

# Correlation of giant earthquakes with the lunar phase in seven Indo-Pacific subduction zones and around Mongolia

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## Full paper

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- 2 subduction zones and around Mongolia**
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13    **Abstract**

14    Variation in the approximately semidiurnal tidal force affects earthquake occurrence. This  
15    paper statistically demonstrates that giant earthquakes occur at lunar phases specific to  
16    particular seismic zones. Careful observation during the lunar cycle, especially when  
17    seismicity is occurring, should significantly reduce the damage from giant earthquakes. A case  
18    study in which a giant earthquake occurred after seismicity was concentrated within the  
19    specific lunar phase is discussed.

20

21    **Keywords**

22    Giant earthquakes, Lunar phase, Subduction zones

23

24    **INTRODUCTION**

25    Several studies have suggested that the approximately semidiurnal tidal force variation affects  
26    earthquake occurrence (*e.g.*, Nakata *et al.*, 2008; Cochran *et al.*, 2012). These studies  
27    calculated the stress change due to the tidal force for the fault slip orientation for each  
28    earthquake and statistically demonstrated that tidal force variation affects earthquake  
29    occurrence. The tidal force variation is greatest around the spring tide when the Sun, Earth,

30 and Moon are aligned along a straight line and the tidal forces from the Sun and Moon  
31 reinforce each other. Therefore, giant earthquakes are expected to occur around the spring tide.

32 From 1900 to the present, giant earthquakes, whose  $M_W$  (the moment magnitude)  $\geq 8$ ,  
33 were not random, but they did not always occur around the spring tide; instead, the  
34 earthquakes were correlated with different parts of the lunar cycle that were specific to each  
35 subduction zone (Fujii & Ozaki, 2012; Ozaki & Fujii, 2012). However, the lunar phase  
36 calculation in those studies was not very accurate and those studies lacked statistical analyses.

37 Therefore, a more accurate lunar phase calculation and the results of such statistical testing  
38 are adopted in this paper, thereby providing updated data on giant earthquakes through  
39 November 15, 2016. The differences between the lunar phases in the previous studies and the  
40 present study are mostly within  $6^\circ$ . However, some big differences due to mistyping were  
41 found and corrected. A case study of the Tohoku 2011 earthquake is also shown.

42 The most important point of this research is that we divided the earthquakes by their  
43 locations. Hough (2018) carried out simple simulations and concluded that the occurrence of  
44  $M_W \geq 8$  earthquakes ~1900 onward had nothing to do with lunar phases. However, her  
45 conclusion is inadequate since she analyzed all events at the same time without considering

46 their locations.

47

48 **GIANT EARTHQUAKES AND LUNAR PHASES**

49 Data on all earthquakes worldwide with an  $M_w \geq 8$  between 1900 and November 15, 2016

50 were obtained from the Search Earthquake Archives of the United States Geological Survey

51 (USGS) website (Table 1); their lunar phases were calculated using by the AA.ARC v5.5

52 developed by Stephen L. Moshier in 1998 downloaded from his web site titled Astronomy

53 and Numerical Software Source Codes (<http://www.moshier.net/>). Most of the algorithms

54 employed in AA.ARC are from the Astronomical Almanac published by the U.S. Government

55 Printing Office. The lunar phases for the time of earthquake occurrences were calculated by

56 reducing the ecliptic longitude of the Sun from that of the Moon first. Then the delay from the

57 spring tide (Figure 1), which is identical to the lunar phase if it is less than 180° (new moon to

58 full moon), while 180° is subtracted from the lunar phase if it is greater than or equal to 180°

59 (full moon to new moon). To make a group of earthquakes, such as those with delays from the

60 spring tide of 160° and 10°, -20° may be used instead of 160° when necessary. The tidal force

61 amplitude is almost the same for the same delay from the spring tide if the lunar phase

62 difference is  $180^\circ$ . The specific lunar phases during which giant earthquake occurrences were  
63 concentrated for each subduction zone were more readily found in the previous studies than  
64 by using the lunar phase itself (Fujii *et al.*, 2013).

65 For giant earthquakes in Peru (Figure 2a), there appears to be a specific lunar phase  
66 roughly between  $30^\circ$  and  $50^\circ$  (Figure 3a). However, the specific lunar phase should be  
67 determined objectively. Therefore, the following statistical test was performed. Assuming a  
68 random process for earthquake occurrences (the random null hypothesis), the probability  $h$   
69 that an earthquake occurs in a fixed specific lunar phase relative to the spring tide with a  
70 duration of  $l$  degrees is  $2l/360$ . Then, probability  $p''$  that  $m'$  earthquakes within  $n'$  earthquakes  
71 occur in a specific lunar phase is

$$72 \quad p'' = h^{m'} (1-h)^{n'-m'} \times_{n'} C_{m'}, \quad (1)$$

73 where  $h^{m'}$  denotes the probability that all  $m'$  earthquakes occur in the specific lunar phase,  $(1 -$   
74  $h)^{n' - m'}$  denotes the probability that all  $n' - m'$  earthquakes do not occur in the specific lunar  
75 phase, and  ${}_n C_{m'}$  is the number of  $m'$ -combinations from  $n'$  elements

$$76 \quad {}_n C_{m'} = \frac{n'!}{m'!(n' - m')!} \quad (2)$$

77 that is required because any  $m'$ -combination can occur. The probability  $p'$  that  $m'$  or more of  $n'$

78    earthquakes occur in a fixed specific lunar phase or phases is

$$79 \quad p' = \sum_{j=m'}^{n'} \left\{ h^j (1-h)^{n'-j} \times {}_{n'} C_j \right\} \quad (3)$$

80    This equation is for the case in which the specific lunar phase is fixed. However,  $n = n' - 1$

81    and  $m = m' - 1$  should be used instead of  $n'$  and  $m'$  because the study arbitrarily assumes a

82    specific lunar phase depending on the lunar phase of earthquakes. Finally, the probability  $p$  of

83    the random null hypothesis can be calculated as

$$84 \quad p = \sum_{j=m}^n \left\{ h^j (1-h)^{n-j} \times {}_n C_j \right\}. \quad (4)$$

85    Usually, a random null hypothesis is statistically rejected when  $p < 0.05$ , which means that the

86    occurrence of giant earthquakes does not follow a random process; *i.e.*, there is a specific

87    lunar phase in which giant earthquake occurrences concentrate.

88       First,  $p$  was calculated for all of the events in Fig. 3a. Assuming that the specific lunar

89    phase is between  $-16.24^\circ$  and  $50.21^\circ$ , so  $n'$  and  $m'$  comprise five events and  $p = 0.019$ .

90    Therefore, the assumed specific lunar phase is statistically significant because  $p < 0.05$ .

91    However, an attempt was made to narrow the specific lunar phase because it would be too

92    wide for practical applications. In this attempt, the left-most (#31) or right-most (#56) event

93    was assumed to be outside the specific lunar phase. This time,  $m'$  is four events, the assumed

94 specific lunar phase was between  $32.33^\circ$  and  $50.23^\circ$  or  $-16.24^\circ$  and  $40.32^\circ$ , and  $p = 0.00098$   
95 or 0.031, respectively. Note that the specific lunar phase between  $32.33^\circ$  and  $50.23^\circ$  is more  
96 significant than before excluding #31. The same process was repeated setting  $m' = 3$  for the  
97 assumed specific lunar phases of between  $32.33^\circ$  and  $40.32^\circ$  (excluding #31 and #56) or  
98  $35.04^\circ$  and  $50.23^\circ$  (excluding #31 and #75);  $p = 0.0019$  or  $0.0071$ , respectively. These were  
99 statistically less significant and the specific lunar phase between  $32.33^\circ$  ( $L_S$ ) and  $50.23^\circ$  ( $L_E$ )  
100 was determined to be the best for Peruvian earthquakes (the red bar in Figure 3a and Table 3).

101 Assuming the occurrence of the next giant earthquake, namely setting  $n' = 6$  and  $m' =$   
102 5, then  $p = 0.05$  when the duration of the specific lunar phase is  $61.66^\circ$  ( $\Delta L$ ). Therefore,  
103 statistically, it can be said that the next giant earthquake will occur between  $-11.43^\circ$  ( $L_E - \Delta L$ )  
104 and  $93.99^\circ$  ( $L_S + \Delta L$ ) (the blue bar in Figure 3a). However, this range is too wide to be of  
105 practical use. Therefore, the prediction of the next giant earthquake for  $p = 0.01$  (duration,  
106  $39.97^\circ$ ) is also shown as the green bar in Figure 3a.

107 Using the above procedure, no significant specific lunar phase was found for the  
108 earthquakes in Chile (Figure 2a). The result for giant earthquakes in Southern Chile only is  
109 shown (Figure 3b, Table 3). The events #48–#50 were regarded as one earthquake because

110 they occurred in 33 hours. The specific lunar phase was determined for Alaska (Figures 2b, 3c,  
111 Table 3) with a relatively large  $p$ -value. Specific lunar phases for the Japan Trench (Figures  
112 2c and 3d) and Mongolia (Figures 2d and 3e), which is not a subduction zone, were also  
113 determined (Table 3). The events #2 occurred 14 days after #1 and they were treated as two  
114 events.

115 The events #91 in Sumatra occurred just two hours after #90. Regarding the two  
116 events as one event, a specific lunar phase for giant earthquakes in Sumatra (Figure 2d) was  
117 not determined (Figure 3f) because  $p = 0.0641$ . A specific lunar phase was determined for  
118 giant earthquakes in the Kuril Islands (Figures 2c and 3g). However, no specific lunar phase  
119 was determined for Samoa and Tonga (Figures 2e and 3h) because  $p = 0.250$ .

120 Figure 4 summarizes the specific lunar phases. Giant earthquakes occur around the  
121 spring tide in Peru, Southern Chile, and Alaska, when the tidal stress is greatest. However,  
122 giant earthquakes occur at times other than around the spring tide around Mongolia, in the  
123 Japan Trench and the Kuril Islands. The mechanism underlying this phenomenon has not been  
124 clarified, although our research group is examining the strain rate (Fujii *et al.*, 2013 and 2015;  
125 Wang *et al.*, 2016).

126

127 **CONSIDERATION OF THE LOWER LIMIT OF MAGNITUDE**

128 The above analyses were performed only for giant earthquakes ( $M_W \geq 8$ ), mainly because it is  
129 desirable to predict giant earthquakes that can cause significant damage. However, there were  
130 too few earthquakes in the above analyses to achieve good statistical power. Using a slightly  
131 smaller lower limit produces better results for earthquakes in Peru.

132 The number of earthquakes is increased by lowering the lower limit of magnitude, as

133 shown in Figure 6. A specific lunar phase can be observed for the case where  $M_W \geq 7.5$  and  $p$   
134 is approximately a fifth the value compared with  $M_W \geq 8.0$  (Figures 3a and 6a, Table 2). For  
135  $M_W \geq 7.0$ , the concentration of earthquakes in a specific lunar phase is vague and no lunar  
136 phase range with  $p$  of less than 0.05 was not found (Figure 6b). Therefore, the lower limit of  
137  $M_W = 7.5$  is considered to be the best for Peru earthquakes.

138

139 **TOHOKU 2011 CASE**

140 Let us examine whether the Tohoku 2011 earthquake could be anticipated using the specific  
141 lunar phase concept. For the Japan Trench, the specific lunar phase is  $49.23^\circ$  to  $95.71^\circ$  (Table

142 2). Excluding the Tohoku 2011 earthquake (#89), *i.e.*, setting  $n' = 6$  and  $m' = 5$ , the same  
143 specific lunar phase was determined for the right five events, with a slightly greater  $p = 0.018$   
144 (Figure 7). The lunar phases for the next giant earthquake were determined as shown in  
145 Figure 7.

146 On March 9, 2011, an  $M_W$  7.2 foreshock occurred during the specific lunar phase for  
147 the next giant earthquake with  $p = 0.01$  (Figure 8). A tsunami watch ( $\leq 0.5$  m) was issued and  
148 then lifted (Table 3). Subsequently, another large foreshock ( $M_W$  6.6) occurred in the specific  
149 lunar phase. A tsunami watch was again issued and lifted. However, small foreshocks  
150 continued to occur, and the mainshock occurred 31 h later, within the specific lunar phase for  
151 giant earthquakes which could have been determined before the mainshock. The lunar phase  
152 for the next giant earthquake with  $p = 0.05$  is too wide and it would be impractical to have  
153 people remain evacuated until it ended. However, if people had known that there was a  
154 specific lunar phase for the next giant earthquake with  $p = 0.01$ , and had remained evacuated  
155 for another 31 hours, the number of victims would have been greatly reduced.

156

157 **CONCLUDING REMARKS**

158 Statistically, giant earthquakes can occur during the specific lunar phases to some of the  
159 subduction zones or around Mongolia. Heightened attention during the dangerous portion of  
160 the lunar period in each seismic zone, especially when seismicity is occurring, should reduce  
161 the damage from giant earthquakes. An example in which a giant earthquake occurred in the  
162 specific lunar phase after seismic activity in the lunar phase for the next giant earthquake, was  
163 discussed. It is necessary to clarify the mechanisms underlying why giant earthquakes occur  
164 around the neap tides in some seismic zones and to examine additional case studies to show  
165 the effectiveness of the specific lunar phase concept.

166

167 **DECLARATIONS**

168

169 **Abbreviations**

170  $M_W$ : the moment magnitude

171 USGS: the United States Geological Survey

172

173 **Availability of data and materials**

174 The datasets generated during and/or analysed during the current study are available from the

175 corresponding author on reasonable request.

176

177 **Competing interests**

178 The authors declare that they have no conflict of interest.

179

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181 This research received no external funding.

182

183 **Authors' contributions**

184 Conceptualization: YF and YT; data collection and analysis: YT; writing and original draft

185 preparation: YF and JK ; review and editing: JK and DF All authors read and approved the

186 final manuscript.

187

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192

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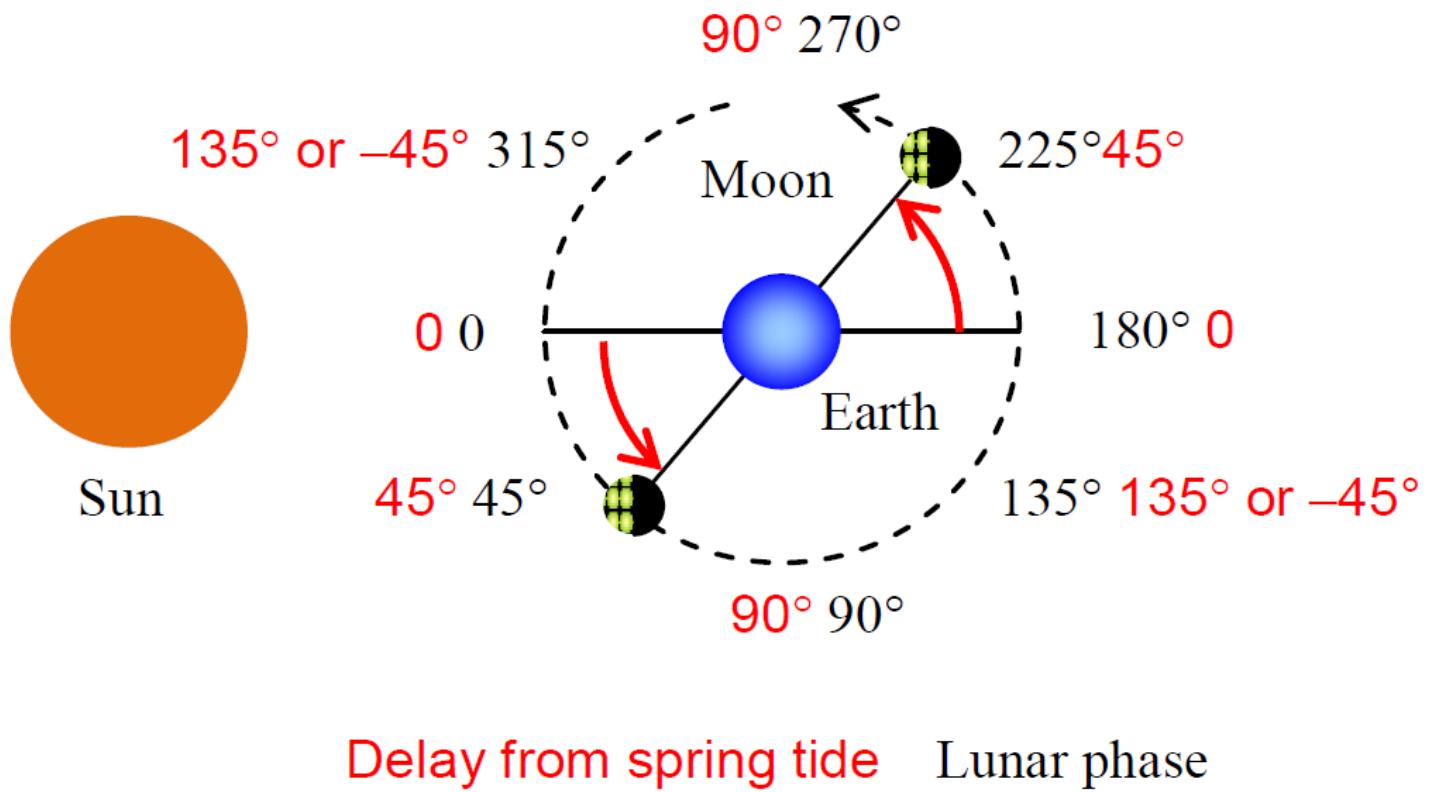
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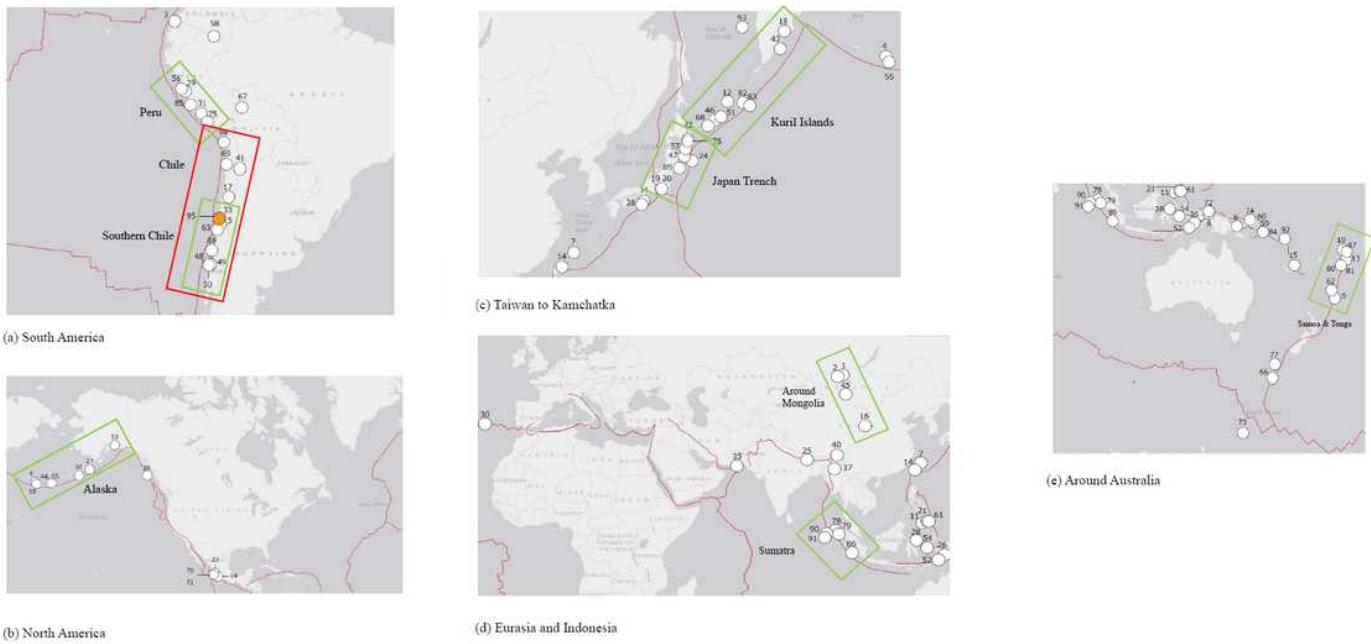
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# Figures



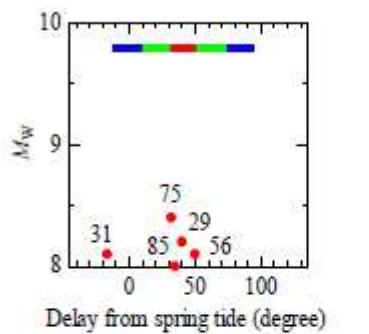
**Figure 1**

Lunar phase and delay from the spring tide. Not to scale.

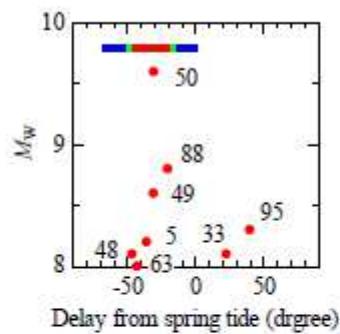


**Figure 2**

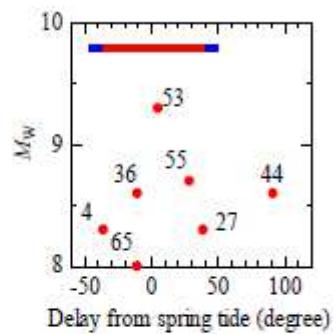
Giant earthquake distribution between 1900 and November 15, 2016 using the Search Earthquake Archives at the United States Geological Survey (USGS) Website.



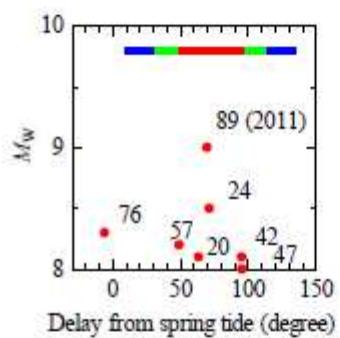
(a) Peru



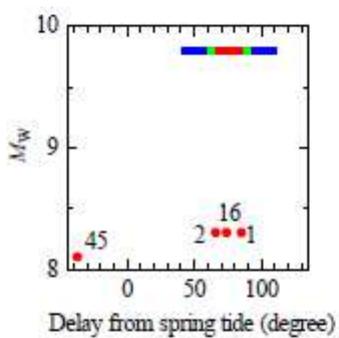
(b) Southern Chile



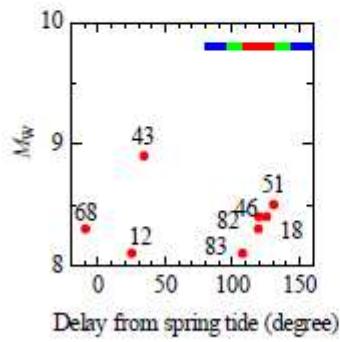
(c) Alaska



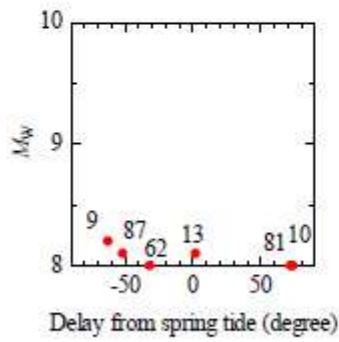
(d) Japan Trench



(e) Around Mongolia



(f) Sumatra

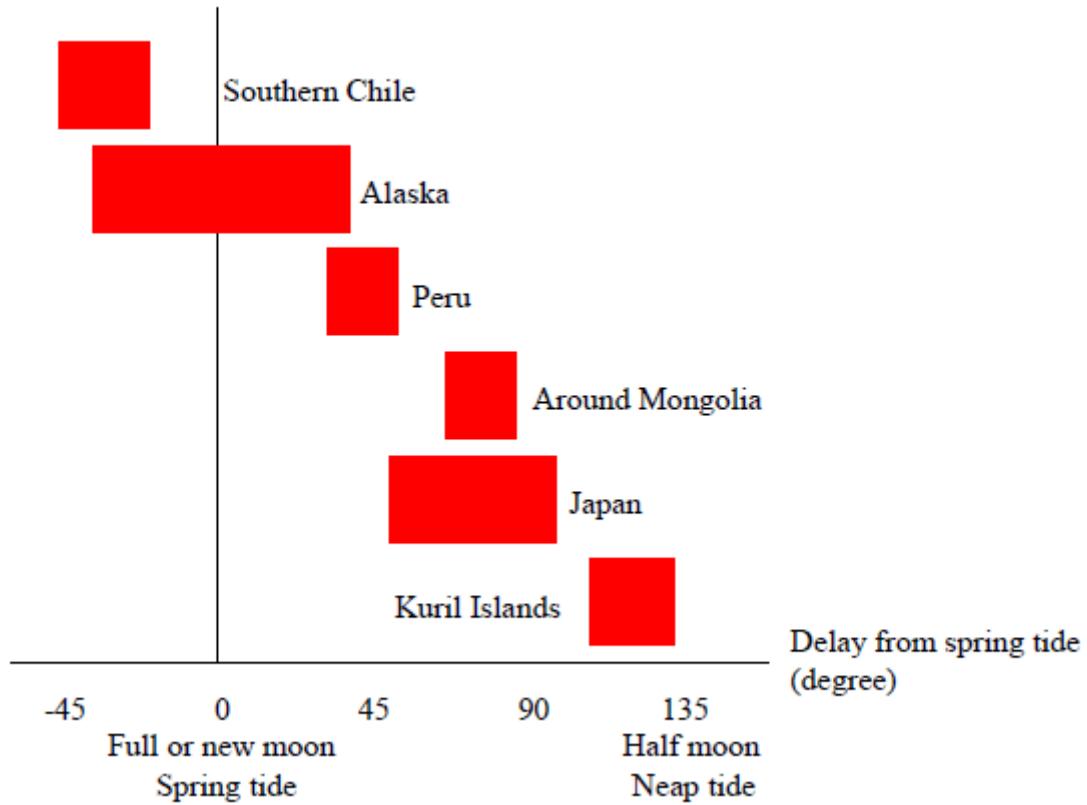


(g) Kuril Islands

(h) Samoa and Tonga

**Figure 3**

Lunar phase of giant earthquakes delayed from the spring tide. The red, green and blue bars denote the specific lunar phase and the lunar phase for the next giant earthquake with  $p = 0.01$  and  $p = 0.05$ , respectively.



**Figure 4**

Specific lunar phases in delay from the spring tide for each subduction zone and around Mongolia.



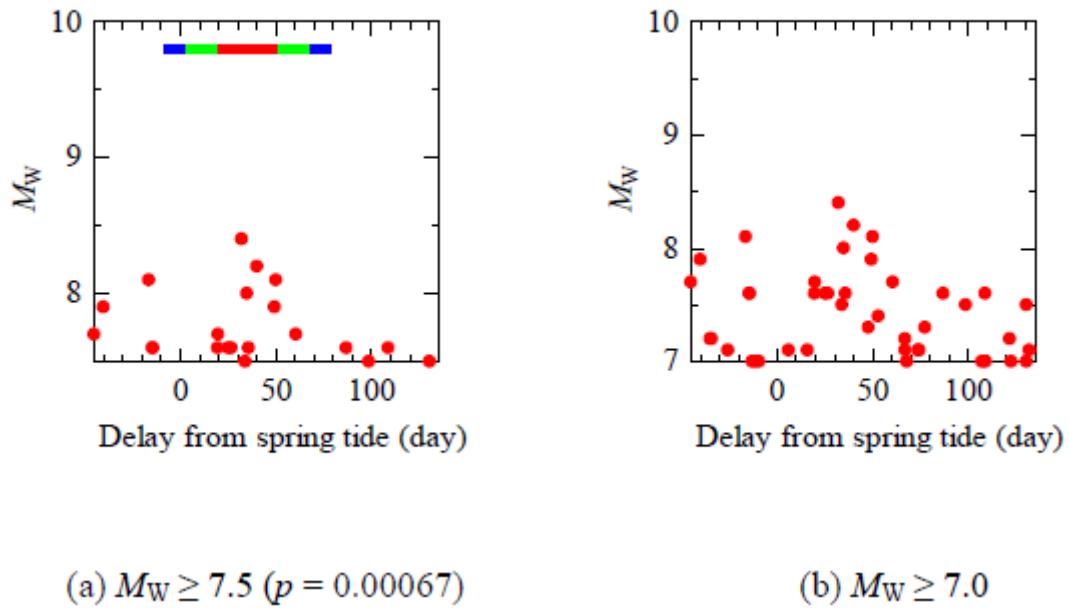
(a)  $M_w \geq 7.5$



(b)  $M_w \geq 7.0$

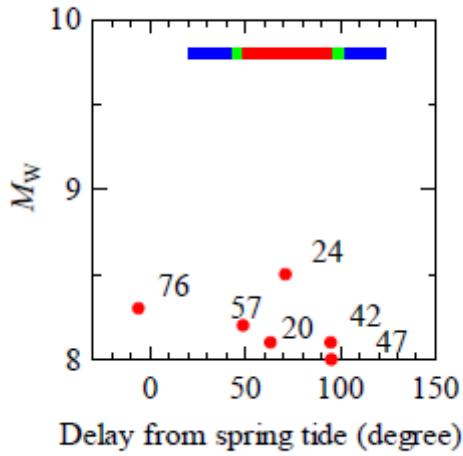
**Figure 5**

Earthquakes in Peru from 1900 to November 15, 2016 using the Search Earthquake Archives at the USGS Website.



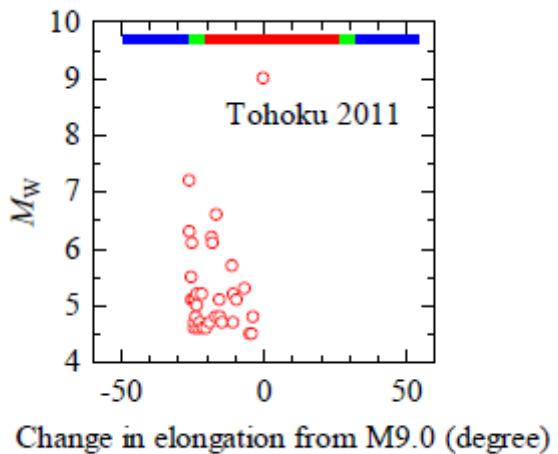
**Figure 6**

The lunar phase of earthquakes in Peru from 1900 to November 15, 2016 (source: the Search Earthquake Archives at the USGS Website).



**Figure 7**

The lunar phase of giant earthquakes delayed from the spring tide for earthquakes in the Japan Trench from 1900 to just before the Tohoku 2011 earthquake. The red bar indicates the specific lunar phase before Tohoku 2011 ( $p = 0.018$ ). The green or blue bar indicates the lunar phase for the next giant earthquake ( $p = 0.01$  or  $p = 0.05$ ).



**Figure 8**

Foreshocks and mainshock of the Tohoku 2011 event (source: Database on Seismic Intensity of the Japan Meteorological Agency (JMA) Website). The red bar denotes the specific lunar phase before Tohoku 2011. The green or blue bar denotes the lunar phase for the next giant earthquake ( $p = 0.01$  or  $0.05$ ).

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- FujiiTable2.pdf
- GraphicalAbstract.pdf