

Significance and characteristics of Dexmedetomidine or Propofol-induced segmental electroencephalogram power spectra

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Abstract

Background: Although the electroencephalogram patterns induced by dexmedetomidine and propofol are relatively similar, these drugs may have different molecular targets and involvement of distinct neural circuit dynamics in their effects. Our aim was to identify special neurophysiology signatures induced by these drugs.

Methods: Forty seven patients receiving combined spinal epidural anesthesia (CSEA) were randomly divided into two groups: Dexmedetomidine group (D group, n = 24) and Propofol group (P group, n = 23). Dexmedetomidine at 1 µg/kg was administered intravenously in 10 min, then adjusted to a rate of 0.5 µg/kg/h and withheld if Ramsey sedation scale (RSS) reached 4 - 5. Propofol was given via a computer-controlled infusion to target a concentration of 0.5 µg/mL at the effect site and then to gradually increase the concentration 0.5 µg/mL every 5 min until satisfactory sedation was achieved. The vital signs, segmental Narcotrend EEG power spectra and arterial blood analysis results were recorded before sedation, and 0, 5, 10 and 15 min after drug administration ended.

Results: After satisfactory sedation was achieved (RSS 4 - 5), propofol sedation was characterized with alpha wave, and dexmedetomidine sedation was characterized with theta wave.; After drug administration ended, relative power in alpha and beta waves of P group was higher than D group ($P < 0.05$); However, when patients were aroused or patted on their shoulders, relative power in alpha, beta and theta was increased ($P < 0.05$) and relative power in delta wave was decreased ($P < 0.05$) in D group; The percentages of alpha and theta power were decreased gradually at drug withdrawal, 5min, 10min, 15min after drug administration ended; Narcotrend data have a good correlation with propofol sedation depth compared with the traditional sedation score.

Conclusion: Distinct EEG patterns are induced by propofol and dexmedetomidine. Since patients sedated with dexmedetomidine may be easier to be waked up than those with propofol, this phenomenon may be associated with the lower alpha power percentage, a more easily blocked alpha wave and a higher excitability of delta wave under dexmedetomidine sedation.

Key Points

Question: Are there any special neurophysiological signatures induced by these drugs that can be observed from unprocessed 1-channel EEG from the front of the head that can be widely used in clinical setting?

Findings: Patients sedated with dexmedetomidine may be easier to be waked up than those with propofol, this phenomenon may be associated with the lower alpha power percentage, a more easily blocked alpha wave and a higher excitability of delta wave under dexmedetomidine sedation.

Meaning: Distinct EEG patterns are induced by propofol and dexmedetomidine, Narcotrend data have a good correlation with propofol sedation depth compared with the traditional sedation score.

(registration number: ChiCTR1900021562, Principal investigator: Shuling Peng, MaoZhou, Date of registration: 2019.05).

Patient selection and data collection

Forty-seven patients aged 18 – 40 years who were going to receive elective lower abdominal surgery or lower extremity surgeries under combined spinal-epidural anesthesia [CSEA] were enrolled in the study. All subjects had a detailed preoperative evaluation. Patients who met all the inclusion criteria (American Society of Anesthesiologists (ASA) Grade I and II status, 18 to 40 years of age and gave informed written consent) were enrolled in the study. Exclusion criteria included obesity with a body mass index ≥ 30 kg/m², pregnancy and drug or alcohol abuse. Subjects were not on any chronic medications including anticonvulsants, antidepressants or other psychoactive medications. Subjects were randomly divided into two groups: the dexmedetomidine group (D group, n = 24) and the propofol group (P group, n = 23). The study was approved by the Human Research Committee at the Sun Yat-Sen Memorial Hospital (Guangzhou, China). All subjects provided written informed consent. The study was conducted according to good clinical practice guidelines and was registered in Chinese Clinical Trial Registry (ChiCTR) (www.chictr.org.cn, registration number: ChiCTR1900021562).

Combined spinal-epidural anesthesia and mode of administration

All pre-anesthetic evaluations of patients were performed by an anesthesiologist one day before the surgery. In the operating theater, a peripheral intravenous access was secured using an 18G cannula and ASA standard monitors were attached. Blood pressure (BP), electrocardiograph (ECG), pulse rate, and SpO₂ were recorded. As a standard premedication, 10 mg metoclopramide was given intravenously. All patients were preloaded with Ringer lactate solution 20 ml/kg before the block. Intravenous fluids were given intraoperatively based on body weight and requirements for surgery loss.

Patients were placed in a sitting position. An epidural catheter of 18-gauge was placed in the epidural space at L2-L3 interspace under aseptic precaution and with midline approach. A test dose of 3 ml of 0.5% lidocaine with adrenaline 1:200,000 was administered to exclude intrathecal or intravascular placement of the catheter.

Using a 24–26-gauge Quincke spinal needle, subarachnoid block was performed at L3-L4 interspace and 3 ml of 0.5% isobaric levobupivacaine was administered for both groups. Intraoperative block characteristics, such as time taken for motor block, time taken to reach T10 dermatome, and maximum level of block, were recorded.

Electroencephalogram preprocessing and epoch selection

After the combined spinal-epidural anesthesia (CSEA) was established, dexmedetomidine was administered with a 1 µg/kg loading bolus over 10 min, followed by a 0.5 µg/kg/h infusion and withheld after Ramsey sedation scale (RSS) reached 4 to 5 in the D group. In the P group, we used a computer-

controlled infusion to target a concentration of 0.5 µg/ml at the effect site, and then to increase the target concentration by 0.5 µg/ml every 5 min until satisfactory sedation was achieved. We recorded the vital signs, segmental Narcotrend EEG power spectra and arterial blood analysis of both groups before sedation (baseline), immediately after drug administration ended, 5, 10 and 15 min. All RSS were rated by two doctors^{9,10}.

Statistical analysis

All statistical analyses were carried out using the IBM commercial software package, SPSS version 19 (Armonk, NY, USA). Data were expressed as mean ± standard deviation. For comparison within the group, parametrical data were statistically analyzed using the paired *t*-test, constituent ratio data were analyzed using paired rank-sum test. For comparison between the group, parametrical data were analyzed using two independent sample *t*-test, constituent ratio data were analyzed using two independent samples rank-sum test. Narcotrend EEG power spectra at different time were compared by analysis of variance. Wilcoxon signed rank sum test and Spearman's rank correlation analysis were used to analyze the association of factors with the EEG. Differences in all analyses were considered statistically significant if a *p* value was less than 0.05.

Results

General data

There was no differences (*P* > 0.05) in the changes of vital signs between the two groups when satisfactory sedation was established (Table 1).

Drug administration was smooth ((RSS 4 – 5 was reached) in all 47 patients. No adverse events or significant changes in the vital parameters were observed (as shown in tables 1 - 2 and figure 1). Mean values for mean arterial pressure (MAP), respiratory rate (RR), respiratory rate (RR), and ETCO₂ (end-tidal CO₂) were -6.71±13.95 mmHg, -2.79±3.93, -15.43±12.84 and 2.95±3.33, respectively, for D group and -14.36±5.80, -1.57±2.77, -12.60±14.10 and 4.68±2.33, respectively, for the P group. Noninvasive systolic blood pressure (BPs) and diastolic blood pressure (BPd), respiratory rate (RR), respiratory rate (RR), ETCO₂ (end-tidal CO₂) and SpO₂ were shown in Figure 1.

Comparison of EEG between immediate after drug administration ended and the basic value.

As shown in Fig.2, after satisfactory sedation was achieved (RSS 4-5), comparing with the baseline, the percentages of beta was decreased (*P* < 0.05) in both group D and P. However, in group D the percentages of theta (*P* < 0.01) and delta were increased (*P* < 0.01) in comparing with the baseline, but there was no change in group P. But the percentages of alpha was increased (*P* < 0.001) in group P. Meanwhile, propofol sedation was characterized with alpha wave, and dexmedetomidine sedation was characterized with theta wave after satisfactory sedation was achieved (RSS 4-5).

Comparison of EEG between after drug administration ended and waked patients up.

As shown in Fig. 3, after satisfactory sedation was achieved (RSS 4 - 5), the patients were roused, compared with the spectra after drug administration ended, the percentages of alpha power, beta power and theta power were increased and the percentages of delta power were decreased in group D ($p < 0.001$). The percentages of alpha power were decreased, the percentages of beta power were increased in group P ($p \leq 0.05$). However, there was no significant difference in the segmental power spectrum of theta and delta in group P ($p > 0.05$).

Comparison of EEG at different time points in two groups at drug withdrawal 5min 10min 15min after drug administration ended.

To search for special neurophysiology signatures induced by dexmedetomidine and propofol, we compared the change in power percentages of alpha, beta, theta and delta at drug withhold, 5 min, 10 min and 15 min after drug administration ended in group D and group P. As shown in Fig. 4, the percentages of alpha and theta power were decreased gradually after drug administration ended ($P < 0.05$) in group P, while those for group D were not changed ($P > 0.05$).

Relationship between electroencephalogram and the mechanism of action of dexmedetomidine and propofol.

Neurophysiology and electroencephalogram signatures of propofol- and dexmedetomidine-induced sedation are different. As shown in Fig. 4, dexmedetomidine binds the α_2 adrenergic receptors to hyperpolarize locus coeruleus neurons, which decreases norepinephrine release. Propofol binds postsynaptically to GABA_A receptors and GABAergic inhibitory interneurons are widely distributed throughout the cortex, thalamus, brainstem and spinal cord. Propofol induces sedation through its actions at multiple sites. The inhibitory effects of propofol disturb the highly interconnection of the thalamus and cortex and lead to the arousal of α and β . By reaching the GABAergic inhibitory synapses emanating from the pre-optic area onto the major arousal centers in the brainstem, propofol inhibits the excitatory arousal input from the brainstem, leading to the appearance of δ wave. By hyperpolarizing the locus ceruleus neurons, dexmedetomidine results in loss of inhibitory inputs to the pre-optic area of the hypothalamus and the pre-optic area sends GABAergic and gabenergic inhibitory projections to the major arousal centers in the midbrain and hypothalamus, leading to the appearance of δ wave. Propofol-induced α and β waves are likely resulted from decreased excitatory inputs to the cortex, but dexmedetomidine-induced δ wave is likely resulted from the disturbance in the inhibitory circuits emanating from the pre-optic area to the arousal centers. This difference in cortex and the thalamo-cortex activity suggests why patients can be aroused from dexmedetomidine-induced sedation. The wide distribution of GABAergic inhibitory pathway and the cortical activity are likely to be more profoundly inhibited by propofol compared to dexmedetomidine.

Discussion

Anesthesiologists often induce an anesthesia state best suited for the patients to have surgical or diagnostic procedure by administering selected drugs^{11,12}. Electroencephalogram is regarded as the only brain monitor for estimating the depth and state of anesthesia^{2,13}. Monitoring the change of EEG sectional power spectrum and the depth of anesthesia during anesthesia is important for managing anesthesia.

A growing number of evidence has proven that anesthetics with different molecular targets in the central nervous system produce diverse electroencephalograms¹¹. Therefore, we aimed to identify the characterizations of unprocessed electroencephalograms under the sedation of propofol or dexmedetomidine, the most widely used intravenous anesthetics.

We have found the following. 1) Alpha and delta oscillations were the markers of propofol-induced sedation. Dexmedetomidine-induced sedation was characterized with delta oscillations. Although alpha oscillation could also be collected during dexmedetomidine-induced sedation, compared with the coherent and continuous alpha oscillation associated with propofol, the alpha oscillations associated with dexmedetomidine were brief, episodic and susceptible. 2) When patients gradually regained consciousness by verbal stimulation, δ oscillation dissipated in the D group. Simultaneously, there were increasing numbers of α , β and θ oscillation. But the number of α and β oscillations in the P group was increased until 15 min after the discontinuation of infusion. 3) By identifying the special signatures of propofol- and dexmedetomidine-induced sedation in Narcotrend, Narcotrend could assist anesthesiologists to accurately analyze and track anesthetic effects on the brain.

Propofol, the most widely used γ -aminobutyric acid receptor-specific agonist, is used as an induction agent and to maintain sedation and general anesthesia by its actions at multiple sites in neural circuits including the cortex, thalamus, brainstem and spinal cord. In our study, we observed that α and δ oscillations were the main characterization of propofol-induced sedation. This result is in accordance with a previous study¹⁴. Delta oscillation is closely associated with the brainstem and regular and coherent delta oscillations are responses to brainstem stimulation^{15,16}. After infusion administration, propofol rapidly reaches GABAergic inhibitory synapses on the major arousal centers in the brainstem, preventing the excitatory arousal signal coming from the brainstem, and impeding excitatory input from the brainstem to the thalamus and the cortex. On the other hand, the clinical characteristics accompanied by the appearance of delta oscillation coincides with effects of anesthetic on the brainstem, such as loss of responsiveness, loss of oculocephalic reflex, apnea and atonia^{17,18}. We also found that there were highly coherent alpha oscillations across the front of the head during propofol-induced sedation. Alpha oscillation is closely related to the functions of the thalamic reticular nucleus that is crucial for sleep regulation¹⁹. Through enhancing the GABAergic inhibition at the thalamic reticulate nucleus, propofol enhances inhibition input from the thalamus to the cortex. Ultimately, propofol leads to hyperpolarization of the cortical pyramidal neurons^{20,21}. Thus, it is reasonable to speculate that the coherent alpha prevented the normal communication between the thalamus and the cortex.

The EEG characterization of dexmedetomidine-induced sedation is different from that of propofol-induced sedation. As a pre-synaptic α_2 adrenergic receptor agonist, dexmedetomidine induces its sedative and anesthetic effects by hyperpolarizing the locus coeruleus neurons, leading to loss of inhibitory inputs to the pro-optic area of the hypothalamus and finally the GABAergic inhibitory projections sending from the pro-optic area of the hypothalamus to the major arousal centers in the midbrain, pons and hypothalamus, resulting in sedation. Our results showed that delta oscillation was the EEG marker during dexmedetomidine-induced sedation. When its infusion was discontinued, patients were easily aroused by verbal or tactile stimulation and the delta oscillation was dissipated and gradually replaced by α , β and θ oscillations. The delta oscillation was similar to non-rapid eye movement (NREM) sleep stage or slow-wave sleep. The main reason may be that the molecular targets of dexmedetomidine involve the generation of NREM sleep. Compared with propofol, patients with dexmedetomidine infusion were easier to recover from sedation by verbal or tactile stimulation.

Although delta oscillation could be found in both propofol- and dexmedetomidine-induced sedations, the delta and alpha oscillations in propofol-induced sedation were highly coherent and continuous but the delta oscillations caused by dexmedetomidine were brief, episodic and susceptible. This phenomenon may explain why sedation with propofol produces a deeper state of sedation from which patients cannot be aroused easily. Furthermore, the different sedative states between propofol and dexmedetomidine may be due to their different molecular targets. Propofol exerts its effects by binding post-synaptically to GABA_A receptors and GABAergic inhibitory receptors are widely distributed throughout the cortex, thalamus, brainstem and spinal cord. Dexmedetomidine indirectly sends GABAergic inhibitory signals to the major arousal centers in the midbrain, pons and hypothalamus. Oluwaseun Akeju et al. have shown that during dexmedetomidine-induced unconsciousness, cortico-cortical functional connectivity remains intact but thalamo-cortical functional connectivity is disrupted²². Hence, the brief, episodic and susceptible delta oscillation may reflect a limited and lower level of disturbances in neuronal activity under dexmedetomidine sedation compared to propofol sedation. In our study, 15 min after the drug infusion was discontinued, the alpha and delta oscillations in the propofol group gradually disappeared. However, there were no changes in the electroencephalogram in the dexmedetomidine group. The phenomenon may also suggest that propofol-induced sedations are likely to have more profound inhibitory effects compared to dexmedetomidine-induced sedation. The result is also a reminder to anesthetists that anoxia and apnea may still occur due to the inhibitory effect even 15 min after propofol has been discontinued.

Our results are consistent with that of Oluwaseun Akeju et al²³ who used whole brain EEG. Whole brain EEG is widely used in scientific research. However, because of its complex operations, a large amount of information and complex analysis, its use in clinical settings is limited. The Narcotrend used in our study is the most important tool for evaluating the depth of anesthesia, for which the most common method is EEG index, which is simple and easy to use. We found that the EEG waveforms induced by dexmedetomidine and propofol were significantly different on the Narcotrend at the time of sedation and awakening. We also found that Narcotrend had a better correlation with the depth of sedation caused by

propofol than the traditional sedation score. At the same depth of RSS sedation caused by propofol, dexmedetomidine-induced Narcotrend indices were lower, and Narcotrend indices were not stable with the change in arousal. It is not suitable for monitoring dexmedetomidine-induced sedation in patients. Our study provides important clinical data for the correct use of Narcotrend in clinical settings.

Abbreviations

Abbreviations	Full name
ASA	American Society of Anesthesia
EEG	Electroencephalogram
CSEA	Combined spinal-epidural anesthesia,
DEX	Dexmedetomidine
PRO	Propofol
NT	Narcotrend
NTI	Narcotrend Index
NTS	Narcotrend Stage
BIS	BISpectral index
RSS	Ramsay sedation scale
TCI	Target-controlled infusion
FDA	Food and Drug Administration
BMI	Body Mass Index
HR	Heart Rate
RR	Respiration Rate
PCO ₂	Partial pressure of Carbon Dioxide
GABA	Gamma-aminobutyric acid
α ₂ AR	A 2 adrenergic receptors
REM	Rapid Eye Movement Sleep
NREM	Non-rapid eye movement sleep
α	Alpha
β	Beta
θ	Theta
δ	Delta

Declarations

Acknowledgements

Ethics declarations

Ethics approval and consent to participate

The experimental protocol for patient samples studies was reviewed and approved by the Ethics Review Committee at Sun Yat-Sen University.

The study was conducted under good clinical practice guidelines and was registered in Chinese Clinical Trial Registry (ChiCTR) (www.chictr.org.cn, registration number: ChiCTR1900021562)

Availability of data and materials

All data generated or analyzed during this study are included within the article.

Conflict of interest

There are no potential conflicts of interest to be disclosed.

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Author name: Mao Zhou, this author performed research and collected the data.

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Author name: Jun Peng, this author assisted in revising the manuscript.

Author name: Zhiyi Zuo, this author designed the research and revised the manuscript.

Author name: Shuling Peng, this author designed the research and revised the manuscript.

Mao Zhou and Jing Wen contributed equally to this paper.

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Tables

Table 1. Comparison of gender, age and BMI between two groups.

	Variate	D group	P group	Statistic	<i>P</i>
Gender	female	17[47.2]	19[52.8]	$\chi^2=0.908$	0.341
	male	7[63.6]	4[36.4]		
Age	$\bar{x}\pm S$	41.04±10.123	41.43±12.460	$t=0.119$	0.906
BIM	$\bar{x}\pm S$	22.42±1.779	21.77±1.823	$t=1.173$	0.248

Date are represented as mean ± SD of at least three independent experiments.

Table2 Comparison of vital signs between two groups when sedation satisfaction was achieved

Variables	Group D	Group P	<i>P</i>
Δ MAP	-6.71±13.95	-14.36±5.80	0.062
Δ RR	-2.79±3.93	-1.57±2.77	0.353
Δ HR	-15.43±12.84	-12.60±14.10	0.578
Δ PaCO ₂	2.95±3.33	4.68±2.33	0.116

Vital signs were recorded at least three independent experiments. Date are represented as mean ± SD of. * $p < 0.05$, ** $p < 0.01$

Figures

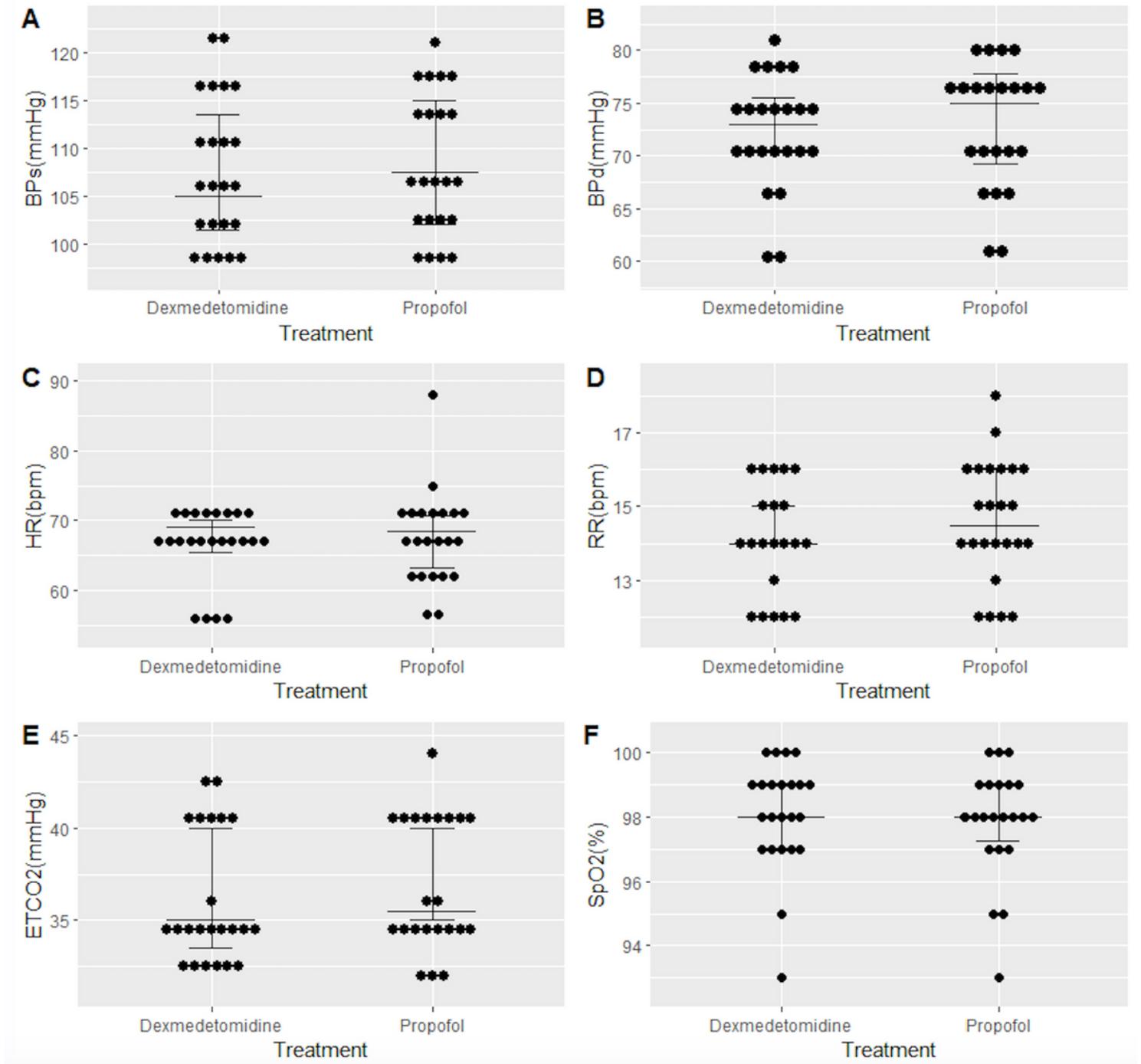


Figure 1

Noninvasive systolic blood pressure (BPs) and diastolic blood pressure (BPd), respiratory rate (RR), heart rate (HR), ETCO₂ (end-tidal CO₂) and SpO₂. SpO₂ and ETCO₂ were obtained when 1.5 L/min oxygen via nasal cannula was given. Data are shown with mean, 95% confidence interval and individual plots. Vital signs were recorded at least three independent experiments.

Status ■ Normal □ discontinue

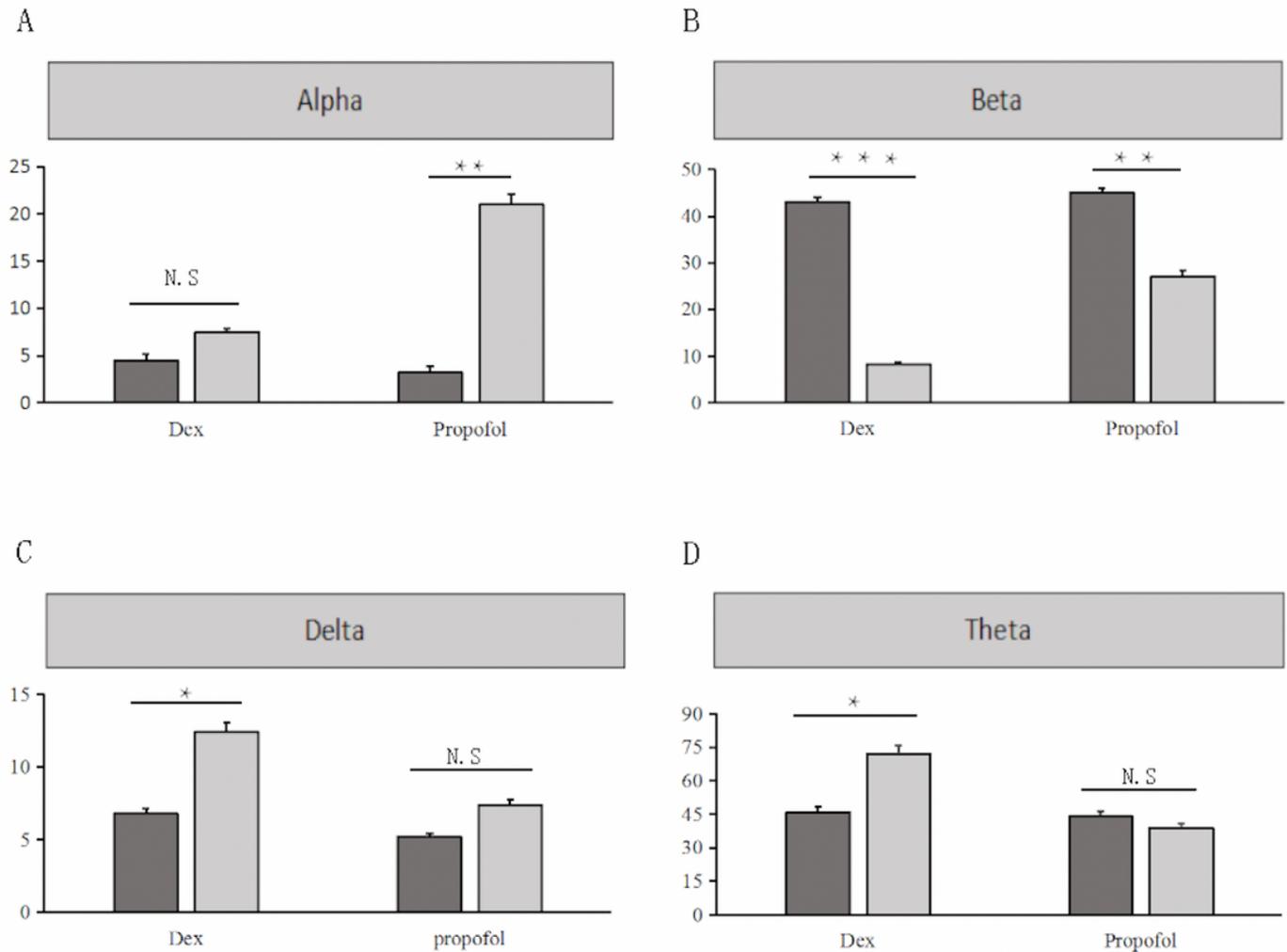


Figure 2

The percentages of alpha power, beta power, theta power and delta power at satisfactory sedation and immediately after drug administration ended in two groups. Compared with the baseline, the percentages of alpha was increased, the percentages of beta was decreased in both groups. In group D the percentages of theta and delta were increased in comparing with the baseline, but there was no change in group P. * $p < 0.05$, ** $p < 0.01$ *** $p < 0.001$, NS $p \geq 0.05$.

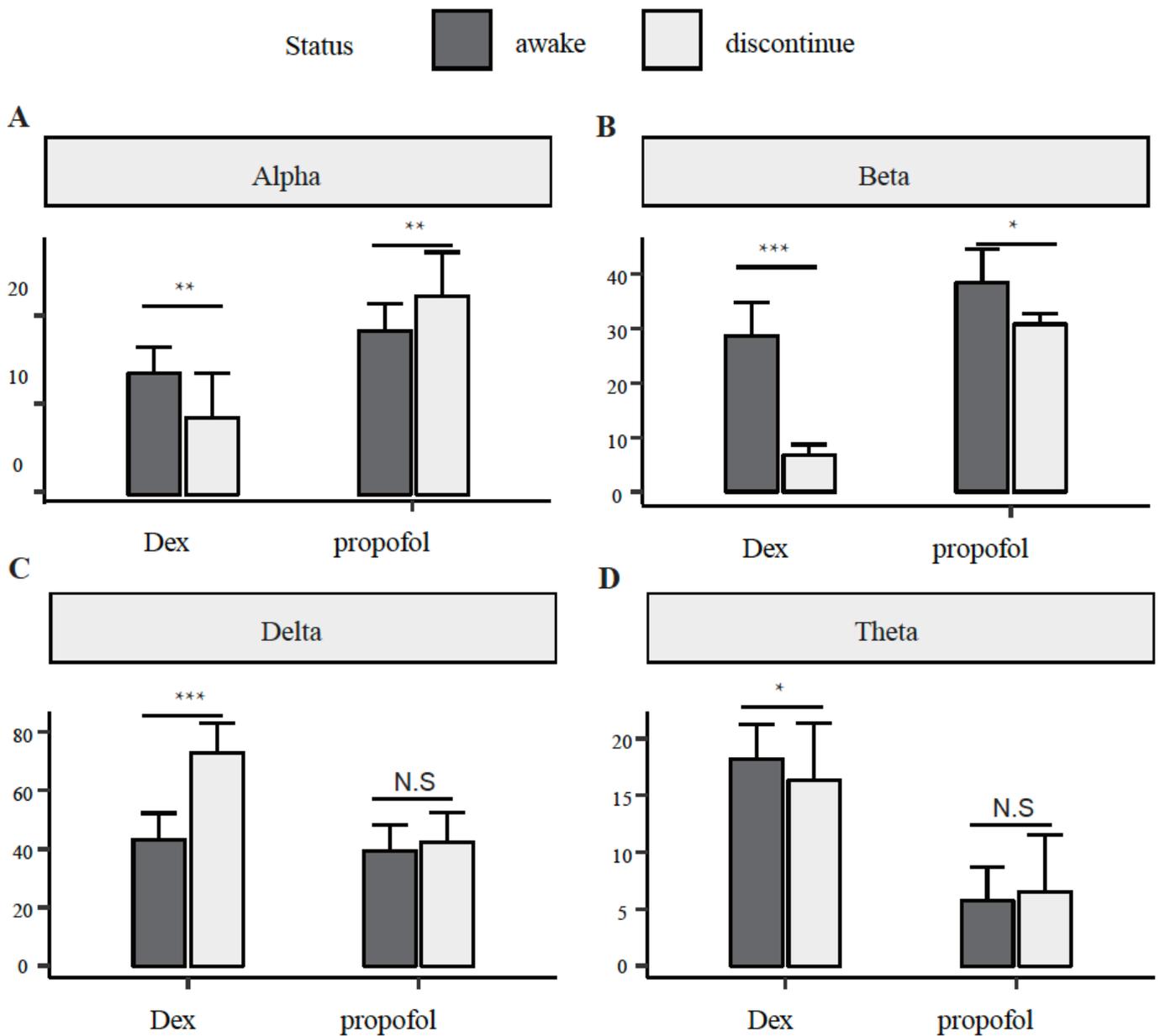


Figure 3

The percentages of alpha power, beta power, theta power and delta power at after drug administration ended and waked patients up. Compared with the spectra after drug administration ended when patients were roused, the percentages of alpha power, beta power and theta power were increased and the percentages of delta power were decreased in group D. There was no significant difference in the segmental power spectrum of group P. * $p < 0.05$, ** $p < 0.01$ *** $p < 0.001$

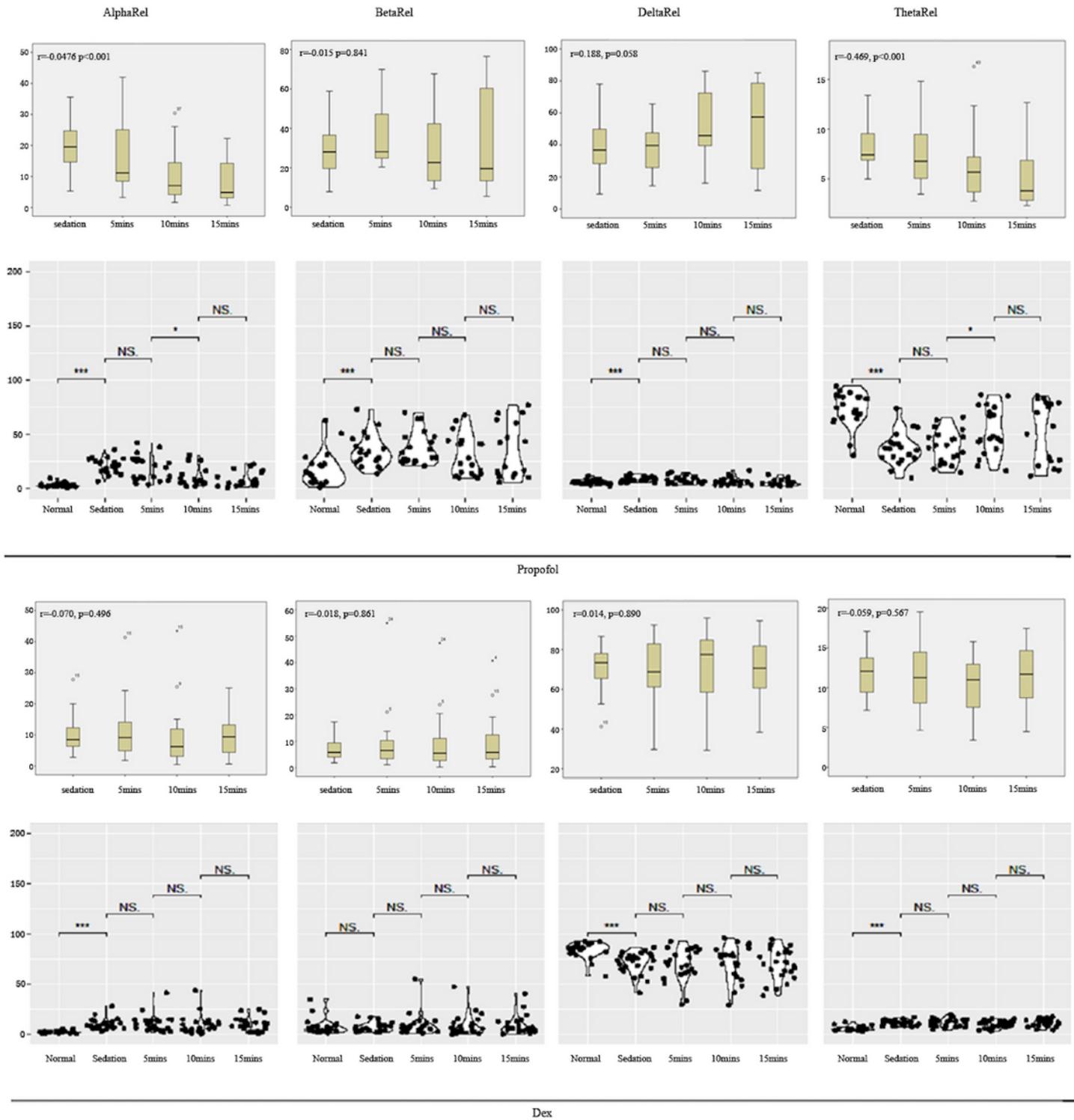


Figure 4

The power percentages of alpha, beta, theta, and delta at the four time points: at drug withhold, 5 min, 10 min, and 15 min after drug withhold, compared with the segmented EEG power spectrum at baseline. Immediately after drug withhold, the percentage of alpha power was increased and that of beta power was decreased in both groups ($P < 0.05$); the percentage of theta and delta power was increased in the D group while those for the P group were not changed ($P > 0.05$). In the P group, the percentages of alpha

and theta power were decreased gradually after drug withhold ($P < 0.05$). Immediately after drug withhold, the percentages of alpha and beta power in the D group were lower than those in the P group and theta and delta power percentages in the D group were all higher than those in P group. Vital signs were recorded at least three independent experiments. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, NS $p \geq 0.05$.

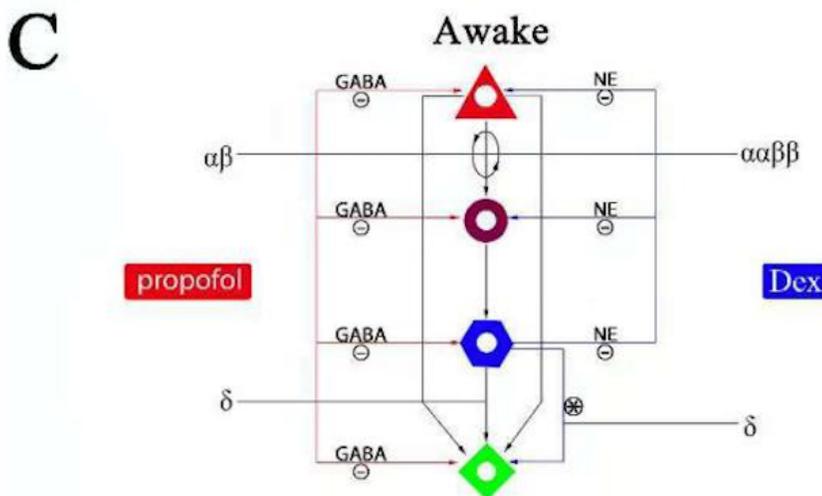
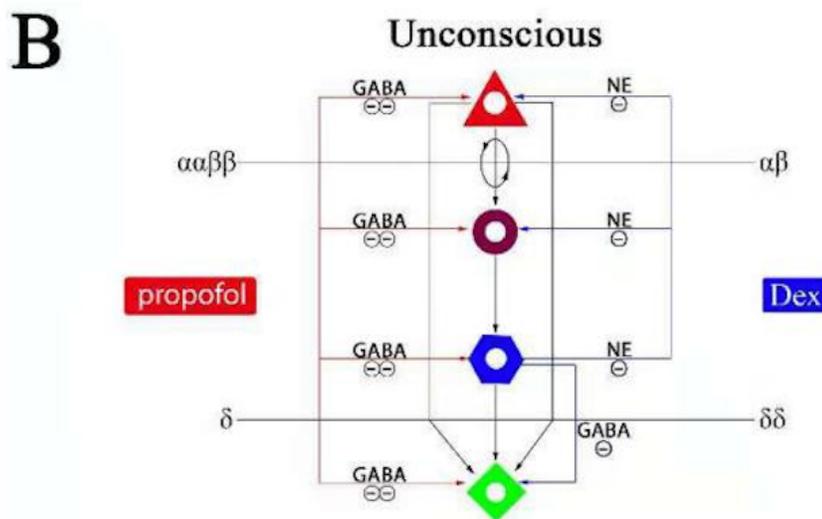
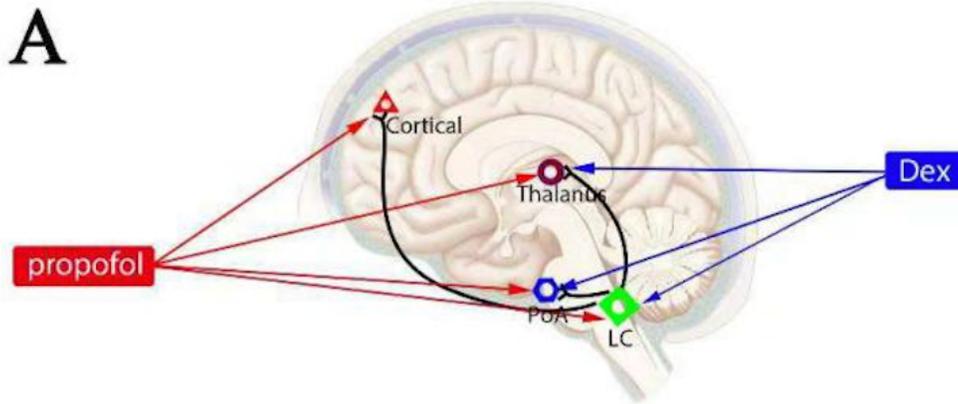


Figure 5

Neurophysiology and electroencephalogram signatures of propofol- and dexmedetomidine-induced sedation. A. Propofol binds post-synaptically to GABA_A receptors and GABAergic inhibitory interneurons are widely distributed throughout the cortex, thalamus, brainstem and spinal cord. Propofol induces sedation through its actions at multiple sites. Dexmedetomidine binds the α_2 adrenergic receptors to hyperpolarize locus coeruleus neurons, which decreases norepinephrine release. B. The inhibitory effects of propofol disturb the highly interconnection of the thalamus and cortex and lead to the arousal of α and β . By reaching the GABAergic inhibitory synapses emanating from the pre-optic area onto the major arousal centers in the brainstem, propofol inhibits the excitatory arousal input from the brainstem, leading to the appearance of δ wave. By hyperpolarizing the locus ceruleus neurons, dexmedetomidine results in loss of inhibitory inputs to the pre-optic area of the hypothalamus and the pre-optic area sends GABAergic and gabenergic inhibitory projections to the major arousal centers in the midbrain and hypothalamus, leading to the appearance of δ wave. C. Propofol-induced α and β waves are likely resulted from decreased excitatory inputs to the cortex, but dexmedetomidine-induced δ wave is likely resulted from the disturbance in the inhibitory circuits emanating from the pre-optic area to the arousal centers. This difference in cortex and the thalamo-cortex activity suggests why patients can be aroused from dexmedetomidine-induced sedation. The wide distribution of GABAergic inhibitory pathway and the cortical activity are likely to be more profoundly inhibited by propofol compared to dexmedetomidine.