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Tumor-infiltrating Immune Cell Activated Memory CD4+ T Cells Predicts Prognosis in Gastric Cancer

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Abstract

Background: Tumor-infiltrating immune cells (TIICs) play an important role in gastric cancer pathogenesis and are promising prognostic biomarkers. However, the prognostic value of activated memory CD4+ T cells remains unclear.

Methods: The aim of this study is to explore the value of activated memory CD4+ T cells and the risk model predicting the prognosis in GC. We analyzed the composition of 22 TIICs in GC by the CIBERSORT algorithm using RNA-seq data, we also evaluated the prognostic value of TIICs by constructing the risk model. Furthermore, we performed Kaplan-Meier survival and Cox regression analysis to evaluate the prognosis of GC.

Results: The results showed that the high expression of activated memory CD4+ T cells indicated a better overall survival. Risk score was identified as an independent prognostic

22 factor which means activated memory CD4+ T cells can predict prognosis well in gastric cancer.

23 **Conclusions:** Our study verified the expression of *IL23A* was associated with the overall
24 survival of GC patients, and its low expression indicated a poor prognosis, which confirms the
25 reliability of activated memory CD4+ T cells as a prognostic factor in gastric cancer.

26 **Key words**

27 Tumor-infiltrating Immune Cell; CD4+ T Cells; Gastric Cancer; Immunohistochemically

28 **Highlights**

29 1. The expression of *IL23A* was associated with the overall survival of GC patients, and *IL23A*
30 low-expression had a poor overall survival in GC.

31 2. High expression of activated memory CD4+ T cells had a better overall survival in GC.

32 3. Activated memory CD4+ T cells can be an independent prognosis factor in GC.

33 **Background**

34 Gastric cancer (GC) is a heterogeneous tumor [1]. The incidence and mortality of GC in
35 malignant tumor worldwide are increasing [2]. GC was the second most common cancer in
36 China [3]. At present, the main treatment of GC is the most radical surgery. The 5-year survival
37 rate with advanced gastric cancer remains only 5-20% in 10 months of median overall survival
38 [4]. The mechanism of GC is still unknown, so novel therapeutic ways and prognostic
39 biomarkers must be explored to improve prognosis in GC patients. The tumor
40 microenvironment (TME) plays an important role in tumor epigenetics, tumor differentiation,
41 immune escape, and infiltration metastasis [5]. Tumor-infiltrating immune cells (TIICs) are the

42 main components of TME of cancer which are associated with overall survival in pancreatic
43 cancer [6], hepatic cancer [5], pulmonary neuroendocrine tumors, gastroenteropancreatic
44 neuroendocrine tumors (GEP-NETs). TIICs may increase the response rate of immunotherapy.
45 Targeting the tumor microenvironment to increase the CD4⁺ lymphocyte count and
46 CD8⁺/FOXP3⁺ lymphocyte ratio could improve the clinical outcomes of PDAC patients [7].
47 M0 macrophages, M1 macrophages and activated memory CD4⁺ T cells were infiltrated
48 significantly in CRC [8].

49 “Cell type Identification By Estimating Relative Subsets Of RNA Transcripts ”(CIBERSORT)
50 is a biology analytical tool that uses the deconvolution method to analyze bulky gene
51 expression data of 22 immune cell types which using a signature matrix of 547 marker genes
52 in amount of heterogeneous samples, and then fractions of immune cells infiltrated in the TME
53 can be obtained. CIBERSORT has been successfully validated, and used for determining
54 immune cell landscapes and their relations to treatment response and outcome in breast, lung
55 and liver cancers [9, 10].

56 *IL23A* is representative markers of CD4 memory activated T cells and its receptor mainly
57 expressed on activated memory CD4⁺ T cells. In the current study, based on a large scale
58 bioinformatics analysis of The Cancer Genome Atlas (TCGA, <https://portal.gdc.cancer.gov/>)
59 and the Gene Expression Omnibus (GEO, <https://www.ncbi.nlm.nih.gov/geo/>) cohort using the
60 CIBERSORT method, we analyzed the landscape of 22 subsets of TIICs, and evaluated the
61 expression of activated memory CD4⁺ T cells and *IL23A* expression using
62 immunohistochemistry in 40 GC patients for the first time. The results showed that activated
63 memory CD4⁺ T cells may be expected to have a good prognosis.

64 **Materials and methods**

65 **Data obtained from the GEO and TCGA cohort**

66 Gene expression data were obtained from the TCGA and GEO datasets. Raw microarray
67 expression data of GC was collected in GSE19826 including 15 GC tissues and 12 normal
68 tissues from GEO database. 375 GC tissues and 32 adjacent non-tumorous tissues samples
69 were collected from TCGA database. Relevant clinical characteristics of cancer cases were
70 likewise collected. Patients with a follow-up time < 30 days or a lack of pathological diagnosis
71 were not included in the study.

72 **Identification of immune cells infiltration**

73 A total of 19 553 genes were identified from TCGA database. 210 significantly differential
74 expression genes were selected from GEO database using edgeR package. 22 immune cells
75 were identified by using the CIBERSORT algorithm and selected for the next analysis, with
76 CIBERSORT $P < 0.05$ considered significant [11].

77 **Immunohistochemical validation of activated memory CD4+ T cells**

78 40 GC patients were collected from Tianjin Medical General Hospital (Tianjin, China) from
79 October 2009 to October 2019 in this study. GC patients were undergoing curative resection
80 with no neoadjuvant therapy before surgery. All tissue slides of each GC sample were examined
81 and confirmed by two experienced pathologists. Tissue microarray was performed according
82 to previous study [11]. Immunohistochemical (IHC) slides were analyzed using Image-proplus
83 6.0 software (Medi a Cybernetics, USA). All results were tested by two pathologists. To
84 validate the expression of activated memory CD4+ T cells, the protein expressions of *IL23A*

85 which were respectively representative markers for CD4 memory activated T cells were
86 analyzed by using relevant primary antibodies (Bs-18146r, 1:200; Bioss) for quantitative IHC.
87 A H-score (histochemistry score) was calculated by using the reported formula for *IL23A*
88 expression[12].

89 **Statistical analysis**

90 Immune cells with CIBERSORT $P < 0.05$ were taken into the subsequent analysis. TIICs
91 correlation and clinical factors in the TCGA and GEO cohorts were analyzed by Wilcox test
92 and visualized by corrplot package. Survival package was used for overall survival analysis,
93 including univariate and multivariate analyses based on Cox regression. Then risk model was
94 constructed according to previous survival analysis. Survival rate of ROC analysis was
95 calculated by time ROC R package. The expression of *IL23A* was assessed by the two-tailed
96 paired t-test using GraphPad Prism 5 software. $P < 0.05$ were considered as statistically
97 significant. All analyses were performed using R software (version 3.3.2).

98 **Results**

99 *The landscape of TIICs in the GEO and TCGA databases*

100 GSE19826 from GEO database and TCGA GC genes expression data were analyzed by using
101 CIBERSORT algorithm [12] and the edgeR package of R software. The landscapes of 22
102 subsets of TIICs were shown in Table 1 and Figure 1A, C. 22 subsets of TIICs expression in
103 every samples were revealed in heatmap in Figure 1B, D. The highest negative correlation was
104 CD8⁺ T cells and resting memory CD4⁺ T cells. Neutrophils showed a positive correlation
105 with mast cells activated as showed in Figure 2A from TCGA cohorts. Among TIICs, NK cell

106 resting and T cell gamma delta had opposite expression changes in the GEO cohorts, activated
107 NK cells and monocytes showed the highest positive correlation (Figure 2B). In Figure 2C,
108 monocytes, plasma cells, activated memory CD4+ T cells, macrophages M0, M1 and M2 were
109 differentially expressed. M0, M1 macrophages, mast cells activated, T cells CD4 memory
110 resting, T cells CD4 naive were differentially expressed in GSE19826, the results were
111 presented in Figure 2D.

112 *TIICs expression and clinical factors with prognosis*

113 R packages ('survival') and ("survminer") were used to analyze the relationship of prognosis
114 with TIICs and clinical factors. We found that age (P=0.004) and tumor-infiltrating immune
115 cell activated memory CD4+ T cells (P=0.008) were associated with patients' prognosis (Figure
116 3A). In this study, the high-expression of activated memory CD4+ T cells and Tregs had a
117 better overall survival (Figure 3B, C).

118 To determine a novel prognostic index based on TIICs, we used activated memory CD4+ T
119 cells and T cells gamma delta to construct a risk model (Figure 3D). According to a previous
120 study, survival risk of each GC patient was evaluated as follows: Risk score = (-
121 4.989)×expression of activated memory CD4+ T cells + (20.165)×expression of T cells gamma
122 delta[13]. GC patients were ranked according to the risk score from low to high, and we showed
123 the population follow-up time and genes heatmap by the ranking (Figure 3E, 3F).

124 *Relationship of prognostic risk model with clinical factors*

125 Based on the median value of the risk score, GC patients were divided into high-risk group and
126 low-risk group. We found that the survival curves of the high-low risk group were significantly
127 different, and the low-risk group had a better survival period (Figure 4A). To verify the value

128 of this model, the ROC curves were described and the area under the ROC of risk score
129 demonstrated that the risk score meets basic criteria for potential consideration for the detection
130 of gastric carcinoma in humans (Figure 4B). Univariate Cox regression analysis identified
131 clinical factors statistically significantly associated with OS were age (HR = 1.039, 95% CI =
132 1.013-1.067; $P = 0.004$), risk score (HR = 1.747, 95% CI = 1.292-2.363; $P < 0.001$).
133 Multivariable analyses adjusted for known prognostic factors revealed that age (HR = 1.029,
134 95% CI = 1.005-1.053; $P = 0.017$), stage (HR = 1.460, 95% CI = 1.069-1.993; $P = 0.017$) and
135 risk score (HR = 1.640, 95% CI = 1.221-2.204; $P = 0.001$) were identified as independent
136 prognostic factors (Table S1, Figure 4C and 4D). So, the risk score model ($P=0.001$) can predict
137 prognosis well. This study showed that the expression of activated memory CD4+ T cells was
138 associated with a better prognosis.

139 ***Relationship of prognosis between IL23A expression and clinical factors in GC tissues***

140 Interleukin 23 (IL23) is a heterogeneous and important proinflammatory cytokine, composed
141 of IL23A and the p40 subunit of interleukin 12 (IL12B), and mainly acts on activated memory
142 CD4+ T cells, by promoting its proliferation. IL23A receptor is mainly present in activated
143 memory CD4+ T cells. IL23A can be used as the main surface marker of activated memory
144 CD4+ T cells. Identification of expression of IL23 in cancer tissues and adjacent tissues of
145 gastric cancer patients proved the content of activated memory CD4+ T cells in cancer patients
146 at different stages and the accuracy as an independent prognostic factor. Furthermore, it also
147 clarified that IL23 played an important role in cancer development and prognosis. *IL23A*
148 expression was evaluated by IHC using a tissue microarray including paired GC samples. This
149 set of experiments showed that *IL23A* was upregulated in 50% (20/40) of GC tissues,

150 respectively (Figure 5A). We found that *IL23A* was differentially expressed between in 40 GC
151 tissue samples and 40 adjacent non-tumorous tissues, moreover, the expression of *IL23A* was
152 significantly upregulated in gastric cancer tissues (Figure. 5B, $p = 0.0015$). To further analyze
153 the expression of *IL23A* in gastric cancer, we used TCGA data to analyze the expression of
154 *IL23A* from the UALCAN database (<http://ualcan.path.uab.edu/analysis.html>). The result
155 indicated that the expression of *IL23A* was significantly increased in STAD (stomach
156 adenocarcinoma) than in normal patients (Figure 5C). The results of gastric cancer tissue IHC
157 were divided into high scores and low scores according to the level of H-score, and the survival
158 analysis was performed. The results showed that the *IL23A* high expression group had
159 significantly better survival (Figure 5D).

160 We found that *IL23A* gene was differentially expressed between in 40 GC tissue samples and
161 40 adjacent non-tumorous tissues. The result indicated that the expression of *IL23A* was
162 significantly increased and was higher in STAD than in normal patients. To evaluate the
163 relationship of clinical factors and *IL23A* expression with overall survival, we analyzed the
164 clinical data using GraphPad prism 5 software. The results showed that low expression of
165 *IL23A* was associated with a poor prognosis.

166 **Discussion**

167 TH1Cs play an important role in tumorigenesis, tumor immunity, tumor invasion and drug
168 resistance [14, 15]. TH1Cs interacting with cancer cells can change the TME and affect clinical
169 outcomes. In gastric cancer, TH1Cs expression can predict poor survival [16], but memory T
170 cell (T_m) and its subsets were good immune indicators for gastric cancer [17]. However, the

171 role of tumor-infiltrating immune cell activated memory CD4⁺ T cells in gastric cancer is still
172 unclear.

173 To evaluate the prognostic value of TIICs, we revealed the levels of 22 immune cells infiltrated
174 in the TME of GC and their associations with clinical outcome. Our results showed that
175 monocytes, plasma cells, activated memory CD4⁺ T cells, macrophages M0, M1 and M2
176 significantly infiltrated in tumors in TCGA database. However, in the GEO database, M0, M1
177 macrophages, mast cells activated, T cells CD4 memory resting, T cells CD4 naive were
178 differentially expressed in GSE19826. Significantly expressed cell types are somewhat
179 different from TCGA while the overall trend is consistent, which maybe probably due to the
180 small sample size. We further evaluated the prognostic value of TIICs in gastric cancer. The
181 results showed that activated memory CD4⁺ T cells and Tregs were associated with OS.
182 Activated memory CD4⁺ T cells and age were independent prognostic factors for GC.

183 We constructed the prognostic risk model to estimate the relationship between clinical factors
184 with risk score. The results show that activated memory CD4⁺ T cells can be an independent
185 prognosis factor. To verify this conclusion, we performed IHC on IL23A and examined its
186 expression in cancer tissues and confirmed that the expression of activated memory CD4⁺ T
187 cells in clinical tissues was consistent with the analysis. At the same time, our research found
188 that *IL23A* was closely related to the development and prognosis of gastric cancer. Interaction
189 between IL23 and macrophages has a significant impact on the immunotherapy of cancer[18,
190 19]. Blogowski showed that patients with all types of gastric neoplasms have significantly
191 lower *IL23A* levels[20]. Wang suggested that low concentrations of IL23 promoted bladder
192 tumor progression and high expression of IL23 had a better prognosis[21]. Hussain found that

193 tumors highly expressing IL23 were associated with long-term survival in pancreatic ductal
194 adenocarcinoma, while IL23 may delay the metastatic potential of pancreatic cancer[22]. In
195 summary, our results are suggestive and congruent with previous studies. It's warranted to
196 explore the mechanism of IL23 in regulating tumor associated macrophages in GC in our future
197 work.

198 **Conclusions**

199 Taken together, our study verified the relationship between immune cells and clinical factors
200 and proved the high expression of activated memory CD4+ T cells had a better overall survival,
201 IL23A low-expression had a poor overall survival. Activated memory CD4+ T cells may be an
202 independent prognosis factor.

203 **Availability of data and materials**

204 The original data of the present study can be found at TCGA database
205 (<https://portal.gdc.cancer.gov/>) and GEO dataset (<https://www.ncbi.nlm.nih.gov/geo/>).

206 **List of abbreviations**

207 TIICs: Tumor-infiltrating immune cells;

208 GC: Gastric cancer;

209 TME: tumor microenvironment;

210 GEP-NETs: gastroenteropancreatic neuroendocrine tumors;

211 CIBERSORT: Cell type Identification by Estimating Relative Subsets of RNA Transcripts;

212 TCGA: The Cancer Genome Atlas;

213 GEO: Gene Expression Omnibus;

214 IHC: Immunohistochemical;

215 IL23: Interleukin 23;

216 STAD: stomach adenocarcinoma.

217 **Declarations**

218 **Ethics approval and consent to participate**

219 Not applicable.

220 **Consent for publication**

221 Not applicable.

222 **Availability of data and materials**

223 Part of the data used in this study are from The Cancer Genome Atlas (TCGA,
224 <https://portal.gdc.cancer.gov/>) and the Gene Expression Omnibus (GEO,
225 <https://www.ncbi.nlm.nih.gov/geo/>).

226 **Competing interests**

227 The authors declare that they have no competing interests.

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232 University (JCZXSJB2019002).

233 **Authors' contributions**

234 JW analyzed the data and generated the manuscript. YQ performed IHC experiment and revised
235 the manuscript. XG collected and interpreted the data, TL revised the manuscript. YK designed

236 and supported the study and revised the manuscript. All authors read and approved the final
237 manuscript.

238 **Acknowledgements**

239 Not applicable.

240 **Supplementary material**

241 Table S1. Statistics of TIIC expression in TCGA and GEO databases.

242 Table S2. Univariate and multivariate regression analysis of clinical factors and risk score with
243 OS in GC.

244 Table S3. H-score statistics of IHC of 40 gastric cancer patients.

245 Table S4. Clinical information statistics of 40 GC patients for IHC.

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308 **Figure legends**

309 **Figure 1.** Composition of 22 TIICs selected in the TCGA and GEO databases with
310 CIBERSORT $p < 0.05$. **(A)** Fractions of 22 TIICs in 375 tumor and 32 normal samples in TCGA.
311 **(B)** Fractions of 22 TIICs in 26 tumor and 28 normal samples in GEO. **(C)** Expression of 22
312 TIICs in heatmap in TCGA. **(D)** Expression of 22 TIICs in heatmap in GEO.

313 **Figure 2.** Correlations and Comparisons of 22 TIICs in the TCGA and GEO databases. **(A)**
314 Correlations of 22 TIICs in 375 tumor and 32 normal samples in TCGA. **(B)** Correlations of
315 22 TIICs between 26 tumor and 28 normal samples in GEO. **(C)** Comparisons of immune cells
316 in 375 tumor and in 32 normal tissues in TCGA. **(D)** Comparisons of immune cells in 26 tumor
317 and in 28 normal tissues in GEO.

318 **Figure 3.** Over survival and multivariate Cox regression analysis between activated memory
319 CD4+ T cells and clinicopathological factors and risk score analysis of the prognostic model
320 in gastric cancer. **(A).** Multivariate Cox regression analysis ($*P < 0.05$, $**P < 0.01$, $***P <$
321 0.001). **(B).** Kaplan-Meier curve showing the overall survival based on high expression and
322 low expression patients for activated memory CD4+ T cells. **(C).** Kaplan-Meier curve showing
323 the overall survival based on high expression and low expression patients for T cells gamma
324 delta. **(D)** Expression heatmap of activated memory CD4+ T cells and T cells gamma delta in
325 gastric cancer. **(E)** The low-score and high-score groups of gastric cancer patients based
326 prognostic signature. **(F)** Survival status and duration of gastric cancer patients.

327 **Figure 4.** Univariate and multivariate Cox regression analysis of the prognostic model in
328 gastric cancer and relationship of the expression of activated memory CD4+ T cells and T cells

329 gamma delta with clinicopathological factors. (A) Kaplan-Meier curve showing the overall
330 survival based on high risk and low risk patients based on the prognostic model. (* $P < 0.05$,
331 ** $P < 0.01$, *** $P < 0.001$). (B) Receiver operating characteristics (ROC) curves analysis of
332 survival prediction by the prognostic model for risk score and clinicopathological factors. (C)
333 Univariate Cox regression analysis of the risk score and clinicopathological factors. (D)
334 Multivariate Cox regression analysis of the risk score and clinicopathological factors.

335 **Figure 5.** Immunohistochemical staining and expression analysis of *IL23A* in GC. (A) a-d: IHC
336 for *IL23A* (activated memory CD4+ T cells) in high expression; e-h: IHC for *IL23A* (activated
337 memory CD4+ T cells) in low expression. (B) The expression levels of *IL23A* in GC and
338 adjacent normal tissues from Tianjin hospital. (C) The expression levels of *IL23A* in GC and
339 adjacent normal tissues from TCGA data. (D) The expression levels of *IL23A* with overall
340 survival.

Figures

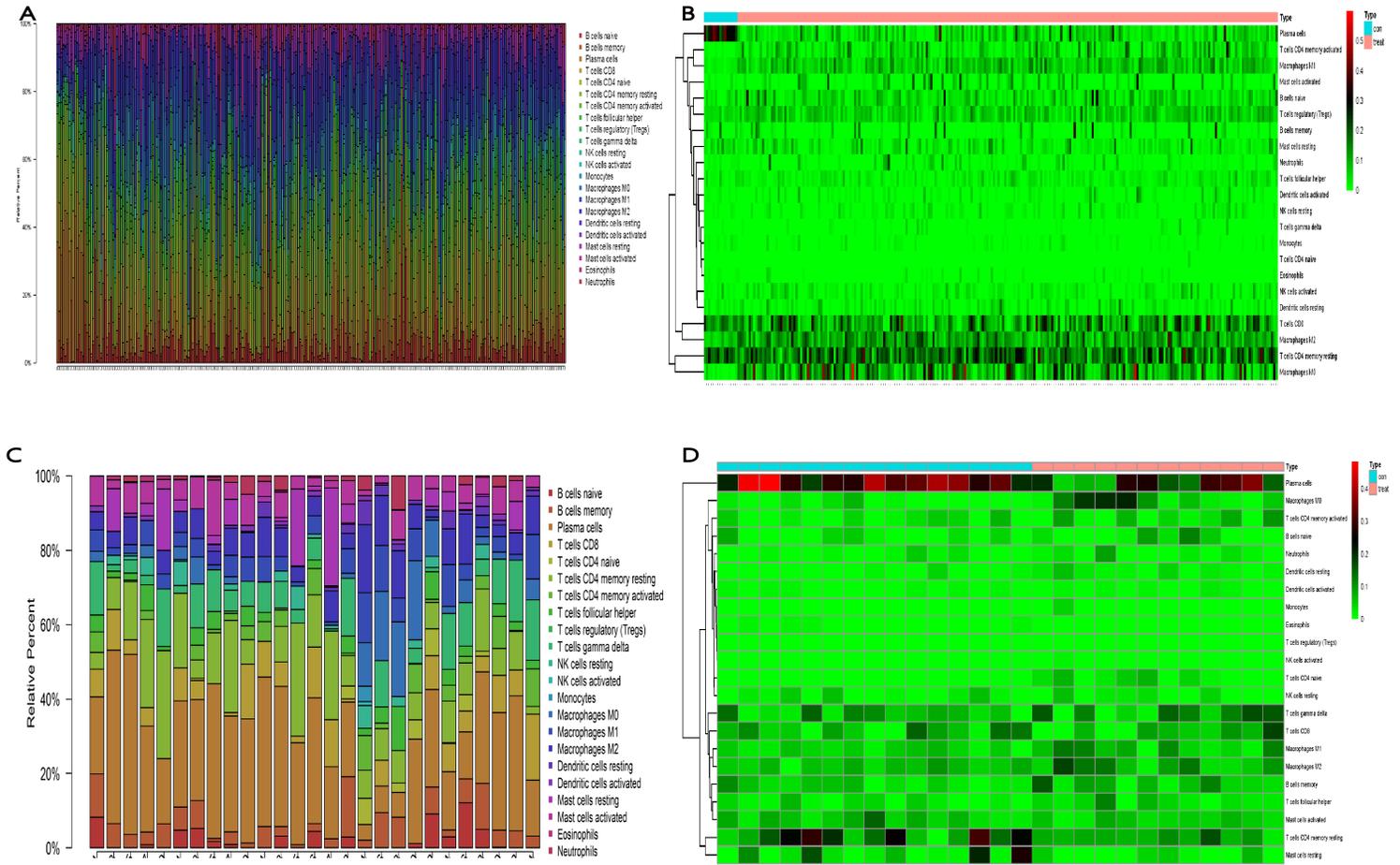


Figure 1

Composition of 22 TIICs selected in the TCGA and GEO databases with CIBERSORT $p < 0.05$. (A) Fractions of 22 TIICs in 375 tumor and 32 normal samples in TCGA. (B) Fractions of 22 TIICs in 26 tumor and 28 normal samples in GEO. (C) Expression of 22 TIICs in heatmap in TCGA. (D) Expression of 22 TIICs in heatmap in GEO.

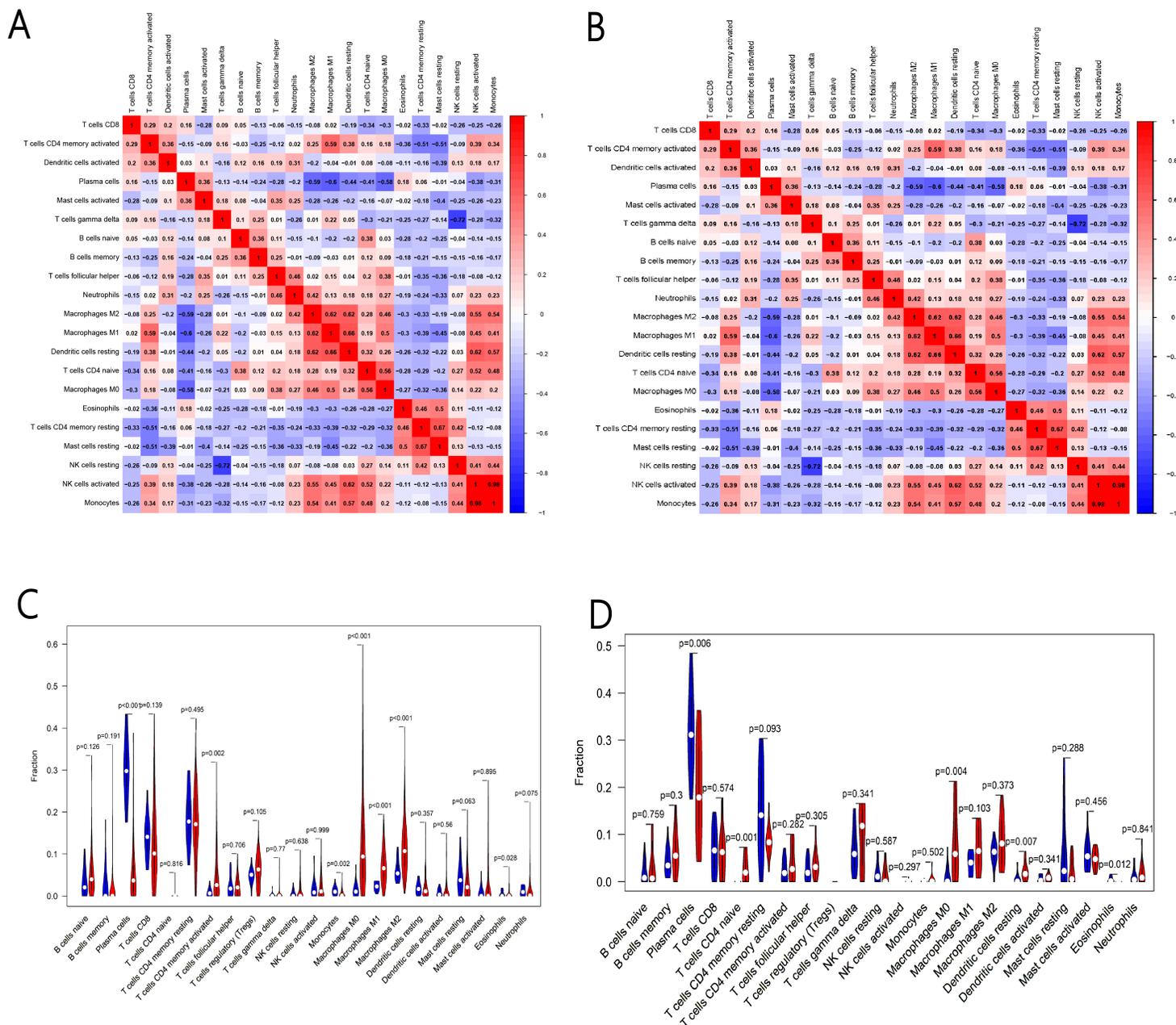


Figure 2

Correlations and Comparisons of 22 TIICs in the TCGA and GEO databases. (A) Correlations of 22 TIICs in 375 tumor and 32 normal samples in TCGA. (B) Correlations of 22 TIICs between 26 tumor and 28 normal samples in GEO. (C) Comparisons of immune cells in 375 tumor and in 32 normal tissues in TCGA. (D) Comparisons of immune cells in 26 tumor and in 28 normal tissues in GEO.

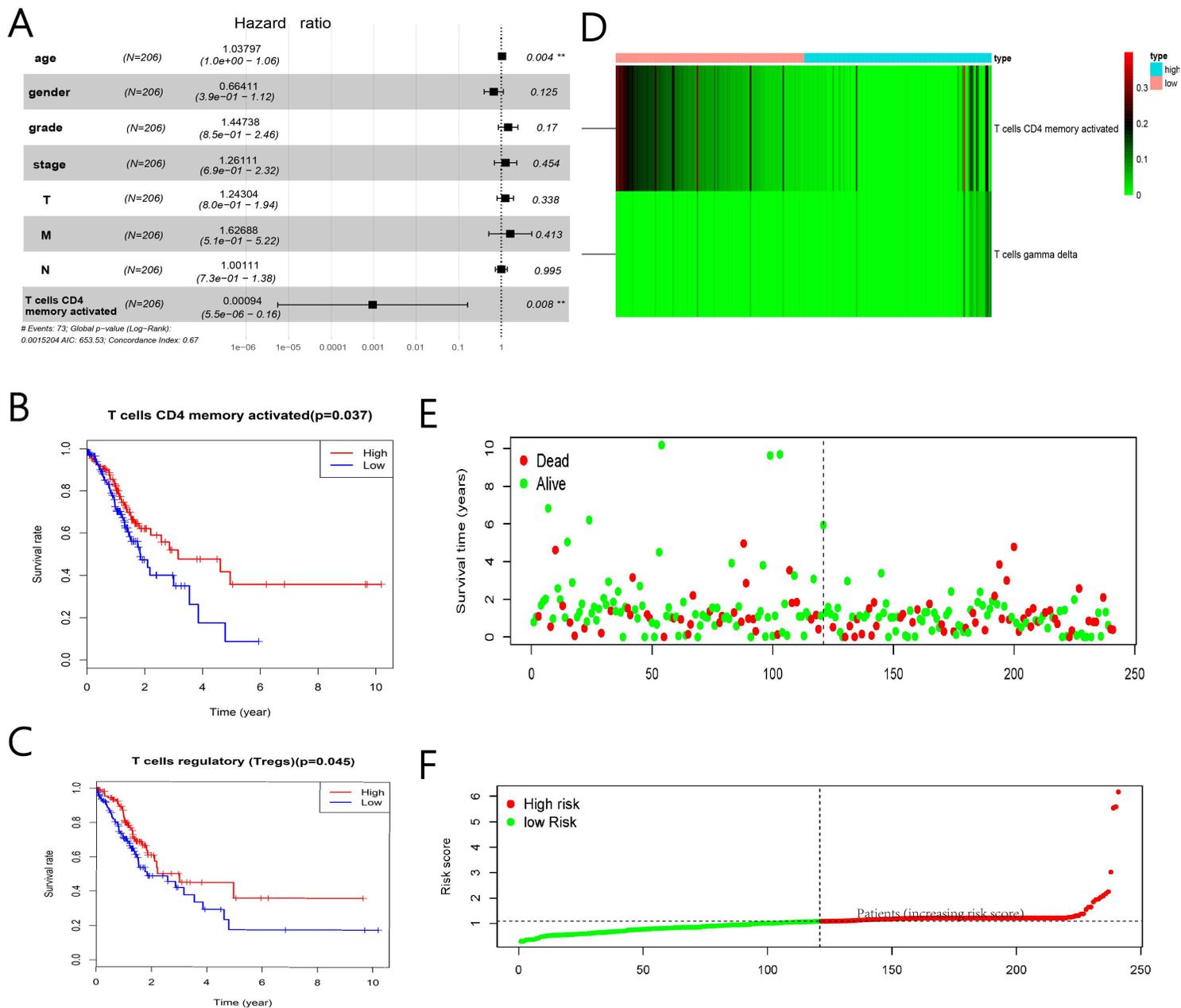


Figure 3

Over survival and multivariate Cox regression analysis between activated memory CD4+ T cells and clinicopathological factors and risk score analysis of the prognostic model in gastric cancer. (A). Multivariate Cox regression analysis (*P < 0.05, **P < 0.01, ***P < 0.001). (B). Kaplan-Meier curve showing the overall survival based on high expression and low expression patients for activated memory CD4+ T cells. (C). Kaplan-Meier curve showing the overall survival based on high expression and low expression patients for T cells gamma delta. (D) Expression heatmap of activated memory CD4+ T cells and T cells gamma delta in gastric cancer. (E) The low-score and high-score groups of gastric cancer patients based prognostic signature. (F) Survival status and duration of gastric cancer patients.

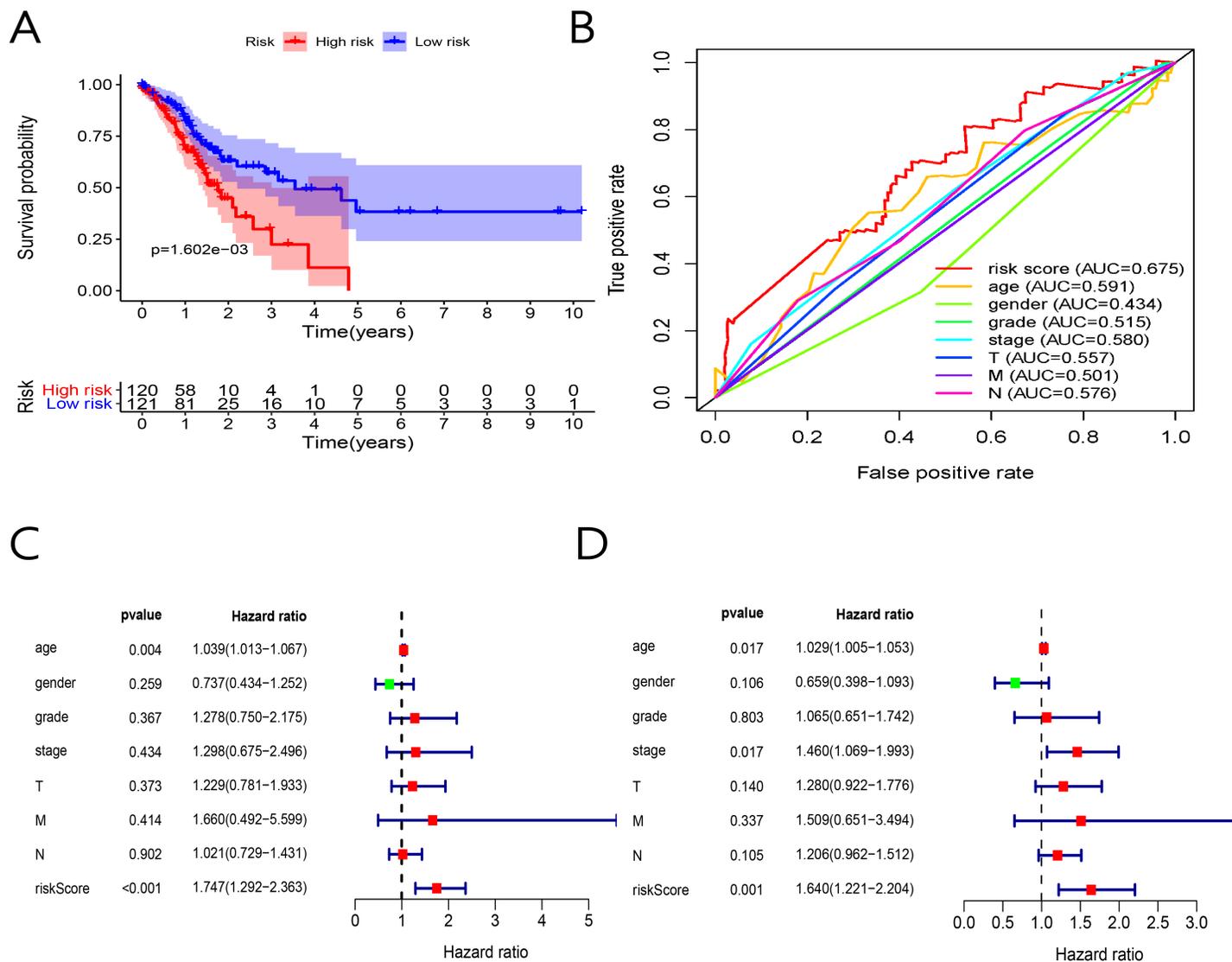


Figure 4

Univariate and multivariate Cox regression analysis of the prognostic model in gastric cancer and relationship of the expression of activated memory CD4⁺ T cells and T cells gamma delta with clinicopathological factors. (A) Kaplan-Meier curve showing the overall survival based on high risk and low risk patients based on the prognostic model. (*P < 0.05, **P < 0.01, ***P < 0.001). (B) Receiver operating characteristics (ROC) curves analysis of survival prediction by the prognostic model for risk score and clinicopathological factors. (C) Univariate Cox regression analysis of the risk score and clinicopathological factors. (D) Multivariate Cox regression analysis of the risk score and clinicopathological factors.

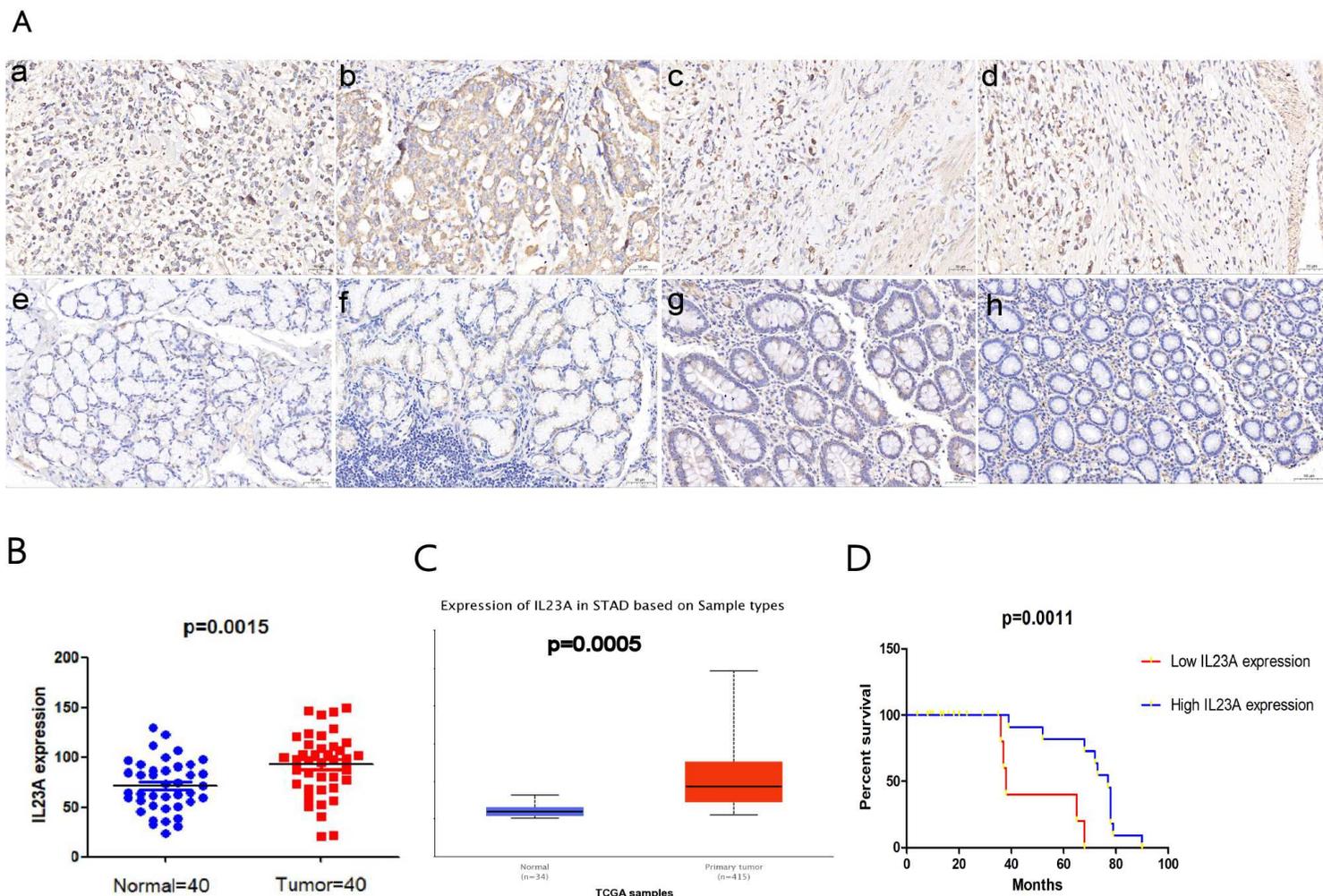


Figure 5

Immunohistochemical staining and expression analysis of IL23A in GC. (A) a-d: IHC for IL23A (activated memory CD4+ T cells) in high expression; e-h: IHC for IL23A (activated memory CD4+ T cells) in low expression. (B) The expression levels of IL23A in GC and adjacent normal tissues from Tianjin hospital. (C) The expression levels of IL23A in GC and adjacent normal tissues from TCGA data. (D) The expression levels of IL23A with overall survival.

Supplementary Files

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- [TableS3.xlsx](#)
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