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Low-frequency Sea level changes in the Caspian Sea: long-term and seasonal trends

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17	Abstract:
18	The analysis of seasonal and long-term changes of the Caspian Sea level examined from
19	historical long time tide gauge data in order to consider the influence of climate factors
20	on sea-level changes in this lake system. The major peak at spectra corresponds to the
21	annual cycle and semiannual oscillations peak is located at next vigorous. Effects of
22	diverse global and regional factors on lake level changes are investigated by signal
23	processing methods. Results show that annual cycle of Siberian High and Volga River
24	discharge have the considerable effects on the Caspian Sea level changes in global and
25	regional scales, respectively. Analyzing of seasonal cycle is revealed that Volga river
26	discharge was significant on sea level fluctuations by one-month lag in northern and
27	central basins and two months delay for southern basin. The long-term cycle results show
28	ascending trend of evaporation in central basin that it is the main factor to decreasing in
29	the Caspian Sea level since 1990s.
30 31	

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method.

34 1 Introduction

The Caspian Sea (CS) is largest inland water body in the earth with volume of ~78000 35 km^3 and a surface area of ~371000 km^2 extending 1200 km from north to south, and 36 320 km from west to east. The Caspian Sea is surrounded by five countries: Azerbaijan, 37 Iran, Kazakhstan, Russia, and Turkmenistan. The CS respect to physico-geographical and 38 topography conditions divided in three sub-basins; southern, central and northern basins 39 (Fig. 1). The Absheron sill with a maximum depth of about 170 *m* respectively, separates 40 southern and central sub-basins, with a maximum water depths of about 1025 and 788 m. 41 The northern shelf basin is a very shallow with a maximum depth of about 20 m and 42 separated by Mangyshlak Ridge from central basin. Mean the CS level is currently 43 approximately ~27.5 m below world ocean level (Ataei H et al., 2019; Chen et al., 2017; 44 Ghaffari et al., 2010; Kosarev, 2005; Peeters et al., 2000; Zonn and Kostianoy, 2016). 45

Without a connection to the ocean, the CS level mainly controlled by rivers inflow, 46 precipitation, evaporation and discharge to Kara-Bogaz bay. About 130 rivers flow into 47 the CS and the main river inflow is the Volga, which discharges into the northern basin, 48 contributing about 80% of the total river runoff (Kosarev, 2005; Roshan et al., 2012). 49 Due to wide watershed area of the CS (~ 2500 km from north to south and about 1000 50 km from west to east), the CS level particularly is sensitive to climatic condition in the 51 catchment area and inter-decadal climate fluctuations. The ratio between the CS surface 52 area and the catchment basin (1:10) revealed that the processes proceeding in the entire 53 basin on its natural conditions has momentous effects (Kosarev, 2005; Zonn and 54 Kostianoy, 2016). 55

Over the past several years, the CS level has been characterized by significant fluctuations, including changes of several meters within the past few decades. Two sever abrupt changes of the level were observed in the previous century. A fast drop of the CS level occurred 1930s with 1.7 m has been ascribed to the Volga River discharge owing to reduction in precipitation over catchment area and dam constructions. Another fastunexpected change happened between 1978 and 1995 that sea level rose about 2.5 m. The CS level fell about 3 m from 1929 to 1978 (Arpe et al., 2000; Beni et al., 2013; Chen et al., 2017). Consequently, changes of the CS level are important for surrounding
countries, which have social, economic, maritime, and ecosystem effects.

The mean sea level changes are one of the most descriptive signs of the global climate change. The sea level changeability in each region is influenced by regional and global various factors. Regional and global climate change cause to an increase in air temperature, sea level changes, as well as to a desertification in some areas (Zonn and Kostianoy, 2016).

70 For analyzing global and regional signals in geophysical data sets, managing of time and 71 frequency domain are propitious. Spectral density analysis and wavelet transform are 72 common signal processing tools in geoscience for correlative analyzing of localized 73 variations within a time series (Azizpour and Ghaffari, 2021). These techniques can be utilized to identify dominant regional and global processes in the CS level fluctuations. 74 75 Spectral methods decompose and transport a time series from the time domain into the 76 frequency domain, where it is possible to determine both dominant modes of variability 77 and how those modes vary in time (Grinsted et al., 2004). It is possible to capture 78 localized energies using wavelet technics, which indicate the exact signal-occurrence 79 time by translating signals into the time domain.

80 In global and regional scales, most of the teleconnection indices (usually defined as 81 anomalies of a climatic variable) can serve as prognostic tools based on their ability to explain the climatic variability of a region, particularly when they influence on sea 82 hydrodynamics (Criado-Aldeanueva and Soto-Navarro, 2013b; Lopez-Bustins et al., 83 2008). In this paper, effects of local and global signals on the Caspian Sea level changes 84 are investigated using wavelet analysis methods. In addition, Caspian Sea level changes 85 in different cycles and effects of climate changes on the Caspian Sea are considered in 86 three distinct basins i.e. northern, central, and southern basins. 87

88

89 2 Material and methods

The CS level changes are investigated based on historical tide gauge data. In the CS as an
enclosed basin, sea level variations are controlled by river discharge, total precipitations,

and evaporation (Chen et al., 2017). The CS extends from 36° to 47° and 47° to 54° in the North and East directions, respectively, located within an endorheic basin between Europe and Asia, the CS is surrounded by five countries: Russia, Kazakhstan, Turkmenistan, Iran, and Azerbaijan (Figure 1) and it divides in three distinct basins (Ghaffari et al., 2010). Differences between the three sub-basins are dramatic. Rate of evaporation and precipitation in distinct sub-basins of the CS are completely different and so we study basins separately.



2.1

Historical monthly records of sea level fluctuations from tide gauge measurements, air 106 temperature, water temperature and *in-situ* river discharge at several different stations in 107 the three distinct basins (Fig. 1) of the CS are used. The period of monthly data for 108 sources and stations are different and extended from early 1900 to end 2017 (see Table 109 1). Evaporation and total precipitation monthly mean data are downloaded from ECMWF 110 ERA5 data set (https://cds.climate.copernicus.eu) from 1950 to end 2017. Recently 111 112 ECMWF extended meteorological data from 1950 to end 1978. For generating coherency, graphs between CS level and regional factors data are used from 1950 to end 113 114 2017.

115

Table 1. Stations of different basins in the Caspian Sea, the dates show available dataperiods.

Basin		Regional Parameters (Period)			
	-	River discharge	Water level	Air temperature	Water temperature
	North	Volga (1938-2017)	Fort Shevchenko (1921-2017)	Peshnoy (1940-2017)	Tyuleniy Island (1961-2017)
ation	Central	Terek (1965-2017)	Makhachkala (1900-2017)	Makhachkala (1900-2017)	Aktau (1977-2017)
ste	South	Kura (1946-2009)	Krasnovodsk (1915-2017)	Anzali (1952-2017)	Baku (1961-2012)

118

119 2.1.2 Global scale factors

For investigating about effects of global factors on the CS level fluctuations, different monthly mean data sources consists of Arctic oscillation (AO) index, North Atlantic Oscillation (NAO), Southern Oscillation Index (SOI), Siberian High (SH), and Western Mediterranean Oscillation (WMO) index are used.

The AO index is a climate pattern considered by winds circulating counterclockwise around the Arctic at around 55°N latitude. In positive phase of the AO, strong winds act around the North Pole that confine colder air across Polar Regions. This belt of winds becomes weaker and more distorted in the negative phase of the AO, which allows an easier southward penetration of colder, arctic air masses and increased storminess into the mid-latitudes (Thompson and Wallace, 1998). The NAO is a standardized index based on the surface sea-level pressure difference between the Azores High and the Subpolar Low. The positive phase of the NAO reflects below-normal heights and pressure across the high latitudes of the North Atlantic and above-normal heights and pressure over the central North Atlantic. The negative phase reflects an opposite pattern of height and pressure anomalies around the North Atlantic region (Hurrell et al., 2003; Rousi et al., 2020).

The SOI index is based on the observed sea level pressure differences between Tahiti and
Darwin, Australia. The SOI is one measure of the large-scale fluctuations in air pressure
occurring between the western and eastern tropical Pacific during El Niño and La
Niña episodes (https://www.ncdc.noaa.gov/teleconnections/enso/indicators/soi/).

The SH is a semi-permanent anticyclone centered over Eurasia and it is associated with some of the coldest, densest air masses in the Northern Hemisphere. The SH is of greater intensity than the pressure systems of the North Atlantic and North Pacific regions (Sahsamanoglou et al., 1991). Radiative cooling over snow-covered Eurasia maintains the large-scale descending motion of SH and makes it stronger in wintertime (Ding and Krishnamurti, 1987).

146 The WMO is defined within the synoptic framework of the western Mediterranean basin 147 and its vicinities. The suggested areas are the Po plain, in the north of the Italian 148 peninsula, an area with a relatively high barometric variability due to the different influence of the central European anticyclone and the Liguria low; and the Gulf of Cádiz, 149 in the southwest of the Iberian peninsula, often subject to the influence of the Azores 150 anticyclone and, episodically, to the cut off of circumpolar lows or to its own 151 cyclogenesis (Criado-Aldeanueva and Soto-Navarro, 2013a; Martin-Vide and Lopez-152 Bustins, 2006; Palutikof, 2003). 153

154

155 2.2 Data processing

156

157 Atmospheric parameters synthetic dataset passed through a twofold quality control 158 processes by 1) visual inspection and removing spikes and 2) flagging out and doublechecking data point that falls beyond 2-fold standard deviation. Small gaps in the records
(<3 months) are replaced by linear interpolation of the adjacent values. Longer gaps are
closed by an iterative process based on discrete cosine transform method (Garcia, 2010;
Wang et al., 2012).

To study the periodical component of the data sets, spectral density analysis is performed based on the fast Fourier transform. Stochastic spectral analyses commonly are used to estimate the distribution of a parameter variance, i.e. energy, over the frequency domain. Depending on the nature of the oscillations, the spectrum can have a continuous character of the energy distribution or the form of sharp delta-like peaks (Azizpour and Ghaffari, 2021).

The spectrum of energy distribution often contains essential information about the nature of physical processes and events in a time series. However, gives no information on the temporal variation of the events. To capture and investigate temporal variations of lowfrequency the CS level oscillations, we applied the wavelet signal processing method.

173 A wavelet $\psi(t)$ is a function, oscillates with zero-mean around *t-axis*, which contains 174 both frequency and time and loses strength as it moves away from the mean. A wavelet 175 can be described based on its localization in time (Δt) and frequency (Δf , or the 176 bandwidth). One common wavelet is the mother, defined by:

177
$$\psi_{a,b}(t) = |a|^{-\frac{1}{2}} \psi\left(\frac{t-b}{a}\right), a, b \in R, a \neq 0.$$
 (1)

The mother wavelet is expanded to form a basis for Hilbert space. In relation (1), ψ is mother wavelet, *b* is a position parameter, and *a* is a scaling parameter. $|a|^{-\frac{1}{2}}$ is a normalizing constant. Consequently, continuous wavelet transform is given by

181
$$w(a,b) = |a|^{-\frac{1}{2}} \int_{-\infty}^{\infty} f(t) \psi\left(\frac{t-b}{a}\right) dt, a, b \in R, a \neq 0,$$
 (2)

where, w(a, b) are called wavelet coefficients (Hariharan, 2019). It decomposes the time series into time-frequency space and reveals all dominant modes of the variability and the way these modes change in time.

In this study, we utilized the wavelet coherence method (Grinsted et al., 2004; Jevrejeva
et al., 2005; Torrence and Compo, 1998) to investigate possible direct links between the

187 CS level and global such as Arctic oscillation, North Atlantic Oscillation, Southern 188 Oscillation, Siberian High and Western Mediterranean Oscillation indexes and regional 189 signals such as Volga River discharge, precipitation, evaporation and air temperature. 190 Among the diverse wavelet functions, Morlet is one of the popular wavelets in 191 geoscience studies that define as:

192
$$\psi_0(\eta) = \pi^{-1/4} e^{i\omega_0 \eta} e^{-1/2\eta^2}$$
, (3)

- where ω_0 and η are the dimensionless frequency and time, respectively. We utilized Morlet continuous wavelet transform for signal decomposing of diverse time series in this study.
- For the analysis of the climate cycle time series, it is useful to employ mathematicalequations. A simple climate equation is

198
$$y(i) = y_{trend}(i) + x(i) \times y_{noise}(i)$$
(4)

- Equation (4) decomposes climate cycle data into a trend and noise components, which the noise component has mean zero (Mudelsee, 2019). Here we employed equation (4) to decompose trend slope from long-term time series by linear least square regression method.
- 203

204 **3 Results**

205 *3.1 Spectral analysis*

Historical tide gauge data of the CS level revealed that this basin's level changes were 206 207 about 3m from 1900 to 2017. From 1900s to 1970s sea level are decreased three times 208 with 6.53, 19.86 and 5.45 cm/yr, respectively. Rapid decrease is occurred in 1930s. 209 From late 1978 to late 1996, a sharp increase is happened with 16.08 cm/yr gradient and again after that time the CS level is fallen dramatically by 14.02 cm/yr slope (Fig. 2A). 210 The CS level is affected by regional and global factors in different time scales. Figure 2B 211 illustrates the spectra of sea level for the CS. The major peak at spectra corresponds to 212 the annual cycle and semiannual (6 months) oscillations is located at next vigorous. For 213 long-term oscillations, some peaks are visible at 4 to 7, 14 and around 30 years periods, 214 respectively. The amplitudes of higher seasonal harmonics (except 4 months period) are 215

small and it is difficult to detect them in the background noise at the spectra. Ranges of
tidal constituents in the CS are less than 10 cm (Medvedev, 2019) and their energy in
spectra are not detectible from background noise.



219

Figure 2. A) Monthly Caspian Sea level changes recorded by tide gauges and related trends (peak to peak)
from 1900 to 2017 behind yearly low-passed data (gray line), B) the Caspian Sea level spectra generated
by sea level data, and C) Morlet wavelet power spectrum of the sea level data.

223

Figure 2C shows wavelet power spectrum for monthly CS level changes from 1900 to 224 2017, where the strong non-stationary behavior of the spectra is obvious. The results 225 confirm the pick signals of power spectrum analysis by revealing events on the annual, 226 semi-annual scales. High energetic signals are appeared in long periods (more than 128 227 months) that Fig. 2B reveals, too. In some years, annual signal are absent in wavelet 228 spectrum e.g. 1969-1970, 1981-1985 and 1996-1998 (vertical line in Fig. 2C). For two 229 first periods, semi-annual signal of evaporation was dominant (Fig. 4A) and for the last 230 one period, the NAO annual period effects on CS level is increased (Fig. 3B). 231

232

233 *3.2 Wavelet analysis*

Wavelet coherency between Synchronous monthly the CS level and global teleconnection 234 indices from 1950 to end 2017 are shown in figure 3. All teleconnection indices, to some 235 waiver, have direct annual, semiannual, and seasonal effects on the CS level fluctuations. 236 The strong annual AO index effects on the CS level are happened from 1960 to 1965, 237 around 1980 and 2003 and from 1989 to 1995. The phase of the former was in-phase and 238 the latter was anti-phase. In the anti-phase lag, water level is increased and vice versa. In 239 240 semi-annual and seasonal frequency bands, every each decade, there were at least one peak and strongest is observed around year 1970. For more than one year period, an 241 242 influential is occurred from 1964 to 1971. In this period, the CS level is dropped (inphase). Figure 3B illustrates wavelet coherency between the CS level and NAO index. 243 244 Effects of NAO index on the CS level was considerable for annual and less than four years period from late 1980s to late 2015. Another high coherency is happened in 6-10 245 246 years period, from 1950 to 1975. Pattern of the SO index coherency is rather similar to NAO index (Fig. 3C), however effects of low-frequency band in the SO index was 247 stronger. Strong effects occurred in the annual frequency band, particularly, between the 248 CS level and the SH (Fig. 3D). In this frequency band, phase of coherency, primarily, 249 was negative (anti-phase). Effects of the SH in seasonal and semi-annual frequency band 250 251 was feeble and ignorable. In addition, for 3-7 years period, effects of the SH from late 252 1960s to early 2000 was remarkable.

253

254



Figure 3. Wavelet coherence and the phase difference between the Caspian Sea monthly mean level data
and A) Arctic oscillation, B) North Atlantic Oscillation, C) Southern Oscillation, D) Siberian High and E)
Western Mediterranean Oscillation indexes.

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Wavelet coherency and phase difference between CS level and the WMO index are 260 shown in Fig. 3E. In annual period band, some strong coherencies with different phase 261 are happened. The first, second and third coherencies are occurred in 1950s (positive 262 phase), from 1985 to 1992 and from 2012 to 2017, respectively. Strong coherency is 263 occurred in four years period band from 1967 to 1978. The effects of WMO index on CS 264 level changes in semi-annual and seasonal period were considerable from 1990s to 2010. 265 Effects of regional factors (evaporation, total precipitation Volga River discharge and air 266 temperature) on the CS level are investigated from 1950 to 2017 by monthly synchronous 267 time series based on wavelet coherence analysis (Fig. 4). Annual cycle band has the 268 dominant coherency between CS level and all regional factors that the CS level leading 269 evaporation by 90°. Rather decussate, five years period, semiannual cycle between CS 270 level and evaporation has also strong coherency (Fig. 4A). Another strong coherency is 271

occurred from 1990s to late 2000s for 2 to \sim 8 year's periods by in-phase mode. It seems that the contribution of evaporation on the CS level changes is increased since 1990s.

274





Figure 4. Wavelet coherence and the phase difference between the Caspian Sea monthly mean level andA) evaporation, B) precipitation, C) Volga river discharge, and D) air temperature.

278

Figure 4B shows wavelet coherence and the phase difference between the CS level and 279 total precipitation. Effects of total precipitation in annual cycle were similar to 280 281 evaporation and a strong coherency is happened in semiannual cycle from 1978 to 1983. Portion of seasonal component was not significant and only strong coherency is observed 282 around 1985. For five to about 10 year's period cycle (except 1970s), an intense 283 coherency is situated at all times. Strong coherency is occurred between the CS level and 284 Volga River discharge in several frequency bands. Generally seasonal period band was 285 not important, while semiannual period has rather strongly coherency, especially 1950s, 286 and around year's 1970, 1981, 1992, 2002 and 2011 (Fig. 4C). Pattern of wavelet 287 coherency between CS and air temperature (Fig. 4D) are very similar to evaporation for 288 annual and longer period bands. Strongest coherency is occurred in annual band in all 289 times. Another strong coherencies are took place in three and four year's periods from 290

1992 to 1998 and 2001 to 2010, respectively. Phase of annual and two recent coherencieswere in positive phase.

293

3.3 Mean seasonal cycle

The mean seasonal cycle is computed for each monthly evaporation, total precipitation, 295 sea level tide gauge record for three distinct basins and Volga River discharge by 296 297 averaging the values for each calendar month. Figure 5 shows the mean seasonal cycle 298 over the complete period 1950 to end 2017. Rate of evaporation in distinct basins of the 299 CS is individually different (Fig. 5A, D and G). The pattern of the evaporation rate for central and southern basins are rather similar, although rate of southern basin is larger 300 301 than central basin .Maximum quantity of evaporation is took place in the northern basin in the July, while for the central and southern basins are occurred in the September. 302 303 Similar to evaporation, for total precipitation graphs are illustrated in Fig. 5B, E, and H. The maximum amount of total precipitation are took place in the winter and fall seasons 304 305 and from north to south, amount of total precipitation has an increasing trend. The mean 306 seasonal pattern for total precipitation exhibits a clear latitudinal dependence with the 307 amplitude increasing southward.

308 The mean seasonal cycle for sea level fluctuations has a different manner comparing with evaporation and total precipitations i.e. maximum quantities of sea level are recorded 309 when amount of evaporation was maximum while total precipitation amount was 310 minimum (Fig. 5C, F and I). Rather by one-month lag, pattern of sea level and Volga 311 River are the same. Maximum amount of river discharge is recorded in May (Fig. 5J) and 312 for sea level in northern and central basins it is recorded in June while for southern basin 313 it is took place in July. Consequently, seasonal variability of sea level in the Caspian Sea 314 is strongly determined by Volga River discharge. 315



Figure 5. Mean seasonal cycle (1950-2017) for evaporation (mm/month) in A) north basin, D) Central Basin, G) southern basin, total precipitation (mm/month) in B) north basin, E) Central Basin, H) southern basin, sea level changes (m) in C) north basin, F) Central Basin, I) southern basin and J) Volga River discharge (km^3/s) .

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316

322 3.4 Climate cycle

For analyzing climate change effects on different parameters in three distinct basins of 323 the CS, long-term time series of evaporation, total precipitation, air temperature, water 324 temperature and also river discharges are presented in figure 6. The slope of evaporation 325 trend for central basin was larger than two other basins. In fact, since 1990s the 326 evaporation rate is increased impressive in central basin. Generally, slope of evaporation 327 trend's for three basins were ascending since 1950 to end 2017. Commonly the amount of 328 total precipitation trend slopes were ascending but the least total precipitation is took 329 place in central basin, which in this basin maximum slope is observed for evaporation. 330 Increasing in total precipitation was considerable in northern basin (m = 0.00013) that it 331 was at least 1.5 times greater than other basins. Around year 1980, quantities of 332 evaporation in whole the CS is decreased, while the amount of total precipitation is 333 increased and these were may be some of reasons to increase in CS level in that time. 334

Third row of figure 6 shows air temperature time series in Peshnoy (northern basin), Makhachkala (central basin) and Anzali port (southern basin) by different periods. The slopes of linear trend were ascending similar to evaporation and total precipitation. Effects of clime change on air temperature in the northern basin was remarkable.

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340

Figure 6. Monthly records for different parameters in the three distinct basins of the CS, first column for northern basin, second column for central basin and third column is related to southern basin. The dashed red line depicts the linear trend estimated for each record by ordinary least squares. The m amounts indicate to each trend slope.

345

The same pattern is observed for water temperature in entire CS. Water temperature in 346 the selected stations is increased in three basins. Similar to air temperature, water 347 temperature trend's slope in the northern basin (Tyuleniy station) was greater than other 348 basins (although measurements time were not synchronizes). Due to the northern basin is 349 shallow and average depth of it is about 5m, it strongly affected by environmental factors. 350 Except Kura River, trend of Volga and Terek rivers discharge were ascending. The Volga 351 River is the main discharge source in the CS and it has greatest slope in compare with 352 other rivers (m = 5.8 e - 5). Though over time, the maximum quantities of Volga River 353

discharge is decreased, but simultaneously minimum amounts of discharge is increasedand over all from 1938 to 2017 it has increasing slope.

356

357 **4 Conclusion**

The monthly mean long-term Caspian Sea level changes are discussed by signal analysis processing method. The cross wavelet analysis is revealed that maximum coherency between the Caspian Sea level and regional and global factors is occurred in annual period. Moreover maximum coherency between the Caspian Sea and regional and global factors were Volga River discharge and Siberian High, respectively.

The mean seasonal cycle of sea level variability in the Caspian Sea is characterised by a clear minimum in winter and a maximum, which occurs in late spring for the northern and central basins and in early summer for the southern basin. The Volga River discharge has key effect on Caspian Sea level in seasonal cycle by 1-2 month delay.

Effects of global warming (climate change) are observed in evaporation, total 367 precipitation, air temperature; water temperature and surrounding Caspian Rivers by 368 ascending trend in three distinct basins. Although slope of all mentioned parameters 369 (except Kura River discharge) in three basins of the Caspian Sea were ascending, but 370 371 trend of Caspian Sea level were descending. One of main reasons may be increasing in evaporation rate in the whole Caspian basin, especially central basin since mid-1990s, 372 and it essentially depends on wind speed. Serykh and Kostianoy (2020) is illustrated that 373 since 1995, the negative anomalies of zonal wind cause to the evaporation growth and the 374 sea level drop. Signature of the climate change has been manifested in the CS since 1995 375 attributed to the higher frequency of crossing Central Asia dry winds over the CS which 376 is accompanied by higher sea surface evaporation (Serykh and Kostianoy, 2020). 377

378

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456 Authors Contributions

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- 458 Peygham Ghaffari: data analysis, writing, editing text

460 Data Availability

- 461 Data are used in this paper are common data in atmosphere and ocean science and are available in internet and
- 462 *data are available upon request.*