

Intensity of end-of-life health care and 30-day mortality after systemic anti-cancer treatment in patients with advanced lung cancer

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Abstract

Background: We aimed to study the mortality and intensity of health care in patients with advanced lung cancer assigned to systemic anti-cancer treatment (SACT) compared with patients who were not eligible for SACT (no-SACT).

Methods: A retrospective cohort of lung cancer patients, who were treated at the North Estonia Medical Centre from 2015–2017, was linked to population-based health care data from the Estonian Health Insurance Fund. We calculated 14- and 30-day mortality after SACT and used a composite measure of intensity of care, comprised from the following: emergency department visit, admission to hospital, admission to intensive care unit, receipt of radiotherapy or systemic treatment.

Results: The median overall survival (OS) of patients who received at least one cycle of SACT ($n = 489$) was 9.1 months and in patients with no-SACT ($n = 289$) 1.3 months (hazard ratio [HR]=4.23, 95% CI=3.60-5.00). In the SACT group 6.7% and 14.7% of patients died within 14 days and 30 days after the last cycle, respectively. During the final 30 days of life, intensive EOL care was received by 69.9% of the SACT patients and 43.7% of the no-SACT patients ($p < 0.001$). Among SACT patients, sepsis, bacterial infection and/or neutropenia had a significant adverse effect on survival (HR=1.7, 95% CI=1.3-2.21, $p < 0.001$), whereas the use of the granulocyte colony stimulating growth factor reduced the risk of death (HR= 0.71, 95% CI=0.55-0.93, $p = 0.013$).

Conclusions: Significant proportions of patients with advanced lung cancer continue to receive intensive care near death. Our results highlight that neutropenia and infectious complications are still the primary cause of early SACT-related death.

Background

The intensity of treatment towards the end of life (EOL) has been suggested as one of the factors most affecting quality of life (QoL) in patients with advanced cancer[1–2]. In 2003, Earle and colleagues first identified markers of potentially overly aggressive EOL cancer care [3–4]. The internationally recognised intensity of care and quality of EOL care indicators in oncology are: intensive use of systemic anti-cancer treatment (SACT), low rates of hospice use, ED visits, hospitalisations and admissions to ICU; all measures occurring within 14 or 30 days of death. These service-based indicators could easily be applied to existing administrative data to assess the utilisation of health care services at the EOL retrospectively.

ASCO's expert panel for the "Choosing Wisely" campaign identified the use of chemotherapy with unknown benefits and in patients with poor performance status as the most widespread unnecessary practice in oncology [5]. Studies have reported that 5–22% of patients with advanced stage cancer received SACT within two weeks of death and up to 55% in the last month of life [6–7]. Early post-treatment mortality may be associated with SACT-related toxicity. Previous studies assessing chemotherapy use at the EOL, however, have not always been able to discriminate between treatment-related and cancer-related mortality.

Despite recent developments in immunotherapy, conventional chemotherapy remains the cornerstone of the treatment of advanced stage lung cancer. Neutropenia and infectious complications are common and potentially life-threatening adverse effects of chemotherapy. As shown in the recent study by Whitney et al, the most common diagnoses resulting in unplanned hospitalisations through ED visits included infection or fever, and among patients with different solid tumours the rates were highest for lung cancer, with 5.2% of all cancer-related hospitalisations being related to neutropenia or fever in the US [8–9].

In Estonia, approximately 800 people annually are newly diagnosed with lung cancer [10]. In the current study, we aimed to characterise EOL care, health care intensity in the final 30 days of life and SACT-related mortality with an emphasis on infectious complications in patients with advanced stage lung cancer based on routine clinical data from the North Estonia Medical Centre's Thoracic Oncology Database. The Estonian Cancer Registry has been collecting data on cancer incidence and mortality since 1968, but in regard to anti-cancer treatment only surgery is captured. Particularly, data on palliative care in Estonia are lacking. We provide data that will inform oncologists and policymakers of the limitations in lung cancer care and should help them plan interventions to improve the quality of care.

Methods

Study cohort

This is a retrospective analysis of the health care data of lung cancer patients treated at the North Estonia Medical Centre between 1 January 2015 and 31 December 2017. The North Estonia Medical Centre is the single provider of all types of oncology services for a population of 800 000, and its Thoracic Oncology Database has covered all lung cancer patients since 2015. During the study period, a multidisciplinary tumour board had confirmed treatment decisions of 1485 lung cancer patients (**Figure 1**). To identify the impact of palliative SACT, we excluded lung cancer patients with local disease whose primary treatment was either surgery or radiotherapy, irrespective of whether this was combined with SACT. This cohort contained 778 patients: 546 assigned to receive SACT (SACT group) and 232 assigned to not receive SACT (no-SACT group). The study was approved by the Tallinn Ethics Committee for Medical Research (no. 1972).

Data Sources

Patient characteristics such as age, gender, stage, date of biopsy if performed, histology, disease status as newly diagnosed or recurrent and date of treatment decision were extracted from the Thoracic Oncology Database. The patient's national identification code from the Thoracic Oncology Database was linked to the electronic database of billing data of the Estonian Health Insurance Fund. This database incorporates detailed data on all medical services used during a hospital stay and any out-patient visits, including each cycle of SACT provided. To calculate the chemotherapy receipt within the last 14 and 30 days of life, the date of last cycle of SACT was linked to the date of death. The electronic data of patients who died within 14 and 30 days after the last cycle of SACT were reviewed in detail by two authors independently, and the probable cause of death related to progression and SACT-related toxicity such as

sepsis, bacterial infection and neutropenia was identified. Data on the death, if applicable, was retrieved from the National Death Registry. The data cut-off date was 31 July 2018.

Outcome measures

The outcome measures to be assessed in this study were chosen on the basis of previous research. We analysed health care utilisation and the circumstances of death, calculated 14- and 30-day mortality after SACT and used a composite measure of intensity of care, comprised from the following: emergency department visit, admission to hospital, admission to ICU, receipt of radiotherapy or systemic treatment (except no-SACT). The frequency of infectious complications (sepsis, bacterial infections and/or neutropenia) and the use of the granulocyte colony stimulating growth factor (GCS-GF) and/or radiotherapy was re-calculated for the period of 14 days or 30 days after the last SACT. For comorbidities in the whole cohort, we used only major diagnoses affecting chemotherapy administration such as diabetes (type 1 and 2), atrial fibrillation and cardiovascular disease (cardiac and peripheral vascular disease).

Statistical analysis

To describe the baseline characteristics and background data of the study population and health care use at the EOL, frequencies and percentages were used for categorical data and mean values with standard deviations (SD) or median values with quartiles (Q25-Q75) for numeric data. To compare the characteristics of SACT and no-SACT patients and SACT patients who died within 14 days and 30 days after their last treatment, the Mann-Whitney U test (numeric variables), Fisher test or z-test (categorical variables) was used. Overall survival (OS) was calculated from the treatment decision date. We used Kaplan Meier estimates to evaluate the survival of patients with SACT versus those with no-SACT. A multivariate Cox proportional hazards model was used to evaluate the effect of chemotherapy, gender, age, stage, disease status, histology, comorbidities and infectious complications on survival among patients with SACT. The same variables were studied as potential predictors of intensive EOL care; statistical significance was tested with the z-test. Multivariable logistic regression models were constructed to determine factors associated with intensive EOL care. All significance tests were two sided with an α -level of 0.05. Bonferroni corrections were used on multiple comparisons. Patients were censored at the time of the data cut-off date and assumed alive if no national death date was given. All analyses were conducted using Stata 14.2 software.

Results

Characteristics of the study population and treatment patterns

A retrospective cohort of lung cancer patients, who were treated at the North Estonia Medical Centre during the study period from 2015–2017, was assembled using the institutional Thoracic Oncology Database (**Figure 1**). Patients' baseline characteristics are presented in **Table 1**. The patients in the SACT group were younger than those who did not receive SACT. A biopsy was performed in almost all patients

of the SACT group, whereas it was not possible in 23% of no-SACT patients. As a result, no histology data was available for 47% of no-SACT patients vs 15% of SACT patients. The patients in the no-SACT group had more cardiac comorbidities, whereas the patients in the SACT group had more treatment-related complications. In the SACT group, the first cycle of SACT was administered on average 24 days after the treatment decision. The mean number of SACT courses was 6.3 (median 4), and the patients with adenocarcinoma received twice as many SACT courses compared with squamous and small-cell lung cancer patients (**Supplemental Table 1**).

Overall survival and location of death

By the study cut-off date, 77% of SACT patients had died compared with 96% of no-SACT patients (**Table 2**). The median OS of no-SACT patients was 1.3 months and in patients who received at least one cycle of SACT 9.1 months (hazard ratio [HR]=4.23, 95% CI=3.60-5.00, p<0.001), (**Figure 2, Panel A**). The probability of survival increased in parallel to the number of SACT courses received in the SACT group. The OS of patients with adenocarcinoma histology was significantly longer than all other histologies (median OS 13.3 vs 8.1 months, HR=0.61, 95% CI=0.49-0.76, p<0.001), but there was no difference in the OS between histological subtypes in the no-SACT group (p=0.951), (**Figure 2, Panel B**). Among the patients who died during the study period, only 21% of patients in the no-SACT group died in a hospital (acute care or nursing hospital), whereas 38% of the SACT group deaths occurred in an acute care hospital and 24% in a nursing hospital (**Table 2**). In total, 22% of patients in the SACT group died in the hospital after they had been admitted via emergency department. In the SACT group, 77% of the deaths had occurred at the data cut-off date with a median survival of 75 days after the last cycle of SACT (**Supplemental Table 1**).

Intensity of care at the EOL

Any one of the following outcomes – hospitalisation, ED visit, ICU admission, radiotherapy or SACT (except no-SACT) – occurred 70% in the SACT group and 44% in the no-SACT group during the final 30 days of life (**Table 3**). 0.8% and 2.5% of SACT patients had died within 14 and 30 days after the treatment decision, respectively, compared with the 24% and 41% in the no-SACT group (**Table 2**). Only 22 (7.6%) patients in the no-SACT group received palliative radiotherapy, seven (2.5% of deceased) of which in the last 30 days of life (**Table 2**). In total, 195 (39.9%) patients in the SACT group received radiotherapy, but only 12 patients (3.2%) received radiotherapy within the final 30 days of life. The 63 patients who received only one course of SACT had a similar OS to patients with no-SACT (HR=0.97, 95% CI=0.73-1.28, p=0.8), (**Figure 2, Panel A**), indicating no benefit from systemic treatment in these patients. In addition, 37 patients died within 30 days after the last cycle of SACT due to disease progression (**Table 4**), comprising a total of 100 patients (20% of 489 patients) who did not benefit from SACT and illustrating potential overtreatment. Intensive EOL care in the last 30 days of life is more probable among patients in the SACT group (odds ratio [OR]=3.58, 95% CI=2.54-5.04, p<0.001), especially in those with a stage IV disease (OR=1.89, 95% CI=1.31-2.71, p=0.001).

SACT-related toxicity and 14- and 30-day mortality

The SACT patients received on average 6.3 (median 4) cycles of systemic chemotherapy and the median duration of treatment was 78 days, except for patients with adenocarcinoma (on average 9 courses over 135 days; $p < 0.001$), (**Supplemental Table 1**). In the SACT group, 10.6% and 7.0% of patients developed at least one case of sepsis or neutropenia, respectively (**Table 1**). Approximately 30% of patients received GCS-GF support, but we were not able to differentiate between primary and secondary prophylaxis or the treatment of neutropenia (**Supplemental Table 2**). In the SACT group, 33 patients (6.7%) died within 14 days and 72 patients (14.7%) within 30 days of the last SACT cycle. 11.2% of the deceased were hospitalised due to sepsis and 2.9% had neutropenia in the final 30 days (**Table 3**). In total, 46% of early deaths (in 14 days) occurred after the first cycle of SACT. 22.2%, 6.9% and 11.1% of patients with 30-day mortality after SACT developed sepsis, bacterial infection or drug-related neutropenia, respectively, whereas these infectious complications developed in less than 10% of patients who died more than 30 days after the last cycle of SACT (**Supplemental Table 2**). These differences were statistically significant in the univariate analysis. In the multivariate Cox proportional hazard models, male gender, age younger than 69 years, histology other than adenocarcinoma and concomitant sepsis, bacterial infection or neutropenia had a significant adverse impact on survival, whereas GCS-GF use was associated with better survival (**Table 5**). We then aimed to identify the cause of death in patients with early SACT-related mortality. Patients who died within 14 days after SACT were more likely to die after the first cycle ($p = 0.04$), to have one episode of neutropenia before the last cycle (14% vs 3%, $p = 0.2$), to develop neutropenia or pancytopenia after the last cycle (26% vs 14%, $p=0.371$), to be admitted to the ICU before death ($p=0.008$) and to die due to infection (**Table 4**).

Discussion

To our knowledge, this is the first study to evaluate EOL cancer care in Estonia and in Eastern Europe. Our results confirm that a significant proportion of patients with advanced lung cancer continue to receive intensive care near death. Nearly 15% of patients in the current study died within 30 days after the last cycle of SACT. Infectious complications with neutropenia had significant adverse effects on survival, particularly in patients who died within 14 days after SACT. These findings are particularly important, as chemotherapy in combination with immunotherapy is the currently recommended first line of treatment in most patients with advanced lung cancer.

Patients with a poor performance status do not benefit from chemotherapy [5, 11]. In our study, patients with the treatment decision no-SACT had a poor prognosis with a median OS of 1.3 months. Due to the lack of EOL services, they were frequently hospitalised through ED visits near death. 78% of the deceased in the no-SACT group died outside hospital (acute or nursing hospital). There was no possibility to collect data on care and costs paid by a family, including home-based care. However, our findings indicate very limited provision of organised health care services to patients deemed ineligible for active oncological treatment. It is well recognised that EOL care is a subject for considerable policy differences, which also exist among developed countries. For instance, in the US and the Netherlands, the lowest proportion of cancer patients died in acute care hospitals (less than 30%), whereas 77% of cancer patients in France die in hospitals [12, 13]. Previous studies have not reported EOL data depending on patients' eligibility for

active oncological treatment; however, this likely affects who provides EOL care and how it is provided. Our study demonstrated that there is an urgent need to increase EOL supportive care services in Estonia, particularly for patients not eligible for active treatment.

The median OS of patients in this study who received at least one cycle of SACT was 9.1 months, with the largest benefit seen in patients who received at least three cycles. One-third of patients who opted for SACT accounted for potential overtreatment, including patients who received only one cycle of SACT, patients who died of progression after receiving SACT in the final 30 days and patients who never received systemic treatment despite the decision. Timely access to SACT is an important aspect of cancer care, particularly affecting patients with high disease and symptom burden. The median time from the diagnosis to the start of systemic treatment was approximately one month in the current study. Prioritisation of patients eligible for SACT is necessary to reduce delays in treatment initiation and improve outcome.

To characterise the intensity of cancer care, we chose previously reported indicators most relevant to adult patients with solid tumours and occurring during the last 30 days of life. A composite measure for intensive EOL care was received by 70% of the deceased SACT patients and 44% of the no-SACT patients in the current study. Moreover, 66% of the total 489 SACT patients had at least one ED visit after the initiation of SACT, including 30 patients who died on the same or next day as the ED visit. Our findings are in line with those from Canada, where 62% of lung cancer patients had at least one ED visit in the last 30 days [13]. In the US, ICU admissions near death were twice as high as those in six other developed countries, with 27% of adult cancer patients being admitted to the ICU in the last 30 days [13]. In our study, 13% of patients in both the SACT and no-SACT groups experienced ICU admission. We found no evident pattern between patient characteristics and the likelihood of receiving intensive EOL care. Future research should focus on causes leading to ED visits and whether these could be avoided by improving palliative and hospice care.

Of the various intensive EOL care measures, the use of chemotherapy near death has been studied most extensively. Chemotherapy use in the last 30 days of life ranged from 4.8% (Norway) to 10.6% (US) and 12.7% (Belgium) [13]. Among various solid tumours, lung cancer patients were more likely to receive chemotherapy at the EOL [14]. At the data cut-off date, 19% of the deceased patients in our study had received SACT in the final 30 days of life, similar to the rate reported in France [12]. In England, 30-day mortality after SACT was higher in patients with lung cancer compared to patients with breast cancer, with a considerable difference in curative (3%) and palliative (10%) treatments [15]. We also aimed to study the causes of early SACT-related mortality, with special emphasis on infectious complications. Infectious complications were significant predictors for early SACT-related death and hospitalisations; 7% of patients in the SACT group developed neutropenia (Supplemental Table 2). Among EOL hospitalisations, 11% were due to sepsis and 3% of patients had neutropenia. Patients who died within 14 days after SACT were more likely to die due to neutropenia and infection, whereas patients who died within 15–30 days after the last cycle of SACT were more likely to die of progressive disease. In the multivariate Cox proportional hazard model, we demonstrated that sepsis, bacterial infection and/or

neutropenia had a significant adverse effect on survival, whereas GCS-GF use reduced the risk of death. It has previously been shown that cancer-related neutropenia accounts for a substantial amount of the total cancer-related hospitalisation costs [16]. ASCO's guideline for the management of neutropenia generally recommends primary prophylaxis when the risk of neutropenia is high (> 20%) [17]. Lung cancer patients are typically older (> 65 years), with multiple comorbidities and symptomatic diseases, which suggests that a lower threshold for primary prophylaxis may be needed for these patients. Our findings also highlight the importance of SACT-related toxicity when studying the intensity of EOL care.

Our study has several limitations. Firstly, it was a single centre retrospective cohort study with a relatively small sample size. Secondly, our study was based on the data of health service use and we had no information on the performance status of patients. However, for those patients who died within 30 days after SACT, a detailed review of electronic medical records was conducted and the probable cause of death identified. The strengths of this study include: a) universal insurance coverage in Estonia, meaning our results capture all health care services provided to patients; b) systemic treatment of lung cancer for the whole population in the catchment area is delivered only in this specific cancer centre, thus the study cohort includes all cancer patients; c) homogenous population, eg patients with advanced lung cancer from the routine clinical database; d) homogenous systemic treatment, as ALK-inhibitors and immunotherapy were not reimbursed at the time of the study; e) most recent study period; f) the cause of death was evaluated through detailed review of electronic medical records.

Conclusions

A significant proportion of patients with advanced lung cancer continue to receive intensive care near death with a negative health impact. Our results reflect the patterns of the EOL care of lung cancer in Estonia, where the availability of palliative health care services and hospice care must be increased to improve resource use and patient-oriented care. Chemotherapy is the cornerstone of the treatment of advanced lung cancer, and our results illustrate that the careful selection of patients and a conservative use of SACT are important because neutropenia and infectious complications are still the primary causes of early SACT-related death.

Abbreviations

- ED
- Emergency Department
- EOL
- End of life
- ICU
- Intensive Care Unit
- GCS-GF
- Granulocyte colony stimulating growth factor
- OS

- Overall survival
- QoL
- Quality of life
- SACT
- Systemic anti-cancer treatment

Declarations

Ethics approval and consent to participate

The study was approved by Tallinn Ethics Committee for Medical Research (approval no. 1972). The committee belongs to the National Institute for Health Development. The committee determined study did not directly involve human subjects and consent to participate was not obtained. The study linked three databases.

Consent for publish

Not applicable

Availability of data and materials

The study linked three databases. Authorized persons from respective institutions obtained data from the National Death Registry and the Estonian Health Insurance Fund. Thoracic Oncology Database is a prospective database of the North Estonia Medical Centre and collects data from thoracic multidisciplinary tumor board meetings. The datasets analyzed during the current study are not publicly available since it contains person's data (eg date of death, date of diagnoses, number of chemotherapy courses delivered) but are available from the corresponding author on reasonable request.

Competing Interests

The authors declare that they have no competing interests in regard to this publication.

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KO received research grant from the North Estonia Medical Centre to design and conduct this study, and to write the manuscript.

Author contributions

KO contributed to the concept and design, collected and analysed data, wrote the main part of the manuscript. HP analysed the data, performed statistical analysis and contributed to the manuscript. KI collected the data, contributed to the data interpretation and manuscript writing. RAK contributed to the concept, interpretation of the data and manuscript writing.

All authors read and approved the final manuscript.

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Supplementary Files Legend

- Supplemental Table 1. Use of systemic anti-cancer treatment in advanced lung cancer patients.
- Supplemental Table 2. Myelotoxicity and infectious complications in patients with and without 14- and 30-day mortality after last cycle of systemic anti-cancer treatment.

Figures

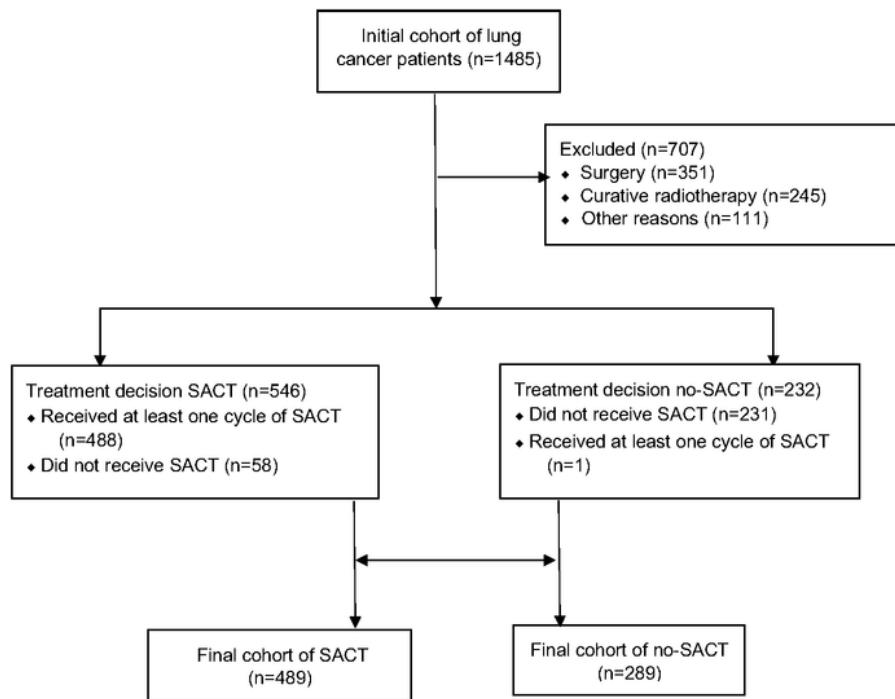


Figure 1

Flow chart of the study population. Abbreviations: SACT, systemic anti-cancer treatment.

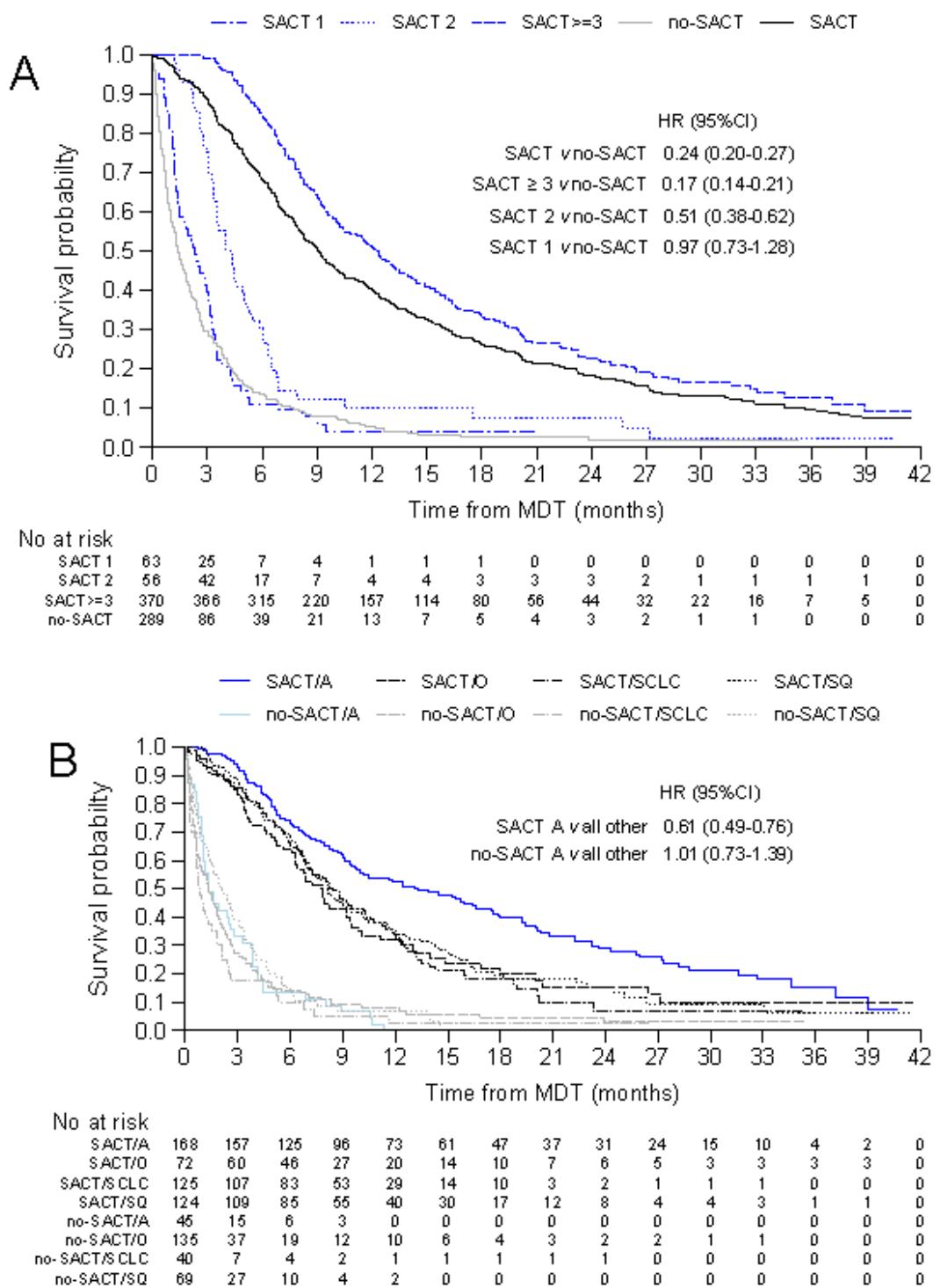


Figure 2

Overall survival in the study population (panel A) according to number of SACT cycles and (panel B) histology. Abbreviations: SACT, systemic anti-cancer treatment; MDT, multidisciplinary tumour board; A, adenocarcinoma; O, all other histologies combined; SCLC, small cell lung cancer; SQ, squamous cell; HR, hazard ratio.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

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