

# Regulation Mechanism of Graphene Oxide on the Structure and Mechanical Properties of Bio-Based Gel-Spun Lignin/Poly (Vinyl Alcohol) Fibers

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## Research Article

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1 **Regulation mechanism of graphene oxide on the structure**  
2 **and mechanical properties of bio-based gel-spun lignin/poly**  
3 **(vinyl alcohol) fibers**

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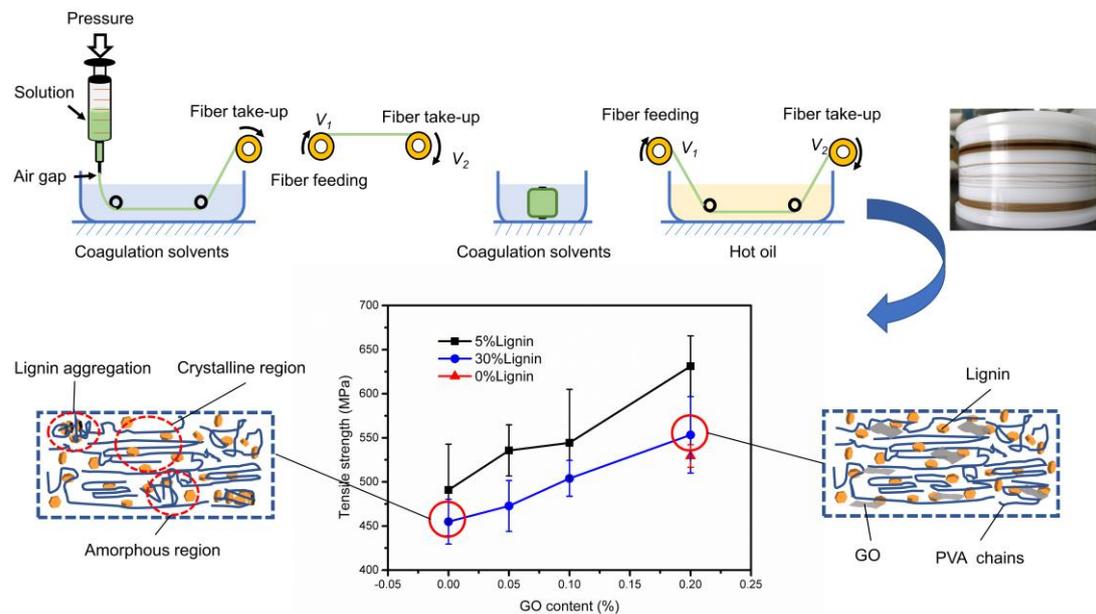
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10  
11 **Abstract** Lignin has been used as a sustainable and eco-friendly filler in composite  
12 fibers. However, lignin aggregation occurred at high lignin content, which significantly  
13 hindered the further enhancement of fiber performance. The incorporation of graphene  
14 oxide (GO) enhanced the mechanical properties of the lignin/poly(vinyl alcohol) (PVA)  
15 fibers and affected their structure. With the GO content increasing from 0 to 0.2%, the  
16 tensile strength of 5% lignin/PVA fibers increased from 491 MPa to 631 MPa, and  
17 Young's modulus increased from 5.91 GPa to 6.61 GPa. GO reinforced 30% lignin/PVA  
18 fibers also showed the same trend. The tensile strength increased from 455 MPa to 553  
19 MPa, and Young's modulus increased from 5.39 GPa to 7 GPa. The best mechanical  
20 performance was observed in PVA fibers containing 5% lignin and 0.2% GO, which  
21 had an average tensile strength of 631 MPa and a Young's modulus of 6.61 GPa. The  
22 toughness values of these fibers are between 9.9-15.6 J/g, and the fibrillar and ductile  
23 fracture microstructure were observed. Structure analysis of fibers showed that GO

24 reinforced 5% lignin/PVA fibers had higher crystallinity, and evidence of hydrogen  
25 bonding among GO, lignin, and PVA in the gel fibers was revealed. Further, water  
26 resistance and swelling behavior of composite PVA fibers were studied to further  
27 evidence the structure change of composite fibers.

28

## 29 Graphic abstract



30

31 **Keywords** Gel spinning, Lignin, Graphene oxide, Poly (vinyl alcohol), Fibers,  
32 Reinforcement, Mechanism

## 33 Introduction

34 Poly(vinyl alcohol) (PVA) is a biopolymer with high hydrophilicity and good  
35 mechanical properties (Lu and Ford 2018), which is widely used as raw material for  
36 packaging film, textile sizing, and hydrogel (Iwaseya et al. 2005; Luo et al. 2018), etc.  
37 Similar to polyethylene (PE), the planar zigzag structure of PVA favors fiber-formation  
38 and makes it an ideal material for high-performance fibers (Kaufmann and Hesselbarth  
39 2007; Sun et al. 2001). Fiber spinning techniques of PVA (i.e. wet spinning, dry  
40 spinning, melt spinning, and gel spinning, etc.) have been well developed in the past  
41 few decades (Cha et al. 1994). It is worth mentioning that gel spinning effectively  
42 reduces molecular chain entanglement during the spinning process, further promotes  
43 the fiber alignment in the drawing process, making it an efficient fabrication method

44 for high-performance PVA fibers (Smith and Lemstra 1980). Moreover, filler (i.e. lignin,  
45 carbon nanotubes, cellulose whiskers, etc.) reinforcement has been investigated as a  
46 promising way for further improvement of fiber properties (Lu et al. 2017a; Uddin et  
47 al. 2011; Xu et al. 2010).

48 Lignin, as the second most abundant biopolymer on earth, is present in all fibrous  
49 plants. Nearly 50 million tons of lignin are produced each year as a byproduct of pulp  
50 and paper-making industry, while only 2% is utilized commercially (Laurichesse and  
51 Avérous 2014). Due to its high char yield of ~50% (Thakur et al. 2014), lignin has been  
52 proposed as a cost-effective and renewable feedstock for carbon fibers (Baker and Rials  
53 2013). However, compared to conventional polyacrylonitrile (PAN)-based carbon  
54 fibers, lignin-based carbon fibers have relatively lower mechanical properties (tensile  
55 strength of 400-700 MPa, Young's modulus of 40-95 GPa) (Kubo and Kadla 2005; Liu  
56 et al. 2015; Sudo and Shimizu 1992). Nevertheless, lignin has the potential to be used  
57 as an eco-friendly and sustainable filler in polymer due to its rigid structure. Lignin/PVA  
58 fibers have been spun by gel spinning (Lu et al. 2017a; Lu et al. 2017b). With the  
59 incorporation of 5% lignin, PVA fiber exhibited a tensile strength of 1.1 GPa and a  
60 Young's modulus of 36 GPa. Even at up to 50% lignin, lignin/PVA fibers had better  
61 mechanical properties than that of neat PVA fibers spun under optimum conditions (Lu  
62 et al. 2017a). As hydrogen bonding occurred between lignin and PVA hydroxyl groups,  
63 the molecular mobility of PVA enhanced, and fiber draw ratios increased. However, at  
64 lignin content of more than 20%, filler aggregation and poor alignment of lignin along  
65 the fiber axis were observed, which impeded the fabrication of even stronger lignin

66 reinforced PVA fibers (Lu et al. 2017a). To ultimately achieve high-performance lignin-  
67 based fibers, the intermolecular compatibility between lignin and PVA, as well as the  
68 molecular alignment in fiber structure should be further optimized.

69 Graphene is a two-dimensional carbon material with exceptional inherent  
70 mechanical properties, including extremely high tensile strength of 125 GPa and  
71 Young's modulus of 1000 GPa (Lee et al. 2008; Zhu et al. 2010). However, the weak  
72 interfacial interaction between the graphene nanofillers and the polymer matrix hinders  
73 the preparation of a homogeneous dispersion and further fibers with excellent  
74 mechanical performance. Graphene oxide (GO), with oxygen-containing groups (i.e.  
75 hydroxyl, carbonyl, carboxyl, and glycidyl groups) on the surface of nanosheets, has  
76 attracted considerable attention as filler for polymeric composites (Rourke et al. 2011;  
77 Wilson et al. 2009) due to its low cost, good mechanical performance and excellent  
78 compatibility with polymers (Liang et al. 2009). For instance, GO/PVA nanocomposite  
79 hydrogels with aligned hierarchical microstructure and anisotropic mechanical  
80 properties had been reported (Luo et al. 2018). Gel-spun GO/PVA nanocomposite fibers  
81 with GO nanosheets highly oriented along the fiber axis were also prepared (Hu et al.  
82 2017). The tensile strength increased 46.15% after only 0.5 wt% GO nanosheets were  
83 incorporated into fibers. Although Hu et al. prepared GO/lignin/PVA films, the tensile  
84 strength of 100–125 MPa is far below the standards of industrial high-performance  
85 applications (Hu et al. 2019). Therefore, insufficient studies employ GO as filler in  
86 lignin/PVA gel-spun fibers to overcome the structural impediments of lignin/PVA fibers  
87 at high lignin contents for further enhancement in fiber performance or investigate the

88 synergistic effects of GO and lignin on the mechanical properties and structure of PVA  
89 fibers.

90 To better address the regulation mechanism of GO on the structure and mechanical  
91 properties of bio-based gel-spun lignin/PVA fibers, lignin/PVA, GO/PVA and  
92 GO/lignin/PVA composite fibers with varying lignin and GO contents utilizing gel-  
93 spinning technique were fabricated in this work. The prepared fibers were characterized  
94 structurally by scanning electron microscopy (SEM), X-ray diffraction analysis (XRD),  
95 and Fourier transform infrared spectroscopy (FTIR). Mechanical performance, water  
96 resistance, and swelling ratios were also evaluated, and the effects of lignin and GO on  
97 the fiber structure were discussed. This study will possibly expand the application of  
98 low-cost bio-based high-performance fibers in industry.

## 99 **Experimental section**

### 100 **Materials**

101 PVA ( $M_w=146,000-186,000$ , 99% hydrolysis, Sigma-Aldrich Co., USA) and  
102 graphene oxide aqueous dispersion (GO, concentration of 10 mg/mL, sheets diameter  
103 of 5-8  $\mu\text{m}$ , Hangzhou Gaoxi Technology Co., Ltd., China) were used as received. Lignin  
104 (purity of 90%, Hubei Yunmei Technology Co., Ltd., China) was used after purification.  
105 Dimethyl sulfoxide (DMSO, Sigma-Aldrich Co., USA), acetone, and methanol  
106 (Shanghai Titan Technology, Inc., China) were used as received. All water used was  
107 deionized.

108 Preparation of spinning dopes

109 To remove low-molecular-weight fractions, lignin was first dissolved in acetone  
110 and then purified by vacuum filtration. Later, it was washed by deionized (DI) water  
111 for multiple times and dried in a vacuum oven at 65 °C for 4 h. Finally, lignin was  
112 ground into fine powder by the mortar and pestle.

113 Three types of solution mixtures (GO/PVA, lignin/PVA, and GO/lignin/PVA) were  
114 prepared for spinning. To prepare GO/PVA solution, GO (at weight ratios of 0.2% (w/w)  
115 GO to polymer) and PVA powder (10 g) were dissolved in 100 mL of 80/20 (v/v)  
116 DMSO/DI water under constant stirring at 85 °C for 2 h. For the lignin/PVA solutions,  
117 PVA powder (10 g) and lignin, at weight ratios of 5% and 30% (w/w) lignin to polymer,  
118 were dissolved in 100 mL of 80/20 (v/v) DMSO/DI water under constant stirring at  
119 85 °C for 2 h, respectively. To prepare GO/lignin/PVA dopes, at weight ratios of 5%  
120 and 30% (w/w) lignin to polymer, concentrations of 0.05%, 0.1% and 0.2% (w/w) GO  
121 to polymer were also dissolved in 80/20 (v/v) DMSO/DI water at the same condition,  
122 respectively. All prepared solutions were maintained at 65 °C before spinning.

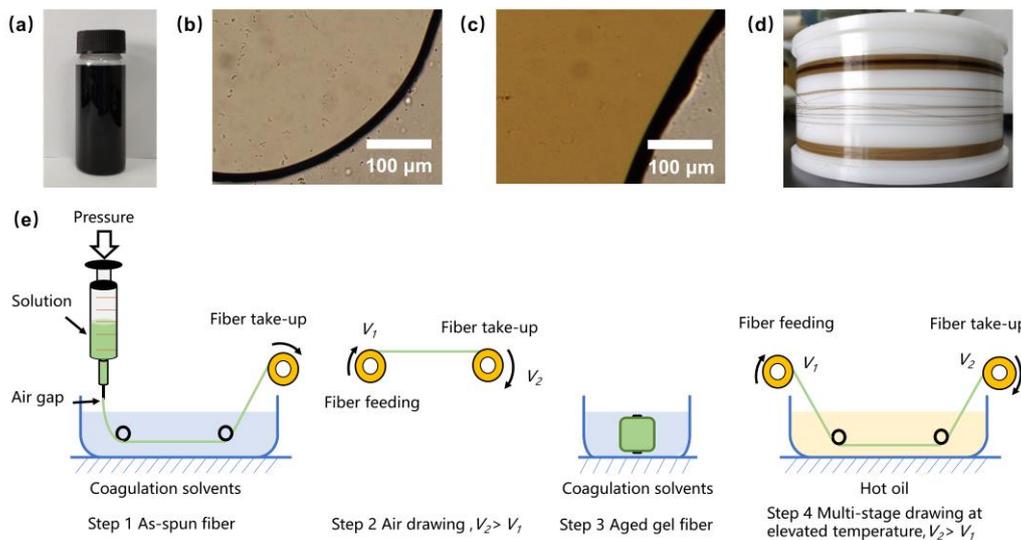
123 Gel spinning

124 The gel spinning process is illustrated in four steps (Fig. 1). Steps 1 involves the  
125 loading of polymer dope (50 mL) into a syringe maintained at 60 °C and the extrusion  
126 of the polymeric jet through a 0.72 mm inner diameter syringe needle. Lignin/PVA and  
127 GO/lignin/PVA polymeric jets were injected into acetone/methanol (85/15 v/v)  
128 coagulation solvents maintained at -25 °C with an air gap of 3-5mm due to that

129 methanol could facilitate PVA gel fiber formation but partially dissolve lignin while  
 130 acetone could effectively hinder the lignin diffusion from fiber structure into  
 131 coagulation solvents (Lu et al. 2017a). GO/PVA fibers were spun into 100% methanol  
 132 coagulation solvent for good fiber formation under the same spinning condition. All as-  
 133 spun fibers which underwent 1 m length coagulation bath were later collected onto a  
 134 rotating take-up winder. The collected as-spun fibers were air drawn at room  
 135 temperature (Step 2) before storage in coagulation solvents with the same compositions  
 136 as the ones in Step 1 for gel aging at 5 °C for 24 h (Step 3). Step 4 involves the hot  
 137 drawing of fibers through silicone oil bath for four stages at high temperature  
 138 (100–220 °C). The draw ratio ( $DR$ ) of each drawing stage was calculated by Equation  
 139 (1):

$$140 \quad DR = \frac{V_2}{V_1} \quad (1)$$

141 where  $V_1$  represents the velocity of the fiber feeding winder and  $V_2$  represents the  
 142 velocity of the fiber take-up winder, respectively (Fig. 1, Step 2 & 4). Drawing  
 143 parameters for gel-spun fibers are listed in Table 1, which will be discussed later.



144

145 **Fig. 1** Homogeneity of GO/lignin/PVA dopes: (a) digital photograph of 0.2% GO/30%

146 lignin/PVA solution, and optical micrographs of (b) 0.2% GO/5% lignin/PVA and (c)  
147 0.2% GO/30% lignin/PVA spinning solutions; (d) digital photograph of 30%  
148 lignin/PVA drawn fibers prepared by gel spinning, (e) the fiber gel spinning process:  
149 as-spun gel fiber formation (Step 1), air drawing (Step 2), gel fiber aging (Step 3), and  
150 multiple-stage fiber drawing (Step 4)

### 151 Mechanical testing

152 The mechanical properties of fibers were measured with the XQ-1C tensile testing  
153 system according to ASTM D3379. Mechanical testing was performed with a 20 mm  
154 gauge length, a strain rate of 15 mm/min, and a sample size of 10. Values of fiber cross-  
155 sectional area  $A$  were determined gravimetrically from measurements of linear density,  
156  $d$ , and the density of the composite fiber  $\rho$ , using Equation (2):

$$157 \quad A = d/\rho \quad (2)$$

158 where  $d$  values were obtained by weighing the mass of 30 cm composite fibers. Before  
159 weighing, the fibers were rinsed by isopropyl alcohol to remove residual silicone oil on  
160 the fiber surface and dried at room temperature for 24 h. Values of  $\rho$  were calculated by  
161 Equation (3):

$$162 \quad \rho = \rho_{PVA}w_{PVA} + \rho_{lignin}w_{lignin} + \rho_{GO}w_{GO} \quad (3)$$

163 where  $w$  is the weight fraction of PVA, lignin, and GO, respectively. The density of both  
164 PVA (Luo et al. 2013) and lignin (Hu 2002) is 1.3 g/cm<sup>3</sup>, and the density of GO is 2.2  
165 g/cm<sup>3</sup> (Hu et al. 2017). The weight fraction of GO (0.05%, 0.1%, or 0.2%) was so small  
166 that it could be negligible. Therefore, the density of each composite fiber was

167 approximately  $\rho = 1.3 \text{ g/cm}^3$ .

168 Tensile toughness ( $U_t$ ) was calculated from the integration of the stress-strain  
169 curve of each composite fiber. It represents the energy absorbed during the fiber  
170 breakage process (Song et al. 2013), which can be expressed by Equation (4):

$$171 \quad U_t = \int \sigma_i \varepsilon_i \quad (4)$$

172 where  $\sigma_i$  and  $\varepsilon_i$  are the stress and strain at each data point  $i$ , respectively.

### 173 Imaging analysis

174 A SU8010 scanning electron microscopy was used to study the morphology of the  
175 fiber fracture tips after mechanical testing. Fractured fiber samples were sputter coated  
176 with gold and imaged by SEM at 5 kV accelerating voltage.

### 177 Fiber structural analysis

178 X-ray Diffraction patterns of fibers were collected by Rigaku D/max-2550 PC X-  
179 ray Diffractometer using Cu  $K_\alpha$  radiation ( $\lambda = 1.541 \text{ \AA}$ ) at voltage of 40 kV and  
180 operating current of 150 mA. Shredded fibers were scanned at a step size of  $0.05^\circ$  with  
181  $2\theta$  between  $5^\circ$  and  $60^\circ$ .

182 Peak fitting was performed by MDI Jade 6 software. The percent crystallinity ( $X_c$ )  
183 of each composite fiber was calculated based on crystalline ( $A_c$ ) and amorphous ( $A_a$ )  
184 peak areas (Minus et al. 2006):

$$185 \quad X_c (\%) = \left( \frac{A_c}{A_c + A_a} \right) \times 100\% \quad (5)$$

186 Aligned fiber bundles of more than 100 fibers with 3 cm in length were placed on

187 the sample holder and scanned for molecular anisotropy. Cu K $\alpha$  radiation ( $\lambda = 1.541 \text{ \AA}$ )  
188 at voltage of 40 kV and operating current of 150 mA were applied. Fibers were scanned  
189 in the equator and meridian directions at a step size of  $0.05^\circ$  with  $2\theta$  between  $5^\circ$  and  
190  $60^\circ$ . Then the detector was fixed at the  $2\theta$  position of the strongest peak, and the sample  
191 holder rotated from  $-90^\circ$  to  $270^\circ$  along the azimuth angle to test the intensity distribution  
192 of the diffraction peak. Peak fitting was performed by MDI Jade 6 software. The  
193 orientation ( $\gamma$ ) is calculated by Equation (6) (Zhu et al. 2009):

$$194 \quad \gamma (\%) = \frac{360 \cdot \sum H_i}{360} \times 100\% \quad (6)$$

195 where  $H_i$  is the half-height width at peak  $i$ .

196 The structural analysis of fibers was performed for 128 scans at  $4 \text{ cm}^{-1}$  spectral  
197 resolution on the NEXUS-670 Fourier transform infrared spectrophotometer equipped  
198 with attenuated total reflection.

199 FTIR spectra in the  $800\text{-}4200 \text{ cm}^{-1}$  range were normalized to the  $854 \text{ cm}^{-1}$  band  
200 (C–C stretching) (Peppas 1977; Tretinnikov and Zagorskaya 2012). The C–C stretching  
201 peak is chosen as a reference due to that its absorbance is not significantly affected by  
202 processing. Percent crystallinity ( $X_c$ ) of polymer is expressed in Equation (7):

$$203 \quad X_c = \left( a + b \frac{A_{1144}}{A_{854}} \right) \times 100\% \quad (7)$$

204 where  $a=14.40$  and  $b=24.09$  are constants whose values are calculated from known  
205 values of percent crystallinity from X-ray diffraction patterns (Fig. S1, S2 and Table  
206 S1 in Supplementary Information, SI). Absorbance values for  $A_{1144}$  and  $A_{854}$  were  
207 calculated from infrared spectra.

208

209 Water dissolution and swelling

210 To investigate the water resistance of PVA fibers with different lignin and GO  
211 contents, fiber bundles (2 mg) designated as (lignin to PVA)/(GO to PVA) ratios of  
212 0/0.01, 0/0.02, 5/0, 5/0.05, 5/0.1, 5/0.2, 30/0, 30/0.05, 30/0.1, and 30/0.2, were placed  
213 in 25 mL of water and gradually heated from 25 to 85 °C. Optical images of fibers were  
214 obtained by optical microscope (ECLIPES LV100N POL) after water immersion of  
215 fibers. To study the swelling behavior of GO/lignin/PVA fibers, fiber bundles were  
216 immersed in DI water for 24 h at room temperature. Post immersion, the fibers were  
217 blotted with filter paper to remove excess water before being weighed. The fiber  
218 swelling ratio ( $S$ ) was calculated according to Equation (8):

$$219 \quad S = \frac{m_w - m_d}{m_d} \times 100\% \quad (8)$$

220 where  $m_d$  and  $m_w$  represent the mass of the fiber before and after wetting, respectively.

## 221 **Results and discussion**

### 222 Effect of GO on lignin/PVA fiber drawing process

223 In this section, the drawing parameters for all gel-spun composite fibers, including  
224 drawing ratios, drawing temperature, effective diameters, and linear density, were  
225 summarized in Table 1. Changes in drawing temperature and drawing ratios of each  
226 stage were observed.

227 As the first drawing process after the as-spun fibers being collected from the  
228 coagulation bath, air drawing facilitates the alignment of molecular chains, the

229 reduction of the fiber diameter, and promotes the solvent exchange in the subsequent  
 230 gel aging process (Zhang et al. 2011). With the air drawing process applied, the  
 231 subsequent thermal drawing of gel-spun fibers is more stable, which may contribute to  
 232 the enhanced strength, toughness, and dimensional stability of the gel-spun fibers,  
 233 which will be further evidenced in the later section.

234

235 **Table 1.** Drawing parameters for gel-spun lignin/PVA, GO/PVA, and GO/lignin/PVA  
 236 fibers

Lignin content		0		5%			30%			
		0.2%	0	0.05%	0.1%	0.2%	0	0.05%	0.1%	0.2%
As-spun	<i>DR</i>	1.9	1.8	1.9	1.8	1.8	1.8	1.7	1.8	2.1
Air drawing	<i>DR</i>	2.5	2.3	2.5	2.5	2	2.3	2	2.5	1.8
Stage 1 drawing	T/°C	105	110	120	105	105	125	115	110	110
Stage 2 drawing	<i>DR</i>	1.2	1.5	1.6	1.5	1.8	1.5	2.2	1.8	1.7
Stage 3 drawing	T/°C	180	180	180	180	180	180	190	180	180
Stage 4 drawing	<i>DR</i>	2	1.5	1.4	1.2	1.3	1.5	1.2	1.3	1.2
Stage 3 drawing	T/°C	200	200	205	200	200	200	200	200	200
Stage 4 drawing	<i>DR</i>	1.3	1.1	1.1	1.1	1.2	1.1	1.2	1.1	1.2
Stage 4 drawing	T/°C	225	220	220	220	220	215	215	220	220
Stage 4 drawing	<i>DR</i>	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1
Total <i>DR</i> <sup>a</sup>		16.3	11.3	12.9	9.8	11.1	11.3	11.8	12.7	10.2
Effective diameter /μm		50	58	54	62	49	64	54	59	55
Linear density <i>d/dtex</i>		26	34	30	39	25	41	30	36	31

237 <sup>a</sup>Total *DR* is the cumulative draw ratio from as-spun drawing, air drawing, and hot  
 238 drawing.

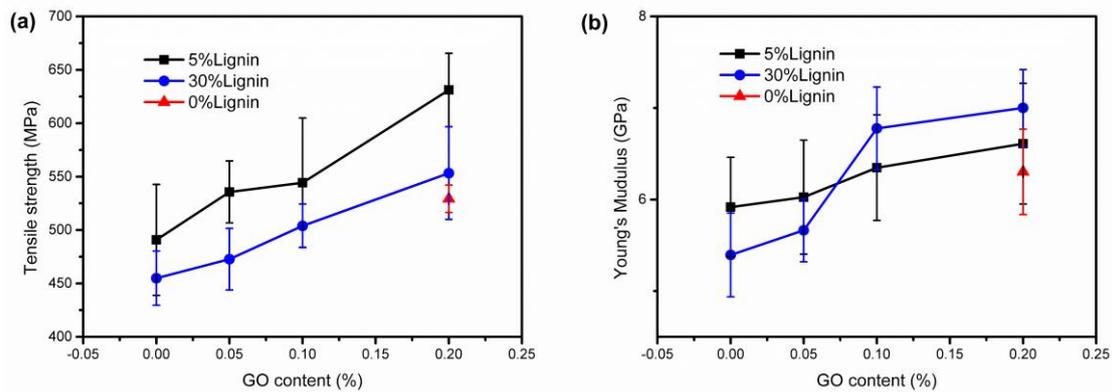
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240 After gel aging of the air-drawn fibers in the coagulation solvents for 24 h, gel  
241 fibers were further drawn in hot oil for multiple stages at elevated temperatures. In the  
242 first stage, the drawing temperature of fibers is between 105–120 °C. It was observed  
243 that solvent diffused from the fibers into the high-temperature oil during the first stage  
244 of thermal drawing, which facilitate the conversion of gel fibers into solid fibers. The  
245 temperature was increased to 180-190 °C in the second stage of thermal drawing. There  
246 was still slight solvent diffusion during this stage, which was attributed to the residual  
247 DMSO (with a boiling point of 189 °C) in the fibers. In the third and fourth stages, the  
248 fibers were further drawn to obtain finer structure at increased drawing temperature.  
249 Effective diameters and linear density of fibers are shown in Table 1. Changes of  
250 GO/lignin/PVA fibers in stage drawing ratios were slight. The draw ratio of  
251 0.2%GO/PVA fiber could reach 16.3, while the total draw ratios of GO/lignin/PVA  
252 fibers were in the range of 9.8-12.9 regardless of the content of lignin. It is possible that  
253 the intermolecular interaction in the GO/lignin/PVA ternary system, which will be  
254 shown in the later section, impeded the enhancement of fiber draw ratios.

#### 255 Effect of GO content on lignin/PVA fiber mechanical properties

256 The effect of GO content on the tensile strength and Young's modulus of gel-spun  
257 lignin/PVA fibers is discussed in this section. Generally speaking, fibers with 5% lignin  
258 have significantly better mechanical properties than those with 30% lignin content,  
259 which is attributed to the higher crystallinity (as shown in Table 2), the stronger  
260 hydrogen bonding between lignin and PVA, and the better molecular orientation of 5%

261 lignin/PVA fiber (Lu et al. 2017a). After adding GO, fibers containing both GO and  
 262 lignin fillers exhibited superior mechanical properties compared to those containing  
 263 only lignin fillers (Fig. 2). As the GO content increased from 0 to 0.2%, the tensile  
 264 strength of 5% lignin/PVA fibers increased from 491 MPa to 631 MPa, and Young's  
 265 modulus increased from 5.91 GPa to 6.61 GPa. The 30% lignin/PVA fibers showed the  
 266 same trend as the GO content increased from 0 to 0.2%: the tensile strength increased  
 267 from 455 MPa to 553 MPa, and Young's modulus increased from 5.39 GPa to 7 GPa.  
 268 The maximum tensile strength was 631 MPa at 0.2%GO/5% lignin, and the maximum  
 269 modulus was 7 GPa at 0.2% GO/30% lignin.



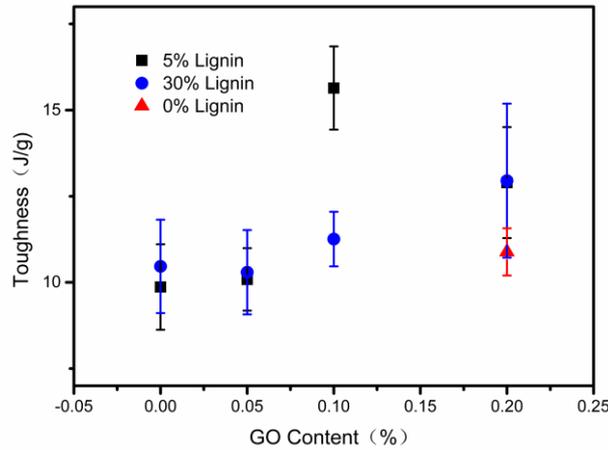
270

271 **Fig. 2** Tensile strength and Young's modulus of gel-spun PVA fibers of 0/5/30% lignin  
 272 and 0/0.05%/0.1%/0.2% GO

273

274 It was clearly shown that for GO/lignin/PVA composite fibers, both 5% and 30%  
 275 lignin/PVA fibers had the best mechanical properties at 0.2% GO. To better verify the  
 276 role of lignin in the ternary system of composite fibers, 0.2% GO/PVA fibers were  
 277 fabricated and tested for comparison. Fig. 2 showed that the tensile strength (529 MPa)  
 278 and Young's modulus (6.3 GPa) of 0.2% GO/PVA fiber were lower than those of 0.2%

279 GO/lignin/PVA fiber, confirming that lignin may work as an efficient filler in GO/PVA  
280 fibers and that the synergistic effect of GO, lignin and PVA results in the enhancement  
281 of fiber properties.



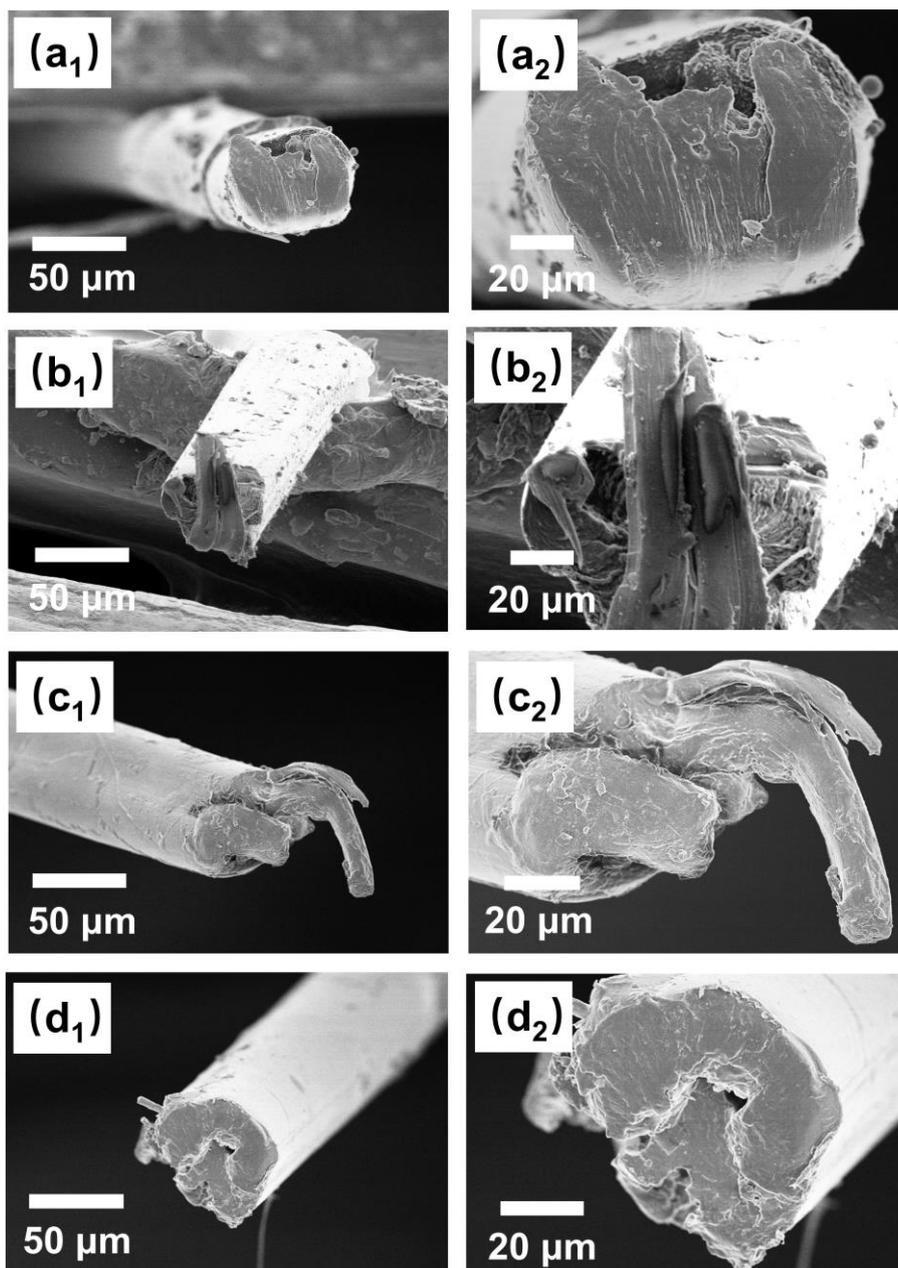
282  
283 **Fig. 3** Toughness of gel-spun PVA fibers with 0/5/30% lignin and 0/0.05%/0.1%/0.2%  
284 GO

285  
286 The effect of GO content on gel-spun lignin/PVA fiber toughness is shown in Fig.  
287 3. Toughness indicates fiber's ability to absorb mechanical energy before rupture (Shin  
288 et al. 2012). The strain at break values for all of the lignin/PVA and GO/lignin/PVA  
289 fibers ranged between 5.5% and 7.5% regardless of the filler content. Toughness values  
290 of GO/lignin/PVA fibers with varying GO and lignin contents ranged from 9.9 to 15.6  
291 J/g, which were much greater than that of reported GO/PVA composites fiber (6  
292 J/g)(Shin et al. 2012). A maximum toughness value of 15.6 J/g was observed in 0.1%  
293 GO/5% lignin/PVA fibers. As the GO content increased, the toughness of both 5% and  
294 30% lignin/PVA fibers slightly increased. Compared with 0.2%GO/5% and  
295 30%lignin/PVA composite fibers, 0.2%GO/PVA fiber had a lower toughness value  
296 (10.88 J/g). This indicates that lignin with a large amount of rigid structure can more

297 effectively increase the fiber toughness than GO.

298 Effect of GO content on lignin/PVA fiber structure

299 Fiber fracture tips from mechanical testing were imaged by SEM, as shown in Fig.  
300 4. It was observed that all fibers had a dense structure without pores. Fiber diameters  
301 were in the range of 50-65  $\mu\text{m}$ , which were consistent with the effective diameters  
302 calculated in Table 1. The fibrillar structure of the 0.2% GO/PVA fiber was also  
303 observed (Fig. S3 in SI). The addition of GO could effectively enhance the strength of  
304 the PVA fiber (Hu et al. 2017) and the fibrillar structure indicated the highly aligned  
305 polymer chains along the fiber axis. 5% lignin/PVA fiber showed a smooth fracture tip  
306 (Fig. 4a). With 0.2% GO incorporated, 0.2% GO/5% lignin/PVA fiber exhibited more  
307 fibrillar microstructure and more ductile fracture tip (Fig. 4b). PVA fibrils are related to  
308 the highly oriented and ordered polymer chains, which are responsible for the good  
309 mechanical properties (tensile strength of 631 MPa, Young's modulus of 6.61 GPa).  
310 The fibrillar structure was observed in 30% lignin/PVA fiber (Fig. 4c), which was  
311 possibly due to the plasticizing effect of lignin (Lu et al. 2017a). The fibrillar structure  
312 was also observed in 0.2% GO/30% lignin/PVA fiber (Fig. 4d). Even in high-resolution  
313 images, no aggregation of lignin or GO was observed in the 0.2% GO/30% lignin/PVA  
314 fiber, which only demonstrated uniform structure without obvious defects. In summary,  
315 both lignin and GO promoted the formation of PVA fibrillar structure and were  
316 responsible for the enhancement of mechanical properties aforementioned.



317

318 **Fig. 4** (1) Low- and (2) high-resolution SEM images of fracture tips of (a) 5% lignin

319 /PVA fibers, (b) 0.2% GO/5% lignin/PVA fibers, (c) 30% lignin/PVA fibers, (d) 0.2%

320 GO/30% lignin/PVA fibers

321

322 To better understand the effect of GO filler on the mechanical properties of

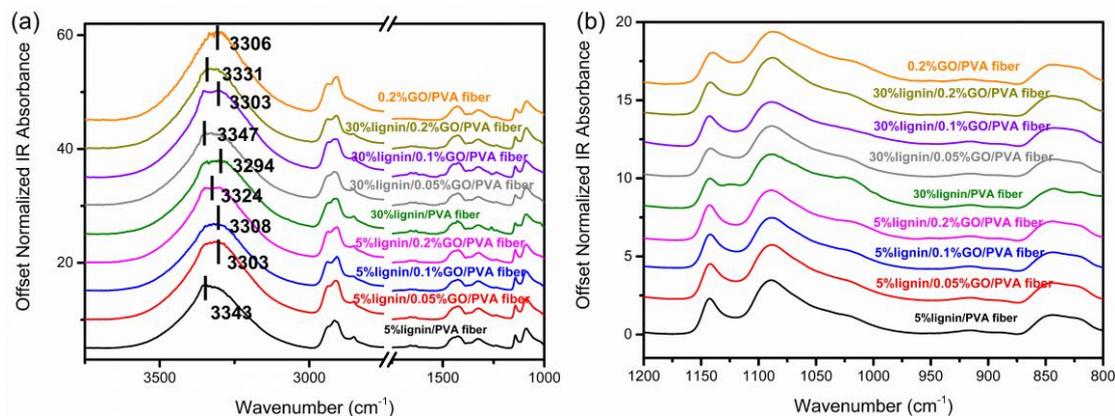
323 lignin/PVA fibers, fiber crystallinity, and molecular alignment will be discussed in this

324 section. Both molecular adhesion and orientation of polymer chains contribute to the

325 enhancement of mechanical performance of fibers (Gonzalez et al. 2014; Minus et al.  
326 2009; Spitalsky et al. 2010). Thus both FTIR and XRD were used to indicate the  
327 structure change of gel-spun lignin/PVA fibers before/after the incorporation of GO.

328 FTIR spectra of PVA composite fibers in Fig. 5 together with XRD patterns (Fig.  
329 S1 in SI) were used to calculate the constants  $a$  and  $b$  in Equation (7) for further  
330 confirmation of all of the percent crystallinity of composite PVA fibers (Table 2).  
331 Amorphous PVA is related to the C-O vibrational mode at  $1094\text{ cm}^{-1}$ , and PVA  
332 crystallinity affects the peak at  $1144\text{ cm}^{-1}$  (Lu et al. 2017a). The value of the  $A_{1144}/A_{854}$   
333 ratio is an index of fiber crystallinity. The crystallinity of PVA composite fibers (Table  
334 2) approximately agreed with overall trends shown for the mechanical properties of  
335 fibers (Fig. 2). In detail, fibers with 5% lignin were more crystalline than those  
336 containing 30% lignin. Both 5% and 30% lignin fibers with GO showed higher or close  
337 crystallinity values in comparison with fibers without GO. This is due to that the  
338 presence of GO in the crystalline region promotes the formation of crystals, which will  
339 be demonstrated later in Fig. 6. The highest degree of crystallinity occurred at 0.05%  
340 GO/5% lignin, however, the value slightly decreased when GO content was more than  
341 0.05% in 5% lignin/PVA fibers. Fibers with 30% lignin and 0.2% GO were obviously  
342 more crystalline than those containing 0/0.05% GO/0.1% GO, which had a similar  
343 value of crystallinity.

344



345

346 **Fig. 5** FTIR absorbance spectra of gel-spun composite fibers between (a) 4200–1000

347  $\text{cm}^{-1}$  and (b) 1250–800  $\text{cm}^{-1}$

348

349 **Table 2** FTIR absorbance ratios of  $A_{1144}/A_{854}$  and percent crystallinity ( $X_c$ ) of fibers

350 with different lignin and GO contents

Sample	$A_{1144}/A_{854}$	$X_c/\%$
0.2% GO/PVA	1.91	51.78
5% lignin/PVA	2.24	56.35
0.05% GO/5% lignin/PVA	2.44	59.70
0.1% GO/5%lignin/PVA	2.38	58.36
0.2% GO/5%lignin/PVA	2.29	56.40
30% lignin/PVA	1.98	52.60
0.05% GO/30% lignin/PVA	1.97	52.46
0.1% GO/30% lignin/PVA	1.99	52.75
0.2% GO/30% lignin/PVA	2.12	54.62

351

352 Moreover, FTIR spectra implied the molecular interaction among GO, lignin and

353 PVA. The peaks at 2942  $\text{cm}^{-1}$ , 1440  $\text{cm}^{-1}$ , 1086  $\text{cm}^{-1}$ , and 854  $\text{cm}^{-1}$  were associated with

354 the vibrations of C-H stretching,  $-\text{CH}_2$  bending, C-O-C asymmetric stretching, and C-

355 C stretching, respectively. It was obvious that in GO/PVA, lignin/PVA, and

356 GO/lignin/PVA composite fibers, no new absorbance peaks occurred with the

357 incorporation of lignin or GO, suggesting that no new functional groups were formed.

358 Intermolecular bonding among lignin, GO and PVA induces molecular adhesion.  
359 FTIR absorbance spectra from 3000 to 3700  $\text{cm}^{-1}$  (Fig. 5a) provides insight into  
360 hydrogen bonding. The -OH stretching vibration peak of 5% lignin/PVA fiber is at 3343  
361  $\text{cm}^{-1}$ . With the addition of 0.05% GO, the peak shifted to lower frequencies, indicating  
362 shorter distances between oxygen atoms ( $\text{O}\cdots\text{O}$ ) from different hydroxyl groups (Jiang  
363 et al. 2012). The shift was possibly the result of intermolecular hydrogen bonding  
364 formed among GO, lignin, and PVA (Kubo and Kadla 2003). With higher GO content,  
365 the OH group absorbance peak shifted slightly to the higher frequencies, which might  
366 be due to the dissociation of hydrogen bonding between PVA molecular chains. The -  
367 OH stretching vibration peak of 30% lignin/PVA fiber was at 3294  $\text{cm}^{-1}$ , indicating that  
368 the hydrogen bonding between PVA and lignin was strong. With the incorporation of  
369 GO, the peak shifted to higher frequencies at different levels, indicating that no more  
370 hydrogen bonding formed. Strong molecular interactions among composite fibers  
371 indicated good compatibility among GO, lignin, and PVA. As a result, gel-spun  
372 composite fibers showed no evidence of filler aggregation within the fiber  
373 microstructure (Fig. 4) and possessed good mechanical performance (Fig. 2).

374 In addition to the percent crystallinity investigation of fibers, XRD patterns (Fig.  
375 S4, SI) were also used to investigate the orientation of crystallites in polymers. As  
376 shown in Table 3, all fibers exhibited a high orientation of >90%. This verifies that gel-  
377 spinning technique is an effective method of fabricating fibers with high molecular  
378 orientation (Smith and Lemstra 1980). Taken both percent crystallinity and orientation  
379 into consideration, the former may be the main factor that differentiated the mechanical

380 performance of the fabricated fibers in this work since the molecular orientation of the  
381 polymer chains was similar.

382

383 **Table 3** Orientation ( $\gamma$ ) of gel-spun composite fibers

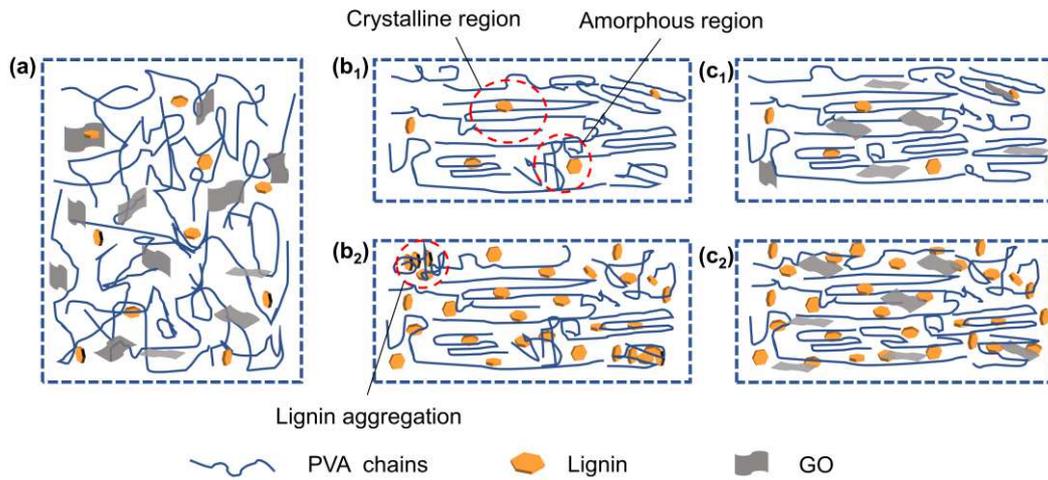
Sample	$\gamma$ /%
0.2% GO/0% lignin/PVA	92.6
0% GO/5% lignin/PVA	91.8
0.1% GO/5% lignin/PVA	90.0
0.2% GO/5% lignin/PVA	92.0

384 The reinforcement mechanism of GO in lignin/PVA fibers

385 The microstructure models of spinning dopes and fibers are shown in Fig. 6 to  
386 better illustrate the structure of GO reinforced lignin/PVA fibers. The distribution of  
387 GO, lignin, and PVA in the homogenous spinning dope is random (Fig. 6a).  
388 Entanglements between the PVA macromolecular chains exist and hydrogen bonding  
389 can be formed among GO, lignin, and PVA since they all have oxygen-containing  
390 functional groups. After the gel-spun solid fiber is obtained, take 5% lignin/PVA fiber  
391 as an example, both crystalline and amorphous regions exist in the fiber structure (Fig.  
392 6b<sub>1</sub>). PVA molecular chains are highly aligned along the fiber axis and partially  
393 crystallized, which are indicated by percent crystallinity and orientation results in  
394 Tables 2 and 3. Intramolecular bonding of PVA can be replaced by intermolecular  
395 interaction between lignin and PVA, which reduces the entanglement between  
396 macromolecules and is beneficial to the formation of crystalline regions. However,  
397 when the lignin increased to 30%, the amorphous structure of excessive filler results in  
398 the decrease of fiber crystallinity. In addition, the aggregation (Fig. 6b<sub>2</sub>) of lignin may

399 hinder the alignment of the PVA chains and cause a further decrease of the crystallinity.  
400 With the incorporation of a small amount of GO, hydrogen bonding is formed between  
401 GO/lignin, GO/PVA in addition to lignin/PVA. More crystalline areas are formed in GO  
402 reinforced lignin/PVA fibers in comparison with that of 5% and 30% lignin/PVA fibers  
403 (Fig. 6c<sub>1</sub> and 6c<sub>2</sub>). No lignin aggregation was observed in the SEM images of GO  
404 reinforced 30% lignin/PVA fiber (Fig. 4d), indicating that hydrogen bonding between  
405 GO/lignin, GO/PVA promotes the even distribution of lignin in the fiber structure and  
406 the formation of crystals. However, due to the plasticizing effect and amorphous  
407 structure of lignin, the crystallinity of GO reinforced 30% lignin/PVA fiber is lower  
408 than that of GO reinforced 5% lignin/PVA fiber (Table 2).

409 In summary, the enhancement of GO reinforced lignin/PVA fiber properties could  
410 be attributed to the following three reasons. First, GO has excellent inherent mechanical  
411 properties (tensile strength of 125 GPa and Young's modulus of 1000 GPa) (McAllister  
412 et al. 2007). When the composite fiber is under tension, the load is effectively  
413 transferred from the polymer matrix to GO, the mechanical properties of the fiber are  
414 therefore improved. Secondly, GO has good compatibility with PVA and lignin.  
415 Hydrogen bonding can be formed among them, which effectively avoids the  
416 aggregation of excessive lignin and the formation of fiber structure defects. Finally, the  
417 addition of GO favors the alignment of PVA molecular chains along the fiber axis,  
418 which is beneficial to the formation of crystalline regions (Table 2).



419

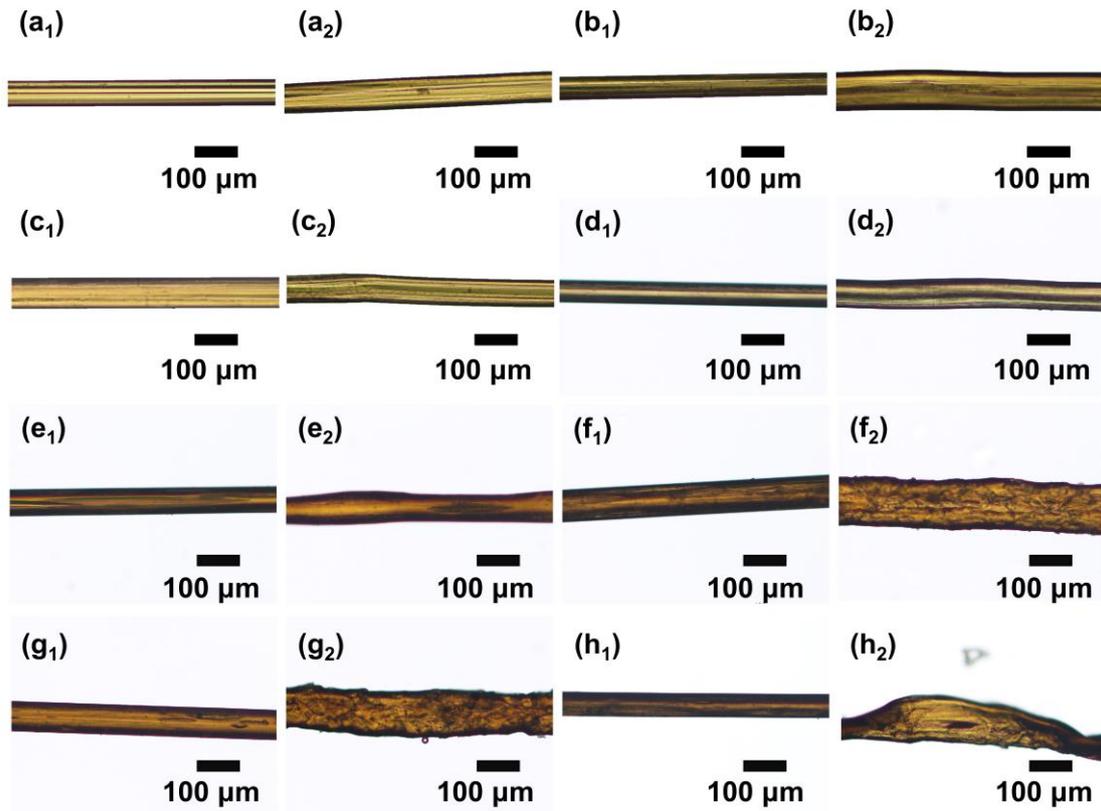
420 **Fig. 6.** The microstructure model of (a) GO/lignin/PVA spinning dope and (1) 5% (2)

421 30% lignin/PVA fibers (b) without and (c) with GO

422 Water resistance of composite fibers

423 PVA fibers are susceptible to water at elevated temperatures due to their polar  
 424 chemical structure (Lu et al. 2017a). In this study, it was evidenced that lignin and GO  
 425 could promote the water resistance behavior of composite PVA fibers since swelling  
 426 behavior was observed at elevated temperatures.

427



428

429 **Fig. 7** Optical micrographs of (a) 5% lignin/PVA fiber, (b) 0.05% GO/5% lignin/PVA  
 430 fiber, (c) 0.1% GO/5% lignin/PVA fiber, (d) 0.2% GO/5% lignin/PVA fiber, (e) 30%  
 431 lignin/PVA fiber, (f) 0.05% GO/30% lignin/PVA fiber, (g) 0.1% GO/30% lignin/PVA  
 432 fiber, (h) 0.2% GO/30% lignin/PVA fiber after water immersion at (1) 25 °C and (2)  
 433 85 °C

434

435 The dissolution of GO/PVA, lignin/PVA, and GO/lignin/PVA composite fibers  
 436 were tested in water at different temperatures. Water resistance behavior of the  
 437 composite fibers at room temperature and high temperature was observed by an optical  
 438 microscope (Fig. 7). After being immersed in water at 25°C, all fibers showed intact  
 439 structures. Although pure PVA fibers are soluble in water at 85 °C (Lu et al. 2017a), the  
 440 dissolution of PVA fibers in water at 85 °C is hindered with the incorporation of lignin

441 or GO due to the intermolecular hydrogen bonding among GO, lignin, and PVA. In  
442 detail, 0.2% GO/PVA fiber had an increase in diameter due to swelling in hot water at  
443 85 °C, and it still maintained its intact fiber structure (Fig. S5, SI). At 5% lignin, the  
444 GO/lignin/PVA fibers (Fig. 7a<sub>2</sub>-d<sub>2</sub>) exhibited increased diameter by swelling in water at  
445 85 °C. At 30% lignin (Fig. 7 e<sub>2</sub>-h<sub>2</sub>), the GO/lignin/PVA fibers immersed in water at 85 °C  
446 showed more significant swelling behavior and gel-like structure under the optical  
447 microscope. The possible explanation could be that PVA fibers with 30% lignin content  
448 had more amorphous region (lower crystallinity) than that of 5% lignin/PVA fibers, thus  
449 the amorphous region in PVA fibers absorbed more water. Moreover, with GO content  
450 increased from 0 to 0.2%, both 5% and 30% lignin/PVA fibers exhibited more obvious  
451 swollen structure, which was possibly attributed to that the hydrogen bonding formation  
452 of GO/lignin, GO/PVA and lignin/PVA promotes the structural network formation in  
453 the swollen fibers.

454 To further study the structural difference between fully drawn composite fibers,  
455 swelling ratio (*S*) revealing moisture uptake capability of fibers at room temperature  
456 was measured and presented in Table 4. GO content had no significant influence on the  
457 moisture uptake of fibers with 5% lignin and 30% lignin. At the same GO content (i.e.  
458 0.2%), when lignin content increased from 0% to 30%, the swelling ratio increased  
459 slightly from 5.13% to 6.67%. This was due to that PVA fibers with 30% lignin were  
460 less crystalline so that the amorphous regions absorbed more water than PVA fibers  
461 with 0% and 5% lignin. The most crystalline 0.05% GO/5% lignin /PVA fiber exhibited  
462 a swelling ratio of 4.09%, which was lower than other fibers. These results agree with

463 the structural analysis of percent crystallinity shown in Table 2.

464

465 **Table 4** Swelling ratios (*S*) of gel-spun fibers after 24 h of water immersion.

Fiber	<i>S</i> (%)	Fiber	<i>S</i> (%)
0.2% GO/0% lignin/PVA	5.13	/	/
0% GO/5% lignin/PVA	5.69	0% GO/30% lignin/PVA	6.45
0.05% GO/5% lignin/PVA	4.09	0.05% GO/30% lignin/PVA	7.06
0.1% GO/5% lignin/PVA	4.76	0.1% GO/30% lignin/PVA	6.84
0.2% GO/5% lignin/PVA	5.26	0.2% GO/30% lignin/PVA	6.67

## 466 **Conclusions**

467 We have successfully fabricated gel-spun bio-based GO/lignin/PVA fibers which  
468 demonstrate promising results of mechanical performance. With the incorporation of  
469 GO, the aggregation of fillers was avoided and enhancement in fiber mechanical  
470 properties was observed. With the GO content increasing from 0 to 0.2%, the tensile  
471 strength of 5% lignin/PVA fiber increased from 491 MPa to 631 MPa, and Young's  
472 modulus increased from 5.91 GPa to 6.61 GPa. GO reinforced 30% lignin/PVA fibers  
473 also exhibited the same increasing trend. The tensile strength increased from 455 MPa  
474 to 553 MPa, and Young's modulus increased from 5.39 GPa to 7 GPa. Thus, GO favors  
475 the formation of defect-free fiber structure and the enhancement of fiber performance.  
476 The structural enhancement at 0.2% GO/5% lignin/PVA fiber was evidenced by its high  
477 crystallinity, intermolecular bonding among lignin, GO, and PVA, and good alignment  
478 of molecular chains. The good mechanical performance of gel-spun GO/lignin/PVA  
479 fibers indicates their potential for use in industrial and high-performance applications.

480

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486

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492

493 **Compliance with ethical standards**

494

495 **Conflict of interest** The authors declare that they have no conflict of interest.

496

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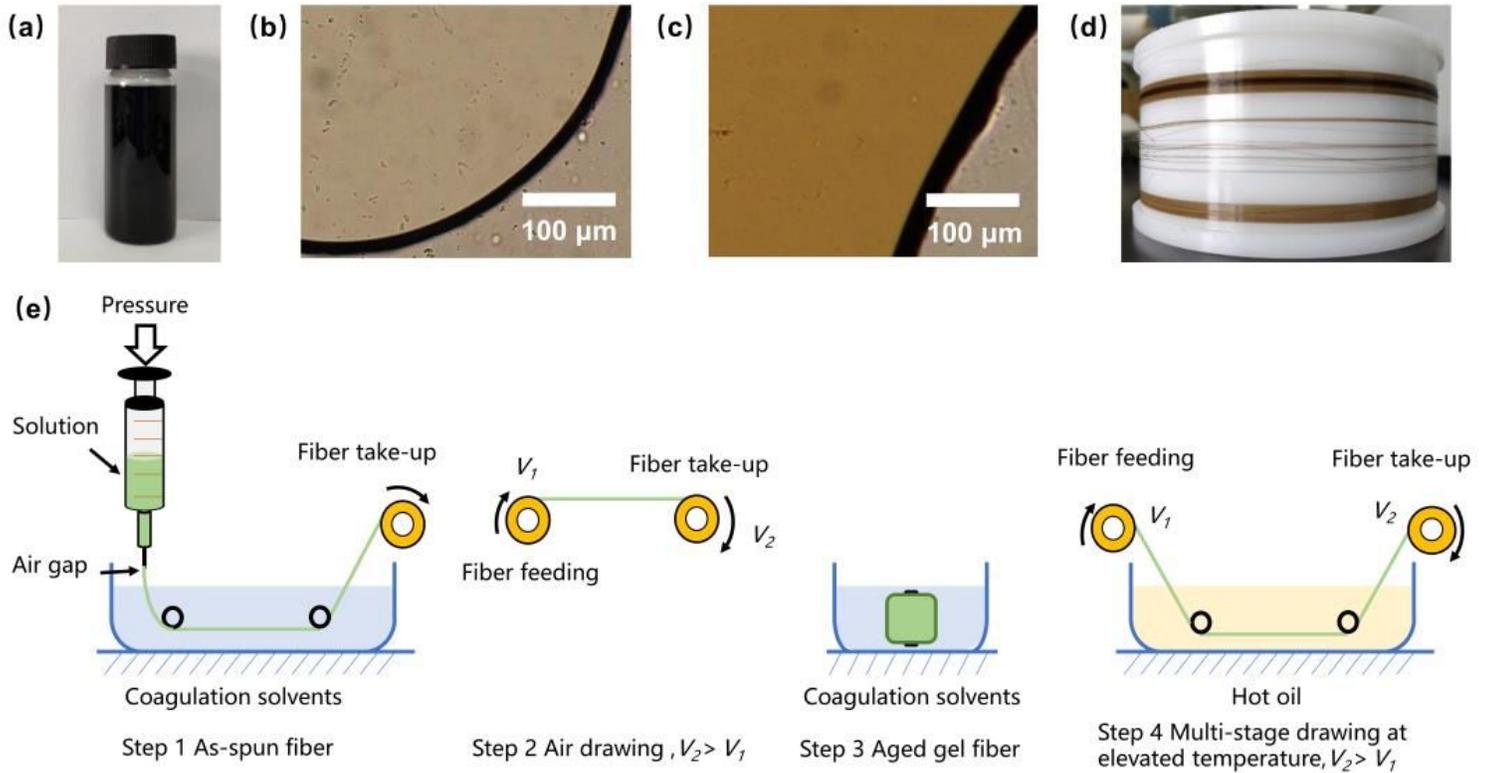
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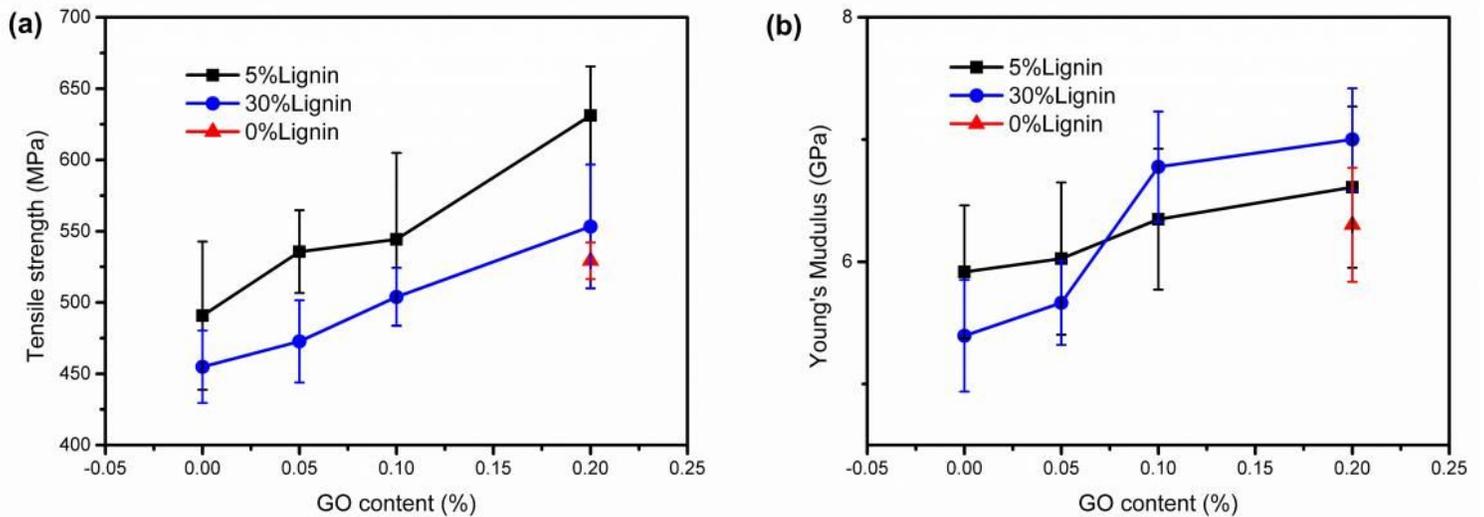
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# Figures



**Figure 1**

Homogeneity of GO/lignin/PVA dopes: (a) digital photograph of 0.2% GO/30% lignin/PVA solution, and optical micrographs of (b) 0.2% GO/5% lignin/PVA and (c) 0.2% GO/30% lignin/PVA spinning solutions; (d) digital photograph of 30% lignin/PVA drawn fibers prepared by gel spinning, (e) the fiber gel spinning process: as-spun gel fiber formation (Step 1), air drawing (Step 2), gel fiber aging (Step 3), and multiple-stage fiber drawing (Step 4)



**Figure 2**

Tensile strength and Young's modulus of gel-spun PVA fibers of 0/5/30% lignin and 0/0.05%/0.1%/0.2% GO

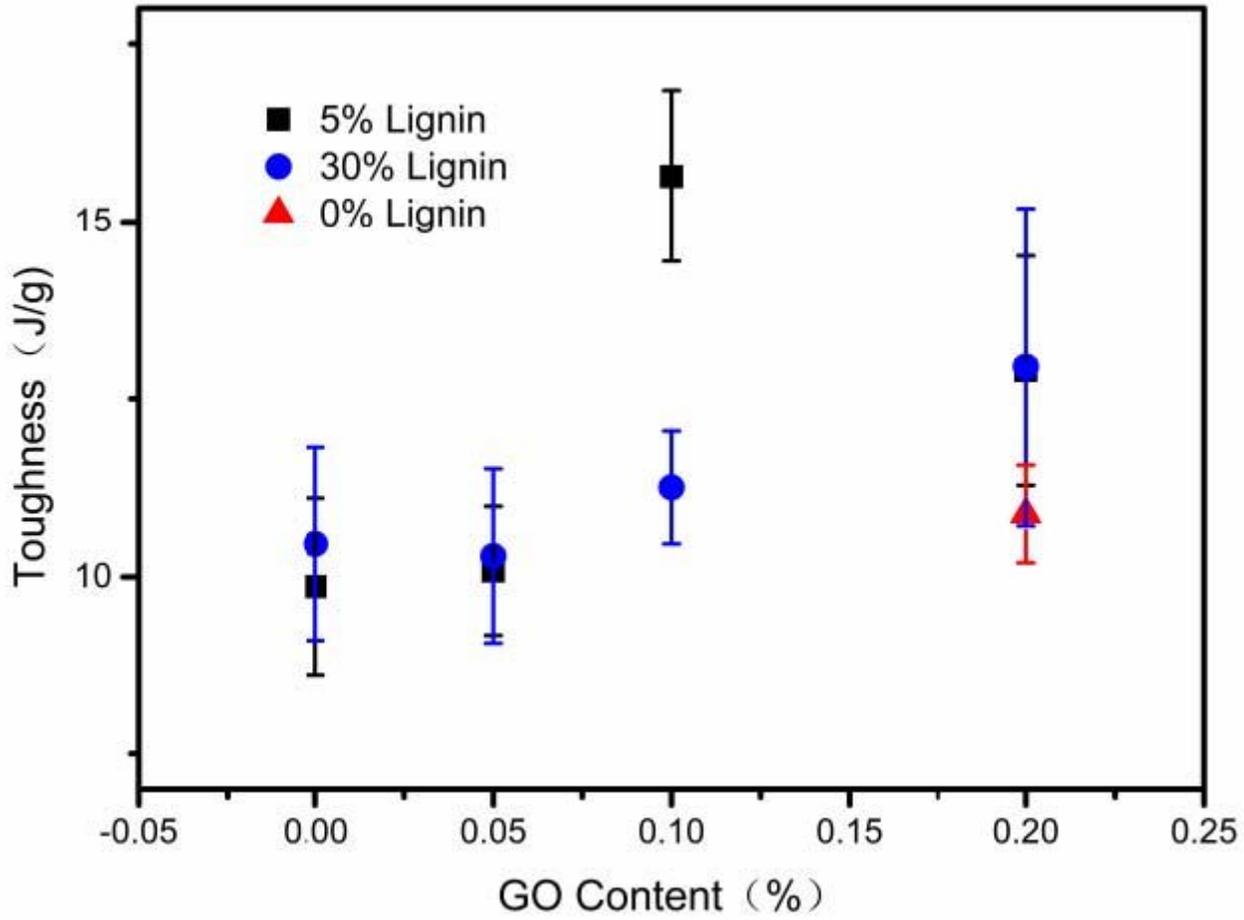


Figure 3

Toughness of gel-spun PVA fibers with 0/5/30% lignin and 0/0.05%/0.1%/0.2% GO

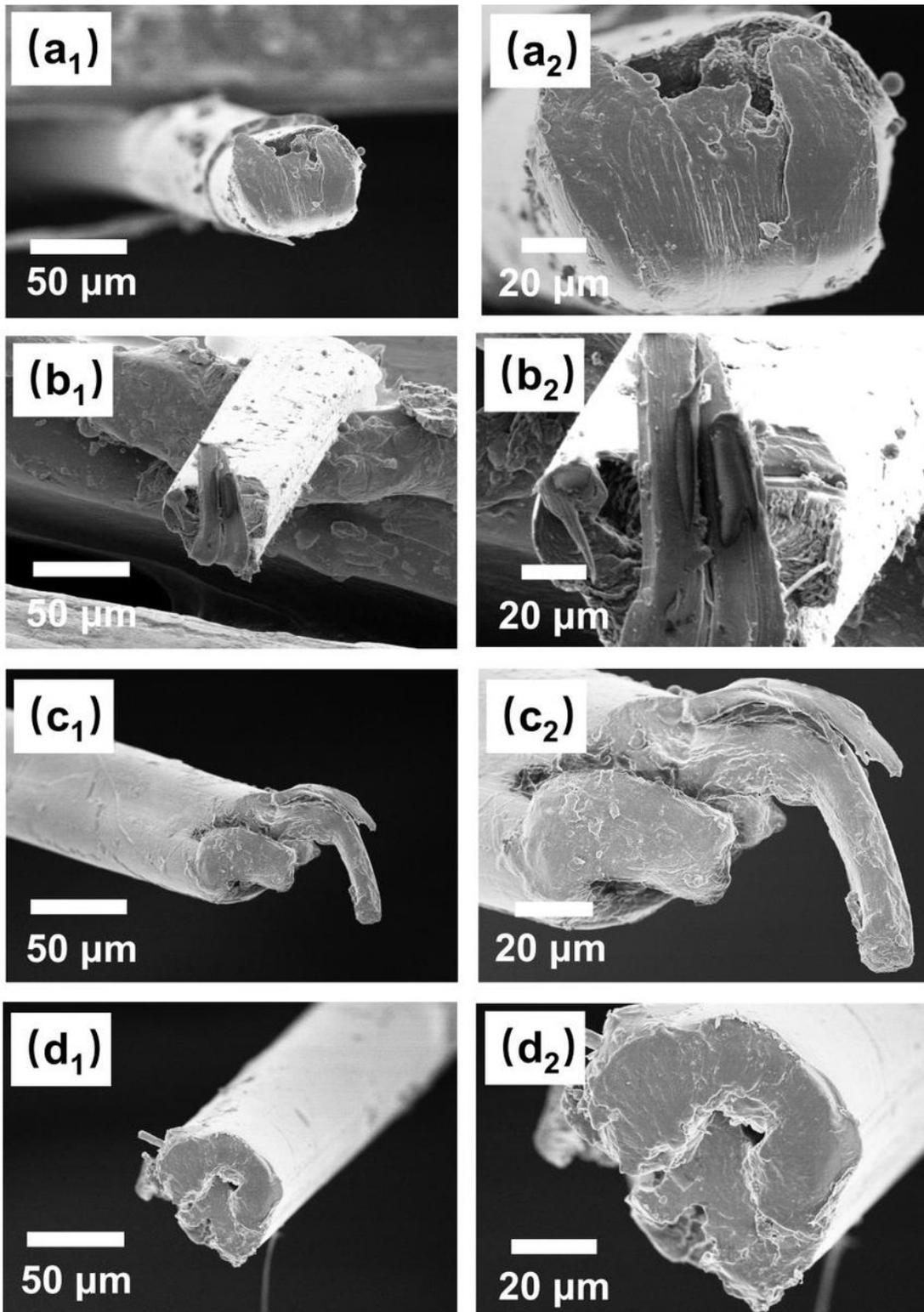
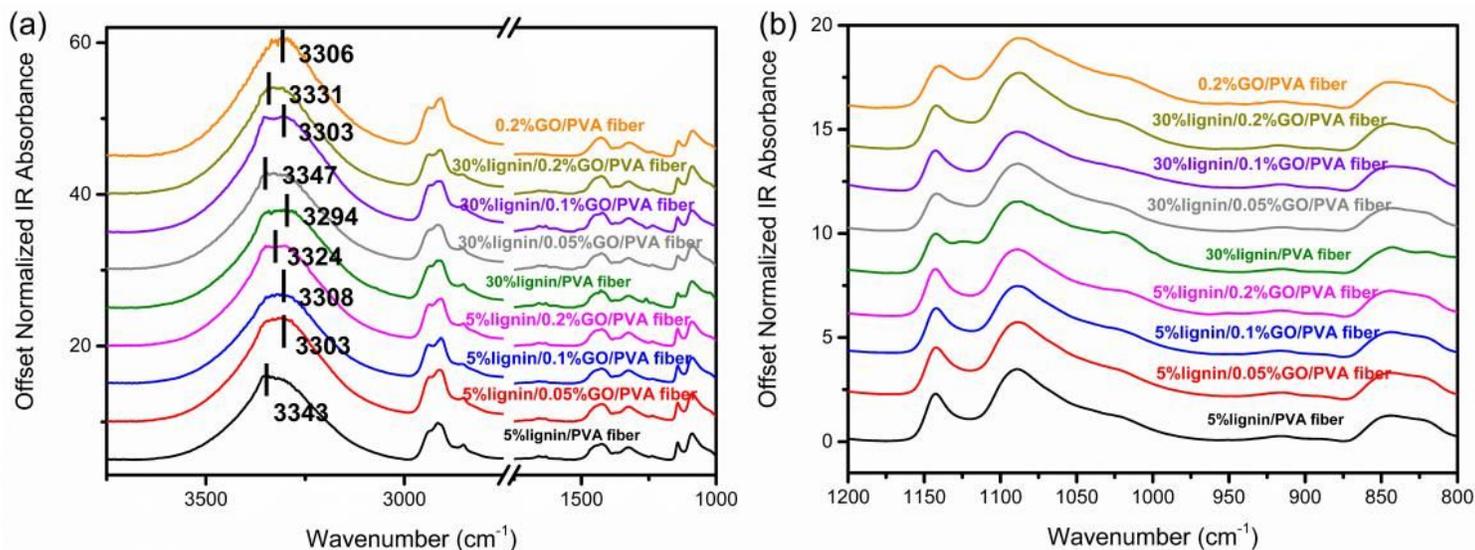


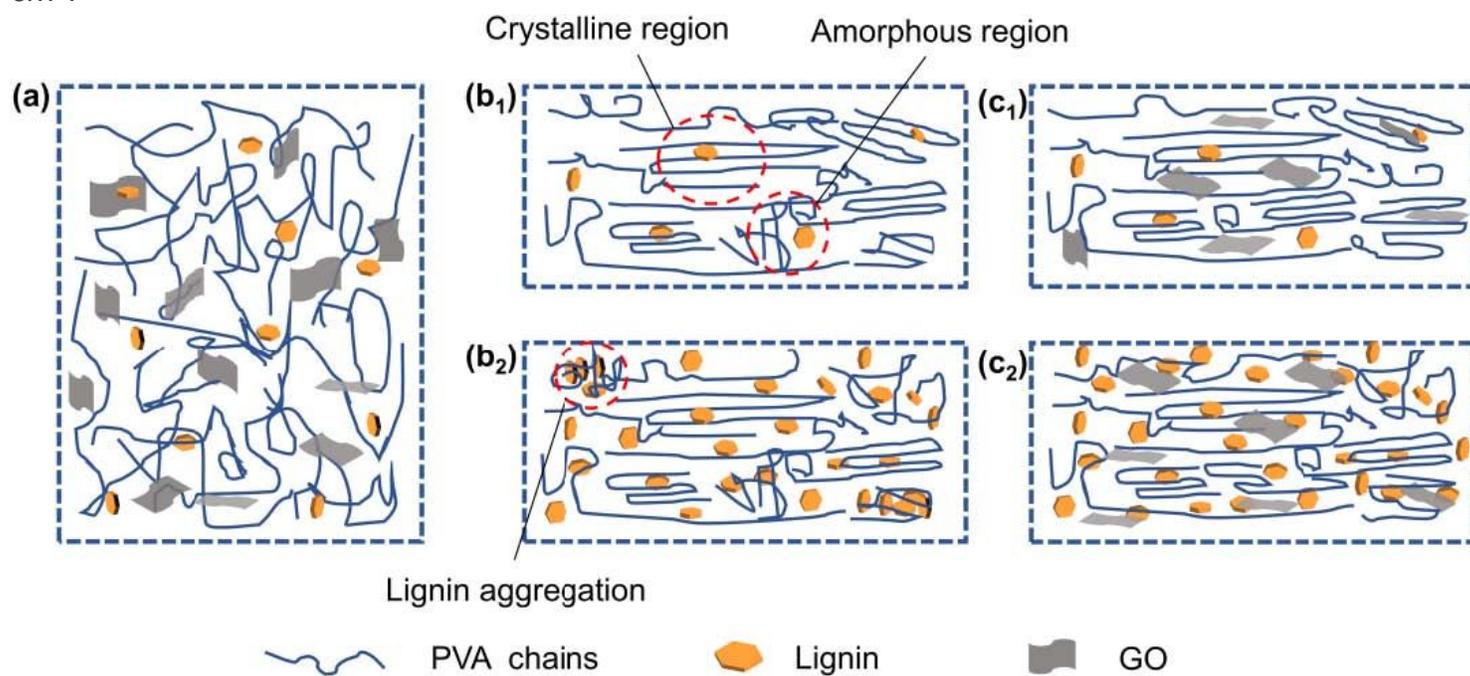
Figure 4

(1) Low- and (2) high-resolution SEM images of fracture tips of (a) 5% lignin /PVA fibers, (b) 0.2% GO/5% lignin/PVA fibers, (c) 30% lignin/PVA fibers, (d) 0.2% GO/30% lignin/PVA fibers



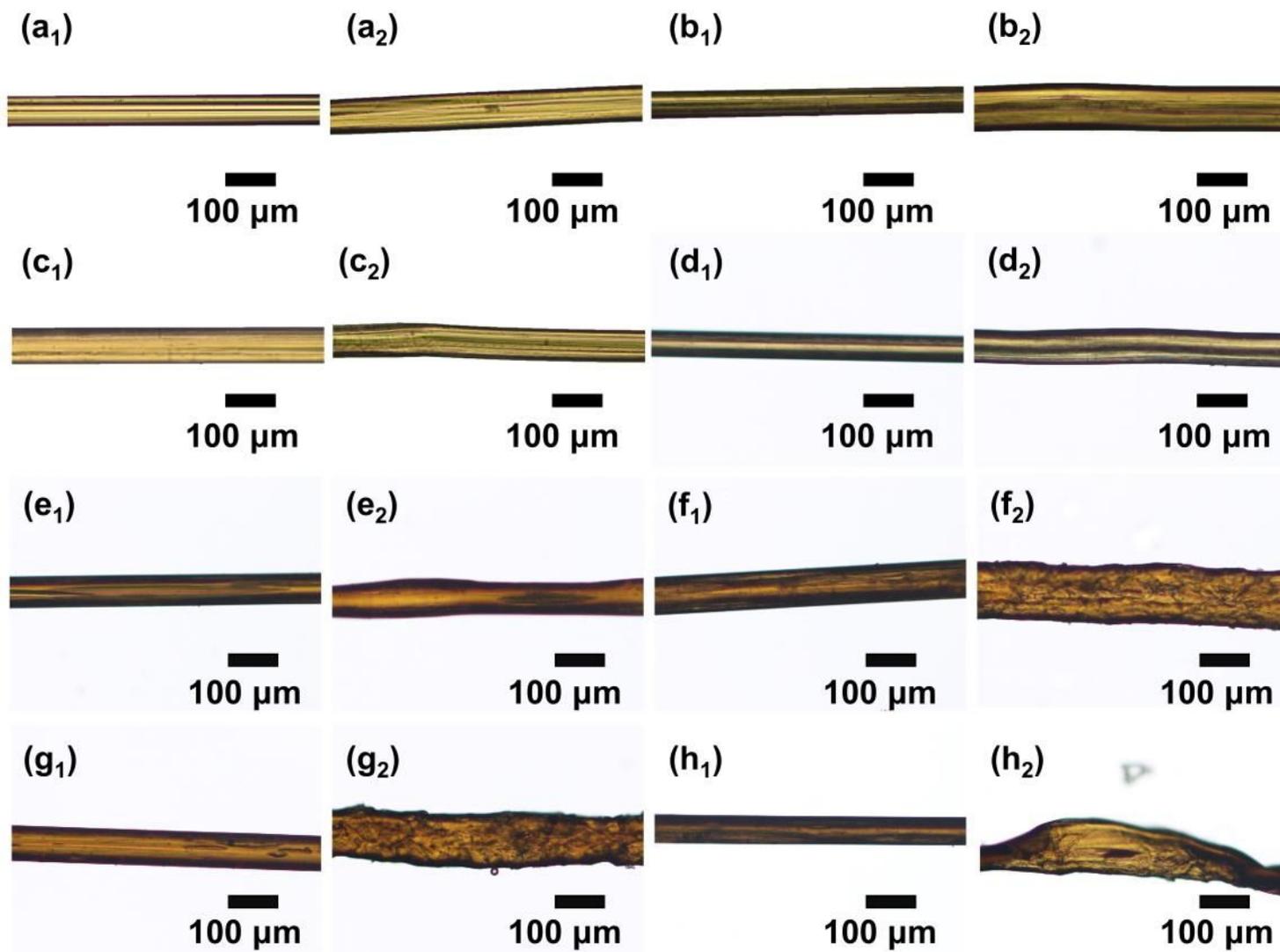
**Figure 5**

FTIR absorbance spectra of gel-spun composite fibers between (a) 4200–1000  $\text{cm}^{-1}$  and (b) 1250–800  $\text{cm}^{-1}$



**Figure 6**

The microstructure model of (a) GO/lignin/PVA spinning dope and (1) 5% (2) 30% lignin/PVA fibers (b) without and (c) with GO



**Figure 7**

Optical micrographs of (a) 5% lignin/PVA fiber, (b) 0.05% GO/5% lignin/PVA fiber, (c) 0.1% GO/5% lignin/PVA fiber, (d) 0.2% GO/5% lignin/PVA fiber, (e) 30% lignin/PVA fiber, (f) 0.05% GO/30% lignin/PVA fiber, (g) 0.1% GO/30% lignin/PVA fiber, (h) 0.2% GO/30% lignin/PVA fiber after water immersion at (1) 25 °C and (2) 85 °C

## Supplementary Files

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- [SupplementaryInformation.docx](#)
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