

# TaTME Combined with IORT for the Treatment of Locally Advanced Rectal Cancer—A case report

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## Case report

**Keywords:** taTME, IORT, DRM, LC, CRM, OS

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1 Title page

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3 Cancer: A case report

4

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25           **Abstract**

26           Transanal total mesorectal excision (taTME) which aims to achieve more  
27 accurately complete resection of distal mesorectum has arouse much more attention  
28 worldwide. TaTME can significantly improve the local control (LC) and overall  
29 survival (OS) of the patients with locally advanced rectal cancer. Intraoperative  
30 radiotherapy (IORT), also as a emerging treatment method for locally advanced tumors,  
31 can lead to the potential for dose escalation, reduce overall treatment time, and increase  
32 patient convenience. Our study firstly combined taTME and IORT for the treatment of  
33 locally advanced rectal cancer. The tumor involved 60 mm rectal wall and located 30  
34 mm from anal margin. TaTME and IORT were successfully achieved in this patient.  
35 There was no obvious complications occurred, including the anastomotic fistula. The  
36 patient recovered well and further systematic chemotherapy and  
37 radiotherapy were suggested. We conclude that taTME with low-energy X-rays IORT  
38 may not only benefit the circumferential resection margin (CRM) but also improve the  
39 local control (LC) for the patient with locally advanced rectal cancer.

40  
41           Key words: taTME, IORT, DRM, LC, CRM, OS

42  
43           **Background**

44           Colorectal adenocarcinoma is the third most common cancer worldwide and the  
45 total mesorectal excision (TME) rule is a gold standard of surgery to achieve negative  
46 distal resection margin (DRM) and circumferential resection margin (CRM) which are  
47 closely associated with local recurrence (LR) and disease-free survival (DFS)<sup>[1,2]</sup>.  
48 Medium or lower rectum cancer is often a challenge for surgeons to take the surgical  
49 dissection due to the limited width of distal pelvis and with the difficulties in  
50 visualization. Especially, narrow male pelvis, high body mass index (BMI), bulky  
51 tumors, visceral obesity, locally advanced tumors, has been identified as risk factors  
52 predicting intraoperative difficulty and potentially leading to a poor oncology specimen.

53           Due to the limited field of vision, laparoscopic or open TME is difficult to  
54 identify the resection of DRM, which may lead to the risks of uncomplete TME or

55 positive CRM in patients with above factors. Furthermore, in the narrow pelvis,  
56 endoscopic stapler is not flexible and usually requires multiple stapler firings, which  
57 will lead to angulated and crossing staple lines, increasing the risk of anastomotic  
58 leakage<sup>[3]</sup>. To overcome these challenges and combine the concept of Natural Orifice  
59 Transluminal Endoscopic Surgery (NOTES) and Transanal Endoscopic Microsurgery  
60 (TEM), transanal TME (taTME) aiming to achieve more accurately complete resection  
61 of distal mesorectum was firstly introduced by Sylla<sup>[4]</sup> and has been aroused a great deal  
62 of attention.

63 Although the rate of LR has been obviously reduced with the TME surgery, LR  
64 rate of locally advanced cancer is still higher. Furthermore, the achievement of  
65 complete surgical excision and margins is significant, multiple modalities involving  
66 surgery, external beam radiotherapy, and chemotherapy are still required. Nowadays, to  
67 improve LR and avoid the risks related to preoperative external beam radiotherapy  
68 (EBRT), intraoperative radiotherapy (IORT), as a part of multimodality treatment,  
69 allows the precise delivery of a large tumoricidal dose to the target areas to reduce the  
70 LR<sup>[5]</sup> during operation. Compared with external beam radiotherapy, the advantages of  
71 IORT include the potential for dose escalation, reduced overall treatment time, and  
72 increased patient convenience. Especially, the main advantage of IORT is sterilizing  
73 close or positive resection margins.

74 Recently, compared with intraoperative electron radiation therapy (IOERT) which  
75 is delivered in special shielded operating rooms<sup>[6]</sup> and higher surface dose of high-  
76 dose rate brachytherapy (HDR-IORT)<sup>[7]</sup>, the mobile device of INTRABEAM IORT  
77 that emits low-energy (50 kV) photons at a high dose-rate and modulates the electron  
78 beam to soft x-ray in a uniform dose<sup>[8]</sup> has been widely used in tumors of breast, rectum,  
79 brain, and vertebrae. INTRABEAM mobile IORT could precisely administer high dose  
80 of radiation to the at-risk areas while concurrently minimizing exposure to surrounding  
81 structures (bowel, bladder) to optimize the local effects of radiotherapy<sup>[9]</sup>.

82 To overcome the higher positive rate of CRM and improve the local control (LC),  
83 we take the advantages of taTME and INTRABEAM IORT using low-energy X-rays  
84 to provide a new treatment modality in locally advanced patients with above risk factors.

85 As far as we know, our study is the first report of this novel treatment modality and the  
86 purpose of this study is to demonstrate our preliminary experience.

87

### 88 **Patients and Methods**

89 A 65-year-old male visited our hospital with bloody stools for 1 month and  
90 difficulty defecation for 1 week. The colonoscopy showed a rectal mass located  
91 approximately 6 cm from the anal verge and the biopsy revealed rectal adenocarcinoma.  
92 No distant metastasis was found by the whole body computed tomography (CT) scan.  
93 Magnetic resonance image (MRI) showed the tumor involved 60 mm rectal wall  
94 (Figure 1A) and located 30 mm from anal margin(Figure 1B,C). In addition, some  
95 suspected lymph nodes were also observed by MRI (Figure 1D). The mesorectum fascia  
96 (MRF) and extramural vascular invasion (EMVI ) was positive and the preoperative  
97 stage was T<sub>2</sub>N<sub>2b</sub>M<sub>0</sub>.

98 He had no family history and other systemic diseases. The level of  
99 carcinoembryonic antigen (CEA) was 1.11 ng/ml (normal, 0-3 ng/ml) and carbohydrate  
100 antigen199 (CA199) level is 37.4 U/ml (normal, 0-35 U/ml). In addition, the BMI was  
101 25.43 kg/m<sup>2</sup>. The patient presented with bowel obstruction and strongly refused  
102 preoperative neoadjuvant chemotherapy, and according to the preoperative evaluation,  
103 our medical team planned to take the taTME surgery combined with IORT using low-  
104 energy X-rays after the patient signed the consent form.

105

### 106 **Preoperative preparation**

107 The patient underwent a full mechanical bowel preparation, and received  
108 parenteral antibiotics prophylaxis prior to operation. After general anesthesia was  
109 performed, the patient was placed in lithotomy position to facilitate perineal view and  
110 transanal approach. A 10-mm trocar port was inserted through the umbilicus to  
111 insufflated the abdomen and a 12-mm trocar port was inserted through the site  
112 preoperatively marked for ileostomy. Other three 5-mm trocar ports were routinely  
113 inserted following the laparoscopic rectal surgery.

114

115 **Surgical steps**

116 The operation was performed at the Second Hospital of Jilin University,  
117 Changchun, China, by a team of colorectal surgeons and radiological physicians. The  
118 first case of laparoscopic-assisted taTME combined with INTRABEAM IORT was  
119 approved by the Ethics Committee and Institutional Review Board of the Second  
120 Hospital of Jilin University, China.

121 **Transabdominal approach**

- 122 1) Laparoscopic exploration was performed.
- 123 2) The origin of inferior mesenteric artery (IMA) was ligated and  
124 lymphadenectomy around IMA was done after patient was placed in the right-head-  
125 ventral position to achieve optimal view of the left colon. (Figure 2A)
- 126 3) Dissect the left side colon to splenic flexure of colon with the medial-  
127 lateral retroperitoneal approach.
- 128 4) The anterior mesorectum dissection was progressed to the level of seminal  
129 vesicles anteriorly (Figure 2B) and the posterior mesorectum dissection was  
130 progressed the level of 5<sup>th</sup> sacral or caudal vertebrae. (Figure 2C)
- 131 5) The transanal approach was operated while the IORT device was  
132 modulated.

133

134 **Transanal approach**

- 135 1) The skin around anus was stretched in six directions by sutures to achieve  
136 optimal view.
- 137 2) Under direct surveillance, purse-string suture was performed at 1 cm from  
138 the lower edge of tumor to close the rectal cavity and created an operating  
139 cavity.(Figure 3A)
- 140 3) Connect the laparoscopic pneumatic machine and the transanal  
141 operation platform pushed into anus to reach a stable pressure of the operating  
142 cavity in 13 mmHg. (Figure 3B). In this study, we used the plastic bag to connect  
143 the laparoscopic pneumatic machine to the transanal operation platform to obtain a  
144 stable pressure.

145 4) Under laparoscopic surveillance, dissect the full thickness of rectal wall  
146 circumferentially (Figure 3C) and the mesorectum along the “holy plane” between  
147 the visceral and parietal layers of pelvic fascia to meet the transabdominal  
148 dissection plane.

149 5) After the rectal mass was dragged up transanally, the proximal sigmoid  
150 colon was fixed by the purse-string forceps and cut off by scalpel to remove the  
151 rectal specimen (Figure 3D) , and the top part of stapler was inserted into the colon.  
152 The dissected rectal specimen was photographed (Figure 3E).

153 6) Based on the width of anus, 4-cm in diameter applicator was pushed into  
154 the tumor bed transanally (Figure 3F,G). Under the transabdominally laparoscopic  
155 surveillance, the applicator was pushed closely to the tumor bed and wet gauzes  
156 were put to isolate and protect the adjacent structures from radiation (Figure 3H).

157 7) IORT was operated with a prescribed dose of 18 Gy.

158 8) Purse-string suture was performed at distal resection site of the intestinal  
159 tissue and the digestive continuity was restructured by the circular stapler(Figure 3I).

160 9) Prophylactic ileostomy was performed synchronously.

## 162 **Results**

163 The whole operative time was approximately 350 min, consisting of 40 min for  
164 laparoscopic dissection, 120 min for taTME procedure, 30 min for radiation, 40 min for  
165 the connection and prophylactic ileostomy.

166 The bowel recovery of patient happened at the 8<sup>th</sup> day post surgery. The Foley  
167 catheter and anal catheter were removed at the 7<sup>th</sup> and the 12<sup>th</sup> day, respectively.  
168 Postoperative pathology revealed the moderately differentiated rectum adenocarcinoma  
169 (pT<sub>3</sub>N<sub>2b</sub>M<sub>0</sub>) and the DRM and CRM were negative. To prevent the occurrence of the  
170 anastomotic or anal stenosis, dilation of anal canal was done by finger three to five  
171 times a day. The patient recovered uneventfully and discharged the hospital at the 15<sup>th</sup>  
172 day. Six to eight cycles of XELOX chemotherapy regimen were suggested to the patient  
173 in the next treatment phase. The patient underwent postoperative chemotherapy as  
174 recommended, and until now(01,2021), there is no sign of postoperative recurrence.

175

## 176 **Discussion and Conclusions**

177 TME, which is a standard surgical approach aiming to achieve complete resection  
178 of the rectum and mesorectal lymph nodes, has been lead to improve LC and overall  
179 survival (OS)<sup>[10]</sup>. CRM is one of the key prognostic factors that determine the LR. The  
180 value of CRM involvement is not only concerning for LR or development of distant  
181 metastases but also a strong predictor of whether postoperative chemoradiotherapy  
182 should be provided.

183 TaTME includes the “push me-pull you” and “bottom-to-up” approaches, which  
184 allows two-team synchronous collaboration to further shorten the operation time<sup>[11]</sup>.  
185 The “push me-pull you” approach can afford the crucial medial retraction of the  
186 mesorectum to secure sexual function by providing better visualization of the pillars,  
187 plexuses, and neurovascular bundles<sup>[12]</sup>. The “bottom-to-up” approach makes the  
188 dissection more easily and efficiently by overcoming the limitations<sup>[13]</sup> and also allows  
189 for no need for an extra abdominal assist incision. Furthermore, cutting specimen in  
190 vitro can avoid multiple stapler firings to reduce the incidence of anastomosis  
191 leakage<sup>[14]</sup>.

192 When the abdominal dissection completed, based on the transanal approaches of  
193 deep pelvic dissection, laparoscopic-assisted taTME can identify the resection plane  
194 clearly to achieve a beeter visualization of distal rectum and more clearly distal  
195 resection margin to assure the safety of CRM in these challenging patients<sup>[15]</sup>. In a RCT  
196 comparing taTME to laparoscopic TME in 100 patients with low rectal cancer, Denost  
197 *et al*<sup>[16]</sup> revealed lower positive CRM rates in taTME group (4%) than that in  
198 laparoscopic TME group (18%). TaTME can also reduce the rate of coversion to open  
199 surgery with only 0-9.1% in taTME cases, which is much more lower compared with  
200 that in laparoscopic TME cases in COLOR II<sup>[17]</sup>.

201 Despite of the advance in the treatment of locally advanced rectal cancer, LR still  
202 a major challenge. IORT has been introduced to the multiple treatment modality.  
203 Cantero-Munoz P *et. al.* <sup>[18]</sup> reported a systematic review of 15 studies and revealed the  
204 5- to 6-year LC rates of IORT (> 80%) and the OS of IORT (65%) for primary locally

205 advanced rectal cancer. Susan *et. al.* [19] reported a retrospective review of 42 patients  
206 treated with INTRABEAM IORT. The 1-year recurrence rate and distant metastasis  
207 were 16% and 32% in the whole cohort, respectively. Potemin *et. al.*[20] also reported  
208 that the recurrence rate was 13% in 68 patients (47 stage II vs 21 patients stage III)  
209 treated with INTRABEAM IORT.

210 The mobile device of INTRABEAM PRS can generate isotropic dose distribution  
211 in the applicator with higher application dose rate of about 10Gy/min, which not only  
212 inhibits the potential proliferation or metastasis of residual tumor cells but also shortens  
213 the treat time<sup>[21]</sup>. Furthermore, with the increased distance from applicator surface, the  
214 dose attenuates quickly so that it could lead to better LC and reduce damage to the  
215 adjacent critical tissues and surrounding organs. In addition, the applicator with the  
216 flexibility at 6 degrees<sup>[22]</sup> of freedom enables it to be placed into the targeted area by  
217 anus easily, which not only avoids the extra abdominal incision but also accords with  
218 the concept of “NOTES”.

219 INTRABEAM PRS could deliver a large radiation dose (10–20 Gy) to the targeted  
220 area with rapid dose attenuation. For IORT, the radiation dose (18-20 Gy) is equivalent  
221 to the external dose of 50 Gy<sup>[23]</sup>. In a multi-institutional phase randomized trial of IORT  
222 of rectal cancer, Dubois *et. al* [24] delivered 18 Gy in the IORT arm and the results  
223 revealed that there was no significant superior radiative toxicity. Guo *et. al.* [19]  
224 delivered a median safe surface dose of 14.4 Gy and a dose of 5 Gy was prescribed to  
225 a depth of 1 cm in locally advanced rectal cancer. In our institution, we have performed  
226 INTRABEAM IORT combining Miles, Dxion, laparoscopic intersphincteric resection  
227 (Lap ISR) in locally advanced rectal cancer for more than 4 years. Until now, no  
228 obvious radiative toxicity has occurred under the radiation dose of 18 Gy. As a result,  
229 18 Gy radiation dose was recommended in this study. In future studies, more factors  
230 will be considered with larger samples and longer follow-up.

231 In our study, the male patient with locally advanced rectal cancer accords to the  
232 indications of taTME. Specially, the BMI of the patient is 25.43 kg/m<sup>2</sup> which indicates  
233 that the pelvis is narrow for the male patient, the maximum diameter of the tumor is  
234 about 7 cm, and the lower edge of the tumor locates 3 cm from the anal margin. All of

235 these above can lead to the insufficient space of DRM and positive CRM. As a result,  
236 the taTME method is suitable for this patient. Considering the medical costs, the patient  
237 refused to receive preoperative chemotherapy or radiotherapy. Therefore, the  
238 INTRABEAM IORT aimed to substitute the treatment efficacy of preoperative  
239 chemotherapy and radiotherapy in our study. However, systematic chemotherapy and  
240 local radiotherapy for the lymphatic drainage area are still important post-surgery. To  
241 our knowledge, this is the first time combining taTME with INTRABEAM IORT to  
242 improve the LC in locally advanced rectal cancer patients with risk factors predicting  
243 difficult manipulation and a poor oncology specimen in laparoscopic TME.

244 There was no symptoms of urinary dysfunction observed and the result of urinary  
245 function questionnaire was satisfactory, which indicated the good preservation of  
246 autonomic nerve of taTME and dose attenuation effect of INTRABEAM IORT. However,  
247 compared to the abdominal approaches for rectal cancer, taTME arises new specific  
248 complications, including rectal or vaginal perforation<sup>[25]</sup>, bladder injury, and the injury  
249 of the urethra and urethral sphincter<sup>[26]</sup>, which not commonly occurred in laparoscopic  
250 TME. Thus, in the future follow-up, we should not only pay attention on LC,  
251 anastomotic stenosis, anorectal manometry and incontinence, but also the LARS and  
252 urethral function.

253 Importantly, the DRM was negative and there was no signs of anastomotic fistula  
254 in this patient. The color of the anal canal tissues near the anastomosis changed to  
255 normal gradually which indicated good blood supply. And the anastomosis healed well  
256 and no fistula occurred. This may be explained by the dose attenuation of IORT and  
257 good anastomosis of taTME for our patient. To achieve the satisfactory anastomosis in  
258 taTME, the two-steps purse-string suture are vital. For the first step of purse-string suture  
259 which is performed at 1 cm from the lower edge of tumor, the needle shouldn't be  
260 inserted too deep and only the mucosal layer and submucosa should be sutured. When  
261 suturing too much tissues, the purse-string may not be sutured tightly and the isolation  
262 of the tumor may not be achieved. For the second step of purse-string suture, fully  
263 sutured intestinal wall should be achieved to obtain the full-thickness anastomosis of  
264 the disconnected intestinal tissues.

265 No matter the taTME surgery or the IORT, we should pay attention to the anorectal  
266 function, especially the bowel frequency and fecal incontinence. TaTME transanal  
267 approach may bring injury to the intersphincteric resection<sup>[27]</sup> and the radiotherapy may  
268 induce the fibrosis around rectum affecting the compliance of rectum<sup>[28]</sup>. Both of them  
269 may lead to the low anterior resection syndrome (LARS), a complex of symptoms  
270 consisting of incontinence for flatus and /or feces, constipation, urgency, and bowel  
271 movements<sup>[29]</sup>. In our study, the anorectal function should be investigated after the  
272 return of ileostomy.

273 Although the lack of special pneumatic machine to maintain the stable pressure  
274 in transanal procedure, we used the plastic bag to connect the laparoscopic pneumatic  
275 machine to the transanal operation platform to obtain a stable pressure. Furthermore, the  
276 anus could be exposed by sutures instead of Longstar retractor to achieve optimal  
277 operation field. To our knowledge, our study is the first to report the taTME with  
278 INTRABEAM IORT using low-energy X-rays in locally advanced low rectal cancer,  
279 and several advantages of the treatment modality was concluded as follows.

280 Firstly, taTME benefits in achieving a good oncology specimen and lowering the  
281 positive rate of CRM in patients with risk factors, and the addition of INTRABEM  
282 IORT can further enhance the LC. Secondly, based on the concept of Natural Orifice  
283 Transluminal Endoscopic Surgery (NOTES), the removal of specimen and the input of  
284 IORT applicator which are both transanally can avoid the extral abdominal incision and  
285 reach a good cosmetology. Thirdly, the characteristics of dose attenuation of  
286 INTRABEAM IORT can enhance the radiotherapy in tumor bed while reduce the injury  
287 to surrounding normal structures and it can partially replace preoperative neoadjuvant  
288 treatment<sup>[30]</sup>. Forthly, due to the mobility of device, INTRABEAM IORT can be  
289 performed in the common operation room instead of transporting patients to specially  
290 shielded room, which not only shorten the time but also lower the transport risks.

291 Therefore, when encounters the challenging male and fat patients with narrow  
292 pelvis in locally advanced rectal cancer, taTME with low-energy X-rays IORT may not  
293 only benefit the CRM but also improve the LC.

294 In this study, we report the first case of locally advanced rectal cancer who

295 underwent the combined therapy of hybrid taTME and INTRABEAM IORT. We took  
296 the advantages of these two methods. The anus of this patient was successfully  
297 preserved and no obvious complications, including the anastomotic fistula, occurred.  
298 The patient recovered well and further systematic chemotherapy and  
299 radiotherapy were received. And, until now, there is no sign of postoperative recurrence.

300

### 301 **Declarations:**

#### 302 Abbreviations

303 TaTME: Transanal total mesorectal excision; IORT: intraoperative radiotherapy;  
304 LC: local control; OS: overall survival; CRM:circumferential resection margin;  
305 DRM:distal resection margin; DFS:disease-free survival; EBRT:external beam  
306 radiotherapy; NOTES:Natural Orifice Transluminal Endoscopic Surgery;

### 307 **Ethics approval and consent to participate**

308 This study conforms to the Declaration of Helsinki. The ethics committee of the  
309 Second Affiliated Hospital of Jilin University obtained the consent of the patient.

### 310 **Consent for publication**

311 Written consent was obtained from the patient for publication of this study and  
312 accompanying images.

### 313 **Availability of data and material**

314 The datasets used and/or analyzed during the current study are available from the  
315 corresponding author on reasonable request.

### 316 **Competing interests**

317 The authors declare that they have no competing interests.

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## 320 **Authors' contributions**

321 YG wrote the first draft of the manuscript. WSX collected the files. All authors  
322 read and approved the final manuscript.

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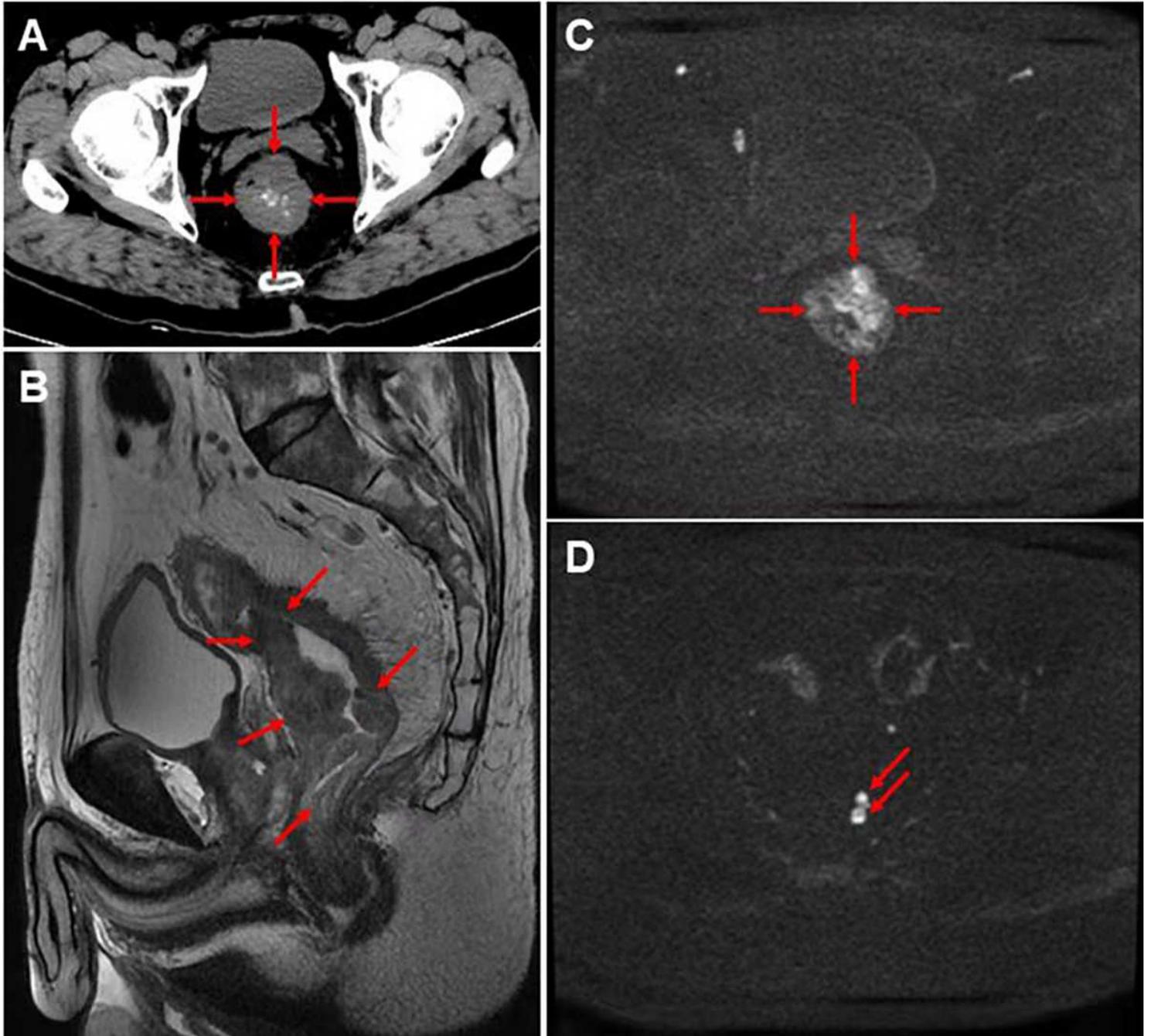
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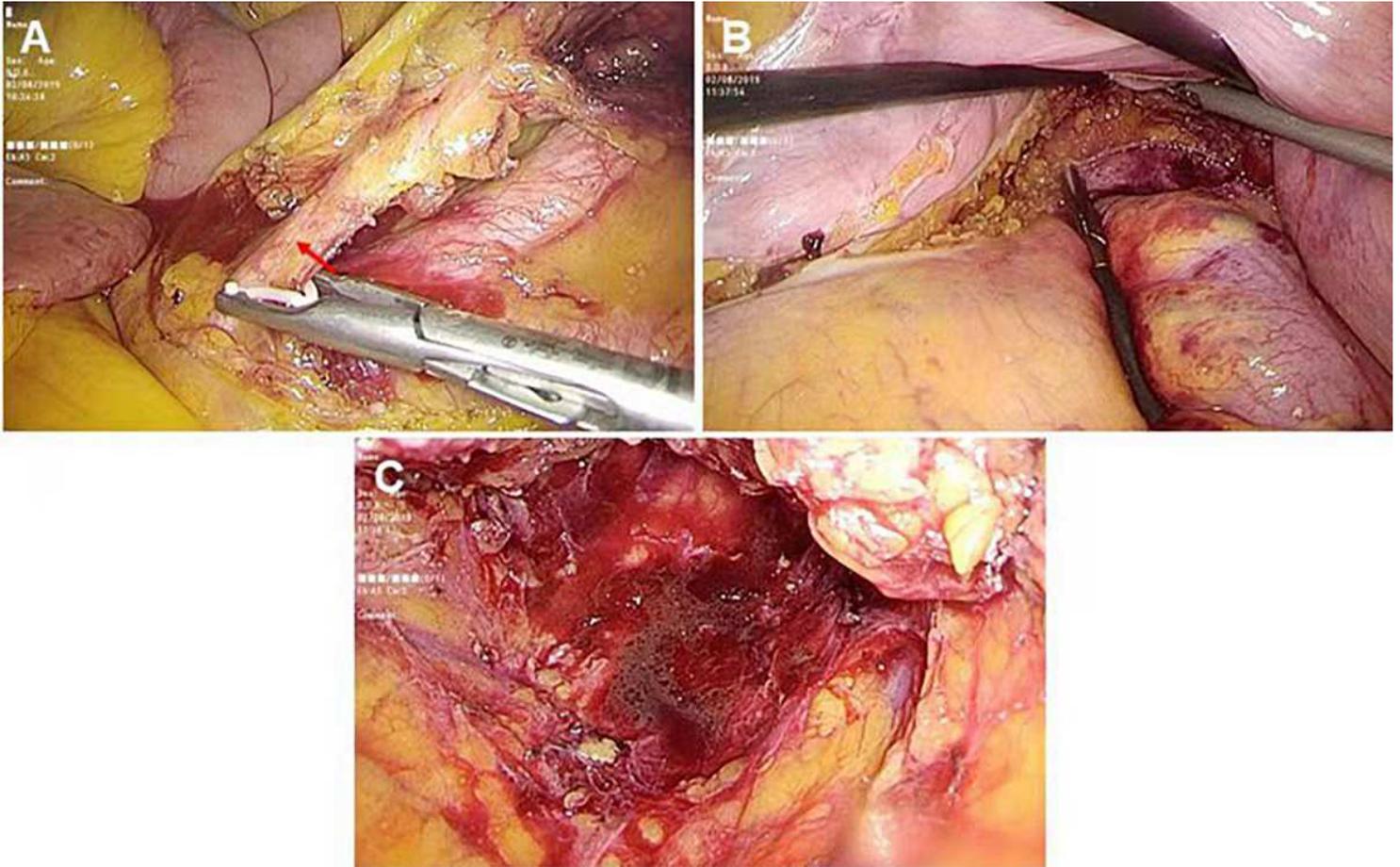
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## Figures



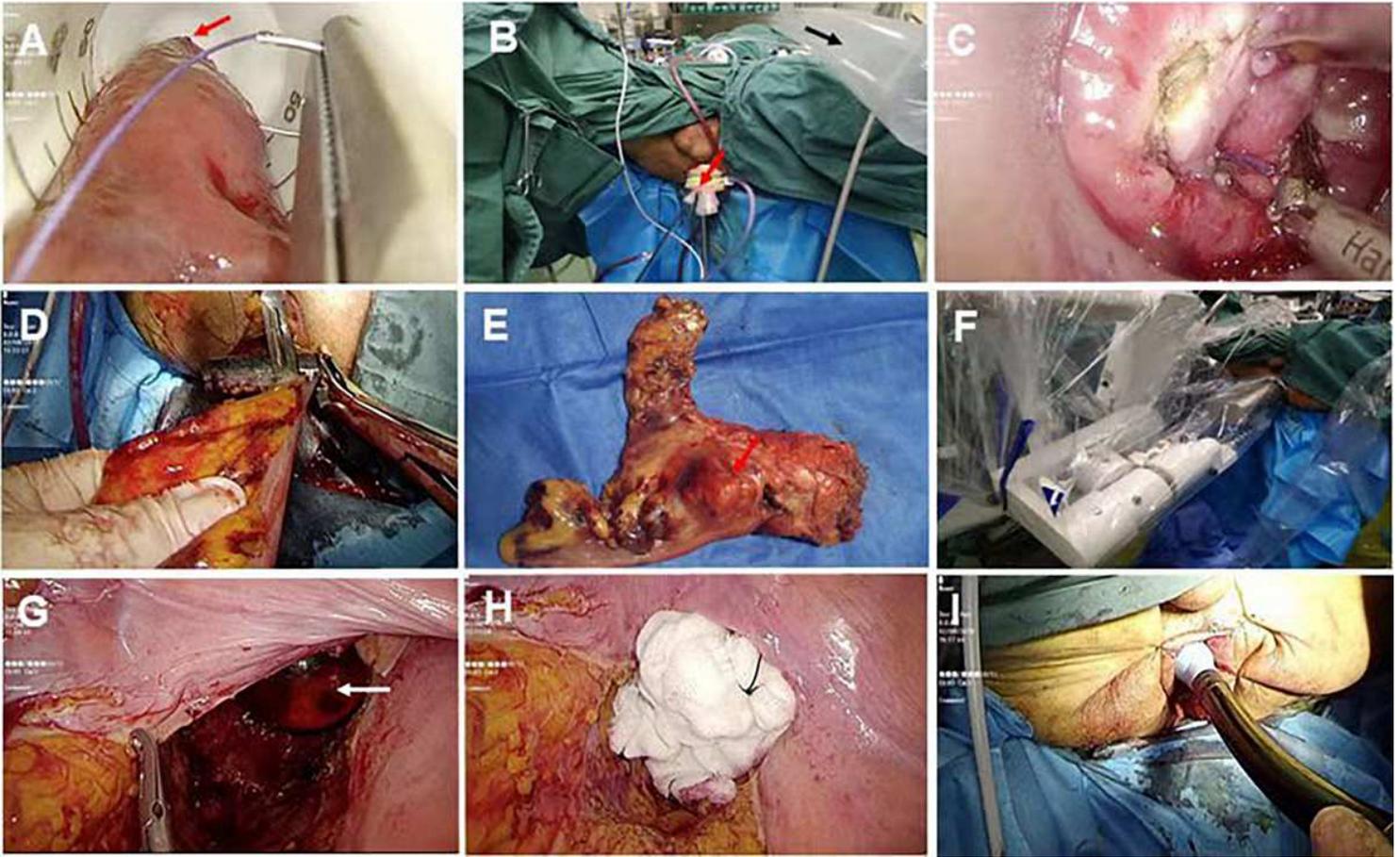
**Figure 1**

located 30 mm from anal margin(Figure 1B,C). In addition, some suspected lymph nodes were also observed by MRI (Figure 1D). The mesorectum fascia (MRF) and extramural vascular invasion (EMVI ) was positive and the preoperative stage was T2N2bM0.



**Figure 2**

The origin of inferior mesenteric artery (IMA) was ligated and lymphadenectomy around IMA was done after patient was placed in the right-head-ventral position to achieve optimal view of the left colon. (Figure 2A) Dissect the left side colon to splenic flexure of colon with the medial-lateral retroperitoneal approach. The anterior mesorectum dissection was progressed to the level of seminal vesicles anteriorly (Figure 2B) and the posterior mesorectum dissection was progressed the level of 5th sacral or caudal vertebrae. (Figure 2C)



**Figure 3**

Under direct surveillance, purse-string suture was performed at 1 cm from the lower edge of tumor to close the rectal cavity and created an operating cavity. (Figure 3A) Connect the laparoscopic pneumatic machine and the transanal operation platform pushed into anus to reach a stable pressure of the operating cavity in 13 mmHg. (Figure 3B) In this study, we used the plastic bag to connect the laparoscopic pneumatic machine to the transanal operation platform to obtain a stable pressure. Under laparoscopic surveillance, dissect the full thickness of rectal wall circumferentially (Figure 3C) and the mesorectum along the “holy plane” between the visceral and parietal layers of pelvic fascia to meet the transabdominal dissection plane. After the rectal mass was dragged up transanally, the proximal sigmoid colon was fixed by the purse-string forceps and cut off by scalpel to remove the rectal specimen (Figure 3D), and the top part of stapler was inserted into the colon. The dissected rectal specimen was photographed (Figure 3E). Based on the width of anus, 4-cm in diameter applicator was pushed into the tumor bed transanally (Figure 3F,G). Under the transabdominally laparoscopic surveillance, the applicator was pushed closely to the tumor bed and wet gauzes were put to isolate and protect the adjacent structures from radiation (Figure 3H). IORT was operated with a prescribed dose of 18 Gy. Purse-string suture was performed at distal resection site of the intestinal tissue and the digestive continuity was restructured by the circular stapler (Figure 3I). Prophylactic ileostomy was performed synchronously.