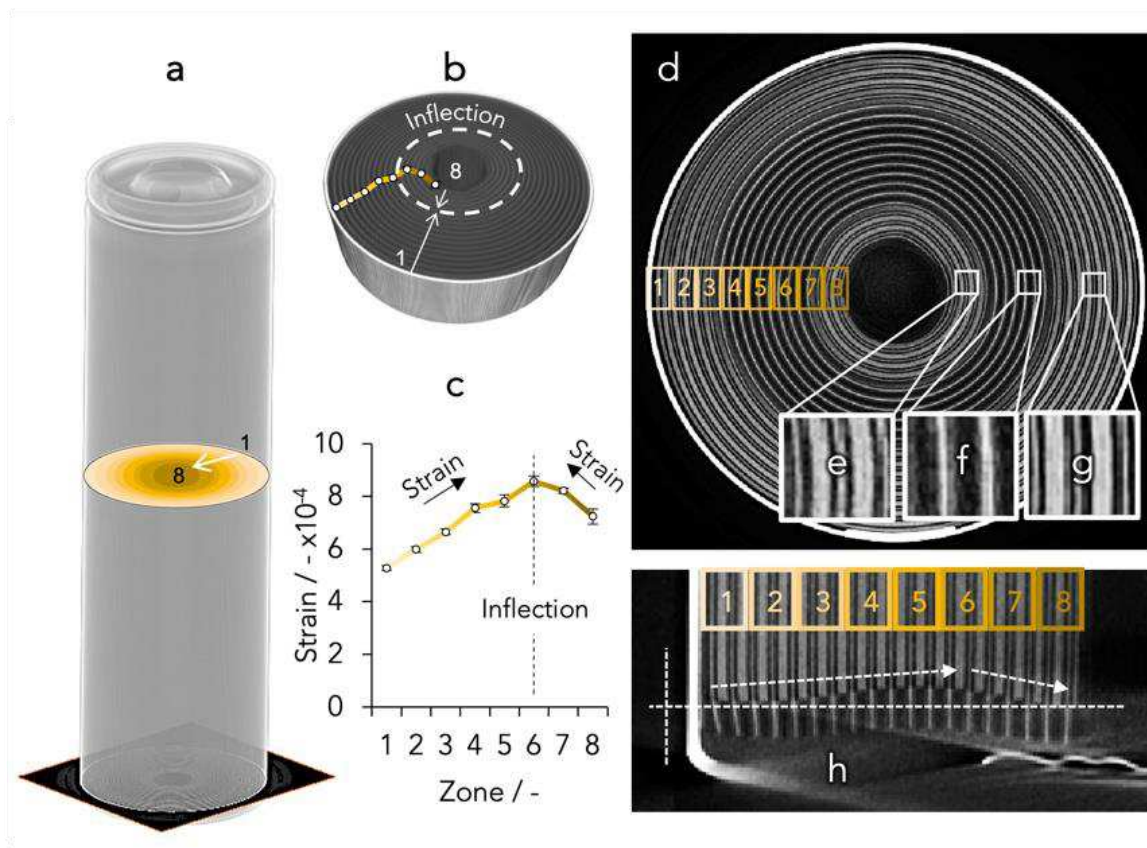
**Fig S3. X-ray diffraction data collected on MJ1 cell using the operando cell holder for SoC calculations.** Diffraction patterns for the 8 zones in which the battery volume was divided at initial discharged state, final charged state. For each current applied during discharge (3.5A, 5.25A, 7.0A, 10.0A) the patterns are shown at Li  $x=0.5$ . It is possible to distinguish the four graphite stages and their distribution depending on the discharge current applied.

616 **Fig. S4a** shows the Ewald's sphere construction and its relation to the scattering vector defined as  $Q =$   
 617  $k_s - k_i$ , where  $k_i$  denotes the incoming and  $k_s$  the outgoing wave vectors. As a reference, the beam  
 618 direction is on  $x$  axis and vertical rotation is on  $z$  axis. Diffraction occurs, whenever the sphere,  
 619 representing all the possible orientation of  $G_{hkl}$  reciprocal space vector, intercepts the Ewald's sphere;  
 620 In case of an anisotropic deformation, the sphere became an ellipsoid (**Fig. S4b**). When the battery  
 621 cycles, the components, such as the current collector, suffer of an anisotropic deformation. In this case  
 622 the powder diffraction rings are the result of the intersection between the Ewald's sphere and the strain  
 623 ellipsoid. The interception of the axial strain and the Ewald's sphere during rotation around the  
 624 tomographic  $z$  axis is constant. The vertical scattering component can be used to tomographic  
 625 reconstruct the axial strain in the sample. On the contrary, as shown in **Fig. S4c** the intersection of the  
 626 Ewald's sphere and the strain in the horizontal plane is not invariant for rotation around the tomographic  
 627  $z$  axis. As a consequence, the horizontal scattering component can not be used for strain tomographic  
 628 reconstructions.  
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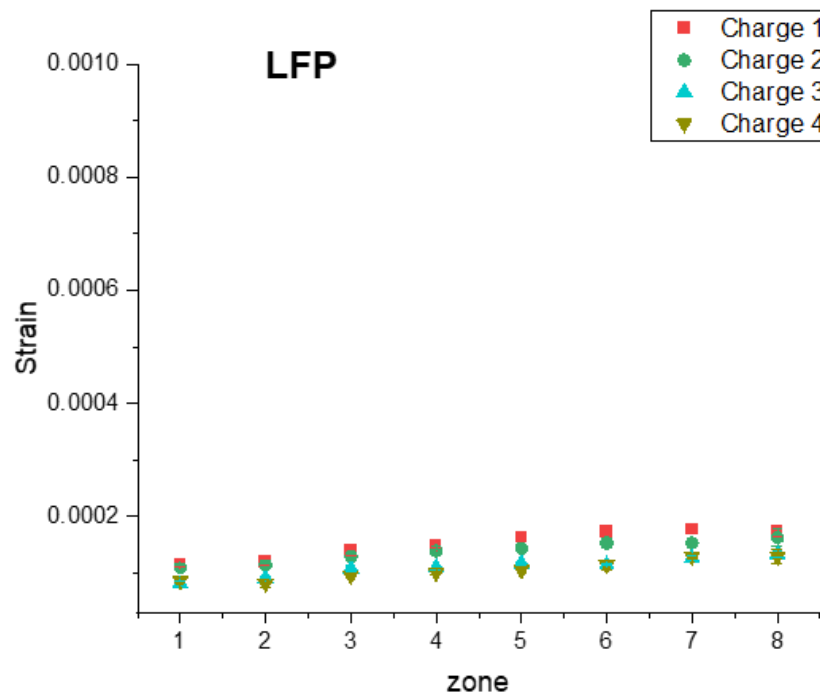
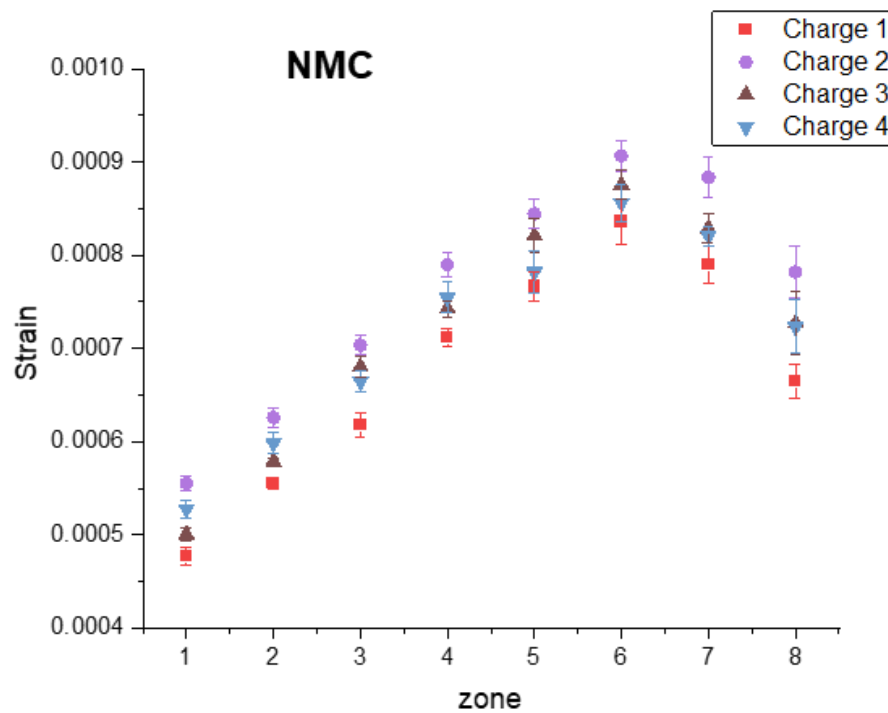
630  
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 632 **Fig S4. Strain components.** **a**, Ewald's sphere construction and its relation to the scattering vector. **b**,  
 633 Ewald's sphere in the case of an anisotropic deformation, the sphere becomes an ellipsoid. **c**,  
 634 Intersection of the Ewald's sphere and the strain in the horizontal plane.  
 635

636 It was found that non-vertical components displayed a complicated distribution of strain. This is  
 637 visualised in **Fig S5a** whereby the cell is examined via a horizontal cross-section (analogous to that  
 638 seen in **Fig. 1**) at approximately half of the cell's vertical height that is divided into 8 rings as was  
 639 employed in **Fig. 2** (the 1<sup>st</sup> ring at the cell wall and the 8<sup>th</sup> at the cell core). Considering the radial zone-  
 640 to-zone variation (**Fig. S5b**) revealed a strain inflection point towards the cell core (around zones 6 and  
 641 7 in **Fig. S5c**). Correlating this observation to the laboratory attenuation X-ray CT revealed a  
 642 misalignment of the electrode layers within the jellyroll (**Fig. S5d**). The electrode layers appear to shift  
 643 vertically, most clearly near the base of the cell casing, with a distinct lack of electrode material within  
 644 the strain 'inflection' zone (**Fig. S5f**) compared to either side of this zone where active material is visible  
 645 (**Fig. S5e,g**). This is perhaps even more evident by the shift in vertical alignment when viewed  
 646 orthogonally (**Fig. S5h**). Moreover, this strain distribution appeared to be particularly severe when  
 647 compared to an equivalent LFP-Gr cell (**Fig. S6**).  
 648



649  
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 651 **Fig. S5 Resolving mechanical strain using XRD-CT. a**, Semi-transparent volume render of the 18650  
 652 cell with an indicating the spatial location of the cross-section. **b,c**, A sub-sectioned volume render of the cell  
 653 indicating (b) the location and (c) the magnitude of the inflection point feature. **d,e,f,g**, A horizontal greyscale  
 654 ortho-slice cross-section taken near the cell base as indicated in (a), with reference to three magnified regions (e)  
 655 before, (f) during and (g) after the inflection. **h**, Another greyscale ortho-slice taken but in the orthogonal (vertical)  
 656 plane.

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**Fig S6. Vertical strain components after charge of 18650 cells.** The vertical strain component calculated on the two 18650 cells (NMC and LFP) from the Cu d-spacing difference before and at the end of repeated charges at 1C rate after 1h relaxation. Multiple 1C charges were compared to understand the influence of successive cycles. The cell volume was again divided in 8 circular zones to understand the strain variation distribution inside the battery. **a**, shows strain on Cu current collector d-spacing at the end of 1C charges as a function of 8 circular zones inside an NMC cell. **b**, shows strain on Cu current collector d-spacing at the end of 1C charges as a function of 8 circular zones inside an LFP cell. Notice the strain caused by volume change during graphite silica composite anode lithiation is some orders higher than LFP cell graphite lithiation.

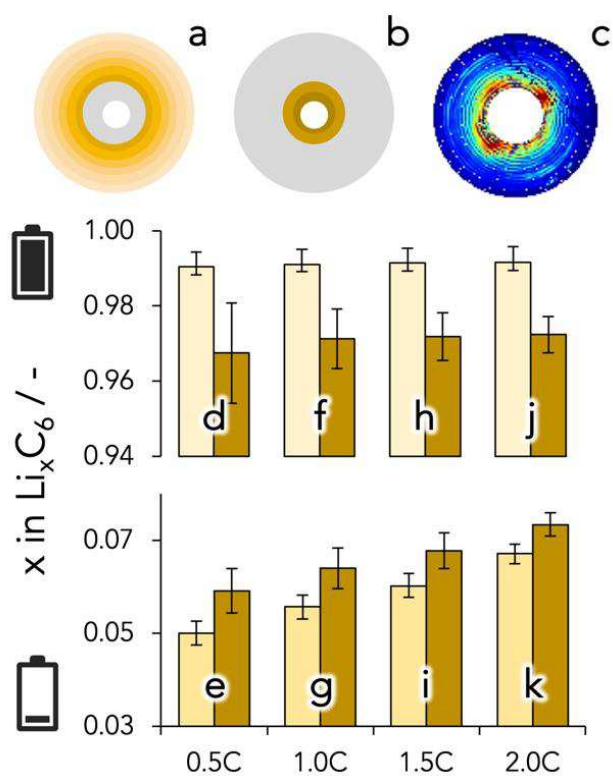
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**Fig. S7** displays the NMC811 vs. Gr-SiO<sub>i</sub> cell which has a standard discharge rate of 0.2C and maximum discharge rate limited to 10 A (> 2C). We were therefore testing the full range of the cell's specified rate limits. The cell capacity exceeded the manufacturer's specification (>3.4 Ah) until 2C, after which a small decline in accessible discharge capacities was observed (ca. 3.2 Ah). Within commercial cells, the anode is often oversized to avoid capacity loss via a loss of lithium inventory. It is therefore common to not fully delithiate the anode during discharge. However, the degree of delithiation would be expected to be relatively uniform throughout the cell, XRD analysis revealed information contrary to this (**Fig. S8**).

Non-uniform strain distributions are important when considering SoC disparities; although there were subtle indications of charge balancing (see **Fig. S8**), the bulk SoC appeared homogenous when quantified during operation (**Fig. S9**). However, by dividing the cell into two sections: outside (**Fig. S7a**) and inside (**Fig. S7b**) of the strain inflection, a spatial distribution of the SoC showed a distinct correlation (**Fig. S7c**). Exploring this further with the inclusion of a range of discharge C-rates revealed a consistent disparity in SoC either side of the strain inflection most notably at the top (**Fig. S7d,f,h,j**) and bottom (**Fig. S7e,g,i,k**) of charge. Error bars indicate variation within the two radial zones. Curiously, C-rate does not appear to have an impact on the degree of SoC disparity between the two zones although, as would be expected due to the elevated overpotentials at higher C-rates, the extent of delithiation upon discharge decreases with increasing discharge rate. Comparing the impact of discharge rate and the disparity between the two radial zones: after discharge, the SoC within internal radial zone at 0.5C is comparable to the SoC within the external zone at 1.0C; the same conclusion can be drawn between 1.0C and 2.0C. Hence, the extent of anode delithiation during discharge appears to be impacted as severely by this strain inflection as doubling the cell C-rate, which is a substantial observation.

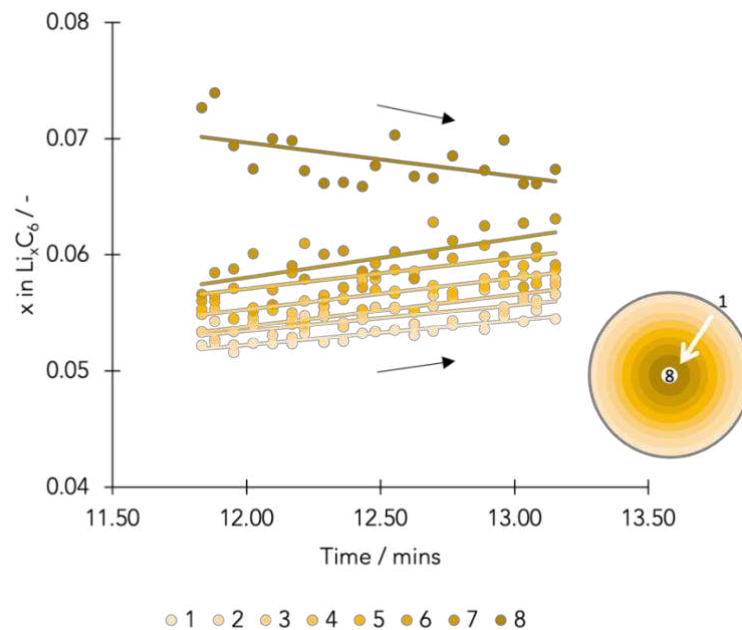
Resolving strain is important for the MCC experiments because the focal point of the data acquisition should be collected in a region with known strain values e.g., the 'strain-free' inflection point in this work (**Fig. S5c**). And although not the focus of this article, future work could explore the distribution of strain and the impact of accessible capacity within various 18650 cells using this method.





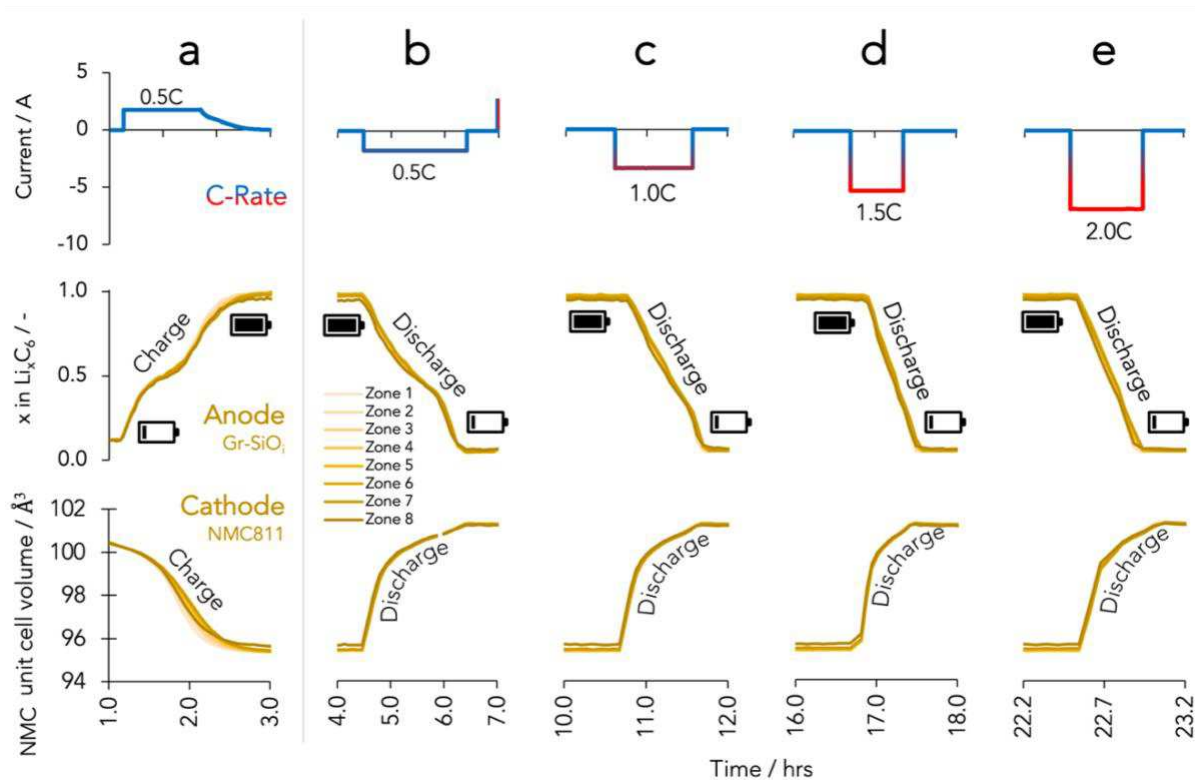
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**Fig. S7 Resolving SoC using XRD-CT.** **a,b,c,** Cross-sections of the cell as schematics of the (a) the outer and (b) the inner zones, and (c) an XRD-CT heatmap of the local SoC for qualitative purposes. **d-k,** The extent of lithiation by quantifying the  $x$  in  $\text{Li}_x\text{C}_6$  for the inner (light gold) and outer (dark gold) regions at the (d,g,h,j) top and (e,g,i,k) bottom of charge for C-rates of (d,e) 0.5C, (f,g) 1.0C, (h,i) 1.5C and (j,k) 2.0C.



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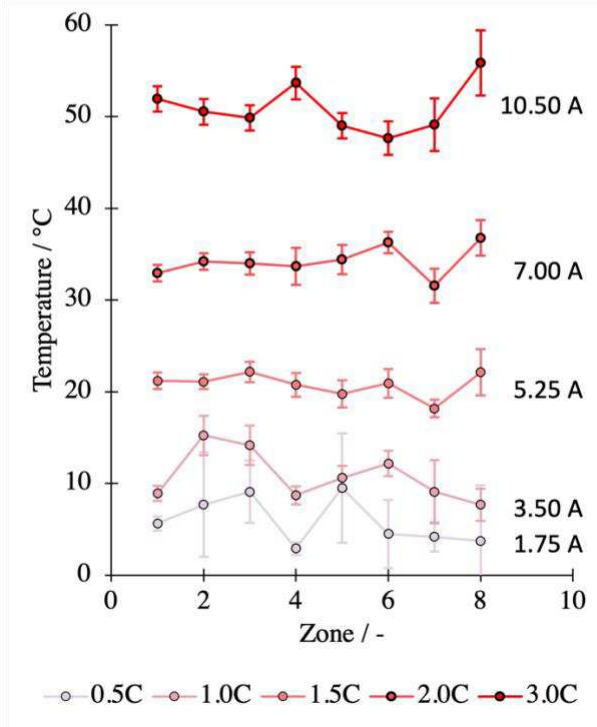
**Fig S8.** Degree of lithiation within the MJ1 18650 anode at the bottom of 1C discharge. Note: only a section of data is reported here displaying the lithiation states within the eight zones immediately after the 1C discharge.



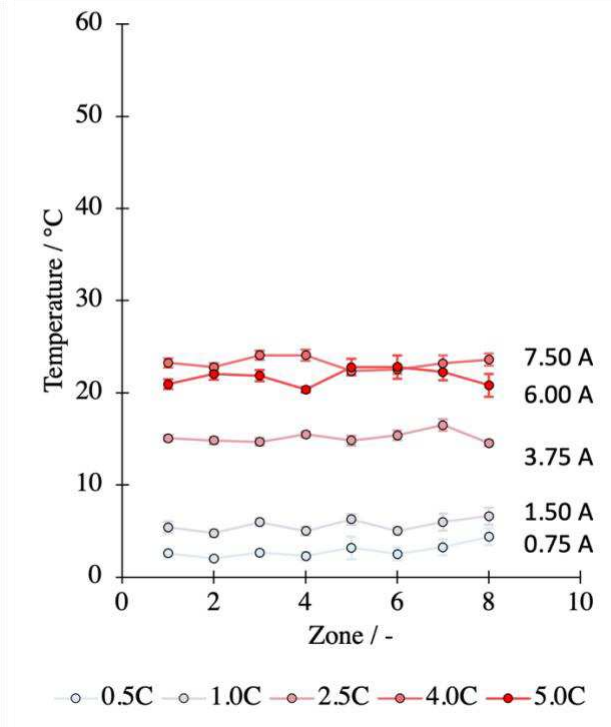
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**Fig 9.** SoC quantifications within the MJ1 18650 at high-rates. The electrical current (top), anode lithiation (middle) and cathode unit cell expansion (bottom) for the first charge (a) and four subsequent discharges (d-e) at increasing C-rates.

NMC811 vs. Gr-SiO<sub>x</sub>

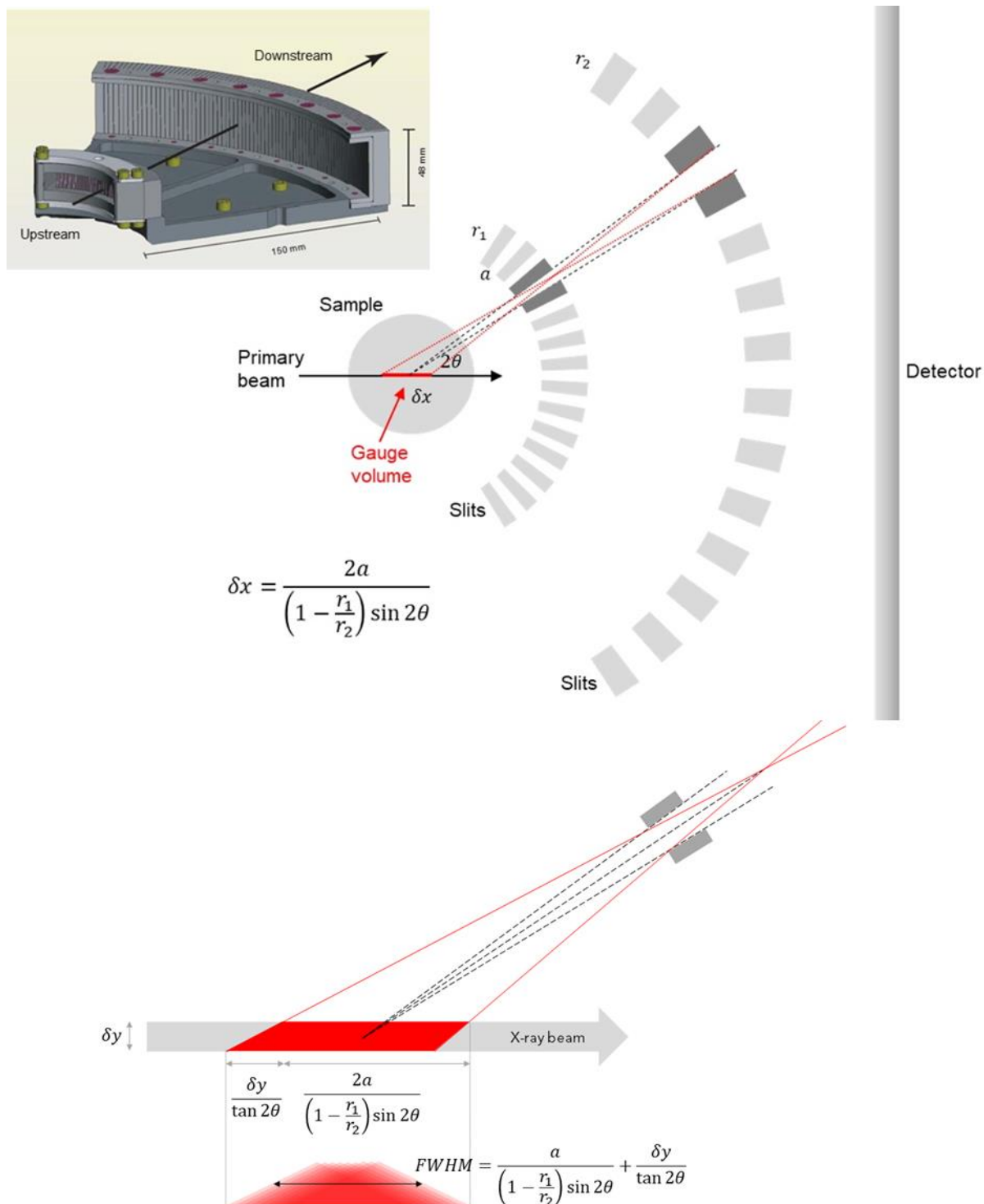


LFP vs. Gr



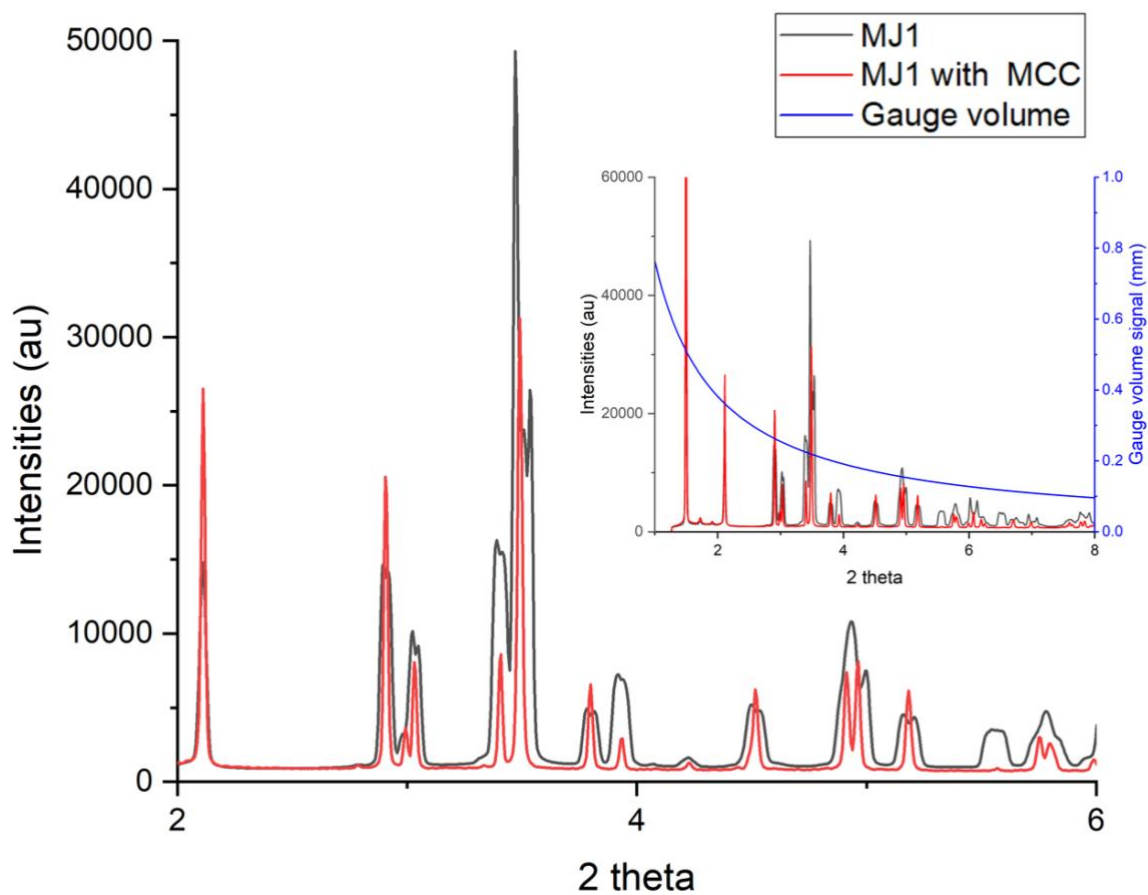
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**Fig S10.** Comparing internal temperatures within the two 18650 cells. A repeat of the data presented in Fig. 2b obtained from the NMC cell, with comparison to the LFP cell. Radial zones are shown. All temperature values are reported as the temperature difference between a point in the cell and the ambient temperature.



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 732 **Fig S11.** **Top**, schematic and working principle of the multi channel collimator (MCC) used for  
 733 operando experiments adapted from [28,29]. The expression for the length of the gauge volume,  $\delta x$ , is  
 734 given as a function of inner and outer slit radii ( $r_1$ ,  $r_2$ ) and scattering angle ( $2\theta$ ). **Bottom**, detail of the  
 735 gauge volume indicated in the top panel, along with the expressions for gauge volume dimensions in  
 736 the case of a primary beam of width  $\delta y$ ;  $r_1$ ,  $r_2$ , and  $2\theta$  have the same meaning as in the panel above.  
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740 **Fig S12.** Comparison of MJ1 diffraction patterns obtained without the MCC (black), and with  
741 the MCC (red). Inset: full range of the MJ1 diffraction patterns with and without MCC, along with  
742 the calculated intensity from the gauge volume selected with the MCC.  
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